

Air Operations

UK Acceptable Means of Compliance, Guidance Material
and Certification Specifications

for

Regulation (EU) No. 965/2012 as retained (and amended in
UK domestic law) under the European Union (Withdrawal)
Act 2018

NOTE FROM THE EDITOR

This document contains acceptable means of compliance, guidance material and certification specifications adopted by the UK CAA. The reference number indicates the Article or paragraph in the corresponding Regulation which it relates to.

All references to EU regulations in this document are to those regulations as retained and amended in UK domestic law under the European Union (Withdrawal) Act 2018.

Acceptable means of compliance

Certification specification

Guidance material

**AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)**

LIST OF REVISIONS

| Published | Reason for revision |
|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| January 2021 | UK AMC, GM and CS adopted by CAA on 1 January 2021 (taken from EASA AMC GM and CS current on 31 December 2020, i.e. revised to delete sections where the associated implementing rules have not been retained in UK law under the terms of the European Union (Withdrawal) Act 2018) |

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GM1 Article 2(1)(d) Definitions

NON-COMMERCIAL OPERATIONS — EXAMPLES

The following examples of operations are not covered by the definition of commercial operations or by that of specialised operations. They are identified as non-commercial operations. Some of these flights are listed by an AOC holder in its operations manual Part-A, ch. 8.7 as non-commercial operations (as specified in **AMC3 ORO.MLR.100**) and covered by the provisions of **ORO.AOC.125**.

Some of these operations are performed on an irregular basis. The operator and its crew members may consider them as non-routine operations, situated outside their operational routine. This constitutes a risk that the operator should include in its risk assessment process.

The operations listed below are performed with aircraft having a certificate of airworthiness or a permit to fly and being already listed on an AOC or on a declaration. They are grouped by the purpose of the flight.

Demonstration flights

- (a) A flight performed with the purpose of demonstrating:
 - (1) an aircraft's handling, performance and functionalities to buyers or lessees;
 - (2) an aircraft's flying characteristics or the operational procedures to the CAA, for verification of compliance with the operational requirements, as per **ARO.GEN.310(a)**.

Other terms used: (route) proving flight; operational evaluation flight.

- (b) Flight at the end of lease or upon transfer of ownership: a flight performed at the request of the operator to verify compliance of the aircraft with the contractual specifications of the lessee/lessor or buyer.

Other term used: acceptance flight.

- (c) 'Public relations (PR) flight': a flight carrying official or media representatives as non-paying passengers. Sometimes personnel of the operator are included. The PR flight is performed in the interest of the operator's own business.

Testing the results of maintenance work is outside the scope of demonstration flights. Such flights are not expected to execute flight manoeuvres where the aircraft might react with an unexpected behaviour. This is covered by a maintenance check flight (listed below).

Maintenance check flights

- (d) Maintenance check flight (MCF)

The definition of an MCF is provided in Annex I to Regulation (EU) No 965/2012. The provisions on MCF are developed in Annex VII (Part-NCO), Subpart E Section 6 and Annex VIII (Part-SPO), Subpart E Section 5.

Ferry flights – flights changing the location of the aircraft

A ferry flight could be performed for the following purposes:

- (e) The aircraft is moved to and from a maintenance base. The aircraft may be operated under the permit-to-fly conditions.

¹ Commission Regulation (EU) No 1178/2011 of 3 November 2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 311, 25.11.2011, p. 1).

Examples:

- (1) unpressurised flight,
 - (2) gear-down flight,
 - (3) flight with one engine inoperative.
- (f) The aircraft is moved from one location to another, e.g. from the manufacturer, refurbishment location, previous owner, lessor/lessee, long-term storage to the operator's base.
 Other term used: delivery flight.
- (g) The aircraft and its aircrew are positioned to an aerodrome from which a further commercial air transport (CAT) operation will be performed.
 Other term used: positioning flight.
- (h) The aircraft is moved from its current location to a secure location for various reasons (e.g. to remove it from a hazardous area).
 Other term used: recovery flight.

Training flights

- (i) A flight for instructional purposes for the operator's own flight crew.
 Operator training and checking flight: a flight performed by the operator with the purpose of training, checking and/or familiarising a flight crew member with the operator's procedures linked to the aircraft being operated. A training flight is conducted using the procedures detailed in the operator's documentation.
 Line flying under supervision (LIFUS), line checks and similar flights are not included in this category, as they are usually performed during commercial operations (CAT flights).

Other non-commercial flights

- (j) 'Corporate flight': a flight conducted for business purposes: the operator may carry its own personnel and/or property in the interest of business.
 Other terms used: business flight, private flight.
- (k) 'Leisure flight': a flight operated by an operator for personal or recreational purposes, not associated with a business or a profession.
 Other term used: private flight.
- (l) Managed flight: a flight operated by an operator for the business purposes of the aircraft owner, with no remuneration or other valuable consideration involved.

Charity flights, humanitarian flights

- (m) 'Charity flight': a flight performed for the benefit of a registered charity organisation, carrying persons and/or goods. For such a flight, the proceeds of the raffled flight go to the charity. Any additional proceeds are limited to the recovery of direct costs of the flight.
- (n) 'Humanitarian flight': a flight with the purpose of carrying relief personnel and/or life-saving supplies (basic necessities) during or after an emergency or a natural disaster, or to evacuate persons from an endangered area.

GM1 Article 3(5)(e) Oversight capabilities

INSPECTIONS BY PERSONNEL AUTHORISED BY THE CAA

Inspections performed by personnel authorised by the CAA to perform oversight or certification tasks means announced or unannounced inspections, including in-flight inspections, to oversee any operations in accordance with this Regulation.

GM1 Article 6.4a Derogations

OTHER-THAN-COMPLEX MOTOR-POWERED AIRCRAFT

The term 'other-than-complex motor-powered aircraft' is used synonymously with the terms 'other-than complex motor-powered aircraft' and 'other than complex motor-powered aircraft'. Whenever one of these terms is used, it includes also non-motor-powered aircraft such as sailplanes.

GM2 Article 6.4a(a);(b) Derogations

DIRECT COST

'Direct cost' means the cost directly incurred in relation to a flight, e.g. fuel, airfield charges, rental fee for an aircraft. There is no element of profit.

GM3 Article 6.4a(a);(b) Derogations

ANNUAL COST

'Annual cost' means the cost of keeping, maintaining and operating the aircraft over a period of one calendar year. There is no element of profit.

GM1 Article 6.4a(c) Derogations

ORGANISATION CREATED WITH THE AIM OF PROMOTING AERIAL SPORT OR LEISURE AVIATION

An 'organisation created with the aim of promoting aerial sport or leisure aviation' means a non-profit organisation, established under applicable national law for the sole purpose of gathering persons sharing the same interest in general aviation to fly for pleasure or to conduct parachute jumping. The organisation should have aircraft available.

GM2 Article 6.4a(c) Derogations

MARGINAL ACTIVITY

The term 'marginal activity' should be understood as representing a very minor part of the overall activity of an organisation, mainly for the purpose of promoting itself or attracting new students or members. An organisation intending to offer such flights as regular business activity is not considered to meet the condition of marginal activity. Also, flights organised with the sole intent to generate income for the organisation, are not considered to be a marginal activity.

ANNEX I – DEFINITIONS

GM1 Annex I Definitions

DEFINITIONS FOR TERMS USED IN ACCEPTABLE MEANS OF COMPLIANCE AND GUIDANCE MATERIAL

For the purpose of Acceptable Means of Compliance and Guidance Material to Regulation (EU) No 965/2012, the following definitions should apply:

- (a) 'Abnormal flight behaviour' means, in the context of an aircraft tracking system, an event affecting a flight:
 - (1) which is outside of the parameters defined by the operator for normal operation or which indicates an obvious deviation from normal operation; and
 - (2) for which the operator has determined that it poses a risk for the safe continuation of the flight or for third parties.
- (a) 'Accuracy' means, in the context of PBN operations, the degree of conformance between the estimated, measured or desired position and/or the velocity of a platform at a given time, and its true position or velocity. Navigation performance accuracy is usually presented as a statistical measure of system error and is specified as predictable, repeatable and relative.
- (b) 'Aircraft-based augmentation system (ABAS)' means a system that augments and/or integrates the information obtained from the other GNSS elements with information available on board the aircraft. The most common form of ABAS is receiver autonomous integrity monitoring (RAIM).
- (ba) 'Airport moving map display (AMMD)' means a software application that displays an airport map on a display device and uses data from a navigation source to depict the aircraft current position on this map while the aircraft is on the ground.
- (c) 'Area navigation (RNAV)' means a method of navigation which permits aircraft operation on any desired flight path within the coverage of station-referenced navigation aids or within the limits of the capability of self-contained aids, or a combination of these.
- (d) 'Availability' means, in the context of PBN operations, an indication of the ability of the system to provide usable service within the specified coverage area and is defined as the portion of time during which the system is to be used for navigation during which reliable navigation information is presented to the crew, autopilot or other system managing the flight of the aircraft.
- (e) 'Committal point' means the point in the approach at which the pilot flying decides that, in the event of an engine failure being recognised, the safest option is to continue to the elevated final approach and take-off area (elevated FATO).
- (f) 'Continuity of function' means, in the context of PBN operations, the capability of the total system, comprising all elements necessary to maintain aircraft position within the defined airspace, to perform its function without non-scheduled interruptions during the intended operation.
- (fa) 'Controlled portable electronic device (C-PED)' means a PED subject to administrative control by the operator that uses it. This includes, inter alia, tracking the allocation of the devices to specific aircraft or persons and ensuring that no unauthorised changes are made to the hardware, software, or databases. C-PEDs can be assigned to the category of non-intentional transmitters or T-PEDs.

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

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- (fb) 'EFB installed resources' means certified EFB hardware components external to the EFB host platform itself, such as input/output components (installed remote displays, keyboards, pointing devices, switches, etc.) or a docking station.
 - (fc) 'EFB mounting device' means an aircraft certified part that secures a portable or installed EFB, or EFB system components.
 - (fd) 'EFB system supplier' means the company responsible for developing, or for having developed, the EFB system or part of it.
 - (g) 'Emergency locator transmitter' is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.
 - (h) 'Exposure time' means the actual period during which the performance of the helicopter with the critical engine inoperative in still air does not guarantee a safe forced landing or the safe continuation of the flight.
 - (i) 'Fail-operational flight control system' means a flight control system with which, in the event of a failure below alert height, the approach, flare and landing can be completed automatically. In the event of a failure, the automatic landing system will operate as a fail-passive system.
 - (j) 'Fail-operational hybrid landing system' means a system that consists of a primary fail-passive automatic landing system and a secondary independent guidance system enabling the pilot to complete a landing manually after failure of the primary system.
 - (k) 'Fail-passive flight control system': a flight control system is fail-passive if, in the event of a failure, there is no significant out-of-trim condition or deviation of flight path or attitude but the landing is not completed automatically. For a fail-passive automatic flight control system the pilot assumes control of the aeroplane after a failure.
 - (l) 'Flight control system' in the context of low visibility operations means a system that includes an automatic landing system and/or a hybrid landing system.
 - (m) 'HEMS dispatch centre' means a place where, if established, the coordination or control of the helicopter emergency medical service (HEMS) flight takes place. It may be located in a HEMS operating base.
 - (n) 'Hybrid head-up display landing system (hybrid HUDLS)' means a system that consists of a primary fail-passive automatic landing system and a secondary independent HUD/HUDLS enabling the pilot to complete a landing manually after failure of the primary system.
 - (na) 'Installed EFB' means an EFB host platform installed in an aircraft, capable of hosting type A and/or type B EFB applications. It may also host certified applications. It is an aircraft part, and, is therefore, covered by the aircraft airworthiness approval.
 - (o) 'Integrity' means, in the context of PBN operations, the ability of a system to provide timely warnings to users when the system should not be used for navigation.
 - (p) 'Landing distance available (LDAH)' means the length of the final approach and take-off area plus any additional area declared available by the State of the aerodrome and suitable for helicopters to complete the landing manoeuvre from a defined height.
 - (q) 'Landing distance required (LDRH)', in the case of helicopters, means the horizontal distance required to land and come to a full stop from a point 15 m (50 ft) above the landing surface.
 - (r) 'Lateral navigation' means a method of navigation which permits aircraft operation on a horizontal plane using radio navigation signals, other positioning sources, external flight path references, or a combination of these.

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

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- (ra) ‘mass’ and ‘weight’: In accordance with ICAO Annex 5 and the International System of Units (SI), both terms are used to indicate the actual and limiting masses of aircraft, the payload and its constituent elements, the fuel load, etc. These are expressed in units of mass (kg), but in most approved flight manuals and other operational documentation, these quantities are published as weights in accordance with the common language. In the ICAO standardised system of units of measurement, a weight is a force rather than a mass. Since the use of the term ‘weight’ does not cause any problem in the day-to-day handling of aircraft, its continued use in operational applications and publications is acceptable.
 - (s) ‘Maximum structural landing mass’ means the maximum permissible total aeroplane mass upon landing under normal circumstances.
 - (t) ‘Maximum zero fuel mass’ means the maximum permissible mass of an aeroplane with no usable fuel. The mass of the fuel contained in particular tanks should be included in the zero fuel mass when it is explicitly mentioned in the aircraft flight manual.
 - (ta) ‘Miscellaneous (non-EFB) software applications’ means non-EFB applications that support function(s) not directly related to the tasks performed by the flight crew in the aircraft.
 - (u) ‘Overpack’, for the purpose of transporting dangerous goods, means an enclosure used by a single shipper to contain one or more packages and to form one handling unit for convenience of handling and stowage.
 - (v) ‘Package’, for the purpose of transporting dangerous goods, means the complete product of the packing operation consisting of the packaging and its contents prepared for transport.
 - (w) ‘Packaging’, for the purpose of transporting dangerous goods, means receptacles and any other components or materials necessary for the receptacle to perform its containment function.
 - (x) ‘Personal locator beacon (PLB)’ is an emergency beacon other than an ELT that broadcasts distinctive signals on designated frequencies, is standalone, portable and is manually activated by the survivors.
 - (xa) ‘Ramp inspection tool’ means the IT application including a centralised database used by all stakeholders to store and exchange data related to ramp inspections.
 - (y) ‘Receiver autonomous integrity monitoring (RAIM)’ means a technique whereby a GNSS receiver/processor determines the integrity of the GNSS navigation signals using only GNSS signals or GNSS signals augmented with altitude. This determination is achieved by a consistency check among redundant pseudo-range measurements. At least one satellite in addition to those required for navigation has to be in view for the receiver to perform the RAIM function.
 - (z) ‘Rotation point (RP)’ means the point at which a cyclic input is made to initiate a nose-down attitude change during the take-off flight path. It is the last point in the take-off path from which, in the event of an engine failure being recognised, a forced landing on the aerodrome can be achieved.
 - (aa) ‘Space-based augmentation system (SBAS)’ means a wide coverage augmentation system that augments and/or integrates the information obtained from the other GNSS elements with information from a satellite-based transmitter. The most common form of SBAS in Europe is the European Geostationary Navigation Overlay Service (EGNOS).
 - (ab) ‘Touch down and lift-off area (TLOF)’ means a load-bearing area on which a helicopter may touch down or lift off.
 - (ac) ‘Transmitting PED (T-PED)’ means a portable electronic device (PED) that has intentional radio frequency (RF) transmission capabilities.
 - (ad) ‘Vertical navigation’ means a method of navigation which permits aircraft operation on a vertical flight profile using altimetry sources, external flight path references, or a combination of these.

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(ae) ‘Viewable stowage’ means a non-certified device that is attached to the flight crew member (e.g. with a kneeboard) or to an existing aircraft part (e.g. using suction cups), and is intended to hold charts or to hold low-mass portable electronic devices that are viewable by the flight crew members at their assigned duty stations.

GM2 Annex I Definitions

ABBREVIATIONS AND ACRONYMS

The following abbreviations and acronyms are used in the Annexes to this Regulation:

| | |
|-------|---------------------------------------------------------|
| A | aeroplane |
| a/c | aircraft |
| AAC | aeronautical administrative communications |
| AAIM | aircraft autonomous integrity monitoring |
| AAL | above aerodrome level |
| ABAS | aircraft-based augmentation system |
| AC | advisory circular |
| AC | alternating current |
| ACAS | airborne collision avoidance system |
| ADF | automatic direction finder |
| ADG | air driven generator |
| ADS | automatic dependent surveillance |
| ADS-B | automatic dependent surveillance - broadcast |
| ADS-C | automatic dependent surveillance - contract |
| AEA | Association of European Airlines |
| AEO | all-engines-operative |
| AFFF | aqueous film forming foams |
| AFM | aircraft flight manual |
| AFN | aircraft flight notification |
| AFN | ATS facilities notification |
| AGL | above ground level |
| AHRS | attitude heading reference system |
| AIS | aeronautical information service |
| ALARP | as low as reasonably practicable |
| ALSF | approach lighting system with sequenced flashing lights |
| AMC | Acceptable Means of Compliance |
| AML | aircraft maintenance licence |
| AMSL | above mean sea level |
| ANP | actual navigation performance |
| AOC | aeronautical operational control |
| AOC | air operator certificate |
| APCH | approach |
| APU | auxiliary power unit |
| APV | approach procedure with vertical guidance |
| AR | authorisation required |
| ARA | airborne radar approach |
| ARA | Authority Requirements for Aircrew |
| A-RNP | advanced required navigation performance |
| ARO | Authority Requirements for Air Operations |
| ARP | Aerospace Recommended Practices |
| ASC | Air Safety Committee |
| ASDA | accelerate-stop distance available |
| ASE | altimeter system error |
| ATA | Air Transport Association |
| ATC | air traffic control |

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in (and amended by) UK law)

| | |
|------------------|---------------------------------------------------------------------------------------------|
| ATIS | automatic terminal information service |
| ATN | air traffic navigation |
| ATPL | airline transport pilot licence |
| ATQP | alternative training and qualification programme |
| ATS | air traffic services |
| ATSC | air traffic service communication |
| AVGAS | aviation gasoline |
| AVTAG | aviation turbine gasoline (wide-cut fuel) |
| AWO | all weather operations |
| BALS | basic approach lighting system |
| Baro-VNAV | barometric VNAV |
| BCAR | British civil airworthiness requirements |
| BITD | basic instrument training device |
| CAP | controller access parameters |
| CAT | commercial air transport |
| CAT I / II / III | category I / II / III |
| CBT | computer-based training |
| CC | cabin crew |
| CDFA | continuous descent final approach |
| CDL | configuration deviation list |
| CFIT | controlled flight into terrain |
| CG | centre of gravity |
| CM | context management |
| CMV | converted meteorological visibility |
| CofA | certificate of airworthiness |
| COP | code of practice |
| CoR | certificate of registration |
| COSPAS-SARSAT | cosmicheskaya sistyema poiska avariynich sudov - search and rescue satellite-aided tracking |
| CP | committal point |
| CPA | closest point of approach |
| CPDLC | controller pilot data link communication |
| CPL | commercial pilot licence |
| C-PED | controlled portable electronic device |
| CRE | class rating examiner |
| CRI | class rating instructor |
| CRM | crew resource management |
| CS | Certification Specifications |
| CVR | cockpit voice recorder |
| DA | decision altitude |
| DA/H | decision altitude/height |
| DAP | downlinked aircraft parameters |
| D-ATIS | digital automatic terminal information service |
| DC | direct current |
| DCL | departure clearance |
| D-FIS | data link flight information service |
| DG | dangerous goods |
| DH | decision height |
| DI | daily inspection |
| DIFF | deck integrated fire fighting system |
| DLR | data link recorder |
| DME | distance measuring equipment |
| D-METAR | data link - meteorological aerodrome report |
| D-OTIS | data link - operational terminal information service |
| DPATO | defined point after take-off |
| DPBL | defined point before landing |
| DR | decision range |

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| | |
|---------|----------------------------------------------------------|
| DSTRK | desired track |
| EC | European Community |
| ECAC | European Civil Aviation Conference |
| EFB | electronic flight bag |
| EFIS | electronic flight instrument system |
| EGNOS | European geostationary navigation overlay service |
| EGT | exhaust gas temperature |
| ELT | emergency locator transmitter |
| ELT(AD) | emergency locator transmitter (automatically deployable) |
| ELT(AF) | emergency locator transmitter (automatic fixed) |
| ELT(AP) | emergency locator transmitter (automatic portable) |
| ELT(S) | survival emergency locator transmitter |
| EPE | estimated position of error |
| EPR | engine pressure ratio |
| EPU | estimated position of uncertainty |
| ERA | en-route alternate (aerodrome) |
| ERP | emergency response plan |
| ETOPS | extended range operations with two-engined aeroplanes |
| EU | European Union |
| EUROCAE | European Organisation for Civil Aviation Equipment |
| EVS | enhanced vision system |
| FAA | Federal Aviation Administration |
| FAF | final approach fix |
| FALS | full approach lighting system |
| FANS | future air navigation systems |
| FAP | final approach point |
| FAR | Federal Aviation Regulation |
| FATO | final approach and take-off |
| FC | flight crew |
| FCL | flight crew licensing |
| FCOM | flight crew operating manual |
| FDM | flight data monitoring |
| FDO | flying display operation |
| FDR | flight data recorder |
| FFS | full flight simulator |
| FGS | flight control/guidance system |
| FI | flight instructor |
| FLIPCY | flight plan consistency |
| FLTA | forward-looking terrain avoidance |
| FMECA | failure mode, effects and criticality analysis |
| FMS | flight management system |
| FNPT | flight and navigation procedures trainer |
| FOD | foreign object damage |
| FOSA | flight operational safety assessment |
| fpm | feet per minute |
| FRT | fixed radius transition |
| FSTD | flight simulation training device |
| ft | feet |
| FTD | flight training device |
| FTE | full time equivalent |
| FTE | flight technical error |
| FTL | flight and duty time limitations |
| g | gram |

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in (and amended by) UK law)

| | |
|-------|------------------------------------------------|
| GAGAN | GPS aided geo augmented navigation |
| GBAS | ground-based augmentation system |
| GCAS | ground collision avoidance system |
| GEN | general |
| GIDS | ground ice detection system |
| GLS | GBAS landing system |
| GM | Guidance Material |
| GMP | general medical practitioner |
| GNSS | global navigation satellite system |
| GPS | global positioning system |
| GPWS | ground proximity warning system |
| H | helicopter |
| HEMS | helicopter emergency medical service |
| HF | high frequency |
| Hg | mercury |
| HHO | helicopter hoist operation |
| HIALS | high intensity approach lighting system |
| HIGE | hover in ground effect |
| HLL | helideck limitations list |
| HOGES | hover out of ground effect |
| HoT | hold-over time |
| hPa | hectopascals |
| HPL | human performance and limitations |
| HUD | head-up display |
| HUDLS | head-up guidance landing system |
| HUMS | health usage monitor system |
| IAF | initial approach fix |
| IALS | intermediate approach lighting system |
| ICAO | International Civil Aviation Organization |
| IDE | instruments, data and equipment |
| IF | intermediate fix |
| IFR | instrument flight rules |
| IFSD | in-flight shutdown |
| IGE | in ground effect |
| ILS | instrument landing system |
| IMC | instrument meteorological conditions |
| in | inches |
| INS | inertial navigation system |
| IP | intermediate point |
| IR | Implementing Rule |
| IR | instrument rating |
| IRS | inertial reference system |
| ISA | international standard atmosphere |
| ISO | International Organization for Standardization |
| IV | intravenous |
| JAA | Joint Aviation Authorities |
| JAR | Joint Aviation Requirements |
| kg | kilograms |
| km | kilometres |
| kt | knots |
| LDA | landing distance available |
| LDP | landing decision point |
| LED | light-emitting diode |
| LHS | left hand seat |
| LIFUS | line flying under supervision |
| LNAV | lateral navigation |

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in (and amended by) UK law)

| | |
|----------------|----------------------------------------------------------------------------------|
| LoA | letter of acceptance |
| LOC | localiser |
| LOE | line-oriented evaluation |
| LOFT | line-oriented flight training |
| LOQE | line-oriented quality evaluation |
| LOS | limited obstacle surface |
| LP | Localiser performance |
| LPV | localiser performance with vertical guidance |
| LRCS | long range communication system |
| LRNS | long range navigation system |
| LVO | low visibility operation |
| LVP | low visibility procedures |
| LVTO | low visibility take-off |
| m | metres |
| MALS | medium intensity approach lighting system |
| MALSF | medium intensity approach lighting system with sequenced flashing lights |
| MALSR | medium intensity approach lighting system with runway alignment indicator lights |
| MAPt | missed approach point |
| MCTOM | maximum certified take-off mass |
| MDA | minimum descent altitude |
| MDH | minimum descent height |
| MEA | minimum en-route altitude |
| MED | medical |
| MEL | minimum equipment list |
| METAR | meteorological aerodrome report |
| MGA | minimum grid altitude |
| MHA | minimum holding altitude |
| MHz | megahertz |
| MID | midpoint |
| MLR | manuals, logs and records |
| MLS | microwave landing system |
| MLX | millilux |
| mm | millimetres |
| MM | multi-mode |
| MMEL | master minimum equipment list |
| MNPS | minimum navigation performance specifications |
| MOC | minimum obstacle clearance |
| MOCA | minimum obstacle clearance altitude |
| MOPSC | maximum operational passenger seating configuration |
| MORA | minimum off-route altitude |
| MPSC | maximum passenger seating capacity |
| MSA | minimum sector altitude |
| MSAS | multi-functional satellite augmentation system |
| MTCA | minimum terrain clearance altitude |
| N | North |
| NADP | noise abatement departure procedure |
| NALS | no approach lighting system |
| NCC | non-commercial operations with complex motor-powered aircraft |
| NCO | non-commercial operations with other-than-complex motor-powered aircraft |
| N _F | free power turbine speed |
| N _G | engine gas generator speed |
| NM | nautical miles |
| NOTAM | notice to airmen |
| NOTECHS | non-technical skills evaluation |
| NOTOC | notification to captain |
| NPA | non-precision approach |
| NPA | Notice of Proposed Amendment |

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| | |
|-------------|----------------------------------------------------------------|
| NSE | navigation system error |
| NVD | night vision device |
| NVG | night vision goggles |
| NVIS | night vision imaging system |
| OAT | outside air temperature |
| OCH | obstacle clearance height |
| OCL | oceanic clearance |
| ODALS | omnidirectional approach lighting system |
| OEI | one-engine-inoperative |
| OFS | obstacle-free surface |
| OGE | out of ground effect |
| OIP | offset initiation point |
| OM | operations manual |
| OML | operational multi-pilot limitation |
| ONC | operational navigation chart |
| OPS | operations |
| ORO | Organisation Requirements for Air Operations |
| OTS CAT II | other than standard category II |
| PAPI | precision approach path indicator |
| PAR | precision approach radar |
| PBE | protective breathing equipment |
| PBN | performance-based navigation |
| PC/PT | proficiency check/proficiency training |
| PCDS | personnel carrying device system |
| PDA | premature descent alert |
| PDP | predetermined point |
| PED | portable electronic device |
| PIC | pilot-in-command |
| PIN | personal identification number |
| PIS | public interest site |
| PLB | personal locator beacon |
| PNR | point of no return |
| POH | pilot's operating handbook |
| PRM | person with reduced mobility |
| QAR | quick access recorder |
| QFE | atmospheric pressure at aerodrome elevation / runway threshold |
| QNH | atmospheric pressure at nautical height |
| RA | resolution advisory |
| RAIM | receiver autonomous integrity monitoring |
| RAT | ram air turbine |
| RCC | rescue coordination centre |
| RCF | reduced contingency fuel |
| RCLL | runway centre line lights |
| RF | radius to fix |
| RF | radio frequency |
| RFC | route facility chart |
| RI | ramp inspection |
| RI | rectification interval |
| RIE | rectification interval extension |
| RMA | regional monitoring agency |
| RNAV | area navigation |
| RNP | required navigation performance |
| RNP APCH | RNP approach |
| RNP AR APCH | RNP approach for which authorisation is required |
| ROD | rate of descent |
| RP | rotation point |

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| | |
|--------|----------------------------------------------------------------------------------|
| RTCA | Radio Technical Commission for Aeronautics |
| RTODAH | rejected take-off distance available (helicopters) |
| RTODRH | rejected take-off distance required (helicopters) |
| RTOM | reduced take-off mass |
| RTZL | runway touchdown zone lights |
| RVR | runway visual range |
| RVSM | reduced vertical separation minima |
| S | South |
| SAFA | safety assessment of foreign aircraft |
| SALS | simple approach lighting system |
| SALSF | simple approach lighting system with sequenced flashing lights |
| Sap | stabilised approach |
| SAP | system access parameters |
| SAR | search and rescue |
| SAS | stability augmentation system |
| SBAS | satellite-based augmentation system |
| SCC | senior cabin crew |
| SCP | special category of passenger |
| SDCM | system of differential correction and monitoring |
| SFE | synthetic flight examiner |
| SFI | synthetic flight instructor |
| SID | standard instrument departure |
| SMM | safety management manual |
| SMS | safety management system |
| SNAS | satellite navigation augmentation system |
| SOP | standard operating procedure |
| SPA | operations requiring specific approvals |
| SPECI | aviation selected special weather report |
| SPO | specialised operations |
| SRA | surveillance radar approach |
| SSALF | simplified short approach lighting system with sequenced flashing lights |
| SSALR | simplified short approach lighting system with runway alignment indicator lights |
| SSALS | simplified short approach lighting system |
| SSEC | static source error correction |
| SSR | secondary surveillance radar |
| STAR | standard terminal arrival route |
| STC | supplemental type certificate |
| TA | traffic advisory |
| TAC | terminal approach chart |
| TAS | true airspeed |
| TAWS | terrain awareness warning system |
| TC | technical crew |
| TC | type certificate |
| TCAS | traffic collision avoidance system |
| TCCA | Transport Canada Civil Aviation |
| TCH | type certificate holder |
| TDP | take-off decision point |
| TDZ | touchdown zone |
| THR | threshold |
| TI | Technical Instructions |
| TIT | turbine inlet temperature |
| TLS | target level of safety |
| TMG | touring motor glider |
| TODA | take-off distance available (aeroplanes) |
| TODAH | take-off distance available (helicopters) |
| TODRH | take-off distance required (helicopters) |
| TOGA | take-off/go around |

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| | |
|-------------------|-----------------------------------------|
| TORA | take-off run available |
| T-PED | transmitting portable electronic device |
| TRE | type rating examiner |
| TRI | type rating instructor |
| TSE | total system error |
| TVE | total vertical error |
| TWIP | terminal weather information for pilots |
| UMS | usage monitoring system |
| UTC | coordinated universal time |
| V ₂ | take-off safety speed |
| V ₅₀ | stalling speed |
| V _{AT} | indicated airspeed at threshold |
| VDF | VHF direction finder |
| VFR | visual flight rules |
| VHF | very high frequency |
| VIS | visibility |
| VMC | visual meteorological conditions |
| V _{MO} | maximum operating speed |
| VNAV | vertical navigation |
| VOR | VHF omnidirectional radio range |
| V _T | threshold speed |
| VTOL | vertical take-off and landing |
| V _{TOSS} | take-off safety speed |
| WAAS | wide area augmentation system |
| WAC | world aeronautical chart |
| WIFI | wireless fidelity |
| ZFTT | zero flight-time training |

GM3 Annex I Definitions

HELIDECK

The term ‘helideck’ includes take-off and landing operations on ships and vessels and covers ‘shipboard final approach and take off areas (FATOs).

GM4 Annex I Definitions

HEAD-UP GUIDANCE LANDING SYSTEM (HUDLS)

A HUDLS is typically used for primary approach guidance to decision heights of 50 ft.

GM5 Annex I Definitions

HELICOPTER EMERGENCY MEDICAL SERVICES (HEMS) FLIGHT

- (a) A HEMS flight (or more commonly referred to as HEMS mission) normally starts and ends at the HEMS operating base following tasking by the ‘HEMS dispatch centre’. Tasking can also occur when airborne, or on the ground at locations other than the HEMS operating base.
- (b) The following elements should be regarded as integral parts of the HEMS mission:
 - (1) flights to and from the HEMS operating site when initiated by the HEMS dispatch centre;
 - (2) flights to and from an aerodrome/operating site for the delivery or pick-up of medical supplies and/or persons required for completion of the HEMS mission; and
 - (3) flights to and from an aerodrome/operating site for refuelling required for completion of the HEMS mission.

GM6 Annex I Definitions

HOSTILE ENVIRONMENT

Those parts of an open-sea area not considered to constitute a hostile environment should be designated by the appropriate authority in the appropriate aeronautical information publication (AIP) or other suitable documentation.

GM7 Annex I Definitions

NIGHT VISION IMAGING SYSTEM (NVIS)

Helicopter components of the NVIS include the radio altimeter, visual warning system and audio warning system.

GM8 Annex I Definitions

OFFSHORE LOCATION

‘Offshore location’ includes, but is not limited to:

- (a) helidecks;
- (b) shipboard heliports; and
- (c) winching areas on vessels or renewable-energy installations.

GM9 Annex I Definitions

OFFSHORE OPERATIONS

An offshore operation is considered to be a helicopter flight for the purpose of:
support of offshore oil, gas and mineral exploration, production, storage and transport;

- (a) support to offshore wind turbines and other renewable-energy sources; or
- (b) support to ships including sea pilot transfer.

GM10 Annex I Definitions

COASTLINE

The national definition of coastline should be included by the appropriate authority in the aeronautical information publication (AIP) or other suitable documentation.

GM11 Annex I Definitions

PUBLIC INTEREST SITE

An example of a public interest sites is a landing site based at a hospital located in a hostile environment in a congested area, which due to its size or obstacle environment does not allow the application of performance class 1 requirements that would otherwise be required for operations in a congested hostile environment.

GM12 Annex I Definitions

TECHNICAL INSTRUCTIONS

The ICAO document number for the Technical Instructions is Doc 9284-AN/905.

GM13 Annex I Definitions

V₁

The first action includes for example: apply brakes, reduce thrust, deploy speed brakes.

GM14 Annex I Definitions

TASK SPECIALISTS

For the purpose of this Regulation, persons that are carried in a specialised operation, e.g. on a parachute flight, sensational flight or scientific research flight, are considered to be task specialists.

GM15 Annex I Definitions

UPSET PREVENTION AND RECOVERY TRAINING (UPRT) DEFINITIONS

‘Aeroplane upset prevention and recovery training (UPRT)’ refers to training consisting of:

- aeroplane upset prevention training: a combination of theoretical knowledge and flying training with the aim of providing flight crew with the required competencies to prevent aeroplane upsets; and
- aeroplane upset recovery training: a combination of theoretical knowledge and flying training with the aim of providing flight crew with the required competencies to recover from aeroplane upsets.

‘Aeroplane upset’ refers to an undesired aircraft state characterised by unintentional divergences from parameters normally experienced during operations. An aeroplane upset may involve pitch and/or bank angle divergences as well as inappropriate airspeeds for the conditions.

‘Angle of attack (AOA)’ means the angle between the oncoming air, or relative wind, and a defined reference line on the aeroplane or wing.

‘Approach-to-stall’ means flight conditions bordered by the stall warning and stall.

‘Competency’ means a combination of skills, knowledge, and attitudes required to perform a task to the prescribed standard.

‘Developed upset’ means a condition meeting the definition of an aeroplane upset.

‘Developing upset’ means any time the aeroplane begins to unintentionally diverge from the intended flight path or airspeed.

‘Energy state’ means how much of each kind of energy (kinetic, potential or chemical) the aeroplane has available at any given time.

‘Error’ means an action or inaction by the flight crew that leads to deviations from organisational or flight crew intentions or expectations.

‘Error management’ means the process of detecting and responding to errors with countermeasures

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that reduce or eliminate the consequences of errors, and mitigate the probability of further errors or undesired aircraft states.

‘First indication of a stall’ means the initial aural, tactile or visual sign of an impending stall, which can be either naturally or synthetically induced.

‘Flight crew resilience’ means the ability of a flight crew member to recognise, absorb and adapt to disruptions.

‘Fidelity level’ means the level of realism assigned to each of the defined FSTD features.

‘Flight path’ means the trajectory or path of the aeroplane travelling through the air over a given space of time.

‘Flight path management’ means active manipulation, using either the aeroplanes automation or manual handling, to command the aeroplane flight controls to direct the aeroplane along a desired trajectory.

‘FSTD Training Envelope’ refers to the high and moderate confidence regions of the FSTD validation envelope.

‘Load factor’ factor means the ratio of a specified load to the weight of the aeroplane, the former being expressed in terms of aerodynamic forces, propulsive forces, or ground reactions.

‘Loss of control in flight (LOCI)’ means a categorisation of an accident or incident resulting from a deviation from the intended flight path.

‘Manoeuvre-based training’ means training that focuses on a single event or manoeuvre in isolation.

‘Negative training’ means training which unintentionally introduces incorrect information or invalid concepts, which could actually decrease rather than increase safety.

‘Negative transfer of training’ means the application (and ‘transfer’) of what was learned in a training environment (i.e., a classroom, an FSTD) to normal practice, i.e. it describes the degree to which what was learned in training is applied to actual normal practices. In this context, negative transfer of training refers to the inappropriate generalisation of knowledge and skill to a situation or setting in normal practice that does not equal the training situation or setting.

‘Post-stall regime’ means flight conditions at an angle of attack greater than the critical angle of attack.

‘Scenario-based training’ means training that incorporates manoeuvres into real-world experiences to cultivate practical flying skills in an operational environment.

‘Stall’ means a loss of lift caused by exceeding the aeroplane’s critical angle of attack.

Note: A stalled condition can exist at any attitude and airspeed, and may be recognised by continuous stall warning activation accompanied by at least one of the following:

- (a) buffeting, which could be heavy at times;
- (b) lack of pitch authority and/or roll control; and
- (c) inability to arrest the descent rate.

‘Stall Event’ means an occurrence whereby the aeroplane experiences conditions associated with an approach-to-stall or a stall.

‘Stall (event) recovery procedure’ means the manufacturer-approved aeroplane-specific stall recovery procedure. If an OEM-approved recovery procedure does not exist, the aeroplane-specific stall recovery procedure developed by the operator, based on the stall recovery template contained in GM5 ORO.FC.220&230, may be used.

‘Stall warning’ means a natural or synthetic indication provided when approaching a stall that may

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include one or more of the following indications:

- (a) aerodynamic buffeting (some aeroplanes will buffet more than others);
- (b) reduced roll stability and aileron effectiveness;
- (c) visual or aural cues and warnings;
- (d) reduced elevator (pitch) authority;
- (e) inability to maintain altitude or arrest rate of descent; and
- (f) stick shaker activation (if installed).

Note: A stall warning indicates an immediate need to reduce the angle of attack.

‘Startle’ means the initial short-term, involuntary physiological and cognitive reactions to an unexpected event that commence the normal human stress response.

‘Stick pusher’ means a device that, automatically applies a nose down movement and pitch force to an aeroplane’s control columns, to attempt to decrease the aeroplane’s angle of attack. Device activation may occur before or after aerodynamic stall, depending on the aeroplane type.

Note: A stick pusher is not installed on all aeroplane types.

‘Stick shaker’ means a device that automatically vibrates the control column to warn the pilot of an approaching stall.

Note: A stick shaker is not installed on all aeroplane types.

‘Stress (response)’ means the response to a threatening event that includes physiological, psychological and cognitive effects. These effects may range from positive to negative and can either enhance or degrade performance.

‘Surprise’ means the emotionally-based recognition of a difference in what was expected and what is actual.

‘Threat’ means events or errors that occur beyond the influence of the flight crew, increase operational complexity and must be managed to maintain the margin of safety.

‘Threat management’ means the process of detecting and responding to threats with countermeasures that reduce or eliminate the consequences of threats and mitigate the probability of errors or undesired aircraft states.

‘Train-to-proficiency’ means approved training designed to achieve end-state performance objectives, providing sufficient assurances that the trained individual is capable to consistently carry out specific tasks safely and effectively.

Note: In the context of this definition, ‘train-to-proficiency’ can be replaced by ‘training-to-proficiency’.

‘Undesired aircraft state’ means flight crew-induced aircraft position or speed deviation, misapplication of controls, or incorrect systems configuration, associated with a reduction in margins of safety.

Note: Undesired states can be managed effectively, restoring margins of safety, or flight crew response(s) can induce an additional error, incident, or accident.

Note: All countermeasures are necessary flight crew actions. However, some countermeasures to threats, errors and undesired aircraft states that flight crew employ, build upon ‘hard’/systemic-based resources provided by the aviation system.

‘Unsafe situation’ means a situation, which has led to an unacceptable reduction in safety margin.

GM16 Annex I Definitions

MINOR FAILURE CONDITION

Minor failure conditions may include, for example, a slight reduction in safety margins or functional capabilities, a slight increase in crew workload, such as routine flight plan changes, or some physical discomfort to passengers or cabin crew. Further guidance can be found in AMC 25.1309.

Minor failure conditions are not considered to be unsafe conditions in accordance with AMC 21.A.3B(b).

GM17 Annex I Definitions

SIMPLE AND COMPLEX PERSONNEL-CARRYING DEVICE SYSTEM (PCDS)

- (a) The following may qualify as a simple PCDS:
 - (1) A safety harness or rescue triangle for no more than two persons.
 - (2) A fixed-rope system for no more than two persons, to be attached under a single cargo hook or Y-rope to be attached to a dual hook.
- (b) The following may not qualify as a simple PCDS:
 - (1) Any system that connects three persons or more to the helicopter.
 - (2) A PCDS with new or novel features.
 - (3) A PCDS that has not yet been proven by an appreciable and satisfactory service experience.
- (c) The connecting elements to the hoist or cargo hook are part of the PCDS.
- (d) The following standards may be used for a simple PCDS:

Table 1: Information on existing available standards applicable to a simple PCDS

| Regulation (EU) 2016/425 ¹ or Directive 89/686/EEC if validly marketed before 21 April 2019 | Personal protective equipment |
|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Directive 2006/42/EC ² | Machinery |
| EN 354 | Personal protective equipment for work positioning and prevention of falls from a height — lanyards |
| EN 355 | Personal protective equipment against falls from a height — energy absorbers |
| EN 358 | Personal protective equipment for work positioning and prevention of falls from a height — belts for work positioning and restraint and work positioning lanyards |
| EN 361 | Personal protective equipment against falls from a height — full body harnesses |
| EN 362 | Personal protective equipment against falls from a height — connectors |

¹ OJ L 81, 31.3.2016, p. 51.

² OJ L 157, 9.6.2006, p. 24.

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

| Regulation (EU) 2016/425 ¹ or Directive 89/686/EEC if validly marketed before 21 April 2019 | Personal protective equipment |
|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| EN 363 | Personal fall protection equipment — personal fall-protection systems |
| EN 364 | Personal protective equipment against falls from a height — test methods |
| EN 365 | Marking/packaging/instructions to use |
| EN 813 | Personal fall-protection equipment — sit harnesses |
| EN 1497 | Personal protective equipment against falls from a height — rescue harnesses |
| EN 1498 | Personal protective equipment against falls from a height — rescue loops |
| EN 1891 | Personal protective equipment for the prevention of falls from a height — low stretch kernmantle ropes |
| EN 12275 | Mountaineering equipment — connectors — safety requirements and test methods |
| EN 12277 | Mountaineering equipment — harnesses — safety requirements and test methods |

GM18 Annex I Definitions

DETERMINING THE PRINCIPAL PLACE OF BUSINESS

- (a) The principal place of business encompasses the principal financial functions and operational control of the activities of an operator. It may refer to the organisation's site from which the majority of its management personnel specified in **ORO.GEN.110** directs, controls or coordinates its operational activities, ensuring that the organisation complies with Regulation (EU) No 965/2012. For non-commercial operations, this is usually the home base of the aircraft concerned or the location of the flight department.
- (b) Since an operator, especially in the world of non-commercial operations, may use several places where it performs financial transactions, or several operational bases where there are personnel in charge of operational control, for the purpose of an effective oversight, it is relevant that the principal place of business be the one:
- (1) where the operator has registered its organisation with the local register and where it pays corporate tax;
 - (2) where its main building facilities are located;
 - (3) where main administrative and financial work is being done (where salaries and employment benefits are paid); and
 - (4) from where the organisation management directs, controls or coordinates a substantial part of its activities, ensuring that the organisation complies with the requirements specified in Regulation (EU) No 965/2012.
- (c) Organisations that perform also activities which are not subject to Part-ORO, Part-NCC or Part-SPO are recommended to consider that part of the organisation which is responsible for the operation of aircraft subject to Part-ORO, Part-NCC or Part-SPO.

For such organisations, the accountable manager is that manager who has the authority to ensure that all activities subject to Part-ORO, Part-NCC or Part-SPO can be financed and carried out in accordance with the applicable requirements. If the accountable manager is not located in the part of the organisation that is responsible for the operation of aircraft, but the other criteria mentioned in point (b) apply, the location of the accountable manager does not need to be considered for the determination of the principal place of business.

ANNEX II (PART-ARO)

SUBPART GEN: GENERAL REQUIREMENTS

SECTION I – GENERAL

AMC1 ARO.GEN.120(e) Means of compliance

DEMONSTRATION OF COMPLIANCE

In order to demonstrate that the implementing rules are met, a risk assessment should be completed and documented.

SECTION II – MANAGEMENT

AMC1 ARO.GEN.200(a) Management system

GENERAL

- (a) All of the following should be considered when deciding upon the required organisational structure:
- (1) the number of certificates, attestations, authorisations and approvals to be issued;
 - (2) the number of declared organisations;
 - (3) the number of certified or authorised persons and organisations exercising an activity within the UK;
 - (4) the possible use of qualified entities and of resources of other aviation authorities to fulfil the continuing oversight obligations;
 - (5) the level of civil aviation activity in terms of:
 - (i) number and complexity of aircraft operated;
 - (ii) size and complexity of the UK's aviation industry;
 - (6) the potential growth of activities in the field of civil aviation.
- (b) The set-up of the organisational structure should ensure that the various tasks and obligations of the CAA do not rely solely on individuals. A continuous and undisturbed fulfilment of these tasks and obligations of the CAA should also be guaranteed in case of illness, accident or leave of individual employees.

GM1 ARO.GEN.200(a) Management system

GENERAL

- (a) The CAA should be organised in such a way that:
- (1) there is specific and effective management authority in the conduct of all relevant activities;
 - (2) the functions and processes described in the applicable requirements of Regulation (EC) No 216/2008¹ and its Implementing Rules and AMCs, Certification Specifications (CSs) and Guidance Material (GM) may be properly implemented;
 - (3) the organisation and operating procedures for the implementation of the applicable requirements of Regulation (EC) No 216/2008 and its Implementing Rules are properly documented and applied;
 - (4) all personnel involved in the related activities are provided with training where necessary;

¹ Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC. OJ L 79, 19.3.2008, p. 1. Regulation as last amended by Commission Regulation (EU) No 6/2013 of 8 January 2013 (OJ L 4, 9.1.2013, p. 34).

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- (5) and
 - (6) all functions related to implementing the applicable requirements are adequately described.
 - (b) A general policy in respect of activities related to the applicable requirements of Regulation (EC) No 216/2008 and its Implementing Rules should be developed, promoted and implemented by the manager at the highest appropriate level; for example the manager at the top of the functional area of the CAA that is responsible for such activities.
 - (c) Appropriate steps should be taken to ensure that the policy is known and understood by all personnel involved, and all necessary steps should be taken to implement and maintain the policy.
 - (d) The general policy, whilst also satisfying additional national regulatory responsibilities, should in particular take into account:
 - (1) the provisions of Regulation (EC) No 216/2008;
 - (2) the provisions of the applicable Implementing Rules and their AMCs, CSs and GM;
 - (3) the needs of industry; and
 - (4) the needs of the CAA.
 - (e) The policy should define specific objectives for key elements of the organisation and processes for implementing related activities, including the corresponding control procedures and the measurement of the achieved standard.

AMC1 ARO.GEN.200(a)(1) Management system

DOCUMENTED POLICIES AND PROCEDURES

- (a) The various elements of the organisation involved with the activities related to Regulation (EC) No 216/2008 and its Implementing Rules should be documented in order to establish a reference source for the establishment and maintenance of this organisation.
- (b) The documented procedures should be established in a way that facilitates their use. They should be clearly identified, kept up-to-date and made readily available to all personnel involved in the related activities.
- (c) The documented procedures should cover, as a minimum, all of the following aspects:
 - (1) policy and objectives;
 - (2) organisational structure;
 - (3) responsibilities and associated authority;
 - (4) procedures and processes;
 - (5) internal and external interfaces;
 - (6) internal control procedures;
 - (7) training of personnel;
 - (8) cross-references to associated documents;
 - (9) assistance from other aviation authorities (where required).
- (d) It is likely that the information is held in more than one document or series of documents, and suitable cross-referencing should be provided. For example, organisational structure and job

descriptions are not usually in the same documentation as the detailed working procedures. In such cases, it is recommended that the documented procedures include an index of cross-references to all such other related information, and the related documentation should be readily available when required.

AMC1 ARO.GEN.200(a)(2) Management system

QUALIFICATION AND TRAINING — GENERAL

- (a) It is essential that the CAA has the full capability to adequately assess the continued competence of an organisation by ensuring that the whole range of activities is assessed by appropriately qualified personnel.
- (b) For each inspector, the CAA should:
 - (1) define the competencies required to perform the allocated certification and oversight tasks;
 - (2) define the associated minimum qualification requirements;
 - (3) establish initial and recurrent training programmes in order to maintain and to enhance inspector competency at the level necessary to perform the allocated tasks; and
 - (4) ensure that the training provided meets the established standards and is regularly reviewed and updated whenever necessary.
- (c) The CAA may provide training through its own training organisation with qualified trainers or through another qualified training source.
- (d) When training is not provided through an internal training organisation, adequately experienced and qualified persons may act as trainers, provided their training skills have been assessed. If required, an individual training plan should be established covering specific training skills. Records should be kept of such training and the assessment, as appropriate.

AMC2 ARO.GEN.200(a)(2) Management system

QUALIFICATION AND TRAINING — INSPECTORS

- (a) Initial training programme:

The initial training programme for inspectors should include, as appropriate to their role, current knowledge, experience and skills in at least all of the following:

 - (1) aviation legislation organisation and structure;
 - (2) the Chicago Convention, relevant ICAO annexes and documents;
 - (3) overview of Regulation (EC) No 216/2008, its implementing rules and the related AMC, CS, and GM;
 - (4) Regulation (EU) No 965/2012 as well as other applicable requirements;
 - (5) management systems, including the assessment of the effectiveness of a management system, in particular hazard identification and risk assessment, and non-punitive reporting techniques in the context of the implementation of a 'just culture';
 - (6) auditing techniques;
 - (7) CAA procedures relevant to the inspectors' tasks;
 - (8) human factors principles;

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- (9) rights and obligations of inspecting personnel of the CAA;
 - (10) 'on-the-job' training, relevant to the inspector's tasks;
 - (11) technical training, including training on aircraft-specific subjects, appropriate to the role and tasks of the inspector, in particular for those areas requiring approvals.
- (b) Recurrent training programme:
- Once qualified, the inspector should undergo training periodically as well as whenever deemed necessary by the CAA in order to remain competent to perform the allocated tasks. The recurrent training programme for inspectors should include, as appropriate to their role, at least the following topics:
- (1) changes in aviation legislation, operational environment and technologies;
 - (2) CAA procedures relevant to the inspector's tasks;
 - (3) technical training, including training on aircraft-specific subjects, appropriate to the role and tasks of the inspector; and
 - (4) results from past oversight.
- (c) An assessment of an inspector's competency should take place at regular intervals not exceeding three years.

AMC3 ARO.GEN.200(a)(2) Management system

QUALIFICATION AND TRAINING — CREW RESOURCE MANAGEMENT (CRM)

For the oversight of the operator's CRM training, the inspectors of the CAA should be qualified and trained as follows:

- (a) Qualification
- To fulfil the qualification provisions, inspectors should:
- (1) have adequate knowledge of the relevant flight operations;
 - (2) have adequate knowledge of human performance and limitations (HPL);
 - (3) have completed initial CRM training;
 - (4) have received additional training in the fields of group management, group dynamics and personal awareness; and
 - (5) have experience in the assessment of the effectiveness of training programmes and management systems.
- (b) Training
- The training of inspectors should be both theoretical and practical, and should include:
- (1) in-depth knowledge of the CRM training elements as laid down in Part-ORO; and
 - (2) specific skills for the oversight of the operator's CRM training including the assessment of non-technical skills using proper techniques and methodologies.

AMC4 ARO.GEN.200(a)(2) Management system

INSPECTOR QUALIFICATION FOR CAT OPERATIONS

- (a) For CAT operations of aircraft with a MOPSC of more than 19 seats or with a MCTOM of more than 45 360 kg, an inspector who performs initial certification or oversight tasks relating to:
 - (1) the flight crew operating procedures contained in Part B (e.g. Chapters B-2, B-3, and B-9) of the Operations Manual (OM), or
 - (2) the aircraft/FSTD part of the flight crew training syllabi and checking programmes contained in Part D of the OM,
 should have the following qualifications:
 - (i) operational experience in air transport operations appropriate to the allocated tasks;
 - (ii) experience in either operational management within an air transport operation; or as an examiner; or as an instructor; and
 - (iii) hold or have held a valid type rating on the aircraft type concerned; or a class rating as appropriate; or a rating on aircraft types/classes with similar technical and operational characteristics.
- (b) For CAT operations with a MOPSC of 19 seats or less, the CAA should establish the inspector qualifications required to perform the allocated initial certification and oversight tasks. The assigned inspector should undergo theoretical training on aircraft systems and operations.
- (c) For in-flight inspections of CAT operations, the inspector should have relevant knowledge of the route and area.

AMC5 ARO.GEN.200(a)(2) Management system

FATIGUE RISK MANAGEMENT INSPECTOR TRAINING

An inspector involved in the approval process of operator's flight time specification schemes and fatigue risk management (FRM) should receive the following training:

- (a) Initial training
 - (1) Theory and effects of fatigue
 - (2) Human factors related to fatigue
 - (3) Typical hazards and risks related to fatigue, their possible mitigation measures, and the maturity of hazard identification models (reactive, proactive and predictive)
 - (4) FRM training and promotion methodologies and how to support ongoing development of FRM
 - (5) Data collection and analysis methods related to FRM
 - (6) Integration of FRM into the Management System
 - (7) Fatigue management documentation, implementation and assurance methodologies
 - (8) Regulatory framework and current best practices

- (9) Auditing and assessment of the effectiveness of an operator's FRM
- (b) Recurrent training (at least every 3 years)
 - (1) Review of FRM implementation issues
 - (2) Recent incidents related to fatigue
 - (3) New FRM developments
 - (4) Review of changes in legislation, and best practices.

GM1 ARO.GEN.200(a)(2) Management System

SUFFICIENT PERSONNEL

- (a) This GM on the determination of the required personnel is limited to the performance of certification, authorisation and oversight tasks, excluding personnel required to perform tasks subject to any national regulatory requirements.
- (b) The elements to be considered when determining required personnel and planning their availability may be divided into quantitative and qualitative elements:
 - (1) Quantitative elements:
 - (i) the estimated number of initial certificates to be issued;
 - (ii) the number of organisations certified by the CAA;
 - (iii) the number of persons to whom the CAA has issued a licence, certificate, rating, authorisation or attestation;
 - (iv) the estimated number of persons and organisations, as well as the estimated number of subcontracted organisations used by those persons and organisations, exercising their activity within the UK;
 - (v) the number of organisations having declared their activity to the CAA;
 - (vi) the number of organisations holding a specialised operations authorisation issued by the CAA.
 - (2) Qualitative elements:
 - (i) the size, nature and complexity of activities of certified, authorised and declared organisations (cf. **AMC1 ORO.GEN.200(b)**), taking into account:
 - (A) privileges of the organisation;
 - (B) type of approval, scope of approval, multiple certification, authorisation and declared activities;
 - (C) possible certification to industry standards;
 - (D) types of aircraft/flight simulation training devices (FSTDs) operated;
 - (E) number of personnel; and
 - (F) organisational structure, existence of subsidiaries;
 - (ii) the safety priorities identified;

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- (iii) the results of past oversight activities, including audits, inspections and reviews, in terms of risks and regulatory compliance, taking into account:
 - (A) number and level of findings;
 - (B) timeframe for implementation of corrective actions; and
 - (C) maturity of management systems implemented by organisations and their ability to effectively manage safety risks, taking into account also information provided by other aviation authorities related to activities in the territory of the States concerned; and
 - (iv) the size and complexity of the UK's aviation industry and the potential growth of activities in the field of civil aviation, which may be an indication of the number of new applications and changes to existing certificates and authorisations to be expected.
 - (c) Based on existing data from previous oversight planning cycles and taking into account the situation within the UK's aviation industry, the CAA may estimate:
 - (1) the standard working time required for processing applications for new certificates (for persons and organisations) and authorisations;
 - (2) the number of new declarations or changed declarations;
 - (3) the number of new certificates and authorisations to be issued for each planning period; and
 - (4) the number of changes to existing certificates and authorisations to be processed for each planning period.
 - (d) In line with the CAA's oversight policy, the following planning data should be determined specifically for each type of organisation certified by the CAA as well as for declared organisations, including those being authorised:
 - (1) standard number of audits to be performed per oversight planning cycle;
 - (2) standard duration of each audit;
 - (3) standard working time for audit preparation, on-site audit, reporting and follow-up, per inspector;
 - (4) standard number of ramp and unannounced inspections to be performed;
 - (5) standard duration of inspections, including preparation, reporting and follow-up, per inspector;
 - (6) minimum number and required qualification of inspectors for each audit/inspection.
 - (e) Standard working time could be expressed either in working hours per inspector or in working days per inspector. All planning calculations should then be based on the same unit (hours or working days).
 - (f) It is recommended to use a spreadsheet application to process data defined under (c) and (d), to assist in determining the total number of working hours/days per oversight planning cycle required for certification, authorisation, oversight and enforcement activities. This application could also serve as a basis for implementing a system for planning the availability of personnel.
 - (g) For each type of organisation certified or high risk commercial specialised operation authorised by the CAA, the number of working hours/days per planning period for each

qualified inspector that may be allocated for certification, authorisation, oversight and enforcement activities should be determined, taking into account:

- (1) purely administrative tasks not directly related to oversight and certification/authorisation;
 - (2) training;
 - (3) participation in other projects;
 - (4) planned absence; and
 - (5) the need to include a reserve for unplanned tasks or unforeseeable events.
- (h) The determination of working time available for certification, authorisation, oversight and enforcement activities should also consider:
- (1) the possible use of qualified entities; and
 - (2) possible cooperation with other aviation authorities for approvals or authorisations involving more than one State.
- (i) Based on the elements listed above, the CAA should be able to:
- (1) monitor dates when audits and inspections are due and when they have been carried out;
 - (2) implement a system to plan the availability of personnel; and
 - (3) identify possible gaps between the number and qualification of personnel and the required volume of certification/authorisation and oversight.

Care should be taken to keep planning data up-to-date in line with changes in the underlying planning assumptions, with particular focus on risk-based oversight principles.

GM2 ARO.GEN.200(a)(2) Management system

INSPECTOR COMPETENCY

- (a) Competency is a combination of individual skills, practical and theoretical knowledge, attitude, training, and experience.
- (b) An inspector should, by his/her qualifications and competencies, command the professional respect of the inspected personnel.

GM3 ARO.GEN.200(a)(2) Management system

SPECIFIC FLIGHT OPERATIONS INSPECTOR QUALIFICATION

- (a) The following characteristics should be considered in order to establish aircraft types/classes with similar technical and operational characteristics:
 - (1) Engine technology;
 - (2) Certification basis;
 - (3) Level of automation;
 - (4) Flight controls logic (e.g. fly-by-wire, conventional, etc.); and

- (5) Size and mass of the aircraft (e.g. maximum take-off mass, wake turbulence category, etc.).
- (b) The following factors should be considered with regard to knowledge of the route and area:
 - (1) Climatological conditions, e.g. exceptionally cold weather;
 - (2) Availability of adequate aerodromes and their specific features, e.g. high elevation, poor English/communication capability, exceptional approach procedures;
 - (3) Navigational procedures, including PBN requirements, ETOPS and extended diversion time requirements;
 - (4) Communication procedures, including required communication performance, any specific and contingency procedures, e.g. loss of communication, drift down, oxygen escape; and
 - (5) Equipment requirements related to search and rescue, e.g. polar, desert operations, oceanic, remote areas.

GM4 ARO.GEN.200(a)(2) Management system

INSPECTOR TRAINING PROGRAMMES

- (a) The CAA may adapt the duration and depth of the individual training programme of an inspector, provided the required competencies are achieved and maintained.
- (b) The following documents, as appropriate to the role of the inspector, are relevant for the initial training programme for inspectors referred to in **AMC2 ARO.GEN.200(a)(2)**:
 - (1) The Chicago Convention and relevant ICAO annexes and documents
 - (2) Regulation (EU) No 376/2014 (Occurrences in civil aviation)
 - (3) Regulation (EC) No 216/2008, and related implementing rules such as:
 - (i) Regulation (EU) No 1178/2011 (Air Crew Regulation);
 - (ii) Regulation (EU) No 1332/2011;(Part-AUR);
 - (iii) Regulation (EU) No 923/2012 (Part-SERA);
 - (iv) Regulation (EU) No 748/2012 (OSD); and
 - (v) Regulation (EU) No 1321/2014 (Part-M, Part-145).
- (c) The duration of the on-the-job training should take into account the scope and complexity of the inspector's tasks. The CAA should assess whether the required competence has been achieved before an inspector is authorised to perform a task without supervision.

GM5 ARO.GEN.200(a)(2) Management system

FATIGUE RISK MANAGEMENT INSPECTOR TRAINING

'Theory and effects of fatigue' refers to:

- (a) sleep;
- (b) circadian rhythm;
- (c) adaptation (acclimatisation) after time-jet zone crossing (westbound and eastbound) and jet lag;

- (d) shift work;
- (e) bio-mathematical fatigue models; and
- (f) measurement of fatigue.

GM6 ARO.GEN.200(a)(2) Management system

FATIGUE RISK MANAGEMENT INSPECTOR TRAINING

Guidance on training for inspectors on fatigue risk management is contained in ICAO Doc 9966 (Manual for the Oversight of Fatigue Management Approaches).

GM7 ARO.GEN.200(a)(2) Management system

INSPECTOR EXPERIENCE IN EITHER OPERATIONAL MANAGEMENT WITHIN AN AIR TRANSPORT OPERATION OR AS AN INSTRUCTOR OR AS AN EXAMINER

The inspector assigned to certification and oversight tasks should have sufficient experience in roles that enable a thorough understanding of the operational processes.

- (a) Experience in operational management refers to previous appointments in functions of organisational relevance, such as in any of the areas below:
 - (1) flight operations and operational control;
 - (2) flight crew training; and
 - (3) management system.

Such appointments should not be limited to senior management functions such as nominated persons in accordance with point (b) of **ORO.GEN.210**. It is important that the inspector assigned to certification and oversight tasks in accordance with **AMC4 ARO.GEN.200(a)(2)** have sufficient experience which enables a thorough understanding of the operational processes within air transport operations.

- (b) In the context of the approval and oversight of aircraft specific flight crew training and checking, the inspector should have experience as an instructor.

AMC1 ARO.GEN.220(a) Record-keeping

GENERAL

- (a) The record-keeping system should ensure that all records are accessible whenever needed within a reasonable time. These records should be organised in a way that ensures traceability and retrievability throughout the required retention period.
- (b) Records should be kept in paper form or in electronic format or a combination of both media. Records stored on microfilm or optical disc form are also acceptable. The records should remain legible and accessible throughout the required retention period. The retention period starts when the record has been created.
- (c) Paper systems should use robust material, which can withstand normal handling and filing. Computer systems should have at least one backup system, which should be updated within 24 hours of any new entry. Computer systems should include safeguards against unauthorised alteration of data.
- (d) All computer hardware used to ensure data backup should be stored in a different location from that containing the working data and in an environment that ensures they remain in good condition. When hardware or software changes take place, special care should be taken that all necessary data continue to be accessible at least through the full period specified in the relevant Subpart or by default in **ARO.GEN.220(c)**.

AMC1 ARO.GEN.220(a)(1);(2);(3) Record-keeping

CAA MANAGEMENT SYSTEM

Records related to the CAA's management system should include, as a minimum and as applicable:

- (a) the documented policies and procedures;
- (b) the personnel files of CAA personnel, with supporting documents related to training and qualifications;
- (c) the results of the CAA's internal audit and safety risk management processes, including audit findings and corrective actions; and
- (d) the contract(s) established with qualified entities performing certification, authorisation or oversight tasks on behalf of the CAA.

AMC1 ARO.GEN.220(a)(4);(4a) Record-keeping

ORGANISATIONS

Records related to an organisation certified or operations authorised by or having declared its activity to the CAA should include, as appropriate to the type of organisation:

- (a) the application for an organisation approval, a specialised operation authorisation or the declaration received;
- (b) the documentation based on which the approval or authorisation has been granted and any amendments to that documentation;
- (c) the organisation approval certificate or specialised operation authorisation, including any changes;
- (d) a copy of the continuing oversight programme listing the dates when audits are due and when

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- such audits were carried out;
- (e) continuing oversight records, including all audit and inspection records;
 - (f) copies of all relevant correspondence;
 - (g) details of any exemption and enforcement actions;
 - (h) any report from other aviation authorities relating to the oversight of the organisation; and
 - (i) a copy of any other document approved by the CAA.

GM1 ARO.GEN.220(a)(4) Record-keeping

ORGANISATIONS — DOCUMENTATION

Documentation to be kept as records in support of the approval includes the management system documentation, including any technical manuals, such as the operations manual, and training manual, that have been submitted with the initial application, and any amendments to these documents.

GM1 ARO.GEN.220(a)(4a) Record-keeping

AUTHORISATION HOLDERS — DOCUMENTATION

Documentation to be kept as records in support of the authorisation of a high risk commercial specialised operation include the risk assessment documentation and related standard operating procedures (SOP), as well as a description of the management system of the proposed operation and a statement that all the documentation sent to the competent authority has been verified by the operator and found in compliance with the applicable requirements. Any amendments to these documents should be documented.

GM1 ARO.GEN.220 Record-keeping

GENERAL

Records are required to document results achieved or to provide evidence of activities performed. Records become factual when recorded. Therefore, they are not subject to version control. Even when a new record is produced covering the same issue, the previous record remains valid.

SECTION III – OVERSIGHT, CERTIFICATION AND ENFORCEMENT

AMC1 ARO.GEN.300(a);(b);(c) Oversight

GENERAL

The CAA should assess the organisation and monitor its continued competence to conduct safe operations in compliance with the applicable requirements. The CAA should ensure that accountability for assessing organisations is clearly defined. This accountability may be delegated or shared, in whole or in part. Where more than one aviation authority is involved, a responsible person should be appointed under whose personal authority organisations are assessed.

AMC2 ARO.GEN.300(a);(b);(c) Oversight

EVALUATION OF OPERATIONAL SAFETY RISK ASSESSMENT

As part of the initial certification or the continuing oversight of an operator, the CAA should normally evaluate the operator's safety risk assessment processes related to hazards identified by the operator as having an interface with its operations. These safety risk assessments should be identifiable processes of the operator's management system.

As part of its continuing oversight, the CAA should also remain satisfied as to the effectiveness of these safety risk assessments.

(a) General methodology for operational hazards

The CAA should establish a methodology for evaluating the safety risk assessment processes of the operator's management system.

When related to operational hazards, the CAA's evaluation under its normal oversight process should be considered satisfactory if the operator demonstrates its competence and capability to:

- (1) understand the hazards and their consequences on its operations;
- (2) be clear on where these hazards may exceed acceptable safety risk limits;
- (3) identify and implement mitigations, including suspension of operations where mitigation cannot reduce the risk to within safety risk limits;
- (4) develop and execute effectively robust procedures for the preparation and the safe operation of the flights subject to the hazards identified;
- (5) assess the competence and currency of its staff in relation to the duties necessary for the intended operations and implement any necessary training; and
- (6) ensure sufficient numbers of qualified and competent staff for such duties.

The CAA should take into account that:

- (1) the operator's recorded mitigations for each unacceptable risk identified are in place;
- (2) the operational procedures specified by the operator with the most significance to safety appear to be robust; and
- (3) the staff on which the operator depends in respect of those duties necessary for the intended operations are trained and assessed as competent in the relevant procedures.

EVALUATION OF OPERATORS' VOLCANIC ASH SAFETY RISK ASSESSMENT

In addition to the general methodology for operational hazards, the CAA's evaluation under its normal oversight process should also assess the operator's competence and capability to:

- (a) choose the correct information sources to use to interpret the information related to volcanic ash contamination forecast and to resolve correctly any conflicts among such sources; and
- (b) take account of all information from its type certificate holders (TCHs) concerning volcanic ash-related airworthiness aspects of the aircraft it operates, and the related pre-flight, in-flight and post flight precautions to be observed.

GM1 ARO.GEN.300(a);(b);(c) Oversight

GENERAL

- (a) Responsibility for the conduct of safe operations lies with the organisation. Under these provisions a positive move is made towards devolving upon the organisation a share of the responsibility for monitoring the safety of operations. The objective cannot be attained unless organisations are prepared to accept the implications of this policy, including that of committing the necessary resources to its implementation. Crucial to the success of the policy is the content of Part-ORO, which requires the establishment of a management system by the organisation.
- (b) The CAA should continue to assess the organisation's compliance with the applicable requirements, including the effectiveness of the management system. If the management system is judged to have failed in its effectiveness, then this in itself is a breach of the requirements which may, among others, call into question the validity of a certificate, if applicable.
- (c) The accountable manager is accountable to the CAA as well as to those who may appoint him/her. It follows that the CAA cannot accept a situation in which the accountable manager is denied sufficient funds, manpower or influence to rectify deficiencies identified by the management system.
- (d) Oversight of the organisation includes a review and assessment of the qualifications of nominated persons.

GM2 ARO.GEN.300(a);(b);(c) Oversight

VOLCANIC ASH SAFETY RISK ASSESSMENT — ADDITIONAL GUIDANCE

Further guidance on the assessment of an operator's volcanic ash safety risk assessment is given in ICAO Doc 9974 (Flight safety and volcanic ash — Risk management of flight operations with known or forecast volcanic ash contamination).

GM3 ARO.GEN.300(a);(b);(c) Oversight

CHECKLIST FOR CRM TRAINING OVERSIGHT

The following list includes the major elements for the monitoring of the operator's CRM training:

- (a) development of CRM training considering the operator's management system;
- (b) content of the CRM training syllabus;
- (c) qualification of CRM trainer;
- (d) training facilities:
 - (1) classroom;

-
- (2) flight simulation training device (FSTD);
 - (3) aircraft; and
 - (4) cabin training device;
 - (e) training methods:
 - (1) classroom training (instructions, presentations and behavioural exercises);
 - (2) computer-based training (CBT);
 - (3) line-oriented flight training (LOFT); and
 - (4) check or test;
 - (f) training analysis:
 - (1) pre-course reading and study;
 - (2) integration of the different training methods;
 - (3) competence and performance of the trainer or instructor;
 - (4) assessment of flight crew members; and
 - (5) effectiveness of training.

GM4 ARO.GEN.300(a);(b);(c) Oversight

OVERSIGHT OF AN OPERATOR CONVERSION COURSE (OCC) FOR MULTI-CREW PILOT LICENCE (MPL) HOLDERS

As part of the initial certification or the continuing oversight of an operator, the CAA should include the assessment of the OCC provided to MPL holders, who undertake their first conversion course on a new type or at an operator other than the one that was involved in their training for the MPL.

The assessment of the OCC should evaluate whether the operator, in the process of development of the OCC, took the following aspects into account:

- the time elapsed after completion of the initial training, between base training and hiring, and the Line Flying Under Supervision (LIFUS);
- the necessary feedback loop between the Approved Training Organisation (ATO) and the operator involved in the licence training.

AMC1 ARO.GEN.300(a)(2) Oversight

OPERATIONAL APPROVALS ISSUED BY NON-UK STATE OF REGISTRY

When verifying continued compliance of non-commercial operators using an aircraft registered in a third country holding operational approvals for operations in PBN, MNPS and RVSM airspace issued by a non-UK State of Registry, the CAA should at least assess if:

- (a) the State of registry has established an equivalent level of safety, considering any differences notified to the ICAO Standards for RVSM, RNP, MNPS and MEL; or
- (b) there are reservations on the safety oversight capabilities and records of the State of registry; or
- (c) operators of the State of registry are subject to an operating ban pursuant Regulation (EC) No 2111/2005; or

- (d) relevant findings on the State of registry from audits carried out under international conventions exist; or
- (e) relevant findings on the State of registry from other safety assessment programmes of States exist.

AMC1 ARO.GEN.305(b);(d);(d1) Oversight programme

SPECIFIC NATURE AND COMPLEXITY OF THE ORGANISATION, RESULTS OF PAST OVERSIGHT

- (a) When determining the oversight programme for an organisation, the CAA should consider in particular the following elements, as applicable:
 - (1) the implementation by the organisation of industry standards, directly relevant to the organisation's activity subject to this Regulation;
 - (2) the procedure applied for and scope of changes not requiring prior approval;
 - (3) specific approvals held by the organisation;
 - (4) specific procedures implemented by the organisation related to any alternative means of compliance used; and
 - (5) number of subcontractors.
- (b) For the purpose of assessing the complexity of an organisation's management system, **AMC1 ORO.GEN.200(b)** should be used.
- (c) Regarding results of past oversight, the CAA should also take into account relevant results of ramp inspections of organisations it has certified or authorised, persons and other organisation having declared their activity or persons performing operations with other-than-complex motor-powered aircraft that were performed in other States in accordance with ARO.RAMP.

AMC2 ARO.GEN.305(b) Oversight programme

PROCEDURES FOR OVERSIGHT OF OPERATIONS

- (a) Each organisation to which a certificate has been issued should have an inspector specifically assigned to it. Several inspectors should be required for the larger companies with widespread or varied types of operation. This does not prevent a single inspector being assigned to several companies. Where more than one inspector is assigned to an organisation, one of them should be nominated as having overall responsibility for supervision of, and liaison with, the organisation's management, and be responsible for reporting on compliance with the requirements for its operations as a whole.
- (b) Audits and inspections, on a scale and frequency appropriate to the operation, should cover at least:
 - (1) infrastructure,
 - (2) manuals,
 - (3) training,
 - (4) crew records,
 - (5) equipment,
 - (6) release of flight/dispatch,

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- (7) dangerous goods,
 - (8) organisation's management system.
 - (c) The following types of inspections should be included, as part of the oversight programme:
 - (1) flight inspection,
 - (2) ground inspection (e.g. documents and records),
 - (3) training inspection (e.g. ground, aircraft/FSTD),
 - (4) ramp inspection.

The inspection should be a 'deep cut' through the items selected, and all findings should be recorded. Inspectors should review the root cause(s) identified by the organisation for each confirmed finding.

The CAA should be satisfied that the root cause(s) identified and the corrective actions taken are adequate to correct the non-compliance and to prevent re-occurrence.

- (d) Audits and inspections may be conducted separately or in combination. Audits and inspections may, at the discretion of the CAA, be conducted with or without prior notice to the organisation.
- (e) Where it is apparent to an inspector that an organisation has permitted a breach of the applicable requirements, with the result that air safety has, or might have, been compromised, the inspector should ensure that the responsible person within the CAA is informed without delay.
- (f) In the first few months of a new operation, inspectors should carry out oversight activities with a particular focus on the operator's procedures, facilities, equipment, operational control and management system. They should also carefully examine any conditions that may indicate a significant deterioration in the organisation's financial management. When any financial difficulties are identified, inspectors should increase technical surveillance of the operation with particular emphasis on the upholding of safety standards.
- (g) The number or the magnitude of the non-compliances identified by the CAA will serve to support the CAA's continuing confidence in the organisation's competence or, alternatively, may lead to an erosion of that confidence. In the latter case, the CAA should review any identifiable shortcomings of the management system.

GM1 ARO.GEN.305(b) Oversight programme

FINANCIAL MANAGEMENT

Examples of trends that may indicate problems in a new organisation's financial management are:

- (a) significant lay-offs or turnover of personnel;
- (b) delays in meeting payroll;
- (c) reduction of safe operating standards;
- (d) decreasing standards of training;
- (e) withdrawal of credit by suppliers;
- (f) inadequate maintenance of aircraft;
- (g) shortage of supplies and spare parts;
- (h) curtailment or reduced frequency of revenue flights; and
- (i) sale or repossession of aircraft or other major equipment items.

GM1 ARO.GEN.305(b);(c);(d);(d1) Oversight programme

STORAGE PERIODS OF RECORDS

If the organisation's oversight cycle has been extended, the minimum storage periods for records should be aligned with the extended oversight cycle to ensure that the CAA has access to all relevant records.

AMC1 ARO.GEN.305(b)(1) Oversight programme

AUDIT

- (a) The oversight programme should indicate which aspects of the approval will be covered with each audit.
- (b) Part of an audit should concentrate on the organisation's compliance monitoring reports produced by the compliance monitoring personnel to determine if the organisation is identifying and correcting its problems.
- (c) At the conclusion of the audit, an audit report should be completed by the auditing inspector, including all findings raised.

AMC2 ARO.GEN.305(b)(1) Oversight programme

RAMP INSPECTIONS

- (a) When conducting a ramp inspection of aircraft used by organisations under its regulatory oversight, the CAA should, as far as possible, comply with the requirements defined in ARO.RAMP.
- (b) When conducting ramp inspections on other-than-suspected aircraft, the CAA should take into account the following elements:
 - (1) repeated inspections should be avoided of those organisations for which previous inspections have not revealed safety deficiencies;
 - (2) the oversight programme should enable the widest possible sampling rate of aircraft flying into their territory; and
 - (3) there should be no discrimination on the basis of the organisation's nationality, the type of operation or type of aircraft, unless such criteria can be linked to an increased risk.
- (c) For aircraft other than those used by organisations under its regulatory oversight, when conducting a risk assessment, the CAA should consider aircraft that have not been ramp inspected for more than 6 months.

AMC1 ARO.GEN.305(b);(c);(d);(d1) Oversight programme

INDUSTRY STANDARDS

- (a) For organisations having demonstrated compliance with industry standards, the CAA may adapt its oversight programme, in order to avoid duplication of specific audit items.
- (b) Demonstrated compliance with industry standards should not be considered in isolation from the other elements to be considered for the CAA's risk-based oversight.
- (c) In order to be able to credit any audits performed as part of certification in accordance with

industry standards, the following should be considered:

- (1) the demonstration of compliance is based on certification auditing schemes providing for independent and systematic verification;
- (2) the existence of an accreditation scheme and accreditation body for certification in accordance with the industry standards has been verified;
- (3) certification audits are relevant to the requirements defined in Annex III (Part-ORO) and other Annexes to this Regulation as applicable;
- (4) the scope of such certification audits can easily be mapped against the scope of oversight in accordance with Annex III (Part-ORO);
- (5) audit results are accessible to the CAA and open to exchange of information in accordance with Article 15(1) of Regulation (EC) No 216/2008; and
- (6) the audit planning intervals of certification audits i.a.w. industry standards are compatible with the oversight planning cycle.

AMC1 ARO.GEN.305(c) Oversight programme

OVERSIGHT PLANNING CYCLE

- (a) When determining the oversight planning cycle and defining the oversight programme, the CAA should assess the risks related to the activity of each organisation and adapt the oversight to the level of risk identified and to the organisation's ability to effectively manage safety risks.
- (b) The CAA should establish a schedule of audits and inspections appropriate to each organisation's business. The planning of audits and inspections should take into account the results of the hazard identification and risk assessment conducted and maintained by the organisation as part of the organisation's management system. Inspectors should work in accordance with the schedule provided to them.
- (c) When the CAA, having regard to an organisation's safety performance, varies the frequency of an audit or inspection, it should ensure that all aspects of the operation are audited and inspected within the applicable oversight planning cycle.
- (d) The section(s) of the oversight programme dealing with ramp inspections should be developed based on geographical locations, taking into account aerodrome activity, and focusing on key issues that can be inspected in the time available without unnecessarily delaying the operations.

AMC2 ARO.GEN.305(c) Oversight programme

OVERSIGHT PLANNING CYCLE

- (a) For each organisation certified by the CAA all processes should be completely audited at periods not exceeding the applicable oversight planning cycle. The beginning of the first oversight planning cycle is normally determined by the date of issue of the first certificate. If the CAA wishes to align the oversight planning cycle with the calendar year, it should shorten the first oversight planning cycle accordingly.
- (b) The interval between two audits for a particular process should not exceed the interval of the applicable oversight planning cycle.
- (c) Audits should include at least one on-site audit within each oversight planning cycle. For organisations exercising their regular activity at more than one site, the determination of the sites to be audited should consider the results of past oversight, the volume of activity at each

site, as well as main risk areas identified.

- (d) For organisations holding more than one certificate, the CAA may define an integrated oversight schedule to include all applicable audit items. In order to avoid duplication of audits, credit may be granted for specific audit items already completed during the current oversight planning cycle, subject to four conditions:
- (1) the specific audit item should be the same for all certificates under consideration;
 - (2) there should be satisfactory evidence on record that such specific audit items were carried out and that all corrective actions have been implemented to the satisfaction of the CAA;
 - (3) the CAA should be satisfied that there is no evidence that standards have deteriorated in respect of those specific audit items being granted a credit;
 - (4) the interval between two audits for the specific item being granted a credit should not exceed the applicable oversight planning cycle.

AMC1 ARO.GEN.305(d) Oversight programme

OVERSIGHT DECLARED ORGANISATIONS

- (a) When determining the oversight programme of organisations having declared their activity, the CAA should make a selection of operators to be inspected/audited based on the elements specified in **ARO.GEN.305(d)**.
- (b) For each selected operator an inspection is a sample inspection of the pre-defined inspection criteria on the basis of key risk elements and the applicable requirements.
- (c) The results of past oversight activities should include information from approval activities, e.g. SPA or from other survey programmes such as ACAM.
- (d) The oversight programme should also include a certain percentage of unannounced inspections.
- (e) The oversight programme should be developed on a yearly basis. All operators should be considered for inclusion into the programme not later than 12 months after the date of the first declaration received. At least one inspection should be performed within each 48-month cycle starting with the date of the first declaration received.
- (f) Additional audit/inspections to specific operators may be included in the oversight programme on the basis of the assessment of associated risks carried out within the occurrences reporting scheme(s).

AMC1 ARO.GEN.305(d1) Oversight programme

OVERSIGHT OF AUTHORISATION HOLDERS

- (a) When determining the oversight programme of high risk commercial specialised operators holding an authorisation specialised operations authorisation holders, the CAA should assess the risks related to the type of activity carried out by each organisation and adapt the oversight to the level of risk identified and to the organisation's ability to effectively manage safety risks.
- (b) An oversight cycle not exceeding 24 months should be applied. The oversight planning cycle may be extended to a maximum of 48 months if the CAA has established that during the previous 24 months the organisation has been able to effectively manage safety risks.
- (c) The CAA should establish a schedule of audits and/or inspections, including unannounced inspections, appropriate to each organisation's business. The planning of audits and inspections

should take into account the results of the hazard identification and risk assessment conducted and maintained by the organisation as part of the organisation's management system. Inspectors should work in accordance with the schedule provided to them.

- (d) If the specialised operations authorisation is time limited, the CAA should adapt the schedule of audits and inspections to the duration of the specialised operation authorisation. Audits or inspections may not be necessary if an authorisation is issued for a single flight or event.
- (e) When scheduling audits and inspections, the CAA should also take into account the activity conducted by authorised organisations in other States. In this case the competent authority should coordinate the audit and inspection schedule with the authority of the State in which territory the activity is taking place.
- (f) Additional audits or inspections to specific operators may be included in the oversight programme on the basis of the assessment of associated risks carried out within the occurrences reporting scheme(s).

GM1 ARO.GEN.305(d1) Oversight programme

OVERSIGHT OF AUTHORISATION HOLDERS

Past and current authorisation process refers to relevant results of past and current authorisation and oversight activities.

AMC1 ARO.GEN.305(e) Oversight programme

PERSONS HOLDING A LICENCE, CERTIFICATE, RATING OR ATTESTATION

The oversight of persons holding a licence, certificate, rating or attestation should normally be ensured as part of the oversight of organisations. Additionally, the CAA should verify compliance with applicable requirements when endorsing or renewing ratings.

To properly discharge its oversight responsibilities, the CAA should perform a certain number of unannounced verifications.

AMC1 ARO.GEN.310(a) Initial certification procedure – organisations

VERIFICATION OF COMPLIANCE

- (a) Upon receipt of an application for an air operator certificate (AOC), the CAA should:
 - (1) assess the management system and processes, including the operator's organisation and operational control system;
 - (2) review the operations manual and any other documentation provided by the organisation; and
 - (3) for the purpose of verifying the organisation's compliance with the applicable requirements, conduct an audit at the organisation's facilities. The CAA should require the conduct of one or more demonstration flights operated as if they were commercial flights, or an in-flight inspection should be conducted at the earliest opportunity.
- (b) The CAA should ensure that the following steps are taken:
 - (1) The organisation's written application for an AOC should be submitted at least 90 days before the date of intended operation, except that the operations manual may be

submitted later, but not less than 60 days before the date of intended operation. The application form should be printed in language(s) of the CAA's choosing.

- (2) An individual should be nominated by the responsible person of the CAA to oversee, to become the focal point for all aspects of the organisation certification process and to coordinate all necessary activity. The nominated person should be responsible to the responsible person of the CAA for confirming that all appropriate audits and inspections have been carried out. He/she should also ensure that the necessary specific or prior approvals required by (b)(3) are issued in due course. Of particular importance on initial application is a careful review of the qualifications of the organisations' nominated persons. Account should be taken of the relevance of the nominee's previous experience and known record.
- (3) Submissions that require the CAA's specific or prior approval should be referred to the appropriate department of the CAA. Submissions should include, where relevant, the associated qualification requirements and training programmes.
- (c) The ability of the applicant to secure, in compliance with the applicable requirements and the safe operation of aircraft, all necessary training and, where required, licensing of personnel, should be assessed. This assessment should also include the areas of responsibility and the numbers of those allocated by the applicant to key management tasks.
- (d) In order to verify the organisation's compliance with the applicable requirements, the CAA should conduct an audit of the organisation, including interviews of personnel and inspections carried out at the organisation's facilities.

The CAA should only conduct such an audit after being satisfied that the application shows compliance with the applicable requirements.

- (e) The audit should focus on the following areas:
 - (1) detailed management structure, including names and qualifications of personnel required by **ORO.GEN.210** and adequacy of the organisation and management structure;
 - (2) personnel:
 - (i) adequacy of number and qualifications with regard to the intended terms of approval and associated privileges;
 - (ii) validity of licences, ratings, certificates or attestations as applicable;
 - (3) processes for safety risk management and compliance monitoring;
 - (4) facilities — adequacy with regard to the organisation's scope of work;
 - (5) documentation based on which the certificate should be granted (organisation documentation as required by Part-ORO, including technical manuals, such as operations manual or training manual).
- (f) In case of non-compliance, the applicant should be informed in writing of the corrections that are required.
- (g) When the verification process is complete, the person with overall responsibility, nominated in accordance with (b)(2), should present the application to the person responsible for the issue of an AOC together with a written recommendation and evidence of the result of all investigations or assessments which are required before the operator certificate is issued. Approvals required should be attached to the recommendation. The CAA should inform the applicant of its decision concerning the application within 60 days of receipt of all supporting documentation. In cases where an application for an organisation certificate is refused, the applicant should be informed of the right of appeal as exists under national law.

AMC1 ARO.GEN.330 Changes – organisations

AOC HOLDERS

- (a) Changes to personnel specified in Part-ORO:
 - (1) Any changes to the accountable manager specified in **ORO.GEN.210(a)** that affect the certificate or terms of approval/approval schedule attached to it, require prior approval under **ARO.GEN.330(a)** and **ORO.GEN.130(a) and (b)**.
 - (2) When an organisation submits the name of a new nominee for any of the persons nominated as per **ORO.GEN.210(b)** or for a safety manager as defined under **AMC1 ORO.GEN.200(a)(1)**, the CAA should require the organisation to produce a written résumé of the proposed person's qualifications. The CAA should reserve the right to interview the nominee or call for additional evidence of his or her suitability before deciding upon his or her acceptability.
- (b) A simple management system documentation status sheet should be maintained, which contains information on when an amendment was received by the CAA and when it was approved.
- (c) The organisation should provide each management system documentation amendment to the CAA, including for the amendments that do not require prior approval by the CAA. Where the amendment requires CAA approval, the CAA, when satisfied, should indicate its approval in writing. Where the amendment does not require prior approval, the CAA should acknowledge receipt in writing within 10 working days.
- (d) For changes requiring prior approval, in order to verify the organisation's compliance with the applicable requirements, the CAA should conduct an audit of the organisation, limited to the extent of the changes. If required for verification, the audit should include interviews and inspections carried out at the organisation's facilities.

GM1 ARO.GEN.330 Changes – organisations

CHANGE OF NAME OF THE ORGANISATION

- (a) On receipt of the application and the relevant parts of the organisation's documentation as required by Part-ORO, the CAA should re-issue the certificate.
- (b) A name change alone does not require the CAA to audit the organisation, unless there is evidence that other aspects of the organisation have changed.

AMC1 ARO.GEN.345 Declaration – organisations

ACKNOWLEDGEMENT OF RECEIPT

The CAA should acknowledge receipt of the declaration in writing within 10 working days.

GM1 ARO.GEN.345 Declaration – organisations

VERIFICATION — DECLARATION

The verification made by the CAA upon receipt of a declaration does not imply an inspection. The aim is to check whether what is declared complies with applicable regulations.

GM1 ARO.GEN.350 Findings and corrective actions – organisations

TRAINING

For a level 1 finding it may be necessary for the CAA to ensure that further training by the organisation is carried out and audited by the CAA before the activity is resumed, dependent upon the nature of the finding.

GM2 ARO.GEN.350(d) Findings and corrective actions – organisations

CORRECTIVE ACTION IMPLEMENTATION PERIOD

The 3-month period should commence from the date of the communication of the finding to the organisation in writing and requesting corrective action to address the non-compliance(s) identified.

GM1 ARO.GEN.355(b) Findings and enforcement measures – persons

GENERAL

This provision is necessary to ensure that enforcement measures will be taken also in cases where the CAA may not act on the licence, certificate or attestation. The type of enforcement measure will depend on the applicable national law and may include for example the payment of a fine or the prohibition from exercising.

It covers two cases:

- (a) persons subject to the requirements laid down in Regulation (EC) No 216/2008 and its Implementing Rules who are not required to hold a licence, certificate or attestation; and
- (b) persons who are required to hold a licence, rating, certificate or attestation, but who do not hold the appropriate licence, rating, certificate or attestation as required for the activity they perform.

SUBPART OPS: AIR OPERATIONS

SECTION I – CERTIFICATION OF COMMERCIAL AIR TRANSPORT OPERATORS

GM1 ARO.OPS.100(b) Issue of the air operator certificate

AREA OF OPERATION

- (a) If the area of operation within the operational specifications of **Appendix II** to Part-ARO is not defined as 'worldwide' or 'with no geographical limit', the CAA should describe the boundaries of a permissible area of operation by listing for example:
 - (1) a continuous line between a list of coordinates (Lat./Long.);
 - (2) the national boundary of the State of issuance of the AOC;
 - (3) a flight information region (FIR) boundary;
 - (4) a combination of adjacent FIR boundaries;
 - (5) ICAO region(s) as per ICAO Doc 7030; and
 - (6) operations in the Inter-Tropical Convergence Zone (ICTZ).
- (b) The following factors should be taken into account when deciding the area of operation for CAT operations:
 - (1) The adequacy of the operational control and maintenance arrangements within the proposed area of operation.
 - (2) The general suitability of the aircraft which are to be used and in particular:
 - (i) the performance capability of the aircraft with regard to the terrain;
 - (ii) the need for any special equipment;
 - (iii) the aircraft systems and the level of redundancy of those systems, with regard to extremes of weather or climate; and
 - (iv) the need for any special dispatch minima with regard to the content of the MEL.
 - (3) Any special training required for:
 - (i) weather or climatic conditions likely to be encountered; and
 - (ii) compliance with specific approvals under Part-SPA (MNPS, RVSM, etc.).
 - (4) The need for the flight crew to comply with non-standard ATC requirements such as the use of:
 - (i) non-standard phraseology;
 - (ii) altitude clearances in metres; and
 - (iii) altimeter settings in inches of mercury, wind speed in metres/sec, visibility in miles, etc.
 - (5) The navigation and communication facilities available over the routes proposed and the associated equipment of the aircraft.
 - (6) The adequacy of aerodromes or operating sites available within the proposed area, and the availability of current maps, charts, associated documents or equivalent data.

- (7) The availability of adequate search and rescue facilities, and the need to carry special survival equipment and the need for training in the use of the survival equipment.
- (8) Survival equipment available for the operator and installed in the aircraft used.

AMC1 ARO.OPS.105 Code-share arrangements

SAFETY OF A CODE-SHARE AGREEMENT

- (a) When evaluating the safety of a code-share agreement, the CAA should check that the:
 - (1) documented information provided by the applicant in accordance with **ORO.AOC.115** is complete and shows compliance with the applicable ICAO standards; and
 - (2) operator has established a code-share audit programme for monitoring continuous compliance of the third country operator with the applicable ICAO standards.
- (b) The CAA should request the applicant to make a declaration covering the above items.
- (c) In case of non-compliance, the applicant should be informed in writing of the corrections which are required.

AMC2 ARO.OPS.105 Code-share arrangements

AUDITS PERFORMED BY A THIRD PARTY PROVIDER

When audits are performed by a third party provider, the CAA should verify if the third party provider meets the criteria established in **AMC2 ORO.AOC.115(b)**.

AMC1 ARO.OPS.110 Lease agreements for aeroplanes and helicopters

WET LEASE-IN

- (a) Before approving a wet lease-in agreement, the CAA of the lessee should assess available reports on ramp inspections performed on aircraft of the lessor.
- (b) The CAA should only approve a wet lease-in agreement if the routes intended to be flown are contained within the authorised areas of operations specified in the AOC of the lessor.

AMC2 ARO.OPS.110 Lease agreements for aeroplanes and helicopters

SHORT TERM WET LEASE-IN

The CAA may approve third country operators individually or a framework contract with more than one third country operator in anticipation of operational needs or to overcome operational difficulties taking into account the conditions defined in Article 13(3) of Regulation (EC) No 1008/2008.

GM1 ARO.OPS.110 Lease agreements for aeroplanes and helicopters

APPROVAL

- (a) Except for wet lease-out, approval for an UK operator to lease an aircraft of another operator should be issued by the aviation authority of the lessee and the aviation authority of the lessor.
- (b) When a UK operator leases an aircraft of an undertaking or person other than an operator, the aviation authority of the lessee should issue the approval.

GM2 ARO.OPS.110 Lease agreements for aeroplanes and helicopters

DRY LEASE-OUT

The purpose of the requirement for the CAA to ensure proper coordination with the authority that is responsible for the oversight of the continuing airworthiness of the aircraft in accordance with Commission Regulation (EU) No 1321/2014¹ is to ensure that appropriate arrangements are in place to allow:

- (a) the transfer of regulatory oversight over the aircraft, if relevant; or
- (b) continued compliance of the aircraft with the requirements of Commission Regulation (EU) No 1321/2014.

¹ OJ L 362, 17.12.2014, p.1.

SECTION IA –AUTHORISATION OF HIGH RISK COMMERCIAL SPECIALISED OPERATIONS

AMC1 ARO.OPS.150 Authorisation of high risk commercial specialised operations

GENERAL

The CAA should make publicly available a list of activities of high risk commercial specialised operations so that operators are informed when to apply for an authorisation.

AMC1 ARO.OPS.150(a);(b) Authorisation of high risk commercial specialised operations

VERIFICATION OF COMPLIANCE

- (a) For the purpose of verifying the operator's standard operating procedures (SOPs), the CAA may conduct an audit at the operator's facilities or require the conduct of one or more demonstration flights operated as if they were high risk commercial specialised operations.
- (b) An individual should be nominated by the CAA to become the focal point for all aspects of the authorisation process and to coordinate all necessary activity. This nominated person should confirm to the responsible person of the CAA issuing the authorisation that all appropriate audits and inspections have been carried out.
- (c) When the verification process is complete, the person, nominated in accordance with (b), should present the application to the person responsible for the issuance of an authorisation together with a written recommendation and evidence of the result of the review of the operator's risk assessment documentation and SOPs, which is required before the authorisation is issued. The CAA should inform the applicant of its decision concerning the application. In cases where an application for an authorisation is refused, the applicant should be informed of the right of appeal as exists under national law.

GM1 ARO.OPS.150(b) Authorisation of high risk commercial specialised operations

LIMITATIONS

The CAA may issue the authorisation for a limited duration, e.g. for a single event or a defined series of flights, or limit the operating area.

GM1 ARO.OPS.150(c) Authorisation of high risk commercial specialised operations

CHANGE OF NAME OF THE ORGANISATION

- (a) Upon receipt of the application for a change of the authorisation, the CAA should re-issue the authorisation.

A name change alone does not require the CAA to re-assess the risk assessment and SOPs, unless there is evidence that other aspects of the operation have changed.

GM1 ARO.OPS.155 Lease agreements

WET LEASE-IN

Since ICAO has not stipulated globally harmonised standards for specialised operators and their operation, the applicable requirements involving a third country registered aircraft of a third country operator will be of a local or national nature. Therefore, the CAA when approving a wet lease-in agreement is encouraged to collect information on the oversight system of the state of the operator or state of registry, if applicable, in order to have a better understanding of the operation.

GM2 ARO.OPS.155 Lease agreements

LEASE AGREEMENTS BETWEEN UK SPO OPERATORS OF UK REGISTERED AIRCRAFT

No approval is required for any lease agreements between UK SPO operators operating UK registered aircraft in the UK.

SECTION II – APPROVALS

AMC1 ARO.OPS.200 Specific approval procedure

PROCEDURES FOR THE APPROVAL OF CARRIAGE OF DANGEROUS GOODS

When verifying compliance with the applicable requirements of **SPA.DG.100**, the CAA should check that:

- (a) the procedures specified in the operations manual are sufficient for the safe transport of dangerous goods;
- (b) operations personnel are properly trained in accordance with the ICAO Technical Instructions for the *Safe Transport of Dangerous Goods by Air* (ICAO Doc 9284-AN/905); and
- (c) a reporting scheme is in place.

AMC2 ARO.OPS.200 SPECIFIC APPROVAL PROCEDURE

PROCEDURES FOR THE APPROVAL FOR REDUCED VERTICAL SEPARATION MINIMA (RVSM) OPERATIONS

- (a) When verifying compliance with the applicable requirements of Subpart D of Annex V (SPA.RVSM), the CAA should verify that:
 - (1) each aircraft holds an adequate RVSM airworthiness approval;
 - (2) procedures for monitoring and reporting height keeping errors have been established;
 - (3) a training programme for the flight crew involved in these operations has been established; and
 - (4) operating procedures have been established.

- (b) Demonstration flight(s)

The content of the RVSM application may be sufficient to verify the aircraft performance and procedures. However, the final step of the approval process may require a demonstration flight. The CAA may appoint an inspector for a flight in RVSM airspace to verify that all relevant procedures are applied effectively. If the performance is satisfactory, operation in RVSM airspace may be permitted.

- (c) Form of approval documents

Each aircraft group for which the operator is granted approval should be listed in the approval.

- (d) Airspace monitoring

For airspace, where a numerical target level of safety is prescribed, monitoring of aircraft height keeping performance in the airspace by an independent height monitoring system is necessary to verify that the prescribed level of safety is being achieved. However, an independent monitoring check of an aircraft is not a prerequisite for the grant of an RVSM approval.

- (1) Suspension, revocation and reinstatement of RVSM approval

The incidence of height keeping errors that can be tolerated in an RVSM environment is small. It is expected of each operator to take immediate action to rectify the conditions that cause an error. The operator should report an occurrence involving poor height keeping to the CAA within 72 hours. The report should include an initial analysis of causal factors and measures taken to prevent repeat occurrences. The need for follow-up reports should be determined by the CAA. Occurrences that should be reported and

investigated are errors of:

- (i) total vertical error (TVE) equal to or greater than ± 90 m (± 300 ft);
- (ii) altimeter system error (ASE) equal to or greater than ± 75 m (± 245 ft); and
- (iii) assigned altitude deviation equal to or greater than ± 90 m (± 300 ft).

Height keeping errors fall into two broad categories:

- errors caused by malfunction of aircraft equipment; and
- operational errors.

- (2) An operator that consistently experiences errors in either category should have approval for RVSM operations suspended or revoked. If a problem is identified that is related to one specific aircraft type, then RVSM approval may be suspended or revoked for that specific type within that operator's fleet.

- (3) Operators' actions:

The operator should make an effective, timely response to each height keeping error. The CAA may consider suspending or revoking RVSM approval if the operator's responses to height keeping errors are not effective or timely. The CAA should consider the operator's past performance record in determining the action to be taken.

- (4) Reinstatement of approval:

The operator should satisfy the CAA that the causes of height keeping errors are understood and have been eliminated and that the operator's RVSM programmes and procedures are effective. At its discretion and to restore confidence, the CAA may require an independent height monitoring check of affected aircraft to be performed.

AMC3 ARO.OPS.200 Specific approval procedure

APPROVAL OF HELICOPTER OFFSHORE OPERATIONS

- (a) Approval

When verifying compliance with the applicable requirements of Subpart K of Annex V (Part-SPA) to Regulation (EU) No 965/2012, the CAA should ensure prior to issuing an approval that:

- (1) the hazard identification, risk assessment and risk mitigation processes are in place;
- (2) operating procedures have been established applicable to the area of operation;
- (3) helicopters are appropriately certified and equipped for the area of operation;
- (4) flight crew involved in these operations are trained and checked in accordance with the training and checking programmes established by the operator; and
- (5) all requirements of Part-SPA, Subpart K are met.

- (b) Demonstration flight(s)

The final step of the approval process may require a demonstration flight performed in the area of operation. The CAA may appoint an inspector for a flight to verify that all relevant procedures are applied effectively. If the performance is satisfactory, helicopter offshore operations may be approved.

AMC4 ARO.OPS.200 Specific approval procedure

PROCEDURES FOR THE APPROVAL OF COMMERCIAL AIR TRANSPORT OPERATIONS WITH SINGLE-ENGINED TURBINE AEROPLANES AT NIGHT OR IN INSTRUMENT METEOROLOGICAL CONDITIONS (SET-IMC)

- (a) When verifying compliance with the applicable requirements of Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, the CAA should check that:
- (1) the aeroplane is eligible for SET-IMC operations;
 - (2) the maintenance and operational procedures are adequate;
 - (3) a training programme for the flight crew involved in these operations has been established; and
 - (4) the operator has adequately assessed the risks of the intended operations.

In particular, the CAA should assess the operator's safety performance, experience and flight crew training, as reflected in the data provided by the operator with its application, to ensure that the intended safety level is achieved.

With regard to the operator's specific SET-IMC flight crew training, the CAA should ensure that it complies with the applicable requirements of Subpart FC (FLIGHT CREW) of Annex III (Part-ORO) and Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, and that it is appropriate to the operations envisaged.

The CAA should assess the operator's ability to achieve and maintain an acceptable level of power plant reliability by reviewing its engine-trend-monitoring programme and propulsion reliability programme, which are established in accordance with Annex I (Part- M) to Regulation (EU) No 1321/2014.

- (b) The CAA may impose temporary restrictions to the operations (e.g. limitation to specific routes) until the operator is able to demonstrate that it has the capability to operate safely in compliance with all the applicable requirements.
- (c) When issuing the approval, the CAA should specify:
- (1) the particular engine-airframe combination;
 - (2) the identification by registration of the individual aeroplanes designated for single-engined turbine aeroplane operations at night and/or in IMC; and
 - (3) the authorised areas and/or routes of operation.

VALIDATION OF OPERATIONAL CAPABILITY

Observation by the CAA of a validation flight, simulating the proposed operation in the aeroplane, should be carried out before an approval is granted. This should include flight planning and preflight procedures, as well as a demonstration of the following simulated emergency procedures in simulated IMC/night:

- (a) total failure of the propulsion system; and
- (b) total loss of normally generated electrical power.

In order to mitigate the risks associated with the conduct of such emergency procedures, the following should be ensured:

- (a) in case of planned single-pilot operations, the crew should be composed of the commander using view-limiting devices for the purpose of simulating IMC/night and a second rated pilot whose responsibility is to help maintain visual separation from other aircraft, clouds, and

- terrain;
- (b) the flight should be conducted in visual meteorological conditions (VMC) by day, and additional, more restrictive weather minima may be established for the demonstration of the procedures involving higher risks; and
 - (c) touch drills should be used when simulating a total failure of the propulsion system.

GM1 ARO.OPS.200 Specific approval procedure

LIMITATIONS FOR HELICOPTER OFFSHORE OPERATIONS

The CAA may impose limitations related to routes and areas of operation for offshore helicopter operations. Such limitations may be specified in the operations specifications (OPSSPEC) or specific approved documents or in the aeronautical information publication (AIP) or by other means.

For operations over sea areas, limitations may include a maximum significant wave height under which there is a good prospect of recovery of survivors. This should be linked with the available search and rescue capabilities in the different sea areas.

GM2 ARO.OPS.200 Specific approval procedure

SPECIFIC APPROVALS FOR TRAINING ORGANISATIONS

The specific approvals, as established in **Appendix III**, for non-commercial operations and specialised operations, also apply to training organisations with a principal place of business in the UK.

GM3 ARO.OPS.200 Specific approval procedure

INSERTION OF RELEVANT INFORMATION INTO THE OPERATIONS SPECIFICATIONS

When issuing the operations specifications in accordance with **Appendix II**, where the operation does not include helicopter operations, the helicopter-related elements contained in the operations specifications may be omitted.

GM1 ARO.OPS.205 Minimum equipment list approval

EXTENSION OF RECTIFICATION INTERVALS

The CAA should verify that the operator does not use the extension of rectification intervals as a means to reduce or eliminate the need to rectify MEL defects in accordance with the established category limit. The extension of rectification intervals should only be considered valid and justifiable when events beyond the operator's control have precluded rectification.

GM1 ARO.OPS.210 Determination of local area

GENERAL

The distance or local area should reflect the local environment and operating conditions.

AMC1 ARO.OPS.215 Approval of helicopter operations over a hostile environment located outside a congested area

APPROVALS THAT REQUIRE ENDORSEMENT

- (a) Whenever the operator applies for an approval in accordance with **CAT.POL.H.420** for which an endorsement from another State is required, the CAA should only grant the approval once endorsement of that other State has been received.
- (b) The Operations Specification should be amended to include those areas for which endorsement was received.

AMC2 ARO.OPS.215 Approval of helicopter operations over a hostile environment located outside a congested area

ENDORSEMENT BY ANOTHER STATE

- (a) Whenever the operator applies for an endorsement to operate over hostile environment located outside a congested area in another State in accordance with **CAT.POL.H.420**, the aviation authority of that other State should only grant the endorsement once it is satisfied that:
 - (1) the safety risk assessment is appropriate to the area overflown; and
 - (2) the operator's substantiation that preclude the use of the appropriate performance criteria are appropriate for the area overflown.
- (b) The aviation authority of that other State should inform the CAA.

AMC1 ARO.OPS.220 Approval of helicopter operations to or from a public interest site

APPROVALS THAT REQUIRE ENDORSEMENT

Whenever the operator applies for an approval in accordance with **CAT.POL.H.225** to conduct operations to or from a public interest site (PIS) for which an endorsement from another State is required, the CAA should only grant such an approval once endorsement of that other State has been received.

AMC2 ARO.OPS.220 Approval of helicopter operations to or from a public interest site

ENDORSEMENT BY ANOTHER STATE

- (a) Whenever the operator applies for an endorsement to operate to/from a public interest site in another State in accordance with **CAT.POL.H.225**, the aviation authority of that other State should only grant the endorsement once it is satisfied that:
 - (1) the conditions of **CAT.POL.H.225(a)(1)** through (5) can be met by the operator at those sites for which endorsement is requested; and
 - (2) the operations manual includes the procedures to comply with **CAT.POL.H.225(b)** for these sites for which endorsement is requested.
- (b) The aviation authority of that other State should inform the CAA.

GM1 ARO.OPS.225 Approval of operations to an isolated aerodrome

GENERAL

The use of an isolated aerodrome exposes the aircraft and passengers to a greater risk than to operations where a destination alternate aerodrome is available. Whether an aerodrome is classified as an isolated aerodrome or not often depends on which aircraft are used for operating the aerodrome. The CAA should therefore assess whether all possible means are applied to mitigate the greater risk.

GM1 ARO.OPS.235(b);(c) Approval of individual flight time specification schemes

ICAO DOC 9966 (MANUAL FOR THE OVERSIGHT OF FATIGUE MANAGEMENT APPROACHES)

Further guidance on fatigue risk management processes, appropriate fatigue management, the underlying scientific principles and operational knowledge may be found in ICAO Doc 9966 (Manual for the Oversight of Fatigue Management Approaches).

- (1) take into account possible credits stemming from RNP AR APCH specific approvals already issued to the applicant.

GM1 ARO.OPS.240 Specific approval of RNP AR APCH

TEMPORARY LIMITATION ON RVR

Where operators are new to RNP AR APCH operations and their initial application is for $RNP < 0.3$, it is appropriate to establish a temporary limitation for RVR minima, until operational experience is gained. This period could be based upon time (e.g. 90 days) and a number of conducted operations, as agreed by the CAA and the operator.

GM2 ARO.OPS.240 Specific approval of RNP AR APCH

REFERENCES

Additional guidance material for the specific approval of PBN operations, when required, can be found in ICAO Doc 9997 Performance-Based Navigation (PBN) Operational Approval Manual. In particular, a job aid can be found in paragraph 4.7 therein for assessment of applications for RNP AR APCH.

SECTION III – OVERSIGHT OF OPERATIONS

AMC1 ARO.OPS.300 Introductory flights

MARGINAL ACTIVITY

The CAA should publish criteria specifying to which extent it considers an activity marginal and how this is being overseen.

GM1 ARO.OPS.300 Introductory flights

ADDITIONAL CONDITIONS

For introductory flights carried out in the territory of the UK, the CAA may establish additional conditions such as defined area of the operation, time period during which such operations are to be conducted, safety risk assessments to be accomplished, aircraft to be used, specific operating procedures, notification requirements, maximum distance flown, pilot qualification, maximum number of passengers on-board, further restrictions on the maximum take-off mass

SUBPART RAMP: RAMP INSPECTIONS OF AIRCRAFT OF OPERATORS UNDER THE REGULATORY OVERSIGHT OF ANOTHER STATE

GM1 ARO.RAMP.005 Scope

RAMP INSPECTION MANUAL

The following information may be found in the ramp inspection manual established by the CAA:

- (a) Additional guidance and best practices, in the manual and its attachments;
- (b) Additional provisions which are referenced in AMCs to this subpart, in its appendices.

AMC1 ARO.RAMP.100(b) General

SUSPECTED AIRCRAFT

In determining whether an aircraft is suspected of not being compliant with the applicable requirements, the following should be taken into account:

- (a) information regarding poor maintenance of, or obvious damage or defects to an aircraft;
- (b) reports that an aircraft has performed abnormal manoeuvres that give rise to serious safety concerns in the airspace of the UK;
- (c) a previous ramp inspection that has revealed deficiencies indicating that the aircraft does not comply with the applicable requirements and where the CAA suspects that these deficiencies have not been corrected;
- (d) lists, referred to in **ARO.RAMP.105**, indicating that the operator or the State of the operator has been suspected of non-compliance;
- (e) evidence that the State in which an aircraft is registered is not exercising proper safety oversight;
- (f) concerns about the operator of the aircraft that have arisen from occurrence reporting information and non-compliance recorded in a ramp inspection report on any other aircraft used by that operator;
- (g) information received from Third-Country Operator (TCO) monitoring activities; or
- (h) any relevant information collected pursuant to **ARO.RAMP.110**.

AMC1 ARO.RAMP.100(c) General

ANNUAL RAMP INSPECTION PROGRAMME

- (a) The CAA should establish an annual ramp inspection programme and determine the number of inspections for the upcoming calendar year.
- (b) To establish the annual ramp inspection programme, the CAA should consider layer 1 and layer 2 operators as defined in **AMC1 ARO.RAMP.150(b)(4)(iii)**.
- (c) For layer 1 operators, the annual ramp inspection programme should meet the target numbers of inspections as assigned by the CAA.

The assigned targets for layer 1 operators may be exceeded in the following cases:

- (1) operators recently considered in the lists provided by the CAA as per **ARO.RAMP.105(a)**;
or
- (2) safety reasons that were not identified in the annual programme.

The CAA should keep records of the reasons leading to such over-inspections on layer 1 operators.

- (d) For layer 2 operators, the total planned number of inspections as defined in the annual ramp inspection programme should not be less than the layer 2 operators target assigned by the CAA.
- (e) The annual ramp inspection programme should take seasonal traffic patterns into account and, as far as possible, evenly distribute the inspections over the year.
- (f) The CAA should ensure that the annual ramp inspection programme leaves appropriate time and resources to enable the inspections of aircraft operated by layer 2 operators suspected of not being compliant with the applicable requirements.
- (g) The CAA should ensure that layer 2 operators, including unforeseen ones which cannot be a part of the established annual programme, receive inspections proportionate to the traffic pattern in the UK. The following priority criteria should be considered before deciding to inspect the aircraft:
 - (1) prioritised ramp inspections as per **ARO.RAMP.105(a)**;
 - (2) aircraft suspected of not being compliant with the applicable requirements; and
 - (3) inspection of an operator which was not inspected in accordance with ARO.RAMP in the UK in the previous 12 months;
- (h) The CAA should amend the annual ramp inspection programme as necessary to the extent possible:
 - (1) when new targets are assigned by the CAA;
 - (2) when new layer 2 operators start operations; or
 - (3) following the identification of a significant increase of the safety risks level as per **ARO.RAMP.100(c)(1)**.

AMC1 ARO.RAMP.110 Collection of information

COLLECTION OF INFORMATION

The information should include:

- (a) important safety information available, in particular, through:
 - (1) pilot reports;
 - (2) maintenance organisation report;
 - (3) incident reports;
 - (4) reports from other organisations, independent from the inspection authorities;
 - (5) complaints; and
 - (6) information received from whistleblowers (such as, but not limited to, ground handling or maintenance personnel) regarding poor maintenance, obvious damage or defects, incorrect loading, etc.

- (b) information on action(s) taken subsequent to a ramp inspection, such as:
- (1) aircraft grounded;
 - (2) aircraft or operator included on the UK safety list pursuant to Article 6 of Regulation (EC) No 2111/2005 of the European Parliament and of the Council¹;
 - (3) corrective action required;
 - (4) contacts with the operator's aviation authority; and
 - (5) restrictions on flight operations.
- (c) follow-up information concerning the operator, such as:
- (1) implementation of corrective action(s); and
 - (2) recurrence of non-compliance.

AMC1 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

ELIGIBILITY CRITERIA

- (a) The candidate should be considered eligible to become a ramp inspector provided he/she meets the following criteria:
- (1) has good knowledge of the English language attested by a certificate, unless English was used as a medium of instruction during secondary or higher education; and
 - (2) relevant education or training and appropriate recent work experience (over the previous 5 years) in accordance with one of the following items:
 - (i) has successfully completed 3 years of post-secondary education followed by 2 years aeronautical experience in the field of aircraft operations and/or maintenance, and/or personnel licensing;
 - (ii) has or has had a commercial/airline transport pilot licence and carried out such duties;
 - (iii) has or has had a flight engineer licence and carried out such duties;
 - (iv) has been a cabin crew member and carried out such duties in commercial air transport;
 - (v) has been licensed as maintenance personnel and exercised the privileges of such a licence;
 - (vi) has successfully completed professional training in the field of air transport of dangerous goods, followed by experience in this field; or
 - (vii) has successfully completed post-secondary aeronautical education with a duration of at least 3 years, followed by aeronautical experience.

¹ Regulation (EC) No 2111/2005 of the European Parliament and of the Council of 14 December 2005 on the establishment of a Community list of air carriers subject to an operating ban within the Community and on informing air transport passengers of the identity of the operating air carrier, and repealing Article 9 of Directive 2004/36/EC (OJ L 344, 27.12.2005, p. 15).

AMC2 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

QUALIFICATION PROCESS

- (a) The CAA should ensure that its inspectors meet, at all times, the qualification criteria with regard

to training and recent experience.

- (b) Any CAA or ramp inspection training organisation (RITO) approved in accordance with **ARO.RAMP.120(a)** may provide the initial theoretical and practical training.
- (c) The senior ramp inspectors delivering the on-the-job training may be appointed by any CAA.
- (d) The initial theoretical and practical training, as well as the on-the-job training as per **ARO.RAMP.115(b)(2)**, should be completed within 12 months. If the qualification of the candidate is not completed within 12 months, the entire process should be re-initiated.
- (e) The CAA should issue a formal qualification statement, including the inspection privileges, for each candidate who has successfully completed the initial theoretical, practical, and on-the-job-training, as demonstrated by:
 - (1) for theoretical and practical trainings, a satisfactory evaluation by the CAA or by the RITO which has delivered the training;
 - (2) for on-the-job training, the positive assessment, made by the senior ramp inspectors who have provided the training, of the candidate's ability to effectively perform ramp inspections in an operational environment;
 - (3) a final assessment of the inspector's competency performed at the end of the initial training process by the CAA.

AMC3 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

INITIAL THEORETICAL AND PRACTICAL TRAINING

- (a) The initial theoretical and practical training for ramp inspectors should be developed on the basis of the syllabi that are established by the CAA and which are included as appendixes of the ramp inspection manual.
- (b) The duration of the initial theoretical training should be no less than 3 training days, except for cases when previous training can be credited to the candidate, following an assessment made by the CAA.

In case of an integrated training course, intended to transfer both technical and specific ramp inspection knowledge, the duration of the course should be extended accordingly.

- (c) The duration of the initial practical training should be not less than 1 day. The CAA may decide to lengthen or shorten the training taking into account the level of expertise of the candidate.

AMC4 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

ON-THE-JOB TRAINING

- (a) The on-the-job training (OJT) should be conducted within the scope defined by **ARO.RAMP.005**.
- (b) The content of the OJT should be established on the basis of the list of elements to be covered, which is included in appendixes of the ramp inspection manual.
- (c) The CAA should ensure that only the candidates that have successfully completed the initial theoretical and practical trainings are undertaking the OJT.
- (d) The OJT should comprise 2 phases:

- (1) Observation:

During this phase, the candidate should accompany and observe a senior ramp inspector

performing a series of ramp inspections (including the preparation of the inspection and post-inspection activities such as reporting).

The senior inspector should also provide details on applicable follow-up activities.

(2) Under supervision:

During this phase, the candidate should perform ramp inspections under the supervision and guidance of a senior ramp inspector.

- (e) The duration of the OJT should be customised to the individual training needs of each candidate. As a minimum, the OJT should include at least 6 observed ramp inspections and 6 ramp inspections performed under the supervision of a senior ramp inspector, over a period of maximum of 6 months. Notwithstanding (a), up to 3 of these observed ramp inspections and 3 of these inspections under supervision may be performed on national operators, as long as they are performed in accordance with ARO.RAMP.
- (f) The OJT should cover in each phase all inspection items that the inspector will be privileged with, and it should be delivered by senior ramp inspectors who are privileged with the same items.
- (g) The OJT should be documented by the senior ramp inspectors who have provided the training, using OJT forms detailing the training content.
- (h) Certain OJT items may be replaced by alternative training using representative examples when no operational environment is required (e.g. documents, dangerous goods).

AMC5 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

EXTENSION OF THE RAMP INSPECTOR PRIVILEGES

- (a) The CAA may extend the privileges of a ramp inspector provided that the following conditions are met:
 - (1) the relevant knowledge of the ramp inspector has been satisfactorily complemented by additional theoretical and/or practical training relevant to the scope of the extension; and
 - (2) the ramp inspector has received OJT on the new inspection items that will be added to his/her privileges.
- (b) The CAA should determine the necessary number of ramp inspections of the OJT on a case-by-case basis, taking into account both the complexity and the criticality of the new items to be covered during this training, as well as the inspector's aeronautical education and practical knowledge.
- (c) Certain OJT items may be replaced by alternative training using representative examples when no operational environment is required (e.g. document inspections, dangerous goods).

AMC6 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

RECENT EXPERIENCE AND REQUALIFICATION

- (a) The minimum number of inspections to be performed by a ramp inspector to meet the recent experience requirement should be 12 per calendar year.
- (b) Up to half of these ramp inspections may be performed on national operators, as long as they are performed in accordance with ARO.RAMP.

- (c) In the calendar year during which the ramp inspector is qualified, the minimum number of inspections to meet the recent experience requirement should be determined on a pro rata basis.
- (d) When qualification is lost as a result of failure to perform the minimum number of inspections, the ramp inspector may be requalified by the CAA after having performed at least half of the missing inspections under supervision of a senior inspector within the following calendar year. These inspections under supervision should not be counted for the recent experience requirements for that calendar year. Up to half of these inspections may be performed on national operators, as long as they are performed in accordance with ARO.RAMP.
- (e) If the ramp inspector cannot regain the qualification following the process described in (d), he/she should perform a complete OJT during the calendar year that follows.
- (f) If the ramp inspector fails to regain the qualification following the process described in (e), the conditions for initial qualification should apply.

AMC7 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

RECURRENT TRAINING

- (a) The CAA should ensure that all ramp inspectors undergo recurrent training at least once every 3 calendar years.
- (b) In addition, the CAA should ensure that additional training is provided to all ramp inspectors when information is received about the necessity for ad hoc training. This ad-hoc training may be considered as recurrent training.
- (c) Recurrent training should be delivered by the CAA or by a ramp inspection training organisation approved in accordance with **ARO.RAMP.120(a)**.
- (d) The recurrent training should cover at least the following elements:
 - (1) regulatory and procedural developments;
 - (2) operational practices;
 - (3) articulation with other European processes and regulations; and
 - (4) standardisation and harmonisation issues.

AMC8 ARO.RAMP.115(a)(b) Qualification of ramp inspectors

SENIOR RAMP INSPECTORS

- (a) The CAA may appoint senior ramp inspectors provided the appointees meet the following criteria:
 - (1) the appointee has been a qualified ramp inspector over the 36 months preceding his/her appointment; and
 - (2) during the period under (1), the appointee has performed a minimum of 72 ramp inspections, with no less than 24 ramp inspections during the last 12 months;
- (b) Senior ramp inspectors should maintain their seniority only if performing at least 24 ramp inspections during each calendar year. Up to 6 of these ramp inspections may be performed on national operators, as long as they are performed in accordance with ARO.RAMP.
- (c) For the calendar year during which the senior inspector was appointed, the recent experience requirements should be applied on a pro rata basis.

- (d) When seniority is lost, but not the ramp inspector qualification, as a result of failure to perform the minimum number of ramp inspections, it can be regained if:
- (1) the inspector performs 2 ramp inspections under the supervision of a senior ramp inspector; or
 - (2) the inspector performs the missing number of ramp inspections.
- These inspections should be performed within the following year, and should not be counted for the recent experience requirements for that year.
- The above provision should not be used for two consecutive years.
- (e) If the senior ramp inspector cannot regain his/her seniority following the provisions under (d), the conditions under (a)(2) apply.
- (f) For each appointed senior ramp inspector, the CAA should establish, based on his/her experience, the privileges for which he/she may deliver OJT.

AMC1 ARO.RAMP.120(a) Approval of training organisations

APPROVAL OF A RAMP INSPECTION TRAINING ORGANISATION BY THE CAA

- (a) When evaluating the ramp inspection training organisation's capability to deliver training, the CAA should verify that the training organisation:
- (1) Has established a detailed description of:
 - (i) the organisational structure;
 - (ii) the facilities and office accommodation;
 - (iii) the instructional equipment;
 - (iv) the instructor recruitment and maintenance of their continuing competence;
 - (v) the record keeping system;
 - (vi) the process for the development of the training course material and its continuous update; and
 - (vii) additional means and methods used to fulfil its tasks,

The documents and information specified above may be included into an organisation manual.
 - (2) Has developed the training course materials adequate for all types of training to be delivered;
 - (3) Ensures compliance with its own procedures on adequate control of the training development, preparation, delivery process and records keeping, as well as compliance with the legal requirements. The training organisation should evaluate the effectiveness of the training provided, based upon written feedbacks collected from course participants after each training delivery.
 - (4) Conducts the training in English with the aim to train trainees in the jargon used during ramp inspections;
- (b) The CAA should issue the approval for an unlimited duration.

AMC2 ARO.RAMP.120(a) Approval of training organisations

OVERSIGHT OF APPROVED RAMP INSPECTION TRAINING ORGANISATION

- (a) The oversight programme of ramp inspection training organisations should be developed taking into account the scope of the approval, the size of the organisation, and the results of past certification and/or oversight activities.
- (b) An oversight cycle not exceeding 24 months should be applied. The oversight planning cycle may be extended to a maximum of 48 months if the CAA has established that during the previous 24 months:
 - (1) all corrective actions have been implemented within the time period accepted or extended by the CAA; and
 - (2) no level 1 findings as described in **ARO.GEN.350** have been issued.

AMC1 ARO.RAMP.120(a)(4) Approval of training organisations

TRAINING INSTRUCTORS

- (a) The CAA should verify that:
 - (1) the training organisation has a sufficient number of instructors with at least adequate:
 - (i) aviation knowledge and experience;
 - (ii) knowledge of the EU ramp inspection programme;
 - (iii) knowledge of training delivery techniques; and
 - (iv) English language communication skills.
- (b) Instructors delivering training on inspection items and/or delivering practical trainings should:
 - (1) have been a qualified ramp inspector for 36 months before being nominated as instructors and have performed a minimum of 72 ramp inspections during this period;
 - (2) have conducted at least 24 inspections as qualified ramp inspectors in the calendar year prior to the year in which the training is delivered; and
 - (3) deliver training only on those inspection items which they are entitled to inspect;
- (c) Notwithstanding (a), for the delivery of the theoretical and practical training on Dangerous Goods, the CAA may accept instructors who are certified in accordance with the Technical Instructions for the latest effective edition of the Safe Transport of Dangerous Goods by Air (ICAO Doc 9284-AN/905), provided that they possess adequate English language communication skills.

AMC1 ARO.RAMP.125 Conduct of Ramp Inspections & ARO.RAMP.130 Categorisation of findings

INSPECTION INSTRUCTIONS ON THE CATEGORISATION OF FINDINGS

Inspectors should follow the inspection instructions as defined in the ramp inspection manual on the categorisation of findings established by the CAA for inspections performed on aircraft used by third country operators (SAFA).

AMC1 ARO.RAMP.125(b) Conduct of ramp inspections

GENERAL

- (a) The CAA should put in place appropriate procedures to allow the inspecting team unrestricted access to the aircraft to be inspected. In this respect ramp inspectors should possess adequate credentials.
- (b) The inspection should start as soon as possible and be as comprehensive as possible within the time and resources available. This means that if only a limited amount of time or resources is available, not all inspection items but a reduced number of them, may be verified. According to the time and resources available for a ramp inspection, the items that are to be inspected should be selected accordingly, in conformity with the objectives of the ramp inspection programme. Items not being inspected may be inspected during a next inspection.
- (c) During the inspection, ramp inspectors should verify the rectification of previously identified non-compliances. Whenever the time available does not permit a full inspection, the items affected by such non-compliances should be prioritised over other items.
- (d) Ramp inspectors should not open by themselves any hatches, doors or panels, which are not intended to be operated by passengers during normal operations, nor should they operate or interfere with any aircraft controls or equipment. When such actions are required for the scope of the inspection, the ramp inspectors should request the assistance of the operator's personnel (flight crew, cabin crew, ground crew).
- (e) During an inspection prior to departure, the CAA should inform the operator of any potential non-compliance with manufacturer's standards after the crew has confirmed that the pre-flight inspection has been performed.
- (f) The items to be inspected should be selected from the Proof of Inspection (POI).
- (g) Items which have been inspected, as well as any possible findings and observations, should be recorded on the POI and in the ramp inspection tool.

AMC1 ARO.RAMP.125(c) Conduct of ramp inspections

PROOF OF INSPECTION

- (a) On completion of the ramp inspection, information about its results should be provided to the pilot-in-command/commander or, in his/her absence, to another member of the flight crew or a representative of the operator, using the Proof of Inspection (POI) form provided as an appendix to the ramp inspection manual, regardless of whether or not findings have been identified. When completing the Proof of Inspection (POI), the following should be taken into account:
 - (1) Only the remarks mentioned in the POI should be reported as findings in the final ramp

inspection report. Any other relevant information which was not included in the POI should only be reported in the final report as a general remark under 'G' or in the additional information box.

- (2) When handing over the POI to the pilot-in-command/commander or operator representative, the inspector should ask him/her to sign the POI whilst explaining that the signature does in no way imply acceptance of the listed findings. The signature only confirms that the POI has been received by the pilot-in-command/operator representative, and that the aircraft has been inspected on the date and at the place indicated. A refusal to sign by the recipient should be recorded in the document.
- (b) POIs may be completed electronically, including the required signatures, and may be printed on site or delivered electronically (e.g. by e-mail).

AMC1 ARO.RAMP.135(a) Follow-up actions on findings

FOLLOW-UP ACTIONS FOR CATEGORY 2 OR 3 FINDINGS

- (a) Exceptionally, where multiple category 2 findings have been raised and the accumulation of these findings or their interaction justifies corrective action before the flight takes place, the class of action may be increased to the actions foreseen by **ARO.RAMP.135(b)**.
- (b) When communicating findings to the operator, the CAA should:
 - (1) use the ramp inspection tool as the primary communication channel with the operator and limit communication via other channels;
 - (2) request evidence of corrective actions taken, or alternatively the submission of a corrective action plan followed by evidence that planned corrective actions have been taken;
 - (3) inform the operator's aviation authority and the operator no later than 15 calendar days after the inclusion of the report in the ramp inspection tool in order to permit appropriate action to be taken, as well as to confirm to the operator the findings raised;
 - (4) upload in the ramp inspection tool information on actions taken and responses provided by the operator following the RAMP inspection and send a communication to the operator only if the operator's actions have not been satisfactory;
 - (5) give the operator a period of 30 calendar days to reply. If the operator does not react to the initial communication within this period, a second request should be sent, including a period of another 30 calendar days to reply whilst copying the operator's aviation authority. If the second attempt is also unsuccessful, the operator's aviation authority should be requested to encourage the operator to reply. The CAA should indicate in such request that no reaction from the operator could be interpreted as a 'lack of ability and/or willingness of an operator to address safety deficiencies' under Regulation (EC) No 2111/2005.

AMC1 ARO.RAMP.135(b) Follow-up actions on findings

CLASSES OF ACTIONS FOR CATEGORY 3 FINDINGS

- (a) Whenever restrictions on the aircraft flight operation (Class 3a action) have been imposed, the CAA should conduct appropriate verification of adherence to such restrictions.
- (b) Whenever the operator is required to take corrective actions before departure (Class 3b action), inspectors should verify that the operator has taken such actions. Depending on the

circumstances, this verification may take place after the departure.

- (c) Whenever a category 3 finding is raised, the aircraft should be grounded only (Class 3c action) if the crew refuses to take the necessary corrective actions or to respect imposed restrictions on the aircraft flight operation. However, grounding might be appropriate if an operator refuses to grant access in accordance with **ORO.GEN.140** (in case of an UK operator) or contrary to Regulation (EU) 452/2014 (in case of a third country operator). The CAA authority should then ensure that the aircraft will not depart as long as the reasons for the grounding remain. Any records of communication undertaken pursuant to **ARO.RAMP.140(b)**, as well as other evidences, should be collected and kept as evidential material.
- (d) If inspectors have imposed any restrictions and/or corrective actions, these should be mentioned in the ramp inspection report.

AMC1 ARO.RAMP.145 Safety reports

IMPORTANT SAFETY INFORMATION

- (a) When the CAA receives safety-related information that could be of interest to the entire RAMP community, it should create a 'safety report' and insert it into the ramp inspection tool pursuant to **ARO.RAMP.110**.
- (b) Safety-related information should be verified by the reporting authority, as far as possible, before insertion in the ramp inspection tool.
- (c) If available, any relevant information contained in documents and pictures should be attached to the 'safety report'.

ANNEX III (PART-ORO)

SUBPART GEN: GENERAL REQUIREMENTS

SECTION 1 – GENERAL

AMC1 ORO.GEN.110(a) Operator responsibilities

SECURITY TRAINING PROGRAMME FOR CREW MEMBERS — CAT OPERATIONS

Without prejudice to Regulation (EC) No 300/2008, the CAT operator should establish and maintain a security training programme for crew members, including theoretical and practical elements. This training should be provided at the time of operator conversion training and thereafter at intervals not exceeding three years. The content and duration of the training should be adapted to the security threats of the individual operator and should ensure that crew members act in the most appropriate manner to minimise the consequences of acts of unlawful interference. This programme should include the following elements:

- (a) determination of the seriousness of the occurrence;
- (b) crew communication and coordination;
- (c) appropriate self-defence responses;
- (d) use of non-lethal protective devices assigned to crew members whose use is authorised by the Member State;
- (e) understanding of behaviour of terrorists so as to facilitate the ability of crew members to cope with hijacker behaviour and passenger responses;
- (f) in case where cabin crew are required, live situational training exercises regarding various threat conditions;
- (g) flight crew compartment procedures to protect the aircraft;
- (h) aircraft search procedures, in accordance with Regulation (EC) No 300/2008, including identification of prohibited articles; and
- (i) guidance on the least risk bomb locations.

AMC2 ORO.GEN.110(a) Operator responsibilities

SECURITY TRAINING PROGRAMME FOR GROUND PERSONNEL — CAT OPERATIONS

In accordance with Regulation (EC) No 300/2008, the CAT operator should establish and maintain a security training programme for ground personnel to acquaint appropriate employees with preventive measures and techniques in relation to passengers, baggage, cargo, mail, equipment, stores and supplies intended for carriage so that they contribute to the prevention of acts of sabotage or other forms of unlawful interference.

GM1 ORO.GEN.110(a) Operator responsibilities

SECURITY TRAINING PROGRAMME FOR CREW MEMBERS

ICAO Security Manual Doc 9811 (restricted access) contains guidance on the development of training programmes.

AMC1 ORO.GEN.110(c) Operator responsibilities

OPERATIONAL CONTROL

The organisation and methods established to exercise operational control should be included in the operations manual and should cover at least a description of responsibilities concerning the initiation, continuation and termination or diversion of each flight.

GM1 ORO.GEN.110(c) Operator responsibilities

OPERATIONAL CONTROL

- (a) **ORO.GEN.110(c)** does not imply a requirement for licensed flight dispatchers.
- (b) If the operator employs flight operations officers in conjunction with a method of operational control, training for these personnel should be based on relevant parts of ICAO Doc 7192 Training Manual, Part D-3. This training should be described in the operations manual.

AMC1 ORO.GEN.110(e) Operator responsibilities

MEL TRAINING PROGRAMME

- (a) The operator should develop a training programme for ground personnel dealing with the use of the MEL and detail such training in the continuing airworthiness maintenance exposition CAME and OM as appropriate. Such training programme should include:
 - (1) the scope, extent and use of the MEL;
 - (2) placarding of inoperative equipment;
 - (3) deferral procedures;
 - (4) dispatching; and
 - (5) any other operator's MEL related procedures.
- (b) The operator should develop a training programme for crew members and detail such training in the Operations Manual. Such training programme should include:
 - (1) the scope, extent and use of the MEL;
 - (2) the operator's MEL procedures;
 - (3) elementary maintenance procedures in accordance with Commission Regulation (EU) No 1321/2014; and
 - (4) pilot-in-command/commander responsibilities.

AMC2 ORO.GEN.110(e) Operator responsibilities

GROUND OPERATIONS WITH PASSENGERS ON BOARD IN THE ABSENCE OF FLIGHT CREW

For ground operations, whenever passengers are embarking, on board or disembarking in the absence of flight crew members, the operator should:

- (a) establish procedures to alert the aerodrome services in the event of ground emergency or urgent need; and
- (b) ensure that at least one person on board the aircraft is qualified to apply these procedures and ensure proper coordination between the aircraft and the aerodrome services.

GM1 ORO.GEN.110(e) Operator responsibilities

GROUND PERSONNEL

For the purpose of the MEL training programme referred to in **AMC1 ORO.GEN.110(e)** ground personnel include maintenance personnel, flight dispatchers and operations officers.

GM2 ORO.GEN.110(e) Operator responsibilities

AERODROME SERVICES

Aerodrome services refer to units available at an aerodrome that could be of assistance in responding to an urgent need or an emergency, such as rescue and firefighting services, medical and ambulance services, air traffic services, security services, police, aerodrome operations, air operators.

AMC1 ORO.GEN.110(f) Operator responsibilities

STERILE FLIGHT CREW COMPARTMENT

- (a) Sterile flight crew compartment procedures should ensure that:
 - (1) flight crew activities are restricted to essential operational activities; and
 - (2) cabin crew and technical crew communications to flight crew or entry into the flight crew compartment are restricted to safety or security matters.
- (b) The sterile flight crew compartment procedures should be applied:
 - (1) during critical phases of flight;
 - (2) during taxiing (aeroplanes);
 - (3) below 10 000 feet above the aerodrome of departure after take-off and the aerodrome of destination before landing, except for cruise flight; and
 - (4) during any other phases of flight as determined by the pilot-in-command or commander.
- (c) All crew members should be trained on sterile flight crew compartment procedures established by the operator, as appropriate to their duties.

GM1 ORO.GEN.110(f) Operator responsibilities

STERILE FLIGHT CREW COMPARTMENT

(a) Establishment of procedures

The operator should establish procedures for flight, cabin, and technical crew that emphasise the objectives and importance of the sterile flight crew compartment. These procedures should also emphasise that, during periods of time when the sterile flight deck compartment procedures are applied, cabin crew and technical crew members should call the flight crew or enter the flight crew compartment only in cases related to safety or security matters. In such cases, information should be timely and accurate.

(b) Flight crew activities

When sterile flight crew compartment procedures are applied, flight crew members are focused on their essential operational activities without being disturbed by non-safety related matters. Examples of activities that should not be performed are:

- (1) radio calls concerning passenger connections, fuel loads, catering, etc.;
- (2) non-critical paperwork; and
- (3) mass and balance corrections and performance calculations, unless required for safety reasons.

(c) Communication to the flight crew

Cabin crew and technical crew use their own discretion to determine whether the situation is related to safety or security matters and whether to call the flight crew. Situations requiring information to the flight crew may include:

- (1) any outbreak of fire inside the cabin or in an engine;
- (2) a burning smell in the cabin or presence of smoke inside or outside;
- (3) fuel or fluid leakage;
- (4) exit door unable to be armed or disarmed;
- (5) localised extreme cabin temperature changes;
- (6) evidence of airframe icing;
- (7) cabin/galley equipment or furniture malfunction/breakage posing a hazard to the occupants;
- (8) suspicious object;
- (9) disruptive passenger;
- (10) security threat;
- (11) abnormal vibration or noise;
- (12) medical emergency;
- (13) general drop-down of the oxygen masks in the cabin; and
- (14) any other condition deemed relevant by a cabin crew or technical crew member.

AMC1 ORO.GEN.110(f)(h) Operator responsibilities

ESTABLISHMENT OF PROCEDURES

- (a) An operator should establish procedures to be followed by cabin crew covering at least:
- (1) arming and disarming of slides;
 - (2) operation of cabin lights, including emergency lighting;
 - (3) prevention and detection of cabin, galley and toilet fires;
 - (4) actions to be taken when turbulence is encountered;
 - (5) actions to be taken in the event of an emergency and/or an evacuation; and
 - (6) safety aspects of the in-flight entertainment (IFE) system, if installed.
- (b) When establishing procedures and a checklist system for cabin crew with respect to the aircraft cabin, the operator should take into account at least the following duties:

| Duties | Pre-take off | In-flight | Pre-landing | Post-landing |
|-------------------------------------------------------------------------------------------------------------------|--------------|-------------|-------------|--------------|
| (1) Briefing of cabin crew by the senior cabin crew member prior to commencement of a flight or series of flights | x | | | |
| (2) Check of safety and emergency equipment in accordance with operator's policies and procedures | x | | | |
| (3) Security checks as applicable | x | | | x |
| (4) Passenger embarkation and disembarkation | x | | | x |
| (5) Securing of passenger cabin (e.g. seat belts, cabin cargo/baggage, IFE system) | x | | x | |
| (6) Securing of galleys and stowage of equipment | x | if required | x | |
| (7) Arming of door/exit slides | x | | | |
| (8) Safety briefing/information to passengers | x | x | x | x |
| (9) 'Cabin secure' report to flight crew | x | if required | x | |
| (10) Operation of cabin lights | x | if required | x | x |
| (11) Safety aspects of the IFE system (if installed) | x | x | x | x |
| (12) Cabin crew at assigned crew stations | x | if required | x | x |

| Duties | Pre-take off | In-flight | Pre-landing | Post-landing |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------|-------------|--------------|
| (13) Surveillance of passenger cabin | x | x | x | x |
| (14) Prevention and detection of fire in the cabin (including the combi-cargo area, crew rest areas, galleys, lavatories and any other cabin remote areas) and instructions for actions to be taken | x | x | x | x |
| (15) Actions to be taken when turbulence is encountered | | x | | |
| (16) Actions to be taken in case of in-flight incidents (e.g. medical emergency) | | x | | |
| (17) Actions to be taken in the event of emergency situations | x | x | x | x |
| (18) Disarming of door/exit slides | | | | x |
| (19) Reporting of any deficiency and/or un-serviceability of equipment and/or any incident | x | x | x | x |

- (c) The operator should specify the contents of safety briefings for all cabin crew members prior to the commencement of a flight or series of flights.

AMC1 ORO.GEN.120(a) Means of compliance

DEMONSTRATION OF COMPLIANCE

In order to demonstrate that the Implementing Rules are met, a risk assessment should be completed and documented. The result of this risk assessment should demonstrate that an equivalent level of safety to that established by the Acceptable Means of Compliance (AMC) adopted by the CAA is reached.

AMC1 ORO.GEN.125 Terms of approval and privileges of an AOC holder

MANAGEMENT SYSTEM DOCUMENTATION

The management system documentation should contain the privileges and detailed scope of activities for which the operator is certified, as relevant to the applicable requirements. The scope of activities defined in the management system documentation should be consistent with the terms of approval.

AMC1 ORO.GEN.130 Changes related to an AOC holder

APPLICATION TIME FRAMES

- (a) The application for the amendment of an air operator certificate (AOC) should be submitted at least 30 days before the date of the intended changes.
- (b) In the case of a planned change of a nominated person in accordance with **ORO.GEN.210(b)** or of a safety manager as defined under **AMC1 ORO.GEN.200(a)(1)**, the operator should inform the CAA at least 20 days before the date of the proposed change.
- (c) Unforeseen changes should be notified at the earliest opportunity, in order to enable the CAA

to determine continued compliance with the applicable requirements and to amend, if necessary, the AOC and related terms of approval.

GM1 ORO.GEN.130(a) Changes related to an AOC holder

GENERAL

- (a) Typical examples of changes that may affect the AOC or the operations specifications or the operator's management system, as required in **ORO.GEN.200(a)(1) and (a)(2)**, are listed below:
- (1) the name of the operator;
 - (2) a change of legal entity;
 - (3) the operator's principal place of business;
 - (4) the operator's scope of activities;
 - (5) additional locations of the operator;
 - (6) the accountable manager referred to in **ORO.GEN.210(a)**;
 - (7) reporting lines between the accountable manager and the nominated person;
 - (8) the operator's documentation, as required by this Annex, safety policy and procedures;
 - (9) the facilities.
- (b) Prior approval by the CAA is required for any changes to the operator's procedure describing how changes not requiring prior approval will be managed and notified to the CAA.
- (c) Changes requiring prior approval may only be implemented upon receipt of formal approval by the CAA.

GM2 ORO.GEN.130(a) Changes related to an AOC holder

CHANGE OF NAME

A change of name requires the operator to submit a new application as a matter of urgency.

Where this is the only change to report, the new application can be accompanied by a copy of the documentation previously submitted to the CAA under the previous name, as a means of demonstrating how the operator complies with the applicable requirements.

AMC1 ORO.GEN.130(b) Changes related to an AOC holder

MANAGEMENT OF CHANGES REQUIRING PRIOR APPROVAL

For changes requiring prior approval, the operators should conduct a safety risk assessment and provide it to the CAA upon request.

GM1 ORO.GEN.130(b) Changes related to an AOC holder

CHANGES REQUIRING PRIOR APPROVAL

The following GM is a non-exhaustive checklist of items that require prior approval from the CAA as specified in the applicable Implementing Rules:

- (a) alternative means of compliance;

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- (b) procedures regarding items to be notified to the CAA;
 - (c) cabin crew:
 - (1) conduct of the training, examination and checking required by Annex V (Part-CC) to Commission Regulation (EU) No 1178/2011¹ and issue of cabin crew attestations;
 - (2) procedures for cabin crew to operate on four aircraft types;
 - (3) training programmes, including syllabi;
 - (d) leasing agreements;
 - (e) procedure for the use of aircraft included in an AOC by other operators for NCC, NCO and specialised operations, as required by **ORO.GEN.310**;
 - (f) specific approvals in accordance with Annex V (Part-SPA);
 - (g) dangerous goods training programmes;
 - (h) flight crew:
 - (1) alternative training and qualification programmes (ATQPs);
 - (2) procedures for flight crew to operate on more than one type or variant;
 - (3) training and checking programmes, including syllabi and use of flight simulation training devices (FSTDs);
 - (i) fuel policy;
 - (j) helicopter operations:
 - (1) over a hostile environment located outside a congested area, unless the operator holds an approval to operate according to Subpart J of Annex V (SPA.HEMS);
 - (2) to/from a public interest site;
 - (3) without an assured safe forced landing capability;
 - (k) mass and balance: standard masses for load items other than standard masses for passengers and checked baggage;
 - (l) minimum equipment list (MEL):
 - (1) MEL;
 - (2) operating other than in accordance with the MEL, but within the constraints of the master minimum equipment list (MMEL);
 - (3) rectification interval extension (RIE) procedures;
 - (m) minimum flight altitudes:
 - (1) the method for establishing minimum flight altitudes;
 - (2) descent procedures to fly below specified minimum altitudes;
 - (n) performance:
 - (1) increased bank angles at take-off (for performance class A aeroplanes);
 - (2) short landing operations (for performance class A and B aeroplanes);
 - (3) steep approach operations (for performance class A and B aeroplanes);
-

¹ Commission Regulation (EU) No 1178/2011 of 3 November 2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 311, 25.11.2011, p. 1).

- (o) isolated aerodrome: using an isolated aerodrome as destination aerodrome for operations with aeroplanes;
- (p) approach flight technique:
 - (1) all approaches not flown as stabilised approaches for a particular approach to a particular runway;
 - (2) non-precision approaches not flown with the continuous descent final approach (CDFA) technique for each particular approach/runway combination;
- (q) maximum distance from an adequate aerodrome for two-engined aeroplanes without an extended range operations with two-engined aeroplanes (ETOPS) approval:
 - (1) air operations with two-engined performance class A aeroplanes with a maximum operational passenger seating configuration (MOPSC) of 19 or less and a maximum take-off mass less than 45 360 kg, over a route that contains a point further than 120 minutes from an adequate aerodrome, under standard conditions in still air;
- (r) aircraft categories:
 - (1) Applying a lower landing mass than the maximum certified landing mass for determining the indicated airspeed at threshold (VAT).
- (s) commercial air transport operations with single-engined turbine aeroplanes in instrument meteorological conditions or at night (CAT SET-IMC).

AMC1 ORO.GEN.150(b) Findings

GENERAL

The corrective action plan defined by the operator should address the effects of the non-compliance, as well as its root cause.

GM1 ORO.GEN.150 Findings

GENERAL

- (a) Preventive action is the action to eliminate the cause of a potential non-compliance or other undesirable potential situation.
- (b) Corrective action is the action to eliminate or mitigate the root cause(s) and prevent recurrence of an existing detected non-compliance or other undesirable condition or situation. Proper determination of the root cause is crucial for defining effective corrective actions to prevent reoccurrence.
- (c) Correction is the action to eliminate a detected non-compliance.

AMC1 ORO.GEN.160 Occurrence reporting

GENERAL

- (a) The operator should report all occurrences defined in AMC 20-8, and as required by the applicable national rules implementing Regulation (EU) No 376/2014 on occurrence reporting in civil aviation.
- (b) In addition to the reports required by AMC 20-8 and Regulation (EU) No 376/2014, the operator should report volcanic ash clouds encountered during flight.

AMC2 ORO.GEN.160 Occurrence reporting

REPORTABLE EVENTS OF PBN OPERATIONS

- (a) A reportable event should be an event that adversely affects the safety of the operation and may be caused by actions or events external to the functioning of the aircraft navigation system.
- (b) Technical defects and the exceedance of technical limitations, including:
 - (1) significant navigation errors attributed to incorrect data or a database coding error;
 - (2) unexpected deviations in lateral/vertical flight path not caused by flight crew input or erroneous operation of equipment;
 - (3) significant misleading information without a failure warning;
 - (4) total loss or multiple navigation equipment failure; and
 - (5) loss of integrity, e.g. RAIM function, whereas integrity was predicted to be available during preflight planning,should be considered a reportable event.
- (c) The operator should have in place a system for investigating a reportable event to determine if it is due to an improperly coded procedure or a navigation database error. The operator should initiate corrective actions for such an event.

SECTION 2 – MANAGEMENT

AMC1 ORO.GEN.200(a)(1);(2);(3);(5) Management system

NON-COMPLEX OPERATORS — GENERAL

- (a) Safety risk management may be performed using hazard checklists or similar risk management tools or processes, which are integrated into the activities of the operator.
- (b) The operator should manage safety risks related to a change. The management of change should be a documented process to identify external and internal change that may have an adverse effect on safety. It should make use of the operator's existing hazard identification, risk assessment and mitigation processes.
- (c) The operator should identify a person who fulfils the role of safety manager and who is responsible for coordinating the safety-management-related processes and tasks. This person may be the accountable manager or a person with an operational role within the operator.
- (d) Within the operator, responsibilities should be identified for hazard identification, risk assessment and mitigation.
- (e) The safety policy should include a commitment to improve towards the highest safety standards, comply with all applicable legal requirements, meet all applicable standards, consider best practices and provide appropriate resources.
- (f) The operator should, in cooperation with other stakeholders, develop, coordinate and maintain an emergency response plan (ERP) that ensures orderly and safe transition from normal to emergency operations and return to normal operations. The ERP should provide the actions to be taken by the operator or specified individuals in an emergency and reflect the size, nature and complexity of the activities performed by the operator.

AMC1 ORO.GEN.200(a)(1) Management system

COMPLEX OPERATORS — ORGANISATION AND ACCOUNTABILITIES

The management system of an operator should encompass safety by including a safety manager and a safety review board in the organisational structure.

- (a) Safety manager
 - (1) The safety manager should act as the focal point and be responsible for the development, administration and maintenance of an effective safety management system.
 - (2) The functions of the safety manager should be to:
 - (i) facilitate hazard identification, risk analysis and management;
 - (ii) monitor the implementation of actions taken to mitigate risks, as listed in the safety action plan;
 - (iii) provide periodic reports on safety performance;
 - (iv) ensure maintenance of safety management documentation;
 - (v) ensure that there is safety management training available and that it meets acceptable standards;
 - (vi) provide advice on safety matters; and

- (vii) ensure initiation and follow-up of internal occurrence/accident investigations.
- (3) If more than one person is designated for the safety management function, the accountable manager should identify the person who acts as the unique focal point (i.e. the 'safety manager').
- (b) Safety review board
 - (1) The safety review board should be a high level committee that considers matters of strategic safety in support of the accountable manager's safety accountability.
 - (2) The board should be chaired by the accountable manager and be composed of heads of functional areas.
 - (3) The safety review board should monitor:
 - (i) safety performance against the safety policy and objectives;
 - (ii) that any safety action is taken in a timely manner; and
 - (iii) the effectiveness of the operator's safety management processes.
- (c) The safety review board should ensure that appropriate resources are allocated to achieve the established safety performance.
- (d) The safety manager or any other relevant person may attend, as appropriate, safety review board meetings. He/she may communicate to the accountable manager all information, as necessary, to allow decision making based on safety data.

GM1 ORO.GEN.200(a)(1) Management system

SAFETY MANAGER

- (a) Depending on the size of the operator and the nature and complexity of its activities, the safety manager may be assisted by additional safety personnel for the performance of all safety management related tasks.
- (b) Regardless of the organisational set-up it is important that the safety manager remains the unique focal point as regards the development, administration and maintenance of the operator's safety management system.

COMPETENCIES OF THE SAFETY MANAGER

- (c) The safety manager as defined under **AMC1 ORO.GEN.200(a)(1)** is expected to support, facilitate and lead the implementation and maintenance of the safety management system, fostering an organisational culture for an effective safety management, risk management and occurrence reporting. The competencies for a safety manager should thus include, but not be limited to, the following:
 - (1) Knowledge of:
 - (i) ICAO standards and UK requirements and provisions on safety management;
 - (ii) basic safety investigation techniques; and
 - (iii) human factors in aviation.
 - (2) Relevant and documented work experience, preferably in a comparable position, in:
 - (i) management systems including compliance monitoring systems and safety management;
 - (ii) risk management; and

- (iii) the operations of the organisation.
- (3) Other suitable competencies
 - (i) the promotion of a positive safety culture;
 - (ii) interpersonal, influencing and leadership skills;
 - (iii) oral and written communication skills;
 - (iv) data management, analytical and problem-solving skills;
 - (v) professional integrity.

GM2 ORO.GEN.200(a)(1) Management system

COMPLEX OPERATORS — SAFETY ACTION GROUP

- (a) A safety action group may be established as a standing group or as an ad-hoc group to assist or act on behalf of the safety review board.
- (b) More than one safety action group may be established depending on the scope of the task and specific expertise required.
- (c) The safety action group should report to and take strategic direction from the safety review board and should be comprised of managers, supervisors and personnel from operational areas.
- (d) The safety action group should:
 - (1) monitor operational safety;
 - (2) define actions to mitigate the identified safety risks;
 - (3) assess the impact on safety of operational changes; and
 - (4) ensure that safety actions are implemented within agreed timescales.
- (e) The safety action group should review the effectiveness of previous safety recommendations and safety promotion.

GM3 ORO.GEN.200(a)(1) Management system

MEANING OF THE TERMS 'ACCOUNTABILITY' AND 'RESPONSIBILITY'

In the English language, the notion of accountability is different from the notion of responsibility. Whereas 'accountability' refers to an obligation which cannot be delegated, 'responsibility' refers to an obligation that can be delegated.

AMC1 ORO.GEN.200(a)(2) Management system

COMPLEX OPERATORS — SAFETY POLICY

- (a) The safety policy should:
 - (1) be endorsed by the accountable manager;
 - (2) reflect organisational commitments regarding safety and its proactive and systematic management;
 - (3) be communicated, with visible endorsement, throughout the operator; and
 - (4) include safety reporting principles.

- (b) The safety policy should include a commitment:
- (1) to improve towards the highest safety standards;
 - (2) to comply with all applicable legislation, meet all applicable standards and consider best practices;
 - (3) to provide appropriate resources;
 - (4) to enforce safety as one primary responsibility of all managers; and
 - (5) not to blame someone for reporting something which would not have been otherwise detected.
- (c) Senior management should:
- (1) continually promote the safety policy to all personnel and demonstrate their commitment to it;
 - (2) provide necessary human and financial resources for its implementation; and
 - (3) establish safety objectives and performance standards.

GM1 ORO.GEN.200(a)(2) Management system

SAFETY POLICY

The safety policy is the means whereby the operator states its intention to maintain and, where practicable, improve safety levels in all its activities and to minimise its contribution to the risk of an aircraft accident as far as is reasonably practicable.

The safety policy should state that the purpose of safety reporting and internal investigations is to improve safety, not to apportion blame to individuals.

AMC1 ORO.GEN.200(a)(3) Management system

COMPLEX OPERATORS — SAFETY RISK MANAGEMENT

- (a) Hazard identification processes
- (1) Reactive and proactive schemes for hazard identification should be the formal means of collecting, recording, analysing, acting on and generating feedback about hazards and the associated risks that affect the safety of the operational activities of the operator.
 - (2) All reporting systems, including confidential reporting schemes, should include an effective feedback process.
- (b) Risk assessment and mitigation processes
- (1) A formal risk management process should be developed and maintained that ensures analysis (in terms of likelihood and severity of occurrence), assessment (in terms of tolerability) and control (in terms of mitigation) of risks to an acceptable level.
 - (2) The levels of management who have the authority to make decisions regarding the tolerability of safety risks, in accordance with (b)(1), should be specified.
- (c) Internal safety investigation
- (1) The scope of internal safety investigations should extend beyond the scope of occurrences required to be reported to the CAA.
- (d) Safety performance monitoring and measurement

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- (1) Safety performance monitoring and measurement should be the process by which the safety performance of the operator is verified in comparison to the safety policy and objectives.
 - (2) This process should include:
 - (i) safety reporting, addressing also the status of compliance with the applicable requirements;
 - (ii) safety studies, that is, rather large analyses encompassing broad safety concerns;
 - (iii) safety reviews including trends reviews, which would be conducted during introduction and deployment of new technologies, change or implementation of procedures, or in situations of structural change in operations;
 - (iv) safety audits focussing on the integrity of the operator's management system, and periodically assessing the status of safety risk controls; and
 - (v) safety surveys, examining particular elements or procedures of a specific operation, such as problem areas or bottlenecks in daily operations, perceptions and opinions of operational personnel and areas of dissent or confusion.
 - (e) The management of change

The operator should manage safety risks related to a change. The management of change should be a documented process to identify external and internal change that may have an adverse effect on safety. It should make use of the operator's existing hazard identification, risk assessment and mitigation processes.
 - (f) Continuous improvement

The operator should continuously seek to improve its safety performance. Continuous improvement should be achieved through:

 - (1) proactive and reactive evaluations of facilities, equipment, documentation and procedures through safety audits and surveys;
 - (2) proactive evaluation of individuals' performance to verify the fulfilment of their safety responsibilities; and
 - (3) reactive evaluations in order to verify the effectiveness of the system for control and mitigation of risk.
 - (g) The emergency response plan (ERP)
 - (1) An ERP should be established that provides the actions to be taken by the operator or specified individuals in an emergency. The ERP should reflect the size, nature and complexity of the activities performed by the operator.
 - (2) The ERP should ensure:
 - (i) an orderly and safe transition from normal to emergency operations;
 - (ii) safe continuation of operations or return to normal operations as soon as practicable; and
 - (iii) coordination with the emergency response plans of other organisations, where appropriate.

GM1 ORO.GEN.200(a)(3) Management system

INTERNAL SAFETY REPORTING SCHEME

- (a) The overall purpose of the internal safety reporting scheme is to use reported information to improve the level of the safety performance of the operator and not to attribute blame.
- (b) The objectives of the scheme are to:
 - (1) enable an assessment to be made of the safety implications of each relevant incident and accident, including previous similar occurrences, so that any necessary action can be initiated; and
 - (2) ensure that knowledge of relevant incidents and accidents is disseminated, so that other persons and operators may learn from them.
- (c) The scheme is an essential part of the overall monitoring function and it is complementary to the normal day-to-day procedures and 'control' systems and is not intended to duplicate or supersede any of them. The scheme is a tool to identify those instances where routine procedures have failed.
- (d) All occurrence reports judged reportable by the person submitting the report should be retained as the significance of such reports may only become obvious at a later date.

GM2 ORO.GEN.200(a)(3) Management system

RISK MANAGEMENT OF FLIGHT OPERATIONS WITH KNOWN OR FORECAST VOLCANIC ASH CONTAMINATION

- (a) Responsibilities

The operator is responsible for the safety of its operations, including within an area with known or forecast volcanic ash contamination.

The operator should complete this assessment of safety risks related to known or forecast volcanic ash contamination as part of its management system before initiating operations into airspace forecast to be or aerodromes/operating sites known to be contaminated with volcanic ash.

This process is intended to ensure the operator takes account of the likely accuracy and quality of the information sources it uses in its management system and to demonstrate its own competence and capability to interpret data from different sources in order to achieve the necessary level of data integrity reliably and correctly resolve any conflicts among data sources that may arise.

In order to decide whether or not to operate into airspace forecast to be or aerodromes/operating sites known to be contaminated with volcanic ash, the operator should make use of the safety risk assessment within its management system, as required by **ORO.GEN.200**.

The operator's safety risk assessment should take into account all relevant data including data from the type certificate holders (TCHs) regarding the susceptibility of the aircraft they operate to volcanic cloud-related airworthiness effects, the nature and severity of these effects and the related pre-flight, in-flight and post-flight precautions to be observed by the operator.

The operator should ensure that personnel required to be familiar with the details of the safety risk assessments receives all relevant information (both pre-flight and in-flight) in order to be in a position to apply appropriate mitigation measures as specified by the safety risk assessments.

(b) Procedures

The operator should have documented procedures for the management of operations into airspace forecast to be or aerodromes/operating sites known to be contaminated with volcanic ash.

These procedures should ensure that, at all times, flight operations remain within the accepted safety boundaries as established through the management system allowing for any variations in information sources, equipment, operational experience or organisation. Procedures should include those for flight crew, flight planners, dispatchers, operations, continuing airworthiness personnel such that they are in a position to evaluate correctly the risk of flights into airspace forecast to be contaminated by volcanic ash and to plan accordingly.

Continuing airworthiness personnel should be provided with procedures allowing them to correctly assess the need for and to execute relevant continuing airworthiness interventions.

The operator should retain sufficient qualified and competent staff to generate well supported operational risk management decisions and ensure that its staff are appropriately trained and current. It is recommended that the operator make the necessary arrangements for its relevant staff to take up opportunities to be involved in volcanic ash exercises conducted in their areas of operation.

(c) Volcanic activity information and operator's potential response

Before and during operations, information valuable to the operator is generated by various volcano agencies worldwide. The operator's risk assessment and mitigating actions need to take account of, and respond appropriately to, the information likely to be available during each phase of the eruptive sequence from pre-eruption through to end of eruptive activity. It is nevertheless noted that eruptions rarely follow a deterministic pattern of behaviour. A typical operator's response may consist of the following:

(1) Pre-eruption

The operator should have in place a robust mechanism for ensuring that it is constantly vigilant for any alerts of pre-eruption volcanic activity relevant to its operations. The staff involved need to understand the threat to safe operations that such alerts represent.

An operator whose routes traverse large, active volcanic areas for which immediate International Airways Volcano Watch (IAVW) alerts may not be available, should define its strategy for capturing information about increased volcanic activity before pre-eruption alerts are generated. For example, an operator may combine elevated activity information with information concerning the profile and history of the volcano to determine an operating policy, which could include re-routing or restrictions at night. This would be useful when dealing with the 60% of volcanoes which are unmonitored.

Such an operator should also ensure that its crews are aware that they may be the first to observe an eruption and so need to be vigilant and ready to ensure that this information is made available for wider dissemination as quickly as possible.

(2) Start of an eruption

Given the likely uncertainty regarding the status of the eruption during the early stages of an event and regarding the associated volcanic cloud, the operator's procedures

should include a requirement for crews to initiate re-routes to avoid the affected airspace.

The operator should ensure that flights are planned to remain clear of the affected areas and that consideration is given to available aerodromes/operating sites and fuel requirements.

It is expected that the following initial actions will be taken by the operator:

- (i) determine if any aircraft in flight could be affected, alert the crew and provide advice on re-routing and available aerodromes/operating sites as required;
- (ii) alert management;
- (iii) for flight departures, brief flight crew and revise flight and fuel planning in accordance with the safety risk assessment;
- (iv) alert flight crew and operations staff to the need for increased monitoring of information (e.g. special air report (AIREP), volcanic activity report (VAR), significant weather information (SIGMET), NOTAMs and company messages);
- (v) initiate the gathering of all data relevant to determining the risk; and
- (vi) apply mitigations identified in the safety risk assessment.

(3) On-going eruption

As the eruptive event develops, the operator can expect the responsible Volcanic Ash Advisory Centre (VAAC) to provide volcanic ash advisory messages (VAA/VAGs) defining, as accurately as possible, the vertical and horizontal extent of areas and layers of volcanic clouds. As a minimum, the operator should monitor, and take account of, this VAAC information as well as of relevant SIGMETs and NOTAMs.

Other sources of information are likely to be available such as VAR/AIREPs, satellite imagery and a range of other information from State and commercial organisations. The operator should plan its operations in accordance with its safety risk assessment taking into account the information that it considers accurate and relevant from these additional sources.

The operator should carefully consider and resolve differences or conflicts among the information sources, notably between published information and observations (pilot reports, airborne measurements, etc.).

Given the dynamic nature of the volcanic hazards, the operator should ensure that the situation is monitored closely and operations adjusted to suit changing conditions.

The operator should be aware that the affected or danger areas may be established and presented in a different way than the one currently used in Europe, as described in EUR Doc 019-NAT Doc 006.

The operator should require reports from its crews concerning any encounters with volcanic emissions. These reports should be passed immediately to the appropriate air traffic services (ATS) unit and to the CAA.

For the purpose of flight planning, the operator should treat the horizontal and vertical limits of the temporary danger area (TDA) or airspace forecast to be contaminated by volcanic ash as applicable, to be overflowed as it would mountainous terrain, modified in accordance with its safety risk assessment. The operator should take account of the risk of cabin depressurisation or engine failure resulting in the inability to maintain level flight

above a volcanic cloud, especially when conducting ETOPS operations. Additionally, minimum equipment list (MEL) provisions should be considered in consultation with the TCHs.

Flying below volcanic ash contaminated airspace should be considered on a case-by-case basis. It should only be planned to reach or leave an aerodrome/operating site close to the boundary of this airspace or where the ash contamination is very high and stable. The establishment of Minimum Sector Altitude (MSA) and the availability of aerodromes/operating sites should be considered.

(d) Safety risk assessment

When directed specifically at the issue of intended flight into airspace forecast to be or aerodromes/operating sites known to be contaminated with volcanic ash, the process should involve the following:

(1) Identifying the hazards

The generic hazard, in the context of this document, is airspace forecast to be or aerodromes/operating sites known to be contaminated with volcanic ash, and whose characteristics are harmful to the airworthiness and operation of the aircraft.

This GM is referring to volcanic ash contamination since it is the most significant hazard for flight operations in the context of a volcanic eruption. Nevertheless, it might not be the only hazard and therefore the operator should consider additional hazards which could have an adverse effect on aircraft structure or passengers safety such as gases.

Within this generic hazard, the operator should develop its own list of specific hazards taking into account its specific aircraft, experience, knowledge and type of operation, and any other relevant data stemming from previous eruptions.

(2) Considering the severity and consequences of the hazard occurring (i.e. the nature and actual level of damage expected to be inflicted on the particular aircraft from exposure to that volcanic ash cloud).

(3) Evaluating the likelihood of encountering volcanic ash clouds with characteristics harmful to the safe operation of the aircraft.

For each specific hazard within the generic hazard, the likelihood of adverse consequences should be assessed, either qualitatively or quantitatively.

(4) Determining whether the consequent risk is acceptable and within the operator's risk performance criteria.

At this stage of the process, the safety risks should be classified as acceptable or unacceptable. The assessment of tolerability will be subjective, based on qualitative data and expert judgement, until specific quantitative data are available in respect of a range of parameters.

(5) Taking action to reduce the safety risk to a level that is acceptable to the operator's management.

Appropriate mitigation for each unacceptable risk identified should then be considered in order to reduce the risk to a level acceptable to the operator's management.

(e) Procedures to be considered when identifying possible mitigations actions

When conducting a volcanic ash safety risk assessment, the operator should consider the following non-exhaustive list of procedures and processes as mitigation:

(1) Type certificate holders

Obtaining advice from the TCHs and other engineering sources concerning operations in potentially contaminated airspace and/or aerodromes/operating sites contaminated by volcanic ash.

This advice should set out:

- (i) the features of the aircraft that are susceptible to airworthiness effects related to volcanic ash;
- (ii) the nature and severity of these effects;
- (iii) the effect of volcanic ash on operations to/from contaminated aerodromes/operating sites, including the effect on take-off and landing aircraft performance;
- (iv) the related pre-flight, in-flight and post-flight precautions to be observed by the operator including any necessary amendments to aircraft operating manuals, aircraft maintenance manuals, master minimum equipment list/dispatch deviation or equivalents; and
- (v) the recommended inspections associated with operations in volcanic ash potentially contaminated airspace and operations to/from volcanic ash contaminated aerodromes/operating sites; this may take the form of instructions for continuing airworthiness or other advice.

(2) Operator/contracted organisations' personnel

Definition of procedures for flight planning, operations, engineering and maintenance ensuring that:

- (i) personnel responsible for flight planning are in a position to evaluate correctly the risk of encountering volcanic ash contaminated airspace, or aerodromes/operating sites, and can plan accordingly;
- (ii) flight planning and operational procedures enable crews to avoid areas and aerodromes/operating sites with unacceptable volcanic ash contamination;
- (iii) flight crew are aware of the possible signs of entry into a volcanic ash cloud and execute the associated procedures;
- (iv) continuing airworthiness personnel are able to assess the need for and to execute any necessary maintenance or other required interventions; and
- (v) crews are provided with appropriate aircraft performance data when operating to/from aerodromes/operating sites contaminated with volcanic ash.

(3) Provision of enhanced flight watch

This should ensure:

- (i) close and continuous monitoring of VAA, VAR/AIREP, SIGMET, NOTAM, ASHTAM and other relevant information, and information from crews, concerning the volcanic ash cloud hazard;
- (ii) access to plots of the affected areas from SIGMETs, NOTAMs and relevant company information for crews and personnel responsible for the management and the supervision of the flight operations; and
- (iii) communication of the latest information to crews and personnel responsible for the management and the supervision of the flight operations in a timely fashion.

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- (4) Flight planning
- Flexibility of the process to allow re-planning at short notice should conditions change.
- (5) Departure, destination and alternate aerodromes
- For the airspace to be traversed, or the aerodromes/operating sites in use, parameters to evaluate and take account of:
- (i) the probability of contamination;
 - (ii) any additional aircraft performance requirements;
 - (iii) required maintenance considerations;
 - (iv) fuel requirements for re-routeing and extended holding.
- (6) Routing policy
- Parameters to evaluate and take account of:
- (i) the shortest period in and over the forecast contaminated area;
 - (ii) the hazards associated with flying over the contaminated area;
 - (iii) drift down and emergency descent considerations;
 - (iv) the policy for flying below the contaminated airspace and the associated hazards.
- (7) Diversion policy
- Parameters to evaluate and take account of:
- (i) maximum allowed distance from a suitable aerodrome/operating site;
 - (ii) availability of aerodromes/operating sites outside the forecast contaminated area;
 - (iii) diversion policy after an volcanic ash encounter.
- (8) Minimum equipment list (MEL)
- Additional provisions in the MEL for dispatching aircraft with unserviceabilities that might affect the following non-exhaustive list of systems:
- (i) air conditioning packs;
 - (ii) engine bleeds;
 - (iii) pressurisation system;
 - (iv) electrical power distribution system;
 - (v) air data system;
 - (vi) standby instruments;
 - (vii) navigation systems;
 - (viii) de-icing systems;
 - (ix) engine-driven generators;
 - (x) auxiliary power unit (APU);
 - (xi) airborne collision avoidance system (ACAS);
 - (xii) terrain awareness warning system (TAWS);
 - (xiii) autoland systems;
 - (xiv) provision of crew oxygen;

(xv) supplemental oxygen for passengers.

(9) Standard operating procedures

Crew training to ensure they are familiar with normal and abnormal operating procedures and particularly any changes regarding but not limited to:

- (i) pre-flight planning;
- (ii) in-flight monitoring of volcanic ash cloud affected areas and avoidance procedures;
- (iii) diversion;
- (iv) communications with ATC;
- (v) in-flight monitoring of engine and systems potentially affected by volcanic ash cloud contamination;
- (vi) recognition and detection of volcanic ash clouds and reporting procedures;
- (vii) in-flight indications of a volcanic ash cloud encounter;
- (viii) procedures to be followed if a volcanic ash cloud is encountered;
- (ix) unreliable or erroneous airspeed;
- (x) non-normal procedures for engines and systems potentially affected by volcanic ash cloud contamination;
- (xi) engine-out and engine relight;
- (xii) escape routes; and
- (xiii) operations to/from aerodromes/operating sites contaminated with volcanic ash.

(10) Provision for aircraft technical log

This should ensure:

- (i) systematic entry in the aircraft technical log related to any actual or suspected volcanic ash encounter whether in-flight or at an aerodrome/operating site; and
- (ii) checking, prior to flight, of the completion of maintenance actions related to an entry in the aircraft technical log for a volcanic ash cloud encounter on a previous flight.

(11) Incident reporting

Crew requirements for:

- (i) reporting an airborne volcanic ash cloud encounter (VAR);
- (ii) post-flight volcanic ash cloud reporting (VAR);
- (iii) reporting non-encounters in airspace forecast to be contaminated; and
- (iv) filing a mandatory occurrence report in accordance with **ORO.GEN.160**.

(12) Continuing airworthiness procedures

Procedures when operating in or near areas of volcanic ash cloud contamination:

- (i) enhancement of vigilance during inspections and regular maintenance and appropriate adjustments to maintenance practices;
- (ii) definition of a follow-up procedure when a volcanic ash cloud encounter has been reported or suspected;

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- (iii) thorough investigation for any sign of unusual or accelerated abrasions or corrosion or of volcanic ash accumulation;
 - (iv) reporting to TCHs and the relevant authorities observations and experiences from operations in areas of volcanic ash cloud contamination;
 - (v) completion of any additional maintenance recommended by the TCH or by the CAA.

(f) Reporting

The operator should ensure that reports are immediately submitted to the nearest ATS unit using the VAR/AIREP procedures followed up by a more detailed VAR on landing together with, as applicable, a report, as defined in Commission Regulation (EU) No 996/2010 and Regulation (EU) No 376/2014, and an aircraft technical log entry for:

- (1) any incident related to volcanic clouds;
- (2) any observation of volcanic ash activity; and
- (3) any time that volcanic ash is not encountered in an area where it was forecast to be.

(g) References

Further guidance on volcanic ash safety risk assessment is given in ICAO Doc. 9974 (Flight safety and volcanic ash — Risk management of flight operations with known or forecast volcanic ash contamination).

GM3 ORO.GEN.200(a)(3) Management system

SAFETY RISK ASSESSMENT — RISK REGISTER

The results of the assessment of the potential adverse consequences or outcome of each hazard may be recorded by the operator in a risk register, an example of which is provided below.

| Hazard | | Incident Sequence Description | Existing Controls | Outcome (Pre-Mitigation) | | | Additional Mitigation required | Outcome (Post-Mitigation) | | | Actions and Owners | Monitoring and Review Requirements |
|--------|-------------|-------------------------------------|----------------------|-----------------------------|------------|------|--------------------------------------|------------------------------|------------|------|-----------------------|------------------------------------------|
| No. | Description | | | Severity | Likelihood | Risk | | Severity | Likelihood | Risk | | |
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GM4 ORO.GEN.200(a)(3) Management system

COMPLEX ORGANISATIONS — SAFETY RISK MANAGEMENT — INTERFACES BETWEEN ORGANISATIONS

- (a) Hazard identification and risk assessment start with an identification of all parties involved in the arrangement, including independent experts and non-approved organisations. It extends to the overall control structure, assessing, in particular, the following elements across all subcontract levels and all parties within such arrangements:
 - (1) coordination and interfaces between the different parties;
 - (2) applicable procedures;
 - (3) communication between all parties involved, including reporting and feedback channels;
 - (4) task allocation responsibilities and authorities; and
 - (5) qualifications and competency of key personnel.
- (b) Safety risk management focuses on the following aspects:
 - (1) clear assignment of accountability and allocation of responsibilities;
 - (2) only one party is responsible for a specific aspect of the arrangement — no overlapping or conflicting responsibilities, in order to eliminate coordination errors;
 - (3) existence of clear reporting lines, both for occurrence reporting and progress reporting;
 - (4) possibility for staff to directly notify the operator of any hazard suggesting an obviously unacceptable safety risk as a result of the potential consequences of this hazard.

AMC1 ORO.GEN.200(a)(4) Management system

TRAINING AND COMMUNICATION ON SAFETY

- (a) Training
 - (1) All personnel should receive safety training as appropriate for their safety responsibilities.
 - (2) Adequate records of all safety training provided should be kept.
- (b) Communication
 - (1) The operator should establish communication about safety matters that:
 - (i) ensures that all personnel are aware of the safety management activities as appropriate for their safety responsibilities;
 - (ii) conveys safety critical information, especially relating to assessed risks and analysed hazards;
 - (iii) explains why particular actions are taken; and
 - (iv) explains why safety procedures are introduced or changed.
 - (2) Regular meetings with personnel where information, actions and procedures are discussed may be used to communicate safety matters.

GM1 ORO.GEN.200(a)(4) Management system

TRAINING AND COMMUNICATION ON SAFETY

The safety training programme may consist of self-instruction via the media (newsletters, flight safety magazines), classroom training, e-learning or similar training provided by training service providers.

AMC1 ORO.GEN.200(a)(5) Management system

MANAGEMENT SYSTEM DOCUMENTATION — GENERAL

- (a) The operator's management system documentation should at least include the following information:
 - (1) a statement signed by the accountable manager to confirm that the operator will continuously work in accordance with the applicable requirements and the operator's documentation, as required by this Annex;
 - (2) the operator's scope of activities;
 - (3) the titles and names of persons referred to in **ORO.GEN.210(a) and (b)**;
 - (4) an operator chart showing the lines of responsibility between the persons referred to in **ORO.GEN.210**;
 - (5) a general description and location of the facilities referred to in **ORO.GEN.215**;
 - (6) procedures specifying how the operator ensures compliance with the applicable requirements;
 - (7) the amendment procedure for the operator's management system documentation.
- (b) The operator's management system documentation may be included in a separate manual or in (one of) the manual(s), as required by the applicable subpart(s). A cross-reference should be included.

AMC2 ORO.GEN.200(a)(5) Management system

COMPLEX OPERATORS — SAFETY MANAGEMENT MANUAL

- (a) The safety management manual (SMM) should be the key instrument for communicating the approach to safety for the whole of the operator. The SMM should document all aspects of safety management, including the safety policy, objectives, procedures and individual safety responsibilities.
- (b) The contents of the safety management manual should include all of the following:
 - (1) scope of the safety management system;
 - (2) safety policy and objectives;
 - (3) safety accountability of the accountable manager;
 - (4) safety responsibilities of key safety personnel;
 - (5) documentation control procedures;
 - (6) hazard identification and risk management schemes;

- (7) safety action planning;
 - (8) safety performance monitoring;
 - (9) incident investigation and reporting;
 - (10) emergency response planning;
 - (11) management of change (including organisational changes with regard to safety responsibilities);
 - (12) safety promotion.
- (c) The SMM may be contained in (one of) the manual(s) of the operator.

GM1 ORO.GEN.200(a)(5) Management system

MANAGEMENT SYSTEM DOCUMENTATION — GENERAL

- (a) It is not required to duplicate information in several manuals. The information may be contained in any of the operator manuals (e.g. operations manual), which may also be combined.
- (b) The operator may also choose to document some of the information required to be documented in separate documents (e.g. procedures). In this case, it should ensure that manuals contain adequate references to any document kept separately. Any such documents are then to be considered an integral part of the operator's management system documentation.

AMC1 ORO.GEN.200(a)(6) Management system

COMPLIANCE MONITORING — GENERAL

- (a) Compliance monitoring

The implementation and use of a compliance monitoring function should enable the operator to monitor compliance with the relevant requirements of this Annex and other applicable Annexes.

 - (1) The operator should specify the basic structure of the compliance monitoring function applicable to the activities conducted.
 - (2) The compliance monitoring function should be structured according to the size of the operator and the complexity of the activities to be monitored.
- (b) Organisations should monitor compliance with the procedures they have designed to ensure safe activities. In doing so, they should as a minimum, and where appropriate, monitor compliance with the following:
 - (1) privileges of the operator;
 - (2) manuals, logs, and records;
 - (3) training standards;
 - (4) management system procedures and manuals;
 - (5) activities of the organisation carried out under the supervision of the nominated persons in accordance with **ORO.GEN.210(b)**; and

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- (6) any outsourced activities in accordance with **ORO.GEN.205**, for compliance with the contract.
- (c) Organisational set up
- (1) To ensure that the operator continues to meet the requirements of this Part and other applicable Parts, the accountable manager should designate a compliance monitoring manager. The role of the compliance monitoring manager is to ensure that the activities of the operator are monitored for compliance with the applicable regulatory requirements, and any additional requirements as established by the operator, and that these activities are carried out properly under the supervision of the relevant head of functional area.
 - (2) The compliance monitoring manager should be responsible for ensuring that the compliance monitoring programme is properly implemented, maintained and continually reviewed and improved.
 - (3) The compliance monitoring manager should:
 - (i) have direct access to the accountable manager;
 - (ii) not be one of the other persons referred to in **ORO.GEN.210(b)**;
 - (iii) be able to demonstrate relevant knowledge, background and appropriate experience related to the activities of the operator, including knowledge and experience in compliance monitoring; and
 - (iv) have access to all parts of the operator, and as necessary, any contracted operator.
 - (4) In the case of a non-complex operator, this task may be exercised by the accountable manager provided he/she has demonstrated having the related competence as defined in (c)(3)(iii).
 - (5) In the case the same person acts as compliance monitoring manager and as safety manager, the accountable manager, with regards to his/her direct accountability for safety, should ensure that sufficient resources are allocated to both functions, taking into account the size of the operator and the nature and complexity of its activities.
 - (6) The independence of the compliance monitoring function should be established by ensuring that audits and inspections are carried out by personnel not responsible for the function, procedure or products being audited.
 - (7) If more than one person is designated for the compliance monitoring function, the accountable manager should identify the person who acts as the unique focal point (i.e. the 'compliance monitoring manager').
- (d) Compliance monitoring documentation
- (1) Relevant documentation should include the relevant part(s) of the operator's management system documentation.
 - (2) In addition, relevant documentation should also include the following:
 - (i) terminology;
 - (ii) specified activity standards;
 - (iii) a description of the operator;
 - (iv) the allocation of duties and responsibilities;

- (v) procedures to ensure regulatory compliance;
 - (vi) the compliance monitoring programme, reflecting:
 - (A) schedule of the monitoring programme;
 - (B) audit procedures including an audit plan that is implemented, maintained, and continually reviewed and improved;
 - (C) reporting procedures;
 - (D) follow-up and corrective action procedures; and
 - (E) recording system.
 - (vii) the training syllabus referred to in (e)(2);
 - (viii) document control.
- (e) Training
- (1) Correct and thorough training is essential to optimise compliance in every operator. In order to achieve significant outcome of such training, the operator should ensure that all personnel understand the objectives as laid down in the operator's managementsystem documentation.
 - (2) Those responsible for managing the compliance monitoring function should receive training on this task. Such training should cover the requirements of compliance monitoring, manuals and procedures related to the task, audit techniques, reporting and recording.
 - (3) Time should be provided to train all personnel involved in compliance management and for briefing the remainder of the personnel.
 - (4) The allocation of time and resources should be governed by the volume and complexity of the activities concerned.

GM1 ORO.GEN.200(a)(6) Management system

COMPLIANCE MONITORING — GENERAL

- (a) The organisational set-up of the compliance monitoring function should reflect the size of the operator and the nature and complexity of its activities. The compliance monitoring manager may perform all audits and inspections himself/herself or appoint one or more auditors by choosing personnel having the related competence as defined in **AMC1 ORO.GEN.200(a)(6)** point (c)(3)(iii), either from, within or outside the operator.
- (b) Regardless of the option chosen it must be ensured that the independence of the audit function is not affected, in particular in cases where those performing the audit or inspection are also responsible for other functions for the operator.
- (c) In case external personnel are used to perform compliance audits or inspections:
 - (1) any such audits or inspections are performed under the responsibility of the compliance monitoring manager; and
 - (2) the operator remains responsible to ensure that the external personnel has relevant knowledge, background and experience as appropriate to the activities being audited or inspected; including knowledge and experience in compliance monitoring.

- (d) The operator retains the ultimate responsibility for the effectiveness of the compliance monitoring function, in particular for the effective implementation and follow-up of all corrective actions.

GM2 ORO.GEN.200(a)(6) Management system

COMPLEX OPERATORS — COMPLIANCE MONITORING PROGRAMME

- (a) Typical subject areas for compliance monitoring audits and inspections for operators should be, as applicable:
- (1) actual flight operations;
 - (2) ground de-icing/anti-icing;
 - (3) flight support services;
 - (4) load control;
 - (5) technical standards.
- (b) Operators should monitor compliance with the operational procedures they have designed to ensure safe operations, airworthy aircraft and the serviceability of both operational and safety equipment. In doing so, they should, where appropriate, additionally monitor the following:
- (1) operational procedures;
 - (2) flight safety procedures;
 - (3) operational control and supervision;
 - (4) aircraft performance;
 - (5) all weather operations;
 - (6) communications and navigational equipment and practices;
 - (7) mass, balance and aircraft loading;
 - (8) instruments and safety equipment;
 - (9) ground operations;
 - (10) flight and duty time limitations, rest requirements, and scheduling;
 - (11) aircraft maintenance/operations interface;
 - (12) use of the MEL;
 - (13) flight crew;
 - (14) cabin crew;
 - (15) dangerous goods;
 - (16) security.

GM3 ORO.GEN.200(a)(6) Management system

NON-COMPLEX OPERATORS — COMPLIANCE MONITORING

- (a) Compliance monitoring audits and inspections may be documented on a 'Compliance Monitoring Checklist', and any findings recorded in a 'Non-compliance Report'. The following documents may be used for this purpose.

| COMPLIANCE MONITORING CHECKLIST | | | |
|-------------------------------------------------------------------------------------------------------------|--------------|------------|------------------------------------|
| Year: | | | |
| Subject | Date checked | Checked by | Comments/Non-compliance Report No. |
| Flight Operations | | | |
| Aircraft checklists checked for accuracy and validity | | | |
| Minimum five flight plans checked and verified for proper and correct information | | | |
| Flight planning facilities checked for updated manuals, documents and access to relevant flight information | | | |
| Incident reports evaluated and reported to the CAA | | | |
| Ground Handling | | | |
| Contracts with ground handling organisations established and valid, if applicable | | | |
| Instructions regarding fuelling and de-icing issued, if applicable | | | |
| Instructions regarding dangerous goods issued and known by all relevant personnel, if applicable | | | |
| Mass & Balance | | | |
| Min. five load sheets checked and verified for proper and correct information, if applicable | | | |
| Aircraft fleet checked for valid weight check, if applicable | | | |
| Minimum one check per aircraft of correct loading and distribution, if applicable | | | |
| Training | | | |
| Training records updated and accurate | | | |
| All pilot licenses checked for currency, correct ratings and valid medical check | | | |
| All pilots received recurrent training | | | |
| Training facilities & Instructors approved | | | |
| All pilots received daily inspection (DI) training | | | |
| Documentation | | | |
| All issues of operations manual (OM) checked for correct amendment status | | | |
| AOC checked for validity and appropriate operations specifications, if applicable | | | |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART GEN: GENERAL REQUIREMENTS

| | | | |
|---------------------------------------------------------|--|--|--|
| Aviation requirements applicable and updated | | | |
| Crew flight and duty time record updated, if applicable | | | |
| Flight documents record checked and updated | | | |
| Compliance monitoring records checked and updated | | | |

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|
| — NON-COMPLIANCE REPORT — No: | | |
| To Compliance Monitoring Manager | Reported by: | Date: |
| Category Flight Operations <input type="checkbox"/> Ground Handling <input type="checkbox"/> Mass & Balance <input type="checkbox"/> Training <input type="checkbox"/> Documentation <input type="checkbox"/> Other <input type="checkbox"/> | | |
| Description: | | Reference: |
| Level of finding: | | |
| Root-cause of non-compliance: | | |
| Suggested correction: | | |
| Compliance Monitoring Manager: | | |
| <input type="checkbox"/> Corrective action required <input type="checkbox"/> Corrective action not required | | |
| Responsible Person: | Time limitation: | |
| Corrective action: | Reference: | |
| Signature Responsible Person: | Date: | |
| Compliance Monitoring Manager | | |
| <input type="checkbox"/> Correction and corrective action verified <input type="checkbox"/> Report Closed | | |
| Signature Compliance Monitoring Manager: | Date: | |

GM4 ORO.GEN.200(a)(6) Management system

AUDIT AND INSPECTION

- (a) 'Audit' means a systematic, independent and documented process for obtaining evidence and evaluating it objectively to determine the extent to which requirements are complied with.
- (b) 'Inspection' means an independent documented conformity evaluation by observation and judgement accompanied as appropriate by measurement, testing or gauging, in order to verify compliance with applicable requirements.

AMC1 ORO.GEN.200(b) Management system

SIZE, NATURE AND COMPLEXITY OF THE ACTIVITY

- (a) An operator should be considered as complex when it has a workforce of more than 20 full time equivalents (FTEs) involved in the activity subject to Regulation (EC) No 216/2008¹ and its Implementing Rules.
- (b) Operators with up to 20 FTEs involved in the activity subject to Regulation (EC) No 216/2008² and its Implementing Rules may also be considered complex based on an assessment of the following factors:
 - (1) in terms of complexity, the extent and scope of contracted activities subject to the approval;
 - (2) in terms of risk criteria, the extent of the following:
 - (i) operations requiring a specific approval;
 - (ii) high-risk commercial specialised operations;
 - (iii) operations with different types of aircraft used; and
 - (iv) operations in challenging environment (offshore, mountainous area, etc.).

¹ Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC. OJ L 79, 19.3.2008, p. 1.

² Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC. OJ L 79, 19.3.2008, p. 1.

AMC1 ORO.GEN.205 Contracted activities

RESPONSIBILITY WHEN CONTRACTING ACTIVITIES

- (a) The operator may decide to contract certain activities to external organisations.
- (b) A written agreement should exist between the operator and the contracted organisation clearly defining the contracted activities and the applicable requirements.
- (c) The contracted safety-related activities relevant to the agreement should be included in the operator's safety management and compliance monitoring programmes.
- (d) The operator should ensure that the contracted organisation has the necessary authorisation or approval when required, and commands the resources and competence to undertake the task.

AMC2 ORO.GEN.205 Contracted activities

THIRD-PARTY PROVIDERS

- (a) The initial audit and/or the continuous monitoring of contracted organisations may be performed by a third-party provider on behalf of the operator when it is demonstrated that:
 - (1) a documented arrangement has been established with the third-party provider;
 - (2) the audit standards applied by the third-party provider address the scope of this Regulation in sufficient detail;
 - (3) the third-party provider uses an evaluation system, designed to assess the operational, management and control systems of the contracted organisation;
 - (4) the independence of the third-party provider, its evaluation system as well as the impartiality of the auditors is ensured;
 - (5) the auditors are appropriately qualified and have sufficient knowledge, experience and training, including on-the-job training, to perform their allocated tasks;
 - (6) audits are performed on-site;
 - (7) access to the relevant data and facilities is granted to the level of detail necessary to verify compliance with the applicable requirements;
 - (8) access to the full audit report is granted;
 - (9) procedures have been established for monitoring continuous compliance of the contracted organisation with the applicable requirements; and
 - (10) procedures have been established to notify the contracted organisation of any non-compliance with the applicable requirements, the corrective actions to be taken, the follow-up of these corrective actions, and closure of findings.

- (b) The use of a third-party provider for the initial audit or the monitoring of continuous compliance of the contracted organisation does not exempt the operator from its responsibility under the applicable requirements.
- (c) The operator should maintain a list of the contracted organisations monitored by the third-party provider. This list and the full audit report prepared by the third-party provider should be made available to the CAA upon request.

GM1 ORO.GEN.205 Contracted activities

CONTRACTING — GENERAL

- (a) Operators may decide to contract certain activities to external organisations for the provision of services related to areas such as:
 - (1) ground de-icing/anti-icing;
 - (2) ground handling;
 - (3) flight support (including performance calculations, flight planning, navigation database and dispatch);
 - (4) training; and
 - (5) manual preparation.
- (b) Contracted activities include all activities within the operator's scope of approval that are performed by another organisation either itself certified or authorised to carry out such activity or if not certified or authorised, working under the operator's approval.
- (c) The ultimate responsibility for the product or service provided by external organisations should always remain with the operator.

GM2 ORO.GEN.205 Contracted activities

RESPONSIBILITY WHEN CONTRACTING ACTIVITIES

- (a) Regardless of the approval status of the contracted organisation, the contracting operator is responsible for ensuring that all contracted activities are subject to hazard identification and risk management, as required by **ORO.GEN.200(a)(3)**, and to compliance monitoring, as required by ORO.GEN.200(a)(6).
- (b) When the contracted organisation is itself certified or authorised to carry out the contracted activities, the operator's compliance monitoring should at least check that the approval effectively covers the contracted activities and that it is still valid.

AMC1 ORO.GEN.210(a) Application for an air operator certificate

INFORMATION ON THE ACCOUNTABLE MANAGER

As part of being granted an air operator certificate (AOC), the operator should provide the CAA with the following detailed information regarding the accountable manager:

- (a) name of the accountable manager;
- (b) position within the organisation;
- (c) information on means to ensure that all activities can be financed and carried out;

- (d) qualification relevant to the position; and
- (e) work experience relevant to the position.

GM1 ORO.GEN.210(a) Personnel requirements

FUNCTION OF THE ACCOUNTABLE MANAGER

- (a) The accountable manager should have the overall responsibility for running the organisation.
- (b) When the accountable manager is not the chief executive officer, the CAA should be assured that the accountable manager has direct access to the chief executive officer and has the necessary air operations funding allocation.

AMC1 ORO.GEN.220(b) Record-keeping

GENERAL

- (a) The record-keeping system should ensure that all records are accessible whenever needed within a reasonable time. These records should be organised in a way that ensures traceability and retrievability throughout the required retention period.
- (b) Records should be kept in paper form or in electronic format or a combination of both. Records stored on microfilm or optical disc format are also acceptable. The records should remain legible throughout the required retention period. The retention period starts when the record has been created or last amended.
- (c) Paper systems should use robust material which can withstand normal handling and filing. Computer systems should have at least one backup system which should be updated within 24 hours of any new entry. Computer systems should include safeguards against the ability of unauthorised personnel to alter the data.
- (d) All computer hardware used to ensure data backup should be stored in a different location from that containing the working data and in an environment that ensures they remain in good condition. When hardware or software changes take place, special care should be taken that all necessary data continues to be accessible at least through the full period specified in the relevant subpart. In the absence of such indication, all records should be kept for a minimum period of 5 years.

GM1 ORO.GEN.220(b) Record-keeping

RECORDS

Microfilming or optical storage of records may be carried out at any time. The records should be as legible as the original record and remain so for the required retention period.

SECTION 3 – ADDITIONAL ORGANISATIONAL REQUIREMENTS

GM1 ORO.GEN.310 Use of aircraft listed on an AOC for non-commercial operations and specialised operations

EXAMPLES OF POSSIBLE SCENARIOS FOR THE USE OF AIRCRAFT LISTED ON AN AOC

'Aircraft listed on an AOC' means any aircraft included in the AOC certification process, to which the privileges of the AOC apply. The registration marks of these aircraft are indicated either in the operations specifications form or in the operations manual of the AOC holder.

The following examples provide possible scenarios with organisations and operators to which this rule applies:

- (a) The same AOC holder providing the aircraft, using the aircraft either:
 - (1) as a declared operator for SPO (commercial or non-commercial, including high-risk SPO) in accordance with Part-ORO and Part-SPO for operations with complex motor-powered aircraft. In such a case, the provisions of Part-SPO and Part-ORO apply. This implies that the operator submits a declaration for its SPO activities and applies for an authorisation if it performs high-risk SPO; or
 - (2) as a training organisation (approved training organisation (ATO) or declared training organisation (DTO)) for operations performed in accordance with Part-NCC or Part-NCO.
- (b) Another AOC holder:
 - (1) as a declared operator, using complex motor-powered aircraft for NCC operations in accordance with Part-ORO and Part-NCC or for SPO activities (commercial or non-commercial), including high-risk SPO in accordance with Part-ORO and Part-SPO;
 - (2) as a training organisation (ATO or DTO), using the aircraft for operations performed in accordance with Part-NCC or Part-NCO; or
 - (3) using other than complex motor-powered aircraft for NCO operations.
- (c) An NCC operator or a SPO operator, for operations performed in accordance with Part-ORO and Part-NCC or in accordance with Part-ORO and Part-SPO (commercial or non-commercial), including high-risk SPO.
- (d) An NCO operator or a SPO operator conducting non-commercial operations with other than complex motor-powered aircraft in accordance with Part-NCO.
- (e) A training organisation (ATO or DTO), commercial or non-commercial, conducting operations in accordance with Part-NCC or Part-NCO.

GM2 ORO.GEN.310 Use of aircraft listed on an AOC for non-commercial operations and specialised operations

SPECIFIC APPROVALS

- (a) Specific approvals (SPA) of the AOC holder using its aircraft for non-commercial operations and specialised operations
 - (1) When the AOC holder performs operations in accordance with Part-NCC or Part-NCO, the SPA granted for the AOC extend over these operations, as in such cases the provisions of **ORO.AOC.125** apply.

- (2) When the AOC holder performs operations in accordance with Part-SPO, as a declared operator, either:
- (i) the SPA applicable to its SPO activities for the same aircraft are already granted within its AOC. In this case, the operator does not need to apply for them again; or
 - (ii) the SPA applicable to its SPO activities for the same aircraft are partially different from the SPA already granted within its AOC. In this case, the specific approval will cover all the different aspects involved in SPO operation or training of relevant personnel; or
 - (iii) the SPA are not granted within its AOC. In this case, the operator applies for the relevant SPA to the CAA, in accordance with Part-SPA. This means that all the elements required for a SPA will be provided to the CAA: evidence of the relevant airworthiness approval, specific equipment approval, operational procedures, and training programme specific for each of the SPA applied for.
- (b) SPA of any other operator, regardless of whether it also holds an AOC, using the aircraft as a declared operator or as a(n) ATO/DTO.
- The declared operator performing NCC operations or SPO or the ATO/DTO has to comply with Part-SPA and apply for the SPA required for the type of operation it intends to conduct with that aircraft.

MINIMUM EQUIPMENT LIST (MEL)

The operator that uses the aircraft listed on the AOC of another operator is still responsible for obtaining the approval of the MEL for its own operations, to cover all the aircraft that it operates.

GM1 ORO.GEN.310 (a)(2) Use of aircraft listed on an AOC for non-commercial operations and specialised operations

EXCEEDING 30 DAYS OF CONTINUOUS OPERATION

When the other operator uses or intends to use the aircraft without returning it to the AOC holder for a duration that exceeds 30 days, then the provisions of **ORO.GEN.310** no longer apply; instead, the provisions of **ORO.AOC.110** apply and the AOC holder has to remove that aircraft from its AOC.

AMC1 ORO.GEN.310(b);(e) Use of aircraft listed on an AOC for non-commercial operations and specialised operations

RESPONSIBILITIES OF THE AOC HOLDER

- (a) The AOC holder providing the aircraft should include the following information in the respective parts of its operations manual:
- (1) how the relevant personnel are informed about which of the operators is responsible for the operational control of each flight;
 - (2) when possible, which of the aircraft are used by the AOC holder itself, when conducting operations as a different operator (SPO operator, ATO or DTO), or by other operators;
 - (3) when possible, the name of the other operators using the aircraft for operations performed in accordance with **ORO.GEN.310**;
 - (4) when possible, the frequency with which the aircraft is used by the other operators;
 - (5) the means of instructing the relevant personnel on the continuing airworthiness

procedure covering the use of the aircraft by other operators; and

- (6) a customised list of occurrences that the other operators have to report to the AOC holder when using the aircraft in accordance with **ORO.GEN.310**. This list may be adjusted to fit the aircraft used by the other operators, as well as the type of operation for which it is used. The AOC holder should communicate this list to the other operators.
- (b) The AOC holder should ensure that the operations specifications form of the respective aircraft is not carried on board when that aircraft is used by other operators for their NCC, NCO or SPO operations.

GM1 ORO.GEN.310(d) Use of aircraft listed on an AOC for non-commercial operations and specialised operations

CONTINUING AIRWORTHINESS MANAGEMENT

In accordance with Annex I (Part-M) and Annex Vb (Part-ML) to Regulation (EU) No 1321/2014, the management of the continuing airworthiness of the aircraft by the continuing airworthiness management organisation (CAMO) or the combined airworthiness organisation (CAO) of the AOC holder means that the other operator has established a written contract as per Appendix I to Part-M or Appendix I to Part-ML with this CAMO or CAO.

AMC1 ORO.GEN.310(b);(d);(f) Use of aircraft listed on an AOC for non-commercial operations and specialised operations

RESPONSIBILITIES OF THE OTHER OPERATOR

The other operator using the aircraft listed on an AOC for operations under **ORO.GEN.310** should include the following elements in its procedure:

- (a) a description of the way in which the shifting of operational control is communicated, including how, when and to whom the information is communicated;
- (b) a description of the specific responsibilities resulting from having the operational control of the flight performed with the aircraft listed on the AOC;
- (c) a description of the means to ensure that the relevant personnel are instructed to:
 - (1) contact the organisation responsible for the management of continuing airworthiness of the aircraft of the AOC holder (CAMO or CAO) for any defect or technical malfunction which occurs before or during the operation.

The information about any defect or malfunction should be transmitted to the CAMO or CAO of the AOC holder before the aircraft is used for the next flight. The same information should be confirmed by the entries in the aircraft technical log system; and
 - (2) report any occurrence in accordance with the applicable rules and the internal procedures; and
- (d) a customised list of occurrences, as developed by the AOC holder, which the other operator should use when informing the AOC holder of any safety-relevant issue or event that occurred while the aircraft was under its operational control.

SUBPART AOC: AIR OPERATOR CERTIFICATION

AMC1 ORO.AOC.100 Application for an AOC

APPLICATION TIME FRAMES

The application for the initial issue of an AOC should be submitted at least 90 days before the intended start date of operation. The operations manual may be submitted later, but in any case not later than 60 days before the intended start date of operation.

AMC1 ORO.AOC.100(a) Application for an air operator certificate

OPERATOR SECURITY PROGRAMME

In accordance with Regulation (EC) No 300/2008, as part of granting the AOC, the CAT operator should provide the CAA with the operator's security programme, including security training. The security programme should be adapted to the type and area of operation, as well as to the aircraft operated.

GM1 ORO.AOC.100(c) Application for an air operator certificate

MEANING OF CERTIFICATE OF AIRWORTHINESS

A certificate of airworthiness means either a certificate of airworthiness issued in accordance with Part-21.B.326 or a restricted certificate of airworthiness issued in accordance with Part-21.B.327.

AMC1 ORO.AOC.110 Leasing agreement

GENERAL

- (a) The operator intending to lease-in an aircraft should provide the CAA with the following information:
 - (1) the aircraft type, registration markings and serial number, as soon as available;
 - (2) the name and address of the registered owner;
 - (3) a copy of the valid certificate of airworthiness;
 - (4) a copy of the lease agreement or description of the lease provisions, except financial arrangements; and
 - (5) duration of the lease.
- (b) In case of wet lease-in, a copy of the AOC of the third-country operator and the areas of operation.
- (c) The information mentioned above should be accompanied by a statement signed by the lessee that the parties to the lease agreement fully understand their respective responsibilities under the applicable regulations.

AMC1 ORO.AOC.110(c) Leasing agreement

WET LEASE-IN AGREEMENT WITH A THIRD-COUNTRY OPERATOR

If the operator is not intending to apply UK safety requirements for air operations and continuing airworthiness when wet leasing-in an aircraft registered in a third country, it should demonstrate to the CAA that the standards complied with are equivalent to the following requirements:

- (a) Annex IV (Part-CAT);
- (b) Part-ORO:
 - (1) **ORO.GEN.110** and Section 2 of Subpart GEN;
 - (2) ORO.MLR, excluding **ORO.MLR.105**;
 - (3) ORO.FC;
 - (4) ORO.CC, excluding **ORO.CC.200** and **ORO.CC.210(a)**;
 - (5) ORO.TC;
 - (6) ORO.FTL, including related CS-FTL; and
 - (7) ORO.SEC;
- (c) Annex V (Part-SPA), if applicable;
- (d) for continuing airworthiness management of the third-country operator, Part-M¹ Subpart-B, Subpart-C and Subpart-G, excluding M.A.707, and M.A.710;
- (e) for the maintenance organisation used by the third-country operator during the lease period: Part-145²;
- (f) retroactive airworthiness requirements in accordance with Part-26; and
- (g) the operator should provide the CAA with a full description of the flight time limitation scheme(s), operating procedures and safety assessment demonstrating compliance with the safety objectives set out in points (b)(1)-(6).

AMC2 ORO.AOC.110(c) Leasing agreement

WET LEASE-IN

The lessee should maintain a record of occasions when lessors are used, for inspection by the State that issued its AOC.

¹ Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1). Regulation as last amended by Commission Regulation (EU) No 1149/2011 of 21 October 2011 (OJ L 298, 16.11.2011, p. 1).

² Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1). Regulation as last amended by Commission Regulation (EU) No 1149/2011 of 21 October 2011 (OJ L 298, 16.11.2011, p. 1).

GM1 ORO.AOC.110(c) Leasing agreement

SHORT-TERM WET LEASE-IN WITH A THIRD-COUNTRY OPERATOR

In anticipation of an operational need the operator may enter into a framework agreement with more than one third-country operator provided that these operators comply with **ORO.AOC.110(c)**. These third-country operators should be placed in a list maintained by the lessee.

AMC1 ORO.AOC.110(f) Leasing agreement

WET LEASE-OUT

When notifying the CAA, the operator intending to wet lease-out an aircraft should provide the CAA with the following information:

- (a) the aircraft type, registration markings and serial number;
- (b) the name and address of the lessee;
- (c) a copy of the lease agreement or description of the lease provisions, except financial arrangements; and
- (d) the duration of the lease agreement.

AMC1 ORO.AOC.115(a)(1) Code share agreements

INITIAL VERIFICATION OF COMPLIANCE

- (a) In order to verify the third country operator's compliance with the applicable ICAO standards, in particular ICAO Annexes 1, 2, 6, Part I and III, as applicable, 8 and 18, the UK operator should conduct an audit of the third country operator, including interviews of personnel and inspections carried out at the third country operator's facilities.
- (b) The audit should focus on the operational, management and control systems of the operator.

AMC1 ORO.AOC.115(b) Code-share arrangements

CODE-SHARE AUDIT PROGRAMME

- (a) Operators should establish a code-share audit programme for monitoring continuous compliance of the third country operator with the applicable ICAO standards. Such a code-share audit programme should include:
 - (1) the audit methodology (audit report + compliance statements);
 - (2) details of the specific operational areas to audit;
 - (3) criteria for defining satisfactory audit results;
 - (4) a system for reporting and correcting findings;
 - (5) a continuous monitoring system;
 - (6) auditor qualification and authorisation; and
 - (7) the frequency of audits.
- (b) The third country code-share operator should be audited at periods not exceeding 24 months. The beginning of the first 24-month oversight planning cycle is determined by the date of the

first audit and should then determine the start and end dates of the recurrent 24-month planning cycle. The interval between two audits should not exceed 24 months.

- (c) The UK operator should ensure a renewal audit of each third country code-share operator prior to the audit expiry date of the previous audit. The audit expiry date for the previous audit becomes the audit effective date for the renewal audit provided the closing meeting for the renewal audit is within 150 days prior to the audit expiry date for the previous audit. If the closing meeting for the renewal audit is more than 150 days prior to the audit expiry date from the previous audit, then the audit effective date for the renewal audit is the day of the closing meeting of the renewal audit. Renewal audits are valid for 24 consecutive months beginning with the audit effective date and ending with the audit expiry date.
- (d) A code-share audit could be shared by several operators. In case of a shared audit, the report should be made available for review by all duly identified sharing operators by any means.
- (e) After closure of all findings identified during the audit, the UK operator should submit an audit compliance statement to the CAA demonstrating that the third country operator meets all the applicable safety standards.

AMC2 ORO.AOC.115(b) Code-share agreements

THIRD-PARTY PROVIDERS

- (a) The initial audit and/or the continuous monitoring may be performed by a third-party provider on behalf of the UK operator in accordance with **AMC2 ORO.GEN.205** on contracted activities.
- (b) The use of a third-party provider for the initial audit or the monitoring of continuous compliance of the third-country code-share operator does not exempt the UK operator from its responsibility under **ORO.AOC.115**.
- (c) The UK operator should maintain a list of the third country code-share operators monitored by the third-party provider. This list and the full audit report prepared by the third-party provider should be made available to the CAA upon request.

AMC1 ORO.AOC.125(a) Non-commercial operations of an AOC holder with aircraft listed on its AOC

FLIGHT AND DUTY TIME LIMITATIONS AND REST REQUIREMENTS

When aircrew members are assigned to perform a series of flights that combine several types of operation (CAT, NCC/NCO), the operator should:

- (a) comply at any time with the provisions of **ORO.FTL.210** 'Flight times and duty periods' or, as applicable, the provisions of Council Regulation (EEC) No 3922/91 (EU-OPS, Subpart Q), to ensure compliance with Subpart FTL for any CAT operation; and
- (b) include any combination of types of operation in its safety risk management process to ensure that the fatigue risks arising from such operations do not affect the CAT operation.

AMC2 ORO.AOC.125(a) Non-commercial operations of an AOC holder with aircraft listed on its AOC

APPLICABLE REQUIREMENTS

An AOC holder should apply either of the options below to its non-commercial operations:

- (a) the same operational procedures as those used for its CAT operations. In this case, the AOC holder should state this option in the operations manual and ensure that the procedures comply with Part-CAT. No further descriptions are required; or
- (b) different operational procedures from those used for its CAT operations. In this case, the procedures should comply with Part-ORO, except for Subpart-DEC, and Part-NCC for complex motor-powered aircraft or with Part-NCO for other than complex motor-powered aircraft, as appropriate.

AMC1 ORO.AOC.125(a)(2) Non-commercial operations of an AOC holder with aircraft listed on its AOC

DIFFERENT OPERATING PROCEDURES FOR NON-COMMERCIAL OPERATIONS

When developing operating procedures for non-commercial operations that are different from the ones used for its CAT operations, the AOC holder should identify the hazards and assess and mitigate the risks associated with each specific non-commercial operation, as part of the safety risk management process in compliance with **ORO.GEN.200**.

This process should consider at least the following elements:

- (a) Flight profile (including manoeuvres to be performed, any simulated abnormal situations in flight, duties and responsibilities of the crew members);
- (b) Continuing airworthiness, as applicable. This includes the case when the aircraft is returned to the AOC holder after having been used by another operator for operations in accordance with **ORO.GEN.310**;
- (c) Levels of functional equipment and systems (MEL, CDL);
- (d) Operating procedures, minima, and dispatch criteria;
- (e) Operating a flight with a double purpose (e.g. a relocation flight used as a line training flight or a maintenance check flight used as a line training flight);
- (f) Specific approvals held by the AOC holder;
- (g) Flight and duty time limitations and rest requirements and cumulative fatigue;
- (h) Selection, composition, and training of flight crew and cabin crew;
- (i) Multi-pilot operation as per Part-CAT vs single-pilot operation when operating according to Part-NCC or Part-NCO;
- (j) Flights performed with aircrew that includes aircrew members of another operator, who have not completed a familiarisation training and who may not be familiar with the AOC holder's operational procedures;
- (k) Categories of passengers on board, including when non-commercial operations are performed with no cabin crew.

AMC2 ORO.AOC.125(a)(2) Non-commercial operations of an AOC holder with aircraft listed on its AOC

PLANNING FLIGHTS WITH AN INCREASED LEVEL OF RISK

- (a) Significant aspects such as the ones below should be addressed in the risk assessment and risk mitigation process by any operator conducting such flights:
 - (1) which pilots are involved in their operation;
 - (2) what is the purpose of the flight; and
 - (3) how it is to be accomplished — what flight procedures are to be applied.
- (b) The AOC holder should prepare the non-commercial operations with an increased level of risk taking into consideration the following elements, as applicable:
 - (1) pre-flight briefing;
 - (2) duties and responsibilities of the flight crew members involved, task sharing;
 - (3) special operating procedures;
 - (4) manoeuvres to be performed in flight, minimum and maximum speeds and altitudes for all portions of the flight;
 - (5) operational limitations;
 - (6) potential risks and contingency plans;
 - (7) adequate available airspace and coordination with the air traffic control (ATC);
 - (8) selection of flight crew members; and
 - (9) additional flight crew training at regular intervals to ensure recency (considering also a flight of a similar risk profile in the simulator, if needed).

GM1 ORO.AOC.125(a)(2) Non-commercial operations of an AOC holder with aircraft listed on an AOC

EXAMPLES OF DIFFERENT OPERATING PROCEDURES APPLIED TO NON-COMMERCIAL OPERATIONS

The provisions of **ORO.AOC.125** enable an AOC holder to apply the most appropriate requirements when conducting non-commercial operations, based on the risk assessment and risk mitigation processes.

Below is a non-exhaustive list of elements that an AOC holder may identify and describe as being different in its non-commercial operations from those used for its CAT operation and for which the provisions of Part-ORO and Part-NCC or the provisions of Part-NCO should apply as appropriate:

- (a) Qualification, training and experience of aircrew members, including aerodrome and route competence requirements.
- (b) Flight crew and cabin crew composition requirements
 - (1) CAT operations contain more stringent requirements for aircrew members, e.g. multi-pilot vs single-pilot requirements.
 - (2) The AOC holder should specify the minimum number of flight crew and cabin crew and the applicable aircrew composition.
- (c) Fuel requirements

- (d) Performance requirements
- (e) Serviceable instruments, data and equipment and MEL considerations
- (f) Non-ETOPS/ETOPS
ETOPS are applicable to CAT operations only and thus a flight operated according to Part-NCC/Part-NCO may be performed without the ETOPS restrictions.
- (g) Non-commercial flights with no cabin crew (see **ORO.CC.100(d)** and the associated AMC).

AMC1 ORO.AOC.130 Flight data monitoring – aeroplanes

FLIGHT DATA MONITORING (FDM) PROGRAMME

- (a) The safety manager, as defined under **AMC1-ORO.GEN.200(a)(1)**, should be responsible for the identification and assessment of issues and their transmission to the manager(s) responsible for the process(es) concerned. The latter should be responsible for taking appropriate and practicable safety action within a reasonable period of time that reflects the severity of the issue.
- (b) An FDM programme should allow an operator to:
 - (1) identify areas of operational risk and quantify current safety margins;
 - (2) identify and quantify operational risks by highlighting occurrences of non-standard, unusual or unsafe circumstances;
 - (3) use the FDM information on the frequency of such occurrences, combined with an estimation of the level of severity, to assess the safety risks and to determine which may become unacceptable if the discovered trend continues;
 - (4) put in place appropriate procedures for remedial action once an unacceptable risk, either actually present or predicted by trending, has been identified; and
 - (5) confirm the effectiveness of any remedial action by continued monitoring.
- (c) FDM analysis techniques should comprise the following:
 - (1) Exceedance detection: searching for deviations from aircraft flight manual limits and standard operating procedures. A set of core events should be selected to cover the main areas of interest to the operator. A sample list is provided in **Appendix 1 to AMC1 ORO.AOC.130**. The event detection limits should be continuously reviewed to reflect the operator's current operating procedures.
 - (2) All flights measurement: a system defining what is normal practice. This may be accomplished by retaining various snapshots of information from each flight.
 - (3) Statistics — a series of data collected to support the analysis process: this technique should include the number of flights flown per aircraft and sector details sufficient to generate rate and trend information.
- (d) FDM analysis, assessment and process control tools: the effective assessment of information obtained from digital flight data should be dependent on the provision of appropriate information technology tool sets.
- (e) Education and publication: sharing safety information should be a fundamental principle of aviation safety in helping to reduce accident rates. The operator should pass on the lessons learnt to all relevant personnel and, where appropriate, industry.
- (f) Accident and incident data requirements specified in **CAT.GEN.MPA.195** take precedence over

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- the requirements of an FDM programme. In these cases the FDR data should be retained as part of the investigation data and may fall outside the de-identification agreements.
- (g) Every crew member should be responsible for reporting events. Significant risk-bearing incidents detected by FDM should therefore normally be the subject of mandatory occurrence reporting by the crew. If this is not the case, then they should submit a retrospective report that should be included under the normal process for reporting and analysing hazards, incidents and accidents.
 - (h) The data recovery strategy should ensure a sufficiently representative capture of flight information to maintain an overview of operations. Data analysis should be performed sufficiently frequently to enable action to be taken on significant safety issues.
 - (i) The data retention strategy should aim at providing the greatest safety benefits practicable from the available data. A full dataset should be retained until the action and review processes are complete; thereafter, a reduced dataset relating to closed issues should be maintained for longer-term trend analysis. Programme managers may wish to retain samples of de-identified full-flight data for various safety purposes (detailed analysis, training, benchmarking, etc.).
 - (j) The data access and security policy should restrict information access to authorised persons. When data access is required for airworthiness and maintenance purposes, a procedure should be in place to prevent disclosure of crew identity.
 - (k) The procedure to prevent disclosure of crew identity should be written in a document, which should be signed by all parties (airline management, flight crew member representatives nominated either by the union or the flight crew themselves). This procedure should, as a minimum, define:
 - (1) the aim of the FDM programme;
 - (2) a data access and security policy that should restrict access to information to specifically authorised persons identified by their position;
 - (3) the method to obtain de-identified crew feedback on those occasions that require specific flight follow-up for contextual information; where such crew contact is required the authorised person(s) need not necessarily be the programme manager or safety manager, but could be a third party (broker) mutually acceptable to unions or staff and management;
 - (4) the data retention policy and accountability, including the measures taken to ensure the security of the data;
 - (5) the conditions under which advisory briefing or remedial training should take place; this should always be carried out in a constructive and non-punitive manner;
 - (6) the conditions under which the confidentiality may be withdrawn for reasons of gross negligence or significant continuing safety concern;
 - (7) the participation of flight crew member representative(s) in the assessment of the data, the action and review process and the consideration of recommendations; and
 - (8) the policy for publishing the findings resulting from FDM.
 - (l) Airborne systems and equipment used to obtain FDM data should range from an already installed full quick access recorder (QAR), in a modern aircraft with digital systems, to a basic crash-protected recorder in an older or less sophisticated aircraft. The analysis potential of the reduced data set available in the latter case may reduce the safety benefits obtainable. The operator should ensure that FDM use does not adversely affect the serviceability of equipment required for accident investigation.

Appendix 1 to AMC1 ORO.AOC.130 Flight data monitoring – aeroplanes

TABLE OF FDM EVENTS

The following table provides examples of FDM events that may be further developed using operator and aeroplane specific limits. The table is considered illustrative and not exhaustive.

| Event Group | Description |
|--------------------------|------------------------------------------------------------------------------------------------|
| Rejected take-off | High speed rejected take-off |
| Take-off pitch | Pitch rate high on take-off |
| | Pitch attitude high during take-off |
| Unstick speeds | Unstick speed high |
| | Unstick speed low |
| Height loss in climb-out | Initial climb height loss 20 ft above ground level (AGL) to 400 ft above aerodrome level (AAL) |
| | Initial climb height loss 400 ft to 1 500 ft AAL |
| Slow climb-out | Excessive time to 1 000 ft AAL after take-off |
| Climb-out speeds | Climb-out speed high below 400 ft AAL |
| | Climb-out speed high 400 ft AAL to 1 000 ft AAL |
| | Climb-out speed low 35 ft AGL to 400 ft AAL |
| | Climb-out speed low 400 ft AAL to 1 500 ft AAL |
| High rate of descent | High rate of descent below 2 000 ft AGL |
| Missed approach | Missed approach below 1 000 ft AAL |
| | Missed approach above 1 000 ft AAL |
| Low approach | Low on approach |
| Glideslope | Deviation under glideslope |
| | Deviation above glideslope (below 600 ft AGL) |
| Approach power | Low power on approach |
| Approach speeds | Approach speed high within 90 seconds of touchdown |
| | Approach speed high below 500 ft AAL |
| | Approach speed high below 50 ft AGL |
| | Approach speed low within 2 minutes of touchdown |
| Landing flap | Late land flap (not in position below 500 ft AAL) |
| | Reduced flap landing |
| | Flap load relief system operation |
| Landing pitch | Pitch attitude high on landing |
| | Pitch attitude low on landing |
| Bank angles | Excessive bank below 100 ft AGL |
| | Excessive bank 100 ft AGL to 500 ft AAL |
| | Excessive bank above 500 ft AGL |
| | Excessive bank near ground (below 20 ft AGL) |
| Normal acceleration | High normal acceleration on ground |
| | High normal acceleration in flight flaps up (+/- increment) |
| | High normal acceleration in flight flaps down(+/- increment) |
| | High normal acceleration at landing |
| Abnormal configuration | Take-off configuration warning |
| | Early configuration change after take-off (flap) |
| | Speed brake with flap |
| | Speed brake on approach below 800 ft AAL |
| | Speed brake not armed below 800 ft AAL |
| Event Group | Description |

| | |
|-------------------------------------------------------|-----------------------------------------------------------------|
| Ground proximity warning | Ground proximity warning system (GPWS) operation - hard warning |
| | GPWS operation — soft warning |
| | GPWS operation — windshear warning |
| | GPWS operation — false warning |
| Airborne collision avoidance system (ACAS II) warning | ACAS operation — Resolution Advisory |
| Margin to stall/buffet | Stick shake |
| | False stick shake |
| | Reduced lift margin except near ground |
| | Reduced lift margin at take-off |
| | Low buffet margin (above 20 000 ft) |
| Aircraft flight manual limitations | Maximum operating speed limit (V_{MO}) exceedance |
| | Maximum operating speed limit (M_{MO}) exceedance |
| | Flap placard speed exceedance |
| | Gear down speed exceedance |
| | Gear selection up/down speed exceedance |
| | Flap/slat altitude exceedance |
| | Maximum operating altitude exceedance |

GM1 ORO.AOC.130 Flight data monitoring – aeroplanes

DEFINITION OF AN FDM PROGRAMME

For the purposes of this Guidance Material, an FDM programme may be defined as a proactive and non-punitive programme for gathering and analysing data recorded during routine flights to improve aviation safety.

(a) FDM analysis techniques

(1) Exceedance detection

- (i) FDM programmes are used for detecting exceedances, such as deviations from flight manual limits, standard operating procedures (SOPs), or good airmanship. Typically, a set of core events establishes the main areas of interest to operators.
Examples: high lift-off rotation rate, stall warning, ground proximity warning system (GPWS) warning, flap limit speed exceedance, fast approach, high/low on glideslope, and heavy landing.
- (ii) Trigger logic expressions may be simple exceedances such as redline values. The majority, however, are composites that define a certain flight mode, aircraft configuration or payload-related condition. Analysis software can also assign different sets of rules dependent on airport or geography. For example, noise sensitive airports may use higher than normal glideslopes on approach paths over populated areas. In addition, it might be valuable to define several levels of exceedance severity (such as low, medium and high).
- (iii) Exceedance detection provides useful information, which can complement that provided in crew reports.
Examples: reduced flap landing, emergency descent, engine failure, rejected take-off, go-around, airborne collision avoidance system (ACAS) or GPWS warning, and system malfunctions.
- (iv) The operator may also modify the standard set of core events to account for unique situations they regularly experience, or the SOPs they use.

Example: to avoid nuisance exceedance reports from a non-standard instrument departure.

- (v) The operator may also define new events to address specific problem areas.

Example: restrictions on the use of certain flap settings to increase component life.

(2) All-flights measurements

FDM data are retained from all flights, not just the ones producing significant events. A selection of parameters is retained that is sufficient to characterise each flight and allow a comparative analysis of a wide range of operational variability. Emerging trends and tendencies may be identified and monitored before the trigger levels associated with exceedances are reached.

Examples of parameters monitored: take-off weight, flap setting, temperature, rotation and lift-off speeds versus scheduled speeds, maximum pitch rate and attitude during rotation, and gear retraction speeds, heights and times.

Examples of comparative analyses: pitch rates from high versus low take-off weights, good versus bad weather approaches, and touchdowns on short versus long runways.

(3) Statistics

Series of data are collected to support the analysis process: these usually include the numbers of flights flown per aircraft and sector details sufficient to generate rate and trend information.

(4) Investigation of incidents flight data

Recorded flight data provide valuable information for follow-up to incidents and other technical reports. They are useful in adding to the impressions and information recalled by the flight crew. They also provide an accurate indication of system status and performance, which may help in determining cause and effect relationships.

Examples of incidents where recorded data could be useful:

- high cockpit workload conditions as corroborated by such indicators as late descent, late localizer and/or glideslope interception, late landing configuration;
- unstabilised and rushed approaches, glide path excursions, etc.;
- exceedances of prescribed operating limitations (such as flap limit speeds, engine overtemperatures); and
- wake vortex encounters, turbulence encounters or other vertical accelerations.

It should be noted that recorded flight data have limitations, e.g. not all the information displayed to the flight crew is recorded, the source of recorded data may be different from the source used by a flight instrument, the sampling rate or the recording resolution of a parameter may be insufficient to capture accurate information.

(5) Continuing airworthiness

Data of all-flight measurements and exceedance detections can be utilised to assist the continuing airworthiness function. For example, engine-monitoring programmes look at measures of engine performance to determine operating efficiency and predict impending failures.

Examples of continuing airworthiness uses: engine thrust level and airframe drag measurements, avionics and other system performance monitoring, flying control performance, and brake and landing gear usage.

(b) FDM equipment

(1) General

FDM programmes generally involve systems that capture flight data, transform the data into an appropriate format for analysis, and generate reports and visualisation to assist in assessing the data. Typically, the following equipment capabilities are needed for effective FDM programmes:

- (i) an on-board device to capture and record data on a wide range of in-flight parameters;
- (ii) a means to transfer the data recorded on board the aircraft to a ground-based processing station;
- (iii) a ground-based computer system to analyse the data, identify deviations from expected performance, generate reports to assist in interpreting the read-outs, etc.; and
- (iv) optional software for a flight animation capability to integrate all data, presenting them as a simulation of in-flight conditions, thereby facilitating visualisation of actual events.

(2) Airborne equipment

- (i) The flight parameters and recording capacity required for flight data recorders (FDR) to support accident investigations may be insufficient to support an effective FDM programme. Other technical solutions are available, including the following:
 - (A) Quick access recorders (QARs). QARs are installed in the aircraft and record flight data onto a low-cost removable medium.
 - (B) Some systems automatically download the recorded information via secure wireless systems when the aircraft is in the vicinity of the gate. There are also systems that enable the recorded data to be analysed on board while the aircraft is airborne.
- (ii) Fleet composition, route structure and cost considerations will determine the most cost-effective method of removing the data from the aircraft.

(3) Ground replay and analysis equipment

- (i) Data are downloaded from the aircraft recording device into a ground-based processing station, where the data are held securely to protect this sensitive information.
- (ii) FDM programmes generate large amounts of data requiring specialised analysis software.
- (iii) The analysis software checks the downloaded flight data for abnormalities.
- (iv) The analysis software may include: annotated data trace displays, engineering unit listings, visualisation for the most significant incidents, access to interpretative material, links to other safety information and statistical presentations.

(c) FDM in practice

(1) FDM process

Typically, operators follow a closed-loop process in applying an FDM programme, for example:

- (i) Establish a baseline: initially, operators establish a baseline of operational

parameters against which changes can be detected and measured.

Examples: rate of unstable approaches or hard landings.

- (ii) Highlight unusual or unsafe circumstances: the user determines when non-standard, unusual or basically unsafe circumstances occur; by comparing them to the baseline margins of safety, the changes can be quantified.

Example: increases in unstable approaches (or other unsafe events) at particular locations.

- (iii) Identify unsafe trends: based on the frequency and severity of occurrence, trends are identified. Combined with an estimation of the level of severity, the risks are assessed to determine which may become unacceptable if the trend continues.

Example: a new procedure has resulted in high rates of descent that are nearly triggering GPWS warnings.

- (iv) Mitigate risks: once an unacceptable risk has been identified, appropriate risk mitigation actions are decided on and implemented.

Example: having found high rates of descent, the SOPs are changed to improve aircraft control for optimum/maximum rates of descent.

- (v) Monitor effectiveness: once a remedial action has been put in place, its effectiveness is monitored, confirming that it has reduced the identified risk and that the risk has not been transferred elsewhere.

Example: confirm that other safety measures at the aerodrome with high rates of descent do not change for the worse after changes in approach procedures.

(2) Analysis and follow-up

- (i) FDM data are typically compiled every month or at shorter intervals. The data are then reviewed to identify specific exceedances and emerging undesirable trends and to disseminate the information to flight crews.

- (ii) If deficiencies in pilot handling technique are evident, the information is usually de-identified in order to protect the identity of the flight crew. The information on specific exceedances is passed to a person (safety manager, agreed flight crew representative, honest broker) assigned by the operator for confidential discussion with the pilot. The person assigned by the operator provides the necessary contact with the pilot in order to clarify the circumstances, obtain feedback and give advice and recommendations for appropriate action. Such appropriate action could include re-training for the pilot (carried out in a constructive and non-punitive way), revisions to manuals, changes to ATC and airport operating procedures.

- (iii) Follow-up monitoring enables the effectiveness of any corrective actions to be assessed. Flight crew feedback is essential for the identification and resolution of safety problems and could be collected through interviews, for example by asking the following:

- (A) Are the desired results being achieved soon enough?

- (B) Have the problems really been corrected, or just relocated to another part of the system?

- (C) Have new problems been introduced?

- (iv) All events are usually archived in a database. The database is used to sort, validate and display the data in easy-to-understand management reports. Over time, this

archived data can provide a picture of emerging trends and hazards that would otherwise go unnoticed.

- (v) Lessons learnt from the FDM programme may warrant inclusion in the operator's safety promotion programmes. Safety promotion media may include newsletters, flight safety magazines, highlighting examples in training and simulator exercises, periodic reports to industry and the CAA. Care is required, however, to ensure that any information acquired through FDM is de-identified before using it in any training or promotional initiative.
- (vi) All successes and failures are recorded, comparing planned programme objectives with expected results. This provides a basis for review of the FDM programme and the foundation for future programme development.

(d) Preconditions for an effective FDM programme

(1) Protection of FDM data

The integrity of FDM programmes rests upon protection of the FDM data. Any disclosure for purposes other than safety management can compromise the voluntary provision of safety data, thereby compromising flight safety.

(2) Essential trust

The trust established between management and flight crew is the foundation for a successful FDM programme. This trust can be facilitated by:

- (i) early participation of the flight crew representatives in the design, implementation and operation of the FDM programme;
- (ii) a formal agreement between management and flight crew, identifying the procedures for the use and protection of data; and
- (iii) data security, optimised by:
 - (A) adhering to the agreement;
 - (B) the operator strictly limiting data access to selected individuals;
 - (C) maintaining tight control to ensure that identifying data is kept securely; and
 - (D) ensuring that operational problems are promptly addressed by management.

(3) Requisite safety culture

Indicators of an effective safety culture typically include:

- (i) top management's demonstrated commitment to promoting a proactive safety culture;
- (ii) a non-punitive operator policy that covers the FDM programme;
- (iii) FDM programme management by dedicated staff under the authority of the safety manager, with a high degree of specialisation and logistical support;
- (iv) involvement of persons with appropriate expertise when identifying and assessing the risks (for example, pilots experienced on the aircraft type being analysed);
- (v) monitoring fleet trends aggregated from numerous operations, not focusing only on specific events;
- (vi) a well-structured system to protect the confidentiality of the data; and

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- (vii) an efficient communication system for disseminating hazard information (and subsequent risk assessments) internally and to other organisations to permit timely safety action.
- (e) Implementing an FDM programme
- (1) General considerations
- (i) Typically, the following steps are necessary to implement an FDM programme:
- (A) implementation of a formal agreement between management and flight crew;
 - (B) establishment and verification of operational and security procedures;
 - (C) installation of equipment;
 - (D) selection and training of dedicated and experienced staff to operate the programme; and
 - (E) commencement of data analysis and validation.
- (ii) An operator with no FDM experience may need a year to achieve an operational FDM programme. Another year may be necessary before any safety and cost benefits appear. Improvements in the analysis software, or the use of outside specialist service providers, may shorten these time frames.
- (2) Aims and objectives of an FDM programme
- (i) As with any project there is a need to define the direction and objectives of the work. A phased approach is recommended so that the foundations are in place for possible subsequent expansion into other areas. Using a building block approach will allow expansion, diversification and evolution through experience.
- Example: with a modular system, begin by looking at basic safety-related issues only. Add engine health monitoring, etc. in the second phase. Ensure compatibility with other systems.
- (ii) A staged set of objectives starting from the first week's replay and moving through early production reports into regular routine analysis will contribute to a sense of achievement as milestones are met.
- Examples of short-term, medium-term and long-term goals:
- (A) Short-term goals:
- establish data download procedures, test replay software and identify aircraft defects;
 - validate and investigate exceedance data; and
 - establish a user-acceptable routine report format to highlight individual exceedances and facilitate the acquisition of relevant statistics.
- (B) Medium-term goals:
- produce an annual report — include key performance indicators;
 - add other modules to the analysis (e.g. continuing airworthiness); and
 - plan for the next fleet to be added to programme.
- (C) Long-term goals:

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- network FDM information across all of the operator's safety information systems;
 - ensure FDM provision for any proposed alternative training and qualification programme (ATQP); and
 - use utilisation and condition monitoring to reduce spares holdings.
- (iii) Initially, focusing on a few known areas of interest will help prove the system's effectiveness. In contrast to an undisciplined 'scatter-gun' approach, a focused approach is more likely to gain early success.

Examples: rushed approaches, or rough runways at particular aerodromes. Analysis of such known problem areas may generate useful information for the analysis of other areas.

(3) The FDM team

- (i) Experience has shown that the 'team' necessary to run an FDM programme could vary in size from one person for a small fleet, to a dedicated section for large fleets. The descriptions below identify various functions to be fulfilled, not all of which need a dedicated position.
- (A) Team leader: it is essential that the team leader earns the trust and full support of both management and flight crew. The team leader acts independently of others in line management to make recommendations that will be seen by all to have a high level of integrity and impartiality. The individual requires good analytical, presentation and management skills.
 - (B) Flight operations interpreter: this person is usually a current pilot (or perhaps a recently retired senior captain or instructor), who knows the operator's route network and aircraft. This team member's in-depth knowledge of SOPs, aircraft handling characteristics, aerodromes and routes is used to place the FDM data in a credible context.
 - (C) Technical interpreter: this person interprets FDM data with respect to the technical aspects of the aircraft operation and is familiar with the power plant, structures and systems departments' requirements for information and any other engineering monitoring programmes in use by the operator.
 - (D) Gate-keeper: this person provides the link between the fleet or training managers and flight crew involved in events highlighted by FDM. The position requires good people skills and a positive attitude towards safety education. The person is typically a representative of the flight crew association or an 'honest broker' and is the only person permitted to connect the identifying data with the event. It is essential that this person earns the trust of both management and flight crew.
 - (E) Engineering technical support: this person is usually an avionics specialist, involved in the supervision of mandatory serviceability requirements for FDR systems. This team member is knowledgeable about FDM and the associated systems needed to run the programme.
 - (F) Replay operative and administrator: this person is responsible for the day-to-day running of the system, producing reports and analysis.
- (ii) All FDM team members need appropriate training or experience for their respective area of data analysis. Each team member is allocated a realistic amount of time to regularly spend on FDM tasks.

GM2 ORO.AOC.130 Flight data monitoring – aeroplanes

FLIGHT DATA MONITORING

Additional guidance material for the establishment of flight data monitoring can be found in UK Civil Aviation Authority CAP 739 (Flight Data Monitoring).

AMC1 ORO.AOC.135(a) Personnel requirements

NOMINATED PERSONS

- (a) The person may hold more than one of the nominated posts if such an arrangement is considered suitable and properly matched to the scale and scope of the operation.
- (b) A description of the functions and the responsibilities of the nominated persons, including their names, should be contained in the operations manual.
- (c) The holder of an AOC should make arrangements to ensure continuity of supervision in the absence of nominated persons.
- (d) The person nominated by the holder of an AOC should not be nominated by another holder of an AOC, unless agreed with the CAA.
- (e) Persons nominated should be contracted to work sufficient hours to fulfil the management functions associated with the scale and scope of the operation.

AMC2 ORO.AOC.135(a) Personnel requirements

COMBINATION OF NOMINATED PERSONS RESPONSIBILITIES

- (a) The acceptability of a single person holding several posts, possibly in combination with being the accountable manager, should depend upon the nature and scale of the operation. The two main areas of concern should be competence and an individual's capacity to meet his/her responsibilities.
- (b) As regards competence in different areas of responsibility, there should not be any difference from the requirements applicable to persons holding only one post.
- (c) The capacity of an individual to meet his/her responsibilities should primarily be dependent upon the scale of the operation. However, the complexity of the organisation or of the operation may prevent, or limit, combinations of posts which may be acceptable in other circumstances.
- (d) In most circumstances, the responsibilities of a nominated person should rest with a single individual. However, in the area of ground operations, it may be acceptable for responsibilities to be split, provided that the responsibilities of each individual concerned are clearly defined.

GM1 ORO.AOC.135(a) Personnel requirements

NOMINATED PERSONS

The smallest organisation that can be considered is the one-man organisation where all of the nominated posts are filled by the accountable manager, and audits are conducted by an independent person.

GM2 ORO.AOC.135(a) Personnel requirements

COMPETENCE OF NOMINATED PERSONS

- (a) Nominated persons in accordance with **ORO.AOC.135** should be expected to possess the experience and meet the qualification provisions of (b) to (f) respectively. Exceptionally, in particular cases, where the nominated person does not meet these provisions in full, the nominee should have comparable experience and also the ability to perform effectively the functions associated with the post and with the scale of the operation.
- (b) Nominated persons for flight operations, crew training and ground operations should have:
 - (1) practical experience and expertise in the application of aviation safety standards and safe operating practices;
 - (2) comprehensive knowledge of:
 - (i) the applicable EU safety regulations and any associated requirements and procedures;
 - (ii) the AOC holder's operations specifications; and
 - (iii) the need for, and content of, the relevant parts of the AOC holder's operations manual;
 - familiarity with management systems preferably in the area of aviation;
 - (3) appropriate management experience, preferably in a comparable organisation; and
 - (4) 5 years of relevant work experience of which at least 2 years should be from the aeronautical industry in an appropriate position.
- (c) Flight operations. The nominated person should hold or have held a valid flight crew licence and the associated ratings appropriate to a type of operation conducted under the AOC. In case the nominated person's licence and ratings are not current, his/her deputy should hold a valid flight crew licence and the associated ratings.
- (d) Crew training. The nominated person or his/her deputy should be a current type rating instructor on a type/class operated under the AOC. The nominated person should have a thorough knowledge of the AOC holder's crew training concept for flight, cabin and when relevant other crew.
- (e) Ground operations. The nominated person should have a thorough knowledge of the AOC holder's ground operations concept.
- (f) Continuing airworthiness. The nominated person for continuing airworthiness or for the continuing airworthiness management contract, as the case may be, should have the relevant knowledge, background and experience in accordance with Regulation (EU) No 1321/2014.

GM1 ORO.AOC.140(b);(c) Facility requirements

VFR DAY OPERATIONS WITH AEROPLANES WITH A MOPSC OF LESS THAN 7 AND HELICOPTERS WITH A MOPSC OF LESS THAN 5 TAKING OFF AND LANDING AT THE SAME AERODROME OR OPERATING SITE

Taking into account the size of the operator and the type of operations, appropriate facilities may consist in arrangements for:

- (a) suitable office accommodation for the nominated person(s), as requested by **ORO.AOC.135**, and
- (b) adequate working space for the flight preparation to be performed by the flight crew.

SUBPART DEC: DECLARATION

AMC1 ORO.DEC.100(d) Declaration

CHANGES

The new declaration should be submitted before the change becomes effective indicating the date as of which the change would apply.

GM1 ORO.DEC.100 Declaration

GENERAL

The intent of the declaration is to:

- (a) have the operator acknowledge its responsibilities under the applicable safety regulations and that it holds all necessary approvals;
- (b) inform the CAA of the existence of an operator; and
- (c) enable the CAA to fulfil its oversight responsibilities in accordance with **ARO.GEN.300** and 305.

MANAGED OPERATIONS

When the non-commercial operation of a complex motor-powered aircraft is managed by a third party on behalf of the owner, that party may be the operator in the sense of Article 3(h) of Regulation (EC) No 216/2008, and therefore has to declare its capability and means to discharge the responsibilities associated with the operation of the aircraft to the CAA.

In such a case, it should also be assessed whether the third party operator undertakes a commercial operation in the sense of Article 3(i) of Regulation (EC) 216/2008.

SUBPART SPO: COMMERCIAL SPECIALISED OPERATIONS

AMC1 ORO.SPO.100(a) Personnel requirements

NOMINATED PERSONS

- (a) The person may hold more than one of the nominated posts if such an arrangement is considered suitable and properly matched to the scale and scope of the commercial specialised operation.
- (b) A description of the functions and the responsibilities of the nominated persons, including their names, should be contained in the operations manual.
- (c) A commercial specialised operator should make arrangements to ensure continuity of supervision in the absence of nominated persons.
- (d) The person nominated by a commercial specialised operator should normally not be nominated by another commercial specialised operator.
- (e) Persons nominated should be contracted to work sufficient hours to fulfil the management functions associated with the scale and scope of the commercial specialised operation.

AMC2 ORO.SPO.100(a) Personnel requirements

COMBINATION OF NOMINATED PERSONS RESPONSIBILITIES

- (a) The acceptability of a single person holding several posts, possibly in combination with being the accountable manager, should depend upon the nature and scale of the commercial specialised operation. The two main areas of concern should be competence and an individual's capacity to meet his/her responsibilities.
- (b) As regards competence in different areas of responsibility, there should not be any difference from the requirements applicable to persons holding only one post.
- (c) The capacity of an individual to meet his/her responsibilities should primarily be dependent upon the scale of the commercial specialised operation. However, the complexity of the organisation or of the operation may prevent, or limit, combinations of posts which may be acceptable in other circumstances.
- (d) In most circumstances, the responsibilities of a nominated person should rest with a single individual. However, in the area of ground operations, it may be acceptable for responsibilities to be split, provided that the responsibilities of each individual concerned are clearly defined.

GM1 ORO.SPO.100(a) Personnel requirements

NOMINATED PERSONS

The smallest organisation that can be considered is the one-man organisation where all of the nominated posts are filled by the accountable manager, and audits are conducted by an independent person.

GM2 ORO.SPO.100(a) Personnel requirements

COMPETENCE OF NOMINATED PERSONS

- (a) Nominated persons in accordance with **ORO.AOC.135** should normally be expected to possess the experience and meet the licensing provisions that are listed in (b) to (f). There may be exceptional cases where not all of the provisions can be met. In that circumstance, the nominee should have comparable experience and also the ability to perform effectively the functions associated with the post and with the scale of the specialised operation.
- (b) Nominated persons should have:
 - (1) practical experience and expertise in the application of aviation safety standards and safe operating practices;
 - (2) comprehensive knowledge of:
 - (i) the applicable EU safety regulations and any associated requirements and procedures;
 - (ii) the operator's high-risk specialised operation authorisation, if applicable; and
 - (iii) the need for, and content of, the relevant parts of the commercial specialised operator's operations manual;
 - (3) familiarity with management systems preferably in the area of aviation;
 - (4) appropriate management experience, preferably in a comparable organisation; and
 - (5) 5 years of relevant work experience of which at least 2 years should be from the aeronautical industry in an appropriate position.
- (c) Flight operations. The nominated person should hold or have held a valid flight crew licence and the associated ratings appropriate to the type of commercial specialised operations conducted by the operator. In case the nominated person's licence and ratings are not current, his/her deputy should hold a valid flight crew licence and the associated ratings.
- (d) Crew training. The nominated person or his/her deputy should be a current type rating instructor on a type/class operated by the commercial specialised operator. The nominated person should have a thorough knowledge of the operator's crew training concept for flight crew and when relevant other crew.
- (e) Ground operations. The nominated person should have a thorough knowledge of the commercial specialised operator's ground operations concept.
- (f) Continuing airworthiness. The nominated person should have the relevant knowledge and appropriate experience requirements related to aircraft continuing airworthiness as detailed in Part-M¹.

¹ Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1). Regulation as last amended by Commission Regulation (EU) No 1149/2011 of 21 October 2011 (OJ L 298, 16.11.2011, p. 1).

AMC1 ORO.SPO.100(c) Common requirements for commercial specialised operators

LEASING OF THIRD COUNTRY OPERATOR OR AIRCRAFT — INFORMATION TO BE PROVIDED TO THE CAA

The operator intending to lease-in an aircraft or operator should provide the CAA with the following information:

- (a) the aircraft type, registration markings and serial number;
- (b) the name and address of the registered owner;
- (c) a copy of the valid certificate of airworthiness;
- (d) a copy of the lease agreement or description of the lease provisions, except financial arrangements;
- (e) duration of the lease.

The information mentioned above should be accompanied by a statement signed by the lessee that the parties to the lease agreement fully understand their respective responsibilities under the applicable regulations.

GM1 ORO.SPO.100(c) Common requirements for commercial specialised operators

LEASE AGREEMENTS BETWEEN UK SPO OPERATORS OF UK REGISTERED AIRCRAFT

No approval is required for any lease agreements between UK SPO operators operating UK registered aircraft in the UK.

AMC1 ORO.SPO.100(c)(1) Common requirements for commercial specialised operators

WET LEASE-IN OF AN AIRCRAFT REGISTERED IN A THIRD COUNTRY

If the operator is not intending to apply UK safety requirements for air operations and continuing airworthiness when wet leasing-in an aircraft registered in a third country, it should demonstrate to the CAA that the standards complied with are equivalent to the following requirements:

- (a) Annex VIII (Part-SPO);
- (b) Part-ORO:
 - (1) **ORO.GEN.110** and Section 2 of Subpart GEN;
 - (2) ORO.MLR, excluding **ORO.MLR.105**;
 - (3) ORO.FC;
- (c) Annex V (Part-SPA), if applicable;

- (d) for continuing airworthiness management of the third country operator, Part-M¹ Subpart-B, Subpart-C and Subpart-G, excluding M.A.707, and M.A.710;
- (e) for the maintenance organisation used by the third country operator during the lease period: Part-145²; and
- (f) the operator should provide the CAA with a full description of the operating procedures and safety assessment demonstrating compliance with the requirements safety objectives set out in points (b) (1)-(3).

AMC2 ORO.SPO.100(c)(1) Common requirements for commercial specialised operators

WET LEASE-IN

The lessee should maintain a record of occasions when lessors are used, for inspection by the CAA.

GM1 ORO.SPO.100(c)(1) Common requirements for commercial specialised operators

SHORT-TERM WET LEASE-IN

In anticipation of an operational need the operator may enter into a framework agreement with more than one third country operator provided that these operators comply with **ORO.SPO.110(c)**. These third country operators should be placed in a list maintained by the lessee.

GM1 ORO.SPO.110(a) Authorisation of high-risk commercial specialised operations

DECLARATION/AUTHORISATION

Any commercial specialised operator should declare its activity to the CAA, as required by **ORO.DEC.100**.

GM2 ORO.SPO.110(a) Authorisation of high-risk commercial specialised operations

VALIDITY OF THE AUTHORISATION

The operator may submit an application to the CAA for a single event, a defined series of flights or for an unlimited duration, depending on the type of operations foreseen.

¹ Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1). Regulation as last amended by Commission Regulation (EU) No 1149/2011 of 21 October 2011 (OJ L 298, 16.11.2011, p. 1).

² Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1). Regulation as last amended by Commission Regulation (EU) No 1149/2011 of 21 October 2011 (OJ L 298, 16.11.2011, p. 1).

GM1 ORO.SPO.115(a) Changes

GENERAL

Any change to information contained in the authorisation, but not leading to an amendment of the SOPs or the operator's risk assessment should be notified by the commercial specialised operator to the CAA which should amend the authorisation.

SUBPART MLR: MANUALS, LOGS AND RECORDS

AMC1 ORO.MLR.100 Operations manual – general

GENERAL

- (a) The operations manual (OM) may vary in detail according to the complexity of the operation and of the type and number of aircraft operated.
- (b) The OM or parts thereof may be presented in any form, including electronic form. In all cases, the accessibility, usability and reliability should be assured.
- (c) The OM should be such that:
 - (1) all parts of the manual are consistent and compatible in form and content;
 - (2) the manual can be readily amended; and
 - (3) the content and amendment status of the manual is controlled and clearly indicated.
- (d) The OM should include a description of its amendment and revision process specifying:
 - (1) the person(s) who may approve amendments or revisions;
 - (2) the conditions for temporary revisions and/or immediate amendments or revision required in the interest of safety; and
 - (3) the methods by which operator personnel are advised of the changes.
- (e) The OM content may be based on, or may refer to, industry codes of practice.
- (f) When compiling an OM, the operator may take advantage of the contents of other relevant documents. Material produced by the operator for the type-related part of the OM may be supplemented with, or substituted by, applicable parts of the aircraft flight manual (AFM) or, where such a document exists, by an aircraft operating manual produced by the manufacturer of the aircraft.
- (g) In the case of commercial operations with other-than-complex motor-powered aircraft or non-commercial operations, a 'pilot operating handbook' (POH), or equivalent document, may be used as the type-related part of the OM, provided that the POH covers the normal and abnormal/emergency operating procedures.
- (h) For the route and aerodrome part of the OM, material produced by the operator may be supplemented with or substituted by applicable route guide material produced by a specialist company.
- (i) If the operator chooses to use material from another source in the OM, either the applicable material should be copied and included directly in the relevant part of the OM, or the OM should contain a reference to the appropriate section of that applicable material.
- (j) If the operator chooses to make use of material from another source (e.g. a route manual producer, an aircraft manufacturer or a training organisation), this does not absolve the operator from the responsibility of verifying the applicability and suitability of this material. Any material received from an external source should be given its status by a statement in the OM.

AMC2 ORO.MLR.100 Operations manual – General

CONTENTS OF THE OPERATIONS MANUAL FOR CERTAIN TYPES OF OPERATION

For non-commercial operations with complex motor-powered aircraft, or CAT operations with either single-engined propeller-driven aeroplanes with an MOPSC of 5 or less, or single-engined non-complex helicopters with an MOPSC of 5 or less, taking off and landing at the same aerodrome or operating site, under VFR by day, the OM should contain at least the following information, where applicable:

- (a) Table of contents;
- (b) Amendment control status and list of effective pages or paragraphs, unless the entire manual is re-issued and the manual has an effective date on it;
- (c) Duties, responsibilities and succession of management and operating personnel;
- (d) Description of the management system;
- (e) Operational control system;
- (f) Flight time limitations;
- (g) Standard operating procedures (SOPs);
- (h) Weather limitations;
- (i) Emergency procedures;
- (j) Accidents/incidents considerations;
- (k) Security procedures;
- (l) Minimum equipment list (MEL);
- (m) Personnel qualifications and training;
- (n) Record-keeping;
- (o) Normal flight operations;
- (p) Performance operating limitations;
- (q) Procedures for the preservation of recordings of the flight recorders in order to prevent inadvertent reactivation, repair or reinstallation of the flight recorders following an accident or a serious incident or when this preservation is directed by the investigating authority;
- (r) Handling of dangerous goods.

AMC3 ORO.MLR.100 Operations manual – general

19/R

CONTENTS — CAT OPERATIONS

- (a) The OM should contain at least the following information, where applicable, as relevant for the area and type of operation:
 - A GENERAL/BASIC
 - 0 ADMINISTRATION AND CONTROL OF OPERATIONS MANUAL
 - 0.1 Introduction:
 - (a) A statement that the manual complies with all applicable regulations and with the terms and conditions of the applicable AOC.
 - (b) A statement that the manual contains operational instructions that are to be complied with by the relevant personnel.
 - (c) A list and brief description of the various parts, their contents, applicability and use.
 - (d) Explanations and definitions of terms and words needed for the use of the manual.
 - 0.2 System of amendment and revision:
 - (a) Details of the person(s) responsible for the issuance and insertion of amendments and revisions.
 - (b) A record of amendments and revisions with insertion dates and effective dates.
 - (c) A statement that handwritten amendments and revisions are not permitted, except in situations requiring immediate amendment or revision in the interest of safety.
 - (d) A description of the system for the annotation of pages or paragraphs and their effective dates.
 - (e) A list of effective pages or paragraphs.
 - (f) Annotation of changes (in the text and, as far as practicable, on charts and diagrams).
 - (g) Temporary revisions.
 - (h) A description of the distribution system for the manuals, amendments and revisions.
 - 1 ORGANISATION AND RESPONSIBILITIES
 - 1.1 Organisational structure. A description of the organisational structure, including the general organogram and operations departments' organograms. The organogram should depict the relationship between the operations departments and the other departments of the operator. In particular, the subordination and reporting lines of all divisions, departments, etc., which pertain to the safety of flight operations, should be shown.

- 1.2 Nominated persons. The name of each nominated person responsible for flight operations, crew training and ground operations, as prescribed in **ORO.AOC.135**. A description of their function and responsibilities should be included.
- 1.3 Responsibilities and duties of operations management personnel. A description of the duties, responsibilities and authority of operations management personnel pertaining to the safety of flight operations and the compliance with the applicable regulations.
- 1.4 Authority, duties and responsibilities of the pilot-in-command/commander. A statement defining the authority, duties and responsibilities of the pilot-in-command/commander.
- 1.5 Duties and responsibilities of crew members other than the pilot-in-command/commander.

2 OPERATIONAL CONTROL AND SUPERVISION

- 2.1 Supervision of the operation by the operator. A description of the system for supervision of the operation by the operator (see **ORO.GEN.110(c)**). This should show how the safety of flight operations and the qualifications of personnel are supervised. In particular, the procedures related to the following items should be described:
 - (a) licence and qualification validity,
 - (b) competence of operations personnel,
 - (c) control, analysis and storage of the required records.
- 2.2 System and responsibility for promulgation of additional operational instructions and information. A description of any system for promulgating information which may be of an operational nature, but which is supplementary to that in the OM. The applicability of this information and the responsibilities for its promulgation should be included.
- 2.3 Operational control. A description of the procedures and responsibilities necessary to exercise operational control with respect to flight safety.
- 2.4 Powers of the authority. A description of the powers of the CAA and guidance to staff on how to facilitate inspections by CAA personnel.

3 MANAGEMENT SYSTEM

A description of the management system, including at least the following:

- (a) safety policy;
- (b) the process for identifying safety hazards and for evaluating and managing the associated risks;
- (c) compliance monitoring system;
- (d) allocation of duties and responsibilities;
- (e) documentation of all key management system processes.

4 CREW COMPOSITION

- 4.1 Crew composition. An explanation of the method for determining crew compositions, taking account of the following:
- (a) the type of aircraft being used;
 - (b) the area and type of operation being undertaken;
 - (c) the phase of the flight;
 - (d) the minimum crew requirement and flight duty period planned;
 - (e) experience (total and on type), recency and qualification of the crew members;
 - (f) the designation of the pilot-in-command/commander and, if necessitated by the duration of the flight, the procedures for the relief of the pilot-in-command/commander or other members of the flight crew (see **ORO.FC.105**);
 - (g) the designation of the senior cabin crew member and, if necessitated by the duration of the flight, the procedures for the relief of the senior cabin crew member and any other member of the cabin crew.
- 4.2 Designation of the pilot-in-command/commander. The rules applicable to the designation of the pilot-in-command/commander.
- 4.3 Flight crew incapacitation. Instructions on the succession of command in the event of flight crew incapacitation.
- 4.4 Operation on more than one type. A statement indicating which aircraft are considered as one type for the purpose of:
- (a) flight crew scheduling; and
 - (b) cabin crew scheduling.

5 QUALIFICATION REQUIREMENTS

- 5.1 A description of the required licence, rating(s), qualification/competency (e.g. for routes and aerodromes), experience, training, checking and recency for operations personnel to conduct their duties. Consideration should be given to the aircraft type, kind of operation and composition of the crew.
- 5.2 Flight crew:
- (a) pilot-in-command/commander,
 - (b) pilot relieving the pilot-in-command/commander,
 - (c) co-pilot,
 - (d) pilot relieving the co-pilot,
 - (e) pilot under supervision,
 - (f) system panel operator,
 - (g) operation on more than one type or variant.
- 5.3 Cabin crew:
- (a) senior cabin crew member,

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- (b) cabin crew member:
 - (i) required cabin crew member,
 - (ii) additional cabin crew member and cabin crew member during familiarisation flights,
 - (c) operation on more than one type or variant.
 - 5.4 Training, checking and supervision personnel:
 - (a) for flight crew; and
 - (b) for cabin crew.
 - 5.5 Other operations personnel (including technical crew and crew members other than flight, cabin and technical crew).
- 6 CREW HEALTH PRECAUTIONS
- 6.1 Crew health precautions. The relevant regulations and guidance to crew members concerning health, including the following:
 - (a) alcohol and other intoxicating liquids,
 - (b) narcotics,
 - (c) drugs,
 - (d) sleeping tablets,
 - (e) anti-depressants,
 - (f) pharmaceutical preparations,
 - (g) immunisation,
 - (h) deep-sea diving,
 - (i) blood/bone marrow donation,
 - (j) meal precautions prior to and during flight,
 - (k) sleep and rest,
 - (l) surgical operations.
- 7 FLIGHT TIME LIMITATIONS
- 7.1 Flight and duty time limitations and rest requirements.
 - 7.2 Exceedance of flight and duty time limitations and/or reductions of rest periods. Conditions under which flight and duty time may be exceeded or rest periods may be reduced, and the procedures used to report these modifications.
 - 7.3 A description of the fatigue risk management, including at least the following:
 - (a) the philosophy and principles;
 - (b) documentation of processes;
 - (c) scientific principles and knowledge;
 - (d) hazard identification and risk assessment processes;

- (e) risk mitigation process;
- (f) FRM safety assurance processes; and
- (g) FRM promotion processes.

8 OPERATING PROCEDURES

8.1 Flight preparation instructions. As applicable to the operation:

- 8.1.1 Minimum flight altitudes. A description of the method of determination and application of minimum altitudes including:
 - (a) a procedure to establish the minimum altitudes/flight levels for visual flight rules (VFR) flights; and
 - (b) a procedure to establish the minimum altitudes/flight levels for instrument flight rules (IFR) flights.
- 8.1.2 Criteria and responsibilities for determining the adequacy of aerodromes to be used.
- 8.1.3 Methods and responsibilities for establishing aerodrome operating minima. Reference should be made to procedures for the determination of the visibility and/or runway visual range (RVR) and for the applicability of the actual visibility observed by the pilots, the reported visibility and the reported RVR.
- 8.1.4 En-route operating minima for VFR flights or VFR portions of a flight and, where single-engined aircraft are used, instructions for route selection with respect to the availability of surfaces that permit a safe forced landing.
- 8.1.5 Presentation and application of aerodrome and en-route operating minima.
- 8.1.6 Interpretation of meteorological information. Explanatory material on the decoding of meteorological (MET) forecasts and MET reports relevant to the area of operations, including the interpretation of conditional expressions.
- 8.1.7 Determination of the quantities of fuel, oil and water methanol carried. The methods by which the quantities of fuel, oil and water methanol to be carried are determined and monitored in-flight. This section should also include instructions on the measurement and distribution of the fluid carried on board. Such instructions should take account of all circumstances likely to be encountered on the flight, including the possibility of in-flight re-planning and of failure of one or more of the aircraft's power plants. The system for maintaining fuel and oil records should also be described.
- 8.1.8 Mass and centre of gravity. The general principles of mass and centre of gravity including the following:
 - (a) definitions;
 - (b) methods, procedures and responsibilities for preparation and acceptance of mass and centre of gravity calculations;

- (c) the policy for using standard and/or actual masses;
- (d) the method for determining the applicable passenger, baggage and cargo mass;
- (e) the applicable passenger and baggage masses for various types of operations and aircraft type;
- (f) general instructions and information necessary for verification of the various types of mass and balance documentation in use;
- (g) last-minute changes procedures;
- (h) specific gravity of fuel, oil and water methanol;
- (i) seating policy/procedures;
- (j) for helicopter operations, standard load plans.

8.1.9 Air traffic services (ATS) flight plan. Procedures and responsibilities for the preparation and submission of the ATS flight plan. Factors to be considered include the means of submission for both individual and repetitive flight plans.

8.1.10 Operational flight plan. Procedures and responsibilities for the preparation and acceptance of the operational flight plan. The use of the operational flight plan should be described, including samples of the operational flight plan formats in use.

8.1.11 Operator's aircraft technical log. The responsibilities and the use of the operator's aircraft technical log should be described, including samples of the format used.

8.1.12 List of documents, forms and additional information to be carried.

8.1.13 For commercial air transport operations with single-engined turbine aeroplanes in instrument meteorological conditions or at night (CAT SET-IMC) approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012:

- (a) the procedure for route selection with respect to the availability of surfaces, which permits a safe forced landing;
- (b) the instructions for the assessment of landing sites (elevation, landing direction, and obstacles in the area); and
- (c) the instructions for the assessment of the weather conditions at those landing sites.

8.2 Ground handling instructions. As applicable to the operation:

8.2.1 Fuelling procedures. A description of fuelling procedures, including:

- (a) safety precautions during refuelling and defuelling including when an auxiliary power unit is in operation or when rotors are running or when an engine is or engines are running and the prop-brakes are on;
- (b) refuelling and defuelling when passengers are embarking, on board or disembarking; and

- (c) precautions to be taken to avoid mixing fuels.

8.2.2 Aircraft, passengers and cargo handling procedures related to safety. A description of the handling procedures to be used when allocating seats, embarking and disembarking passengers and when loading and unloading the aircraft. Further procedures, aimed at achieving safety whilst the aircraft is on the ramp, should also be given. Handling procedures should include:

- (a) special categories of passengers, including children/infants, persons with reduced mobility, inadmissible passengers, deportees and persons in custody;
- (b) permissible size and weight of hand baggage;
- (c) loading and securing of items in the aircraft;
- (d) positioning of ground equipment;
- (e) operation of aircraft doors;
- (f) safety on the aerodrome/operating site, including fire prevention and safety in blast and suction areas;
- (g) start-up, ramp departure and arrival procedures, including, for aeroplanes, push-back and towing operations;
- (h) servicing of aircraft;
- (i) documents and forms for aircraft handling;
- (j) special loads and classification of load compartments; and
- (k) multiple occupancy of aircraft seats.

8.2.3 Procedures for the refusal of embarkation. Procedures to ensure that persons who appear to be intoxicated, or who demonstrate by manner or physical indications that they are under the influence of drugs, are refused embarkation. This does not apply to medical patients under proper care.

8.2.4 De-icing and anti-icing on the ground. A description of the de-icing and anti-icing policy and procedures for aircraft on the ground. These should include descriptions of the types and effects of icing and other contaminants on aircraft whilst stationary, during ground movements and during take-off. In addition, a description of the fluid types used should be given, including the following:

- (a) proprietary or commercial names,
- (b) characteristics,
- (c) effects on aircraft performance,
- (d) hold-over times,
- (e) precautions during usage.

8.3 Flight Procedures:

- 8.3.1 VFR/IFR Policy. A description of the policy for allowing flights to be made under VFR, or for requiring flights to be made under IFR, or for changing from one to the other.
- 8.3.2 Navigation Procedures. A description of all navigation procedures, relevant to the type(s) and area(s) of operation. Special consideration should be given to:
 - (a) standard navigational procedures, including policy for carrying out independent cross-checks of keyboard entries where these affect the flight path to be followed by the aircraft; and
 - (b) required navigation performance (RNP), minimum navigation performance specification (MNPS) and polar navigation and navigation in other designated areas;
 - (c) in-flight re-planning;
 - (d) procedures in the event of system degradation; and
 - (e) reduced vertical separation minima (RVSM), for aeroplanes.
- 8.3.3 Altimeter setting procedures, including, where appropriate, use of:
 - (a) metric altimetry and conversion tables; and
 - (b) QFE operating procedures.
- 8.3.4 Altitude alerting system procedures for aeroplanes or audio voice alerting devices for helicopters.
- 8.3.5 Ground proximity warning system (GPWS)/terrain avoidance warning system (TAWS), for aeroplanes. Procedures and instructions required for the avoidance of controlled flight into terrain, including limitations on high rate of descent near the surface (the related training requirements are covered in OM-D 2.1).
- 8.3.6 Policy and procedures for the use of traffic collision avoidance system (TCAS)/airborne collision avoidance system (ACAS) for aeroplanes and, when applicable, for helicopters.
- 8.3.7 Policy and procedures for in-flight fuel management.
- 8.3.8 Adverse and potentially hazardous atmospheric conditions. Procedures for operating in, and/or avoiding, adverse and potentially hazardous atmospheric conditions, including the following:
 - (a) thunderstorms,
 - (b) icing conditions,
 - (c) turbulence,
 - (d) windshear,
 - (e) jet stream,
 - (f) volcanic ash clouds,
 - (g) heavy precipitation,

- (h) sand storms,
- (i) mountain waves,
- (j) significant temperature inversions.

8.3.9 Wake turbulence. Wake turbulence separation criteria, taking into account aircraft types, wind conditions and runway/final approach and take-off area (FATO) location. For helicopters, consideration should also be given to rotor downwash.

8.3.10 Crew members at their stations. The requirements for crew members to occupy their assigned stations or seats during the different phases of flight or whenever deemed necessary in the interest of safety and, for aeroplane operations, including procedures for controlled rest in the flight crew compartment.

8.3.11 Use of restraint devices for crew and passengers. The requirements for crew members and passengers to use safety belts and/or restraint systems during the different phases of flight or whenever deemed necessary in the interest of safety.

8.3.12 Admission to flight crew compartment. The conditions for the admission to the flight crew compartment of persons other than the flight crew. The policy regarding the admission of inspectors from an authority should also be included.

8.3.13 Use of vacant crew seats. The conditions and procedures for the use of vacant crew seats.

8.3.14 Incapacitation of crew members. Procedures to be followed in the event of incapacitation of crew members in-flight. Examples of the types of incapacitation and the means for recognising them should be included.

8.3.15 Cabin safety requirements. Procedures:

- (a) covering cabin preparation for flight, in-flight requirements and preparation for landing, including procedures for securing the cabin and galleys;
- (b) to ensure that passengers are seated where, in the event that an emergency evacuation is required, they may best assist and not hinder evacuation from the aircraft;
- (c) to be followed during passenger embarkation and disembarkation;
- (d) when refuelling/defuelling with passengers embarking, on board or disembarking;
- (e) covering the carriage of special categories of passengers;
- (f) covering smoking on board;
- (g) covering the handling of suspected infectious diseases.

8.3.16 Passenger briefing procedures. The contents, means and timing of passenger briefing in accordance with Annex IV (Part-CAT).

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- 8.3.17 Procedures for aircraft operated whenever required cosmic or solar radiation detection equipment is carried.
 - 8.3.18 Policy on the use of autopilot and autothrottle for aircraft fitted with these systems.
 - 8.4 Low visibility operations (LVO). A description of the operational procedures associated with LVO.
 - 8.5 Extended-range operations with two-engined aeroplanes (ETOPS). A description of the ETOPS operational procedures. (Refer to AMC 20-6)
 - 8.6 Use of the minimum equipment and configuration deviation list(s).
 - 8.7 Non-commercial operations. Information as required by **ORO.AOC.125** for each type of non-commercial flight performed by the AOC holder. A description of the differences from CAT operations. Procedures and limitations, for example, for the following:
 - (a) training flights,
 - (b) flights at the end of lease or upon transfer of ownership,
 - (c) delivery flights,
 - (d) ferry flights,
 - (e) demonstration flights,
 - (f) positioning flights,
 - (g) other non-commercial flights.
 - 8.8 Oxygen requirements:
 - 8.8.1 An explanation of the conditions under which oxygen should be provided and used.
 - 8.8.2 The oxygen requirements specified for the following persons:
 - (a) flight crew;
 - (b) cabin crew;
 - (c) passengers.
 - 8.9 Procedures related to the use of type B EFB applications.
 - 9 DANGEROUS GOODS AND WEAPONS
 - 9.1 Information, instructions and general guidance on the transport of dangerous goods, in accordance with Subpart G of Annex V (SPA.DG), including:
 - (a) operator's policy on the transport of dangerous goods;
 - (b) guidance on the requirements for acceptance, labelling, handling, stowage and segregation of dangerous goods;
 - (c) special notification requirements in the event of an accident or occurrence when dangerous goods are being carried;
 - (d) procedures for responding to emergency situations involving dangerous goods;

- (e) duties of all personnel involved; and
- (f) instructions on the carriage of the operator's personnel on cargo aircraft when dangerous goods are being carried.

9.2 The conditions under which weapons, munitions of war and sporting weapons may be carried.

10 SECURITY

Security instructions, guidance, procedures, training and responsibilities, taking into account Regulation (EC) No 300/2008¹. Some parts of the security instructions and guidance may be kept confidential.

11 HANDLING, NOTIFYING AND REPORTING ACCIDENTS, INCIDENTS AND OCCURRENCES AND USING THE CVR RECORDING

Procedures for handling, notifying and reporting accidents, incidents and occurrences. This section should include the following:

- (a) definition of accident, incident and occurrence and of the relevant responsibilities of all persons involved;
- (b) illustrations of forms to be used for reporting all types of accident, incident and occurrence (or copies of the forms themselves), instructions on how they are to be completed, the addresses to which they should be sent and the time allowed for this to be done;
- (c) in the event of an accident, descriptions of which departments, authorities and other organisations have to be notified, how this will be done and in what sequence;
- (d) procedures for verbal notification to air traffic service units of incidents involving ACAS resolution advisories (RAs), bird hazards, dangerous goods and hazardous conditions;
- (e) procedures for submitting written reports on air traffic incidents, ACAS RAs, bird strikes, dangerous goods incidents or accidents, and unlawful interference;
- (f) reporting procedures. These procedures should include internal safety-related reporting procedures to be followed by crew members, designed to ensure that the pilot-in-command/commander is informed immediately of any incident that has endangered, or may have endangered, safety during the flight, and that the pilot-in-command/commander is provided with all relevant information.
- (g) Procedures for the preservation of recordings of the flight recorders following an accident or a serious incident or when so directed by the investigating authority. These procedures should include:
 - (1) a full quotation of **CAT.GEN.MPA.195(a)**; and
 - (2) instructions and means to prevent inadvertent reactivation, repair or reinstallation of the flight recorders by personnel of the operator or

¹ OJ L 97, 11.3.2008, p. 72.

of third parties, and to ensure that flight recorder recordings are preserved for the needs of the investigating authority.

- (h) Procedures required by **CAT.GEN.MPA.195** for using the CVR recording or its transcript without prejudice to Regulation (EU) No 996/210, when applicable.

12 RULES OF THE AIR

- (a) Visual and instrument flight rules,
- (b) Territorial application of the rules of the air,
- (c) Communication procedures, including communication-failure procedures,
- (d) Information and instructions relating to the interception of civil aircraft,
- (e) The circumstances in which a radio listening watch is to be maintained,
- (f) Signals,
- (g) Time system used in operation,
- (h) ATC clearances, adherence to flight plan and position reports,
- (i) Visual signals used to warn an unauthorised aircraft flying in or about to enter a restricted, prohibited or danger area,
- (j) Procedures for flight crew observing an accident or receiving a distress transmission,
- (k) The ground/air visual codes for use by survivors, and description and use of signal aids,
- (l) Distress and urgency signals.

13 LEASING/CODE-SHARE

A description of the operational arrangements for leasing and code-share, associated procedures and management responsibilities.

B AIRCRAFT OPERATING MATTERS — TYPE RELATED

Taking account of the differences between types/classes, and variants of types, under the following headings:

0 GENERAL INFORMATION AND UNITS OF MEASUREMENT

- 0.1 General information (e.g. aircraft dimensions), including a description of the units of measurement used for the operation of the aircraft type concerned and conversion tables.

1 LIMITATIONS

- 1.1 A description of the certified limitations and the applicable operational limitations should include the following:
 - (a) certification status (e.g. EASA (supplemental) type certificate, environmental certification, etc.);
 - (b) passenger seating configuration for each aircraft type, including a pictorial presentation;

- (c) types of operation that are approved (e.g. VFR/IFR, CAT II/III, RNP, flights in known icing conditions, etc.);
- (d) crew composition;
- (e) mass and centre of gravity;
- (f) speed limitations;
- (g) flight envelope(s);
- (h) wind limits, including operations on contaminated runways;
- (i) performance limitations for applicable configurations;
- (j) (runway) slope;
- (k) for aeroplanes, limitations on wet or contaminated runways;
- (l) airframe contamination;
- (m) system limitations.

2 NORMAL PROCEDURES

The normal procedures and duties assigned to the crew, the appropriate checklists, the system for their use and a statement covering the necessary coordination procedures between flight and cabin/other crew members. The normal procedures and duties should include the following:

- (a) pre-flight,
- (b) pre-departure,
- (c) altimeter setting and checking,
- (d) taxi, take-off and climb,
- (e) noise abatement,
- (f) cruise and descent,
- (g) approach, landing preparation and briefing,
- (h) VFR approach,
- (i) IFR approach,
- (j) visual approach and circling,
- (k) missed approach,
- (l) normal landing,
- (m) post-landing,
- (n) for aeroplanes, operations on wet and contaminated runways.

3 ABNORMAL AND/OR EMERGENCY PROCEDURES

The abnormal and/or emergency procedures and duties assigned to the crew, the appropriate checklists, the system for their use and a statement covering the necessary coordination procedures between flight and cabin/other crew members. The abnormal and/or emergency procedures and duties should include the following:

- (a) crew incapacitation,
- (b) fire and smoke drills,
- (c) for aeroplanes, un-pressurised and partially pressurised flight,
- (d) for aeroplanes, exceeding structural limits such as overweight landing,
- (e) lightning strikes,
- (f) distress communications and alerting ATC to emergencies,
- (g) engine/burner failure,
- (h) system failures,
- (i) guidance for diversion in case of serious technical failure,
- (j) ground proximity warning, including for helicopters audio voice alerting device (AVAD) warning,
- (k) ACAS/TCAS warning for aeroplanes/audio voice alerting device (AVAD) warning for helicopters,
- (l) windshear,
- (m) emergency landing/ditching,
- (n) for aeroplanes, departure contingency procedures.

4 PERFORMANCE

- 4.0 Performance data should be provided in a form that can be used without difficulty.
- 4.1 Performance data. Performance material that provides the necessary data for compliance with the performance requirements prescribed in Annex IV (Part-CAT). For aeroplanes, this performance data should be included to allow the determination of the following:
 - (a) take-off climb limits — mass, altitude, temperature;
 - (b) take-off field length (for dry, wet and contaminated runway conditions);
 - (c) net flight path data for obstacle clearance calculation or, where applicable, take-off flight path;
 - (d) the gradient losses for banked climb-outs;
 - (e) en-route climb limits;
 - (f) approach climb limits;
 - (g) landing climb limits;
 - (h) landing field length (for dry, wet and contaminated runway conditions) including the effects of an in-flight failure of a system or device, if it affects the landing distance;
 - (i) brake energy limits;
 - (j) speeds applicable for the various flight stages (also considering dry, wet and contaminated runway conditions).

- 4.1.1 Supplementary data covering flights in icing conditions. Any certified performance related to an allowable configuration, or configuration deviation, such as anti-skid inoperative.
- 4.1.2 If performance data, as required for the appropriate performance class, are not available in the AFM, then other data should be included. The OM may contain cross-reference to the data contained in the AFM where such data are not likely to be used often or in an emergency.
- 4.2 Additional performance data for aeroplanes. Additional performance data, where applicable, including the following:
 - (a) all engine climb gradients,
 - (b) drift-down data,
 - (c) effect of de-icing/anti-icing fluids,
 - (d) flight with landing gear down,
 - (e) for aircraft with 3 or more engines, one-engine-inoperative ferry flights,
 - (f) flights conducted under the provisions of the configuration deviation list (CDL).

5 FLIGHT PLANNING

- 5.1 Data and instructions necessary for pre-flight and in-flight planning including, for aeroplanes, factors such as speed schedules and power settings. Where applicable, procedures for engine(s)-out operations, ETOPS (particularly the one-engine-inoperative cruise speed and maximum distance to an adequate aerodrome determined in accordance with Annex IV (Part-CAT)) and flights to isolated aerodromes should be included.
- 5.2 The method for calculating fuel needed for the various stages of flight.
- 5.3 When applicable, for aeroplanes, performance data for ETOPS critical fuel reserve and area of operation, including sufficient data to support the critical fuel reserve and area of operation calculation based on approved aircraft performance data. The following data should be included:
 - (a) detailed engine(s)-inoperative performance data, including fuel flow for standard and non-standard atmospheric conditions and as a function of airspeed and power setting, where appropriate, covering:
 - (i) drift down (includes net performance), where applicable;
 - (ii) cruise altitude coverage including 10 000 ft;
 - (iii) holding;
 - (iv) altitude capability (includes net performance); and
 - (v) missed approach;
 - (b) detailed all-engine-operating performance data, including nominal fuel flow data, for standard and non-standard atmospheric conditions

and as a function of airspeed and power setting, where appropriate, covering:

- (i) cruise (altitude coverage including 10 000 ft); and
- (ii) holding;
- (c) details of any other conditions relevant to ETOPS operations which can cause significant deterioration of performance, such as ice accumulation on the unprotected surfaces of the aircraft, ram air turbine (RAT) deployment, thrust-reverser deployment, etc.; and
- (d) the altitudes, airspeeds, thrust settings, and fuel flow used in establishing the ETOPS area of operations for each airframe-engine combination should be used in showing the corresponding terrain and obstruction clearances in accordance with Annex IV (Part-CAT).

6 MASS AND BALANCE

Instructions and data for the calculation of the mass and balance, including the following:

- (a) calculation system (e.g. index system);
- (b) information and instructions for completion of mass and balance documentation, including manual and computer generated types;
- (c) limiting masses and centre of gravity for the types, variants or individual aircraft used by the operator;
- (d) dry operating mass and corresponding centre of gravity or index.

7 LOADING

Procedures and provisions for loading and unloading and securing the load in the aircraft.

8 CONFIGURATION DEVIATION LIST

The CDL(s), if provided by the manufacturer, taking account of the aircraft types and variants operated, including procedures to be followed when an aircraft is being dispatched under the terms of its CDL.

9 MINIMUM EQUIPMENT LIST (MEL)

The MEL for each aircraft type or variant operated and the type(s)/area(s) of operation. The MEL should also include the dispatch conditions associated with operations required for a specific approval (e.g. RNAV, RNP, RVSM, ETOPS). Consideration should be given to using the ATA number system when allocating chapters and numbers.

10 SURVIVAL AND EMERGENCY EQUIPMENT INCLUDING OXYGEN

10.1 A list of the survival equipment to be carried for the routes to be flown and the procedures for checking the serviceability of this equipment prior to take-off. Instructions regarding the location, accessibility and use of survival and emergency equipment and its associated checklist(s) should also be included.

10.2 The procedure for determining the amount of oxygen required and the quantity that is available. The flight profile, number of occupants and possible cabin decompression should be considered.

11 EMERGENCY EVACUATION PROCEDURES

11.1 Instructions for preparation for emergency evacuation, including crew coordination and emergency station assignment.

11.2 Emergency evacuation procedures. A description of the duties of all members of the crew for the rapid evacuation of an aircraft and the handling of the passengers in the event of a forced landing, ditching or other emergency.

12 AIRCRAFT SYSTEMS

A description of the aircraft systems, related controls and indications and operating instructions. Consideration should be given to use the ATA number system when allocating chapters and numbers.

C ROUTE/ROLE/AREA AND AERODROME/OPERATING SITE INSTRUCTIONS AND INFORMATION

1 Instructions and information relating to communications, navigation and aerodromes/operating sites, including minimum flight levels and altitudes for each route to be flown and operating minima for each aerodrome/operating site planned to be used, including the following:

- (a) minimum flight level/altitude;
- (b) operating minima for departure, destination and alternate aerodromes;
- (c) communication facilities and navigation aids;
- (d) runway/final approach and take-off area (FATO) data and aerodrome/operating site facilities;
- (e) approach, missed approach and departure procedures including noise abatement procedures;
- (f) communication-failure procedures;
- (g) search and rescue facilities in the area over which the aircraft is to be flown;
- (h) a description of the aeronautical charts that should be carried on board in relation to the type of flight and the route to be flown, including the method to check their validity;
- (i) availability of aeronautical information and MET services;
- (j) en-route communication/navigation procedures;
- (k) aerodrome/operating site categorisation for flight crew competence qualification;
- (l) special aerodrome/operating site limitations (performance limitations and operating procedures, etc.).

- (2) Information related to landing sites available for operations approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, including:
- (a) a description of the landing site (position, surface, slope, elevation, etc.);
 - (b) the preferred landing direction; and
 - (c) obstacles in the area.

D TRAINING

- 1 Description of scope: Training syllabi and checking programmes for all operations personnel assigned to operational duties in connection with the preparation and/or conduct of a flight.
 - 2 Content: Training syllabi and checking programmes should include the following:
 - 2.1 for flight crew, all relevant items prescribed in Annex IV (Part-CAT), Annex V (Part-SPA) and ORO.FC;
 - 2.2 for cabin crew, all relevant items prescribed in Annex IV (Part-CAT), Annex V (Part-CC) of Commission Regulation (EU) 1178/2011 and ORO.CC;
 - 2.3 for technical crew, all relevant items prescribed in Annex IV (Part-CAT), Annex V (Part-SPA) and ORO.TC;
 - 2.4 for operations personnel concerned, including crew members:
 - (a) all relevant items prescribed in SPA.DG Subpart G of Annex IV (SPA.DG); and
 - (b) all relevant items prescribed in Annex IV (Part-CAT) and ORO.SEC; and
 - 2.5 for operations personnel other than crew members (e.g. dispatcher, handling personnel, etc.), all other relevant items prescribed in Annex IV (Part-CAT) and in this Annex pertaining to their duties.
 - 3 Procedures:
 - 3.1 Procedures for training and checking.
 - 3.2 Procedures to be applied in the event that personnel do not achieve or maintain the required standards.
 - 3.3 Procedures to ensure that abnormal or emergency situations requiring the application of part or all of the abnormal or emergency procedures, and simulation of instrument meteorological conditions (IMC) by artificial means are not simulated during CAT operations.
 - 4 Description of documentation to be stored and storage periods.
- (b) Notwithstanding (a), an OM that is compiled in accordance with JAR-OPS 3 amendment 5 may be considered to be compliant.
- (c) If there are sections that, because of the nature of the operation, do not apply, it is recommended that operators maintain the numbering system described in **ORO.MLR.101** and above and insert 'Not applicable' or 'Intentionally blank' where appropriate.

AMC4 ORO.MLR.100 Operations manual – General

CONTENTS – NON-COMMERCIAL SPECIALISED OPERATIONS WITH COMPLEX MOTOR-POWERED AIRCRAFT AND COMMERCIAL SPECIALISED OPERATIONS

- (a) The OM should contain at least the following information, where applicable, as relevant to the area and type of operation:

A GENERAL/BASIC

For chapters 0-7 refer to **AMC3**

ORO.MLR.100. In addition:

- 6.2 The relevant regulations and guidance to crew members concerning dangerous goods used for specialised tasks (pesticides and chemicals, etc.).

8 OPERATING PROCEDURES

- 8.1 Flight preparation instructions. As applicable to the operation:

8.1.1 General procedures;

8.1.2 Minimum flight altitudes. A description of the method of determination and application of minimum altitudes, including a procedure to establish the minimum altitudes/flight levels;

8.1.3 Criteria and responsibilities for determining the adequacy of aerodromes/operating sites to be used;

8.1.4 Interpretation of meteorological information. Explanatory material on the decoding of MET forecasts and MET reports relevant to the area of operations, including the interpretation of conditional expressions;

8.1.5 Determination of the quantities of fuel, oil and water methanol carried. The methods by which the quantities of fuel, oil and water methanol to be carried are determined and monitored in-flight. The system for maintaining fuel and oil records should also be described;

8.1.6 Procedure for the determination of the mass of loads, the calculation of performance margins and the centre of gravity;

8.1.7 Emergency procedures, e.g. load, fuel or chemical jettison (to include the actions of all personnel);

8.1.8 System for supply of NOTAMS, meteorological and other safety-critical information both at base and in field locations;

8.1.9 Mandatory equipment for specific tasks (mirror, cargo sling, load cell, special radio equipment, radar altimeters, etc.);

8.1.10 Guidance on the CDL and MEL;

8.1.11 Policy on completion and carriage of documents including operator's aircraft technical log and journey log, or equivalent;

8.1.12 Any task-specific standard operating procedures not covered above.

- 8.2 Ground handling instructions. As applicable to the operation:

8.2.1 Briefing requirements for in-flight and ground task specialists;

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- 8.2.2 Decontamination procedures;
 - 8.2.3 Fuelling procedures, including safety precautions during refuelling and defuelling including quality checks required in the field location, precautions against spillage and environmental damage;
 - 8.2.4. De-icing and anti-icing on the ground. A description of the de-icing and anti-icing policy and procedures for aircraft on the ground.
 - 8.3 Flight procedures. As applicable to the operation:
 - 8.3.1 Procedures relevant to the aircraft type, specific task and area;
 - 8.3.2 Altimeter setting procedures;
 - 8.3.3 Actions following alerts from audio warning devices;
 - 8.3.4 GPWS/TAWS for aeroplanes. Procedures and instructions required for the avoidance of controlled flight into terrain, including limitations on high rate of descent near the surface (the related training requirements are covered in OM-D 2.1);
 - 8.3.5 Policy and procedures for the use of TCAS/ACAS for aeroplanes and, when applicable, for helicopters;
 - 8.3.6 Policy and procedures for in-flight fuel management;
 - 8.3.7 Procedures for operating in adverse and potentially hazardous atmospheric conditions;
 - 8.3.8 Wake turbulence and rotor downwash for helicopters;
 - 8.3.9 Use of restraint devices;
 - 8.3.10 Policy on use of vacant seats;
 - 8.3.11 Cabin safety requirements including smoking.
 - 8.4 Task-specific weather limitations.
 - 8.5 Use of the minimum equipment and configuration deviation list(s).
 - 8.6 Oxygen requirements. An explanation of the conditions under which oxygen should be provided and used (altitude, exposure times, night etc.).
 - 9 DANGEROUS GOODS AND WEAPONS
 - 9.1 Information, instruction and general guidance on the transport of dangerous goods as internal or external loads, including:
 - 9.1.1 The operator's policy on the transport of dangerous goods;
 - 9.1.2 Guidance on the requirements for acceptance, labelling, handling, stowage, and segregation of dangerous goods;
 - 9.1.3 Procedures for responding to emergency situations involving dangerous goods;
 - 9.1.4 Duties of all personnel involved; and
 - 9.1.5 Instructions on carriage of the operator's personnel on cargo aircraft when dangerous goods are being carried.

9.2 The conditions under which weapons, munitions of war and sporting weapons may be carried.

10 SECURITY

Security instructions, guidance, procedures, training and responsibilities, taking into account Regulation (EC) No 300/2008. Some parts of the security instructions and guidance may be kept confidential.

11 HANDLING, NOTIFYING AND REPORTING ACCIDENTS, INCIDENTS AND OCCURRENCES, AND USING THE CVR RECORDINGS

Procedures for handling, notifying and reporting accidents, incidents and occurrences. This section should include:

11.1 Definitions of accidents and occurrences and responsibilities of all persons involved;

11.2 Reporting procedures (including any mandatory forms);

11.3 Special notification when dangerous goods are carried; and

11.4 Procedures for the preservation of recordings of the flight recorders in order to prevent inadvertent reactivation, repair or reinstallation of the flight recorders following an accident or a serious incident or when this preservation is directed by the investigating authority.

12 RULES OF THE AIR

In addition to the items referred to in **AMC3 ORO.MLR.100**, territorial procedures for obtaining permissions and exemptions, e.g. for underslung loads and lowflying clearances.

13 LEASING

Refer to **AMC3 ORO.MLR.100**.

B AIRCRAFT OPERATING MATTERS — TYPE RELATED

For chapters 0-1 refer to **AMC3 ORO.MLR.100**.

2 NORMAL PROCEDURES

The normal procedures and duties assigned to the crew, the appropriate checklists and the system for their use, including any task or specific role equipment procedures not contained in the AFM.

3 ABNORMAL AND/OR EMERGENCY PROCEDURES

The abnormal and/or emergency procedures and duties assigned to the crew, the appropriate checklists and the system for their use, including any task or specific role equipment emergency procedures not contained in the AFM.

4 PERFORMANCE

4.1 Performance data should be provided in a form in which it can be used without difficulty.

4.2 Performance data. Performance material which provides the necessary data for compliance with the performance requirements prescribed in Part-SPO.

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- 5 FLIGHT PLANNING
 - 5.1 Data and instructions necessary for pre-flight and in-flight planning.
 - 5.2 Procedures for specialised tasks.
 - 6 MASS AND BALANCE

Instructions and data for the calculation of the mass and balance, including:

 - 6.1 Calculation system (e.g. index system);
 - 6.2 Information and instructions for completion of mass and balance documentation; and
 - 6.3 Limitations.
 - 7 LOADING

Refer to **AMC3 ORO.MLR.100**.
 - 8 CONFIGURATION DEVIATION LIST (CDL)

Refer to **AMC3 ORO.MLR.100**.
 - 9 MINIMUM EQUIPMENT LIST (MEL)

The MEL for each aircraft type or variant operated and the type(s)/area(s) of operation. It should also contain procedures to be followed when an aircraft is being dispatched with one or more inoperative items, in accordance with the MEL.
 - 10 SURVIVAL AND EMERGENCY EQUIPMENT INCLUDING OXYGEN
 - 10.1 A list of the survival equipment to be carried, taking into account the nature of the area of operation, such as a hostile or a non-hostile environment.
 - 10.2 A checklist for assessing the serviceability of the equipment and instructions for its use prior to take-off.
 - 10.3 The procedure for determining the amount of oxygen required and the quantity that is available.
 - 11 EMERGENCY EVACUATION PROCEDURES
 - 11.1 Emergency evacuation procedures, crew coordination and occupant handling in the event of a forced landing, ditching or other emergency.
 - 12 AIRCRAFT SYSTEMS

A description of the aircraft systems and all equipment specific to the tasks. Additional equipment, systems or fitting, related special procedures including any supplements to the AFM.
 - C TASKS AND OPERATING AREAS INSTRUCTIONS AND INFORMATION

Specific instructions related to the specialised tasks and operating areas in accordance with **AMC3 ORO.MLR.100**.
 - D TRAINING
 - 1 Training syllabi and checking programmes for all operations personnel assigned to operational duties in connection with the preparation and/or conduct of a flight.

- 2 Training syllabi and checking programmes should include:
 - 2.1 For flight crew, all relevant items prescribed in Part-SPO, Part-SPA and this Part;
 - 2.2 For other crew members, all relevant items prescribed in Part-SPO and this Part, as applicable;
 - 2.3 For in-flight and ground task specialists concerned, including crew members:
 - a. All relevant items prescribed in SPA.DG; and
 - b. All relevant items prescribed in Part-SPO and ORO.SEC; and
 - 2.4 For operations personnel other than crew members, all other relevant items pertaining to their duties prescribed in Part-SPO and this Part.
 - 3 Procedures:
 - 3.1 Procedures for training and checking.
 - 3.2 Procedures to be applied in the event that personnel do not achieve or maintain the required standards.
 - 3.3 A system for tracking expiry dates for qualifications, checks, tests, recency and licences.
 - 4 Description of documentation to be stored and storage periods.
- (b) If there are sections that, because of the nature of the operation, do not apply, it is recommended that operators maintain the numbering system described in **ORO.MLR.101** and above and insert 'Not applicable' or 'Intentionally blank' where appropriate.

GM1 ORO.MLR.100(k) Operations manual – general

HUMAN FACTORS PRINCIPLES

Guidance material on the application of human factors principles can be found in the ICAO Human Factors Training Manual (Doc 9683).

GM1 ORO.MLR.105(a) Minimum equipment list

GENERAL

- (a) The Minimum Equipment List (MEL) is a document that lists the equipment that may be temporarily inoperative, subject to certain conditions, at the commencement of flight. This document is prepared by the operator for their own particular aircraft taking account of their aircraft configuration and all those individual variables that cannot be addressed at MMEL level, such as operating environment, route structure, geographic location, aerodromes where spare parts and maintenance capabilities are available, etc., in accordance with a procedure approved by the CAA.
- (b) The MMEL, as defined in the mandatory part of the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012, is developed in compliance with CS-MMEL or CS-GEN-MMEL. These Certification Specifications contain, among other, guidance intended to standardise the level of relief granted in MMELs, in particular for items that are subject to operational requirements. If a MMEL established as part of the operational suitability data is not available and items subject to operational requirements are listed in the available

MMEL without specific relief or dispatch conditions but only with a reference to the operational requirements, the operator may refer to CS-MMEL or CS-GEN-MMEL guidance material, as applicable, to develop the relevant MEL content for such items.

NON-SAFETY-RELATED EQUIPMENT

- (a) Most aircraft are designed and certified with a significant amount of equipment redundancy, such that the airworthiness requirements are satisfied by a substantial margin. In addition, aircraft are generally fitted with equipment that is not required for safe operation under all operating conditions, e.g. instrument lighting in day VMC.
- (b) All items related to the airworthiness, or required for the safe operation, of the aircraft and not included in the list are automatically required to be operative.
- (c) Equipment, such as entertainment systems or galley equipment, may be installed for passenger convenience. If this non-safety-related equipment does not affect the airworthiness or operation of the aircraft when inoperative, it does not require a rectification interval, and need not be listed in the operator's MEL, if it is not addressed in the MMEL. The exceptions to this are as follows:
 - (1) Where non-safety-related equipment serves a second function, such as movie equipment being used for cabin safety briefings, operators should develop and include operational contingency procedures in the MEL in case of an equipment malfunction.
 - (2) Where non-safety-related equipment is part of another aircraft system, for example the electrical system, procedures should be developed and included in the MEL for deactivating and securing in case of malfunction. In these cases, the item should be listed in the MEL, with compensating provisions and deactivation instructions if applicable. The rectification interval will be dependent on the secondary function of the item and the extent of its effect on other systems.
- (d) If the operator chooses to list non-safety-related equipment in the MEL, not listed in the MMEL, they should include a rectification interval category. These items may be given a 'D' category rectification interval provided any applicable (M) procedure (in the case of electrically supplied items) is applied.
- (e) Operators should establish an effective decision making process for failures that are not listed to determine if they are related to airworthiness and required for safe operation. In order for inoperative installed equipment to be considered non-safety-related, the following criteria should be considered:
 - (1) the operation of the aircraft is not adversely affected such that standard operating procedures related to ground personnel, and crew members are impeded;
 - (2) the condition of the aircraft is not adversely affected such that the safety of passengers and/or personnel is jeopardised;
 - (3) the condition of the aircraft is configured to minimise the probability of a subsequent failure that may cause injury to passengers/personnel and/or cause damage to the aircraft;
 - (4) the condition does not include the use of required emergency equipment and does not impact emergency procedures such that personnel could not perform them.

AMC1 ORO.MLR.105(c) Minimum equipment list

AMENDMENTS TO THE MEL FOLLOWING CHANGES TO THE MMEL — APPLICABLE CHANGES AND ACCEPTABLE TIMESCALES

- (a) The following are applicable changes to the MMEL that require amendment of the MEL:
 - (1) a reduction of the rectification interval;
 - (2) change of an item, only when the change is applicable to the aircraft or type of operations and is more restrictive.
- (b) An acceptable timescale for submitting the amended MEL to the CAA is 90 days from the effective date specified in the approved change to the MMEL.
- (c) Reduced timescales for the implementation of safety-related amendments may be required if the CAA considers it necessary.

AMC1 ORO.MLR.105(d) Minimum equipment list

MEL FORMAT

- (a) The MEL format and the presentation of items and dispatch conditions should reflect those of the MMEL.
- (b) The ATA 100/2200 Specification numbering system for MEL items is preferred.
- (c) Other formats and item numbering systems may be used provided they are clear and unambiguous.

AMC1 ORO.MLR.105(d)(1) Minimum equipment list

MEL PREAMBLE

The MEL preamble should:

- (a) reflect the content of the MMEL preamble as applicable to the MEL scope and extent;
- (b) contain terms and definitions used in the MEL;
- (c) contain any other relevant specific information for the MEL scope and use that is not originally provided in the MMEL;
- (d) provide guidance on how to identify the origin of a failure or malfunction to the extent necessary for appropriate application of the MEL;
- (e) contain guidance on the management of multiple unserviceabilities, based on the guidance given in the MMEL; and
- (f) contain guidance on placarding of inoperative items to inform crew members of equipment condition, as appropriate. In particular, when such items are accessible to the crew during flight, the control(s) and indicator(s) related to inoperative unit(s) should be clearly placarded.

AMC1 ORO.MLR.105(d)(3) Minimum equipment list

SCOPE OF THE MEL

The MEL should include:

- (a) The dispatch conditions associated with flights conducted in accordance with specific approvals held by the operator in accordance with Part-SPA.
- (b) Specific provision for particular types of operations carried out by the operator in accordance with **ORO.GEN.310** and with **ORO.AOC.125**.

AMC2 ORO.MLR.105(d)(3) Minimum equipment list

EXTENT OF THE MEL

The operator should include guidance in the MEL on how to deal with any failures that occur between the commencement of the flight and the start of the take-off. If a failure occurs between the commencement of the flight and the start of the take-off, any decision to continue the flight should be subject to pilot judgement and good airmanship. The pilot-in-command/commander may refer to the MEL before any decision to continue the flight is taken.

GM1 ORO.MLR.105(d)(3) Minimum equipment list

SCOPE OF THE MEL

- (a) Examples of special approvals in accordance with Part-SPA may be:
 - (1) RVSM,
 - (2) ETOPS,
 - (3) LVO.
- (b) Different types of operations carried out by the operator in accordance with **ORO.GEN.310** and with **ORO.AOC.125**:
 - (1) crew training,
 - (2) positioning flights,
 - (3) demonstration flights.
- (c) When an aircraft has installed equipment which is not required for the operations conducted, the operator may wish to delay rectification of such items for an indefinite period. Such cases are considered to be out of the scope of the MEL, therefore modification of the aircraft is appropriate and deactivation, inhibition or removal of the item should be accomplished by an appropriate approved modification procedure.

GM2 ORO.MLR.105(d)(3) Minimum equipment list

PURPOSE OF THE MEL

The MEL is an alleviating document having the purpose to identify the minimum equipment and conditions to operate safely an aircraft having inoperative equipment. Its purpose is not, however, to encourage the operation of aircraft with inoperative equipment. It is undesirable for aircraft to be dispatched with inoperative equipment and such operations are permitted only as a result of careful analysis of each item to ensure that the acceptable level of safety, as intended in the applicable airworthiness and operational requirements is maintained. The continued operation of an aircraft in this condition should be minimised.

GM1 ORO.MLR.105(e);(f) Minimum equipment list

RECTIFICATION INTERVAL (RI)

The definitions and categories of rectification intervals are provided in CS-MMEL.

AMC1 ORO.MLR.105(f) Minimum equipment list

RECTIFICATION INTERVAL EXTENSION (RIE) — OPERATOR PROCEDURES FOR THE APPROVAL BY THE CAA AND NOTIFICATION TO THE CAA

- (a) The operator's procedures to address the extension of rectification intervals and ongoing surveillance to ensure compliance should provide the CAA with details of the name and position of the nominated personnel responsible for the control of the operator's rectification interval extension (RIE) procedures and details of the specific duties and responsibilities established to control the use of RIEs.
- (b) Personnel authorising RIEs should be adequately trained in technical and/or operational disciplines to accomplish their duties. They should have necessary operational knowledge in terms of operational use of the MEL as alleviating documents by flight crew and maintenance personnel and engineering competence. The authorising personnel should be listed by appointment and name.
- (c) The operator should notify the CAA within 1 month of the extension of the applicable rectification interval or within the appropriated timescales specified by the approved procedure for the RIE.
- (d) The notification should be made in a form determined by the CAA and should specify the original defect, all such uses, the reason for the RIE and the reasons why rectification was not carried out within the original rectification interval.

GM1 ORO.MLR.105(f) Minimum equipment list

RECTIFICATION INTERVAL EXTENSION (RIE)

Procedures for the extension of rectification intervals should only be applied under certain conditions, such as a shortage of parts from manufacturers or other unforeseen situations (e.g. inability to obtain equipment necessary for proper troubleshooting and repair), in which case the operator may be unable to comply with the specified rectification intervals.

AMC1 ORO.MLR.105(g) Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES

- (a) The operational and maintenance procedures referenced in the MEL should be based on the operational and maintenance procedures referenced in the MMEL. Modified procedures may, however, be developed by the operator when they provide the same level of safety, as required by the MMEL. Modified maintenance procedures should be developed in accordance with Commission Regulation (EU) No 1321/2014.
- (b) Providing appropriate operational and maintenance procedures referenced in the MEL, regardless of who developed them, is the responsibility of the operator.
- (c) Any item in the MEL requiring an operational or maintenance procedure to ensure an acceptable level of safety should be so identified in the 'remarks' or 'exceptions' column/part/section of the MEL. This will normally be '(O)' for an operational procedure, or '(M)' for a maintenance procedure. '(O)(M)' means both operational and maintenance procedures are required.
- (d) The satisfactory accomplishment of all procedures, regardless of who performs them, is the responsibility of the operator.

GM1 ORO.MLR.105(g) Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES

- (a) Operational and maintenance procedures are an integral part of the compensating conditions needed to maintain an acceptable level of safety, enabling the CAA to approve the MEL. The CAA may request presentation of fully developed (O) and/or (M) procedures in the course of the MEL approval process.
- (b) Normally, operational procedures are accomplished by the flight crew; however, other personnel may be qualified and authorised to perform certain functions.
- (c) Normally, maintenance procedures are accomplished by the maintenance personnel; however, other personnel may be qualified and authorised to perform certain functions in accordance with Commission Regulation (EU) No 1321/2014.
- (d) Operator's manuals may include the OM, the continued airworthiness management organisation manual (CAME) or other documents. Operational and maintenance procedures, regardless of the document where they are contained, should be readily available for use when needed for the application of the MEL.
- (e) Unless specifically permitted by a maintenance procedure, an inoperative item may not be removed from the aircraft.

AMC1 ORO.MLR.105(h) Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES — APPLICABLE CHANGES

- (a) Changes to the operational and maintenance procedures referenced in the MMEL are considered applicable and require the amendment of the maintenance and operating procedures referenced in the MEL when:
 - (1) the modified procedure is applicable to the operator's MEL; and

- (2) the purpose of this change is to improve compliance with the intent of the associated MMEL dispatch condition.
- (b) An acceptable timescale for the amendments of maintenance and operating procedures, as defined in (a), should be 90 days from the date when the amended procedures referenced in the MMEL are made available. Reduced timescales for the implementation of safety related amendments may be required if the CAA considers it necessary.

AMC1 ORO.MLR.105(j) Minimum equipment list

OPERATION OF AN AIRCRAFT WITHIN THE CONSTRAINTS OF THE MMEL — OPERATOR'S PROCEDURES FOR THE APPROVAL BY THE CAA

- (a) The operator's procedures to address the operation of an aircraft outside the constraints of the MEL but within the constraints of the MMEL and ongoing surveillance to ensure compliance should provide the CAA with details of the name and position of the nominated personnel responsible for the control of the operations under such conditions and details of the specific duties and responsibilities established to control the use of the approval.
- (b) Personnel authorising operations under such approval should be adequately trained in technical and operational disciplines to accomplish their duties. They should have the necessary operational knowledge in terms of operational use of the MEL as alleviating documents by flight crew and maintenance personnel and engineering competence. The authorising personnel should be listed by appointment and name.

GM1 ORO.MLR.105(j) Minimum equipment list

OPERATION OF AN AIRCRAFT WITHIN THE CONSTRAINTS OF THE MMEL — OPERATOR'S PROCEDURES FOR THE APPROVAL BY THE CAA

Procedures for the operation of an aircraft outside the constraints of the MEL but within the constraints of the MMEL should only be applied under certain conditions, such as a shortage of parts from manufacturers or other unforeseen situations (e.g. inability to obtain equipment necessary for proper troubleshooting and repair), in which case the operator may be unable to comply with the constraints specified in the MEL.

AMC1 ORO.MLR.110 Journey log

GENERAL

- (a) The aircraft journey log, or equivalent, should include the following items, where applicable:
 - (1) aircraft nationality and registration,
 - (2) date,
 - (3) name(s) of crew member(s),
 - (4) duty assignments of crew member(s),
 - (5) place of departure,
 - (6) place of arrival,
 - (7) time of departure,
 - (8) time of arrival,

- (9) hours of flight,
- (10) nature of flight (scheduled or non-scheduled),
- (11) incidents, observations, if any,
- (12) signature of person in charge.
- (b) The information, or parts thereof, may be recorded in a form other than on printed paper. Accessibility, usability and reliability should be assured.
- (c) 'Journey log, or equivalent' means that the required information may be recorded in documentation other than a log book, such as the operational flight plan or the aircraft technical log.
- (d) 'Series of flights' means consecutive flights, which begin and end:
 - (1) within a 24-hour period;
 - (2) at the same aerodrome or operating site or remain within a local area specified in the operations manual; and
 - (3) with the same pilot-in-command/commander of the aircraft.

GM1 ORO.MLR.110 Journey log

SERIES OF FLIGHTS

The term 'series of flights' is used to facilitate a single set of documentation.

AMC1 ORO.MLR.115 Record-keeping

TRAINING RECORDS

A summary of training should be maintained by the operator to show every crew member's completion of each stage of training and checking.

GM1 ORO.MLR.115(c) Record-keeping

PERSONNEL RECORDS

'Personnel records' in **ORO.MLR.115(c)** means detailed crew member training, checking and qualification records. These records include detailed examination records.

GM1 ORO.MLR.115(d) Record-keeping

TRAINING, CHECKING AND QUALIFICATION RECORDS

Training, checking and qualification records include records of all training, checking and qualifications of each crew member, as prescribed in Part-ORO.

SUBPART SEC: SECURITY

SUBPART FC: FLIGHT CREW

SECTION 1 – COMMON REQUIREMENTS

AMC1 ORO.FC.100(c) Composition of flight crew

OPERATIONAL MULTI-PILOT LIMITATION (OML)

The operator should ensure that pilots with an OML on their medical certificate only operate aircraft in multi-pilot operations when the other pilot is fully qualified on the relevant type of aircraft, is not subject to an OML and has not attained the age of 60 years.

AMC1 ORO.FC.105(b)(2);(c) Designation as pilot-in-command/commander

ROUTE/AREA AND AERODROME KNOWLEDGE FOR COMMERCIAL OPERATIONS

For commercial operations, the experience of the route or area to be flown and of the aerodrome facilities and procedures to be used should include the following:

- (a) Area and route knowledge
 - (1) Area and route training should include knowledge of:
 - (i) terrain and minimum safe altitudes;
 - (ii) seasonal meteorological conditions;
 - (iii) meteorological, communication and air traffic facilities, services and procedures;
 - (iv) search and rescue procedures where available; and
 - (v) navigational facilities associated with the area or route along which the flight is to take place.
 - (2) Depending on the complexity of the area or route, as assessed by the operator, the following methods of familiarisation should be used:
 - (i) for the less complex areas or routes, familiarisation by self-briefing with route documentation, or by means of programmed instruction; and
 - (ii) in addition, for the more complex areas or routes, in-flight familiarisation as a pilot-in-command/commander or co-pilot under supervision, observer, or familiarisation in a flight simulation training device (FSTD) using a database appropriate to the route concerned.
- (b) Aerodrome knowledge
 - (1) Aerodrome training should include knowledge of obstructions, physical layout, lighting, approach aids and arrival, departure, holding and instrument approach procedures, applicable operating minima and ground movement considerations.
 - (2) The operations manual should describe the method of categorisation of aerodromes and, in the case of CAT operations, provide a list of those aerodrome categorised as B or C.
 - (3) All aerodromes to which an operator operates should be categorised in one of these three categories:

-
- (i) category A — an aerodrome that meets all of the following requirements:
 - (A) an approved instrument approach procedure;
 - (B) at least one runway with no performance limited procedure for take-off and/or landing;
 - (C) published circling minima not higher than 1 000 ft above aerodrome level; and
 - (D) night operations capability.
 - (ii) category B — an aerodrome that does not meet the category A requirements or which requires extra considerations such as:
 - (A) non-standard approach aids and/or approach patterns;
 - (B) unusual local weather conditions;
 - (C) unusual characteristics or performance limitations; or
 - (D) any other relevant considerations, including obstructions, physical layout, lighting, etc.
 - (iii) category C — an aerodrome that requires additional considerations to a category B aerodrome;
 - (iv) offshore installations may be categorised as category B or C aerodromes, taking into account the limitations determined in accordance with **AMC1 SPA.HOFO.115** 'Use of offshore locations'.
- (c) Prior to operating to a:
- (1) category B aerodrome, the pilot-in-command/commander should be briefed, or self-briefed by means of programmed instruction, on the category B aerodrome(s) concerned. The completion of the briefing should be recorded. This recording may be accomplished after completion or confirmed by the pilot-in-command/commander before departure on a flight involving category B aerodrome(s) as destination or alternate aerodromes.
 - (2) category C aerodrome, the pilot-in-command/commander should be briefed and visit the aerodrome as an observer and/or undertake instruction in a suitable FSTD. The completion of the briefing, visit and/or instruction should be recorded.

GM1 ORO.FC.105(b)(2) Route and aerodrome knowledge

ENVIRONMENTAL KNOWLEDGE RELATED TO THE PREVENTION OF AEROPLANE UPSETS

The knowledge should include understanding of:

- (a) the relevant environmental hazards, such as:
 - Clear Air Turbulence (CAT),
 - Intertropical Convergence Zone (ITCZ),
 - thunderstorms,
 - microbursts,
 - wind shear,
 - icing,
 - mountain waves,

- wake turbulence, and
- temperature changes at high altitude;
- (b) the evaluation and management of the associated risks of the relevant hazards in (a); and
- (c) the available mitigating procedures for the relevant hazards in (a) related to the specific route, route area, or aerodrome used by the operator.

AMC1 ORO.FC.105(c) Designation as pilot-in-command/commander

ROUTE/AREA AND AERODROME REGENCY

- (a) The 12-month period should be counted from the last day of the month:
 - (1) when the familiarisation training was undertaken; or
 - (2) of the latest operation on the route or area to be flown and of the aerodromes, facilities and procedures to be used.
- (b) When the operation is undertaken within the last 3 calendar months of that period, the new 12-month period should be counted from the original expiry date.

AMC2 ORO.FC.105(c) Designation as pilot-in-command/commander

ROUTE/AREA AND AERODROME REGENCY — PERFORMANCE CLASS B AEROPLANES OPERATED UNDER VFR BY NIGHT OR IFR IN CAT OPERATIONS AND COMMERCIAL OPERATIONS OTHER THAN CAT

In the case of CAT operations with performance class B aeroplanes operating under visual flight rules (VFR) by night or instrument flight rules (IFR), or commercial operations other than CAT, the knowledge should be maintained as follows:

- (a) except for operations to the most demanding aerodromes, by completion of at least 10 flight sectors within the area of operation during the preceding 12 months in addition to any required self-briefing;
- (b) operations to the most demanding aerodromes may be performed only if:
 - (1) the pilot-in-command/commander has been qualified at the aerodrome within the preceding 36 months by a visit as an operating flight crew member or as an observer;
 - (2) the approach is performed in visual meteorological conditions (VMC) from the applicable minimum sector altitude; and
 - (3) an adequate self-briefing has been made prior to the flight.

GM1 ORO.FC.105(d) Designation as pilot-in-command/commander

PERFORMANCE CLASS B AEROPLANES OPERATED UNDER VFR BY DAY IN CAT OPERATIONS

For CAT operations under VFR by day with performance class B aeroplanes, the operator should take account of any requirement that might be stipulated in specific cases by the State of the aerodrome.

AMC1 ORO.FC.115 Crew resource management (CRM) training

CRM TRAINING — MULTI-PILOT OPERATIONS

- (a) General
 - (1) Training environment

CRM training should be conducted in the non-operational environment (classroom and computer-based) and in the operational environment (flight simulation training device (FSTD) and aircraft). Tools such as group discussions, team task analysis, team task simulation and feedback should be used.

(2) Classroom training

Whenever possible, classroom training should be conducted in a group session away from the pressures of the usual working environment, so that the opportunity is provided for flight crew members to interact and communicate in an environment conducive to learning.

(3) Computer-based training

Computer-based training should not be conducted as a stand-alone training method, but may be conducted as a complementary training method.

(4) Flight simulation training devices (FSTDs)

Whenever practicable, parts of the CRM training should be conducted in FSTDs that reproduce a realistic operational environment and permit interaction. This includes but is not limited to line-oriented flight training (LOFT) scenarios.

(5) Integration into flight crew training

CRM principles should be integrated into relevant parts of flight crew training and operations including checklists, briefings, abnormal and emergency procedures.

(6) Combined CRM training for flight crew, cabin crew and technical crew

(i) Operators should provide combined training for flight crew, cabin crew and technical crew during recurrent CRM training.

(ii) The combined training should address at least:

(A) effective communication, coordination of tasks and functions of flight crew, cabin crew and technical crew; and

(B) mixed multinational and cross-cultural flight crew, cabin crew and technical crew, and their interaction, if applicable.

(iii) The combined training should be expanded to include medical passengers, if applicable to the operation.

(iv) Combined CRM training should be conducted by flight crew CRM trainer or cabin crew CRM trainer.

(v) There should be an effective liaison between flight crew, cabin crew and technical crew training departments. Provision should be made for transfer of relevant knowledge and skills between flight crew, cabin crew and technical crew CRM trainers.

(7) Management system

CRM training should address hazards and risks identified by the operator's management system described in **ORO.GEN.200**.

(8) Competency-based CRM training

(i) Whenever practicable, the compliance-based approach concerning CRM training may be substituted by a competency-based approach such as evidence-based training. In this context, CRM training should be characterised by a performance orientation, with emphasis on standards of performance and their measurement,

and the development of training to the specified performance standards.

- (ii) CRM training should be an essential element of the alternative training and qualification programme (ATQP) described in **ORO.FC.A.245**, when the operator applies ATQP.

(9) Contracted CRM training

If the operator chooses not to establish its own CRM training, another operator, a third party or a training organisation may be contracted to provide the training in accordance with **ORO.GEN.205**. In case of contracted CRM training, the operator should ensure that the content of the course covers the specific culture, the type of operations and the associated procedures of the operator. When crew members from different operators attend the same course, the CRM training should be specific to the relevant flight operations and to the trainees concerned.

(b) Initial operator's CRM training

- (1) The flight crew member should complete the initial operator's CRM training once. When the type of operation of a new operator is not different, the new operator should not be required to provide the initial operator's CRM training to this flight crew member a second time.
- (2) The initial training should cover all elements specified in Table 1 of (g).

(c) Operator conversion course — CRM training

When the flight crew member undertakes a conversion course with a change of aircraft type or change of operator, elements of CRM training should be integrated into all appropriate phases of the operator's conversion course, as specified in Table 1 of (g).

(d) Annual recurrent CRM training

- (1) Annual recurrent CRM training should be provided in such a way that all CRM training elements specified for the annual recurrent training in Table 1 of (g) are covered over a period not exceeding 3 years.
- (2) Operators should update their CRM recurrent training programme over a period not exceeding 3 years. The revision of the programme should take into account information from the operator's management system including the results of the CRM assessment.

(e) Command course — CRM training

The operator should ensure that elements of CRM training are integrated into the command course, as specified in Table 1 of (g).

(f) Training elements

The CRM training elements to be covered are specified in Table 1 of (g). The operator should ensure that the following aspects are addressed:

- (1) Automation and philosophy on the use of automation
 - (i) The CRM training should include training in the use and knowledge of automation, and in the recognition of systems and human limitations associated with the use of automation. The operator should, therefore, ensure that the flight crew member receives training on:
 - (A) the application of the operations policy concerning the use of automation as stated in the operations manual; and
 - (B) system and human limitations associated with the use of automation, giving

special attention to issues of mode awareness, automation surprises and over-reliance including false sense of security and complacency.

- (ii) The objective of this training should be to provide appropriate knowledge, skills and attitudes for managing and operating automated systems. Special attention should be given to how automation increases the need for crews to have a common understanding of the way in which the system performs, and any features of automation that make this understanding difficult.
- (iii) If conducted in an FSTD, the training should include automation surprises of different origin (system- and pilot-induced).

(2) Monitoring and intervention

Flight crew should be trained in CRM-related aspects of operation monitoring before, during and after flight, together with any associated priorities. This CRM training should include guidance to the pilot monitoring on when it would be appropriate to intervene, if felt necessary, and how this should be done in a timely manner. Reference should be made to the operator procedures for structured intervention as specified in the operations manual.

(3) Resilience development

CRM training should address the main aspects of resilience development. The training should cover:

(i) Mental flexibility

Flight crew should be trained to:

- (A) understand that mental flexibility is necessary to recognise critical changes;
- (B) reflect on their judgement and adjust it to the unique situation;
- (C) avoid fixed prejudices and over-reliance on standard solutions; and
- (D) remain open to changing assumptions and perceptions.

(ii) Performance adaptation

Flight crew should be trained to:

- (A) mitigate frozen behaviours, overreactions and inappropriate hesitation; and
- (B) adjust actions to current conditions.

(4) Surprise and startle effect

CRM training should address unexpected, unusual and stressful situations. The training should cover:

(i) surprises and startle effects; and

(ii) management of abnormal and emergency situations, including:

- (A) the development and maintenance of the capacity to manage crew resources;
- (B) the acquisition and maintenance of adequate automatic behavioural responses; and
- (C) recognising the loss and re-building situation awareness and control.

(5) Cultural differences

CRM training should cover cultural differences of multinational and cross-cultural crews.

This includes recognising that:

- (i) different cultures may have different communication specifics, ways of understanding and approaches to the same situation or problem;
- (ii) difficulties may arise when crew members with different mother tongue communicate in a common language which is not their mother tongue; and
- (iii) cultural differences may lead to different methods for identifying a situation and solving a problem.

(6) Operator's safety culture and company culture

CRM training should cover the operator's safety culture, its company culture, the type of operations and the associated procedures of the operator. This should include areas of operations that may lead to particular difficulties or involve unusual hazards.

(7) Case studies

- (i) CRM training should cover aircraft type-specific case studies, based on the information available within the operator's management system, including:
 - (A) accident and serious incident reviews to analyse and identify any associated non-technical causal and contributory factors, and instances or examples of lack of CRM; and
 - (B) analysis of occurrences that were well managed.
- (ii) If relevant aircraft type-specific or operator-specific case studies are not available, the operator should consider other case studies relevant to the scale and scope of its operations.

(g) CRM training syllabus

Table 1 below specifies which CRM training elements should be covered in each type of training. The levels of training in Table 1 can be described as follows:

- (1) 'Required' means training that should be instructional or interactive in style to meet the objectives specified in the CRM training programme or to refresh and strengthen knowledge gained in a previous training.
- (2) 'In-depth' means training that should be instructional or interactive in style taking full advantage of group discussions, team task analysis, team task simulation, etc., for the acquisition or consolidation of knowledge, skills and attitudes. The CRM training elements should be tailored to the specific needs of the training phase being undertaken.

Table 1: Flight crew CRM training

| CRM training elements | Initial operator's CRM training | Operator conversion course when changing aircraft type | Operator conversion course when changing operator | Annual recurrent training | Command course |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------|---------------------------------------------------|---------------------------|----------------|
| General principles | | | | | |
| Human factors in aviation; General instructions on CRM principles and objectives; Human performance and limitations; Threat and error management. | In-depth | Required | Required | Required | Required |
| Relevant to the individual flight crew member | | | | | |

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(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART FC: FLIGHT CREW

| | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------|--------------|----------|----------|
| Personality awareness, human error and reliability, attitudes and behaviours, self-assessment and self-critique; Stress and stress management; Fatigue and vigilance; Assertiveness, situation awareness, information acquisition and processing. | In-depth | Not required | Not required | Required | In-depth |
| Relevant to the flight crew | | | | | |
| Automation and philosophy on the use of automation | Required | In-depth | In-depth | In-depth | In-depth |
| Specific type-related differences | Required | In-depth | Not required | Required | Required |
| Monitoring and intervention | Required | In-depth | In-depth | Required | Required |
| Relevant to the entire aircraft crew | | | | | |
| Shared situation awareness, shared information acquisition and processing; Workload management; Effective communication and coordination inside and outside the flight crew compartment; Leadership, cooperation, synergy, delegation, decision-making, actions; Resilience development; Surprise and startle effect; Cultural differences. | In-depth | Required | Required | Required | In-depth |
| Relevant to the operator and the organisation | | | | | |
| Operator's safety culture and company culture, standard operating procedures (SOPs), organisational factors, factors linked to the type of operations; Effective communication and coordination with other operational personnel and ground services. | In-depth | Required | In-depth | Required | In-depth |
| Case studies | In-depth | In-depth | In-depth | In-depth | In-depth |

(h) Assessment of CRM skills

- (1) Assessment of CRM skills is the process of observing, recording, interpreting and debriefing crews and crew member's performance using an accepted methodology in the context of the overall performance.
- (2) The flight crew member's CRM skills should be assessed in the operational environment, but not during CRM training in the non-operational environment. Nevertheless, during training in the non-operational environment, feedback from the flight crew CRM trainer or from trainees on individual and crew performance may be given to the crew members concerned.
- (3) The assessment of CRM skills should:
 - (i) include debriefing the crew and the individual crew member;
 - (ii) serve to identify additional training, where needed, for the crew or the individual crew member; and
 - (iii) be used to improve the CRM training system by evaluating de-identified summaries of all CRM assessments.
- (4) Prior to the introduction of CRM skills assessment, a detailed description of the CRM methodology, including the required CRM standards and the terminology used for the assessment, should be published in the operations manual.
- (5) Methodology of CRM skills assessment

The assessment should be based on the following principles:

 - (i) only observable behaviours are assessed;
 - (ii) the assessment should positively reflect any CRM skills that result in enhanced safety; and
 - (iii) assessments should include behaviour that results in an unacceptable reduction in safety margin.
- (6) Operators should establish procedures, including additional training, to be applied in the event that flight crew members do not achieve or maintain the required CRM standards.

AMC2 ORO.FC.115 Crew resource management (CRM) training

CRM TRAINING — SINGLE-PILOT OPERATIONS

- (a) For single-pilot helicopter operations with technical crew, **AMC1 ORO.FC.115** should be applied.
- (b) For single-pilot operations other than those specified in (a), **AMC1 ORO.FC.115** should be applied with the following differences:
 - (1) Relevant training

Training should cover the relevant CRM training, i.e. initial operator's training, the operator conversion course and recurrent training.
 - (2) Relevant training elements

CRM training should focus on the elements specified in Table 1 of (g) of **AMC1 ORO.FC.115** which are relevant to single-pilot operations. Therefore, single-pilot CRM training should include, among others:

- (i) situation awareness;
 - (ii) workload management;
 - (iii) decision-making;
 - (iv) resilience development;
 - (v) surprise and startle effect; and
 - (vi) effective communication and coordination with other operational personnel and ground services.
- (3) Computer-based training
- Notwithstanding (a)(3) of **AMC1 ORO.FC.115**, computer-based training may be conducted as a stand-alone training method.
- (4) Operation with ELA2 aircraft
- Notwithstanding (1) and (2), for operations with ELA2 aircraft the relevant CRM training and its duration should be determined by the operator, based on the aircraft type and the complexity of the operation.

AMC3 ORO.FC.115 Crew resource management (CRM) training

FLIGHT CREW CRM TRAINER

(a) Applicability

The provisions described herein:

- (1) should be fulfilled by flight crew CRM trainers responsible for classroom CRM training; and
- (2) are not applicable to:
 - (i) instructors, holding a certificate in accordance with Commission Regulation (EU) No 1178/2011, who conduct CRM training in the operational environment; and
 - (ii) trainers or instructors conducting training other than CRM training, but integrating CRM elements into this training.

(b) Qualification of flight crew CRM trainer

- (1) A training and standardisation programme for flight crew CRM trainers should be established.
- (2) A flight crew CRM trainer, in order to be suitably qualified, should:
 - (i) have adequate knowledge of the relevant flight operations;
 - (ii) have adequate knowledge of human performance and limitations (HPL), whilst:
 - (A) having obtained a commercial pilot licence in accordance with Commission Regulation (EU) No 1178/2011; or
 - (B) having followed a theoretical HPL course covering the whole syllabus of the HPL examination;
 - (iii) have completed flight crew initial operator's CRM training;
 - (iv) have received training in group facilitation skills;

- (v) have received additional training in the fields of group management, group dynamics and personal awareness; and
 - (vi) have demonstrated the knowledge, skills and credibility required to train the CRM training elements in the non-operational environment, as specified in Table 1 of **AMC1 ORO.FC.115**.
- (3) The following qualifications and experiences are also acceptable for a flight crew CRM trainer in order to be suitably qualified:
 - (i) A flight crew member holding a recent qualification as a flight crew CRM trainer may continue to be a flight crew CRM trainer after the cessation of active flying duties if he/she maintains adequate knowledge of the relevant flight operations.
 - (ii) A former flight crew member may become a flight crew CRM trainer if he/she maintains adequate knowledge of the relevant flight operations and fulfils the provisions of (2)(ii) to (2)(vi).
 - (iii) An experienced CRM trainer may become a flight crew CRM trainer if he/she demonstrates adequate knowledge of the relevant flight operations and fulfils the provisions of (2)(ii) to (2)(vi).
- (c) Training of flight crew CRM trainer
 - (1) Training of flight crew CRM trainers should be both theoretical and practical. Practical elements should include the development of specific trainer skills, particularly the integration of CRM into line operations.
 - (2) The basic training of flight crew CRM trainers should include the training elements for flight crew, as specified in Table 1 of **AMC1 ORO.FC.115**. In addition, the basic training should include the following:
 - (i) introduction to CRM training;
 - (ii) operator's management system;
 - (iii) characteristics, as applicable:
 - (A) of the different types of CRM trainings (initial, recurrent, etc.);
 - (B) of combined training; and
 - (C) related to the type of aircraft or operation; and
 - (iv) assessment.
 - (3) The refresher training of flight crew CRM trainers should include new methodologies, procedures and lessons learned.
 - (4) Instructors, holding a certificate in accordance with Commission Regulation (EU) No 1178/2011, who are also CRM trainers, may combine the CRM trainer refresher training with instructor refresher training.
 - (5) Instructors for other-than complex motor-powered aircraft should be qualified as flight crew CRM trainers for this aircraft category with no additional training, as specified in (2) and (3) when:
 - (i) holding a certificate in accordance with Commission Regulation (EU) No 1178/2011; and
 - (ii) fulfilling the provisions of (b)(2) or (b)(3).

- (6) The training of flight crew CRM trainers should be conducted by flight crew CRM trainers with a minimum of 3 years' experience. Assistance may be provided by experts in order to address specific areas.
- (d) Assessment of flight crew CRM trainer
 - (1) A flight crew CRM trainer should be assessed by the operator when conducting the first CRM training course. This first assessment should be valid for a period of 3 years.
 - (2) The operator should ensure that the process for the assessment is included in the operations manual describing methods for observing, recording, interpreting and debriefing the flight crew CRM trainer. All personnel involved in the assessment must be credible and competent in their role.
- (e) Recency and renewal of qualification as flight crew CRM trainer
 - (1) For recency of the 3-year validity period, the flight crew CRM trainer should:
 - (i) conduct at least 2 CRM training events in any 12-month period;
 - (ii) be assessed within the last 12 months of the 3-year validity period by the operator; and
 - (iii) complete CRM trainer refresher training within the 3-year validity period.
 - (2) The next 3-year validity period should start at the end of the previous period.
 - (3) For renewal, i.e. when a flight crew CRM trainer does not fulfil the provisions of (1), he/she should, before resuming as flight crew CRM trainer:
 - (i) comply with the qualification provisions of (b) and (d); and
 - (ii) complete CRM trainer refresher training.

GM1 ORO.FC.115 Crew resource management (CRM) training

GENERAL

- (a) CRM is the effective utilisation of all available resources (e.g. crew members, aircraft systems, supporting facilities and persons) to achieve safe and efficient operation.
- (b) The objective of CRM is to enhance the communication and management skills of the flight crew member concerned. Emphasis is placed on the non-technical knowledge, skills and attitudes of flight crew performance.

GM2 ORO.FC.115 Crew resource management (CRM) training

TRAINING ENVIRONMENT, TRAINERS AND INSTRUCTORS

- (a) Flight crew CRM training can be separated as follows:
 - (1) training in the non-operational environment:
 - (i) classroom; and
 - (ii) computer-based;
 - (2) training in the operational environment:
 - (i) flight simulation training device (FSTD); and

- (ii) aircraft.
- (b) In general, CRM training is provided as follows:
 - (1) classroom training by a flight crew CRM trainer;
 - (2) training in the operational environment by an instructor holding a certificate in accordance with Commission Regulation (EU) No 1178/2011;
 - (3) computer-based training as a self-study training method. If needed, directions concerning CRM-related issues are provided by a flight crew CRM trainer or by an instructor holding a certificate in accordance with Commission Regulation (EU) No 1178/2011.

GM3 ORO.FC.115 Crew resource management (CRM) training

MINIMUM TRAINING TIMES

- (a) The following minimum training times are appropriate:
 - (1) multi-pilot operations:
 - (i) combined CRM training: 6 training hours over a period of 3 years; and
 - (ii) initial operator's CRM training: 18 training hours with a minimum of 12 training hours in classroom training;
 - (2) initial operator's CRM training for single-pilot operations: 6 training hours; and
 - (3) flight crew CRM trainer:
 - (i) basic training:
 - (A) 18 training hours for trainees holding an instructor certificate for complex motor-powered aircraft, as specified in Commission Regulation (EU) No 1178/2011, which includes 25-hour training in teaching and learning; or
 - (B) 30 training hours for trainees who do not hold an instructor certificate as specified in (A); and
 - (ii) refresher training: 6 training hours.
- (b) 'Training hours' means actual training time excluding breaks and assessment.

GM4 ORO.FC.115 Crew resource management (CRM) training

DESIGN, IMPLEMENTATION AND EVALUATION OF CRM TRAINING

The checklist in Table 1 provides guidance on the design, implementation and evaluation of CRM training, and on their incorporation into the operator's safety culture. Elements of the operator's management systems and the competency-based approach are incorporated in the checklist.

Table 1 — Checklist for design, implementation, evaluation and incorporation of CRM training

| Step No | Description | Element |
|---------|----------------|----------------------------------------------------------------------|
| 1 | Needs analysis | Determine the necessary CRM competencies |
| | | Develop CRM training goals |
| | | Ensure the organisation is ready for CRM training |
| 2 | Design | Develop CRM training objectives |
| | | Determine what to measure and how to measure it |
| 3 | Development | Describe the CRM learning environment |
| | | Develop full-scale prototype of training |
| | | Validate and modify CRM training |
| 4 | Implementation | Prepare trainees and environment |
| | | Set a climate for learning (e.g. practice and feedback) |
| | | Implement the CRM training programme |
| 5 | Evaluation | Determine training effectiveness |
| | | Evaluate CRM training at multiple levels |
| | | Revise the CRM training programme to improve effectiveness |
| 6 | Incorporation | Establish an environment where CRM training is positively recognised |
| | | Reinforce CRM behaviours in daily work |
| | | Provide recurrent CRM training |

GM5 ORO.FC.115 Crew resource management (CRM) training

RESILIENCE DEVELOPMENT

- (a) The main aspects of resilience development can be described as the ability to:
- (1) learn ('knowing what has happened');
 - (2) monitor ('knowing what to look for');
 - (3) anticipate ('finding out and knowing what to expect'); and
 - (4) respond ('knowing what to do and being capable of doing it').
- (b) Operational safety is a continuous process of evaluation of and adjustment to existing and future conditions. In this context, and following the description in (a), resilience development involves an ongoing and adaptable process including situation assessment, self-review, decision and action. Training in resilience development enables crew members to draw the right conclusions from both positive and negative experiences. Based on those experiences, crew members are better prepared to maintain or create safety margins by adapting to dynamic complex situations.
- (c) The training topics in (f)(3) of **AMC1 ORO.FC.115** are to be understood as follows:
- (1) Mental flexibility
 - (i) The phrase 'understand that mental flexibility is necessary to recognise critical changes' means that crew members are prepared to respond to situations for which there is no set procedure.
 - (ii) The phrase 'reflect on their judgement and adjust it to the unique situation' means that crew members learn to review their judgement based on the unique characteristics of the given circumstances.
 - (iii) The phrase 'avoid fixed prejudices and over-reliance on standard solutions' means that crew members learn to update solutions and standard response sets, which

have been formed on prior knowledge.

- (iv) The phrase 'remain open to changing assumptions and perceptions' means that crew members constantly monitor the situation, and are prepared to adjust their understanding of the evolving conditions.
- (2) Performance adaptation
 - (i) The phrase 'mitigate frozen behaviours, overreactions and inappropriate hesitation' means that crew members correct improper actions with a balanced response.
 - (ii) The phrase 'adjust actions to current conditions' means that crew members' responses are in accordance with the actual situation.

GM6 ORO.FC.115 Crew resource management (CRM) training

NON-TECHNICAL SKILLS ASSESSMENT

- (a) NOTECHS (non-technical skills) is a validated method for assessing flight crew CRM skills.

The NOTECHS framework consists of four main categories:

- (1) Cooperation: Cooperation is the ability to work effectively in a crew.
 - (2) Leadership and managerial skills: Effective leadership and managerial skills help to achieve joint task completion within a motivated, fully functioning team through coordination and persuasiveness.
 - (3) Situation awareness: Situation awareness relates to one's ability to accurately perceive what is in the flight crew compartment and outside the aircraft. It is also one's ability to comprehend the meaning of different elements in the environment and the projection of their status in the near future.
 - (4) Decision-making: Decision-making is the process of reaching a judgement or choosing an option.
- (b) Each of the four categories is subdivided into elements and behavioural markers. The elements are specified in Table 1 with examples of behavioural markers (effective behaviour). The behavioural markers are assessed by a rating scale to be established by the operator.

Table 1 — Categories, elements and behavioural markers of NOTESHS

| Category | Element | Behavioural marker (examples) |
|----------------------------------|--------------------------------------|------------------------------------------------------------------------|
| Cooperation | Team building and maintaining | Establishes atmosphere for open communication and participation |
| | Considering others | Takes condition of other crew members into account |
| | Supporting others | Helps other crew members in demanding situations |
| | Conflict solving | Concentrates on what is right rather than who is right |
| Leadership and managerial skills | Use of authority and assertiveness | Takes initiative to ensure crew involvement and task completion |
| | Maintaining standards | Intervenes if task completion deviates from standards |
| | Planning and coordination | Clearly states intentions and goals |
| | Workload management | Allocates adequate time to complete tasks |
| Situation awareness | Awareness of aircraft systems | Monitors and reports changes in systems' states |
| | Awareness of external environment | Collects information about environment (position, weather and traffic) |
| | Anticipation | Identifies possible future problems |
| Decision-making | Problem definition and diagnosis | Reviews causal factors with other crew members |
| | Option generation | States alternative courses of action |
| | | Asks other crew members for options |
| | Risk assessment and option selection | Considers and shares estimated risk of alternative courses of action |
| | Outcome review | Checks outcome against plan |

GM7 ORO.FC.115 Crew resource management (CRM) training

FLIGHT CREW CRM TRAINER ASSESSMENT

- For assessing flight crew CRM trainers, the operator may nominate experienced flight crew CRM trainers who have demonstrated continued compliance with the provisions for a flight crew CRM trainer and capability in that role for at least 3 years.
- An operator that does not have the resources to conduct the assessment may employ a contractor. The standard as regards the assessment is confirmed on a 3-year basis by the operator.
- The checklist in Table 1 provides guidance on the assessment of a flight crew CRM trainer. If a flight crew CRM trainer is competent in his/her role, the response to the questions in Table 1 should be 'yes'. When answering the questions in Table 1, justifications and examples related to the responses given should be provided.

Table 1 — Flight crew CRM trainer assessment checklist

| Questions to assess a flight crew CRM trainer | Response yes/no |
|------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Did the CRM trainer demonstrate the knowledge required for the role? | |
| Did the CRM trainer support CRM concepts? | |
| Did the CRM trainer encourage trainees to participate, share their experiences and self-analyse? | |
| Did the CRM trainer identify and respond to the trainees' needs relative to expertise/experience? | |
| Did the CRM trainer show how CRM is integrated in technical training and line operations? | |
| Did the CRM trainer incorporate company CRM standards when appropriate? | |
| Did the CRM trainer identify and discuss the non-technical reasons involved in accidents, incidents and events included in case studies? | |
| Did the CRM trainer regularly check for understanding and resolve ambiguities? | |
| Did the CRM trainer demonstrate effective instruction and facilitation skills? | |

AMC1 ORO.FC.120&130 Operator conversion training and checking & recurrent training and checking

FLIGHT PATH MANAGEMENT (MANUAL OR AUTOMATIC, AS APPROPRIATE) DURING UNRELIABLE AIRSPEED INDICATION AND OTHER FAILURES AT HIGH ALTITUDE IN AEROPLANES WITH A MAXIMUM CRUISING ALTITUDE ABOVE FL300

For the operation of aeroplanes with a maximum cruising altitude above FL300, training elements from the following table should be integrated into:

- (a) operator conversion training; and
- (b) recurrent training at least every 12 calendar months, such that all elements are covered over a period not exceeding 3 years:

| Element | Theoretical Knowledge | Practical training |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------|
| Basic flight physics principles concerning flight at high altitude, with a particular emphasis on the relative proximity of the critical Mach number and the stall, pitch behaviour, and an understanding of the reduced stall angle of attack when compared with low-altitude flight. | • | • |
| Interaction of the automation (autopilot, flight director, auto-throttle/auto-thrust) and the consequences of failures inducing disconnection of the automation. | • | • |
| Consequences of an unreliable airspeed indication and other failures at high altitude and the need for the flight crew to promptly identify the failure and react with appropriate (minimal) control inputs to keep the aircraft in a safe envelope. | • | • |
| Degradation of fly-by-wire (FBW) flight control laws/modes and its consequence on aircraft stability and flight envelope protections, including stall warnings. | • | • |
| Practical training, using appropriate simulators, on manual handling at high altitude in normal and non-normal flight control laws/modes, with particular emphasis on pre-stall buffet, the reduced stall angle of attack when compared with low-altitude flight and the effect of pitch inputs on the aircraft trajectory and energy state. | | • |

| | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|
| The requirement to promptly and accurately apply the stall recovery procedure, as provided by the aircraft manufacturer, at the first indication of an impending stall. Differences between high-altitude and low-altitude stalls must be addressed. | • | • |
| Procedures for taking over and transferring manual control of the aircraft, especially for FBW aeroplanes with independent side-sticks. | • | • |
| Task sharing and crew coordination in high workload/stress conditions with appropriate call-out and acknowledgement to confirm changes to the aircraft flight control law/mode. | • | • |

AMC1 ORO.FC.125 Differences training and familiarisation training

GENERAL

- (a) Differences training requires additional knowledge and training on the aircraft or an appropriate training device. It should be carried out:
 - (1) when introducing a significant change of equipment and/or procedures on types or variants currently operated; and
 - (2) in the case of aeroplanes, when operating another variant of an aeroplane of the same type or another type of the same class currently operated; or
 - (3) in the case of helicopters, when operating a variant of a helicopter currently operated.
- (b) Familiarisation training requires only the acquisition of additional knowledge. It should be carried out when:
 - (1) operating another helicopter or aeroplane of the same type; or
 - (2) when introducing a significant change of equipment and/or procedures on types or variants currently operated.

AMC1 ORO.FC.145(b) Provision of training

NON-MANDATORY (RECOMMENDATION) ELEMENTS OF OPERATIONAL SUITABILITY DATA

When developing the training programmes and syllabi, the operator should consider the non-mandatory (recommendation) elements for the relevant type that are provided in the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012¹.

AMC1 ORO.FC.145(d) Provision of training

FULL FLIGHT SIMULATORS (FFS)

The operator should classify any differences between the aircraft and FFS in accordance with the Air Transport Association (ATA) chapters as follows:

Compliance Levels

- (a) Level A differences:
 - (1) no influence on flight characteristics;

¹ OJ L 243, 27.9.2003, p. 6.

- (2) no influence on procedures (normal and/or abnormal);
- (3) differences in presentation; and
- (4) differences in operation.

Method: self-instruction via the operations manual or flight crew information.

(b) Level B differences:

- (1) no influence on flight characteristics;
- (2) influence on procedures (normal and/or abnormal); and
- (3) possible differences in presentation and operation.

Method: flight crew information, computer-based training, system device training or special instruction by instructor.

(c) Level C differences:

- (1) influence on flight characteristics;
- (2) influence on procedures (normal and/or abnormal); and
- (3) eventually differences in presentation and operation.

Method: special instruction by instructor, a selected partial training on another FSTD or aircraft or a waiver because of previous experience, special instruction or training programme.

(d) Level D differences:

- (1) influence on flight characteristics; and/or
- (2) influence on procedures (normal and/or abnormal); and/or
- (3) differences in presentation and/or operation; and
- (4) FSTD is level D qualified and is used for zero flight-time training (ZFTT).

Method: a specified partial training on another FSTD or aircraft or a waiver because of previous experience, special instruction or training programme.

SECTION 2 – ADDITIONAL REQUIREMENTS FOR COMMERCIAL AIR TRANSPORT OPERATIONS

AMC1 ORO.FC.200(a) Composition of flight crew

CREWING OF INEXPERIENCED FLIGHT CREW MEMBERS

The operator should establish procedures in the operations manual taking into account the following elements:

Aeroplanes

- (a) The operator should consider that a flight crew member is inexperienced, following completion of a type rating or command course, and the associated line flying under supervision, until he/she has achieved on the type either:
 - (1) 100 flight hours and flown 10 sectors within a consolidation period of 120 consecutive days; or
 - (2) 150 flight hours and flown 20 sectors (no time limit).
- (b) A lesser number of flight hours or sectors, subject to any other conditions that the CAA may impose, may be acceptable to the CAA when one of the following applies:
 - (1) a new operator is commencing operations;
 - (2) an operator introduces a new aeroplane type;
 - (3) flight crew members have previously completed a type conversion course with the same operator;
 - (4) credits are defined in the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012; or
 - (5) the aeroplane has a maximum take-off mass of less than 10 tonnes or a maximum operational passenger seating configuration (MOPSC) of less than 20.

Helicopters

- (c) The operator should consider that, when two flight crew members are required, a flight crew member, following completion of a type rating or command course, and the associated line flying under supervision, is inexperienced until either:
 - (1) he/she has achieved 50 flight hours on the type and/or in the role within a period of 60 days; or
 - (2) he/she has achieved 100 flight hours on the type and/or in the role (no time limit).
- (d) A lesser number of flight hours, on the type and/or in the role, and subject to any other conditions which the CAA may impose, may be acceptable to the CAA when one of the following applies:
 - (1) a new operator is commencing operations;
 - (2) an operator introduces a new helicopter type;
 - (3) flight crew members have previously completed a type conversion course with the same operator (reconversion); or
 - (4) credits are defined in the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012.

AMC1 ORO.FC.205 Command course

COMBINED UPGRADING AND CONVERSION COURSE — HELICOPTER

If a pilot is converting from one helicopter type or variant to another when upgrading to commander:

- (a) the command course should also include a conversion course in accordance with **ORO.FC.220**; and
- (b) additional flight sectors should be required for a pilot transitioning onto a new type of helicopter.

AMC1 ORO.FC.215 Initial operator's crew resource management (CRM) training

TRAINING ELEMENTS AND TRAINER QUALIFICATION

Initial operator's CRM training should:

- (a) cover the applicable provisions of **AMC1 ORO.FC.115**, including the training elements as specified in Table 1 thereof; and
- (b) be conducted by a flight crew CRM trainer who is qualified as specified in **AMC3 ORO.FC.115**.

AMC1 ORO.FC.220 Operator conversion training and checking

OPERATOR CONVERSION TRAINING SYLLABUS

- (a) General
 - (1) The operator conversion training should include, in the following order:
 - (i) ground training and checking, including aircraft systems, and normal, abnormal and emergency procedures;
 - (ii) emergency and safety equipment training and checking, (completed before any flight training in an aircraft commences);
 - (iii) flight training and checking (aircraft and/or FSTD); and
 - (iv) line flying under supervision and line check.
 - (2) When the flight crew member has not previously completed an operator's conversion course, he/she should undergo general first-aid training and, if applicable, ditching procedures training using the equipment in water.
 - (3) Where the emergency drills require action by the non-handling pilot, the check should additionally cover knowledge of these drills.
 - (4) The operator's conversion may be combined with a new type/class rating training, as required by Commission Regulation (EU) No 1178/2011.
 - (5) The operator should ensure that:
 - (i) applicable elements of CRM training, as specified in Table 1 of **AMC1 ORO.FC.115**, are integrated into all appropriate phases of the conversion training; and
 - (ii) the personnel integrating elements of CRM into conversion training are suitably qualified, as specified in **AMC3 ORO.FC.115**.

(b) Ground training

- (1) Ground training should comprise a properly organised programme of ground instruction supervised by training staff with adequate facilities, including any necessary audio, mechanical and visual aids. Self-study using appropriate electronic learning aids, computer-based training (CBT), etc., may be used with adequate supervision of the standards achieved. However, if the aircraft concerned is relatively simple, unsupervised private study may be adequate if the operator provides suitable manuals and/or study notes.
- (2) The course of ground instruction should incorporate formal tests on such matters as aircraft systems, performance and flight planning, where applicable.

(c) Emergency and safety equipment training and checking

- (1) Emergency and safety equipment training should take place in conjunction with cabin/technical crew undergoing similar training with emphasis on coordinated procedures and two-way communication between the flight crew compartment and the cabin.
- (2) On the initial conversion course and on subsequent conversion courses as applicable, the following should be addressed:
 - (i) Instruction on first-aid in general (initial conversion course only); instruction on first-aid as relevant to the aircraft type of operation and crew complement, including those situations where no cabin crew is required to be carried (initial and subsequent).
 - (ii) Aero-medical topics, including:
 - (A) hypoxia;
 - (B) hyperventilation;
 - (C) contamination of the skin/eyes by aviation fuel or hydraulic or other fluids;
 - (D) hygiene and food poisoning; and
 - (E) malaria.
 - (iii) The effect of smoke in an enclosed area and actual use of all relevant equipment in a simulated smoke-filled environment.
 - (iv) Actual fire fighting, using equipment representative of that carried in the aircraft on an actual or simulated fire except that, with Halon extinguishers, an alternative extinguisher may be used.
 - (v) The operational procedures of security, rescue and emergency services.
 - (vi) Survival information appropriate to their areas of operation (e.g. polar, desert, jungle or sea) and training in the use of any survival equipment required to be carried.
 - (vii) A comprehensive drill to cover all ditching procedures where flotation equipment is carried. This should include practice of the actual donning and inflation of a life-jacket, together with a demonstration or audio-visual presentation of the inflation of life-rafts and/or slide-rafts and associated equipment. This practice should, on an initial conversion course, be conducted using the equipment in water, although previous certified training with another operator or the use of similar equipment will be accepted in lieu of further wet-drill training.
 - (viii) Instruction on the location of emergency and safety equipment, correct use of all

appropriate drills, and procedures that could be required of flight crew in different emergency situations. Evacuation of the aircraft (or a representative training device) by use of a slide where fitted should be included when the operations manual procedure requires the early evacuation of flight crew to assist on the ground.

(d) Flight training

- (1) Flight training should be conducted to familiarise the flight crew member thoroughly with all aspects of limitations and normal, abnormal and emergency procedures associated with the aircraft and should be carried out by suitably qualified class and type rating instructors and/or examiners. For specific operations, such as steep approaches, ETOPS, or operations based on QFE, additional training should be carried out, based on any additional elements of training defined for the aircraft type in the operational suitability data in accordance with Commission Regulation (EU) No 748/2012, where they exist.
- (2) In planning flight training on aircraft with a flight crew of two or more, particular emphasis should be placed on the practice of LOFT with emphasis on CRM, and the use of crew coordination procedures, including coping with incapacitation.
- (3) Normally, the same training and practice in the flying of the aircraft should be given to co-pilots as well as commanders. The 'flight handling' sections of the syllabus for commanders and co-pilots alike should include all the requirements of the operator proficiency check required by **ORO.FC.230**.
- (4) Unless the type rating training programme has been carried out in an FSTD usable for ZFTT, the training should include at least three take-offs and landings in the aircraft.

(e) Line flying under supervision (LIFUS)

- (1) Following completion of flight training and checking as part of the operator's conversion course, each flight crew member should operate a minimum number of sectors and/or flight hours under the supervision of a flight crew member nominated by the operator.
- (2) The minimum flight sectors/hours should be specified in the operations manual and should be determined by the following:
 - (i) previous experience of the flight crew member;
 - (ii) complexity of the aircraft; and
 - (iii) the type and area of operation.
- (3) For performance class B aeroplanes, the amount of LIFUS required is dependent on the complexity of the operations to be performed.

(f) Passenger handling for operations where no cabin crew is required

Other than general training on dealing with people, emphasis should be placed on the following:

- (1) advice on the recognition and management of passengers who appear or are intoxicated with alcohol, under the influence of drugs or aggressive;
- (2) methods used to motivate passengers and the crowd control necessary to expedite an aircraft evacuation; and
- (3) the importance of correct seat allocation with reference to aircraft mass and balance. Particular emphasis should also be given on the seating of special categories of passengers.

(g) Discipline and responsibilities, for operations where no cabin crew is required

Emphasis should be placed on discipline and an individual's responsibilities in relation to:

- (1) his/her ongoing competence and fitness to operate as a crew member with special regard to flight and duty time limitation (FTL) requirements; and
 - (2) security procedures.
- (h) Passenger briefing/safety demonstrations, for operations where no cabin crew is required
- Training should be given in the preparation of passengers for normal and emergency situations.

AMC2 ORO.FC.220 Operator conversion training and checking

OPERATOR CONVERSION TRAINING SYLLABUS — FLIGHT ENGINEERS

- (a) Operator conversion training for flight engineers should approximate to that of pilots.
- (b) If the flight crew includes a pilot with the duties of a flight engineer, he/she should, after training and the initial check in these duties, operate a minimum number of flight sectors under the supervision of a nominated additional flight crew member. The minimum figures should be specified in the operations manual and should be selected after due note has been taken of the complexity of the aircraft and the experience of the flight crew member.

GM1 ORO.FC.220(b) Operator conversion training and checking

COMPLETION OF AN OPERATOR'S CONVERSION COURSE

- (a) The operator conversion course is deemed to have started when the flight training has begun. The theoretical element of the course may be undertaken ahead of the practical element.
- (b) Under certain circumstances the course may have started and reached a stage where, for unforeseen reasons, it is not possible to complete it without a delay. In these circumstances, the operator may allow the pilot to revert to the original type.
- (c) Before the resumption of the operator conversion course, the operator should evaluate how much of the course needs to be repeated before continuing with the remainder of the course.

GM1 ORO.FC.220(c) Operator conversion training and checking

OPERATOR CONVERSION COURSE (OCC) FOR MULTI-CREW PILOT LICENCE (MPL) HOLDERS

When defining the amount of training for MPL holders, who undertake their first conversion course on a new type or at an operator other than the one that was involved in their training for the MPL, the operator should put a process in place to ensure that corrective action can be taken if post-MPL licence training evaluation indicates the need to do so.

GM1 ORO.FC.220(d) Operator conversion training and checking

LINE FLYING UNDER SUPERVISION

- (a) Line flying under supervision provides the opportunity for a flight crew member to carry into practice the procedures and techniques he/she has been made familiar with during the ground and flight training of an operator conversion course. This is accomplished under the supervision of a flight crew member specifically nominated and trained for the task. At the end of line flying under supervision the respective crew member should be able to perform a safe and efficient flight conducted within the tasks of his/her crew member station.
- (b) A variety of reasonable combinations may exist with respect to:
 - (1) a flight crew member's previous experience;
 - (2) the complexity of the aircraft concerned; and
 - (3) the type of route/role/area operations.
- (c) Aeroplanes

The following minimum figures for details to be flown under supervision are guidelines for operators to use when establishing their individual requirements:

- (1) turbo-jet aircraft
 - (i) co-pilot undertaking first operator conversion course:
 - (A) total accumulated 100 hours or minimum 40 flight sectors;
 - (ii) co-pilot upgrading to commander:
 - (A) minimum 20 flight sectors when converting to a new type;
 - (B) minimum 10 flight sectors when already qualified on the aeroplane type.

AMC1 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

UPSET PREVENTION AND RECOVERY TRAINING (UPRT) FOR COMPLEX MOTOR-POWERED AEROPLANES WITH A MAXIMUM OPERATIONAL PASSENGER SEATING CONFIGURATION (MOPSC) OF MORE THAN 19

- (a) Upset prevention training should:
 - (1) consist of ground training and flight training in an FSTD or an aeroplane;
 - (2) include upset prevention elements from Table 1 for the conversion training course; and
 - (3) include upset prevention elements in Table 1 for the recurrent training programme at least every 12 calendar months, such that all the elements are covered over a period not exceeding 3 years.

Table 1: Elements and respective components of upset prevention training

| Elements and components | | Ground training | FSTD/ Aeroplane training |
|-------------------------|-------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|
| A. | Aerodynamics | | |
| 1. | General aerodynamic characteristics | • | |
| 2. | Aeroplane certification and limitations | • | |
| 3. | Aerodynamics (high and low altitudes) | • | • |
| 4. | Aeroplane performance (high and low altitudes) | • | • |
| 5. | Angle of attack (AOA) and stall awareness | • | • |
| 6. | Stick shaker or other stall-warning device activation (as applicable) | • | • |
| 7. | Stick pusher (as applicable) | • | • |
| 8. | Mach effects (if applicable to the aeroplane type) | • | • |
| 9. | Aeroplane stability | • | • |
| 10. | Control surface fundamentals | • | • |
| 11. | Use of trims | • | • |
| 12. | Icing and contamination effects | • | • |
| 13. | Propeller slipstream (as applicable) | • | • |
| B. | Causes of and contributing factors to upsets | | |
| 1. | Environmental | • | |
| 2. | Pilot-induced | • | |
| 3. | Mechanical (aeroplane systems) | • | |
| C. | Safety review of accidents and incidents relating to aeroplane upsets | | |
| 1. | Safety review of accidents and incidents relating to aeroplane upsets | • | |
| D. | g-load awareness and management | | |
| 1. | Positive/negative/increasing/decreasing g-loads | • | • |
| 2. | Lateral g awareness (sideslip) | • | • |
| 3. | g-load management | • | • |
| E. | Energy management | | |
| 1. | Kinetic energy vs potential energy vs chemical energy (power) | • | • |
| F. | Flight path management | | |
| 1. | Relationship between pitch, power and performance | • | • |
| 2. | Performance and effects of differing power plants (if applicable) | • | • |
| 3. | Manual and automation inputs for guidance and control | • | • |
| 4. | Type-specific characteristics | • | • |
| 5. | Management of go-arounds from various stages during the approach | • | • |
| 6. | Automation management | • | • |
| 7. | Proper use of rudder | • | • |
| G. | Recognition | | |
| 1. | Type-specific examples of physiological, visual and instrument clues during developing and developed upsets | • | • |
| 2. | Pitch/power/roll/yaw | • | • |
| 3. | Effective scanning (effective monitoring) | • | • |
| 4. | Type-specific stall protection systems and cues | • | • |
| 5. | Criteria for identifying stalls and upsets | • | • |

| Elements and components | | Ground training | FSTD/ Aeroplane training |
|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------|
| H. | System malfunction (including immediate handling and subsequent operational considerations, as applicable) | | |
| 1. | Flight control defects | • | • |
| 2. | Engine failure (partial or full) | • | • |
| 3. | Instrument failures | • | • |
| 4. | Loss of reliable airspeed | • | • |
| 5. | Automation failures | • | • |
| 6. | Fly-by-wire protection degradations | • | • |
| 7. | Stall protection system failures including icing alerting systems | • | • |
| I. | Manual handling skills (no autopilot, no autothrust/autothrottle and, where possible, without flight directors) | | |
| 1. | Flight at different speeds, including slow flight, and altitudes within the full normal flight envelope | | • |
| 2. | Procedural instrument flying and manoeuvring including instrument departure and arrival | | • |
| 3. | Visual approach | | • |
| 4. | Go-arounds from various stages during the approach (refer to point (d) of GM1 to Appendix 9 to Part-FCL ¹ for further guidance on go-around training) | • | • |
| 5. | Steep turns | | • |

(b) Upset recovery training should:

- (1) consist of ground training and flight training in an FFS qualified for the training task;
- (2) be completed from each seat in which a pilot's duties require him/her to operate; and
- (3) include the recovery exercises in Table 2 for the recurrent training programme, such that all the exercises are covered over a period not exceeding 3 years.

Table 2: Exercises for upset recovery training

| Exercises | | Ground training | FFS training |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------|
| A. | Recovery from developed upsets | | |
| 1. | Timely and appropriate intervention | • | • |
| 2. | Recovery from stall events, in the following configurations; — take-off configuration, — clean configuration low altitude, — clean configuration near maximum operating altitude, and — landing configuration during the approach phase. | • | • |
| 3. | Recovery from nose high at various bank angles | • | • |
| 4. | Recovery from nose low at various bank angles | • | • |
| 5. | Consolidated summary of aeroplane recovery techniques | • | • |

¹ Please refer to .

- (c) The operator should ensure that personnel providing FSTD UPRT are competent and current to deliver the training, and understand the capabilities and limitations of the device used.
- (d) An FFS that is used for the training referred to in point (b)(1) should be qualified in accordance with the special evaluation requirements set out in CS-FSTD(A) (Issue 2 or later).

AMC2 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

UPSET PREVENTION AND RECOVERY TRAINING (UPRT) FOR COMPLEX MOTOR-POWERED AEROPLANES WITH A MAXIMUM OPERATIONAL PASSENGER SEATING CONFIGURATION (MOPSC) OF 19 OR LESS

- (a) Upset prevention training should:
 - (1) consist of ground training and flight training in an FSTD or an aeroplane;
 - (2) include upset prevention elements in Table 1 of **AMC1 ORO.FC.220&230** for the conversion training course; and
 - (3) include upset prevention elements in Table 1 of **AMC1 ORO.FC.220&230** for the recurrent training programme at least every 12 calendar months, such that all the elements are covered over a period not exceeding 3 years.
- (b) Upset recovery training should:
 - (1) consist of ground training and flight training in an FFS qualified for the training task, if available;
 - (2) be completed from each seat in which a pilot's duties require him/her to operate; and
 - (3) include the recovery exercises in Table 2 of **AMC1 ORO.FC.220&230** for the recurrent training programme, such that all the exercises are covered over a period not exceeding 3 years.
- (c) The operator should ensure that personnel providing FSTD UPRT are competent and current to deliver the training, and understand the capabilities and limitations of the device used.
- (d) An FFS that is used for the training referred to in point (b)(1) should be qualified in accordance with the special evaluation requirements set out in CS-FSTD(A) (Issue 2 or later).

GM1 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

UPSET PREVENTION AND RECOVERY TRAINING (UPRT) FOR COMPLEX MOTOR-POWERED AEROPLANES

The objective of the UPRT is to help flight crew acquire the required competencies in order to prevent or recover from a developing or developed aeroplane upset. Prevention training prepares flight crew to avoid incidents whereas recovery training prepares flight crew to prevent an accident once an upset condition has developed.

HUMAN FACTORS

Threat and Error Management (TEM) and Crew Resource Management (CRM) principles should be integrated into the UPRT. In particular, the surprise and startle effect, and the importance of resilience development should be emphasised.

Training should also emphasise that an actual upset condition may expose flight crew to significant physiological and psychological challenges, such as visual illusions, spatial disorientation and unusual g-forces, with the objective to develop strategies to deal with such challenges.

USE OF FSTD FOR UPRT

The use of an FSTD provides valuable training without the risks associated with aeroplane training. The training envelope (envelope within which all training exercises will be carried out) should be specified by the operator in terms of the range of attitudes, speed and g-loads that can be used for training, taking into account:

- (1) the training environment;
- (2) the capabilities of the instructors; and
- (3) in the case of training in FSTDs, the limitations of the FSTD (as per **GM15 to Annex I** (Definitions) to Commission Regulation (EU) No 965/2012 for the FSTD training envelope); and
- (4) in the case of training in aeroplanes, the capabilities and certification of the aeroplane, while considering a margin of safety in order to ensure that unintentional deviations from the training envelope will not exceed aeroplane limitations. Different training envelopes may be specified for different aeroplane types even within a single training course.

ADDITIONAL GUIDANCE

Specific guidance to the UPRT elements and exercises contained in the AMC is available from the latest revision of the ICAO Document 10011 ('Manual on UPRT').

Further guidance is available in:

- Revision 2 (as regards training scenarios for UPRT) and Revision 3 of the Aeroplane Upset Recovery Training Aid (AURTA (Revision 2) / AUPRTA (Revision 3)); and
- the Flight Safety Foundation Publication ('A Practical Guide for Improving Flight Path Monitoring'), November 2014.

GM2 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

UPSET PREVENTION TRAINING FOR COMPLEX MOTOR-POWERED AEROPLANES

The recurrent training should prioritise the upset prevention elements and respective components according to the operator's safety risk assessment.

Upset prevention training should use a combination of manoeuvre-based and scenario-based training. Scenario-based training may be used to introduce flight crew to situations which, if not correctly managed, could lead to an upset condition. Relevant TEM and CRM aspects should be included in scenario-based training and the flight crew should understand the limitations of the FSTD in replicating the physiological and psychological aspects of exposure to upset prevention scenarios.

In order to avoid negative training and negative transfer of training, operators should ensure that the selected upset prevention scenarios and exercises take into consideration the limitations of the FSTD and the extent to which it represents the handling characteristics of the actual aeroplane. If it is determined that the FSTD is not suitable, the operator should ensure that the required training outcome can be achieved by other means.

GO-AROUNDS FROM VARIOUS STAGES DURING THE APPROACH

Guidance on go-around training is provided in point (d) of GM1 to Appendix 9 to Part-FCL.

GM3 ORO.FC.220&230 Operator conversion training and checking & Recurrent training and checking

UPSET RECOVERY TRAINING FOR COMPLEX MOTOR-POWERED AEROPLANES

The upset recovery training exercises should be manoeuvre-based, which enables flight crew to apply their handling skills and recovery strategy whilst leveraging CRM principles to return the aeroplane from an upset condition to a stabilised flight path.

The flight crew should understand the limitations of the FFS in replicating the physiological and psychological aspects of upset recovery exercises.

In order to avoid negative training and negative transfer of training, operators should ensure that the selected upset recovery exercises take into consideration the limitations of the FFS.

STALL EVENT RECOVERY TRAINING

It is of utmost importance that stall event recovery training takes into account the capabilities of the FFS used. To deliver stall event recovery training, the FFS should be qualified against the relevant UPRT elements of CS-FSTD(A) (Issue 2 or later). Stall event recovery training should include training up to the stall (approach-to-stall). Post-stall training may be delivered provided the device has been qualified against the relevant optional elements of CS-FSTD(A) (Issue 2 or later) and the operator demonstrates that negative training or negative transfer of training is avoided. A 'stall event' is defined as an occurrence whereby the aeroplane experiences one or more conditions associated with an approach-to-stall or a stall.

Stall event recovery training should emphasise the requirement to reduce the angle of attack (AOA) whilst accepting the resulting altitude loss. High-altitude stall event training should be included so that flight crew appreciate the aeroplane control response, the significant altitude loss during the recovery, and the increased time required. The training should also emphasise the risk of triggering a secondary stall event during the recovery.

Recovery from a stall event should always be in accordance with the stall event recovery procedures of the OEMs. If an OEM-approved recovery procedure does not exist, operators should develop and train the aeroplane-specific stall recovery procedure based on the template in Table 1 below.

Refer to Revision 3 of the Airplane Upset Prevention and Recovery Training Aid (AUPRTA) for a detailed explanation and rationale on the stall event recovery template as recommended by the OEMs.

Table 1: Recommended Stall Event Recovery Template

| Stall Event Recovery Template | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
| Pilot Flying - Immediately do the following at first indication of a stall (aerodynamic buffeting, reduced roll stability and aileron effectiveness, visual or aural cues and warnings, reduced elevator (pitch) authority, inability to maintain altitude or arrest rate of descent, stick shaker activation (if installed).) – during any flight phases <i>except at lift-off</i> . | | |
| Pilot Flying (PF) | | Pilot Monitoring (PM) |
| 1. | AUTOPILOT – DISCONNECT (A large out-of-trim condition could be encountered when the autopilot is disconnected.) | MONITOR airspeed and attitude throughout the recovery and ANNOUNCE any continued divergence |
| 2. | AUTOTHRUST/AUTOTHROTTLE – OFF | |
| 3. | a) NOSE DOWN PITCH CONTROL apply until stall warning is eliminated b) NOSE DOWN PITCH TRIM (as needed) (Reduce the angle of attack (AOA) whilst accepting the resulting altitude loss.) | |
| 4. | BANK – WINGS LEVEL | |
| 5. | THRUST – ADJUST (as needed) (Thrust reduction for aeroplanes with underwing mounted engines may be needed) | |
| 6. | SPEEDBRAKES/SPOILERS - RETRACT | |
| 7. | When airspeed is sufficiently increasing - RECOVER to level flight (Avoid the secondary stall due premature recovery or excessive g-loading.) | |

NOSE HIGH AND NOSE LOW RECOVERY TRAINING

Nose-high and nose-low recovery training should be in accordance with the strategies recommended by the OEMs contained in the Tables 2 and 3 below. As the OEM procedures always take precedence over the recommendations, operators should consult their OEM on whether any approved type-specific recovery procedures are available prior to using the templates.

Refer to Revision 3 of the Airplane Upset Prevention and Recovery Training Aid (AUPRTA) for a detailed explanation and rationale on the nose high and nose low recovery strategies as recommended by the OEMs.

Table 2: Recommended Nose High Recovery Strategy Template

| Nose HIGH Recovery Strategy | | |
|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Either pilot - Recognise and confirm the developing situation by announcing: 'Nose High' | | |
| PF | | PM |
| 1. | AUTOPILOT – DISCONNECT (A large out of trim condition could be encountered when the AP is disconnected.) | MONITOR airspeed and attitude throughout the recovery and ANNOUNCE any continued divergence |
| 2. | AUTOTHRUST/AUTOTHROTTLE – OFF | |
| 3. | APPLY as much nose-down control input as required to obtain a nose-down pitch rate | |
| 4. | THRUST – ADJUST (if required) (Thrust reduction for aeroplanes with underwing mounted engines may be needed.) | |
| 5. | ROLL – ADJUST (if required) (Avoid exceeding 60 degrees bank.) | |
| 6. | When airspeed is sufficiently increasing - RECOVER to level flight (Avoid the secondary stall due premature recovery or excessive g-loading.) | |
| NOTE: | | |
| 1) | Recovery to level flight may require use of pitch trim. | |
| 2) | If necessary, consider reducing thrust in aeroplanes with underwing-mounted engines to aid in achieving nose-down pitch rate. | |
| 3) | WARNING: Excessive use of pitch trim or rudder may aggravate the upset situation or may result in high structural loads. | |

Table 3: Recommended Nose Low Recovery Strategy Template

| Nose LOW Recovery Strategy Template | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| Either pilot - Recognise and confirm the developing situation by announcing: 'Nose Low' (If the autopilot or autothrust/autothrottle is responding correctly, it may not be appropriate to decrease the level of automation while assessing if the divergence is being stopped.) | | |
| PF | | PM |
| 1. | AUTOPILOT – DISCONNECT (A large out of trim condition could be encountered when the AP is disconnected.) | MONITOR airspeed and attitude throughout the recovery and ANNOUNCE any continued divergence |
| 2. | AUTOTHRUST/AUTOTHROTTLE – OFF | |
| 3. | RECOVERY from stall if required | |
| 4. | ROLL in the shortest direction to wings level. (It may be necessary to reduce the g-loading by applying forward control pressure to improve roll effectiveness) | |
| 5. | THRUST and DRAG – ADJUST (if required) | |
| 6. | RECOVER to level flight. (Avoid the secondary stall due premature recovery or excessive g-loading.) | |
| NOTE: | | |
| 1) | Recovery to level flight may require use of pitch trim. | |
| 2) | WARNING: Excessive use of pitch trim or rudder may aggravate the upset situation or may result in high structural loads. | |

GM4 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

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GM5 ORO.FC.220&230 Operator conversion training and checking & recurrent training and checking

PERSONNEL PROVIDING FSTD UPSET PREVENTION AND RECOVERY TRAINING (UPRT)

It is of paramount importance that personnel providing UPRT in FSTDs have the specific competence to deliver such training, which may not have been demonstrated during previous instructor qualification training. Operators should, therefore, have a comprehensive training and standardisation programme in place, and may need to provide FSTD instructors with additional training to ensure such instructors have and maintain complete knowledge and understanding of the UPRT operating environment, and skill sets.

Standardisation and training should ensure that personnel providing FSTD UPRT:

- (1) are able to demonstrate the correct upset recovery techniques for the specific aeroplane type;
- (2) understand the importance of applying type-specific Original Equipment Manufacturers (OEMs) procedures for recovery manoeuvres;
- (3) are able to distinguish between the applicable SOPs and the OEMs recommendations (if available);
- (4) understand the capabilities and limitations of the FSTD used for UPRT, based on the applicable FSTD training envelope;
- (5) are aware of the potential of negative transfer of training that may exist when training outside the capabilities of the FSTD;
- (6) understand and are able to use the IOS of the FSTD in the context of effective UPRT delivery;
- (7) understand and are able to use the FSTD instructor tools available for providing accurate feedback on flight crew performance;
- (8) understand the importance of adhering to the FSTD UPRT scenarios that have been validated by the training programme developer; and
- (9) understand the missing critical human factor aspects due to the limitations of the FSTD and convey this to the flight crew receiving the training.

AMC1 ORO.FC.230 Recurrent training and checking

RECURRENT TRAINING SYLLABUS

(a) Recurrent training

Recurrent training should comprise the following:

- (1) Ground training
 - (i) The ground training programme should include:
 - (A) aircraft systems;
 - (B) operational procedures and requirements, including ground de-icing/anti-icing and pilot incapacitation; and
 - (C) accident/incident and occurrence review.
 - (ii) Knowledge of the ground training should be verified by a questionnaire or other suitable methods.

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- (iii) When the ground training is conducted within 3 calendar months prior to the expiry of the 12 calendar months period, the next ground and refresher training should be completed within 12 calendar months of the original expiry date of the previous training.
- (2) Emergency and safety equipment training
- (i) Emergency and safety equipment training may be combined with emergency and safety equipment checking and should be conducted in an aircraft or a suitable alternative training device.
 - (ii) Every year the emergency and safety equipment training programme should include the following:
 - (A) actual donning of a life-jacket, where fitted;
 - (B) actual donning of protective breathing equipment, where fitted;
 - (C) actual handling of fire extinguishers of the type used;
 - (D) instruction on the location and use of all emergency and safety equipment carried on the aircraft;
 - (E) instruction on the location and use of all types of exits;
 - (F) security procedures.
 - (iii) Every 3 years the programme of training should include the following:
 - (A) actual operation of all types of exits;
 - (B) demonstration of the method used to operate a slide where fitted;
 - (C) actual fire-fighting using equipment representative of that carried in the aircraft on an actual or simulated fire except that, with Halon extinguishers, an alternative extinguisher may be used;
 - (D) the effects of smoke in an enclosed area and actual use of all relevant equipment in a simulated smoke-filled environment;
 - (E) actual handling of pyrotechnics, real or simulated, where applicable;
 - (F) demonstration in the use of the life-rafts where fitted. In the case of helicopters involved in extended over water operations, demonstration and use of the life-rafts.

Helicopter water survival training

Where life-rafts are fitted for helicopter extended overwater operations (such as sea pilot transfer, offshore operations, regular, or scheduled, coast-to-coast overwater operations), a comprehensive wet drill to cover all ditching procedures should be practised by aircraft crew. This wet drill should include, as appropriate, practice of the actual donning and inflation of a life-jacket, together with a demonstration or audio-visual presentation of the inflation of life-rafts. Crews should board the same (or similar) life-rafts from the water whilst wearing a life-jacket. Training should include the use of all survival equipment carried on board life-rafts and any additional survival equipment carried separately on board the aircraft;

- consideration should be given to the provision of further specialist training such as underwater escape training. Where operations are predominately conducted offshore, operators should conduct 3-

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- yearly helicopter underwater escape training at an appropriate facility;
- wet practice drill should always be given in initial training unless the crew member concerned has received similar training provided by another operator;
- (G) particularly in the case where no cabin crew is required, first-aid, appropriate to the aircraft type, the kind of operation and crew complement.
- (iv) The successful resolution of aircraft emergencies requires interaction between flight crew and cabin/technical crew and emphasis should be placed on the importance of effective coordination and two-way communication between all crew members in various emergency situations.
- (v) Emergency and safety equipment training should include joint practice in aircraft evacuations so that all who are involved are aware of the duties other crew members should perform. When such practice is not possible, combined flight crew and cabin/technical crew training should include joint discussion of emergency scenarios.
- (vi) Emergency and safety equipment training should, as far as practicable, take place in conjunction with cabin/technical crew undergoing similar training with emphasis on coordinated procedures and two-way communication between the flight crew compartment and the cabin.
- (3) CRM
- Elements of CRM training, as specified in Table 1 of **AMC1 ORO.FC.115**, should be integrated into all appropriate phases of recurrent training.
- (4) Aircraft/FSTD training
- (i) General
 - (A) The aircraft/FSTD training programme should be established in a way that all major failures of aircraft systems and associated procedures will have been covered in the preceding 3 year period.
 - (B) When engine-out manoeuvres are carried out in an aircraft, the engine failure should be simulated.
 - (C) Aircraft/FSTD training may be combined with the operator proficiency check.
 - (D) When the aircraft/FSTD training is conducted within 3 calendar months prior to the expiry of the 12 calendar months period, the next aircraft/FSTD training should be completed within 12 calendar months of the original expiry date of the previous training.
 - (ii) Helicopters
 - (A) Where a suitable FSTD is available, it should be used for the aircraft/FSTD training programme. If the operator is able to demonstrate, on the basis of a compliance and risk assessment, that using an aircraft for this training provides equivalent standards of training with safety levels similar to those achieved using an FSTD, the aircraft may be used for this training to the extent necessary.
 - (B) The recurrent training should include the following additional items, which should be completed in an FSTD:

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- settling with power and vortex ring;
 - loss of tail rotor effectiveness.
- (5) For operations with other-than-complex motor-powered aeroplanes, all training and checking should be relevant to the type of operation and class of aeroplane on which the flight crew member operates with due account taken of any specialised equipment used.
- (b) Recurrent checking
- Recurrent checking should comprise the following:
- (1) Operator proficiency checks
- (i) Aeroplanes
- Where applicable, operator proficiency checks should include the following manoeuvres as pilot flying:
- (A) rejected take-off when an FSTD is available to represent that specific aeroplane, otherwise touch drills only;
 - (B) take-off with engine failure between V_1 and V_2 (take-off safety speed) or, if carried out in an aeroplane, at a safe speed above V_2 ;
 - (C) 3D approach operation to minima with, in the case of multi-engine aeroplanes, one-engine-inoperative;
 - (D) 2D approach operation to minima;
 - (E) at least one of the 3D or 2D approach operations should be an RNP APCH or RNP AR APCH operation;
 - (F) missed approach on instruments from minima with, in the case of multi-engined aeroplanes, one-engine-inoperative;
 - (G) landing with one-engine-inoperative. For single-engine aeroplanes a practice forced landing is required.
- (ii) Helicopters
- (A) Where applicable, operator proficiency checks should include the following abnormal/emergency procedures:
- engine fire;
 - fuselage fire;
 - emergency operation of under carriage;
 - fuel dumping;
 - engine failure and relight;
 - hydraulic failure;
 - electrical failure;
 - engine failure during take-off before decision point;
 - engine failure during take-off after decision point;
 - engine failure during landing before decision point;
 - engine failure during landing after decision point;
 - flight and engine control system malfunctions;

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- recovery from unusual attitudes;
 - landing with one or more engine(s) inoperative;
 - instrument meteorological conditions (IMC) autorotation techniques;
 - autorotation to a designated area;
 - pilot incapacitation;
 - directional control failures and malfunctions.
- (B) For pilots required to engage in IFR operations, proficiency checks include the following additional abnormal/emergency procedures:
- 3D approach operation to minima;
 - go-around on instruments from minima with, in the case of multi-engined helicopters, a simulated failure of one engine;
 - 2D approach operation to minima;
 - at least one of the 3D or 2D approach operations should be an RNP APCH or RNP AR APCH operation;
 - in the case of multi-engined helicopters, a simulated failure of one engine to be included in either the 3D or 2D approach operation to minima;
 - landing with a simulated failure of one or more engines;
 - where appropriate to the helicopter type, approach with flight control system/flight director system malfunctions, flight instrument and navigation equipment failures.
- (C) Before a flight crew member without a valid instrument rating is allowed to operate in VMC at night, he/she should be required to undergo a proficiency check at night. Thereafter, each second proficiency check should be conducted at night.
- (iii) Once every 12 months the checks prescribed in (b)(1)(ii)(A) may be combined with the proficiency check for revalidation or renewal of the aircraft type rating.
- (iv) Operator proficiency checks should be conducted by a type rating examiner (TRE) or a synthetic flight examiner (SFE), as applicable.
- (2) Emergency and safety equipment checks
- The items to be checked should be those for which training has been carried out in accordance with (a)(2).
- (3) Line checks
- (i) Line checks should establish the ability to perform satisfactorily a complete line operation, including pre-flight and post-flight procedures and use of the equipment provided, as specified in the operations manual. The route chosen should be such as to give adequate representation of the scope of a pilot's normal operations. When weather conditions preclude a manual landing, an automatic landing is acceptable. The commander, or any pilot who may be required to relieve the commander, should also demonstrate his/her ability to 'manage' the operation and take appropriate command decisions.
 - (ii) The flight crew should be assessed on their CRM skills in accordance with the

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- methodology described in **AMC1 ORO.FC.115** and as specified in the operations manual.
- (iii) CRM assessment should not be used as a reason for a failure of the line check, unless the observed behaviour could lead to an unacceptable reduction in safety margin.
 - (iv) When pilots are assigned duties as pilot flying and pilot monitoring, they should be checked in both functions.
 - (v) Line checks should be conducted by a commander nominated by the operator. The operator should inform the CAA about the persons nominated. The person conducting the line check should occupy an observer's seat where installed. His/her CRM assessments should solely be based on observations made during the initial briefing, cabin briefing, flight crew compartment briefing and those phases where he/she occupies the observer's seat.
 - (A) For aeroplanes, in the case of long haul operations where additional operating flight crew are carried, the person may fulfil the function of a cruise relief pilot and should not occupy either pilot's seat during take-off, departure, initial cruise, descent, approach and landing.
 - (vi) Where a pilot is required to operate as pilot flying and pilot monitoring, he/she should be checked on one flight sector as pilot flying and on another flight sector as pilot monitoring. However, where the operator's procedures require integrated flight preparation, integrated cockpit initialisation and that each pilot performs both flying and monitoring duties on the same sector, then the line check may be performed on a single flight sector.
- (4) When the operator proficiency check, line check or emergency and safety equipment check are undertaken within the final 3 calendar months of validity of a previous check, the period of validity of the subsequent check should be counted from the expiry date of the previous check.
- (5) In the case of single-pilot operations with helicopters, the recurrent checks referred to in (b)(1), (2) and (3) should be performed in the single-pilot role on a particular helicopter type in an environment representative of the operation.
- (c) Flight crew incapacitation training, except single-pilot operations
- (1) Procedures should be established to train flight crew to recognise and handle flight crew incapacitation. This training should be conducted every year and can form part of other recurrent training. It should take the form of classroom instruction, discussion, audio-visual presentation or other similar means.
 - (2) If an FSTD is available for the type of aircraft operated, practical training on flight crew incapacitation should be carried out at intervals not exceeding 3 years.
- (d) Personnel providing training and checking
- Training and checking should be provided by the following personnel:
- (1) ground and refresher training by suitably qualified personnel;
 - (2) flight training by a flight instructor (FI), type rating instructor (TRI) or class rating instructor (CRI) or, in the case of the FSTD content, a synthetic flight instructor (SFI), providing that the FI, TRI, CRI or SFI satisfies the operator's experience and knowledge requirements sufficient to instruct on the items specified in paragraphs (a)(1)(i)(A) and (B);

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- (3) emergency and safety equipment training by suitably qualified personnel;
 - (4) CRM:
 - (i) integration of CRM elements into all the phases of the recurrent training by all the personnel conducting recurrent training. The operator should ensure that all personnel conducting recurrent training are suitably qualified to integrate elements of CRM into this training;
 - (ii) classroom CRM training by at least one CRM trainer, qualified as specified in **AMC3 ORO.FC.115** who may be assisted by experts in order to address specific areas.
 - (5) recurrent checking by the following personnel:
 - (i) operator proficiency check by a type rating examiner (TRE), class rating examiner (CRE) or, if the check is conducted in an FSTD, a TRE, CRE or a synthetic flight examiner (SFE), trained in CRM concepts and the assessment of CRM skills.
 - (ii) emergency and safety equipment checking by suitably qualified personnel.
 - (e) Use of FSTD
 - (1) Training and checking provide an opportunity to practice abnormal/emergency procedures that rarely arise in normal operations and should be part of a structured programme of recurrent training. This should be carried out in an FSTD whenever possible.
 - (2) The line check should be performed in the aircraft. All other training and checking should be performed in an FSTD, or, if it is not reasonably practicable to gain access to such devices, in an aircraft of the same type or in the case of emergency and safety equipment training, in a representative training device. The type of equipment used for training and checking should be representative of the instrumentation, equipment and layout of the aircraft type operated by the flight crew member.
 - (3) Because of the unacceptable risk when simulating emergencies such as engine failure, icing problems, certain types of engine(s) (e.g. during continued take-off or go-around, total hydraulic failure), or because of environmental considerations associated with some emergencies (e.g. fuel dumping) these emergencies should preferably be covered in an FSTD. If no FSTD is available, these emergencies may be covered in the aircraft using a safe airborne simulation, bearing in mind the effect of any subsequent failure, and the exercise must be preceded by a comprehensive briefing.

AMC2 ORO.FC.230 Recurrent training and checking

FLIGHT ENGINEERS

- (a) The recurrent training and checking for flight engineers should meet the requirements for pilots and any additional specific duties, omitting those items that do not apply to flight engineers.
- (b) Recurrent training and checking for flight engineers should, whenever possible, take place concurrently with a pilot undergoing recurrent training and checking.
- (c) The line check should be conducted by a commander or by a flight engineer nominated by the operator, in accordance with national rules, if applicable.

GM1 ORO.FC.230 Recurrent training and checking

LINE CHECK AND PROFICIENCY TRAINING AND CHECKING

- (a) Line checks, route and aerodrome knowledge and recent experience requirements are intended to ensure the crew member's ability to operate efficiently under normal conditions, whereas other checks and emergency and safety equipment training are primarily intended to prepare the crew member for abnormal/emergency procedures.
- (b) The line check is considered a particularly important factor in the development, maintenance and refinement of high operating standards, and can provide the operator with a valuable indication of the usefulness of his/her training policy and methods. Line checks are a test of a flight crew member's ability to perform a complete line operation, including pre-flight and post-flight procedures and use of the equipment provided, and an opportunity for an overall assessment of his/her ability to perform the duties required as specified in the operations manual. The line check is not intended to determine knowledge on any particular route.
- (c) Proficiency training and checking

When an FSTD is used, the opportunity should be taken, where possible, to use LOFT.

GM1 ORO.FC.230(a);(b);(f) Recurrent training and checking

EVIDENCE-BASED RECURRENT TRAINING AND CHECKING OF FLIGHT CREW CONDUCTED IN FLIGHT SIMULATION TRAINING DEVICES (FSTDs)

ICAO developed Doc 9995 'Manual of Evidence-based Training', which is intended to provide guidance to civil aviation authorities, operators and approved training organisations in the recurrent assessment and training of pilots by establishing a new methodology for the development and conduct of a recurrent training and assessment programme, titled evidence-based training (EBT).

'Evidence-based training (EBT)' means training and assessment based on operational data that is characterised by developing and assessing the overall capability of a trainee across a range of core competencies rather than by measuring the performance during individual events or manoeuvres.

ICAO Doc 9995 is the reference document for operators seeking to implement EBT. The purpose of this guidance material (GM) is to enable the implementation of EBT according to the principles established in ICAO Doc 9995 taking into account the European regulatory framework.

In the current regulatory framework it is possible to achieve a mixed implementation of EBT. Implementation of a mixed EBT programme means that some portion of the recurrent assessment and training is dedicated to the application of EBT. This includes the Licence Proficiency Check (LPC) and the Operator Proficiency Check (OPC).

As it is possible to combine LPC and OPC in ORO.FC, this GM is applicable to both checks. Therefore, the EBT training programme described in this GM refers to the recurrent training and checking of flight crew, including LPCs and OPCs.

The EBT training programme takes into account the differences between aircraft of different generations and the effect of these differences on training. The operator should acquire a thorough knowledge of ICAO Doc 9995 before implementing this GM. For applicability, see ICAO Doc 9995 Chapter 3.

EBT programme

Within the current regulatory framework the operator may undertake a mixed implementation of the

baseline EBT programme according to this GM. The baseline EBT programme is defined in ICAO Doc 995 Chapter 4.3.1 and in Appendices 2 to 7.

The baseline EBT programme provides the flexibility to adapt programmes according to specific operator risks. Elements of the enhanced EBT programme may be implemented according to the definition and process described in ICAO Doc 9995 Chapter 5.

The operator should contact the CAA in order for them to assess the application of the process described in ICAO Doc 9995 including, where applicable, the results from data analyses to support the enhanced EBT programme.

Personnel providing training and checking in EBT (Refers to **AMC1 ORO.FC.230(d)**)

ICAO Doc 9995 Chapter 6, which is additional to EU regulations, contains the guidance for the training and assessment of personnel involved in the conduct of EBT.

Equivalency of malfunctions/Malfunction clustering (Refers to ICAO Doc 9995 Paragraph 3.8.3)

According to the concept of ICAO Doc 9995 Chapter 3.8.3, major failures reduce the capability of the aircraft or the ability of the crew to cope with operating conditions to the extent that there would be a significant reduction in functional capabilities, significant increase in crew workload or in conditions impairing crew efficiency.

Clusters of major failures of aircraft systems are determined by reference to malfunction characteristics and the underlying elements of crew performance required to manage them. Malfunction clustering may be used to guide the operator towards the implementation of an EBT programme according to **AMC1 ORO.FC.230(a)(4)(i)(A)** and **ORO.FC.145(d)**.

Conduct of Licence and Operator Proficiency Checks

The EBT programme described in ICAO Doc 9995 contains modules with three phases: the evaluation phase, the manoeuvres training phase, and the scenario-based training phase. In order to comply with the existing regulatory framework, LPC and OPC requirements are fulfilled by a combination of the evaluation phase and the manoeuvres validation phase, which replaces the manoeuvres training phase described in ICAO Doc 9995. The manoeuvres validation phase is defined in Section 3 below. This is a form of mixed implementation, which is described as follows:

1. **Evaluation phase:** This includes check scenarios referred to in Part-FCL Appendix 9 within an accepted EBT programme.

In order to facilitate the provision of simple and realistic scenarios in accordance with ICAO Doc 9995 Chapters 3.8 and 7.4, the evaluation phase is not intended to be a comprehensive assessment of all Part-FCL Appendix 9 items; nevertheless, the list below includes the items that should be included in the evaluation phase only.

| Part-FCL or Part-ORO reference | Description |
|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part-FCL Appendix 9 Paragraph 6 | The examiner may choose between different skill test or proficiency check scenarios containing simulated relevant operations developed and approved by the CAA. Full-flight simulators and other training devices, when available, shall be used, as established in this Part. |
| Part-FCL Appendix 9 Paragraph 16 | The test/check should be accomplished under instrument flight rules (IFRs), if instrument rating (IR) is included, and as far as possible be accomplished in a simulated commercial air transport environment. An essential element to be checked is the ability to plan and conduct the flight from routine briefing material. |
| Part-FCL Appendix 9 Item 1.4 | Use of checklist prior to starting engines, starting procedures, radio and navigation equipment check, selection and setting of navigation and communication frequencies. |

| | |
|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part-FCL Appendix 9 Item 1.6 | Before take-off checks. |
| Part-FCL Appendix 9 Item 3.9.1* | Adherence to departure and arrival routes and ATC instructions. The starred item (*) shall be flown solely by reference to instruments. If this condition is not met during the skill test or proficiency check, the type rating will be restricted to VFR only. |

2. **Manoeuvres validation phase:** The purpose of the manoeuvres validation phase is to check the handling skills necessary to fly critical flight manoeuvres so that they are maintained to a defined level of proficiency. This replaces the manoeuvres training phase described in ICAO Doc 9995 Chapter 7.5. Manoeuvres in this context are not part of line-oriented flight scenario; they are a sequence of deliberate actions to achieve a prescribed flight path or to perform a prescribed event to a prescribed outcome. All remaining items listed in Part-FCL Appendix 9, and not included in the evaluation phase, should be included here.
3. **Scenario-based training phase:** The purpose of the scenario-based training phase is to further develop pilot core competencies in a learning environment. This does not form part of any LPC or OPC requirement.

It should be noted that if the operator is following an alternative means of compliance to **ORO.FC.230(b)** Operator Proficiency Check, the equivalence of using EBT evaluation and manoeuvres validation phases may no longer exist.

AMC1 ORO.FC.235(d) Pilot qualification to operate in either pilot's seat

SINGLE-ENGINE HELICOPTERS — AUTOROTATIVE LANDING

In the case of single-engined helicopters, the autorotative landing should be carried out from left- and right-hand seats on alternate proficiency checks.

GM1 ORO.FC.235(f);(g) Pilot qualification to operate in either pilot's seat

DIFFERENCES BETWEEN LEFT AND RIGHT-HAND SEATS

The differences between left- and right-hand seats may not be significant in cases where, for example, the autopilot is used.

AMC1 ORO.FC.240 Operation on more than one type or variant

GENERAL

(a) Aeroplanes

- (1) When a flight crew member operates more than one aeroplane class, type or variant, as determined by the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for class-single pilot or type-single pilot, but not within a single licence endorsement, the operator should ensure that the flight crew member does not operate more than:
 - (i) three reciprocating engine aeroplane types or variants;

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- (ii) three turbo-propeller aeroplane types or variants;
 - (iii) one turbo-propeller aeroplane type or variant and one reciprocating engine aeroplane type or variant; or
 - (iv) one turbo-propeller aeroplane type or variant and any aeroplane within a particular class.
- (2) When a flight crew member operates more than one aeroplane type or variant within one or more licence endorsement, as determined by the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012, the operator should ensure that:
- (i) the minimum flight crew complement specified in the operations manual is the same for each type or variant to be operated;
 - (ii) the flight crew member does not operate more than two aeroplane types or variants for which a separate licence endorsement is required, unless credits related to the training, checking, and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants; and
 - (iii) only aeroplanes within one licence endorsement are flown in any one flight duty period, unless the operator has established procedures to ensure adequate time for preparation.
- (3) When a flight crew member operates more than one aeroplane type or variant as determined by the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for type-single pilot and type-multi pilot, but not within a single licence endorsement, the operator should comply with points (a)(2) and (4).
- (4) When a flight crew member operates more than one aeroplane type or variant as determined by the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for type multi-pilot, but not within a single licence endorsement, or combinations of aeroplane types or variants as determined by the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for class single-pilot and type multi-pilot, the operator should comply with the following:
- (i) point (a)(2);
 - (ii) before exercising the privileges of more than one licence endorsement:
 - (A) flight crew members should have completed two consecutive operator proficiency checks and should have:
 - 500 hours in the relevant crew position in CAT operations with the same operator; or
 - for IFR and VFR night operations with performance class B aeroplanes, 100 hours or flight sectors in the relevant crew position in CAT operations with the same operator, if at least one licence endorsement is related to a class. A check flight should be completed before the pilot is released for duties as commander;
 - (B) in the case of a pilot having experience with an operator and exercising the privileges of more than one licence endorsement, and then being promoted

to command with the same operator on one of those types, the required minimum experience as commander is 6 months and 300 hours, and the pilot should have completed two consecutive operator proficiency checks before again being eligible to exercise more than one licence endorsement;

- (iii) before commencing training for and operation of another type or variant, flight crew members should have completed 3 months and 150 hours flying on the base aeroplane, which should include at least one proficiency check, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants;
- (iv) after completion of the initial line check on the new type, 50 hours flying or 20 sectors should be achieved solely on aeroplanes of the new type rating, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants;
- (v) recent experience requirements established in Commission Regulation (EU) No 1178/2011 for each type operated;
- (vi) the period within which line flying experience is required on each type should be specified in the operations manual;
- (vii) when credits are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant type or variant, this should be reflected in the training required in **ORO.FC.230** and:
 - (A) **ORO.FC.230(b)** requires two operator proficiency checks every year. When credits are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for operator proficiency checks to alternate between the types, each operator proficiency check should revalidate the operator proficiency check for the other type(s). The operator proficiency check may be combined with the proficiency checks for revalidation or renewal of the aeroplane type rating or the instrument rating in accordance with Commission Regulation (EU) No 1178/2011.
 - (B) **ORO.FC.230(c)** requires one line check every year. When credits are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for line checks to alternate between types or variants, each line check should revalidate the line check for the other type or variant.
 - (C) Annual emergency and safety equipment training and checking should cover all requirements for each type.

(b) Helicopters

- (1) If a flight crew member operates more than one type or variant, the following provisions should be met:
 - (i) The recency requirements and the requirements for recurrent training and checking should be met and confirmed prior to CAT operations on any type, and the minimum number of flights on each type within a 3-month period specified in the operations manual.
 - (ii) **ORO.FC.230** requirements with regard to recurrent training.

- (iii) When credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants, the requirements of **ORO.FC.230** with regard to proficiency checks may be met by a 6 monthly check on any one type or variant operated. However, a proficiency check on each type or variant operated should be completed every 12 months.
 - (iv) For helicopters with a maximum certified take-off mass (MCTOM) of more than 5 700 kg, or with a maximum operational passenger seating configuration (MOPSC) of more than 19:
 - (A) the flight crew member should not fly more than two helicopter types, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants;
 - (B) a minimum of 3 months and 150 hours experience on the type or variant should be achieved before the flight crew member should commence the conversion course onto the new type or variant, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants;
 - (C) 28 days and/or 50 hours flying should then be achieved exclusively on the new type or variant, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants; and
 - (D) a flight crew member should not be rostered to fly more than one type or significantly different variant of a type during a single duty period.
 - (v) In the case of all other helicopters, the flight crew member should not operate more than three helicopter types or significantly different variants, unless credits related to the training, checking and recent experience requirements are defined in operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants.
- (c) Combination of helicopter and aeroplane
- (1) The flight crew member may fly one helicopter type or variant and one aeroplane type irrespective of their MCTOM or MOPSC.
 - (2) If the helicopter type is covered by paragraph (b)(1)(iv) then (b)(1)(iv)(B), (C) and (D) should also apply in this case.

AMC2 ORO.FC.240 Operation on more than one type or variant

GENERAL

(a) Terminology

The terms used in the context of the operation of more than one type or variant have the following meaning:

- (1) Base aircraft means an aircraft used as a reference to compare differences with another aircraft.
- (2) Variant means an aircraft or a group of aircraft within the same pilot type rating that has differences to the base aircraft requiring difference training or familiarisation training.
- (3) Credit means the recognition of training, checking or recent experience based on commonalities between aircraft. For substantiation of the credits ODR tables or other appropriate documentation for comparison of the relevant aircraft characteristics may be provided.
- (4) Operator difference requirements (ODRs) mean a formal description of differences between types or variants flown by a particular operator.

(b) Philosophy

The concept of operating more than one type or variant depends upon the experience, knowledge and ability of the operator and the flight crew concerned.

The first consideration is whether or not aircraft types or variants are sufficiently similar to allow the safe operation of both.

The second consideration is whether or not the types or variants are sufficiently similar for the training, checking and recent experience. Unless credits have been established by the operational suitability data in accordance with Commission Regulation (EU) No 748/2012, all training, checking and recent experience requirements should be completed independently for each type or variant.

(c) Methodology – Use of Operator Difference Requirement (ODR) Tables

- (1) Before assigning flight crew members to operate more than one type or variant of aircraft, the operator should conduct a detailed evaluation of the differences or similarities of the aircraft concerned in order to establish appropriate procedures or operational restrictions. This evaluation should be based on the data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant types or variants, and should be adapted to the operator's specific aircraft configurations. This evaluation should take into account of the following:

- (i) the level of technology;
- (ii) operational procedures; and
- (iii) handling characteristics.

The methodology described below should be used as a means of evaluating aeroplane differences and similarities to justify the operation of more than one type or variant, and when credit is sought.

(2) ODR tables

Before requiring flight crew members to operate more than one type or variant, operators should first nominate one aircraft as the base aircraft from which to show differences with the second aircraft type or variant, the 'difference aircraft', in terms of technology (systems), procedures, pilot handling and aircraft management. These differences, known as operator difference requirements (ODR), preferably presented in tabular format, constitute part of the justification for operating more than one type or variant and also the basis for the associated differences/familiarisation or reduced type rating training for the flight crew.

(3) The ODR tables should be presented as follows:

| GENERAL OPERATOR DIFFERENCES REQUIREMENTS TABLE | | | | | | | | | | |
|-------------------------------------------------|-----------------------------|----------|----------|-------------------|-----|---|---|---|------------|---------|
| DIFFERENCE AIRCRAFT: BASE AIRCRAFT: | | | | COMPLIANCE METHOD | | | | | | |
| | | | | TRAINING | | | | | CHKG/ CURR | |
| General | Differences | Flt char | Proc chg | A | B | C | D | E | FLT CHK | REC EXP |
| GENERAL | Range ETOPS Certified | No | Yes | | CBT | | | | | |
| DIMENSIONS | Configuration per AFM, FCOM | Yes | No | | CBT | | | | | |

| SYSTEM OPERATOR DIFFERENCES REQUIREMENTS TABLE | | | | | | | | | | |
|------------------------------------------------|----------------------------------------------------------------------------------------|----------|----------|-------------------|-----|---|---|---|------------|---------|
| DIFFERENCE AIRCRAFT: BASE AIRCRAFT: | | | | COMPLIANCE METHOD | | | | | | |
| | | | | TRAINING | | | | | CHKG/ CURR | |
| System | Differences | Flt char | Proc chg | A | B | C | D | E | FLT CHK | REC EXP |
| 21 – AIR CONDITIONING | CONTROLS AND INDICATORS: — Panel layout | No | Yes | HO | | | | | | |
| 21 – AIR CONDITIONING | PACKS: — Switch type — Automatically controlled — Reset switch for both packs | No | Yes | | CBT | | | | | |

| MANOEUVRE OPERATOR DIFFERENCES REQUIREMENTS TABLE | | | | | | | | | | |
|---------------------------------------------------|------------------------------------------------------------------------------------------------|----------|----------|-------------------|-----|-----|---|-----|------------|---------|
| DIFFERENCE AIRCRAFT: BASE AIRCRAFT: | | | | COMPLIANCE METHOD | | | | | | |
| | | | | TRAINING | | | | | CHKG/ CURR | |
| Manoeuvre | Differences | Flt char | Proc chg | A | B | C | D | E | FLT CHK | REC EXP |
| Exterior Preflight | Minor differences | NO | NO | HO | | | | | | |
| Preflight | Differences due to systems, ECL | NO | YES | | CBT | FTD | | | | |
| Normal takeoff | FBW handling vs Conventional; AFDS TAKEOFF: — Autothrottle engagement FMA indications | NO | YES | | CBT | | | FFS | | |

(4) Compilation of ODR Tables

(i) ODR 1: General

The general characteristics of the candidate aircraft are compared with the base aircraft with regard to:

- (A) general dimensions and aircraft design (number and type of rotors, wing span or category);
- (B) flight deck general design;
- (C) cabin layout;
- (D) engines (number, type and position);
- (E) limitations (flight envelope).

(ii) ODR 2: Systems

Consideration is given to differences in design between the candidate aircraft and the base aircraft. For this comparison the Air Transport Association (ATA) 100 index is used. This index establishes a system and subsystem classification and then an analysis performed for each index item with respect to the main architectural, functional and operations elements, including controls and indications on the systems control panel.

(iii) ODR 3: Manoeuvres

Operational differences encompass normal, abnormal and emergency situations and include any change in aircraft handling and flight management. It is necessary to establish a list of operational items for consideration on which an analysis of differences can be made.

The operational analysis should take the following into account:

- (A) flight deck dimensions (size, cut-off angle and pilot eye height);
- (B) differences in controls (design, shape, location and function);
- (C) additional or altered function (flight controls) in normal or abnormal conditions;
- (D) handling qualities (including inertia) in normal and in abnormal configurations;
- (E) aircraft performance in specific manoeuvres;
- (F) aircraft status following failure;
- (G) management (e.g. ECAM, EICAS, navaid selection, automatic checklists).

(iv) Once the differences for ODR 1, ODR 2 and ODR 3 have been established, the consequences of differences evaluated in terms of flight characteristics (FLT CHAR) and change of procedures (PROC CHNG) should be entered into the appropriate columns.

(v) Difference Levels – crew training, checking and currency

- (A) The final stage of an operator's proposal to operate more than one type or variant is to establish crew training, checking and currency requirements. This may be established by applying the coded difference levels from Table 4 to the compliance method column of the ODR Tables.
- (B) Differences items identified in the ODR tables as impacting flight characteristics, or procedures, should be analysed in the corresponding ATA section of the ODR

manoeuvres. Normal, abnormal and emergency situations should be addressed accordingly.

(d) Difference Levels

(1) Difference levels — General

Difference levels are used to identify the extent of difference between a base and a candidate aircraft with reference to the elements described in the ODR tables. These levels are proportionate to the differences between a base and a candidate aircraft. A range of five difference levels in order of increasing requirements, identified as A through E, are each specified for training, checking, and currency.

Difference levels apply when a difference with the potential to affect flight safety exists between a base and a candidate aircraft. Differences may also affect the knowledge, skills, or abilities required from a pilot. If no differences exist, or if differences exist but do not affect flight safety, or if differences exist but do not affect knowledge, skills, or abilities, then difference levels are neither assigned nor applicable to pilot qualification. When difference levels apply, each level is based on a scale of differences related to design features, systems, or manoeuvres. In assessing the effects of differences, both flight characteristics and procedures are considered since flight characteristics address handling qualities and performance, while procedures include normal, non-normal and emergency items.

Levels for training, checking, and currency are assigned independently, but are linked depending on the differences between a base and candidate aircraft. Training at level E usually identifies that the candidate aircraft is a different type to the base aircraft.

(2) Difference levels are summarised in the table below regarding training, checking, and currency.

| DIFFERENCE LEVEL | TRAINING | CHECKING | CURRENCY |
|------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------|
| A | — Self-instruction | Not applicable or integrated with next proficiency check | Not applicable |
| B | — Aided instruction | Task or system check | Self-review |
| C | — System devices | Partial proficiency check using qualified device | Designated system |
| D | Manoeuvre Training Devices ¹ or aircraft to accomplish specific manoeuvres | Partial proficiency check using qualified device ¹ | Designated manoeuvre(s) ¹ |
| E | FSTDs ² or aircraft | Proficiency check using FSTDs ² or aircraft | As per regulation, using FSTDs ² or aircraft |

Footnote (1):

- *Aeroplane: FTD Level 2, or FFS, or aeroplane*
- *Helicopter: FTD Level 2 and 3, or FFS, or helicopter*

Footnote (2):

- *Aeroplane: FFS Level C or D, or aeroplane*
- *Helicopter: FSTD'S having dual qualification: FFS Level B and FTD Level 3, or FFS Level C or D, or helicopter*

Training Levels A and B require familiarisation training, levels C and D require differences training. Training Level E means that differences are such that type rating training is required.

(3) Difference level — Training

The training differences levels specified represent the minimum requirements. Devices associated with a higher difference level may be used to satisfy a training differences requirement.

(i) Level A training

Level A differences training is applicable to aircraft with differences that can adequately be addressed through self-instruction. Level A training represents a knowledge requirement such that once appropriate information is provided, understanding and compliance can be assumed to be demonstrated.

Training needs not covered by level A training may require level B training, or higher, depending on the outcome of the evaluations described in the aircraft evaluation process (CS FCD.420).

(ii) Level B training

Level B differences training is applicable to aircraft with system or procedure differences that can adequately be addressed through aided instruction.

At level B aided instruction it is appropriate to ensure pilot understanding, emphasise issues, provide a standardised method of presentation of material, or to aid retention of material following training.

(iii) Level C training

Level C differences training can only be accomplished through the use of devices capable of systems training.

Level C differences training is applicable to variants having 'part task' differences that affect skills or abilities as well as knowledge. Training objectives focus on mastering individual systems, procedures, or tasks, as opposed to performing highly integrated flight operations and manoeuvres in 'real time'. Level C may also require self-instruction or aided instruction of a pilot, but cannot be adequately addressed by a knowledge requirement alone. Training devices are required to supplement instruction to ensure attainment or retention of pilot skills and abilities to accomplish the more complex tasks, usually related to operation of particular aircraft systems.

The minimum acceptable training media for level C is interactive computer-based training, cockpit systems simulators, cockpit procedure trainers, part task trainers [such as Inertial Navigation System (INS), Flight Management System (FMS), or Traffic Collision Avoidance System (TCAS) trainers], or similar devices.

(iv) Level D training

Level D differences training can only be accomplished with devices capable of performing flight manoeuvres and addressing full task differences affecting knowledge, skills, or abilities.

Devices capable of flight manoeuvres address full task performance in a dynamic 'real time' environment and enable integration of knowledge, skills and abilities in

a simulated flight environment, involving combinations of operationally oriented tasks and realistic task loading for each relevant phase of flight. At level D, knowledge and skills to complete necessary normal, non-normal and emergency procedures are fully addressed for each variant.

Level D differences training requires mastery of interrelated skills that cannot be adequately addressed by separate acquisition of a series of knowledge areas or skills that are interrelated. However, the differences are not so significant, that a full type rating training course is required. If demonstration of interrelationships between the systems was important, the use of a series of separate devices for systems training would not suffice. Training for level D differences requires a training device that has accurate, high fidelity integration of systems and controls and realistic instrument indications. Level D training may also require manoeuvre visual cues, motion cues, dynamics, control loading or specific environmental conditions. Weather phenomena such as low visibility operations or wind shear may or may not be incorporated. Where simplified or generic characteristics of an aircraft type are used in devices to satisfy level D difference training, significant negative training cannot occur as a result of the simplification.

Appropriate devices as described in CS FCD.420(a), satisfying level D differences training range from those where relevant elements of aircraft flight manoeuvring, performance, and handling qualities are incorporated. The use of a Manoeuvre Training Device or aircraft is limited for the conduct of specific manoeuvres or handling differences, or for specific equipment or procedures.

(v) Level E training

Level E differences training is applicable to candidate aircraft having such a significant 'full task' differences that a full type rating training course or a type rating training course with credit for previous experience on similar aircraft types is required to meet the training objectives.

The training requires a 'high fidelity' environment to attain or maintain knowledge, skills, or abilities that can only be satisfied by the use of FSTDs or the aircraft itself as mentioned in CS FCD.415(a). Level E training, if done in an aircraft, should be modified for safety reasons where manoeuvres can result in a high degree of risk.

When level E differences training is assigned, suitable credit or constraints may be applied for knowledge, skills or abilities related to other pertinent aircraft types and specifies the relevant subjects, procedures or manoeuvres.

(4) Difference level — Checking

Differences checking addresses any pertinent pilot testing or checking. Initial and recurrent checking levels are the same unless otherwise specified.

It may be possible to satisfactorily accomplish recurrent checking objectives in devices not meeting initial checking requirements. In such instances the applicant may propose for revalidation checks the use of certain devices not meeting the initial check requirements.

(i) Level A checking

Level A differences checking indicates that no check related to differences is required at the time of differences training. However, a pilot is responsible for knowledge of each variant flown.

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- (ii) Level B checking

Level B differences checking indicates that a 'task' or 'systems' check is required following initial and recurring training.
 - (iii) Level C checking

Level C differences checking requires a partial check using a suitable qualified device. A partial check is conducted relative to particular manoeuvres or systems.
 - (iv) Level D checking

Level D differences checking indicates that a partial proficiency check is required following both initial and recurrent training. In conducting the partial proficiency check, manoeuvres common to each variant may be credited and need not be repeated. The partial proficiency check covers the specified particular manoeuvres, systems, or devices. Level D checking is performed using scenarios representing a 'real time' flight environment and uses qualified devices permitted for level D training or higher.
 - (v) Level E checking

Level E differences checking requires that a full proficiency check be conducted in FSTDs or in an aircraft as mentioned in CS FCD.415(a), following both initial and recurrent training. If appropriate, alternating Level E checking between relevant aircraft is possible and credit may be defined for procedures or manoeuvres based on commonality.

Assignment of level E checking requirements alone, or in conjunction with level E currency, does not necessarily result in assignment of a separate type rating.
- (5) Difference level — Currency
- Differences currency addresses any currency and re-currency levels. Initial and recurrent currency levels are the same unless otherwise specified.
- (i) Level A currency

Level A currency is common to each aircraft and does not require separate tracking. Maintenance of currency in any aircraft suffices for any other variant within the same type rating.
 - (ii) Level B currency

Level B currency is 'knowledge-related' currency, typically achieved through self-review by individual pilots.
 - (iii) Level C currency
 - (A) Level C currency is applicable to one or more designated systems or procedures, and relates to both skill and knowledge requirements. When level C currency applies, any pertinent lower level currency is also to be addressed.
 - (B) Re-establishing level C currency

When currency is lost, it may be re-established by completing required items using a device equal to or higher than that specified for level C training and checking.

(iv) Level D currency

(A) Level D currency is related to designated manoeuvres and addresses knowledge and skills required for performing aircraft control tasks in real time with integrated use of associated systems and procedures. Level D currency may also address certain differences in flight characteristics including performance of any required manoeuvres and related normal, non-normal and emergency procedures. When level D is necessary, any pertinent lower level currency is also to be addressed.

(B) Re-establishing level D currency

When currency is lost, currency may be re-established by completing pertinent manoeuvres using a device equal to or higher than that specified for level D differences training and checking.

(v) Level E currency

(A) Level E currency requires that recent experience requirements of Part-FCL and operational requirements be complied with in each aircraft separately. Level E currency may also specify other system, procedure, or manoeuvre currency item(s) necessary for safe operations, and requires procedures or manoeuvres to be accomplished in FSTDs or in an aircraft as mentioned in CS FCD.415(a). Provisions are applied in a way which addresses the required system or manoeuvre experience.

When level E is assigned between aircraft of common characteristics, credit may be permitted. Assignment of level E currency requirements does not automatically lead to a determination on same or separate type rating. Level E currency is tracked by a means that is acceptable to the CAA.

When CTLC is permitted, any credit or constraints applicable to using FSTDs, as mentioned in CS FCD.415(a), are also to be determined.

(B) Re-establishing level E currency

When currency is lost, currency may be re-established by completing pertinent manoeuvres using a device specified for level E differences training and checking.

(6) Competency regarding non-normal and emergency procedures — Currency

Competency for non-normal and emergency manoeuvres or procedures is generally addressed by checking requirements. Particular non-normal and emergency manoeuvres or procedures may not be considered mandatory for checking or training. In this situation it may be necessary to periodically practice or demonstrate those manoeuvres or procedures specifying currency requirements for those manoeuvres or procedures.

AMC1 ORO.FC.A.245 Alternative training and qualification programme

COMPONENTS AND IMPLEMENTATION

(a) Alternative training and qualification programme (ATQP) components

The ATQP should comprise the following:

- (1) Documentation that details the scope and requirements of the programme, including the following:
 - (i) The programme should demonstrate that the operator is able to improve the training and qualification standards of flight crew to a level that exceeds the standards prescribed in ORO.FC and Subpart E of Annex V (SPA.LVO).
 - (ii) The operator's training needs and established operational and training objectives.
 - (iii) A description of the process for designing and gaining approval for the operator's flight crew qualification programmes. This should include quantified operational and training objectives identified by the operator's internal monitoring programmes. External sources may also be used.
 - (iv) A description of how the programme will:
 - (A) enhance safety;
 - (B) improve training and qualification standards of flight crew;
 - (C) establish attainable training objectives;
 - (D) integrate CRM in all aspects of training;
 - (E) develop a support and feedback process to form a self-correcting training system;
 - (F) institute a system of progressive evaluations of all training to enable consistent and uniform monitoring of the training undertaken by flight crew;
 - (G) enable the operator to be able to respond to new aeroplane technologies and changes in the operational environment;
 - (H) foster the use of innovative training methods and technology for flight crew instruction and the evaluation of training systems; and
 - (I) make efficient use of training resources, specifically to match the use of training media to the training needs.
- (2) A task analysis to determine:
 - (i) knowledge;
 - (ii) required skills;
 - (iii) associated skill-based training; and
 - (iv) validated behavioural markers, where appropriate.

For each aeroplane type/class to be included within the ATQP the operator should establish a systematic review that determines and defines the various tasks to be undertaken by the flight crew when operating that type/class. Data from other

types/classes may also be used. The analysis should determine and describe the knowledge and skills required to complete the various tasks specific to the aeroplane type/class and/or type of operation. In addition, the analysis should identify the appropriate behavioural markers that should be exhibited. The task analysis should be suitably validated in accordance with (b)(3). The task analysis, in conjunction with the data gathering programme(s), permits the operator to establish a programme of targeted training together with the associated training objectives.

- (3) Curricula. The curriculum structure and content should be determined by task analysis, and should include proficiency objectives, including when and how these objectives should be met.
 - (i) The training programme should have the following structure:
 - (A) Curriculum, specifying the following elements:
 - (a) Entry requirements: a list of topics and content, describing what training level will be required before start or continuation of training.
 - (b) Topics: a description of what will be trained during the lesson.
 - (c) Targets/Objectives
 - (1) Specific target or set of targets that have to be reached and fulfilled before the training course can be continued.
 - (2) Each specified target should have an associated objective that is identifiable both by the flight crew and the trainers.
 - (3) Each qualification event that is required by the programme should specify the training that is required to be undertaken and the required standard to be achieved.
 - (B) Daily lesson plan
 - (a) Each lesson/course/training or qualification event should have the same basic structure. The topics related to the lesson should be listed and the lesson targets should be unambiguous.
 - (b) Each lesson/course or training event whether classroom, CBT or simulator should specify the required topics with the relevant targets to be achieved.
 - (ii) A specific training programme for:
 - (i) each aeroplane type/class within the ATQP;
 - (ii) instructors (class rating instructor rating/synthetic flight instructor authorisation/type rating instructor rating — CRI/SFI/TRI), and other personnel undertaking flight crew instruction; and
 - (iii) examiners (class rating examiner/synthetic flight examiner/type rating examiner — CRE/SFE/TRE).

This should include a method for the standardisation of instructors and examiners.

Personnel who perform training and checking of flight crew in an operator's ATQP should receive the following additional training on:

- (A) ATQP principles and goals;

- (B) knowledge/skills/behaviour as learnt from task analysis;
 - (C) line-oriented evaluation (LOE)/ LOFT scenarios to include triggers/markers/event sets/observable behaviour;
 - (D) qualification standards;
 - (E) harmonisation of assessment standards;
 - (F) behavioural markers and the systemic assessment of CRM;
 - (G) event sets and the corresponding desired knowledge/skills and behaviour of the flight crew;
 - (H) the processes that the operator has implemented to validate the training and qualification standards and the instructors part in the ATQP quality control; and
 - (I) line-oriented quality evaluation (LOQE).
- (5) A feedback loop for the purpose of curriculum validation and refinement, and to ascertain that the programme meets its proficiency objectives.
- (i) The feedback should be used as a tool to validate that the curricula are implemented as specified by the ATQP; this enables substantiation of the curriculum, and that proficiency and training objectives have been met. The feedback loop should include data from operations flight data monitoring, the advanced flight data monitoring (FDM) programme and LOE/LOQE programmes. In addition, the evaluation process should describe whether the overall targets/objectives of training are being achieved and should prescribe any corrective action that needs to be undertaken.
 - (ii) The programme's established quality control mechanisms should at least review the following:
 - (A) procedures for approval of recurrent training;
 - (B) ATQP instructor training approvals;
 - (C) approval of event set(s) for LOE/LOFT;
 - (D) procedures for conducting LOE and LOQE.
- (6) A method for the assessment of flight crew during conversion and recurrent training and checking. The assessment process should include event-based assessment as part of the LOE. The assessment method should comply with **ORO.FC.230**.
- (i) The qualification and checking programmes should include at least the following elements:
 - (A) a specified structure;
 - (B) elements to be tested/examined;
 - (C) targets and/or standards to be attained;
 - (D) the specified technical and procedural knowledge and skills, and behavioural markers to be exhibited.
 - (ii) An LOE event should comprise tasks and sub-tasks performed by the crew under a specified set of conditions. Each event has one or more specific training targets/objectives, which require the performance of a specific manoeuvre, the

application of procedures, or the opportunity to practise cognitive, communication or other complex skills. For each event the proficiency that is required to be achieved should be established. Each event should include a range of circumstances under which the crews' performance is to be measured and evaluated. The conditions pertaining to each event should also be established and they may include the prevailing meteorological conditions (ceiling, visibility, wind, turbulence, etc.), the operational environment (navigation aid inoperable, etc.), and the operational contingencies (non-normal operation, etc.).

- (iii) The markers specified under the operator's ATQP should form one of the core elements in determining the required qualification standard. A typical set of markers is shown in the table below:

| EVENT | MARKER |
|---------------------------------|-------------------------------------------------------------|
| Awareness of aeroplane systems: | 1. Monitors and reports changes in automation status |
| | 2. Applies closed loop principle in all relevant situations |
| | 3. Uses all channels for updates |
| | 4. Is aware of remaining technical resources |

- (iv) The topics/targets integrated into the curriculum should be measurable and progression on any training/course is only allowed if the targets are fulfilled.
- (7) A data monitoring/analysis programme consisting of the following:
- (i) A flight data monitoring (FDM) programme, as described in **AMC1 ORO.AOC.130**. Data collection should reach a minimum of 60 % of all relevant flights conducted by the operator before ATQP approval is granted. This proportion may be increased as determined by the CAA.
 - (ii) An advanced FDM when an extension to the ATQP is requested: an advanced FDM programme is determined by the level of integration with other safety initiatives implemented by the operator, such as the operator's safety management system. The programme should include both systematic evaluations of data from an FDM programme and flight crew training events for the relevant crews. Data collection should reach a minimum of 80 % of all relevant flights and training conducted by the operator. This proportion may be varied as determined by the CAA.

The purpose of an FDM or advanced FDM programme for ATQP is to enable the operator to:

- (A) provide data to support the programme's implementation and justify any changes to the ATQP;
 - (B) establish operational and training objectives based upon an analysis of the operational environment; and
 - (C) monitor the effectiveness of flight crew training and qualification.
- (iii) Data gathering: the data analysis should be made available to the person responsible for ATQP within the organisation. The data gathered should:
- (A) include all fleets that are planned to be operated under the ATQP;
 - (B) include all crews trained and qualified under the ATQP;
 - (C) be established during the implementation phase of ATQP; and

- (D) continue throughout the life of the ATQP.
 - (iv) Data handling: the operator should establish a procedure to ensure the confidentiality of individual flight crew members, as described by **AMC1 ORO.AOC.130**.
 - (v) The operator that has a flight data monitoring programme prior to the proposed introduction of ATQP may use relevant data from other fleets not part of the proposed ATQP.
- (b) Implementation. The operator should develop an evaluation and implementation process, including the following stages:
- (1) A safety case that demonstrates equivalency of:
 - (i) the revised training and qualification standards compared to the standards of ORO.FC and/or Subpart E of Annex V (SPA.LVO) prior to the introduction of ATQP; and
 - (ii) any new training methods implemented as part of ATQP.
- The safety case should encompass each phase of implementation of the programme and be applicable over the lifetime of the programme that is to be overseen. The safety case should:
- demonstrate the required level of safety;
 - ensure the required safety is maintained throughout the lifetime of the programme; and
 - minimise risk during all phases of the programme's implementation and operation.
- The elements of a safety case include:
- planning: integrated and planned with the operation (ATQP) that is to be justified;
 - criteria;
 - safety-related documentation, including a safety checklist;
 - programme of implementation to include controls and validity checks; and
 - oversight, including review and audits.

Criteria for the establishment of a safety case. The safety case should:

- be able to demonstrate that the required or equivalent level of safety is maintained throughout all phases of the programme;
- be valid to the application and the proposed operation;
- be adequately safe and ensure the required regulatory safety standards or approved equivalent safety standards are achieved;
- be applicable over the entire lifetime of the programme;
- demonstrate completeness and credibility of the programme;
- be fully documented;

- ensure integrity of the operation and the maintenance of the operations and training infrastructure;
 - ensure robustness to system change;
 - address the impact of technological advance, obsolescence and change; and
 - address the impact of regulatory change.
- (2) A task analysis, as required by (a)(2), to establish the operator's programme of targeted training and the associated training objectives.
- (3) A period of operation whilst data is collected and analysed to validate the safety case and task analysis. During this period the operator should continue to operate in accordance with ORO.FC and/or Subpart E of Annex V (SPA.LVO), as applicable. The length of this period should be determined by the CAA.

GM1 ORO.FC.A.245 Alternative training and qualification programme

TERMINOLOGY

- (a) 'Line-oriented evaluation (LOE)' is an evaluation methodology used in the ATQP to evaluate trainee performance, and to validate trainee proficiency. LOEs consist of flight simulator scenarios that are developed by the operator in accordance with a methodology approved as part of the ATQP. The LOE should be realistic and include appropriate weather scenarios and, in addition, should fall within an acceptable range of difficulty. The LOE should include the use of validated event sets to provide the basis for event-based assessment.
- (b) 'Line-oriented quality evaluation (LOQE)' is one of the tools used to help evaluate the overall performance of an operation. LOQEs consist of line flights that are observed by appropriately qualified operator personnel to provide feedback to validate the ATQP. The LOQE should be designed to look at those elements of the operation that are unable to be monitored by FDM or Advanced FDM programmes.
- (c) 'Skill-based training' requires the identification of specific knowledge and skills. The required knowledge and skills are identified within an ATQP as part of a task analysis and are used to provide targeted training.
- (d) 'Event-based assessment' is the assessment of flight crew to provide assurance that the required knowledge and skills have been acquired. This is achieved within an LOE. Feedback to the flight crew is an integral part of event-based assessment.
- (e) Safety case means a documented body of evidence that provides a demonstrable and valid justification that the ATQP is adequately safe for the given type of operation.

GM2 ORO.FC.A.245 Alternative training and qualification programme

EVIDENCE-BASED RECURRENT TRAINING AND CHECKING OF FLIGHT CREW CONDUCTED IN FLIGHT SIMULATION TRAINING DEVICES (FSTDs)

It is possible to implement EBT in accordance with ICAO Doc 9995 in the framework of an approved alternative training and qualification programme (ATQP). **GM1 ORO.FC.230(a);(b);(f)** may be used to guide the operator towards EBT according to **ORO.FC.A.245** of Commission Regulation (EU) No 965/2012.

An operator holding approval for ATQP and wishing to implement EBT may use the guidance material in **GM1 ORO.FC.230(a);(b);(f)** for the conduct of the Licence Proficiency Check, or where the Licence Proficiency Check and Operator Proficiency Check are combined. For this purpose, the evaluation phase is equivalent to the line-oriented evaluation (LOE) described in **ORO.FC.A.245(d)**.

AMC1 ORO.FC.A.245(a) Alternative training and qualification programme

OPERATOR EXPERIENCE

The appropriate experience should be at least 2 years' continuous operation.

AMC1 ORO.FC.A.245(d)(e)(2) Alternative training and qualification programme

COMBINATION OF CHECKS

- (a) The line-orientated evaluation (LOE) may be undertaken with other ATQP training.
- (b) The line check may be combined with a line-oriented quality evaluation (LOQE).

SECTION 3 – ADDITIONAL REQUIREMENTS FOR COMMERCIAL SPECIALISED OPERATIONS AND CAT OPERATIONS REFERRED TO IN ORO.FC.005(B)(1) AND (2)

SUBPART CC: CABIN CREW

SECTION 1 – COMMON REQUIREMENTS

AMC1 ORO.CC.100 Number and composition of cabin crew

DETERMINATION OF THE NUMBER AND COMPOSITION OF CABIN CREW

- (a) When determining the minimum number of cabin crew required to operate aircraft engaged in CAT operations, factors to be taken into account should include:
 - (1) the number of doors/exits;
 - (2) the type(s) of doors/exits and the associated assisting evacuation means;
 - (3) the location of doors/exits in relation to cabin crew stations and the cabin layout;
 - (4) the location of cabin crew stations taking into account direct view requirements and cabin crew duties in an emergency evacuation including:
 - (i) opening floor level doors/exits and initiating stair or slide deployment;
 - (ii) assisting passengers to pass through doors/exits; and
 - (iii) directing passengers away from inoperative doors/exits, crowd control and passenger flow management;
 - (5) actions required to be performed by cabin crew in ditching, including the deployment of slide-rafts and the launching of life-rafts;
 - (6) additional actions required to be performed by cabin crew members when responsible for a pair of doors/exits; and
 - (7) the type and duration of the flight to be operated.
- (b) When scheduling cabin crew for a flight, the operator should establish procedures that take account of the experience of each cabin crew member. The procedures should specify that the required cabin crew includes some cabin crew members who have at least 3 months experience as an operating cabin crew member.

GM1 ORO.CC.100 Number and composition of cabin crew

MINIMUM NUMBER OF CABIN CREW

- (a) When determining the minimum required cabin crew for its specific aircraft cabin configuration, the operator should:
 - (1) request information regarding the minimum number of cabin crew established by the aircraft type certificate (TC) holder or other design organisation responsible for showing compliance with the evacuation requirements of the applicable Certification Specifications; and
 - (2) take into account the factors specified in **AMC1 ORO.CC.100**, as applicable.
- (b) The number of cabin crew referred to in **ORO.CC.100(b)(1)** means either:
 - (1) the number of cabin crew who actively participated in the aircraft cabin during the relevant emergency evacuation demonstration, or who were assumed to have taken part

in the relevant analysis, carried out by the aircraft TC holder when demonstrating the maximum passenger seating capacity (MPSC) of the aircraft type at the time of initial type certification; or

- (2) a lower number of cabin crew who actively participated in a subsequent emergency evacuation demonstration, or who were assumed to have taken part in the relevant analysis, and for which approval has been obtained for a cabin configuration other than the MPSC, either by the TC holder or by another design organisation. The operator should obtain a clear indication of that number which is specified in the related documentation.

AMC1 ORO.CC.100(d)(2) Number and composition of cabin crew

PROCEDURES FOR NON-COMMERCIAL OPERATIONS WITH NO OPERATING CABIN CREW ON BOARD AN AIRCRAFT WITH AN MOPSC OF MORE THAN 19 AND MAXIMUM 19 PASSENGERS

The operator should assess the risk of operating a flight with no cabin crew member and ensure that the following procedures mitigate the risks and provide appropriate level of protection of the aircraft occupants:

- (a) Flight crew members assigned to these flights should receive training on operations where no cabin crew is required in accordance with **ORO.FC.220** and **ORO.FC.230**.
- (b) The operator should consider the categories of passengers to be carried on such flights, who may be knowledgeable or not about the aircraft type and procedures in normal, abnormal and emergency situations.
- (c) The procedures should cover at least the following elements, if applicable:
 - (1) communication and coordination between flight crew members and passengers;
 - (2) flight crew member incapacitation;
 - (3) cabin surveillance;
 - (4) rapid egress from the aircraft in case of rapid disembarkation or evacuation;
 - (5) operation and use of emergency exits and assisting evacuation means;
 - (6) location and use of oxygen;
 - (7) location and use of life jackets;
 - (8) passenger seating in order to maintain:
 - (i) an easy access to emergency exits;
 - (ii) timely communication with flight crew member(s); and
 - (iii) the required mass and balance of the aircraft;
 - (9) passenger briefing in accordance with Annex IV (Part-CAT), including information on the location and use of equipment not displayed in the operator's safety briefing material, such as a fire extinguisher, first-aid equipment (e.g. first-aid kit, defibrillator), smoke hood, etc.; and
 - (10) any additional safety instructions that are deemed necessary to ensure passenger protection.

GM1 ORO.CC.100(d)(2) Number and composition of cabin crew

CATEGORIES OF PASSENGERS

- (a) The operator should adapt the procedures for non-commercial operations with an aircraft with an MOPSC of more than 19 and maximum 19 passengers and no operating cabin crew on board to the categories of passengers to be carried on such flight. This includes but is not limited to the following groups:
 - (1) Passengers who are already familiar with the aircraft environment, the procedures in normal operations, abnormal and emergency situations or trained on the aircraft type, e.g. non-operating aircrew members, maintenance personnel, etc.
 - (2) Passengers who are not familiar with the aircraft environment or procedures in normal operations, abnormal and emergency situations, e.g. operator's guests, employees, etc.
 - (3) Passengers who travel frequently on such flights. The operator may consider providing these passengers with training covering all safety and emergency procedures for the given aircraft type as described in **AMC1.1 CAT.OP.MPA.170**. The operator should be able to show evidence of their training. These passengers may also be provided with an extended briefing to facilitate communication with flight crew and coordination of all passengers in case of an abnormal or emergency situation.
 - (4) Special categories of passengers (see **CAT.OP.MPA.155**).
- (b) The operator may include in its procedures a ratio of the categories of passengers described in (a) above that can travel on the same flight.

GM1 ORO.CC.115 Conduct of training courses and associated checking

EQUIPMENT AND PROCEDURES

The following definitions apply for the purpose of training programmes, syllabi and the conduct of training and checking on equipment and procedures:

- (a) 'Safety equipment' means equipment installed/carried to be used during day-to-day normal operations for the safe conduct of the flight and protection of occupants (e.g. seat belts, child restraint devices, safety card, safety demonstration kit).
- (b) 'Emergency equipment' means equipment installed/carried to be used in case of abnormal and emergency situations that demand immediate action for the safe conduct of the flight and protection of occupants, including life preservation (e.g. drop-out oxygen, crash axe, fire extinguisher, protective breathing equipment, manual release tool, slide-raft).
- (c) 'Normal procedures' means all procedures established by the operator in the operations manual for day-to-day normal operations (e.g. pre-flight briefing of cabin crew, pre-flight checks, passenger briefing, securing of galleys and cabin, cabin surveillance during flight).
- (d) 'Emergency procedures' means all procedures established by the operator in the operations manual for abnormal and emergency situations. For this purpose, 'abnormal' refers to a situation that is not typical or usual, deviates from normal operation and may result in an emergency.

AMC1 ORO.CC.115(c) Conduct of training courses and associated checking

TRAINING METHODS AND TRAINING DEVICES

- (a) The operator should establish training methods that take into account the following:
 - (1) training should include the use of cabin training devices, audio-visual presentations, computer-based training and other types of training, as most appropriate to the training element; and
 - (2) a reasonable balance between the different training methods should be ensured so that the cabin crew member achieves the level of proficiency necessary for a safe performance of all related cabin crew duties and responsibilities.
- (b) When assessing the representative training devices to be used, the operator should:
 - (1) take into account that a representative training device may be used to train cabin crew as an alternative to the use of the actual aircraft or required equipment;
 - (2) ensure that those items relevant to the training and checking intended to be given accurately represent the aircraft or equipment in the following particulars:
 - (i) layout of the cabin in relation to doors/exits, galley areas and safety and emergency equipment stowage as relevant;
 - (ii) type and location of passenger seats and cabin crew stations;
 - (iii) doors/exits in all modes of operation, particularly in relation to the method of operation, mass and balance and operating forces, including failure of power-assist systems where fitted; and
 - (iv) safety and emergency equipment of the type provided in the aircraft (such equipment may be 'training use only' items and, for oxygen and protective breathing equipment, units charged with or without oxygen may be used); and
 - (3) assess the following factors when determining whether a door/exit can be considered to be a variant of another type:
 - (i) door/exit arming/disarming;
 - (ii) direction of movement of the operating handle;
 - (iii) direction of door/exit opening;
 - (iv) power-assist mechanisms; and
 - (v) assisting evacuation means such as slides and ropes.

AMC1 ORO.CC.115(d) Conduct of training courses and associated checking

CHECKING

- (a) Checking required for each training course should be accomplished by the method appropriate to the training element to be checked. These methods include:
 - (1) practical demonstration;
 - (2) computer-based assessment;

- (3) in-flight checks;
- (4) oral or written tests.
- (b) Training elements that require individual practical participation may be combined with practical checks.

AMC1 ORO.CC.115(e) Conduct of training courses and associated checking

RESOURCE MANAGEMENT (CRM) TRAINING – MULTI CABIN CREW OPERATIONS

- (a) General
 - (1) Training environment

CRM training should be conducted in the non-operational environment (classroom and computer-based) and in the operational environment (cabin training device and aircraft). Tools such as group discussions, team task analysis, team task simulation and feedback should be used.
 - (2) Classroom training

Whenever possible, classroom training should be conducted in a group session away from the pressures of the usual working environment, so that the opportunity is provided for cabin crew members to interact and communicate in an environment conducive to learning.
 - (3) Computer-based training

Computer-based training should not be conducted as a stand-alone training method, but may be conducted as a complementary training method.
 - (4) Cabin training devices and aircraft

Whenever practicable, relevant parts of CRM training should be conducted in representative cabin training devices that reproduce a realistic operational environment, or in the aircraft. During practical training, interaction should be encouraged.
 - (5) Integration into cabin crew training

CRM principles should be integrated into relevant parts of cabin crew training and operations, including checklists, briefings and emergency procedures.
 - (6) Combined CRM training for flight crew and cabin crew
 - (i) Operators should provide combined training for flight crew and cabin crew during recurrent CRM training.
 - (ii) The combined training should address at least:
 - (A) effective communication, coordination of tasks and functions of flight crew and cabin crew; and
 - (B) mixed multinational and cross-cultural flight crew and cabin crew, and their interaction, if applicable.
 - (iii) Combined CRM training should be conducted by flight crew CRM trainer or cabin crew CRM trainer.
 - (iv) There should be an effective liaison between flight crew and cabin crew training departments. Provision should be made for transfer of relevant knowledge and

skills between flight crew and cabin crew CRM trainers.

- (7) Management system

CRM training should address hazards and risks identified by the operator's management system described in **ORO.GEN.200**.
- (8) Competency-based CRM training

Whenever practicable, the compliance-based approach concerning CRM training may be substituted by a competency-based approach. In this context, CRM training should be characterised by a performance orientation, with emphasis on standards of performance and their measurement, and the development of training to the specified performance standards.
- (9) Contracted CRM training

If the operator chooses not to establish its own CRM training, another operator, a third party or a training organisation may be contracted to provide the training in accordance with **ORO.GEN.205**. In case of contracted CRM training, the operator should ensure that the content of the course covers the specific culture, the type of operations and the associated procedures of the operator. When crew members from different operators attend the same course, the CRM training should be specific to the relevant flight operations and to the trainees concerned.
- (b) Operator's CRM training

The operator's CRM training should cover all elements listed in Table 1 of (g). Several training elements are specified as 'not required' for the operator's CRM training, since they are covered under the introductory CRM course for cabin crew as required in Annex V (Part-CC) to Commission Regulation (EU) No 1178/2011.
- (c) Operator aircraft type conversion CRM training

If the cabin crew member undertakes the operator's conversion training on an aircraft type, the applicable CRM training elements should be covered as specified in Table 1 of (g).
- (d) Annual recurrent CRM training
 - (1) Annual recurrent CRM training should be provided in such a way that all CRM training elements specified for the annual recurrent training in Table 1 of (g) are covered over a period not exceeding 3 years.
 - (2) Operators should update their recurrent CRM training programme over a period not exceeding 3 years. The revision of the programme should take into account information from the operator's management system.
- (e) Senior cabin crew member course
 - (1) CRM training for senior cabin crew members should be the application of knowledge gained in previous CRM training and operational experience relevant to the specific duties and responsibilities of a senior cabin crew member. The operator should ensure that for the senior cabin crew member course the CRM training elements are integrated into the training, as specified in Table 1 of (g).
 - (2) During the training the senior cabin crew member should demonstrate the ability:
 - (i) to manage the operation; and
 - (ii) to take appropriate leadership and management decisions.
- (f) Training elements

The CRM training elements to be covered are specified in Table 1 of (g). The operator should ensure that the following aspects are addressed:

(1) Resilience development

CRM training should address the main aspects of resilience development. The training should cover:

(i) Mental flexibility

Cabin crew should be trained to:

- (A) understand that mental flexibility is necessary to recognise critical changes;
- (B) reflect on their judgement and adjust it to the unique situation;
- (C) avoid fixed prejudices and over-reliance on standard solutions; and
- (D) remain open to changing assumptions and perceptions.

(ii) Performance adaptation

Cabin crew should be trained to:

- (A) mitigate frozen behaviours, overreactions and inappropriate hesitation; and
- (B) adjust actions to current conditions.

(2) Surprise and startle effect

CRM training should address unexpected, unusual and stressful situations including interruptions and distractions. Therefore, CRM training should be designed to prepare cabin crew to master sudden events and associated uncontrolled reactions.

(3) Cultural differences

CRM training should cover cultural differences of multinational and cross-cultural crews. This includes recognising that:

- (i) different cultures may have different communication specifics, ways of understanding and approaches to the same situation or problem;
- (ii) difficulties may arise when crew members with different mother tongue communicate in a common language which is not their mother tongue; and
- (iii) cultural differences may lead to different methods for identifying a situation and solving a problem.

(4) Operator's safety culture and company culture

CRM training should cover the operator's safety culture, its company culture, the type of operations and the associated procedures of the operator. This should include areas of operations that may lead to particular difficulties or involve unusual hazards.

(5) Case studies

(i) CRM training should cover aircraft type-specific case studies, based on the information available within the operator's management system, including:

- (A) accident and serious incident reviews to analyse and identify any associated non-technical causal and contributory factors, and instances or examples of lack of CRM; and
- (B) analysis of occurrences that were well managed.

(ii) If relevant aircraft type-specific or operator-specific case studies are not available,

the operator should consider other case studies relevant to the scale and scope of its operations.

(g) CRM training syllabus

Table 1 below specifies which CRM training elements should be covered in each type of training. The levels of training in Table 1 can be described as follows:

- (1) 'Required' means training that should be instructional or interactive in style to meet the objectives specified in the CRM training programme or to refresh and strengthen knowledge gained in a previous training.
- (2) 'In-depth' means training that should be instructive or interactive in style taking full advantage of group discussions, team task analysis, team task simulation, etc., for the acquisition or consolidation of knowledge, skills and attitudes. The CRM training elements should be tailored to the specific needs of the training phase being undertaken.

Table 1 — Cabin crew CRM training

| CRM training elements | Operator's CRM training | Operator aircraft type conversion training | Annual recurrent training | Senior cabin crew member (SCC) course |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------|----------------------------|---------------------------------------|
| General principles | | | | |
| Human factors in aviation; General instructions on CRM principles and objectives; Human performance and limitations; Threat and error management. | Not required (covered under initial training required by Part-CC) | Required | Required | Required |
| Relevant to the individual cabin crew member | | | | |
| Personality awareness, human error and reliability, attitudes and behaviours, self-assessment and self-critique; Stress and stress management; Fatigue and vigilance; Assertiveness, situation awareness, information acquisition and processing. | Not required (covered under initial training required by Part-CC) | Required | Required (3-year cycle) | Required |
| Relevant to the entire aircraft crew | | | | |
| Shared situation awareness, shared information acquisition and processing; Workload management; Effective communication and coordination between all crew members including the flight crew as well as inexperienced cabin crew members; Leadership, cooperation, synergy, delegation, decision-making, actions; Resilience development; Surprise and startle effect; Cultural differences; Identification and management of the passenger human factors: crowd control, passenger stress, conflict management, medical factors. | In-depth | Required when relevant to the type(s) | Required (3-year cycle) | In-depth |
| Specifics related to aircraft types (narrow-/wide-bodied, single-/multi-deck), flight crew and cabin crew composition and number of passengers | Required | In-depth | Required (3-year cycle) | In-depth |

| CRM training elements | Operator's CRM training | Operator aircraft type conversion training | Annual recurrent training | Senior cabin crew member (SCC) course |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|--------------------------------------------|---------------------------|---------------------------------------|
| Relevant to the operator and the organisation | | | | |
| Operator's safety culture and company culture, standard operating procedures (SOPs), organisational factors, factors linked to the type of operations; Effective communication and coordination with other operational personnel and ground services; Participation in cabin safety incident and accident reporting. | In-depth | Required when relevant to the type(s) | Required (3-year cycle) | In-depth |
| Case- studies | In-depth | Required when relevant to the type(s) | In-depth | In-depth |

AMC2 ORO.CC.115(e) Conduct of training courses and associated checking

CREW RESOURCE MANAGEMENT (CRM) TRAINING — SINGLE CABIN CREW OPERATIONS

For single cabin crew operations, **AMC1 ORO.CC.115(e)** should be applied with the following differences:

(a) Relevant training elements

CRM training should focus on the elements specified in Table 1 of (g) of **AMC1 ORO.CC.115(e)** which are relevant to single cabin crew operations. Therefore, single cabin crew CRM training should include, among others:

- (1) situation awareness;
- (2) workload management;
- (3) decision-making;
- (4) resilience development;
- (5) surprise and startle effect; and
- (6) effective communication and coordination with
 - (i) the flight crew; and
 - (ii) other operational personnel and ground services.

(b) Computer-based training

Notwithstanding (a)(3) of **AMC1 ORO.CC.115(e)**, computer-based training may be conducted as a stand-alone training method for a cabin crew member operating on aircraft with a maximum operational passenger seating configuration of 19 or less.

AMC3 ORO.CC.115(e) Conduct of training courses and associated checking

CABIN CREW CRM TRAINER

(a) Applicability

The provisions described herein:

- (1) should be fulfilled by cabin crew CRM trainers responsible for classroom CRM training; and
- (2) are not applicable to trainers or instructors conducting training other than CRM training, but integrating CRM elements into this training. Nevertheless, trainers or instructors who are integrating CRM elements into the aircraft type training, recurrent training or senior cabin crew member training should have acquired relevant knowledge of human performance and limitations, and have completed appropriate CRM training.

(b) Qualification of cabin crew CRM trainer

- (1) A training and standardisation programme for cabin crew CRM trainers should be established.
- (2) The cabin crew CRM trainer, in order to be suitably qualified, should:
 - (i) have adequate knowledge of the relevant flight operations;
 - (ii) have received instructions on human performance and limitations (HPL);
 - (iii) have completed an introductory CRM course, as required in Annex V (Part-CC) to Commission Regulation (EU) No 1178/2011, and an operator's CRM training, as specified in **AMC1 ORO.CC.115(e)**;
 - (iv) have received training in group facilitation skills;
 - (v) have received additional training in the fields of group management, group dynamics and personal awareness; and
 - (vi) have demonstrated the knowledge, skills and credibility required to train the CRM training elements in the non-operational environment, as specified in Table 1 of **AMC1 ORO.CC.115(e)**.
- (3) An experienced CRM trainer may become a cabin crew CRM trainer if he/she demonstrates a satisfactory knowledge of the relevant flight operations and the cabin crew working environment, and fulfils the provisions specified in (2)(ii) to (2)(vi).

(c) Training of cabin crew CRM trainer

- (1) Training of cabin crew CRM trainers should be both theoretical and practical. Practical elements should include the development of specific trainer skills, particularly the integration of CRM into day-to-day operations.
- (2) The basic training of cabin crew CRM trainers should include the training elements for cabin crew, as specified in Table 1 of **AMC1 ORO.CC.115(e)**. In addition, the basic training should include the following:
 - (i) introduction to CRM training;
 - (ii) operator's management system; and
 - (iii) characteristics, as applicable:

- (A) of the different types of CRM trainings (initial, recurrent, etc.);
 - (B) of combined training; and
 - (C) related to the type of aircraft or operation.
- (3) The refresher training of cabin crew CRM trainers should include new methodologies, procedures and lessons learned.
- (4) The training of cabin crew CRM trainers should be conducted by cabin crew CRM trainers with a minimum of 3 years' experience. Assistance may be provided by experts in order to address specific areas.
- (d) Assessment of cabin crew CRM trainer
 - (1) A cabin crew CRM trainer should be assessed by the operator when conducting the first CRM training course. This first assessment should be valid for a period of 3 years.
 - (2) Assessment is the process of observing, recording, interpreting and debriefing the cabin crew CRM trainer. The operator should describe the assessment process in the operations manual. All personnel involved in the assessment must be credible and competent in their role.
- (e) Recency and renewal of qualification as cabin crew CRM trainer
 - (1) For recency of the 3-year validity period, the cabin crew CRM trainer should:
 - (i) conduct at least 2 CRM training events in any 12-month period;
 - (ii) be assessed within the last 12 months of the 3-year validity period by the operator; and
 - (iii) complete CRM trainer refresher training within the 3-year validity period.
 - (2) The next 3-year validity period should start at the end of the previous period.
 - (3) For renewal, i.e. when a cabin crew CRM trainer does not fulfil the provisions of (1), he/she should, before resuming as cabin crew CRM trainer:
 - (i) comply with the qualification provisions of (b) and (d); and
 - (ii) complete CRM trainer refresher training.

GM1 ORO.CC.115(e) Conduct of training courses and associated checking

CRM – GENERAL

- (a) CRM is the effective utilisation of all available resources (e.g. crew members, aircraft systems, and supporting facilities) to achieve safe and efficient operation.
- (b) The objective of CRM is to enhance the communication and management skills of the crew member, as well as the importance of effective coordination and two-way communication between all crew members.

GM2 ORO.CC.115(e) Crew resource management (CRM) training

MINIMUM TRAINING TIMES

- (a) The following minimum training times are appropriate:
 - (1) multi cabin crew operations:

- (i) combined CRM training: 6 training hours over a period of 3 years; and
- (ii) operator's CRM training: 6 training hours;
- (2) operator's CRM training for single cabin crew operations: 4 training hours for a cabin crew member operating on aircraft with a maximum operational passenger seating configuration of 19 or less;
- (3) cabin crew CRM trainer:
 - (i) basic training:
 - (A) 18 training hours when the operator can justify that the trainee already has received sufficient and suitable instruction on training skills in order to conduct CRM training courses; or
 - (B) 30 training hours for trainees not fulfilling (A); and
 - (ii) refresher training: 6 training hours.
- (b) 'Training hours' means actual training time excluding breaks.

GM3 ORO.CC.115(e) Crew resource management (CRM) training

DESIGN, IMPLEMENTATION AND EVALUATION OF CRM TRAINING

The checklist in Table 1 provides guidance on the design, implementation and evaluation of CRM training, and on their incorporation into the operator's safety culture. Elements of the operator's management systems and the competency-based approach are incorporated in the checklist.

Table 1 — Checklist for design, implementation, evaluation and incorporation of CRM training

| Step No | Description | Element |
|---------|----------------|----------------------------------------------------------------------|
| 1 | Needs analysis | Determine the necessary CRM competencies |
| | | Develop CRM training goals |
| | | Ensure the organisation is ready for CRM training |
| 2 | Design | Develop CRM training objectives |
| | | Determine what to measure and how to measure it |
| 3 | Development | Describe the CRM learning environment |
| | | Develop full-scale prototype of training |
| | | Validate and modify CRM training |
| 4 | Implementation | Prepare trainees and environment |
| | | Set a climate for learning (e.g. practice and feedback) |
| | | Implement the CRM training programme |
| 5 | Evaluation | Determine training effectiveness |
| | | Evaluate CRM training at multiple levels |
| | | Revise the CRM training programme to improve effectiveness |
| 6 | Incorporation | Establish an environment where CRM training is positively recognised |
| | | Reinforce CRM behaviours in daily work |
| | | Provide recurrent CRM training |

GM4 ORO.CC.115(e) Crew resource management (CRM) training

RESILIENCE DEVELOPMENT

- (a) The main aspects of resilience development can be described as the ability to:

- (1) learn ('knowing what has happened');
 - (2) monitor ('knowing what to look for');
 - (3) anticipate ('finding out and knowing what to expect'); and
 - (4) respond ('knowing what to do and being capable of doing it').
- (b) Operational safety is a continuous process of evaluation of and adjustment to existing and future conditions. In this context, and following the description in (a), resilience development involves an ongoing and adaptable process including situation assessment, self-review, decision and action. Training on resilience development enables crew members to draw the right conclusions from both positive and negative experiences. Based on those experiences, crew members are better prepared to maintain or create safety margins by adapting to dynamic complex situations.
- (c) The training topics in (f)(1) of **AMC1 ORO.CC.115(e)** are to be understood as follows:
- (1) Mental flexibility
 - (i) The phrase 'understand that mental flexibility is necessary to recognise critical changes' means that crew members are prepared to respond to situations for which there is no set procedure.
 - (ii) The phrase 'reflect on their judgement and adjust it to the unique situation' means that crew members learn to review their judgement based on the unique characteristics of the given circumstances.
 - (iii) The phrase 'avoid fixed prejudices and over-reliance on standard solutions' means that crew members learn to update solutions and standard response sets, which have been formed on prior knowledge.
 - (iv) The phrase 'remain open to changing assumptions and perceptions' means that crew members constantly monitor the situation, and are prepared to adjust their understanding of the evolving conditions.
 - (2) Performance adaptation
 - (i) The phrase 'mitigate frozen behaviours, overreactions and inappropriate hesitation' means that crew members correct improper actions with a balanced response.
 - (ii) The phrase 'adjust actions to current conditions' means that crew members' responses are in accordance with the actual situation.

GM5 ORO.CC.115(e) Conduct of training courses and associated checking

CABIN CREW CRM TRAINER ASSESSMENT

- (a) For assessing cabin crew CRM trainers, the operator may nominate experienced cabin crew CRM trainers who have demonstrated continued compliance with the provisions for a cabin crew CRM trainer and capability in that role for at least 3 years.
- (b) An operator that does not have the resources to conduct the assessment may employ a contractor. The standard as regards the assessment is confirmed on a 3-year basis by the operator.
- (c) The checklist in Table 1 provides guidance on the assessment of a cabin crew CRM trainer. If a cabin crew CRM trainer is competent in his/her role, the response to the questions in Table 1

should be 'yes'. When answering the questions in Table 1, justifications and examples related to the responses given should be provided.

Table 1 — Cabin crew CRM trainer assessment checklist

| Questions to assess a cabin crew CRM trainer | Response yes/no |
|------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Did the CRM trainer demonstrate the knowledge required for the role? | |
| Did the CRM trainer support CRM concepts? | |
| Did the CRM trainer encourage trainees to participate, share their experiences and self-analyse? | |
| Did the CRM trainer identify and respond to the trainees' needs relative to expertise/experience? | |
| Did the CRM trainer show how CRM is integrated in technical training? | |
| Did the CRM trainer incorporate company CRM standards when appropriate? | |
| Did the CRM trainer identify and discuss the non-technical reasons involved in accidents, incidents and events included in case studies? | |
| Did the CRM trainer regularly check for understanding and resolve ambiguities? | |
| Did the CRM trainer demonstrate effective instruction and facilitation skills? | |

AMC1 ORO.CC.120(a)(1) Initial training course

NEW ENTRANTS IN OPERATIONS OTHER THAN CAT OPERATIONS

- (a) When a new entrant to an operator conducting operations other than CAT is a cabin crew member, not holding a valid cabin crew attestation, who has already acquired experience as cabin crew in operations other than CAT, credit may be granted to the elements of the initial training programme he/she has previously completed if such training elements are documented in his/her training records.
- (b) In such a case, the operator should ensure that:
 - (1) the full training programme, as specified in Appendix 1 to Part-CC, has been covered, and
 - (2) the new entrant successfully undergoes the examination required by **ORO.CC.120(a)(2)**.

AMC1 ORO.CC.125(c) Aircraft type specific training and operator conversion training

TRAINING PROGRAMME — AIRCRAFT TYPE SPECIFIC TRAINING

The following aircraft type specific training elements should be covered as relevant to the aircraft type:

- (a) Aircraft description
 - (1) type of aircraft, principal dimensions, narrow or wide bodied, single or double deck;
 - (2) speed, altitude, range;
 - (3) passenger seating capacity;
 - (4) flight crew number and minimum number of required cabin crew;
 - (5) cabin doors/exits location and sill height;
 - (6) cargo and unpressurised areas as relevant;
 - (7) aircraft systems relevant to cabin crew duties;

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- (8) flight crew compartment — general presentation, pilot seats and their mechanism, emergency exits, storage;
 - (9) required cabin crew stations;
 - (10) flight crew compartment security — general: door components and use;
 - (11) access to avionics bay where relevant;
 - (12) lavatories — general: doors, systems, calls and signs; and
 - (13) least risk bomb location.
- (b) Safety and emergency equipment and aircraft systems installed
- Each cabin crew member should receive realistic training on, and demonstration of, the location and use of all aircraft type specific safety and emergency equipment and aircraft systems installed, with emphasis on the following:
- (1) slides, and where non-self-supporting slides are carried, the use of any associated assisting evacuation means;
 - (2) life-rafts and slide-rafts, including the equipment attached to, and/or carried in, the raft;
 - (3) drop-out oxygen system; and
 - (4) communication equipment.
- (c) Operation of doors and exits
- This training should be conducted in a representative training device or in the actual aircraft and should include failure of power assist systems where fitted and the action and forces required to operate and deploy evacuation slides. Training should also include operation and actual opening of the flight crew compartment security door when installed.
- (d) Fire and smoke protection equipment
- Each cabin crew member should be trained in using fire and/or smoke protection equipment where fitted.
- (e) Evacuation slide training
- (1) Each cabin crew member should descend an evacuation slide from a height representative of the aircraft main deck sill height.
 - (2) The slide should be fitted to a representative training device or to the actual aircraft.
 - (3) A further descent should be made when the cabin crew member qualifies on an aircraft type in which the main deck exit sill height differs significantly from any aircraft type previously operated.
- (f) Operation of equipment related to pilot incapacitation
- The training should cover any type specific elements or conditions relevant to cabin crew actions to be taken in case of pilot incapacitation. Each cabin crew member should be trained to operate all equipment that must be used in case of pilot incapacitation.

AMC1 ORO.CC.125(d) Aircraft type-specific training and operator conversion training

TRAINING PROGRAMME — OPERATOR CONVERSION TRAINING

The following training elements should be covered as relevant to the aircraft type and the related operator's specifics:

(a) Description of the cabin configuration

The description should cover all elements specific to the operator's cabin configuration and any differences with those previously covered in accordance with **AMC1 ORO.CC.125(c)**, including:

- (1) required and additional cabin crew stations — location (including direct view), restraint systems, control panels;
- (2) passenger seats — general presentation and associated operator's specific features and equipment;
- (3) designated stowage areas;
- (4) lavatories — operator's specific features, equipment and systems additional to the aircraft type specific elements;
- (5) galley — location, appliances, water and waste system, including shut-off, sinks, drains, stowage, control panels, calls and signs;
and where applicable
- (6) crew rest areas — location, systems, controls, safety and emergency equipment;
- (7) cabin dividers, curtains, partitions;
- (8) lift location, use, controls;
- (9) stowage for the containment of waste;
- (10) passenger hand rail system or alternative means; and
- (11) in-flight entertainment (IFE) system, if installed (e.g. central system or hand-held device(s) such as PEDs for the use by passenger(s) as applicable) and its safety aspects.

(b) Safety and emergency equipment

Each cabin crew member should receive realistic training on and demonstration of the location and use of all safety and emergency equipment carried, including:

- (1) life jackets, infant life jackets and flotation devices;
- (2) first-aid and drop-out oxygen, including supplementary systems;
- (3) fire extinguishers and protective breathing equipment (PBE);
- (4) crash axe or crowbar;
- (5) emergency lights including torches;
- (6) communication equipment, including megaphones;
- (7) slide rafts and life rafts' survival packs and their contents;
- (8) pyrotechnics (actual or representative devices);
- (9) first-aid kits, emergency medical kits and their contents; and

(10) other portable safety and emergency equipment, where applicable.

(c) Normal and emergency procedures

Each cabin crew member should be trained on the operator's normal and emergency procedures as applicable, with emphasis on the following:

- (1) passenger briefing, safety demonstration and cabin surveillance;
- (2) severe air turbulence;
- (3) non-pressurisation, slow and sudden decompression, including the donning of portable oxygen equipment by each cabin crew member;
- (4) other in-flight emergencies; and
- (5) carriage of special categories of passengers (SCPs).

(d) Passenger handling and crowd control

Training should be provided on the practical aspects of passenger preparation and handling, as well as crowd control, in various emergency situations as applicable to the operator's specific aircraft cabin configuration, and should cover the following:

- (1) communications between flight crew and cabin crew and use of all communications equipment, including the difficulties of coordination in a smoke-filled environment;
- (2) verbal commands;
- (3) the physical contact that may be needed to encourage people out of a door/exit and onto a slide;
- (4) redirection of passengers away from unusable doors/exits;
- (5) marshalling of passengers away from the aircraft;
- (6) evacuation of special categories of passengers with emphasis on passengers with disabilities or reduced mobility; and
- (7) authority and leadership.

(e) Fire and smoke training

- (1) Each cabin crew member should receive realistic and practical training in the use of all fire-fighting equipment, including protective clothing representative of that carried in the aircraft.
- (2) Each cabin crew member should:
 - (i) extinguish an actual fire characteristic of an aircraft interior fire except that, in the case of halon extinguishers, an alternative extinguishing agent may be used; and
 - (ii) exercise the donning and use of PBE in an enclosed simulated smoke-filled environment with particular emphasis on identifying the actual source of fire and smoke.

(f) Evacuation procedures

Training should include all the operator's procedures that are applicable to planned or unplanned evacuations on land and water. It should also include, where relevant, the additional actions required from cabin crew members responsible for a pair of doors/exits and the recognition of when doors/exits are unusable or when evacuation equipment is unserviceable.

(g) Pilot incapacitation procedures

Unless the minimum flight crew is more than two, each cabin crew member should be trained

in the procedure for pilot incapacitation. Training in the use of flight crew checklists, where required by the operator's standard operating procedures (SOPs), should be conducted by a practical demonstration.

(h) CRM

- (1) The operator should ensure that all applicable CRM training elements, as specified in Table 1 of **AMC1 ORO.CC.115(e)**, are covered to the level required in the column 'Operator aircraft type conversion training'.
- (2) The operator's CRM training and the CRM training covered during the operator aircraft type conversion training should be conducted by at least one cabin crew CRM instructor.

AMC1 ORO.CC.125 & ORO.CC.130 Aircraft type specific training and operator conversion training & differences training

TRAINING PROGRAMMES

The programmes and syllabi of aircraft type specific training, operator conversion training and differences training should take into account the cabin crew member's previous training as documented in his/her training records.

AMC1 ORO.CC.125(b) & ORO.CC.130(c) Aircraft type specific training and operator conversion training & differences training

NON-MANDATORY (RECOMMENDATIONS) ELEMENTS OF OPERATIONAL SUITABILITY DATA

When developing the training programmes and syllabi for aircraft-type specific training and for differences training, the operator should consider the non-mandatory (recommendations) elements for the relevant type that are provided in the operational suitability data established in accordance with Commission Regulation (EU) No 748/2012¹.

AMC1 ORO.CC.125 & ORO.CC.130 Aircraft type specific training and operator conversion training & differences training

TRAINING PROGRAMMES

The programmes and syllabi of aircraft type specific training, operator conversion training and differences training should take into account the cabin crew member's previous training as documented in his/her training records.

AMC1 ORO.CC.125(b) & ORO.CC.130(c) Aircraft type specific training and operator conversion training & differences training

NON-MANDATORY (RECOMMENDATIONS) ELEMENTS OF OPERATIONAL SUITABILITY DATA

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AMC1 ORO.CC.135 Familiarisation

FAMILIARISATION FLIGHTS AND AIRCRAFT FAMILIARISATION VISITS

- (a) For CAT operations, familiarisation of cabin crew to a new aircraft type or variant should be completed in accordance with the following, as relevant:
- (1) New entrant cabin crew
Each new entrant cabin crew member having no previous comparable operating experience should participate in:
 - (i) a familiarisation visit, as described in (c), to the aircraft to be operated; and
 - (ii) familiarisation flights, as described in (b).
 - (2) Cabin crew operating on a subsequent aircraft type
A cabin crew member assigned to operate on a subsequent aircraft type with the same operator should participate either in:
 - (i) a familiarisation flight, as described in (b); or
 - (ii) a familiarisation visit, as described in (c), to the aircraft type to be operated.
- (b) Familiarisation flights
- (1) During familiarisation flights, the cabin crew member should be assigned in addition to the minimum number of cabin crew required in accordance with **ORO.CC.100** and if applicable **ORO.CC.200**.
 - (2) Familiarisation flights should be:
 - (i) conducted under the supervision of the senior cabin crew member;
 - (ii) structured and conducted with the cabin crew member participating in pre-flight, in-flight and post-flight safety duties;
 - (iii) operated with the cabin crew member wearing the operator's cabin crew uniform; and
 - (iv) recorded in the training record of the cabin crew member.
- (c) Aircraft familiarisation visits
- (1) Aircraft visits should enable the cabin crew member to become familiar with the aircraft environment and its equipment. Accordingly, aircraft visits should be conducted by appropriately qualified persons. The aircraft visit should provide an overview of the aircraft's exterior, interior and aircraft systems with emphasis on the following:
 - (i) interphone and public address systems;
 - (ii) evacuation alarm systems;
 - (iii) emergency lighting;
 - (iv) smoke detection systems;
 - (v) safety and emergency equipment;
 - (vi) flight crew compartment;
 - (vii) cabin crew stations;
 - (viii) lavatories;

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- (ix) galleys, galley security and water shut-off;
 - (x) cargo areas if accessible from the passenger compartment during flight;
 - (xi) circuit breaker panels located in the passenger compartment;
 - (xii) crew rest areas;
 - (xiii) doors/exits location and environment; and
 - (xiv) IFE system used for conveying safety-related information.
- (2) An aircraft familiarisation visit may be combined with the aircraft type specific training or operator conversion training required by **ORO.CC.125**.
- (d) For cabin crew members assigned to operations other than CAT, familiarisation should be completed by means of an aircraft familiarisation visit, or a familiarisation flight, as appropriate taking into account the aircraft type to be operated by the cabin crew member.

AMC1 ORO.CC.140 Recurrent training

TRAINING PROGRAMMES

- (a) Elements of the annual recurrent training programme
- (1) Training on the location and handling of safety and emergency equipment should include all relevant oxygen systems, and any equipment such as defibrillators if carried on board.
 - (2) Training on emergency procedures should cover pilot incapacitation procedures and crowd control techniques.
 - (3) CRM training should satisfy the following:
 - (i) the applicable training elements specified in Table 1 of **AMC1 ORO.CC.115(e)** should be covered within a 3-yearcycle to the level required by column 'Annual Recurrent Training';
 - (ii) the definition and implementation of the CRM training programme should be managed by a cabin crew CRM trainer; and
 - (iii) when CRM training is provided by stand-alone modules, it should be conducted by at least one cabin crew CRM trainer.
- (b) Additional triennial elements of recurrent training programme
- (1) Training on the operation of normal and emergency doors/exits should cover failure of power assist systems where fitted. This should include the actions and forces required to operate and deploy evacuation slides, and additional training when relevant for cabin crew members responsible for a pair of doors/exits.
 - (2) Training in the use of all firefighting equipment, including protective clothing, representative of that carried in the aircraft should include individual practice by each cabin crew member to extinguish a fire characteristic of an aircraft interior fire except that, in the case of halon extinguishers, an alternative extinguishing agent may be used. Training should place particular emphasis on identifying the actual source of fire or smoke.
 - (3) Training on normal and emergency procedures for special categories of passengers (SCPs) should cover the specific procedures established by the operator for the carriage of SCPs. The operator may determine that such training is to be completed at shorter intervals, taking into account the route structure, passenger profiles, aircraft types operated,

seasonal demands and operations.

AMC1 ORO.CC.145 Refresher training

TRAINING PROGRAMME

- (a) Training on emergency procedures should include pilot incapacitation procedures and crowd control techniques as applicable to the aircraft type; and
- (b) Operation of doors and exits by each cabin crew member should include failure of power assist systems where fitted as well as the action and forces required to operate and deploy evacuation slides.

GM1 ORO.CC.145 Refresher training

FREQUENCY OF REFRESHER TRAINING

For aircraft with complex equipment or procedures, the operator should consider the need for refresher training to be completed by cabin crew members who have been absent from flying duties for less than 6 months.

SECTION 2 – ADDITIONAL REQUIREMENTS FOR COMMERCIAL AIR TRANSPORT OPERATIONS

AMC1 ORO.CC.200(c) Senior cabin crew member

TRAINING PROGRAMME

The senior cabin crew member training course should at least cover the following elements:

- (a) Pre-flight briefing:
 - (1) operating as a crew;
 - (2) allocation of cabin crew stations and responsibilities; and
 - (3) consideration of the particular flight, aircraft type, equipment, area and type of operation, including extended range operations with two-engine aeroplanes (ETOPS) and special categories of passengers with emphasis on passengers with disabilities or reduced mobility, infants and stretcher cases.
- (b) Cooperation within the crew:
 - (1) discipline, responsibilities and chain of command;
 - (2) importance of coordination and communication; and
 - (3) pilot incapacitation.
- (c) Review of operator requirements and legal requirements:
 - (1) passenger briefing, safety briefing cards;
 - (2) securing of galleys;
 - (3) stowage of cabin baggage;
 - (4) electronic equipment;
 - (5) procedures when fuelling with passengers on board;
 - (6) turbulence; and
 - (7) documentation.
- (d) Accident and incident reporting.
- (e) Human factors and CRM:

The operator should ensure that all applicable elements specified in Table 1 of **AMC1 ORO.CC.115(e)** are integrated into the training and covered to the level required by Column 'Senior Cabin Crew Course'.
- (f) Flight and duty time limitations and rest requirements (FTL).

AMC1 ORO.CC.200(d) Senior cabin crew member

RESPONSIBILITY TO THE COMMANDER

When the level of turbulence so requires, and in the absence of any instructions from the flight crew, the senior cabin crew member should be entitled to discontinue non-safety-related duties and advise the flight crew of the level of turbulence being experienced and the need for the fasten seat belt signs

to be switched on. This should be followed by the cabin crew securing the passenger cabin and other relevant areas.

AMC1 ORO.CC.200(e) Senior cabin crew member

UNABLE TO OPERATE

- (a) Replacement of senior cabin crew member at a base of the operator

A senior cabin crew member who did not report for or cannot commence the assigned flight or series of flights originating from a base of the operator should be replaced without undue delay. The flight should not depart unless another senior cabin crew member has been assigned.

- (b) Replacement of incapacitated or unavailable senior cabin crew member

- (1) A senior cabin crew member, who becomes incapacitated during a flight or series of flights, or unavailable at a stopover (layover) point, should be replaced without undue delay by another senior cabin crew member qualified on the concerned aircraft type/variant. If there is no other senior cabin crew member, the most appropriately qualified cabin crew member should be assigned to act as senior cabin crew member in order to reach a base of the operator.
- (2) If during the series of flights the aircraft transits via a base of the operator, the assigned cabin crew member acting as senior cabin crew member should be replaced by another senior cabin crew member.

AMC2 ORO.CC.200(e) Senior cabin crew member

MOST APPROPRIATELY QUALIFIED CABIN CREW MEMBER

Selection of the most appropriately qualified cabin crew member should take into account if the individual's experience as operating cabin crew member is adequate for the conduct of duties required of a senior cabin crew member. The selected cabin crew member should have operational experience on the concerned aircraft type/variant.

GM1 ORO.CC.200(e) Senior cabin crew member

REPLACEMENT OF INCAPACITATED OR UNAVAILABLE SENIOR CABIN CREW MEMBER BY ANOTHER SENIOR CABIN CREW MEMBER

To ensure that another senior cabin crew member is assigned without undue delay, the operator should take appropriate measures. These include, but are not limited to, the following:

- (a) to ensure that a flight or series of flights do not depart from an aerodrome where a senior cabin crew member is available or can be made available, the operator may:
 - (1) appoint a senior cabin crew member originally assigned to another flight and who is available at the concerned base or stopover (layover) point if the reporting time for that flight provides sufficient time to find a replacement; or
 - (2) assign a senior cabin crew member who is on standby to operate the flight or to position to the destination where the nominated senior cabin crew member has become incapacitated or unavailable to operate;
- (b) the operator should utilise another senior cabin crew member if she/he is among the operating crew on the same flight;
- (c) in case of unavailable senior cabin crew member, the operator should use the available time

and resources to replace him/her at the stopover (layover) point with another senior cabin crew member;

- (d) the operator should consider including the identification of the most appropriately qualified cabin crew member in pre-flight briefings.

GM2 ORO.CC.200(e) Senior cabin crew member

FLIGHT OR SERIES OF FLIGHTS

Flight or series of flights refers to a period that commences when a cabin crew member is required to report for duty, which includes a sector or a series of sectors, and finishes when the aircraft finally comes to rest and the engines are shut down, at the end of the last sector on which the cabin crew member acts as an operating crew member.

GM1 ORO.CC.205(a) Reduction of the number of cabin crew members during ground operations and in unforeseen circumstances

CABIN CREW PRESENT AND READY TO ACT

‘Present and ready to act’ means that cabin crew members should be awake and in a state of alertness that enables them to fulfil their responsibilities and perform their duties as required by any situation in accordance with all applicable normal and emergency procedures established in the operations manual.

GM1 ORO.CC.205(b)(2) Reduction of the number of cabin crew during ground operations and in unforeseen circumstances

UNFORESEEN CIRCUMSTANCES

Unforeseen circumstances in this context refer to incapacitation and unavailability of a senior cabin crew member or a cabin crew member as follows:

- (a) ‘Incapacitation’ means a sudden degradation of medical fitness that occurs during flight duty period either in-flight or during a flight transit of the same flight duty period away from operator’s base and that precludes the senior cabin crew member or cabin crew member from performing his/her duties. Incapacitation prior to dispatch of the aircraft from a base of the operator does not substantiate a reduction of the cabin crew complement below the minimum required.
- (b) ‘Unavailability’ means circumstances at a stopover (layover) destination that preclude the senior cabin crew member or cabin crew member from reporting for the flight duty period, such as traffic jams that prevent the senior cabin crew member or cabin crew member from presenting himself/herself at the crew pick-up point in time, difficulties with local authorities, health problems, death, etc. Unavailability does not refer to insufficient number or absence of cabin crew members on standby, or absence from work due to pregnancy, maternity/paternity leave, parental leave, medical leave, sick leave, or any other absence from work.

AMC1 ORO.CC.205(c)(1) Reduction of the number of cabin crew members during ground operations and in unforeseen circumstances

PROCEDURES WITH REDUCED NUMBER OF CABIN CREW

- (a) During ground operations, if reducing the applicable minimum required number of cabin crew, the operator should ensure that the procedures required by **ORO.CC.205(c)(1)** specify that:
 - (1) electrical power is available on the aircraft;
 - (2) a means of initiating an evacuation is available to the senior cabin crew member or at least one member of the flight crew is in the flight crew compartment;
 - (3) cabin crew stations and associated duties are specified in the operations manual; and
 - (4) cabin crew remain aware of the position of servicing and loading vehicles at and near the exits.

Additionally, in the case of passengers' embarkation:

 - (5) the senior cabin crew member should have performed the pre-boarding safety briefing to the cabin crew; and
 - (6) the pre-boarding cabin checks should have been completed.
- (b) If, in unforeseen circumstances, the number of cabin crew members is reduced below the applicable minimum required number, for example in the event of incapacitation or unavailability of cabin crew, the procedures established for this purpose in the operations manual should take into consideration at least the following:
 - (1) reduction of passenger numbers;
 - (2) reseating of passengers with due regard to doors/exits and other applicable limitations; and
 - (3) relocation of cabin crew taking into account the factors specified in **AMC1 ORO.CC.100** and any change of procedures.

AMC1 ORO.CC.205(d) Reduction of the number of cabin crew members during ground operations and in unforeseen circumstances

RISK ASSESSMENT FOR CRUISE PHASE OPERATION WITH A LOWER NUMBER OF CABIN CREW MEMBERS

When conducting the risk assessment required under **ORO.CC.205(d)**, the operator should:

- (a) assess the risks as relevant to the type and duration of the flight to be operated, aeroplane type, cabin configuration, passenger seating capacity, the number and qualification of the operating cabin crew members, and the particular flight duty period (FDP);
- (b) determine how many cabin crew members should be present and ready to act at any time to realistically manage the normal and emergency procedures to be applied during cruise; and
- (c) evaluate the time and conditions necessary for the cabin crew members taking in-flight rest to reach their assigned cabin crew stations in case of an emergency.

AMC2 ORO.CC.205(d) Reduction of the number of cabin crew members during ground operations and in unforeseen circumstances

SPECIFIC PROCEDURES FOR CRUISE PHASE OPERATION WITH A LOWER NUMBER OF CABIN CREW MEMBERS IN THE PASSENGER COMPARTMENT

- (a) When establishing the specific procedures for cruise phase operation with a lower number of cabin crew members in the passenger compartment, the operator should at least consider the following:
 - (1) Normal procedures including at least:
 - (i) surveillance of the passenger compartment, including the lavatories and the galleys;
 - (ii) management of, and assistance to, passengers;
 - (iii) crew communication and coordination, including the necessary contact with and support to the flight crew as specified by the operator.
 - (2) Emergency procedures including at least those to be applied in case of:
 - (i) medical emergency;
 - (ii) unruly behaviour;
 - (iii) unlawful interference or bomb threat;
 - (iv) slow depressurisation;
 - (v) decompression;
 - (vi) fire or smoke event;
 - (vii) emergency descent, taking into account that the procedure to be applied may vary depending on the causing event (e.g. depressurisation or fire).
- (c) Specific procedures for cruise phase operation with a lower number of cabin crew should describe:
 - (1) how to re-assign duties and responsibilities of cabin crew members or senior crew members who take in-flight rest to another cabin crew member considering the experience and qualification of the cabin crew member or senior cabin crew member; and
 - (2) how cabin crew members taking in-flight rest can be again ready to act and reach their assigned cabin crew stations in case of an emergency.

GM1 ORO.CC.210(d) Additional conditions for assignment to duties

OPERATOR'S CABIN CREW UNIFORM

The uniform to be worn by operating cabin crew should be such as not to impede the performance of their duties, as required for the safety of passengers and flight during operations, and should allow passengers to identify the operating cabin crew including in an emergency situation.

GM1 ORO.CC.215(b)(2) Training and checking programmes and related documentation

LIST OF AIRCRAFT TYPE/VARIANT QUALIFICATION(S)

When providing the updated validity list of aircraft type/variant qualifications to cabin crew members having successfully completed a training course and the associated checking, the operator may use the following format. If using another format, at least the elements in (a) to (d) and in columns (1) and (2) should be indicated to show validity of qualification(s).

| CABIN CREW AIRCRAFT TYPE/VARIANT QUALIFICATION(S) | | | | | | | |
|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|------------------------------|----------------------------------|-----------------|-------------------------|--------------------------------|
| (a) | Reference number of the cabin crew attestation: | | | | | | |
| (b) | Cabin crew attestation holder's full name: The above-mentioned person may act as an operating cabin crew member during flight operations only if his/her aircraft type and/or variant qualification(s) listed below, and dated DD/MM/YYYY, comply with the applicable validity period(s) specified in Part-ORO. | | | | | | |
| (c) | Issuing organisation: (name, postal address, AOC and/or approval reference number and stamp or logo) | | | | | | |
| (d) | Date of issue: (DD/MM/YYYY) | | | | | | |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| | Qualification valid until | Aircraft type specific training | Operator conversion training | Differences training If relevant | Familiarisation | Last recurrent training | Refresher training If relevant |
| A/C type 1 | | | | | | | |
| Variant ... | | | | | | | |
| A/C type 2 | | | | | | | |
| Variant ... | | | | | | | |
| A/C type 3 | | | | | | | |
| Variant ... | | | | | | | |
| If approved A/C type 4 | | | | | | | |

AMC1 ORO.CC.250(b) Operation on more than one aircraft type or variant

DETERMINATION OF AIRCRAFT TYPES AND VARIANTS

- (a) When determining similarity of location and type of portable safety and emergency equipment, the following factors should be assessed:
- (1) all portable safety and emergency equipment is stowed in the same, or in exceptional circumstances, in substantially the same location;
 - (2) all portable safety and emergency equipment requires the same method of operation;
 - (3) portable safety and emergency equipment includes:
 - (i) fire-fighting equipment;
 - (ii) protective breathing equipment (PBE);
 - (iii) oxygen equipment;
 - (iv) crew life-jackets;

-
- (v) torches;
 - (vi) megaphones;
 - (vii) first-aid equipment;
 - (viii) survival and signalling equipment; and
 - (ix) other safety and emergency equipment, where applicable.
- (b) The type-specific emergency procedures to be considered should include at least the following:
- (1) land and water evacuation;
 - (2) in-flight fire;
 - (3) non-pressurisation, slow and sudden decompression; and
 - (4) pilot incapacitation.
- (c) When determining similarity of doors/exits in the absence of operational suitability data established in accordance with Commission Regulation (EU) No 748/2012 for the relevant aircraft type(s) or variant(s), the following factors should be assessed, except for self-help exits, such as type III and type IV exits, that need not be included in the assessment:
- (1) door/exit arming and disarming;
 - (2) direction of movement of the operating handle;
 - (3) direction of door/exit opening;
 - (4) power assist mechanisms; and
 - (5) assisting evacuation means.

GM1 ORO.CC.250 Operation on more than one aircraft type or variant

SAFETY BRIEFING FOR CABIN CREW

When changing aircraft type or variant during a series of flight sectors, the cabin crew safety briefing should include a representative sample of type-specific normal and emergency procedures and safety and emergency equipment applicable to the actual aircraft to be operated for the immediately subsequent flight sector.

SUBPART TC: TECHNICAL CREW IN HEMS, HHO OR NVIS OPERATIONS

GM1 ORO.TC.105 Conditions for assignment to duties

GENERAL

- (a) The technical crew member in HEMS, HHO or NVIS operations should undergo an initial medical examination or assessment and, if applicable, a re-assessment before undertaking duties.
- (b) Any medical assessment or re-assessment should be carried out according to best aero-medical practice by a medical practitioner who has sufficiently detailed knowledge of the applicant's medical history.
- (c) The operator should maintain a record of medical fitness for each technical crew member.
- (d) Technical crew members should:
 - (1) be in good health;
 - (2) be free from any physical or mental illness that might lead to incapacitation or inability to perform crew duties;
 - (3) have normal cardio-respiratory function;
 - (4) have normal central nervous system;
 - (5) have adequate visual acuity 6/9 with or without glasses;
 - (6) have adequate hearing; and
 - (7) have normal function of ear, nose and throat.

AMC1 ORO.TC.110 Training and checking

GENERAL

- (a) Elements of training that require individual practice may be combined with practical checks.
- (b) The checks should be accomplished by the method appropriate to the type of training including:
 - (1) practical demonstration;
 - (2) computer-based assessment;
 - (3) in-flight checks; and/or
 - (4) oral or written tests.

AMC1 ORO.TC.110(a) Training and checking

CRM TRAINING

The technical crew training programme for initial, operator conversion and recurrent training should include relevant CRM training elements as specified in **AMC1 ORO.FC.115**.

AMC1 ORO.TC.115 Initial training

ELEMENTS

- (a) The elements of initial training mentioned in **ORO.TC.115** should include in particular:
- (1) General theoretical knowledge on aviation and aviation regulations relevant to duties and responsibilities:
 - (i) the importance of crew members performing their duties in accordance with the operations manual;
 - (ii) continuing competence and fitness to operate as a crew member with special regard to flight and duty time limitations and rest requirements;
 - (iii) an awareness of the aviation regulations relating to crew members and the role of the CAA;
 - (iv) general knowledge of relevant aviation terminology, theory of flight, passenger distribution, meteorology and areas of operation;
 - (v) pre-flight briefing of the crew members and the provision of necessary safety information with regard to their specific duties;
 - (vi) the importance of ensuring that relevant documents and manuals are kept up-to-date with amendments provided by the operator;
 - (vii) the importance of identifying when crew members have the authority and responsibility to initiate an evacuation and other emergency procedures; and
 - (viii) the importance of safety duties and responsibilities and the need to respond promptly and effectively to emergency situations.
 - (2) Fire and smoke training:
 - (i) reactions to emergencies involving fire and smoke and identification of the fire sources;
 - (ii) the classification of fires and the appropriate type and techniques of application of extinguishing agents, the consequences of misapplication, and of use in a confined space; and
 - (iii) the general procedures of ground-based emergency services at aerodromes.
 - (3) When conducting extended overwater operations, water survival training, including the use of personal flotation equipment.
 - (4) Before first operating on an aircraft fitted with life-rafts or other similar equipment, training on the use of this equipment, including practice in water.
 - (5) Survival training appropriate to the areas of operation (e.g. polar, desert, jungle, sea or mountain).
 - (6) Aero-medical aspects and first aid, including:
 - (i) instruction on first aid and the use of first-aid kits; and
 - (ii) the physiological effects of flying.
 - (7) Effective communication between technical crew members and flight crew members, including common language and terminology.

AMC1 ORO.TC.120&.125 Operator conversion training and differences training

ELEMENTS

- (a) Operator conversion training mentioned in **ORO.TC.120(b)** and differences training mentioned in **ORO.TC.125(a)** should include the following:
- (1) Fire and smoke training, including practical training in the use of all fire fighting equipment as well as protective clothing representative of that carried in the aircraft. Each technical crew member should:
 - (i) extinguish a fire characteristic of an aircraft interior fire except that, in the case of Halon extinguishers, an alternative extinguishing agent may be used; and
 - (ii) practise the donning and use of protective breathing equipment (when fitted) in an enclosed, simulated smoke-filled environment.
 - (2) Practical training on operating and opening all normal and emergency exits for passenger evacuation in an aircraft or representative training device and demonstration of the operation of all other exits.
 - (3) Evacuation procedures and other emergency situations, including:
 - (i) recognition of planned or unplanned evacuations on land or water — this training should include recognition of unusable exits or unserviceable evacuation equipment;
 - (ii) in-flight fire and identification of fire source; and
 - (iii) other in-flight emergencies.
 - (4) When the flight crew is more than one, training on assisting if a pilot becomes incapacitated, including a demonstration of:
 - (i) the pilot's seat mechanism;
 - (ii) fastening and unfastening the pilot's seat restraint system;
 - (iii) use of the pilot's oxygen equipment, when applicable; and
 - (iv) use of pilots' checklists.
 - (5) Training on, and demonstration of, the location and use of safety equipment, including the following:
 - (i) life rafts, including the equipment attached to, and/or carried in, the raft, where applicable;
 - (ii) life jackets, infant life jackets and flotation devices, where applicable;
 - (iii) fire extinguishers;
 - (iv) crash axe or crow bar;
 - (v) emergency lights, including portable lights;
 - (vi) communication equipment, including megaphones;
 - (vii) survival packs, including their contents;
 - (viii) pyrotechnics (actual or representative devices);
 - (ix) first-aid kits, their contents and emergency medical equipment; and

- (x) other safety equipment or systems, where applicable.
- (6) Training on passenger briefing/safety demonstrations and preparation of passengers for normal and emergency situations.
- (7) Training on the use of dangerous goods, if applicable.
- (8) Task-specific training.

AMC2 ORO.TC.120&.125 Operator conversion training and differences training

GENERAL

- (a) The operator should determine the content of the conversion or differences training taking account of the technical crew member's previous training as documented in the technical crew member's training records.
- (b) Aircraft conversion or differences training should be conducted according to a syllabus and include the use of relevant equipment and emergency procedures and practice on a representative training device or on the actual aircraft.
- (c) The operator should specify in the operations manual the maximum number of types or variants that can be operated by a technical crew member.

AMC1 ORO.TC.135 Recurrent training

ELEMENTS

- (a) The 12-month period mentioned in **ORO.TC.135(a)** should be counted from the last day of the month when the first checking was made. Further training and checking should be undertaken within the last 3 calendar months of that period. The new 12-month period should be counted from the original expiry date.
- (b) The recurrent practical training should include every year:
 - (1) emergency procedures, including pilot incapacitation;
 - (2) evacuation procedures;
 - (3) touch-drills by each technical crew member for opening normal and emergency exits for (passenger) evacuation;
 - (4) the location and handling of emergency equipment and the donning by each technical crew member of life jackets and protective breathing equipment (PBE), when applicable;
 - (5) first aid and the contents of the first-aid kit(s);
 - (6) stowage of articles in the cabin;
 - (7) use of dangerous goods, if applicable;
 - (8) incident and accident review; and
 - (9) crew resource management: all major topics of the initial CRM training should be covered over a period not exceeding 3 years.
- (c) Recurrent training should include every 3 years:
 - (1) practical training on operating and opening all normal and emergency exits for passenger evacuation in an aircraft or representative training device and demonstration of the

operation of all other exits;

- (2) practical training in the use of all firefighting equipment as well as protective clothing representative of that carried in the aircraft. Each technical crew member should:
 - (i) extinguish a fire characteristic of an aircraft interior fire except that, in the case of Halon extinguishers, an alternative extinguishing agent may be used; and
 - (ii) practise the donning and use of protective breathing equipment (when fitted) in an enclosed, simulated smoke-filled environment;
- (3) use of pyrotechnics (actual or representative devices); and
- (4) demonstration of the use of the life raft, where fitted.

AMC1 ORO.TC.140 Refresher training

ELEMENTS

- (a) Refresher training may include familiarisation flights.
- (b) Refresher training should include at least the following:
 - (1) emergency procedures, including pilot incapacitation;
 - (2) evacuation procedures;
 - (3) practical training on operating and opening all normal and emergency exits for passenger evacuation in an aircraft or representative training device and demonstration of the operation of all other exits; and
 - (4) the location and handling of emergency equipment, and the donning of life jackets and protective breathing equipment, when applicable.

SUBPART FTL: FLIGHT AND DUTY TIME LIMITATIONS AND REST REQUIREMENTS

SECTION 1 – GENERAL

GM1 ORO.FTL.105(1) Definitions

ACCLIMATISED

- (a) A crew member remains acclimatised to the local time of his or her reference time during 47 hours 59 minutes after reporting no matter how many time zones he/she has crossed.
- (b) The maximum daily FDP for acclimatised crew members is determined by using table 1 of **ORO.FTL.205(b)(1)** with the reference time of the point of departure. As soon as 48 hours have elapsed, the state of acclimatisation is derived from the time elapsed since reporting at reference time and the number of time zones crossed.
- (c) A crew member is considered to be in an unknown state of acclimatisation after the first 48 hours of the rotation have elapsed unless he or she remains in the first arrival destination time zone (either for rest or any duties) in accordance with the table in **ORO.FTL.105(1)**.
- (d) Should a crew member's rotation include additional duties that end in a different time zone than his or her first arrival destination's time zone while he or she is considered to be in an unknown state of acclimatisation, then the crew member remains in an unknown state of acclimatisation until he or she:
 - (1) has taken the rest period required by **CS FTL.1.235(b)(3)** at home base;
 - (2) has taken the rest period required by **CS FTL.1.235(b)(3)** at the new location; or
 - (3) has been undertaking duties starting at and returning to the time zone of the new location until he or she becomes acclimatised in accordance with the values in the table in **ORO.FTL.105(1)**.

To determine the state of acclimatisation, the two following criteria should be applied:

- (i) the greater of the time differences between the time zone where he or she was last acclimatised or the local time of his or her last departure point and the new location; and
- (ii) the time elapsed since reporting at home base for the first time during the rotation.

GM2 ORO.FTL.105(1) Definitions

ACCLIMATISED 'POINT OF DEPARTURE'

The point of departure refers to the reporting point for a flight duty period or positioning duty after a rest period.

GM3 ORO.FTL.105(1) Definitions

ACCLIMATISED 'TIME ELAPSED SINCE REPORTING AT REFERENCE TIME'

The time elapsed since reporting at reference time for operations applying CS FTL.1.235(b)(3)(ii) at

home base refers to the time elapsed since reporting for the first time at home base for a rotation.

GM1 ORO.FTL.105(2) Definitions

REFERENCE TIME

- (a) Reference time refers to reporting points in a 2-hour wide time zone band around the local time where a crew member is acclimatised.
- (b) Example: A crew member is acclimatised to the local time in Helsinki and reports for duty in London. The reference time is the local time in London.

GM1 ORO.FTL.105(3) Definitions

ADEQUATE FURNITURE FOR 'ACCOMMODATION'

Adequate furniture for crew member accommodation should include a seat that reclines at least 45° back angle to the vertical, has a seat width of at least 20 inches (50cm) and provides leg and foot support.

GM1 ORO.FTL.105(8) Definitions

DETERMINATION OF DISRUPTIVE SCHEDULES

If a crew member is acclimatised to the local time at his/her home base, the local time at the home base should be used to consider an FDP as 'disruptive schedule'. This applies to operations within the 2-hour wide time zone surrounding the local time at the home base, if a crew member is acclimatised to the local time at his/her home base.

GM1 ORO.FTL.105(10) Definitions

ELEMENTS OF STANDBY FOR DUTY

ORO.FTL.225(c) and (d) and **CS FTL.1.225(b)(2)** determine which elements of standby count as duty.

GM1 ORO.FTL.105(17) Definitions

OPERATING CREW MEMBER

A person on board an aircraft is either a crew member or a passenger. If a crew member is not a passenger on board an aircraft he/she should be considered as 'carrying out duties'. The crew member remains an operating crew member during in-flight rest. In-flight rest counts in full as FDP, and for the purpose of **ORO.FTL.210**.

AMC1 ORO.FTL.110 Operator responsibilities

SCHEDULING

- (a) Scheduling has an important impact on a crew member's ability to sleep and to maintain a proper level of alertness. When developing a workable roster, the operator should strike a fair balance between the commercial needs and the capacity of individual crew members to work effectively. Rosters should be developed in such a way that they distribute the amount of work evenly among those that are involved.

- (b) Schedules should allow for flights to be completed within the maximum permitted flight duty period and flight rosters should take into account the time needed for pre-flight duties, taxiing, the flight- and turnaround times. Other factors to be considered when planning duty periods should include:
- (1) the allocation of work patterns which avoid undesirable practices such as alternating day/night duties, alternating eastward-westward or westward-eastward time zone transitions, positioning of crew members so that a serious disruption of established sleep/work patterns occurs;
 - (2) scheduling sufficient rest periods especially after long flights crossing many time zones; and
 - (3) preparation of duty rosters sufficiently in advance with planning of recurrent extended recovery rest periods and notification of the crew members well in advance to plan adequate pre-duty rest.

AMC1 ORO.FTL.110(a) Operator responsibilities

PUBLICATION OF ROSTERS

Rosters should be published 14 days in advance.

AMC1 ORO.FTL.110(j) Operator responsibilities

OPERATIONAL ROBUSTNESS OF ROSTERS

The operator should establish and monitor performance indicators for operational robustness of rosters.

GM1 ORO.FTL.110(j) Operator responsibilities

OPERATIONAL ROBUSTNESS OF ROSTERS

Performance indicators for operational robustness of rosters should support the operator in the assessment of the stability of its rostering system. Performance indicators for operational robustness of rosters should at least measure how often a rostered crew pairing for a duty period is achieved within the planned duration of that duty period. Crew pairing means rostered positioning and flights for crew members in one duty period.

GM1 ORO.FTL.120 Fatigue risk management (FRM)

ICAO DOC 9966 — MANUAL FOR THE OVERSIGHT OF FATIGUE MANAGEMENT APPROACHES

Further guidance on FRM processes, appropriate fatigue management, the underlying scientific principles and operational knowledge may be found in ICAO Doc 9966 (Manual for the Oversight of Fatigue Management Approaches).

AMC1 ORO.FTL.120(b)(1) Fatigue risk management (FRM)

CAT OPERATORS FRM POLICY

- (a) The operator's FRM policy should identify all the elements of FRM.
- (b) The FRM policy should define to which operations FRM applies.

- (c) The FRM policy should:
- (1) reflect the shared responsibility of management, flight and cabin crew, and other involved personnel;
 - (2) state the safety objectives of FRM;
 - (3) be signed by the accountable manager;
 - (4) be communicated, with visible endorsement, to all the relevant areas and levels of the organisation;
 - (5) declare management commitment to effective safety reporting;
 - (6) declare management commitment to the provision of adequate resources for FRM;
 - (7) declare management commitment to continuous improvement of FRM;
 - (8) require that clear lines of accountability for management, flight and cabin crew, and all other involved personnel are identified; and
 - (9) require periodic reviews to ensure it remains relevant and appropriate.

AMC2 ORO.FTL.120(b)(2) Fatigue risk management (FRM)

CAT OPERATORS FRM DOCUMENTATION

The operator should develop and keep current FRM documentation that describes and records:

- (1) FRM policy and objectives;
- (2) FRM processes and procedures;
- (3) accountabilities, responsibilities and authorities for these processes and procedures;
- (4) mechanisms for on-going involvement of management, flight and cabin crew members, and all other involved personnel;
- (5) FRM training programmes, training requirements and attendance records;
- (6) scheduled and actual flight times, duty periods and rest periods with deviations and reasons for deviations; and
- (7) FRM outputs including findings from collected data, recommendations, and actions taken.

GM1 ORO.FTL.120(b)(3) Fatigue risk management (FRM)

SCIENTIFIC METHOD

‘Scientific method’ is defined as ‘a method or procedure that has characterized natural science since the 17th century, consisting in systematic observation, measurement, and experiment, and the formulation, testing, and modification of hypotheses’.

A scientific study may be required as an element of proactive fatigue hazard identification. Such a study should be based on scientific principles, i.e. use the scientific method. That means that the study should consist of the following elements as applicable to each individual case:

- (a) an introduction with a summary and the description of the study design, methods and results;
- (b) a statement of the hypothesis being tested, how it is being tested and a conclusion as to whether the hypothesis was found to be true or not;
- (c) a description of the data collection method and tools, e.g. the sensitivity of the activity monitors,

further information on any model and its limitations and how it is being used as part of the study;

- (d) a description of how the study subjects were selected and how representative of the crew member population the study group is;
- (e) a description of the rosters the study participants have worked containing data such as e.g. flight and duty hours, number of sectors, duty start/finish times;
- (f) reports on mean sleep duration and efficiency and data for other standard measures (e.g. sleep timing, self-rated sleepiness/fatigue, sources of sleep disruption, performance, safety);
- (g) a description of how sleep and the other measures varied across the roster (i.e. day-to-day) and where and why minimum sleep occurred;
- (h) statistical data analysis to test the hypothesis; and
- (i) the explanation of how the study results have been used to influence the design of the roster or other fatigue mitigations.

AMC1 ORO.FTL.120(b)(4) Fatigue risk management (FRM)

CAT OPERATORS IDENTIFICATION OF HAZARDS

The operator should develop and maintain three documented processes for fatigue hazard identification:

(a) Predictive

The predictive process should identify fatigue hazards by examining crew scheduling and taking into account factors known to affect sleep and fatigue and their effects on performance. Methods of examination may include, but are not limited to:

- (1) operator or industry operational experience and data collected on similar types of operations;
- (2) evidence-based scheduling practices; and
- (3) bio-mathematical models.

(b) Proactive

The proactive process should identify fatigue hazards within current flight operations. Methods of examination may include, but are not limited to:

- (1) self-reporting of fatigue risks;
- (2) crew fatigue surveys;
- (3) relevant flight and cabin crew performance data;
- (4) available safety databases and scientific studies; and
- (5) analysis of planned versus actual time worked.

(c) Reactive

The reactive process should identify the contribution of fatigue hazards to reports and events associated with potential negative safety consequences in order to determine how the impact of fatigue could have been minimised. At a minimum, the process may be triggered by any of the following:

- (1) fatigue reports;

- (2) confidential reports;
- (3) audit reports;
- (4) incidents; or
- (5) flight data monitoring (FDM) events.

AMC2 ORO.FTL.120(b)(4) Fatigue risk management (FRM)

CAT OPERATORS RISK ASSESSMENT

An operator should develop and implement risk assessment procedures that determine the probability and potential severity of fatigue-related events and identify when the associated risks require mitigation. The risk assessment procedures should review identified hazards and link them to:

- (a) operational processes;
- (b) their probability;
- (c) possible consequences; and
- (d) the effectiveness of existing safety barriers and controls.

AMC1 ORO.FTL.120(b)(5) Fatigue risk management (FRM)

CAT OPERATORS RISK MITIGATION

An operator should develop and implement risk mitigation procedures that:

- (a) select the appropriate mitigation strategies;
- (b) implement the mitigation strategies; and
- (c) monitor the strategies' implementation and effectiveness.

AMC1 ORO.FTL.120(b)(6) Fatigue risk management (FRM)

CAT OPERATORS FRM SAFETY ASSURANCE PROCESSES

The operator should develop and maintain FRM safety assurance processes to:

- (a) provide for continuous FRM performance monitoring, analysis of trends, and measurement to validate the effectiveness of the fatigue safety risk controls. The sources of data may include, but are not limited to:
 - (1) hazard reporting and investigations;
 - (2) audits and surveys; and
 - (3) reviews and fatigue studies;
- (b) provide a formal process for the management of change which should include, but is not limited to:
 - (1) identification of changes in the operational environment that may affect FRM;
 - (2) identification of changes within the organisation that may affect FRM; and
 - (3) consideration of available tools which could be used to maintain or improve FRM performance prior to implementing changes; and
- (c) provide for the continuous improvement of FRM. This should include, but is not limited to:

-
- (1) the elimination and/or modification of risk controls have had unintended consequences or that are no longer needed due to changes in the operational or organisational environment;
 - (2) routine evaluations of facilities, equipment, documentation and procedures; and
 - (3) the determination of the need to introduce new processes and procedures to mitigate emerging fatigue-related risks.

AMC1 ORO.FTL.120(b)(7) Fatigue risk management (FRM)

CAT OPERATORS FRM PROMOTION PROCESS

FRM promotion processes should support the on-going development of FRM, the continuous improvement of its overall performance, and attainment of optimum safety levels.

The following should be established and implemented by the operator as part of its FRM:

- (a) training programmes to ensure competency commensurate with the roles and responsibilities of management, flight and cabin crew , and all other involved personnel under the planned FRM; and
- (b) an effective FRM communication plan that:
 - (1) explains FRM policies, procedures and responsibilities to all relevant stakeholders; and
 - (2) describes communication channels used to gather and disseminate FRM-related information.

SECTION 2 – COMMERCIAL AIR TRANSPORT OPERATORS

GM1 ORO.FTL.205(a)(1) Flight Duty Period (FDP)

REPORTING TIMES

The operator should specify reporting times taking into account the type of operation, the size and type of aircraft and the reporting airport conditions.

GM1 ORO.FTL.205(b)(1) Flight duty period (FDP)

REFERENCE TIME

The start time of the FDP in the table refers to the 'reference time'. That means, to the local time of the point of departure, if this point of departure is within a 2-hour wide time zone band around the local time where a crew member is acclimatised.

AMC1 ORO.FTL.205(f) Flight Duty Period (FDP)

UNFORESEEN CIRCUMSTANCES IN ACTUAL FLIGHT OPERATIONS — COMMANDER'S DISCRETION

- (a) As general guidance when developing a commander's discretion policy, the operator should take into consideration the shared responsibility of management, flight and cabin crew in the case of unforeseen circumstances. The exercise of commander's discretion should be considered exceptional and should be avoided at home base and/or company hubs where standby or reserve crew members should be available. Operators should assess on a regular basis the series of pairings where commander's discretion has been exercised in order to be aware of possible inconsistencies in their rostering.
- (b) The operator's policy on commander's discretion should state the safety objectives, especially in the case of an extended FDP or reduced rest and should take due consideration of additional factors that might decrease a crew member's alertness levels, such as:
 - (1) WOCL encroachment;
 - (2) weather conditions;
 - (3) complexity of the operation and/or airport environment;
 - (4) aeroplane malfunctions or specifications;
 - (5) flight with training or supervisory duties;
 - (6) increased number of sectors;
 - (7) circadian disruption; and
 - (8) individual conditions of affected crew members (time since awake, sleep-related factor, workload, etc.).

GM1 ORO.FTL.205(f)(1)(i) Flight Duty Period (FDP)

COMMANDER'S DISCRETION

The maximum basic daily FDP that results after applying **ORO.FTL.205(b)** should be used to calculate the limits of commander's discretion, if commander's discretion is applied to an FDP which has been extended under the provisions of ORO.FTL.205(d).

AMC1 ORO.FTL.210(c) Flight times and duty periods

POST-FLIGHT DUTIES

The operator should specify post-flight duty times taking into account the type of operation, the size and type of aircraft and the airport conditions.

GM1 ORO.FTL.230(a) Reserve

ROSTERING OF RESERVE

Including reserve in a roster, also referred to as 'rostering', implies that a reserve period that does not result in a duty period may not retrospectively be considered as part of a recurrent extended recovery rest period.

GM1 ORO.FTL.235(a)(2) Rest periods

MINIMUM REST PERIOD AT HOME BASE IF SUITABLE ACCOMMODATION IS PROVIDED

An operator may apply the minimum rest period away from home base during a rotation which includes a rest period at a crew member's home base. This applies only if the crew member does not rest at his/her residence, or temporary accommodation, because the operator provides suitable accommodation. This type of roster is known as "back-to-back operation".

AMC1 ORO.FTL.235(b) Rest periods

MINIMUM REST PERIOD AWAY FROM HOME BASE

The time allowed for physiological needs should be 1 hour. Consequently, if the travelling time to the suitable accommodation is more than 30 minutes, the operator should increase the rest period by twice the amount of difference of travelling time above 30 minutes.

AMC1 ORO.FTL.240 Nutrition

MEAL OPPORTUNITY

- (a) The operations manual should specify the minimum duration of the meal opportunity, when a meal opportunity is provided, in particular when the FDP encompasses the regular meal windows (e.g. if the FDP starts at 11:00 hours and ends at 22:00 hours meal opportunities for two meals should be given).
- (b) It should define the time frames in which a regular meal should be consumed in order not to alter the human needs for nutrition without affecting the crew member's body rhythms.

AMC1 ORO.FTL.250 Fatigue management training

TRAINING SYLLABUS FATIGUE MANAGEMENT TRAINING

The training syllabus should contain the following:

- (a) applicable regulatory requirements for flight, duty and rest;
- (b) the basics of fatigue including sleep fundamentals and the effects of disturbing the circadian rhythms;
- (c) the causes of fatigue, including medical conditions that may lead to fatigue;
- (d) the effect of fatigue on performance;
- (e) fatigue countermeasures;
- (f) the influence of lifestyle, including nutrition, exercise, and family life, on fatigue;
- (g) familiarity with sleep disorders and their possible treatments;
- (h) where applicable, the effects of long range operations and heavy short range schedules on individuals;
- (i) the effect of operating through and within multiple time zones; and
- (j) the crew member responsibility for ensuring adequate rest and fitness for flight duty.

CERTIFICATION SPECIFICATIONS AND GUIDANCE MATERIAL FOR COMMERCIAL AIR TRANSPORT BY AEROPLANE — SCHEDULED AND CHARTER OPERATIONS

CS FTL.1.100 Applicability

These Certification Specifications are applicable to commercial air transport by aeroplanes for scheduled and charter operations, excluding emergency medical service (EMS), air taxi and single pilot operations.

CS FTL.1.200 Home base

- (a) The home base is a single airport location assigned with a high degree of permanence.
- (b) In the case of a change of home base, the first recurrent extended recovery rest period prior to starting duty at the new home base is increased to 72 hours, including 3 local nights. Travelling time between the former home base and the new home base is positioning.

GM1 CS FTL.1.200 Home base

TRAVELLING TIME

Crew members should consider making arrangements for temporary accommodation closer to their home base if the travelling time from their residence to their home base usually exceeds 90 minutes.

CS FTL.1.205 Flight duty period (FDP)

- (a) Night duties under the provisions of **ORO.FTL.205(b)** and (d) comply with the following:
 - (1) When establishing the maximum FDP for consecutive night duties, the number of sectors is limited to 4 sectors per duty. (2) The operator applies appropriate fatigue risk management to actively manage the fatiguing effect of night duties of more than 10 hours in relation to the surrounding duties and rest periods.
- (b) Extension of FDP without in-flight rest

The extension of FDP without in-flight rest under the provisions of **ORO.FTL.205(d)(5)** is limited to the values specified in the table below.

Maximum daily FDP with extension

| Starting time of FDP | 1–2 sectors (in hours) | 3 sectors (in hours) | 4 sectors (in hours) | 5 sectors (in hours) |
|----------------------|---------------------------|-------------------------|-------------------------|-------------------------|
| 0600–0614 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0615–0629 | 13:15 | 12:45 | 12:15 | 11:45 |
| 0630–0644 | 13:30 | 13:00 | 12:30 | 12:00 |
| 0645–0659 | 13:45 | 13:15 | 12:45 | 12:15 |
| 0700–1329 | 14:00 | 13:30 | 13:00 | 12:30 |
| 1330–1359 | 13:45 | 13:15 | 12:45 | Not allowed |
| 1400–1429 | 13:30 | 13:00 | 12:30 | Not allowed |
| 1430–1459 | 13:15 | 12:45 | 12:15 | Not allowed |
| 1500–1529 | 13:00 | 12:30 | 12:00 | Not allowed |
| 1530–1559 | 12:45 | Not allowed | Not allowed | Not allowed |
| 1600–1629 | 12:30 | Not allowed | Not allowed | Not allowed |
| 1630–1659 | 12:15 | Not allowed | Not allowed | Not allowed |
| 1700–1729 | 12:00 | Not allowed | Not allowed | Not allowed |
| 1730–1759 | 11:45 | Not allowed | Not allowed | Not allowed |
| 1800–1829 | 11:30 | Not allowed | Not allowed | Not allowed |
| 1830–1859 | 11:15 | Not allowed | Not allowed | Not allowed |
| 1900–0359 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0400–0414 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0415–0429 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0430–0444 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0445–0459 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0500–0514 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0515–0529 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0530–0544 | Not allowed | Not allowed | Not allowed | Not allowed |
| 0545–0559 | Not allowed | Not allowed | Not allowed | Not allowed |

(c) Extension of FDP due to in-flight rest

In-flight rest facilities in accordance with **ORO.FTL.205(e)(iii)** fulfil the following minimum standards:

- ‘Class 1 rest facility’ means a bunk or other surface that allows for a flat or near flat sleeping position. It reclines to at least 80° back angle to the vertical and is located separately from both the flight crew compartment and the passenger cabin in an area that allows the crew member to control light, and provides isolation from noise and disturbance;
- ‘Class 2 rest facility’ means a seat in an aircraft cabin that reclines at least 45° back angle to the vertical, has at least a pitch of 55 inches (137,5 cm), a seat width of at least 20 inches (50 cm) and provides leg and foot support. It is separated from passengers by at least a curtain to provide darkness and some sound mitigation, and is reasonably free from disturbance by passengers or crew members;
- ‘Class 3 rest facility’ means a seat in an aircraft cabin or flight crew compartment that reclines at least 40° from the vertical, provides leg and foot support and is separated from

passengers by at least a curtain to provide darkness and some sound mitigation, and is not adjacent to any seat occupied by passengers.

- (1) The extension of FDP with in-flight rest under the provisions of **ORO.FTL.205(e)** complies with the following:
 - (i) the FDP is limited to 3 sectors; and
 - (ii) the minimum in-flight rest period is a consecutive 90-minute period for each crew member and 2 consecutive hours for the flight crew members at control during landing.
- (2) The maximum daily FDP under the provisions of **ORO.FTL.205(e)** may be extended due to in-flight rest for flight crew:
 - (i) with one additional flight crew member:
 - (A) up to 14 hours with class 3 rest facilities;
 - (B) up to 15 hours with class 2 rest facilities; or
 - (C) up to 16 hours with class 1 rest facilities;
 - (ii) with two additional flight crew members:
 - (A) up to 15 hours with class 3 rest facilities;
 - (B) up to 16 hours with class 2 rest facilities; or
 - (C) up to 17 hours with class 1 rest facilities.
- (3) The minimum in-flight rest for each cabin crew member is:

| Maximum extended FDP | Minimum in-flight rest (in hours) | | |
|----------------------|-----------------------------------|-------------|-------------|
| | Class 1 | Class 2 | Class 3 |
| up to 14:30 hrs | 1:30 | 1:30 | 1:30 |
| 14:31 – 15:00 hrs | 1:45 | 2:00 | 2:20 |
| 15:01 – 15:30 hrs | 2:00 | 2:20 | 2:40 |
| 15:31 – 16:00 hrs | 2:15 | 2:40 | 3:00 |
| 16:01 – 16:30 hrs | 2:35 | 3:00 | Not allowed |
| 16:31 – 17:00 hrs | 3:00 | 3:25 | Not allowed |
| 17:01 – 17:30 hrs | 3:25 | Not allowed | Not allowed |
| 17:31 – 18:00 hrs | 3:50 | Not allowed | Not allowed |

- (4) The limits specified in (2) may be increased by 1 hour for FDPs that include 1 sector of more than 9 hours of continuous flight time and a maximum of 2 sectors.
 - (5) All time spent in the rest facility is counted as FDP.
 - (6) The minimum rest at destination is at least as long as the preceding duty period, or 14 hours, whichever is greater.
 - (7) A crew member does not start a positioning sector to become part of this operating crew on the same flight.
- (d) Unforeseen circumstances in flight operations — delayed reporting
- (1) The operator may delay the reporting time in the event of unforeseen circumstances, if procedures for delayed reporting are established in the operations manual. The operator keeps records of delayed reporting. Delayed reporting procedures establish a notification

time allowing a crew member to remain in his/her suitable accommodation when the delayed reporting procedure is activated. In such a case, if the crew member is informed of the delayed reporting time, the FDP is calculated as follows:

- (i) one notification of a delay leads to the calculation of the maximum FDP according to (iii) or (iv);
- (ii) if the reporting time is further amended, the FDP starts counting 1 hour after the second notification or at the original delayed reporting time if this is earlier;
- (iii) when the delay is less than 4 hours, the maximum FDP is calculated based on the original reporting time and the FDP starts counting at the delayed reporting time;
- (iv) when the delay is 4 hours or more, the maximum FDP is calculated based on the more limiting of the original or the delayed reporting time and the FDP starts counting at the delayed reporting time;
- (v) as an exception to (i) and (ii), when the operator informs the crew member of a delay of 10 hours or more in reporting time and the crew member is not further disturbed by the operator, such delay of 10 hours or more counts as a rest period.

GM1 CS FTL.1.205(a)(2) Flight duty period (FDP)

NIGHT DUTIES – APPROPRIATE FATIGUE RISK MANAGEMENT

- (a) When rostering night duties of more than 10 hours (referred to below as ‘long night duties’), it is critical for the crew member to obtain sufficient sleep before such duties when he/she is adapted to being awake during day time hours at the local time where he/she is acclimatised. To optimise alertness on long night duties, the likelihood of obtaining sleep as close as possible to the start of the FDP should be considered, when rostering rest periods before long night duties, by providing sufficient time to the crew member to adapt to being awake during the night. Rostering practices leading to extended wakefulness before reporting for such duties should be avoided. Fatigue risk management principles that could be applied to the rostering of long night duties may include:
 - (1) avoiding long night duties after extended recovery rest periods
 - (2) progressively delaying the rostered ending time of the FDPs preceding long night duties;
 - (3) starting a block of night duties with a shorter FDP; and
 - (4) avoiding the sequence of early starts and long night duties.
- (b) Fatigue risk management principles may be applied to the rostering of long night duties by means of:
 - (1) considering operator or industry operational experience and data collected on similar operations;
 - (2) evidence-based scheduling practices; and
 - (3) bio-mathematical models.

GM1 CS FTL.1.205(c)(1)(ii) Flight Duty Period (FDP)

IN-FLIGHT REST

In-flight rest should be taken during the cruise phase of the flight.

GM2 CS FTL.1.205(c)(1)(ii) Flight Duty Period (FDP)

IN-FLIGHT REST

In-flight rest periods should be allocated in order to optimise the alertness of those flight crew members at control during landing.

GM1 CS FTL.1.205(d) Flight Duty Period (FDP)

DELAYED REPORTING

Operator procedures for delayed reporting should:

- (a) specify a contacting mode;
- (b) establish minimum and maximum notification times; and
- (c) avoid interference with sleeping patterns when possible.

CS FTL.1.220 Split duty

The increase of limits on flight duty, under the provisions of **ORO.FTL.220**, complies with the following:

- (a) The break on the ground within the FDP has a minimum duration of 3 consecutive hours.
- (b) The break excludes the time allowed for post and pre-flight duties and travelling. The minimum total time for post and pre-flight duties and travelling is 30 minutes. The operator specifies the actual times in its operations manual.
- (c) The maximum FDP specified in **ORO.FTL.205(b)** may be increased by up to 50 % of the break.
- (d) Suitable accommodation is provided either for a break of 6 hours or more or for a break that encroaches the window of circadian low (WOCL).
- (e) In all other cases:
 - (1) accommodation is provided; and
 - (2) any time of the actual break exceeding 6 hours or any time of the break that encroaches the WOCL does not count for the extension of the FDP.
- (f) Split duty cannot be combined with in-flight rest.

GM1 CS FTL.1.220(b) Split duty

POST, PRE-FLIGHT DUTY AND TRAVELLING TIMES

The operator should specify post and pre-flight duty and travelling times taking into account aircraft type, type of operation and airport conditions.

CS FTL.1.225 Standby

The modification of limits on flight duty, duty and rest periods under the provisions of **ORO.FTL.225** complies with the following:

- (a) Airport standby
 - (1) If not leading to the assignment of an FDP, airport standby is followed by a rest period as specified in **ORO.FTL.235**.
 - (2) If an assigned FDP starts during airport standby, the following applies:
 - (i) the FDP counts from the start of the FDP. The maximum FDP is reduced by any time spent on standby in excess of 4 hours;
 - (ii) the maximum combined duration of airport standby and assigned FDP as specified in **ORO.FTL.205(b)** and (d) is 16 hours.
- (b) Standby other than airport standby:
 - (1) the maximum duration of standby other than airport standby is 16 hours;
 - (2) The operator's standby procedures are designed to ensure that the combination of standby and FDP do not lead to more than 18 hours awake time;
 - (3) 25 % of time spent on standby other than airport standby counts as duty time for the purpose of **ORO.FTL.210**;
 - (4) standby is followed by a rest period in accordance with **ORO.FTL.235**;
 - (5) standby ceases when the crew member reports at the designated reporting point;
 - (6) if standby ceases within the first 6 hours, the maximum FDP counts from reporting;
 - (7) if standby ceases after the first 6 hours, the maximum FDP is reduced by the amount of standby time exceeding 6 hours;
 - (8) if the FDP is extended due to in-flight rest according to **CS FTL.1.205(c)**, or to split duty according to **CS FTL.1.220**, the 6 hours of paragraph (6) and (7) are extended to 8 hours;
 - (9) if standby starts between 23:00 and 07:00, the time between 23:00 and 07:00 does not count towards the reduction of the FDP under (6), (7) and (8) until the crew member is contacted by the operator; and
 - (10) the response time between call and reporting time established by the operator allows the crew member to arrive from his/her place of rest to the designated reporting point within a reasonable time.

GM1 CS FTL.1.225 Standby

MINIMUM REST AND STANDBY

- (a) If airport or other standby initially assigned is reduced by the operator during standby that does not lead to an assignment to a flight duty period, the minimum rest requirements specified in **ORO.FTL.235** should apply.
- (b) If a minimum rest period as specified in **ORO.FTL.235** is provided before reporting for the duty assigned during the standby, this time period should not count as standby duty.

- (c) Standby other than airport standby counts (partly) as duty for the purpose of **ORO.FTL.210** only. If a crew member receives an assignment during standby other than airport standby, the actual reporting time at the designated reporting point should be used for the purpose of **ORO.FTL.235**.

GM1 CS FTL.1.225(b) Standby

STANDBY OTHER THAN AIRPORT STANDBY NOTIFICATION

Operator procedures for the notification of assigned duties during standby other than airport standby should avoid interference with sleeping patterns if possible.

GM1 CS FTL.1.225(b)(2) Standby

AWAKE TIME

Scientific research shows that continuous awake in excess of 18 hours can reduce the alertness and should be avoided.

CS FTL.1.230 Reserve

The operator assigns duties to a crew member on reserve under the provisions of **ORO.FTL.230** complying with the following:

- (a) An assigned FDP counts from the reporting time.
- (b) Reserve times do not count as duty period for the purpose of **ORO.FTL.210** and **ORO.FTL.235**.
- (c) The operator defines the maximum number of consecutive reserve days within the limits of **ORO.FTL.235(d)**.
- (d) To protect an 8-hour sleep opportunity, the operator rosters a period of 8 hours, taking into account fatigue management principles, for each reserve day during which a crew member on reserve is not contacted by the operator.

GM1 CS FTL.1.230 Reserve

RESERVE NOTIFICATION

Operator procedures for the notification of assigned duties during reserve should avoid interference with sleeping patterns if possible.

GM2 CS FTL.1.230 Reserve

NOTIFICATION IN ADVANCE

The minimum 'at least 10 hours' between the notification of an assignment for any duty and reporting for that duty during reserve may include the period of 8 hours during which a crew member on reserve is not contacted by the operator.

GM1 CS FTL.1.230(c) Reserve

RECURRENT EXTENDED RECOVERY REST

ORO.FTL.235(d) applies to a crew member on reserve.

CS FTL.1.235 Rest periods

(a) Disruptive schedules

- (1) If a transition from a late finish/night duty to an early start is planned at home base, the rest period between the 2 FDPs includes 1 local night.
- (2) If a crew member performs 4 or more night duties, early starts or late finishes between 2 extended recovery rest periods as defined in **ORO.FTL.235(d)**, the second extended recovery rest period is extended to 60 hours.

(b) Time zone differences

- (1) For the purpose of **ORO.FTL.235(e)(1)**, 'rotation' is a series of duties, including at least one flight duty, and rest period out of home base, starting at home base and ending when returning to home base for a rest period where the operator is no longer responsible for the accommodation of the crew member.
- (2) The operator monitors rotations and combinations of rotations in terms of their effect on crew member fatigue, and adapts the rosters as necessary.
- (3) Time zone differences are compensated by additional rest, as follows:
 - (i) At home base, if a rotation involves a 4 hour time difference or more, the minimum rest is as specified in the following table.

Minimum local nights of rest at home base to compensate for time zone differences

| Maximum time difference (h) between reference time and local time where a crew member rests during a rotation | Time elapsed (h) since reporting for the first FDP in a rotation involving at least 4 hour time difference to the reference time | | | |
|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|------------|------------|-----|
| | < 48 | 48 – 71:59 | 72 – 95:59 | ≥96 |
| ≤6 | 2 | 2 | 3 | 3 |
| ≤9 | 2 | 3 | 3 | 4 |
| ≤12 | 2 | 3 | 4 | 5 |

- (ii) Away from home base, if an FDP involves a 4-hour time difference or more, the minimum rest following that FDP is at least as long as the preceding duty period, or 14 hours, whichever is greater. By way of derogation from point (b)(3)(i) and only once between 2 recurrent extended recovery rest periods as specified in **ORO.FTL.235(d)**, the minimum rest provided under this point (b)(3)(ii) may also apply to home base if the operator provides suitable accommodation to the crew member.
- (4) In case of an Eastward-Westward or Westward-Eastward transition, at least 3 local nights of rest at home base are provided between alternating rotations.
- (5) The monitoring of combinations of rotations is conducted under the operator's management system provisions.

- (c) Reduced rest
- (1) The minimum reduced rest periods under reduced rest arrangements are 12 hours at home base and 10 hours out of base.
 - (2) Reduced rest is used under fatigue risk management.
 - (3) The rest period following the reduced rest is extended by the difference between the minimum rest period specified in **ORO.FTL.235(a)** or (b) and the reduced rest.
 - (4) The FDP following the reduced rest is reduced by the difference between the minimum rest period specified in **ORO.FTL.235(a)** or (b) as applicable and the reduced rest.
 - (5) There is a maximum of 2 reduced rest periods between 2 recurrent extended recovery rest periods specified in accordance with **ORO.FTL.235(d)**.

GM1 CS FTL.1.235(b)(3) Rest periods

TIME ELAPSED SINCE REPORTING

The time elapsed since reporting for a rotation involving at least a 4-hour time difference to the reference time stops counting when the crew member returns to his/her home base for a rest period during which the operator is no longer responsible for the accommodation of the crew member.

GM2 CS FTL.1.235(b)(3) Additional rest to compensate for time zone differences

REST AFTER ROTATIONS WITH THREE OR MORE FLIGHT DUTY PERIODS

For a rotation with three or more FDPs, the greatest time zone difference from the original reference time should be used to determine the minimum number of local nights of rest to compensate for time zone differences. If such a rotation includes time zones crossings in both directions, the calculation is based on the highest number of time zones crossed in any one FDP during the rotation.

APPENDIX TO ANNEX III

ANNEX IV (PART-CAT)

SUBPART A: GENERAL REQUIREMENTS

SECTION 1 – MOTOR-POWERED AIRCRAFT

AMC1 CAT.GEN.MPA.100(b) Crew responsibilities

COPIES OF REPORTS

Where a written report is required, a copy of the report should be communicated to the commander concerned unless the terms of the operator's reporting schemes prevent this.

AMC1 CAT.GEN.MPA.100(c)(1) Crew responsibilities

ALCOHOL CONSUMPTION

The operator should issue instructions concerning the consumption of alcohol by crew members. The instructions should be not less restrictive than the following:

- (a) no alcohol should be consumed less than 8 hours prior to the specified reporting time for a flight duty period or the commencement of standby;
- (b) the blood alcohol level should not exceed the lower of the national requirements or 0.2 per thousand at the start of a flight duty period;
- (c) no alcohol should be consumed during the flight duty period or whilst on standby.

GM1 CAT.GEN.MPA.100(c)(2) Crew responsibilities

ELAPSED TIME BEFORE RETURNING TO FLYING DUTY

24 hours is a suitable minimum length of time to allow after normal blood donation or normal recreational (sport) diving before returning to flying duties. This should be considered by operators when determining a reasonable time period for the guidance of crew members.

PART-MED

Information on the effects of medication, drugs, other treatments and alcohol can be found in Annex IV (Part-MED) to Commission Regulation (EU) No 1178/2011¹.

¹ Commission Regulation (EU) No 1178/2011 of 3 November 2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 311, 25.11.2011, p. 1).

GM1 CAT.GEN.MPA.105(a)(10) Responsibilities of the commander

IDENTIFICATION OF THE SEVERITY OF AN OCCURRENCE BY THE COMMANDER

The definitions of an accident and a serious incident as well as examples thereof can be found in Regulation (EU) No 996/2010 of the European Parliament and of the Council.

AMC1 CAT.GEN.MPA.115(a) Personnel or crew members other than cabin crew in the passenger compartment

MEASURES TO PREVENT CONFUSION BY PASSENGERS

If personnel or crew members other than operating cabin crew members carry out duties in a passenger compartment, the operator should ensure that they do not perform tasks or wear a uniform in such a way that might identify them as members of the operating cabin crew.

GM1 CAT.GEN.MPA.115 Personnel or crew members other than cabin crew in the passenger compartment

POSITIONING CABIN CREW MEMBERS

To prevent confusion by passengers and undue expectations in case of emergency, positioning cabin crew members should not wear, or should at least make invisible to passengers, parts of the operator's cabin crew uniform, such as main jacket or crew signs or badges, that might identify them as members of the operating cabin crew.

AMC1 CAT.GEN.MPA.124 Taxiing of aircraft

PROCEDURES FOR TAXIING

Procedures for taxiing should include at least the following:

- (a) application of the sterile flight crew compartment procedures;
- (b) use of standard radio-telephony (RTF) phraseology;
- (c) use of lights;
- (d) measures to enhance the situational awareness of the minimum required flight crew members. The following list of typical items should be adapted by the operator to take into account its operational environment:
 - (1) each flight crew member should have the necessary aerodrome layout charts available;
 - (2) the pilot taxiing the aircraft should announce in advance his/her intentions to the pilot monitoring;
 - (3) all taxi clearances should be heard and should be understood by each flight crew member;
 - (4) all taxi clearances should be cross-checked against the aerodrome chart and aerodrome surface markings, signs, and lights;
 - (5) an aircraft taxiing on the manoeuvring area should stop and hold at all lighted stop bars, and may proceed further when an explicit clearance to enter or cross the runway has

- been issued by the aerodrome control tower, and when the stop bar lights are switched off;
- (6) if the pilot taxiing the aircraft is unsure of his/her position, he/she should stop the aircraft and contact air traffic control;
 - (7) the pilot monitoring should monitor the taxi progress and adherence to the clearances, and should assist the pilot taxiing;
 - (8) any action which may disturb the flight crew from the taxi activity should be avoided or done with the parking brake set (e.g. announcements by public address);
- (e) subparagraphs (d)(2) and (d)(7) are not applicable to single-pilot operations.

GM1 CAT.GEN.MPA.125 Taxiing of aeroplanes

SKILLS AND KNOWLEDGE

The following skills and knowledge may be assessed to check if a person can be authorised by the operator to taxi an aeroplane:

- (a) positioning of the aeroplane to ensure safety when starting engine;
- (b) getting ATIS reports and taxi clearance, where applicable;
- (c) interpretation of airfield markings/lights/signals/indicators;
- (d) interpretation of marshalling signals, where applicable;
- (e) identification of suitable parking area;
- (f) maintaining lookout and right-of-way rules and complying with air traffic control (ATC) or marshalling instructions, when applicable;
- (g) avoidance of adverse effect of propeller slipstream or jet wash on other aeroplanes, aerodrome facilities and personnel;
- (h) inspection of taxi path when surface conditions are obscured;
- (i) communication with others when controlling an aeroplane on the ground;
- (j) interpretation of operational instructions;
- (k) reporting of any problem that may occur while taxiing an aeroplane; and
- (l) adapting the taxi speed in accordance with prevailing aerodrome, traffic, surface and weather conditions.

GM2 CAT.GEN.MPA.125 Taxiing of aeroplanes

SAFETY-CRITICAL ACTIVITY

- (a) Taxiing should be treated as a safety-critical activity due to the risks related to the movement of the aeroplane and the potential for a catastrophic event on the ground.
- (b) Taxiing is a high-workload phase of flight that requires the full attention of the flight crew.

GM1 CAT.GEN.MPA.130 Rotor engagement — helicopters

INTENT OF THE RULE

- (a) The following two situations where it is allowed to turn the rotor under power should be distinguished:
 - (1) for the purpose of flight, this is described in the Implementing Rule;
 - (2) for maintenance purposes.
- (b) Rotor engagement for the purpose of flight: the pilot should not leave the control when the rotors are turning. For example, the pilot is not allowed to get out of the aircraft in order to welcome passengers and adjust their seat belts with the rotors turning.
- (c) Rotor engagement for the purpose of maintenance: the Implementing Rule, however, does not prevent ground runs being conducted by qualified personnel other than pilots for maintenance purposes.

The following conditions should be applied:

- (1) the operator should ensure that the qualification of personnel, other than pilots, who are authorised to conduct maintenance runs is described in the appropriate manual;
- (2) ground runs should not include taxiing the helicopter;
- (3) there should be no passengers on board; and
- (4) maintenance runs should not include collective increase or autopilot engagement (due to the risk of ground resonance).

AMC1 CAT.GEN.MPA.135(a)(3) Admission to the flight crew compartment

INSTRUCTIONS FOR SINGLE-PILOT OPERATIONS UNDER VFR BY DAY

Where an aircraft is used in a single-pilot operation under visual flight rules (VFR) by day, but has more than one pilot station, the instructions of the operator may permit passengers to be carried in the unoccupied pilot seat(s), provided that the commander is satisfied that:

- (a) it will not cause distraction or interference with the operation of the flight; and
- (b) the passenger occupying a pilot seat is familiar with the relevant restrictions and safety procedures.

AMC1 CAT.GEN.MPA.140 Portable electronic devices

TECHNICAL PREREQUISITES FOR THE USE OF PEDS

- (a) Scope

This AMC describes the technical prerequisites under which any kind of portable electronic device (PED) may be used on board the aircraft without adversely affecting the performance of the aircraft's systems and equipment.
- (b) Prerequisites concerning the aircraft configuration
 - (1) Before an operator may permit the use of any kind of PED on-board, it should ensure that PEDs have no impact on the safe operation of the aircraft. The operator should

-
- demonstrate that PEDs do not interfere with on-board electronic systems and equipment, especially with the aircraft's navigation and communication systems.
- (2) The assessment of PED tolerance may be tailored to the different aircraft zones for which the use of PEDs is considered, i.e. may address separately:
- (i) the passenger compartment;
 - (ii) the flight crew compartment; and
 - (iii) areas not accessible during the flight.
- (c) Scenarios for permitting the use of PEDs
- (1) Possible scenarios, under which the operator may permit the use of PEDs, should be as documented in Table 1. The scenarios in Table 1 are listed in a descending order with the least permitting scenario at the bottom.
 - (2) Restrictions arising from the corresponding aircraft certification, as documented in the aircraft flight manual (AFM) or equivalent document(s), should stay in force. They may be linked to different aircraft zones, or to particular transmitting technologies covered.
 - (3) For Scenarios Nos. 3 to 8 in Table 1 the use of C-PEDs and cargo tracking devices may be further expanded, when the EMI assessment has demonstrated that there is no impact on safety as follows:
 - (i) for C-PEDs by using the method described in (d)(2); and
 - (ii) for cargo tracking devices by using the method described in (d)(3).

Table 1 – Scenarios for permitting the use of PEDs by the operator

| No. | Technical condition | Non-intentional transmitters | T-PEDs |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| 1 | The aircraft is certified as T-PED tolerant, i.e. it has been demonstrated during the aircraft certification process that front door and back door coupling have no impact on the safe operation of the aircraft | All phases of flight | All phases of flight |
| 2 | A complete electromagnetic interference (EMI) assessment for all technologies, using the method described in (d)(1), has been performed and has demonstrated the T-PED tolerance | All phases of flight | All phases of flight |
| 3 | The aircraft is certified for the use of T-PEDs using particular technologies (e.g. WLAN or mobile phone) | All phases of flight | All phases of flight, restricted to those particular technologies |
| 4 | The EMI assessment, using the method described in (d)(1), has demonstrated that: <ul style="list-style-type: none"> (a) the front door coupling has no impact on safety; and (b) the back door coupling has no impact on safety when using particular technologies (e.g. WLAN or mobile phone) | All phases of flight | All phases of flight, restricted to those particular technologies |
| 5 | The EMI assessment, using the method described in (d)(1)(i), has demonstrated that the front door coupling has no impact on safety caused by non-intentional transmitters | All phases of flight | Not permitted |
| 6 | The EMI assessment, using the method described in (d)(1)(ii), has demonstrated that the back door coupling has no impact on safety when using particular technologies (e.g. WLAN or mobile phone) | All phases of flight - except low visibility approach operation | All phases of flight - except low visibility approach operation, restricted to those particular technologies |
| 7 | An EMI assessment has not been performed | All phases of flight - except low visibility approach operation | Not permitted |
| 8 | Notwithstanding Scenarios Nos. 3 to 7 | <ul style="list-style-type: none"> (a) before taxi-out; (b) during taxi-in after the end of landing roll; and (c) the commander may permit the use during prolonged departure delays, provided that sufficient time is available to check the passenger compartment before the flight proceeds | |

(d) Demonstration of electromagnetic compatibility**(1) EMI assessment at aircraft level**

The means to demonstrate that the radio frequency (RF) emissions (intentional or non-intentional) are tolerated by aircraft systems should be as follows:

- (i) to address front door coupling susceptibility for any kind of PEDs:

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- (A) EUROCAE, 'Guidance for the use of Portable Electronic Devices (PEDs) on Board Aircraft', ED-130A / RTCA DO-363 'Guidance for the Development of Portable Electronic Devices (PED) Tolerance for Civil Aircraft', Section 5; or
 - (B) EUROCAE, 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', ED-239 / RTCA DO-307A, Section 4;
 The use of RTCA, 'Guidance on Allowing Transmitting Portable Electronic Devices (T PEDs) on Aircraft', DO-294C (or later revisions), Appendix 5C; or RTCA DO-307 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', (including Change 1 or later revisions), Section 4 may be acceptable.
 - (ii) to address back door coupling susceptibility for T-PEDs:
 - (A) EUROCAE, 'Guidance for the use of portable electronic devices (PEDs) on board aircraft', ED-130A/RTCA DO-363, Section 6; or
 - (B) EUROCAE, 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', ED-239 / RTCA DO-307A, Section 3; or
 - (C) The use of EUROCAE, 'Guidance for the use of Portable Electronic Devices (PEDs) on Board Aircraft', ED-130, Annex 6; or RTCA DO-294C (or later revisions), Appendix 6D; or RTCA DO-307 (including Change 1 or later revisions), Section 3 may be acceptable.
 - (2) Alternative EMI assessment of controlled PEDs (C-PEDs)
 - (i) To address front door coupling:
 - (A) C-PEDs should comply with the levels as defined by:
 - (a) EUROCAE/RTCA, 'Environmental conditions and test procedures for airborne equipment', ED-14D/DO-160D (or later revisions), Section 21, Category M, for operation in the passenger compartment and the flight crew compartment; and
 - (b) EUROCAE ED-14D/RTCA DO-160D (or later revisions), Section 21, Category H, for operation in areas not accessible during the flight.
 - (B) If the C-PEDs are electronic flight bags used in the flight crew compartment and if the DO-160 testing described in (A) identifies inadequate margins for interference or has not been performed, it is necessary to test the C-PED in each aircraft model in which it will be operated. The C-PED should be tested in operation on the aircraft to show that no interference with aircraft equipment occurs. Credit may be given to other aircraft that are similarly equipped (meaning in particular that they contain the same avionics equipment) of the same make and model as the one tested.
 - (ii) To address back door coupling susceptibility for C-PEDs with transmitting capabilities, the EMI assessment described in (1)(ii) should be performed.
 - (3) Alternative EMI assessment of cargo tracking devices
 In cases where a transmitting function is automatically deactivated in a cargo tracking device that is a T-PED, the unit should be qualified for safe operation on board the aircraft. One of the following methods should be considered to be acceptable as evidence of its safe operation:

-
- (i) A type-specific safety assessment, including failure mode and effects analysis, has been performed at the aircraft level. The main purpose of the assessment should be to determine the hazards and to demonstrate that the design assurance levels of the relevant hardware and software components of the cargo tracking device are adequate.
 - (ii) The high intensity radiated field (HIRF) certification of the aircraft has been performed, i.e. the aircraft type has been certified after 1987 and meets the appropriate special condition. In such a case, the operator should ensure that the following conditions are met:
 - (A) The tracking device:
 - (a) features an automated and prolonged radio suspension in flight using multiple modes of redundancy; and
 - (b) has been verified in the aircraft environment to ensure deactivation of the transmitting function in flight.
 - (B) The emissions from the tracking device comply with the levels as defined by EUROCAE ED-14E/RTCA DO-160E (or later revisions), Section 21, Category H.
 - (C) The operator should ensure that the following documents are provided by the tracking device manufacturer:
 - (a) a declaration from the manufacturer identifying the device and confirming that the device and its deactivation function comply with the requirement (A) and (B) above;
 - (b) a declaration showing that robust design and production controls are in place during the manufacturing of the tracking device;
 - (c) a declaration of conformity and technical documentation showing compliance with the European Norms (EN), regulating the transmitter characteristics of the tracking device or its transmission module; and
 - (d) the EMI assessment report documenting compliance with point (B) above.
 - (iii) The tracking device interference levels during transmission are below those considered acceptable for the specific aircraft environment.
- (a) Operational conditions of C-PEDs and cargo tracking devices
- The operator should ensure that C-PEDs and cargo tracking devices are maintained in good and safe condition, having in mind that:
- (1) damage may modify their emissions characteristics; and
 - (2) damage to the battery may create a fire hazard.
- (b) Batteries in C-PEDs and cargo tracking devices
- Lithium-type batteries in C-PEDs and cargo tracking devices should meet:
- (1) United Nations (UN) Transportation Regulations, 'Recommendations on the transport of dangerous goods - manual of tests and criteria', UN ST/SG/AC.10/11; and
 - (2) one of the following standards:
 - (i) Underwriters Laboratory, 'Lithium batteries', UL 1642;

- (ii) Underwriters Laboratory, 'Household and commercial batteries', UL 2054;
- (iii) Underwriters Laboratory, 'Information technology equipment – safety', UL60950-1;
- (iv) International Electrotechnical Commission (IEC), 'Secondary cells and batteries containing alkaline or other non-acid electrolytes - safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications', IEC 62133;
- (v) RTCA, 'Minimum operational performance standards for rechargeable lithium battery systems', DO-311. RTCA DO-311 may be used to address concerns regarding overcharging, over-discharging, and the flammability of cell components. The standard is intended to test permanently installed equipment; however, these tests are applicable and sufficient to test electronic flight bags rechargeable lithium-type batteries; or
- (vi) European Technical Standard Order (ETSO), 'Non-rechargeable lithium cells and batteries', ETSO C142a.

AMC2 CAT.GEN.MPA.140 Portable electronic devices

PROCEDURES FOR THE USE OF PEDS

(a) Scope

This AMC describes the procedures under which any kind of portable electronic device (PED) may be used on board the aircraft without adversely affecting the performance of the aircraft's systems and equipment. This AMC addresses the operation of PEDs in the different aircraft zones — passenger compartment, flight compartment, and areas inaccessible during the flight.

(b) Prerequisites

Before permitting the use of any kind of PEDs the operator should ensure compliance with (c) of **AMC1 CAT.GEN.MPA.140**.

(c) Hazard identification and risk assessment

The operator should identify the safety hazards and manage the associated risks following the management system implemented in accordance with **ORO.GEN.200**. The risk assessment should include hazards associated with:

- (1) PEDs in different aircraft zones;
- (2) PED use during various phases of flight;
- (3) PED use during turbulence;
- (4) improperly stowed PEDs;
- (5) impeded or slowed evacuations;
- (6) passenger non-compliance, e.g. not deactivating transmitting functions, not switching off PEDs or not stowing PEDs properly;
- (7) disruptive passengers; and
- (8) battery fire.

(d) Use of PEDs in the passenger compartment

(1) Procedures and training

If an operator permits passengers to use PEDs on board its aircraft, procedures should be in place to control their use. These procedures should include provisions for passenger briefing, passenger handling and for the stowage of PEDs. The operator should ensure that all crew members and ground personnel are trained to enforce possible restrictions concerning the use of PEDs, in line with these procedures.

(2) Provisions for use

- (i) The use of PEDs in the passenger compartment may be granted under the responsibility of the operator, i.e. the operator decides which PED may be used during which phases of the flight.
- (ii) Notwithstanding (b), medical equipment necessary to support physiological functions may be used at all times and does not need to be switched-off.

(3) Stowage, passenger information and passenger briefing of PEDs

- (i) In accordance with **CAT.OP.MPA.160** the operator should establish procedures concerning the stowage of PEDs. The operator should:
 - (A) identify the phases of flight in which PEDs are to be stowed; and
 - (B) determine suitable stowage locations, taking into account the PEDs' size and weight.
- (ii) The operator should provide general information on the use of PEDs to the passengers before the flight. This information should specify at least:
 - (A) which PEDs can be used during which phases of the flight;
 - (B) when and where PEDs are to be stowed; and
 - (C) that the instructions of the crew are to be followed at all times.
- (iii) In accordance with **CAT.OP.MPA.170**, the use of PEDs should be part of the passenger briefings. The operator should remind passengers to pay attention and to avoid distraction during such briefings.

(4) In-seat electrical power supplies

Where in-seat electrical power supplies are available for passenger use, the following should apply:

- (i) information giving safety instructions should be provided to the passengers;
- (ii) PEDs should be disconnected from any in-seat electrical power supply during taxiing, take-off, approach, landing, and during abnormal or emergency conditions; and
- (iii) flight crew, cabin crew and technical crew should be aware of the proper means to switch-off in-seat power supplies used for PEDs.

(5) Operator's safety measures during boarding and any phase of flight

- (i) Appropriate coordination between flight crew, cabin crew and technical crew should be established to deal with interference or other safety problems associated with PEDs.

- (ii) Suspect equipment should be switched off.
 - (iii) Particular attention should be given to passenger misuse of equipment.
 - (iv) Thermal runaways of batteries, in particular lithium batteries, and potential resulting fire, should be handled properly.
 - (v) The commander may, for any reason and during any phase of flight, require deactivation and stowage of PEDs.
 - (vi) When the operator restricts the use of PEDs, consideration should be given to handle special requests to operate a T-PED during any phase of the flight for specific reasons (e.g. for security measures).
- (6) Reporting
- Occurrences of suspected or confirmed interference should be reported to the CAA. Where possible, to assist follow-up and technical investigation, reports should describe the suspected device, identify the brand name and model number, its location in the aircraft at the time of the occurrence, interference symptoms, the device user's contact details and the results of actions taken by the crew.
- (e) Use of PEDs in the flight crew compartment
- In the flight crew compartment the operator may permit the use of PEDs, e.g. to assist the flight crew in their duties, when procedures are in place to ensure the following:
- (1) The conditions for the use of PEDs in-flight are specified in the operations manual.
 - (2) The PEDs do not pose a loose item risk or other hazard.
 - (3) These provisions should not preclude use of a T-PED (specifically a mobile phone) by the flight crew to deal with an emergency. However, reliance should not be predicated on a T-PED for this purpose.
- (f) PEDs not accessible during the flight
- PEDs should be switched off, when not accessible for deactivation during flight. This should apply especially to PEDs contained in baggage or transported as part of the cargo. The operator may permit deviation for PEDs for which safe operation has been demonstrated in accordance with **AMC1 CAT.GEN.MPA.140**. Other precautions, such as transporting in shielded metal boxes, may also be used to mitigate associated risks.

GM1 CAT.GEN.MPA.140 Portable electronic devices

DEFINITIONS

(a) Categories of PEDs

PEDs include the following two categories:

- (1) Non-intentional transmitters can non-intentionally radiate RF transmissions, sometimes referred to as spurious emissions. This category includes, but is not limited to, calculators, cameras, radio receivers, audio and video players, electronic games and toys; when these devices are not equipped with a transmitting function.
- (2) Intentional transmitters radiate RF transmissions on specific frequencies as part of their intended function. In addition, they may radiate non-intentional transmissions like any PED. The term 'transmitting PED' (T-PED) is used to identify the transmitting capability of

the PED. Intentional transmitters are transmitting devices such as RF-based remote control equipment, which may include some toys, two-way radios (sometimes referred to as 'private mobile radio'), mobile phones of any type, satellite phones, computers with mobile phone data connection, wireless local area network (WLAN) or Bluetooth capability. After deactivation of the transmitting capability, e.g. by activating the so-called 'flight mode' or 'flight safety mode', the T-PED remains a PED having non-intentional emissions.

(b) Cargo tracking device

A cargo tracking device is a PED attached to or included in airfreight (e.g. in or on containers, pallets, parcels or baggage). Cargo tracking devices can be assigned to the category of non-intentional transmitters or T-PEDs. If the device is a T-PED, it complies with the European Norms (EN) for transmissions.

(c) Definition of the switched-off status

Many PEDs are not completely disconnected from the internal power source when switched off. The switching function may leave some remaining functionality e.g. data storage, timer, clock, etc. These devices can be considered switched off when in the deactivated status. The same applies to devices having no transmitting capability and are operated by coin cells without further deactivation capability, e.g. wrist watches.

(d) Electromagnetic interference (EMI)

The two classes of EMI to be addressed can be described as follows:

- (1) Front door coupling is the possible disturbance to an aircraft system as received by the antenna of the system and mainly in the frequency band used by the system. Any PED internal oscillation has the potential to radiate low level signals in the aviation frequency bands. Through this disturbance especially the instrument landing system (ILS) and the VHF omni range (VOR) navigation system may indicate erroneous information.
- (2) Back door coupling is the possible disturbance of aircraft systems by electromagnetic fields generated by transmitters at a level which could exceed on short distance (i.e. within the aircraft) the electromagnetic field level used for the aircraft system certification. This disturbance may then lead to system malfunction.

GM2 CAT.GEN.MPA.140 Portable electronic devices

CREW REST COMPARTMENT, NAVIGATION, TEST ENTITIES AND FIRE CAUSED BY PEDS

- (a) When the aircraft is equipped with a crew rest compartment, it is considered being part of the passenger compartment.
- (b) Front door coupling may influence the VOR navigation system. Therefore, the flight crew monitors other navigation sensors to detect potential disturbances by PEDs, especially during low visibility departure operation based on VOR guidance.
- (c) Specific equipment, knowledge and experience are required, when the industry standards for evaluating technical prerequisites for the use of PEDs are applied. In order to ensure conformity with the industry standards, the operator is encouraged to cooperate with an appropriately qualified and experienced entity, as necessary. For this entity an aviation background is not required, but is considered to be beneficial.

- (d) Guidance to follow in case of fire caused by PEDs is provided by the International Civil Aviation Organisation, 'Emergency response guidance for aircraft incidents involving dangerous goods', ICAO Doc 9481-AN/928.

GM3 CAT.GEN.MPA.140 Portable electronic devices

EVALUATION OF CARGO TRACKING DEVICES

- (a) Safety assessment

Further guidance on performing a safety assessment can be found in:

- (1) , 'Certification specifications and acceptable means of compliance for large aeroplanes', CS-25, Book 2, AMC-Subpart F, AMC 25.1309;
- (2) EUROCAE/SAE, 'Guidelines for development of civil aircraft and systems', ED-79/ARP 4754 (or later revisions); and
- (3) SAE, 'Guidelines and methods for conducting the safety assessment process on civil airborne systems and equipment', ARP 4761 (or later revisions).

- (b) HIRF certification

The type certificate data sheet (TCDS), available on the EASA website for each aircraft model having EASA certification, lists whether the HIRF certification has been performed through a special condition. The operator may contact the type certification holder to gain the necessary information.

- (c) Multiple modes of redundancy

Multiple modes of redundancy means that the device is designed with a minimum of two independent means to turn it off completely, turn off the cellular or mobile functions, or a combination of both when airborne. These independent methods should use different sources to identify that the aircraft is in flight, for example, a cargo-tracking device may be designed to sense rapid altitude changes and acceleration to determine when to turn off cellular transmissions. Redundant sources of the same information, such as two vertical accelerometers, should not be considered independent.

GM1 CAT.GEN.MPA.141 Use of electronic flight bags (EFBs)

DEFINITIONS

For the purpose of EFB use, the following definitions apply:

- (a) Aircraft administrative communications (AAC):

AAC are defined by ICAO as non-safety communications that are used by aeronautical operating agencies and are related to the business aspects of operating their flights and transport services. These communications are used for a variety of purposes, such as flight and ground transportation, bookings, deployment of crew, and aircraft or any other logistical purposes that maintain or enhance the efficiency of overall flight operations. AAC data links receive/transmit information that includes, but is not limited to, the support of EFB applications.

- (b) Aeronautical operational control (AOC):

AOC communications are defined by ICAO as communications required for the exercise of authority over the initiation, continuation, diversion or termination of flight for safety, regularity, and efficiency reasons.

GM2 CAT.GEN.MPA.141 Use of electronic flight bags (EFBs)

BACKGROUND INFORMATION

Further related information on EFB hardware and EFB applications can be found in the following documents:

- (a) AMC 20-25, Airworthiness considerations for EFBs;
- (b) CS-25, Book 2, AMC Subpart F, AMC 25.1309, System Design and Analysis;
- (c) EUROCAE ED-14D/DO-160D (or later revisions) Environmental Conditions and Test Procedures for Airborne Equipment;
- (d) ETSO-C165A, Electronic Map Systems for Graphical Depiction of Aircraft Position;
- (e) FAA AC 120-76(C), Authorization for an Electronic Flight Bag Program;
- (f) FAA AC 120-78, Electronic Signatures, Electronic Recordkeeping, and Electronic Manuals;
- (g) ICAO Doc 10020, Manual of Electronic Flight Bags (EFBs).

AMC1 CAT.GEN.MPA.141(a) Use of electronic flight bags (EFBs)

HARDWARE

Before using a portable EFB, the following considerations should be assessed by the operator:

- (a) General

A portable EFB is a portable electronic device (PED) and may host type A and/or type B EFB applications. In addition, it may host miscellaneous software applications. Portable EFBs are controlled PEDs (C-PEDs).

A portable EFB should be capable of operation autonomously inside and outside the aircraft.

The mass, dimensions, shape, and position of the portable EFB should not compromise flight safety.

The power supply of a portable EFB may be provided by aircraft sources through an adequate power source.

If mounted or stowed, a portable EFB should be easily removable from its mounting device/viewable stowage device or attached to it, without the use of tools by the flight crew. Any locking devices used to prevent theft should be unlocked during flight.

A portable EFB may be part of a system that contains EFB-installed resources which are part of the certified aircraft configuration. The intended functions of the EFB-installed components may be to mount the EFB onto the aircraft and/or connect it to other systems.

Portable EFBs may be used in all phases of the flight if secured to a certified mount or securely attached to a viewable stowage device in a manner that allows its use.

Portable EFBs that do not meet the above characteristics should be stowed during critical phases of the flight.

However, this does not preclude a flight crew from using a portable EFB during restricted portions of the critical phases of flight to complete a task related to the safety of the flight on the condition that the device is continuously handheld and used only during a short period of time. When the task is completed, the device should be stowed again.

Any EFB component that is either not accessible in the flight crew compartment by the flight crew members or not removable by the flight crew members should be installed as 'certified equipment' covered by a type certificate (TC), a change to a TC or a supplemental (S)TC.

(b) Characteristics and placement of the EFB display

For a portable EFB, the considerations on the location of the display proposed below should apply to the proposed location of the display when the EFB is in use.

The EFB display and any other elements of the EFB system should be placed in such a way that they do not unduly impair the flight crew's external view during any of the phases of the flight. Equally, they should not impair the view of or access to any flight-crew-compartment control or instrument.

The location of the display unit and the other EFB system elements should be assessed for their possible impact on egress requirements.

When the EFB is in use (intended to be viewed or controlled), its display should be within 90 degrees on either side of each flight crew member's line of sight.

Glare and reflection on the EFB display should not interfere with the normal duties of the flight crew.

(c) Power source

If the aircraft is equipped with electrical power outlet(s) in the flight crew compartment, the operator should ensure that their certified characteristics are compatible with the intended use of the EFB system. The powering or charging of the EFB system should be compatible with the electrical characteristics of the power supplied by the outlets in terms of power consumption, voltage, frequency, etc., not to impair the EFB system or other aircraft systems.

(d) EFB data connectivity

Portable EFBs may have data connectivity to aircraft systems, either wired or wireless, provided that the connections (hardware and software for data connection provisions) and adequate interface protection devices are incorporated into the aircraft type design.

A portable EFB may receive any data from aircraft systems, but data transmission from EFBs should be limited to aircraft systems that have been certified for this intended purpose (refer to AMC 20-25 for more details).

(e) External connecting cables (to avionics and/or power sources)

When external cables are used to connect a portable EFB to the aircraft systems and/or to a power source, the following should apply:

- (1) cables should not hang loosely in a way that compromises task performance and safety; flight crew members should be able to easily secure the cables out of the way during operations (e.g. by using cable tether straps); and
- (2) cables should be of sufficient length so that they do not obstruct the use of any movable device (e.g. flight controls, switches, seats, windows) in the flight crew compartment.

(f) Electromagnetic interference (EMI) demonstrations

See paragraph (b), (c) and (d) of **AMC1 CAT.GEN.MPA.140**.

The EMI demonstration should cover any cable connected to the EFB as well as non-certified power chargers.

(g) Batteries

See paragraph (f) of **AMC1 CAT.GEN.MPA.140**.

(h) Viewable stowage

The evaluation of the viewable stowage should be performed for a given location in the flight deck. This location should be documented and this information should be part of the EFB policy.

The viewable stowage should not be positioned in such a way that it creates significant obstruction to the flight crew members' view or hinders physical access to aircraft controls and/or displays and/or aircraft safety equipment, flight crew ingress or egress. The viewable stowage as positioned should allow the flight crew to retain a sufficiently extensive, clear, and undistorted view, to enable them to safely perform any manoeuvres within the operating limitations of the aircraft, including taxiing, take-off, approach, and landing. The design of the viewable stowage should allow the user easy access to any item of the EFB system, even if stowed, and notably to the EFB controls and a clear view of the EFB display while in use. The following design practices should be considered:

- (1) The viewable stowage and associated mechanisms should not impede the flight crew members in the performance of any task (whether normal, abnormal, or emergency) associated with operating any aircraft system;
- (2) When the viewable stowage is used to secure an EFB display, it should be able to be easily locked in position. If necessary, the selection of positions should be adjustable enough to accommodate a range of flight crew member preferences. In addition, the range of available movement should accommodate the expected range of users' physical abilities (i.e. anthropometric constraints). Locking mechanisms should be of a low-wear type that will minimise slippage even after extended periods of normal use;
- (3) The viewable stowage should be designed and installed so that it will sustain all foreseeable conditions relative to the flight environment (e.g. severe turbulence, hard landings) while retaining its structural integrity and without becoming detached. The use of restraints of the device should be considered where appropriate;
- (4) A provision should be available to secure or lock the device in a position out of the way of flight crew operations when not in use. When stowed, the device and its securing mechanism should not intrude into the flight crew compartment space to the extent that they cause either visual or physical obstruction of flight controls/displays and/or ingress/egress routes;
- (5) Possible mechanical interference issues of the viewable stowage, either on the side panel (side stick controller), or on the control yoke, in terms of full and free movement under all operating conditions and non-interference with buckles, etc., should be prevented;
- (6) Adequate means should be provided (e.g. hardware or software) to shut down the portable EFB when its controls are not accessible by the flight crew members when strapped in the normal seated position; and
- (7) The viewable stowage device should be easily removable from the aircraft without the use of tools.

Some types of means for securing viewable stowage may have characteristics that degrade noticeably with ageing or due to various environmental factors. In that case, the documentation should include procedures (e.g. crew procedures, checks, or maintenance actions) to ensure that the stowage characteristics remain within acceptable limits for the proposed operations. Securing means based on vacuums (e.g. suction cups) have holding capacities that decrease with pressure. It should be demonstrated that they will still perform their intended function at operating cabin altitudes or in the event of a rapid decompression.

In addition, it should be demonstrated that if the EFB moves or is separated from its stowage, or if the viewable stowage is unsecured from the aircraft (as a result of turbulence,

manoeuvring, or other action), it will not jam flight controls, damage flight deck equipment, or injure flight crew members.

The risks associated with an EFB fire should be minimised by the design and location of the viewable stowage.

GM1 CAT.GEN.MPA.141(a) Use of electronic flight bags (EFBs)

VIEWABLE STOWAGE

- (a) Viewable stowage devices have been involved in several reported incidents worldwide. The following issues should be considered by the operator when assessing the compliance of a viewable stowage device:
- (1) The EFB or EFB stowage interfering with controls (e.g. side sticks, tillers, PTT switches, etc.);
 - (2) Stowage or EFB cables interfering with the opening of windows;
 - (3) Stowage or EFB cables interfering with the access to oxygen masks;
 - (4) The EFB falling during take-off, cruise, or landing, interfering with flight controls, disengaging the autopilot, or hurting the flight crew; and
 - (5) Suction cups detaching following a loss of pressurisation, adding to the crew's workload.
- (b) Guidance on the safety, reliability and usability of different viewable stowage solutions and on the related operating conditions can be found in a study published by the FAA¹.

With regard to the specific example of suction cups, the following means of mitigation are recommended:

- (1) The suction cups and the surface to which they will be attached should be properly cleaned with isopropyl alcohol or aircraft window cleaner prior to attachment of the suction cups;
- (2) Attachment surfaces should be substantially smooth and flat;
- (3) Periodic cleaning and reattachment should be performed, as appropriate, for the conditions of the environment in which they are used (dusty, etc.);
- (4) Suction cups should not be left attached to the aircraft windscreen for long periods of time;
- (5) Suction cups should be replaced every 6 months at a minimum, and, more often in extreme environments.

AMC1 CAT.GEN.MPA.141(b) Use of electronic flight bags (EFBs)

APPLICATION CLASSIFICATION

An EFB software application is an application that is not part of the configuration of the certified aircraft and is installed on an EFB system to support flight operations. The classification of the applications, based on their respective safety effects, is intended to provide clear divisions between such applications and, therefore, between the assessment processes applied to each.

¹ <http://fsims.faa.gov/wdocs/other/efb%20securing%20solutions%20environmental%20test%20report.pdf>

For the purpose of the following process, ‘malfunction or misuse’ means any failure, malfunction of the application, or design-related human errors that can reasonably be expected in service.

(a) Determination of an application type:

AMC2 CAT.GEN.MPA.141(b) and **AMC3 CAT.GEN.MPA.141(b)** should be used to justify a classification, provided that the application does not feature design or functional novelties that introduce new forms of crew interaction or unusual procedures.

An application may also be recognised as a type A or type B EFB application through an appropriate approval (e.g. ETSO authorisation).

If an application is not listed in **AMC2** or **AMC3 CAT.GEN.MPA.141(b)**, presents a high degree of novelty, or is not covered by an approval (e.g. ETSO authorisation), the classification should be established using the definitions and criteria provided hereafter.

As a first step, it should be verified that the application does not belong to the following list of applications that are not eligible for classification as either type A or type B EFB applications.

Applications that:

- (1) display information which is tactically used by the flight crew members to check, control or deduce the aircraft position or trajectory, either to follow the intended navigation route or to avoid adverse weather, obstacles or traffic during the flight;
- (2) display information which may be directly used by the flight crew members to assess the real-time status of aircraft critical and essential systems, as a replacement for existing installed avionics, and/or to manage aircraft critical and essential systems following a failure;
- (3) send data to air traffic services;

are not eligible to be classified as either type A or type B EFB applications.

Then, the next steps in this process should be to:

- (1) identify any failure conditions resulting from potential losses of function or malfunction (with either detected or undetected erroneous outputs), taking into consideration any relevant factors (e.g. aircraft/system failures, operational or environmental conditions) and any established mitigation (e.g. flight crew procedures, flight crew training) that would intensify or alleviate the effects; and
- (2) classify the application as follows, based on the assessment of the safety effect of each failure condition:
 - (i) if there is no failure condition that may have a safety effect, the application should be classified as a type A EFB application;
 - (ii) if one or several failure conditions with a safety effect that is limited to minor are identified, the application should be classified as type B;
 - (iii) if more severe failure conditions are identified, the application should not be eligible for classification as an EFB application.

Software applications with failure conditions that are classified as more severe than minor are ineligible as type A or type B EFB applications.

Notes:

- The severity of the failure conditions linked to displaying a function that already exists in the certified type design, or that is already authorised through an ETSO, and used with same concept of operation (considering the intended function but also operational

means of mitigation), should be considered in the assessment of the severity of the failure condition of an application and cannot be less than the severity already assessed for this function.

- The data resulting from this process may be reused by the operators in the context of the EFB risk assessment process.

(b) Miscellaneous software applications

Miscellaneous software applications are applications that support function(s) that are not directly related to operations conducted by the flight crew on the aircraft. Miscellaneous software applications are not considered to be EFB applications for the purposes of this AMC.

Examples of miscellaneous software applications are web browsers (not used for operational purposes), email clients, picture management applications, or even applications used by ground crews (e.g. for maintenance purposes).

AMC2 CAT.GEN.MPA.141(b) Use of electronic flight bags (EFBs)

TYPICAL TYPE A EFB APPLICATIONS

The following EFB application should be considered type A EFB applications:

- (a) browsers that display:
 - (1) the certificates and other documents which are required to be carried by the applicable operational regulations, including digitally created documents such as:
 - (i) the certificate of registration;
 - (ii) the certificate of airworthiness (CofA);
 - (iii) the noise certificate, and its English translation if applicable;
 - (iv) the air operator certificate (AOC);
 - (v) the operations specifications relevant to the aircraft type, issued with the AOC;
 - (vi) the third-party liability insurance certificate(s); and
 - (vii) the aircraft continuing airworthiness records, including the technical log (flight crew view thereof);
 - (2) some manuals and additional information and forms which are required to be carried by the applicable operational regulations such as:
 - (i) notifications of special categories of passenger (SCPs) and special loads; and
 - (ii) passenger and cargo manifests, if applicable; and
 - (3) other information within the operator's aircraft library such as:
 - (i) airport diversion policy guidance, including a list of special designated airports and/or approved airports with emergency medical service (EMS) support facilities;
 - (ii) maintenance manuals;
 - (iii) emergency response guidance for aircraft incidents involving dangerous goods (see ICAO Doc 9481-AN/928);
 - (iv) aircraft parts manuals;
 - (v) service bulletins/published airworthiness directives, etc.;

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- (vi) current fuel prices at various airports;
 - (vii) trip scheduling and bid lists;
 - (viii) passenger information requests;
 - (ix) examiner and flight instructor records; and
 - (x) flight crew currency requirements;
- (b) interactive applications for crew rest calculations in the framework of flight time limitations;
 - (c) interactive forms to comply with the reporting requirements of the CAA and the operator;
 - (d) applications that make use of aircraft administrative communications (AAC) to collect, process and then disseminate data that has no effect on the safe operation of an aircraft.

AMC3 CAT.GEN.MPA.141(b) Use of electronic flight bags (EFBs)

TYPICAL TYPE B EFB APPLICATIONS

The following EFB applications should be considered type B EFB applications, provided that they do not feature design or functional novelties that introduce new forms of crew interaction or unusual procedures:

- (a) Document browsers that display the manuals and additional information and forms required to be carried by regulations and that are necessary for the safe operation of the aircraft, such as:
 - (1) the operations manual (including the minimum equipment list (MEL) and configuration deviation list (CDL));
 - (2) the aircraft flight manual, or equivalent document;
 - (3) the operational flight plan;
 - (4) meteorological information with graphical interpretation;
 - (5) air traffic services (ATS) flight plan;
 - (6) notices to airmen (NOTAMs) and aeronautical information service (AIS) briefing documentation.
- (b) Electronic aeronautical chart applications including en-route, area, approach, and airport surface maps.
- (c) Airport moving map display (AMMD) applications.
- (d) Applications that make use of the aeronautical operational control (AOC) communications to collect, process and then disseminate operational data.
- (e) Aircraft performance calculation applications that use algorithmic data or that perform calculations using software algorithms to provide aircraft performance data such as:
 - (1) take-off, en-route, approach and landing, missed approach and other phases of flight, performance calculations providing limiting masses, distances, times and/or speeds, etc.;
 - (2) power settings, including reduced take-off thrust settings, etc.
- (f) Mass and balance calculation applications used to establish the mass and centre of gravity of the aircraft and to determine that the load and its distribution are such that the mass and balance limits of the aircraft are not exceeded.
- (g) Applications providing in-flight weather information.

GM1 CAT.GEN.MPA.141(b) Use of electronic flight bags (EFBs)

TACTICAL USE

The tactical use of an EFB application is considered to be related to short-term decision-making, while strategic use is related to long-term decision-making support.

GM2 CAT.GEN.MPA.141(b) Use of electronic flight bags (EFBs)

HUMAN-MACHINE INTERFACE (HMI) FOR TYPE A EFB APPLICATIONS

An HMI assessment is not required for a type A EFB application. However, type A EFB applications should be designed in accordance with the human factor principles in order to minimise their impacts on crew workload.

AMC1 CAT.GEN.MPA.145 Information on emergency and survival equipment carried

ITEMS FOR COMMUNICATION TO THE RESCUE COORDINATION CENTRE

The information, compiled in a list, should include, as applicable, the number, colour and type of life rafts and pyrotechnics, details of emergency medical supplies, e.g. first-aid kits, emergency medical kits, water supplies and the type and frequencies of emergency portable radio equipment.

GM1 CAT.GEN.MPA.155 Carriage of weapons of war and munitions of war

WEAPONS OF WAR AND MUNITIONS OF WAR

- (a) In accordance with Regulation (EC) No 300/2008, weapons of war may be carried on board an aircraft, in a place that is not inaccessible, if the required security conditions in accordance with national laws have been fulfilled and authorisation has been given by the States involved.
- (b) There is no internationally agreed definition of weapons of war and munitions of war. Some States may have defined them for their particular purposes or for national need.
- (c) It is the responsibility of the operator to check, with the State(s) concerned, whether or not a particular weapon or munition is regarded as a weapon of war or munitions of war. In this context, States that may be concerned with granting approvals for the carriage of weapons of war or munitions of war are those of origin, transit, overflight and destination of the consignment and the State of the operator.
- (d) Where weapons of war or munitions of war are also dangerous goods by definition (e.g. torpedoes, bombs, etc.), **CAT.GEN.MPA.200** Transport of dangerous goods also applies.

GM1 CAT.GEN.MPA.160 Carriage of sporting weapons and ammunition

SPORTING WEAPONS

- (a) In accordance with Regulation (EC) No 300/2008 sporting weapons may be carried on board an aircraft, in a place that is not inaccessible, if the required security conditions in accordance with national laws have been fulfilled and authorisation has been given by the States involved.

- (b) There is no internationally agreed definition of sporting weapons. In general, it may be any weapon that is not a weapon of war or munitions of war. Sporting weapons include hunting knives, bows and other similar articles. An antique weapon, which at one time may have been a weapon of war or munitions of war, such as a musket, may now be regarded as a sporting weapon.
- (c) A firearm is any gun, rifle or pistol that fires a projectile.
- (d) The following firearms are generally regarded as being sporting weapons:
 - (1) those designed for shooting game, birds and other animals;
 - (2) those used for target shooting, clay-pigeon shooting and competition shooting, providing the weapons are not those on standard issue to military forces; and
 - (3) airguns, dart guns, starting pistols, etc.
- (e) A firearm, which is not a weapon of war or munitions of war, should be treated as a sporting weapon for the purposes of its carriage on an aircraft.

AMC1 CAT.GEN.MPA.161 Carriage of sporting weapons and ammunition — alleviations

SPORTING WEAPONS — HELICOPTERS

Procedures for the carriage of sporting weapons may need to be considered if the helicopter does not have a separate compartment in which the weapons can be stowed. These procedures should take into account the nature of the flight, its origin and destination, and the possibility of unlawful interference. As far as possible, the weapons should be stowed so they are not immediately accessible to the passengers, e.g. in locked boxes, in checked baggage that is stowed under other baggage or under fixed netting.

AMC2 CAT.GEN.MPA.170(b) Psychoactive substances

POLICY TO PREVENT MISUSE OF PSYCHOACTIVE SUBSTANCES

The operator's policy should ensure testing for psychoactive substances at least in the following cases:

- (a) upon employment by the operator; and
- (b) with due cause in the following cases:
 - (1) following a reasonable suspicion, and following an assessment by appropriately trained personnel; and
 - (2) after a serious incident or accident within the meaning of Regulation (EU) No 996/2010, provided that testing is possible due to the location of the serious incident or accident.

AMC1 CAT.GEN.MPA.180 Documents, manuals and information to be carried

GENERAL

The documents, manuals and information may be available in a form other than on printed paper. Accessibility, usability and reliability should be assured.

GM1 CAT.GEN.MPA.180(a)(1) Documents, manuals and information to be carried

AIRCRAFT FLIGHT MANUAL OR EQUIVALENT DOCUMENT(S)

‘Aircraft flight manual, or equivalent document(s)’ means in the context of this rule the flight manual for the aircraft, or other documents containing information required for the operation of the aircraft within the terms of its certificate of airworthiness unless these data are available in the parts of the operations manual carried on board.

GM1 CAT.GEN.MPA.180(a)(5)(6) Documents, manuals and information to be carried

CERTIFIED TRUE COPIES

- (a) Certified true copies may be provided:
 - (1) directly by the CAA; or
 - (2) by persons holding privileges for certification of official documents in accordance with UK legislation, e.g. public notaries, authorised officials in public services.
- (b) Translations of the air operator certificate (AOC) including operations specifications do not need to be certified.

GM1 CAT.GEN.MPA.180(a)(9) Documents, manuals and information to be carried

JOURNEY LOG OR EQUIVALENT

‘Journey log, or equivalent’ means that the required information may be recorded in documentation other than a log book, such as the operational flight plan or the aircraft technical log.

AMC1 CAT.GEN.MPA.180(a)(13) Documents, manuals and information to be carried

PROCEDURES AND VISUAL SIGNALS FOR USE BY INTERCEPTING AND INTERCEPTED AIRCRAFT

The procedures and the visual signals information for use by intercepting and intercepted aircraft should reflect those contained in International Civil Aviation Organization (ICAO) Annex 2. This may be part of the operations manual.

GM1 CAT.GEN.MPA.180(a)(14) Documents, manuals and information to be carried

SEARCH AND RESCUE INFORMATION

This information is usually found in the State’s aeronautical information publication.

GM1 CAT.GEN.MPA.180(a)(23) Documents, manuals and information to be carried

DOCUMENTS THAT MAY BE PERTINENT TO THE FLIGHT

Any other documents that may be pertinent to the flight or required by the States concerned with the flight, may include, for example, forms to comply with reporting requirements.

STATES CONCERNED WITH THE FLIGHT

The States concerned are those of origin, transit, overflight and destination of the flight.

AMC1 CAT.GEN.MPA.195(a) Handling of flight recorder recordings: preservation, production, protection and use

PRESERVATION OF RECORDED DATA FOR INVESTIGATION

- (a) The operator should establish procedures to ensure that flight recorder recordings are preserved for the investigating authority.
- (b) These procedures should include:
 - (1) instructions for flight crew members to deactivate the flight recorders immediately after completion of the flight and inform relevant personnel that the recording of the flight recorders should be preserved. These instructions should be readily available on board; and
 - (2) instructions to prevent inadvertent reactivation, test, repair or reinstallation of the flight recorders by operator personnel or during maintenance or ground handling activities performed by third parties.

GM1 CAT.GEN.MPA.195(a) Handling of flight recorder recordings: preservation, production, protection and use

REMOVAL OF RECORDERS IN CASE OF AN INVESTIGATION

The need for removal of the recorders from the aircraft is determined by the investigating authority with due regard to the seriousness of an occurrence and the circumstances, including the impact on the operation.

AMC1 CAT.GEN.MPA.195(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTIONS AND CHECKS OF RECORDINGS

Whenever a flight recorder is required to be carried:

- (a) the operator should perform an inspection of the FDR recording and the CVR recording every year unless one or more of the following applies:
 - (1) If the flight recorder records on magnetic wire or uses frequency modulation technology, the time interval between two inspections of the recording should not exceed three months.

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- (2) If the flight recorder is solid-state and the flight recorder system is fitted with continuous monitoring for proper operation, the time interval between two inspections of the recording may be up to two years.
 - (3) In the case of an aircraft equipped with two solid-state flight data and cockpit voice combination recorders, where
 - (i) the flight recorder systems are fitted with continuous monitoring for proper operation, and
 - (ii) the flight recorders share the same flight data acquisition, a comprehensive inspection of the recording needs only to be performed for one flight recorder position. The inspection of the recordings should be performed alternately so that each flight recorder position is inspected at time intervals not exceeding four years.
 - (4) Where all of the following conditions are met, the inspection of the FDR recording is not needed:
 - (i) the aircraft flight data are collected in the frame of a flight data monitoring (FDM) programme;
 - (ii) the data acquisition of mandatory flight parameters is the same for the FDR and for the recorder used for the FDM programme;
 - (iii) an inspection similar to the inspection of the FDR recording and covering all mandatory flight parameters is conducted on the FDM data at time intervals not exceeding two years; and
 - (iv) the FDR is solid-state and the FDR system is fitted with continuous monitoring for proper operation.
 - (b) the operator should perform every five years an inspection of the data link recording;
 - (c) when installed, the aural or visual means for preflight checking the flight recorders for proper operation should be used every day. When no such means is available for a flight recorder, the operator should perform an operational check of this flight recorder at time intervals not exceeding seven calendar days of operation.
 - (d) the operator should check every five years, or in accordance with the recommendations of the sensor manufacturer, that the parameters dedicated to the FDR and not monitored by other means are being recorded within the calibration tolerances and that there is no discrepancy in the engineering conversion routines for these parameters.

GM1 CAT.GEN.MPA.195(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTION OF THE FLIGHT RECORDERS RECORDING

- (a) The inspection of the FDR recording usually consists of the following:
 - (1) Making a copy of the complete recording file.
 - (2) Converting the recording to parameters expressed in engineering units in accordance with the documentation required to be held.
 - (3) Examining a whole flight in engineering units to evaluate the validity of all mandatory parameters — this could reveal defects or noise in the measuring and processing chains and indicate necessary maintenance actions. The following should be considered:

- (i) when applicable, each parameter should be expressed in engineering units and checked for different values of its operational range — for this purpose, some parameters may need to be inspected at different flight phases; and
- (ii) if the parameter is delivered by a digital data bus and the same data are utilised for the operation of the aircraft, then a reasonableness check may be sufficient; otherwise a correlation check may need to be performed:
 - (A) a reasonableness check is understood in this context as a subjective, qualitative evaluation, requiring technical judgement, of the recordings from a complete flight; and
 - (B) a correlation check is understood in this context as the process of comparing data recorded by the flight data recorder against the corresponding data derived from flight instruments, indicators or the expected values obtained during specified portion(s) of a flight profile or during ground checks that are conducted for that purpose.
- (4) Retaining the most recent copy of the complete recording file and the corresponding recording inspection report that includes references to the documentation required to be held.
- (b) When performing the CVR recording inspection, precautions need to be taken to comply with **CAT.GEN.MPA.195(f)(1a)**. The inspection of the CVR recording usually consists of:
 - (1) checking that the CVR operates correctly for the nominal duration of the recording;
 - (2) examining, where practicable, a sample of in-flight recording of the CVR for evidence that the signal is acceptable on each channel; and
 - (3) preparing and retaining an inspection report.
- (c) The inspection of the DLR recording usually consists of:
 - (1) Checking the consistency of the data link recording with other recordings for example, during a designated flight, the flight crew speaks out a few data link messages sent and received. After the flight, the data link recording and the CVR recording are compared for consistency.
 - (2) Retaining the most recent copy of the complete recording and the corresponding inspection report.

GM2 CAT.GEN.MPA.195(b) Handling of flight recorder recordings: preservation, production, protection and use

MONITORING AND CHECKING THE PROPER OPERATION OF FLIGHT RECORDERS — EXPLANATION OF TERMS

For the understanding of the terms used in **AMC1 CAT.GEN.MPA.195(b)**:

- (a) ‘operational check of the flight recorder’ means a check of the flight recorder for proper operation. It is not a check of the quality of the recording and, therefore, it is not equivalent to an inspection of the recording. This check can be carried out by the flight crew or through a maintenance task.
- (b) ‘aural or visual means for preflight checking the flight recorders for proper operation’ means an aural or visual means for the flight crew to check before the flight the results of an automatically or manually initiated test of the flight recorders for proper operation. Such a means provides for an operational check that can be performed by the flight crew.

- (c) 'flight recorder system' means the flight recorder, its dedicated sensors and transducers, as well as its dedicated acquisition and processing equipment.
- (d) 'continuous monitoring for proper operation' means for a flight recorder system, a combination of system monitors and/or built-in test functions which operates continuously in order to detect the following:
- (1) loss of electrical power supply to the flight recorder system;
 - (2) failure of the equipment performing acquisition and processing;
 - (3) failure of the recording medium and/or drive mechanism; and
 - (4) failure of the recorder to store the data in the recording medium as shown by checks of the recorded data including, as reasonably practicable for the storage medium concerned, correct correspondence with the input data.

However, detections by the continuous monitoring for proper operation do not need to be automatically reported to the flight crew compartment.

GM3 CAT.GEN.MPA.195(b) Handling of flight recorder recordings: preservation, production, protection and use

CVR AUDIO QUALITY

Examples of CVR audio quality issues and possible causes thereof may be found in the document of the French Bureau d'Enquêtes et d'Analyses, titled 'Study on detection of audio anomalies on CVR recordings' and dated September 2015¹.

AMC1 CAT.GEN.MPA.195(f)(1) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The procedure related to the handling of cockpit voice recorder (CVR) recordings should be written in a document which should be signed by all parties (airline management, crew member representatives nominated either by the union or the crew themselves, maintenance personnel representatives if applicable). This procedure should, as a minimum, define:
- (1) the method to obtain the consent of all crew members and maintenance personnel concerned;
 - (2) an access and security policy that restricts access to CVR recordings and identified CVR transcripts to specifically authorised persons identified by their position;
 - (3) a retention policy and accountability, including the measures to be taken to ensure the security of the CVR recordings and CVR transcripts and their protection from misuse. The retention policy should specify the period of time after which CVR recordings and identified CVR transcripts are destroyed;
 - (4) a description of the uses made of the CVR recordings and of their transcripts;
 - (5) the participation of flight crew member representatives in the assessment of the CVR recordings or their transcripts;

¹<http://www.bea.aero/en/bea/la-technique/guidance.on.detection.of.audio.anomalies.on.CVR.recordings.pdf>

- (6) the conditions under which advisory briefing or remedial training should take place; this should always be carried out in a constructive and non-punitive manner; and
 - (7) the conditions under which actions other than advisory briefing or remedial training may be taken for reasons of gross negligence or significant continuing safety concern.
- (b) Each time a CVR recording file is read out under the conditions defined by **CAT.GEN.MPA.195(f)(1)**:
- (1) parts of the CVR recording file that contain information with a privacy content should be deleted to the extent possible, and it should not be permitted that the detail of information with a privacy content is transcribed; and
 - (2) the operator should retain, and when requested, provide to the CAA:
 - (i) information on the use made (or the intended use) of the CVR recording; and
 - (ii) evidence that the persons concerned consented to the use made (or the intended use) of the CVR recording file.
- (c) The safety manager or the person identified by the operator to fulfil this role should be responsible for the protection and use of the CVR recordings and of their transcripts, as well as the assessment of issues and their transmission to the manager(s) responsible for the process concerned.
- (d) In case a third party is involved in the use of CVR recordings, contractual agreements with this third party should, when applicable, cover the aspects enumerated in (a) and (b).

GM1 CAT.GEN.MPA.195(f)(1) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The CVR is primarily a tool for the investigation of accidents and serious incidents by investigating authorities. Misuse of CVR recordings is a breach of the right to privacy and it works against an effective safety culture inside the operator.
- (b) It is noteworthy that the flight data recorder (FDR) may be used for a flight data monitoring (FDM) programme; however, in that case the principles of confidentiality and access restriction of the FDM programme apply to the FDR recordings. Because the CVR is recording the voices of the crew and verbal communications with a privacy content, the CVR recordings must be protected and handled with even more care than FDM data.
- (c) Therefore, the use of a CVR recording, when for purposes other than CVR serviceability or those laid down by Regulation (EU) No 996/2010, should be subject to the free prior consent of the persons concerned, and framed by a procedure that is endorsed by all parties and that protects the privacy of crew members and (if applicable) maintenance staff.

AMC1 CAT.GEN.MPA.195(f)(1a) Handling of flight recorder recordings: preservation, production, protection and use

CVR RECORDING INSPECTION FOR ENSURING SERVICEABILITY

- (a) When an inspection of the CVR recording is performed for ensuring audio quality and intelligibility of recorded communications:
 - (1) the privacy of the CVR recording should be ensured (e.g. by locating the CVR replay equipment in a separated area and/or using headsets);
 - (2) access to the CVR replay equipment should be restricted to specifically authorised persons;
 - (3) provision should be made for the secure storage of the CVR recording medium, the CVR recording files and copies thereof;
 - (4) the CVR recording files and copies thereof should be destroyed not earlier than two months and not later than one year after completion of the CVR recording inspection, except that audio samples with no privacy content may be retained for enhancing the CVR recording inspection (e.g. for comparing audio quality);
 - (5) only the accountable manager of the operator, and when identified to comply with **ORO.GEN.200**, the safety manager should be entitled to request a copy of the CVR recording files.
- (b) The conditions enumerated in (a) should also be complied with if the inspection of the CVR recording is subcontracted to a third party. The contractual agreements with the third party should explicitly cover these aspects.

GM1 CAT.GEN.MPA.195(f)(2) Handling of flight recorder recordings: preservation, production, protection and use

USE OF FDR DATA FOR AN FDM PROGRAMME

The use of FDR data in the framework of an FDM programme may be acceptable if it fulfils the conditions set by sub-paragraph (f)(2) of **CAT.GEN.MPA.195**.

AMC1 CAT.GEN.MPA.200(e) Transport of dangerous goods

DANGEROUS GOODS ACCIDENT AND INCIDENT REPORTING

- (a) Any type of dangerous goods accident or incident, or the finding of undeclared or misdeclared dangerous goods should be reported, irrespective of whether the dangerous goods are contained in cargo, mail, passengers' baggage or crew baggage. For the purposes of the reporting of undeclared and misdeclared dangerous goods found in cargo, the Technical Instructions considers this to include items of operators' stores that are classified as dangerous goods.
- (b) The first report should be dispatched within 72 hours of the event. It may be sent by any means, including e-mail, telephone or fax. This report should include the details that are known at that time, under the headings identified in (c). If necessary, a subsequent report should be made as soon as possible giving all the details that were not known at the time the first report was sent. If a report has been made verbally, written confirmation should be sent as soon as possible.

-
- (c) The first and any subsequent report should be as precise as possible and should contain the following data, where relevant:
- (1) date of the incident or accident or the finding of undeclared or misdeclared dangerous goods;
 - (2) location, the flight number and flight date;
 - (3) description of the goods and the reference number of the air waybill, pouch, baggage tag, ticket, etc.;
 - (4) proper shipping name (including the technical name, if appropriate) and UN/ID number, when known;
 - (5) class or division and any subsidiary risk;
 - (6) type of packaging, and the packaging specification marking on it;
 - (7) quantity;
 - (8) name and address of the shipper, passenger, etc.;
 - (9) any other relevant details;
 - (10) suspected cause of the incident or accident;
 - (11) action taken;
 - (12) any other reporting action taken; and
 - (13) name, title, address and telephone number of the person making the report.
- (d) Copies of relevant documents and any photographs taken should be attached to the report.
- (e) A dangerous goods accident or incident may also constitute an aircraft accident, serious incident or incident. Reports should be made for both types of occurrences when the criteria for each are met.
- (f) The following dangerous goods reporting form should be used, but other forms, including electronic transfer of data, may be used provided that at least the minimum information of this AMC is supplied:

DANGEROUS GOODS OCCURRENCE REPORT**DGOR No:**

| | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------|---------------------------------------------------|------------------------------|--|
| 1. Operator: | | 2. Date of Occurrence: | | 3. Local time of occurrence: | |
| 4. Flight date: | | | 5. Flight No: | | |
| 6. Departure aerodrome: | | | 7. Destination aerodrome: | | |
| 8. Aircraft type: | | | 9. Aircraft registration: | | |
| 10. Location of occurrence: | | | 11. Origin of the goods: | | |
| 12. Description of the occurrence, including details of injury, damage, etc. (if necessary, continue on the reverse of this form): | | | | | |
| 13. Proper shipping name (including the technical name): | | | | 14. UN/ID No (when known): | |
| 15. Class/Division (when known): | 16. Subsidiary risk(s): | 17. Packing group: | 18 Category (Class 7 only): | | |
| 19. Type of packaging: | 20. Packaging specification marking: | 21. No of packages: | 22. Quantity (or transport index, if applicable): | | |
| 23. Reference No of Airway Bill: | | | | | |
| 24. Reference No of courier pouch, baggage tag, or passenger ticket: | | | | | |

DANGEROUS GOODS OCCURRENCE REPORT**DGOR No:**

| | |
|-------------------------------------------------------------------------------|--------------------|
| 25. Name and address of shipper, agent, passenger, etc.: | |
| 26. Other relevant information (including suspected cause, any action taken): | |
| 27. Name and title of person making report: | 28. Telephone No: |
| 29. Company: | 30. Reporters ref: |
| 31. Address: | 32. Signature: |
| | 33. Date: |
| Description of the occurrence (continuation) | |

Notes for completion of the form:

1. A dangerous goods accident is as defined in **Annex I**. For this purpose, serious injury is as defined in Regulation (EU) No 996/2010¹.
2. This form should also be used to report any occasion when undeclared or misdeclared dangerous goods are discovered in cargo, mail or unaccompanied baggage or when accompanied baggage contains dangerous goods which passengers or crew are not permitted to take on aircraft.
3. The initial report should be dispatched unless exceptional circumstances prevent this. This occurrence report form, duly completed, should be sent as soon as possible, even if all the information is not available.
4. Copies of all relevant documents and any photographs taken should be attached to this report.
5. Any further information, or any information not included in the initial report, should be sent as soon as possible to the authorities identified in **CAT.GEN.MPA.200(e)**.
6. Providing it is safe to do so, all dangerous goods, packaging, documents, etc., relating to the occurrence should be retained until after the initial report has been sent to the authorities identified in **CAT.GEN.MPA.200(e)** and they have indicated whether or not these should continue to be retained.

¹ Regulation (EU) No 996/2010 of the European Parliament and of the Council of 20 October 2010 on the investigation and prevention of accidents and incidents in civil aviation and repealing Directive 94/56/EC (OJ L 295, 12.11.2010, p. 35).

GM1 CAT.GEN.MPA.200 Transport of dangerous goods

GENERAL

- (a) The requirement to transport dangerous goods by air in accordance with the Technical Instructions is irrespective of whether:
 - (1) the flight is wholly or partly within or wholly outside the territory of a State; or
 - (2) an approval to carry dangerous goods in accordance with Annex V (Part-SPA), Subpart G is held.
- (b) The Technical Instructions provide that in certain circumstances dangerous goods, which are normally forbidden on an aircraft, may be carried. These circumstances include cases of extreme urgency or when other forms of transport are inappropriate or when full compliance with the prescribed requirements is contrary to the public interest. In these circumstances, all the States concerned may grant exemptions from the provisions of the Technical Instructions provided that an overall level of safety which is at least equivalent to that provided by the Technical Instructions is achieved. Although exemptions are most likely to be granted for the carriage of dangerous goods that are not permitted in normal circumstances, they may also be granted in other circumstances, such as when the packaging to be used is not provided for by the appropriate packing method or the quantity in the packaging is greater than that permitted. The Technical Instructions also make provision for some dangerous goods to be carried when an approval has been granted only by the State of origin and the State of the operator.
- (c) When an exemption is required, the States concerned are those of origin, transit, overflight and destination of the consignment and that of the operator. For the State of overflight, if none of the criteria for granting an exemption are relevant, an exemption may be granted based solely on whether it is believed that an equivalent level of safety in air transport has been achieved.
- (d) The Technical Instructions provide that exemptions and approvals are granted by the 'appropriate national authority', which is intended to be the authority responsible for the particular aspect against which the exemption or approval is being sought. The Instructions do not specify who should seek exemptions and, depending on the legislation of the particular State, this may mean the operator, the shipper or an agent. If an exemption or approval has been granted to other than the operator, the operator should ensure a copy has been obtained before the relevant flight. The operator should ensure all relevant conditions on an exemption or approval are met.
- (e) The exemption or approval referred to in (b) to (d) is in addition to the approval required by Annex V (Part SPA), Subpart G.

AMC1 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

EQUIPMENT, PERFORMANCE AND PROCEDURES WHEN AIRCRAFT TRACKING IS REQUIRED

- (a) Automatic tracking of aeroplane position

The aircraft tracking system should rely on equipment capable of automatically detecting and transmitting a position report to the aircraft operator, except if (d)(2) applies.
- (b) Position reporting period

The tracking of an individual flight should provide a position report at time intervals which do not exceed 15 minutes.

(c) Content of position reports

Each position report should contain at least the latitude, the longitude and the time of position determination and whenever available, an indication of the aeroplane altitude, except that for each flight:

- (1) One of the position reports may contain only time-stamped data indicating that the aeroplane has left the gate;
- (2) One of the position reports may contain only time-stamped data indicating that the aeroplane has become airborne;
- (3) One of the position reports may contain only time-stamped data indicating that the aeroplane has landed; and
- (4) One of the position reports may contain only time-stamped data indicating that the aeroplane has reached the gate.

(d) Source of position data

The data contained in a position report may come from:

- (1) ATC surveillance systems, if the ATC surveillance data source is capable of providing this data with a delay equal to or less than 10 minutes;
- (2) the flight crew, if the planned flight duration is less than two position reporting periods;
- (3) aeroplane systems. In that case:
 - (i) the source of time, latitude and longitude data should be the navigation system of the aeroplane or an approved GNSS receiver;
 - (ii) the source of altitude data should be:
 - (A) the same source as for time, latitude and longitude data, or
 - (B) an approved source of pressure altitude; and
 - (iii) the delivery time of position reports from the aeroplane to the operational control over the flight should, to the extent possible, not exceed 10 minutes; or
- (4) any data source when the position report is of a type designated by (c)(1), (c)(2), (c)(3) or (c)(4). In that case, the delivery time of position reports from the data source to the operational control over the flight should, to the extent possible, not exceed 10 minutes.

(e) Temporary lack of aircraft tracking data

Aircraft tracking data may be incomplete due to a temporary or unexpected issue prior to or during the flight. However, the operator should:

- (1) identify any loss of aircraft tracking data which is not due to a temporary issue, and
- (2) address any systematic lack of aircraft tracking data affecting a given aeroplane or a given route in a timely manner.

(f) Operational control over the flights

When abnormal flight behaviour is suspected, this should be checked and acted upon without delay.

(g) Recording of aircraft tracking data during normal operation

When the tracking of a flight is required, all related aircraft tracking data should be recorded on the ground, including position data from ATC surveillance systems when they are used. The aircraft tracking data of a given flight should be retained until confirmation that the flight is

completed and no accident or serious incident occurred.

- (h) Preserving aircraft tracking data after an accident or a serious incident

Following an accident or a serious incident, the operator should retain the aircraft tracking data of the involved flight for at least 30 days. In addition, the operator should be capable of providing a copy of this data without delay and in an electronic format that is human-readable using a common text file editor.

- (i) Procedures

The operator should establish procedures describing its aircraft tracking system, including the identification of abnormal flight behaviour and the notification of the competent ATS unit, when appropriate. These procedures should be integrated with the emergency response plan of the operator.

AMC2 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

ROUTES INCLUDED IN AIRSPACE COVERED BY ATS SURVEILLANCE

- (a) Trajectory points located at a distance of less than 50 NM from the departure airfield and trajectory points located at a distance of less than 50 NM from the destination airfield may be considered as not part of the 'planned route'.
- (b) Trajectory points located at a distance of less than 50 NM from any diversion airfield may be considered as not part of the 'planned diversion routes'.
- (c) An ATS surveillance service may be considered 'supported by ATC surveillance systems locating the aircraft at time intervals with adequate duration' if those ATC surveillance systems are capable of locating aircraft at time intervals not exceeding 15 minutes when operated normally.
- (d) When applicable, the operator should check that the conditions required for using the exception defined by **CAT.GEN.MPA.205(b)** are fulfilled before operating into new airspace blocks.
- (e) When applicable, the operator should check at time intervals not exceeding 180 calendar days that the conditions required for using the exception defined by **CAT.GEN.MPA.205(b)** are maintained.

GM1 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

EXPLANATION OF TERMS

For the understanding of the terms used in **CAT.GEN.MPA.205**:

- (a) 'capability to provide a position additional to the secondary surveillance radar transponder' means airborne equipment other than the SSR transponder, which is operative and which can be used to automatically transmit time-stamped position data without change to the approved airborne systems; and
- (b) 'abnormal flight behaviour': see **GM1 to Annex I** (Definitions).

GM2 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

DETERMINING WHETHER A FLIGHT NEEDS TO BE TRACKED

Table 1 provides a summary of the cases applicable to an aeroplane which is within the scope of **CAT.GEN.MPA.205(a)**.

Table 1: Cases applicable to the flight of an aeroplane subject to the aircraft tracking requirement

| | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Condition 1: The planned route and the planned diversion routes are included in airspace blocks where ATS surveillance service is normally provided.</p> <p>Conditions 1, 2 and 3 are met altogether.</p> | <p>Condition 2: The ATS surveillance service provided in all airspace blocks determined by Condition 1 is supported by ATC surveillance systems locating the aircraft at time intervals with adequate duration.</p> | <p>Condition 3: The operator has provided all air navigation service providers competent for the airspace blocks determined by Condition 1 with the necessary contact information.</p> | <p>Case considered: Aeroplane that is within the scope of CAT.GEN.MPA.205(a).</p> <p>The flight does not need to be tracked (refer to CAT.GEN.MPA.205(b)).</p> <p>Note: The operator should check at regular time intervals that Conditions 1, 2 and 3 are still met (refer to AMC2 CAT.GEN.MPA.205).</p> |
| <p>Either Condition 1, Condition 2 or Condition 3 is not met.</p> | | | <p>The flight shall be tracked (refer to CAT.GEN.MPA.205(b)).</p> <p>Note: Lack of aircraft tracking data due to a temporary or unexpected issue may be acceptable (refer to AMC1 CAT.GEN.MPA.205). Examples of issues (list is indicative and not exhaustive): airborne equipment found inoperative, transmission link disturbed by environmental factors; issue with the ground-based infrastructure or the space-based infrastructure.</p> |

GM3 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

METHOD FOR ASSESSING WHETHER A FLIGHT NEEDS TO BE TRACKED

The following gives an example of a method to assess whether flights performed along a given route need to be tracked.

- (a) Determine the planned route and the planned diversion routes and consider only points of these routes located at a distance of greater than or equal to 50 NM from the departure airfield, the destination airfield and the diversion airfields. If there is no such point, then the flight does not need to be tracked, otherwise go to (b).

- (b) Identify all airspace blocks crossed by the result of (a) and go to (c).
- (c) If every airspace block meets all of the following conditions, then the flight does not need to be tracked:
- (1) ATS surveillance service is provided in the airspace block;
 - (2) This ATS surveillance service relies on ATC surveillance systems which are normally capable of detecting aircraft in the airspace block at time intervals not exceeding 15 minutes; and
 - (3) The air navigation service provider competent for the airspace block has information sufficient to contact the on-duty staff at the operator;

GM4 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

POSSIBLE SOURCES AND MINIMUM CONTENT OF A POSITION REPORT

Table 1 presents a summary of the possible sources and the minimum content of a position report according to **AMC1 CAT.GEN.MPA.205**.

Table 1: Possible sources and minimum content of a position report

| Planned flight duration | Possible sources of a position report | Minimum content of a position report |
|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Flight duration < 2×reporting period | <ul style="list-style-type: none"> — Airborne equipment (automatic transmission); — Flight crew; or — ATC surveillance systems. | Latitude, longitude and time (and whenever available altitude), except for the position reports designated by point (c)(1), (c)(2), (c)(3) and (c)(4) of AMC1 CAT.GEN.MPA.205 . |
| Flight duration ≥ 2×reporting period | <ul style="list-style-type: none"> — Airborne equipment (automatic transmission); — ATC surveillance systems; — Flight crew if the flight is not required to be tracked; or — Any source for position reports designated by point (c)(1), (c)(2), (c)(3) and (c)(4) of AMC1 CAT.GEN.MPA.205. | |

GM5 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

AIRCRAFT TRACKING — CHOICE OF THE POSITION REPORTING PERIOD

- (a) Unless the aircraft tracking system includes functionalities enhancing the detection of deviations from normal operation (e.g. airborne systems capable of automatically transmitting more information under some conditions, possibility for the operational control to adjust the position reporting period of an ongoing flight, etc.), the choice of the position reporting period has a significant influence on the effectiveness of the aircraft tracking system.
- (1) Indeed, assuming that an operator has set itself the objective of detecting, within a given time T, deviations from normal operation, and that the operator relies for this purpose only on position reports, then the position reporting period needs to be less than T.
 - (2) Furthermore, when no other information than position reports is available to locate a missing aircraft, then the search zone is a circle with a radius corresponding to the distance likely to have been covered since the last detection. The corresponding search area grows as the square of the time, until the position of the aircraft is detected again

or the fuel on board is exhausted. Taking the example of an aeroplane cruising at Mach 0.8 (i.e. covering a distance of about 8 NM per minute), after 15 minutes the search area is 155 000 square kilometres.

- (1) In the publication of the Australian Transportation Safety Bureau titled 'The Operational Search for MH370' (dated October 2017), it is recommended that 'Aircraft operators, aircraft manufacturers, and aircraft equipment manufacturers investigate ways to provide high-rate and/or automatically triggered global position tracking in existing and future fleets'.

- (b) It is advised to take the above into account when setting up the aircraft tracking system.

GM6 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

PROVIDING CONTACT INFORMATION TO COMPETENT AIR NAVIGATION SERVICE PROVIDERS

One possible way of ensuring that contact information has been made available to all the competent air navigation service providers is to provide in the ATS flight plan (item 18 'Other information') information sufficient to contact the on-duty staff of the aircraft operator.

GM7 CAT.GEN.MPA.205 Aircraft tracking system — Aeroplanes

GUIDANCE

Additional guidance for the establishment of an aircraft tracking system is found in ICAO Circular 347 – Aircraft Tracking Implementation Guidelines, dated 2017.

SUBPART B: OPERATING PROCEDURES

SECTION 1 – MOTOR-POWERED AIRCRAFT

GM1 CAT.OP.MPA.100(a)(2) Use of air traffic services

IN-FLIGHT OPERATIONAL INSTRUCTIONS

When coordination with an appropriate air traffic service (ATS) unit has not been possible, in-flight operational instructions do not relieve a commander of the responsibility for obtaining an appropriate clearance from an ATS unit, if applicable, before making a change in flight plan.

AMC1 CAT.OP.MPA.105 Use of aerodromes and operating sites

DEFINING OPERATING SITES — HELICOPTERS

When defining operating sites (including infrequent or temporary sites) for the type(s) of helicopter(s) and operation(s) concerned, the operator should take account of the following:

- (a) An adequate site is a site that the operator considers to be satisfactory, taking account of the applicable performance requirements and site characteristics (guidance on standards and criteria are contained in ICAO Annex 14 Volume 2 and in the ICAO *Heliport Manual* (Doc 9261-AN/903)).
- (b) The operator should have in place a procedure for the survey of sites by a competent person. Such a procedure should take account of possible changes to the site characteristics which may have taken place since last surveyed.
- (c) Sites that are pre-surveyed should be specifically specified in the operations manual. The operations manual should contain diagrams or/and ground and aerial photographs, and depiction (pictorial) and description of:
 - (1) the overall dimensions of the site;
 - (2) location and height of relevant obstacles to approach and take-off profiles, and in the manoeuvring area;
 - (3) approach and take-off flight paths;
 - (4) surface condition (blowing dust/snow/sand);
 - (5) helicopter types authorised with reference to performance requirements;
 - (6) provision of control of third parties on the ground (if applicable);
 - (7) procedure for activating site with land owner or controlling authority;
 - (8) other useful information, for example, appropriate ATS agency and frequency; and
 - (9) lighting (if applicable).
- (d) For sites that are not pre-surveyed, the operator should have in place a procedure that enables the pilot to make, from the air, a judgment on the suitability of a site. (c)(1) to (c)(6) should be considered.
- (e) Operations to non-pre-surveyed sites by night (except in accordance with **SPA.HERMS.125(b)(4)**) should not be permitted.

AMC1 CAT.OP.MPA.107 Adequate aerodrome

RESCUE AND FIREFIGHTING SERVICES (RFFS)

When considering the adequacy of an aerodrome's rescue and firefighting services (RFFS), the operator should:

- (a) as part of its management system, assess the level of RFFS protection available at the aerodrome intended to be specified in the operational flight plan in order to ensure that an acceptable level of protection is available for the intended operation; and
- (b) include relevant information related to the RFFS protection that is deemed acceptable by the operator in the operations manual.

GM1 CAT.OP.MPA.107 Adequate aerodrome

RESCUE AND FIREFIGHTING SERVICES (RFFS)

Guidance on the assessment of the level of an aerodrome's RFFS may be found in Attachment I to ICAO Annex 6 Part I.

AMC1 CAT.OP.MPA.110 Aerodrome operating minima

TAKE-OFF OPERATIONS — AEROPLANES

- (a) General
 - (1) Take-off minima should be expressed as visibility or runway visual range (RVR) limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, should be specified.
 - (2) The commander should not commence take-off unless the weather conditions at the aerodrome of departure are equal to or better than applicable minima for landing at that aerodrome unless a weather-permissible take-off alternate aerodrome is available.
 - (3) When the reported meteorological visibility (VIS) is below that required for take-off and RVR is not reported, a take-off should only be commenced if the commander can determine that the visibility along the take-off runway is equal to or better than the required minimum.
 - (4) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the commander can determine that the visibility along the take-off runway is equal to or better than the required minimum.
- (b) Visual reference
 - (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.

- (2) For night operations, ground lights should be available to illuminate the runway and any obstacles.
- (c) Required RVR/VIS — aeroplanes
- (1) For multi-engined aeroplanes, with performance such that in the event of a critical engine failure at any point during take-off the aeroplane can either stop or continue the take-off to a height of 1 500 ft above the aerodrome while clearing obstacles by the required margins, the take-off minima specified by the operator should be expressed as RVR/CMV (converted meteorological visibility) values not lower than those specified in Table 1.A.
- (2) For multi-engined aeroplanes without the performance to comply with the conditions in (c)(1) in the event of a critical engine failure, there may be a need to re-land immediately and to see and avoid obstacles in the take-off area. Such aeroplanes may be operated to the following take-off minima provided they are able to comply with the applicable obstacle clearance criteria, assuming engine failure at the height specified. The take-off minima specified by the operator should be based upon the height from which the one-engine-inoperative (OEI) net take-off flight path can be constructed. The RVR minima used should not be lower than either of the values specified in Table 1.A or Table 2.A.
- (3) For single-engined turbine aeroplane operations approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, the take-off minima specified by the operator should be expressed as RVR/CMV values not lower than those specified in Table 1.A below.
- Unless the operator is making use of a risk period, whenever the surface in front of the runway does not allow for a safe forced landing, the RVR/CMV values should not be lower than 800 m. In this case, the proportion of the flight to be considered starts at the lift-off position and ends when the aeroplane is able to turn back and land on the runway in the opposite direction or glide to the next landing site in case of power loss.
- (4) When RVR or meteorological visibility is not available, the commander should not commence take-off unless he/she can determine that the actual conditions satisfy the applicable take-off minima.

Table 1.A

Take-off — aeroplanes (without an approval for low visibility take-off (LVTO))

RVR/VIS

| Facilities | RVR/VIS (m) * |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Day only: Nil** | 500 |
| Day: at least runway edge lights or runway centreline markings Night: at least runway edge lights and runway end lights or runway centreline lights and runway end lights | 400 |

*: The reported RVR/VIS value representative of the initial part of the take-off run can be replaced by pilot assessment.

**.: The pilot is able to continuously identify the take-off surface and maintain directional control.

Table 2.A

Take-off — aeroplanes

Assumed engine failure height above the runway versus RVR/VIS

| Assumed engine failure height above the take-off runway (ft) | RVR/VIS (m) ** |
|--------------------------------------------------------------|------------------------------|
| <50 | 400 (200 with LVTO approval) |
| 51 – 100 | 400 (300 with LVTO approval) |
| 101 – 150 | 400 |
| 151 – 200 | 500 |
| 201 – 300 | 1 000 |
| >300 * | 1 500 |

*: 1 500 m is also applicable if no positive take-off flight path can be constructed.

**: The reported RVR/VIS value representative of the initial part of the take-off run can be replaced by pilot assessment.

AMC2 CAT.OP.MPA.110 Aerodrome operating minima

TAKE-OFF OPERATIONS — HELICOPTERS

(a) General

- (1) Take-off minima should be expressed as visibility or runway visual range (RVR) limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, should be specified.
- (2) The commander should not commence take-off unless the weather conditions at the aerodrome of departure are equal to or better than applicable minima for landing at that aerodrome unless a weather-permissible take-off alternate aerodrome is available.
- (3) When the reported meteorological visibility (VIS) is below that required for take-off and RVR is not reported, a take-off should only be commenced if the commander can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.
- (4) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the commander can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.

(b) Visual reference

- (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.
- (2) For night operations, ground lights should be available to illuminate the runway/final approach and take-off area (FATO) and any obstacles.

(c) Required RVR/VIS — helicopters:

- (1) For performance class 1 operations, the operator should specify an RVR/VIS as take-off minima in accordance with Table 1.H.

- (2) For performance class 2 operations onshore, the commander should operate to take-off minima of 800 m RVR/VIS and remain clear of cloud during the take-off manoeuvre until reaching performance class 1 capabilities.
- (3) For performance class 2 operations offshore, the commander should operate to minima not less than that for performance class 1 and remain clear of cloud during the take-off manoeuvre until reaching performance class 1 capabilities.
- (4) Table 8 for converting reported meteorological visibility to RVR should not be used for calculating take-off minima.

Table 1.H

Take-off — helicopters (without LVTO approval)

RVR/VIS

| Onshore aerodromes with instrument flight rules (IFR) departure procedures | RVR/VIS (m) |
|----------------------------------------------------------------------------|-----------------------------------------------------------------|
| No light and no markings (day only) | 400 or the rejected take-off distance, whichever is the greater |
| No markings (night) | 800 |
| Runway edge/FATO light and centreline marking | 400 |
| Runway edge/FATO light, centreline marking and relevant RVR information | 400 |
| Offshore helideck * | |
| Two-pilot operations | 400 |
| Single-pilot operations | 500 |

*: The take-off flight path to be free of obstacles.

AMC3 CAT.OP.MPA.110 Aerodrome operating minima

NPA, APV, CAT I OPERATIONS

- (a) The decision height (DH) to be used for a non-precision approach (NPA) flown with the continuous descent final approach (CDFA) technique, approach procedure with vertical guidance (APV) or category (CAT) I operation should not be lower than the highest of:
 - (1) the minimum height to which the approach aid can be used without the required visual reference;
 - (2) the obstacle clearance height (OCH) for the category of aircraft;
 - (3) the published approach procedure DH where applicable;
 - (4) the system minimum specified in Table 3; or
 - (5) the minimum DH specified in the aircraft flight manual (AFM) or equivalent document, if stated.
- (b) The minimum descent height (MDH) for an NPA operation flown without the CDFA technique should not be lower than the highest of:
 - (1) the OCH for the category of aircraft;
 - (2) the system minimum specified in Table 3; or

- (3) the minimum MDH specified in the AFM, if stated.

Table 3

System minima

| Facility | Lowest DH/MDH (ft) |
|-----------------------------------|--------------------|
| ILS/MLS/GLS | 200 |
| GNSS/SBAS (LPV) | 200 |
| GNSS (LNAV) | 250 |
| GNSS/Baro-VNAV (LNAV/ VNAV) | 250 |
| LOC with or without DME | 250 |
| SRA (terminating at ½ NM) | 250 |
| SRA (terminating at 1 NM) | 300 |
| SRA (terminating at 2 NM or more) | 350 |
| VOR | 300 |
| VOR/DME | 250 |
| NDB | 350 |
| NDB/DME | 300 |
| VDF | 350 |

DME: distance measuring equipment;
GNSS: global navigation satellite system;
ILS: instrument landing system;
LNAV: lateral navigation;
LOC: localiser;
LPV: localiser performance with vertical guidance
SBAS: satellite-based augmentation system;
SRA: surveillance radar approach;
VDF: VHF direction finder;
VNAV: vertical navigation;
VOR: VHF omnidirectional radio range.

AMC4 CAT.OP.MPA.110 Aerodrome operating minima

CRITERIA FOR ESTABLISHING RVR/CMV

(a) Aeroplanes

The following criteria for establishing RVR/CMV should apply:

- (1) In order to qualify for the lowest allowable values of RVR/CMV specified in Table 6.A, the instrument approach should meet at least the following facility specifications and associated conditions:
 - (i) Instrument approaches with designated vertical profile up to and including 4.5° for category A and B aeroplanes, or 3.77° for category C and D aeroplanes where the facilities are:
 - (A) ILS/microwave landing system (MLS)/GBAS landing system (GLS)/precision approach radar (PAR); or
 - (B) APV; and

where the final approach track is offset by not more than 15° for category A and B aeroplanes or by not more than 5° for category C and D aeroplanes.

- (ii) Instrument approach operations flown using the CDFA technique with a nominal vertical profile, up to and including 4.5° for category A and B aeroplanes, or 3.77° for category C and D aeroplanes, where the facilities are NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA or GNSS/LNAV, with a final approach segment of at least 3 NM, which also fulfil the following criteria:
 - (A) the final approach track is offset by not more than 15° for category A and B aeroplanes or by not more than 5° for category C and D aeroplanes;
 - (B) the final approach fix (FAF) or another appropriate fix where descent is initiated is available, or distance to threshold (THR) is available by flight management system/GNSS (FMS/GNSS) or DME; and
 - (C) if the missed approach point (MAPt) is determined by timing, the distance from FAF or another appropriate fix to THR is ≤ 8 NM.
- (iii) Instrument approaches where the facilities are NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA or GNSS/LNAV, not fulfilling the criteria in (a)(1)(ii), or with an MDH $\geq 1\,200$ ft.
- (2) The missed approach operation, after an approach operation has been flown using the CDFA technique, should be executed when reaching the DA/H or the MAPt, whichever occurs first. The lateral part of the missed approach procedure should be flown via the MAPt unless otherwise stated on the approach chart.

AMC5 CAT.OP.MPA.110 Aerodrome operating minima

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, APV, CAT I — AEROPLANES

(a) Aeroplanes

The RVR/CMV/VIS minima for NPA, APV and CAT I operations should be determined as follows:

- (1) The minimum RVR/CMV/VIS should be the highest of the values specified in Table 5 or Table 6.A, but not greater than the maximum values specified in Table 6.A, where applicable.
- (2) The values in Table 5 should be derived from the formula below,

$$\text{Required RVR/VIS (m)} = [(\text{DH/MDH (ft)} \times 0.3048) / \tan \alpha] - \text{length of approach lights (m)}$$
 where α is the calculation angle, being a default value of 3.00° increasing in steps of 0.10° for each line in Table 5 up to 3.77° and then remaining constant.
- (3) If the approach is flown with a level flight segment at or above MDA/H, 200 m should be added for category A and B aeroplanes and 400 m for category C and D aeroplanes to the minimum RVR/CMV/VIS value resulting from the application of Tables 5 and 6.A.
- (4) An RVR of less than 750 m as indicated in Table 5 may be used:
 - (i) for CAT I operations to runways with full approach lighting system (FALS), runway touchdown zone lights (RTZL) and runway centreline lights (RCLL);
 - (ii) for CAT I operations to runways without RTZL and RCLL when using an approved head-up guidance landing system (HUDLS), or equivalent approved system, or

- when conducting a coupled approach or flight-director-flown approach to a DH. The ILS should not be published as a restricted facility; and
- (iii) for APV operations to runways with FALS, RTZL and RCLL when using an approved head-up display (HUD).
- (5) Lower values than those specified in Table 5, for HUDLS and auto-land operations may be used if approved in accordance with Annex V (Part-SPA), Subpart E (SPA.LVO).
 - (6) The visual aids should comprise standard runway day markings and approach and runway lights as specified in Table 4. The CAA may approve that RVR values relevant to a basic approach lighting system (BALS) are used on runways where the approach lights are restricted in length below 210 m due to terrain or water, but where at least one cross-bar is available.
 - (7) For night operations or for any operation where credit for runway and approach lights is required, the lights should be on and serviceable except as provided for in Table 9.
 - (8) For single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (i) an RVR of less than 800 m as indicated in Table 5 may be used for CAT I approaches provided any of the following is used at least down to the applicable DH:
 - (A) a suitable autopilot, coupled to an ILS, MLS or GLS that is not published as restricted; or
 - (B) an approved HUDLS, including, where appropriate, enhanced vision system (EVS), or equivalent approved system;
 - (ii) where RTZL and/or RCLL are not available, the minimum RVR/CMV should not be less than 600 m; and
 - (iii) an RVR of less than 800 m as indicated in Table 5 may be used for APV operations to runways with FALS, RTZL and RCLL when using an approved HUDLS, or equivalent approved system, or when conducting a coupled approach to a DH equal to or greater than 250 ft.

Table 4

Approach lighting systems

| Class of lighting facility | Length, configuration and intensity of approach lights |
|----------------------------|-------------------------------------------------------------------------------------------|
| FALS | CAT I lighting system (HIALS ≥ 720 m) distance coded centreline, Barrette centreline |
| IALS | Simple approach lighting system (HIALS 420 – 719 m) single source, Barrette |
| BALS | Any other approach lighting system (HIALS, MALS or ALS 210 - 419 m) |
| NALS | Any other approach lighting system (HIALS, MALS or ALS < 210 m) or no approach lights |

Note: HIALS: high intensity approach lighting system;

MALS: medium intensity approach lighting system.

Table 5

RVR/CMV vs DH/MDH

| DH or MDH | | | Class of lighting facility | | | |
|-----------------|---|-------|---------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (a)(4),(5),(8) above for RVR <750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 200 | - | 210 | 550 | 750 | 1 000 | 1 200 |
| 211 | - | 220 | 550 | 800 | 1 000 | 1 200 |
| 221 | - | 230 | 550 | 800 | 1 000 | 1 200 |
| 231 | - | 240 | 550 | 800 | 1 000 | 1 200 |
| 241 | - | 250 | 550 | 800 | 1 000 | 1 300 |
| 251 | - | 260 | 600 | 800 | 1 100 | 1 300 |
| 261 | - | 280 | 600 | 900 | 1 100 | 1 300 |
| 281 | - | 300 | 650 | 900 | 1 200 | 1 400 |
| 301 | - | 320 | 700 | 1 000 | 1 200 | 1 400 |
| 321 | - | 340 | 800 | 1 100 | 1 300 | 1 500 |
| 341 | - | 360 | 900 | 1 200 | 1 400 | 1 600 |
| 361 | - | 380 | 1 000 | 1 300 | 1 500 | 1 700 |
| 381 | - | 400 | 1 100 | 1 400 | 1 600 | 1 800 |
| 401 | - | 420 | 1 200 | 1 500 | 1 700 | 1 900 |
| 421 | - | 440 | 1 300 | 1 600 | 1 800 | 2 000 |
| 441 | - | 460 | 1 400 | 1 700 | 1 900 | 2 100 |
| 461 | - | 480 | 1 500 | 1 800 | 2 000 | 2 200 |
| 481 | - | 500 | 1 500 | 1 800 | 2 100 | 2 300 |
| 501 | - | 520 | 1 600 | 1 900 | 2 100 | 2 400 |
| 521 | - | 540 | 1 700 | 2 000 | 2 200 | 2 400 |
| 541 | - | 560 | 1 800 | 2 100 | 2 300 | 2 500 |
| 561 | - | 580 | 1 900 | 2 200 | 2 400 | 2 600 |
| 581 | - | 600 | 2 000 | 2 300 | 2 500 | 2 700 |
| 601 | - | 620 | 2 100 | 2 400 | 2 600 | 2 800 |
| 621 | - | 640 | 2 200 | 2 500 | 2 700 | 2 900 |
| 641 | - | 660 | 2 300 | 2 600 | 2 800 | 3 000 |
| 661 | - | 680 | 2 400 | 2 700 | 2 900 | 3 100 |
| 681 | - | 700 | 2 500 | 2 800 | 3 000 | 3 200 |
| 701 | - | 720 | 2 600 | 2 900 | 3 100 | 3 300 |
| 721 | - | 740 | 2 700 | 3 000 | 3 200 | 3 400 |
| 741 | - | 760 | 2 700 | 3 000 | 3 300 | 3 500 |
| 761 | - | 800 | 2 900 | 3 200 | 3 400 | 3 600 |
| 801 | - | 850 | 3 100 | 3 400 | 3 600 | 3 800 |
| 851 | - | 900 | 3 300 | 3 600 | 3 800 | 4 000 |
| 901 | - | 950 | 3 600 | 3 900 | 4 100 | 4 300 |
| 951 | - | 1 000 | 3 800 | 4 100 | 4 300 | 4 500 |
| 1 001 | - | 1 100 | 4 100 | 4 400 | 4 600 | 4 900 |
| 1 101 | - | 1 200 | 4 600 | 4 900 | 5 000 | 5 000 |
| 1 201 and above | | | 5 000 | 5 000 | 5 000 | 5 000 |

Table 6.A

CAT I, APV, NPA — aeroplanes

Minimum and maximum applicable RVR/CMV (lower and upper cut-off limits)

| Facility/conditions | RVR/CMV (m) | Aeroplane category | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-------|-------|
| | | A | B | C | D |
| ILS, MLS, GLS, PAR, GNSS/SBAS, GNSS/VNAV | Min | According to Table 5 | | | |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV with a procedure that fulfils the criteria in AMC4 CAT.OP.MPA.110 , (a)(1)(ii) | Min | 750 | 750 | 750 | 750 |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| For NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV: — not fulfilling the criteria in in AMC4 CAT.OP.MPA.110 , (a)(1)(ii), or — with a DH or MDH $\geq 1\,200$ ft | Min | 1 000 | 1 000 | 1 200 | 1 200 |
| | Max | According to Table 5, if flown using the CDFA technique, otherwise an add-on of 200 m for Category A and B aeroplanes and 400 m for Category C and D aeroplanes applies to the values in Table 5 but not to result in a value exceeding 5 000 m. | | | |

AMC6 CAT.OP.MPA.110 Aerodrome operating minima

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, CAT I — HELICOPTERS

(a) Helicopters

The RVR/CMV/VIS minima for NPA, APV and CAT I operations should be determined as follows:

- (1) For NPA operations operated in performance class 1 (PC1) or performance class 2 (PC2), the minima specified in Table 6.1.H should apply:
 - (i) where the missed approach point is within $\frac{1}{2}$ NM of the landing threshold, the approach minima specified for FALS may be used regardless of the length of approach lights available. However, FATO/runway edge lights, threshold lights, end lights and FATO/runway markings are still required;
 - (ii) for night operations, ground lights should be available to illuminate the FATO/runway and any obstacles; and
 - (iii) for single-pilot operations, the minimum RVR is 800 m or the minima in Table 6.1.H, whichever is higher.
- (2) For CAT I operations operated in PC1 or PC2, the minima specified in Table 6.2.H should apply:
 - (i) for night operations, ground light should be available to illuminate the FATO/runway and any obstacles;
 - (ii) for single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:

- (A) an RVR of less than 800 m should not be used except when using a suitable autopilot coupled to an ILS, MLS or GLS, in which case normal minima apply; and
- (B) the DH applied should not be less than 1.25 times the minimum use height for the autopilot.

Table 6.1.H

Onshore NPA minima

| MDH (ft) * | Facilities vs RVR/CMV (m) **, *** | | | |
|---------------|-----------------------------------|-------|-------|-------|
| | FALS | IALS | BALS | NALS |
| 250 – 299 | 600 | 800 | 1 000 | 1 000 |
| 300 – 449 | 800 | 1 000 | 1 000 | 1 000 |
| 450 and above | 1 000 | 1 000 | 1 000 | 1 000 |

*: The MDH refers to the initial calculation of MDH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to MDA.

**: The tables are only applicable to conventional approaches with a nominal descent slope of not greater than 4°. Greater descent slopes will usually require that visual glide slope guidance (e.g. precision approach path indicator (PAPI)) is also visible at the MDH.

***: FALS comprise FATO/runway markings, 720 m or more of high intensity/medium intensity (HI/MI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

IALS comprise FATO/runway markings, 420 - 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

BALS comprise FATO/runway markings, <420 m of HI/MI approach lights, any length of low intensity (LI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

Table 6.2.H

Onshore CAT I minima

| DH (ft) * | Facilities vs RVR/CMV (m) **, *** | | | |
|---------------|-----------------------------------|------|------|-------|
| | FALS | IALS | BALS | NALS |
| 200 | 500 | 600 | 700 | 1 000 |
| 201 – 250 | 550 | 650 | 750 | 1 000 |
| 251 – 300 | 600 | 700 | 800 | 1 000 |
| 301 and above | 750 | 800 | 900 | 1 000 |

*: The DH refers to the initial calculation of DH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to DA.

**: The table is applicable to conventional approaches with a glide slope up to and including 4°.

***: FALS comprise FATO/runway markings, 720 m or more of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

IALS comprise FATO/runway markings, 420 - 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

BALS comprise FATO/runway markings, <420 m of HI/MI approach lights, any length of LI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

AMC7 CAT.OP.MPA.110 Aerodrome operating minima

CIRCLING OPERATIONS — AEROPLANES

(a) Circling minima

The following standards should apply for establishing circling minima for operations with aeroplanes:

- (1) the MDH for circling operation should not be lower than the highest of:
 - (i) the published circling OCH for the aeroplane category;
 - (ii) the minimum circling height derived from Table 7; or
 - (iii) the DH/MDH of the preceding instrument approach procedure;
- (2) the MDA for circling should be calculated by adding the published aerodrome elevation to the MDH, as determined by (a)(1); and
- (3) the minimum visibility for circling should be the highest of:
 - (i) the circling visibility for the aeroplane category, if published;
 - (ii) the minimum visibility derived from Table 7; or
 - (iii) the RVR/CMV derived from Tables 5 and 6.A for the preceding instrument approach procedure.

Table 7

Circling — aeroplanes MDH and minimum visibility vs aeroplane category

| | Aeroplane category | | | |
|---------------------------------------|--------------------|-------|-------|-------|
| | A | B | C | D |
| MDH (ft) | 400 | 500 | 600 | 700 |
| Minimum meteorological visibility (m) | 1 500 | 1 600 | 2 400 | 3 600 |

(b) Conduct of flight — general:

- (1) the MDH and OCH included in the procedure are referenced to aerodrome elevation;
- (2) the MDA is referenced to mean sea level;
- (3) for these procedures, the applicable visibility is the meteorological visibility; and
- (4) operators should provide tabular guidance of the relationship between height above threshold and the in-flight visibility required to obtain and sustain visual contact during the circling manoeuvre.

-
- (c) Instrument approach followed by visual manoeuvring (circling) without prescribed tracks
- (1) When the aeroplane is on the initial instrument approach, before visual reference is stabilised, but not below MDA/H, the aeroplane should follow the corresponding instrument approach procedure until the appropriate instrument MAPt is reached.
 - (2) At the beginning of the level flight phase at or above the MDA/H, the instrument approach track determined by radio navigation aids, RNAV, RNP, ILS, MLS or GLS should be maintained until the pilot:
 - (i) estimates that, in all probability, visual contact with the runway of intended landing or the runway environment will be maintained during the entire circling procedure;
 - (ii) estimates that the aeroplane is within the circling area before commencing circling; and
 - (iii) is able to determine the aeroplane's position in relation to the runway of intended landing with the aid of the appropriate external references.
 - (3) When reaching the published instrument MAPt and the conditions stipulated in (c)(2) are unable to be established by the pilot, a missed approach should be carried out in accordance with that instrument approach procedure.
 - (4) After the aeroplane has left the track of the initial instrument approach, the flight phase outbound from the runway should be limited to an appropriate distance, which is required to align the aeroplane onto the final approach. Such manoeuvres should be conducted to enable the aeroplane:
 - (i) to attain a controlled and stable descent path to the intended landing runway; and
 - (ii) to remain within the circling area and in such way that visual contact with the runway of intended landing or runway environment is maintained at all times.
 - (5) Flight manoeuvres should be carried out at an altitude/height that is not less than the circling MDA/H.
 - (6) Descent below MDA/H should not be initiated until the threshold of the runway to be used has been appropriately identified. The aeroplane should be in a position to continue with a normal rate of descent and land within the touchdown zone.
- (d) Instrument approach followed by a visual manoeuvring (circling) with prescribed track
- (1) The aeroplane should remain on the initial instrument approach procedure until one of the following is reached:
 - (i) the prescribed divergence point to commence circling on the prescribed track; or
 - (ii) the MAPt.
 - (2) The aeroplane should be established on the instrument approach track determined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS in level flight at or above the MDA/H at or by the circling manoeuvre divergence point.
 - (3) If the divergence point is reached before the required visual reference is acquired, a missed approach should be initiated not later than the MAPt and completed in accordance with the instrument approach procedure.

-
- (4) When commencing the prescribed circling manoeuvre at the published divergence point, the subsequent manoeuvres should be conducted to comply with the published routing and published heights/altitudes.
 - (5) Unless otherwise specified, once the aeroplane is established on the prescribed track(s), the published visual reference does not need to be maintained unless:
 - (i) required by the State of the aerodrome; or
 - (ii) the circling MAPt (if published) is reached.
 - (6) If the prescribed circling manoeuvre has a published MAPt and the required visual reference has not been obtained by that point, a missed approach should be executed in accordance with (e)(2) and (e)(3).
 - (7) Subsequent further descent below MDA/H should only commence when the required visual reference has been obtained.
 - (8) Unless otherwise specified in the procedure, final descent should not be commenced from MDA/H until the threshold of the intended landing runway has been identified and the aeroplane is in a position to continue with a normal rate of descent to land within the touchdown zone.
- (e) Missed approach
- (1) Missed approach during the instrument procedure prior to circling:
 - (i) if the missed approach procedure is required to be flown when the aeroplane is positioned on the instrument approach track defined by radio-navigation aids RNAV, RNP, or ILS, MLS, and before commencing the circling manoeuvre, the published missed approach for the instrument approach should be followed; or
 - (ii) if the instrument approach procedure is carried out with the aid of an ILS, MLS or an stabilised approach (SAp), the MAPt associated with an ILS, MLS procedure without glide path (GP-out procedure) or the SAp, where applicable, should be used.
 - (2) If a prescribed missed approach is published for the circling manoeuvre, this overrides the manoeuvres prescribed below.
 - (3) If visual reference is lost while circling to land after the aeroplane has departed from the initial instrument approach track, the missed approach specified for that particular instrument approach should be followed. It is expected that the pilot will make an initial climbing turn toward the intended landing runway to a position overhead the aerodrome where the pilot will establish the aeroplane in a climb on the instrument missed approach segment.
 - (4) The aeroplane should not leave the visual manoeuvring (circling) area, which is obstacle-protected, unless:
 - (i) established on the appropriate missed approach procedure; or
 - (ii) at minimum sector altitude (MSA).
 - (5) All turns should be made in the same direction and the aeroplane should remain within the circling protected area while climbing either:
 - (i) to the altitude assigned to any published circling missed approach manoeuvre if applicable;

- (ii) to the altitude assigned to the missed approach of the initial instrument approach;
- (iii) to the MSA; or
- (iv) to the minimum holding altitude (MHA) applicable to transition to a holding facility or fix, or continue to climb to an MSA;

or as directed by ATS.

When the missed approach procedure is commenced on the 'downwind' leg of the circling manoeuvre, an 'S' turn may be undertaken to align the aeroplane on the initial instrument approach missed approach path, provided the aeroplane remains within the protected circling area.

The commander should be responsible for ensuring adequate terrain clearance during the above-stipulated manoeuvres, particularly during the execution of a missed approach initiated by ATS.

- (6) Because the circling manoeuvre may be accomplished in more than one direction, different patterns will be required to establish the aeroplane on the prescribed missed approach course depending on its position at the time visual reference is lost. In particular, all turns are to be in the prescribed direction if this is restricted, e.g. to the west/east (left or right hand) to remain within the protected circling area.
- (7) If a missed approach procedure is published for a particular runway onto which the aeroplane is conducting a circling approach and the aeroplane has commenced a manoeuvre to align with the runway, the missed approach for this direction may be accomplished. The ATS unit should be informed of the intention to fly the published missed approach procedure for that particular runway.
- (8) The commander should advise ATS when any missed approach procedure has been commenced, the height/altitude the aeroplane is climbing to and the position the aeroplane is proceeding towards and/or heading the aeroplane is established on.

AMC8 CAT.OP.MPA.110 Aerodrome operating minima

ONSHORE CIRCLING OPERATIONS — HELICOPTERS

For circling, the specified MDH should not be less than 250 ft, and the meteorological visibility not less than 800 m.

AMC9 CAT.OP.MPA.110 Aerodrome operating minima

VISUAL APPROACH OPERATIONS

The operator should not use an RVR of less than 800 m for a visual approach operation.

AMC10 CAT.OP.MPA.110 Aerodrome operating minima

CONVERSION OF REPORTED METEOROLOGICAL VISIBILITY TO RVR

- (a) A conversion from meteorological visibility to RVR/CMV should not be used:
 - (1) when reported RVR is available;
 - (2) for calculating take-off minima; and
 - (3) for any RVR minima less than 800 m.
- (b) If the RVR is reported as being above the maximum value assessed by the aerodrome operator, e.g. 'RVR more than 1 500 m', it should not be considered as a reported value for (a)(1).
- (c) When converting meteorological visibility to RVR in circumstances other than those in (a), the conversion factors specified in Table 8 should be used.

Table 8

Conversion of reported meteorological visibility to RVR/CMV

| Light elements in operation | RVR/CMV = reported meteorological visibility x | |
|-------------------------------------------------|------------------------------------------------|----------------|
| | Day | Night |
| HI approach and runway lights | 1.5 | 2.0 |
| Any type of light installation other than above | 1.0 | 1.5 |
| No lights | 1.0 | not applicable |

AMC11 CAT.OP.MPA.110 Aerodrome operating minima

EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED GROUND EQUIPMENT

- (a) General

These instructions are intended for use both pre-flight and in-flight. It is, however, not expected that the commander would consult such instructions after passing 1 000 ft above the aerodrome. If failures of ground aids are announced at such a late stage, the approach could be continued at the commander's discretion. If failures are announced before such a late stage in the approach, their effect on the approach should be considered as described in Table 9, and the approach may have to be abandoned.
- (b) Conditions applicable to Table 9:
 - (1) multiple failures of runway/FATO lights other than indicated in Table 9 should not be acceptable;
 - (2) deficiencies of approach and runway/FATO lights are treated separately; and
 - (3) failures other than ILS, MLS affect RVR only and not DH.

Table 9

Failed or downgraded equipment — effect on landing minima

Operations without a low visibility operations (LVO) approval

| Failed or downgraded equipment | Effect on landing minima | |
|-----------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| | CAT I | APV, NPA |
| ILS/MLS stand-by transmitter | No effect | |
| Outer Marker | Not allowed except if replaced by height check at 1 000 ft | APV — not applicable |
| | | NPA with FAF: no effect unless used as FAF |
| | | If the FAF cannot be identified (e.g. no method available for timing of descent), non-precision operations cannot be conducted |
| Middle marker | No effect | No effect unless used as MAPt |
| RVR Assessment Systems | No effect | |
| Approach lights | Minima as for NALS | |
| Approach lights except the last 210 m | Minima as for BALS | |
| Approach lights except the last 420 m | Minima as for IALS | |
| Standby power for approach lights | No effect | |
| Edge lights, threshold lights and runway end lights | Day: no effect; Night: not allowed | |
| Centreline lights | No effect if F/D, HUDLS or auto-land otherwise RVR 750 m | No effect |
| Centreline lights spacing increased to 30 m | No effect | |
| Touchdown zone lights | No effect if F/D, HUDLS or auto-land; otherwise RVR 750 m | No effect |
| Taxiway lighting system | No effect | |

AMC12 CAT.OP.MPA.110 Aerodrome operating minima

VFR OPERATIONS WITH OTHER-THAN-COMPLEX MOTOR-POWERED AIRCRAFT

For the establishment of VFR operation minima, the operator may apply the VFR operating minima specified in Part-SERA. Where necessary, the operator may specify in the OM additional conditions for the applicability of such minima taking into account such factors as radio coverage, terrain, nature of sites for take-off and landing, flight conditions and ATS capacity.

GM1 CAT.OP.MPA.110 Aerodrome operating minima

ONSHORE AERODROME DEPARTURE PROCEDURES — HELICOPTERS

The cloud base and visibility should be such as to allow the helicopter to be clear of cloud at take-off decision point (TDP), and for the pilot flying to remain in sight of the surface until reaching the minimum speed for flight in instrument meteorological conditions (IMC) given in the AFM.

GM2 CAT.OP.MPA.110 Aerodrome operating minima

APPROACH LIGHTING SYSTEMS — ICAO, FAA

The following table provides a comparison of ICAO and FAA specifications.

Table 1

Approach lighting systems

| Class of lighting facility | Length, configuration and intensity of approach lights |
|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| FALS | ICAO: CAT I lighting system (HIALS \geq 900 m) distance coded centreline, Barrette centreline FAA: ALSF1, ALSF2, SSALR, MALSR, high or medium intensity and/or flashing lights, 720 m or more |
| IALS | ICAO: simple approach lighting system (HIALS 420 – 719 m) single source, Barrette FAA: MALSF, MALS, SALS/SALSF, SSALF, SSALS, high or medium intensity and/or flashing lights, 420 – 719 m |
| BALS | Any other approach lighting system (HIALS, MALS or ALS 210-419 m) FAA: ODALS, high or medium intensity or flashing lights 210 - 419 m |
| NALS | Any other approach lighting system (HIALS, MALS or ALS <210 m) or no approach lights |

Note: ALSF: approach lighting system with sequenced flashing lights;

MALS: medium intensity approach lighting system;

MALSF: medium intensity approach lighting system with sequenced flashing lights;

MALSR: medium intensity approach lighting system with runway alignment indicator lights;

ODALS: omnidirectional approach lighting system;

SALS: simple approach lighting system;

SALSF: short approach lighting system with sequenced flashing lights;

SSALF: simplified short approach lighting system with sequenced flashing lights;

SSALR: simplified short approach lighting system with runway alignment indicator lights;

SSALS: simplified short approach lighting system.

GM3 CAT.OP.MPA.110 Aerodrome operating minima

SBAS OPERATIONS

- (a) SBAS CAT I operations with a DH of 200 ft depend on an SBAS system approved for operations down to a DH of 200 ft.
- (b) The following systems are in operational use or in a planning phase:
 - (1) European geostationary navigation overlay service (EGNOS) operational in Europe;
 - (2) wide area augmentation system (WAAS) operational in the USA;
 - (3) multi-functional satellite augmentation system (MSAS) operational in Japan;
 - (4) system of differential correction and monitoring (SDCM) planned by Russia;

- (5) GPS aided geo augmented navigation (GAGAN) system, planned by India; and
- (6) satellite navigation augmentation system (SNAS), planned by China.

GM1 CAT.OP.MPA.110(a) Aerodrome operating minima

INCREMENTS SPECIFIED BY THE CAA

Additional increments to the published minima may be specified by the CAA to take into account certain operations, such as downwind approaches and single-pilot operations.

AMC1 CAT.OP.MPA.115 Approach flight technique — aeroplanes

CONTINUOUS DESCENT FINAL APPROACH (CDFA)

(a) Flight techniques:

- (1) The CDFA technique should ensure that an approach can be flown on the desired vertical path and track in a stabilised manner, without significant vertical path changes during the final segment descent to the runway. This technique applies to an approach with no vertical guidance and controls the descent path until the DA/DH. This descent path can be either:
 - (i) a recommended descent rate, based on estimated ground speed;
 - (ii) a descent path depicted on the approach chart; or
 - (iii) a descent path coded in the flight management system in accordance with the approach chart descent path.
- (2) The operator should either provide charts which depict the appropriate cross check altitudes/heights with the corresponding appropriate range information, or such information should be calculated and provided to the flight crew in an appropriate and usable format. Generally, the MAPt is published on the chart.
- (3) The approach should be flown as an SAp.
- (4) The required descent path should be flown to the DA/H, observing any step-down crossing altitudes if applicable.
- (5) This DA/H should take into account any add-on to the published minima as identified by the operator's management system and should be specified in the OM (aerodrome operating minima).
- (6) During the descent, the pilot monitoring should announce crossing altitudes as published fixes and other designated points are crossed, giving the appropriate altitude or height for the appropriate range as depicted on the chart. The pilot flying should promptly adjust the rate of descent as appropriate.
- (7) The operator should establish a procedure to ensure that an appropriate callout is made when the aeroplane is approaching DA/H. If the required visual references are not established at DA/H, the missed approach procedure is to be executed promptly.
- (8) The descent path should ensure that little or no adjustment of attitude or thrust/power is needed after the DA/H to continue the landing in the visual segment.
- (9) The missed approach should be initiated no later than reaching the MAPt or at the DA/H, whichever comes first. The lateral part of the missed approach should be flown via the MAPt unless otherwise stated on the approach chart.

(b) Flight techniques conditions:

- (1) The approach should be considered to be fully stabilised when the aeroplane is:
 - (i) tracking on the required approach path and profile;
 - (ii) in the required configuration and attitude;
 - (iii) flying with the required rate of descent and speed; and
 - (iv) flying with the appropriate thrust/power and trim.
- (2) The aeroplane is considered established on the required approach path at the appropriate energy for stable flight using the CDFA technique when:
 - (i) it is tracking on the required approach path with the correct track set, approach aids tuned and identified as appropriate to the approach type flown and on the required vertical profile; and
 - (ii) it is at the appropriate attitude and speed for the required target rate of descent (ROD) with the appropriate thrust/power and trim.
- (3) Stabilisation during any straight-in approach without visual reference to the ground should be achieved at the latest when passing 1 000 ft above runway threshold elevation. For approaches with a designated vertical profile applying the CDFA technique, a later stabilisation in speed may be acceptable if higher than normal approach speeds are required by ATC procedures or allowed by the OM. Stabilisation should, however, be achieved not later than 500 ft above runway threshold elevation.
- (4) For approaches where the pilot has visual reference with the ground, stabilisation should be achieved not later than 500 ft above aerodrome elevation. However, the aeroplane should be stabilised when passing 1 000 ft above runway threshold elevation; in the case of circling approaches flown after a CDFA, the aircraft should be stabilised in the circling configuration not later than passing 1 000 ft above the runway elevation.
- (5) To ensure that the approach can be flown in a stabilised manner, the bank angle, rate of descent and thrust/power management should meet the following performances:
 - (i) The bank angle should be less than 30 degrees.
 - (ii) The target rate of descent (ROD) should not exceed 1 000 fpm and the ROD deviations should not exceed ± 300 fpm, except under exceptional circumstances which have been anticipated and briefed prior to commencing the approach; for example, a strong tailwind. Zero ROD may be used when the descent path needs to be regained from below the profile. The target ROD may need to be initiated prior to reaching the required descent point, typically 0.3 NM before the descent point, dependent upon ground speed, which may vary for each type/class of aeroplane.
 - (iii) The limits of thrust/power and the appropriate range should be specified in the OM Part B or equivalent document.
 - (iv) The optimum angle for the approach slope is 3° and should not exceed 4.5° .
 - (v) The CDFA technique should be applied only to approach procedures based on NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV and fulfil the following criteria:
 - (A) the final approach track off-set $\leq 5^\circ$ except for Category A and B aeroplanes, where the approach-track off-set is $\leq 15^\circ$; and
 - (B) a FAF, or another appropriate fix, e.g. final approach point, where descent

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- initiated is available; and
- (C) the distance from the FAF or another appropriate fix to the threshold (THR) is less than or equal to 8 NM in the case of timing; or
 - (D) the distance to the THR is available by FMS/GNSS or DME; or
 - (E) the minimum final-segment of the designated constant angle approach path should not be less than 3 NM from the THR unless approved by the authority.
- (7) The CDFA techniques support a common method for the implementation of flight-director-guided or auto-coupled RNAV approaches.

AMC2 CAT.OP.MPA.115 Approach flight technique — aeroplanes

NPA OPERATIONS WITHOUT APPLYING THE CDFA TECHNIQUE

- (a) In case the CDFA technique is not used, the approach should be flown to an altitude/height at or above the MDA/H where a level flight segment at or above MDA/H may be flown to the MAPt.
- (b) Even when the approach procedure is flown without the CDFA technique, the relevant procedures for ensuring a controlled and stable path to MDA/H should be followed.
- (c) In case the CDFA technique is not used when flying an approach, the operator should implement procedures to ensure that early descent to the MDA/H will not result in a subsequent flight below MDA/H without adequate visual reference. These procedures could include:
 - (1) awareness of radio altimeter information with reference to the approach profile;
 - (2) terrain awareness warning system (TAWS);
 - (3) limitation of rate of descent;
 - (4) limitation of the number of repeated approaches;
 - (5) safeguards against too early descents with prolonged flight at MDA/H; and
 - (6) specification of visual requirements for the descent from the MDA/H.
- (d) In case the CDFA technique is not used and when the MDA/H is high, it may be appropriate to make an early descent to MDA/H with appropriate safeguards such as the application of a significantly higher RVR/VIS.
- (e) The procedures that are flown with level flight at/or above MDA/H should be listed in the OM.
- (f) Operators should categorise aerodromes where there are approaches that require level flight at/or above MDA/H as B and C. Such aerodrome categorisation will depend upon the operator's experience, operational exposure, training programme(s) and flight crew qualification(s).

AMC3 CAT.OP.MPA.115 Approach flight technique — aeroplanes

OPERATIONAL PROCEDURES AND INSTRUCTIONS AND TRAINING

- (a) The operator should establish procedures and instructions for flying approaches using the CDFA technique and not using it. These procedures should be included in the OM and should include the duties of the flight crew during the conduct of such operations.
- (b) The operator should at least specify in the OM the maximum ROD for each aeroplane type/class operated and the required visual reference to continue the approach below:

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- (1) the DA/H, when applying the CDFA technique; and
 - (2) the MDA/H, when not applying the CDFA technique.
- (c) The operator should establish procedures which prohibit level flight at MDA/H without the flight crew having obtained the required visual references. It is not the intention to prohibit level flight at MDA/H when conducting a circling approach, which does not come within the definition of the CDFA technique.
- (d) The operator should provide the flight crew with unambiguous details of the technique used (CDFA or not). The corresponding relevant minima should include:
- (1) type of decision, whether DA/H or MDA/H;
 - (2) MAPt as applicable; and
 - (3) appropriate RVR/VIS for the approach operation and aeroplane category.
- (e) Training
- (1) Prior to using the CDFA technique, each flight crew member should undertake appropriate training and checking as required by Subpart FC of Annex III (ORO.FC). The operator's proficiency check should include at least one approach to a landing or missed approach as appropriate using the CDFA technique or not. The approach should be operated to the lowest appropriate DA/H or MDA/H, as appropriate; and, if conducted in a FSTD, the approach should be operated to the lowest approved RVR. The approach is not in addition to any manoeuvre currently required by either Part-FCL or Part-CAT. The provision may be fulfilled by undertaking any currently required approach, engine out or otherwise, other than a precision approach (PA), whilst using the CDFA technique.
 - (2) The policy for the establishment of constant predetermined vertical path and approach stability is to be enforced both during initial and recurrent pilot training and checking. The relevant training procedures and instructions should be documented in the operations manual.
 - (3) The training should emphasise the need to establish and facilitate joint crew procedures and crew resource management (CRM) to enable accurate descent path control and the provision to establish the aeroplane in a stable condition as required by the operator's operational procedures.
 - (4) During training, emphasis should be placed on the flight crew's need to:
 - (i) maintain situational awareness at all times, in particular with reference to the required vertical and horizontal profile;
 - (ii) ensure good communication channels throughout the approach;
 - (iii) ensure accurate descent-path control particularly during any manually-flown descent phase. The monitoring pilot should facilitate good flight path control by:
 - (A) communicating any altitude/height crosschecks prior to the actual passing of the range/altitude or height crosscheck;
 - (B) prompting, as appropriate, changes to the target ROD; and
 - (C) monitoring flight path control below DA/MDA;
 - (iv) understand the actions to be taken if the MAPt is reached prior to the MDA/H;
 - (v) ensure that the decision for a missed approach is taken no later than when reaching the DA/H or MDA/H;
 - (vi) ensure that prompt action for a missed approach is taken immediately when

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- reaching DA/H if the required visual reference has not been obtained as there may be no obstacle protection if the missed approach procedure manoeuvre is delayed;
- (vii) understand the significance of using the CDFA technique to a DA/H with an associated MAPt and the implications of early missed approach manoeuvres; and
 - (viii) understand the possible loss of the required visual reference due to pitch-change/climb when not using the CDFA technique for aeroplane types or classes that require a late change of configuration and/or speed to ensure the aeroplane is in the appropriate landing configuration.
- (5) Additional specific training when not using the CDFA technique with level flight at or above MDA/H
- (i) The training should detail:
 - (A) the need to facilitate CRM with appropriate flight crew communication in particular;
 - (B) the additional known safety risks associated with the 'dive-and-drive' approach philosophy which may be associated with non-CDFA;
 - (C) the use of DA/H during approaches flown using the CDFA technique;
 - (D) the significance of the MDA/H and the MAPt where appropriate;
 - (E) the actions to be taken at the MAPt and the need to ensure that the aeroplane remains in a stable condition and on the nominal and appropriate vertical profile until the landing;
 - (F) the reasons for increased RVR/Visibility minima when compared to the application of CDFA;
 - (G) the possible increased obstacle infringement risk when undertaking level flight at MDA/H without the required visual references;
 - (H) the need to accomplish a prompt missed approach manoeuvre if the required visual reference is lost;
 - (I) the increased risk of an unstable final approach and an associated unsafe landing if a rushed approach is attempted either from:
 - (a) inappropriate and close-in acquisition of the required visual reference; or
 - (b) unstable aeroplane energy and or flight path control; and
 - (J) the increased risk of controlled flight into terrain (CFIT).

GM1 CAT.OP.MPA.115 Approach flight technique — aeroplanes

CONTINUOUS DESCENT FINAL APPROACH (CDFA)

(a) Introduction

- (1) Controlled flight into terrain (CFIT) is a major hazard in aviation. Most CFIT accidents occur in the final approach segment of non-precision approaches; the use of stabilised-approach criteria on a continuous descent with a constant, predetermined vertical path is seen as a major improvement in safety during the conduct of such approaches. Operators should ensure that the following techniques are adopted as widely as possible, for all approaches.

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- (2) The elimination of level flight segments at MDA close to the ground during approaches, and the avoidance of major changes in attitude and power/thrust close to the runway that can destabilise approaches, are seen as ways to reduce operational risks significantly.
 - (3) The term CDFA has been selected to cover a flight technique for any type of NPA operation.
 - (4) The advantages of CDFA are as follows:
 - (i) the technique enhances safe approach operations by the utilisation of standard operating practices;
 - (ii) the technique is similar to that used when flying an ILS approach, including when executing the missed approach and the associated missed approach procedure manoeuvre;
 - (iii) the aeroplane attitude may enable better acquisition of visual cues;
 - (iv) the technique may reduce pilot workload;
 - (v) the approach profile is fuel-efficient;
 - (vi) the approach profile affords reduced noise levels;
 - (vii) the technique affords procedural integration with APV operations; and
 - (viii) when used and the approach is flown in a stabilised manner, CDFA is the safest approach technique for all NPA operations.
- (b) CDFA
- (1) Continuous descent final approach is defined in **Annex I** to this Regulation.
 - (2) An approach is only suitable for application of a CDFA technique when it is flown along a nominal vertical profile: a nominal vertical profile is not forming part of the approach procedure design, but can be flown as a continuous descent. The nominal vertical profile information may be published or displayed on the approach chart to the pilot by depicting the nominal slope or range/distance vs height. Approaches with a nominal vertical profile are considered to be:
 - (i) NDB, NDB/DME;
 - (ii) VOR, VOR/DME;
 - (iii) LOC, LOC/DME;
 - (iv) VDF, SRA; or
 - (v) GNSS/LNAV.
 - (3) Stabilised approach (SAP) is defined in **Annex I** to this Regulation.
 - (i) The control of the descent path is not the only consideration when using the CDFA technique. Control of the aeroplane's configuration and energy is also vital to the safe conduct of an approach.
 - (ii) The control of the flight path, described above as one of the specifications for conducting an SAP, should not be confused with the path specifications for using the CDFA technique. The predetermined path specification for conducting an SAP are established by the operator and published in the operations manual part B.
 - (iii) The predetermined approach slope specifications for applying the CDFA technique are established by the following:

- (A) the published 'nominal' slope information when the approach has a nominal vertical profile; and
- (B) the designated final-approach segment minimum of 3 NM, and maximum, when using timing techniques, of 8 NM.
- (iv) An SAp will never have any level segment of flight at DA/H or MDA/H as applicable. This enhances safety by mandating a prompt missed approach procedure manoeuvre at DA/H or MDA/H.
- (v) An approach using the CDFA technique will always be flown as an SAp, since this is a specification for applying CDFA. However, an SAp does not have to be flown using the CDFA technique, for example, a visual approach.

AMC1 CAT.OP.MPA.126 Performance-based navigation

PBN OPERATIONS

For operations where a navigation specification for performance-based navigation (PBN) has been prescribed and no specific approval is required in accordance with **SPA.PBN.100**, the operator should:

- (a) establish operating procedures specifying:
 - (1) normal, abnormal and contingency procedures;
 - (2) electronic navigation database management; and
 - (3) relevant entries in the minimum equipment list (MEL);
- (b) specify the flight crew qualification and proficiency constraints and ensure that the training programme for relevant personnel is consistent with the intended operation; and
- (c) ensure continued airworthiness of the area navigation system.

AMC2 CAT.OP.MPA.126 Performance-based navigation

MONITORING AND VERIFICATION

- (a) Preflight and general considerations
 - (1) At navigation system initialisation, the flight crew should confirm that the navigation database is current and verify that the aircraft position has been entered correctly, if required.
 - (2) The active flight plan, if applicable, should be checked by comparing the charts or other applicable documents with navigation equipment and displays. This includes confirmation of the departing runway and the waypoint sequence, reasonableness of track angles and distances, any altitude or speed constraints, and, where possible, which waypoints are fly-by and which are fly-over. Where relevant, the RF leg arc radii should be confirmed.
 - (3) The flight crew should check that the navigation aids critical to the operation of the intended PBN procedure are available.
 - (4) The flight crew should confirm the navigation aids that should be excluded from the operation, if any.
 - (5) An arrival, approach or departure procedure should not be used if the validity of the procedure in the navigation database has expired.

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- (6) The flight crew should verify that the navigation systems required for the intended operation are operational.
- (b) Departure
- (1) Prior to commencing a take-off on a PBN procedure, the flight crew should check that the indicated aircraft position is consistent with the actual aircraft position at the start of the take-off roll (aeroplanes) or lift-off (helicopters).
 - (2) Where GNSS is used, the signal should be acquired before the take-off roll (aeroplanes) or lift-off (helicopters) commences.
 - (3) Unless automatic updating of the actual departure point is provided, the flight crew should ensure initialisation on the runway or FATO by means of a manual runway threshold or intersection update, as applicable. This is to preclude any inappropriate or inadvertent position shift after take-off.
- (c) Arrival and approach
- (1) The flight crew should verify that the navigation system is operating correctly and the correct arrival procedure and runway (including any applicable transition) are entered and properly depicted.
 - (2) Any published altitude and speed constraints should be observed.
 - (3) The flight crew should check approach procedures (including alternate aerodromes if needed) as extracted by the system (e.g. CDU flight plan page) or presented graphically on the moving map, in order to confirm the correct loading and the reasonableness of the procedure content.
 - (4) Prior to commencing the approach operation (before the IAF), the flight crew should verify the correctness of the loaded procedure by comparison with the appropriate approach charts. This check should include:
 - (i) the waypoint sequence;
 - (ii) reasonableness of the tracks and distances of the approach legs and the accuracy of the inbound course; and
 - (iii) the vertical path angle, if applicable.
- (d) Altimetry settings for RNP APCH operations using Baro VNAV
- (1) Barometric settings
 - (i) The flight crew should set and confirm the correct altimeter setting and check that the two altimeters provide altitude values that do not differ more than 100 ft at the most at or before the final approach fix (FAF).
 - (ii) The flight crew should fly the procedure with:
 - (A) a current local altimeter setting source available — a remote or regional altimeter setting source should not be used; and
 - (B) the QNH/QFE, as appropriate, set on the aircraft's altimeters.
 - (2) Temperature compensation
 - (i) For RNP APCH operations to LNAV/VNAV minima using Baro VNAV:
 - (A) the flight crew should not commence the approach when the aerodrome temperature is outside the promulgated aerodrome temperature limits for the procedure unless the area navigation system is equipped with approved

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- temperature compensation for the final approach;
- (B) when the temperature is within promulgated limits, the flight crew should not make compensation to the altitude at the FAF and DA/H;
 - (C) since only the final approach segment is protected by the promulgated aerodrome temperature limits, the flight crew should consider the effect of temperature on terrain and obstacle clearance in other phases of flight.
- (ii) For RNP APCH operations to LNAV minima, the flight crew should consider the effect of temperature on terrain and obstacle clearance in all phases of flight, in particular on any step-down fix.
- (e) Sensor and lateral navigation accuracy selection
 - (1) For multi-sensor systems, the flight crew should verify, prior to approach, that the GNSS sensor is used for position computation.
 - (2) Flight crew of aircraft with RNP input selection capability should confirm that the indicated RNP value is appropriate for the PBN operation.

AMC3 CAT.OP.MPA.126 Performance-based navigation

MANAGEMENT OF THE NAVIGATION DATABASE

- (a) For RNAV 1, RNAV 2, RNP 1, RNP 2, and RNP APCH, the flight crew should neither insert nor modify waypoints by manual entry into a procedure (departure, arrival or approach) that has been retrieved from the database. User-defined data may be entered and used for waypoint altitude/speed constraints on a procedure where said constraints are not included in the navigation database coding.
- (b) For RNP 4 operations, the flight crew should not modify waypoints that have been retrieved from the database. User-defined data (e.g. for flex-track routes) may be entered and used.
- (c) The lateral and vertical definition of the flight path between the FAF and the missed approach point (MAPt) retrieved from the database should not be revised by the flight crew.

AMC4 CAT.OP.MPA.126 Performance-based navigation

DISPLAYS AND AUTOMATION

- (a) For RNAV 1, RNP 1, and RNP APCH operations, the flight crew should use a lateral deviation indicator, and where available, flight director and/or autopilot in lateral navigation mode.
- (b) The appropriate displays should be selected so that the following information can be monitored:
 - (1) the computed desired path;
 - (2) aircraft position relative to the lateral path (cross-track deviation) for FTE monitoring;
 - (3) aircraft position relative to the vertical path (for a 3D operation).
- (c) The flight crew of an aircraft with a lateral deviation indicator (e.g. CDI) should ensure that lateral deviation indicator scaling (full-scale deflection) is suitable for the navigation accuracy associated with the various segments of the procedure.
- (d) The flight crew should maintain procedure centrelines unless authorised to deviate by air traffic control (ATC) or demanded by emergency conditions.

- (e) Cross-track error/deviation (the difference between the area-navigation-system-computed path and the aircraft-computed position) should normally be limited to $\pm \frac{1}{2}$ time the RNAV/RNP value associated with the procedure. Brief deviations from this standard (e.g. overshoots or undershoots during and immediately after turns) up to a maximum of 1 time the RNAV/RNP value should be allowable.
- (f) For a 3D approach operation, the flight crew should use a vertical deviation indicator and, where required by AFM limitations, a flight director or autopilot in vertical navigation mode.
- (g) Deviations below the vertical path should not exceed 75 ft at any time, or half-scale deflection where angular deviation is indicated, and not more than 75 ft above the vertical profile, or half-scale deflection where angular deviation is indicated, at or below 1 000 ft above aerodrome level. The flight crew should execute a missed approach if the vertical deviation exceeds this criterion, unless the flight crew has in sight the visual references required to continue the approach.

AMC5 CAT.OP.MPA.126 Performance-based navigation

VECTORIZING AND POSITIONING

- (a) ATC tactical interventions in the terminal area may include radar headings, 'direct to' clearances which bypass the initial legs of an approach procedure, interceptions of an initial or intermediate segments of an approach procedure or the insertion of additional waypoints loaded from the database.
- (b) In complying with ATC instructions, the flight crew should be aware of the implications for the navigation system.
- (c) 'Direct to' clearances may be accepted to the IF provided that it is clear to the flight crew that the aircraft will be established on the final approach track at least 2 NM before the FAF.
- (d) 'Direct to' clearance to the FAF should not be acceptable. Modifying the procedure to intercept the final approach track prior to the FAF should be acceptable for radar-vectored arrivals or otherwise only with ATC approval.
- (e) The final approach trajectory should be intercepted no later than the FAF in order for the aircraft to be correctly established on the final approach track before starting the descent (to ensure terrain and obstacle clearance).
- (f) 'Direct to' clearances to a fix that immediately precede an RF leg should not be permitted.
- (g) For parallel offset operations en route in RNP 4 and A-RNP, transitions to and from the offset track should maintain an intercept angle of no more than 45° unless specified otherwise by ATC.

AMC6 CAT.OP.MPA.126 Performance-based navigation

ALERTING AND ABORT

- (a) Unless the flight crew has sufficient visual reference to continue the approach operation to a safe landing, an RNP APCH operation should be discontinued if:
 - (1) navigation system failure is annunciated (e.g. warning flag);
 - (2) lateral or vertical deviations exceed the tolerances;
 - (3) loss of the on-board monitoring and alerting system.
- (b) Discontinuing the approach operation may not be necessary for a multi-sensor navigation system that includes demonstrated RNP capability without GNSS in accordance with the AFM.

- (c) Where vertical guidance is lost while the aircraft is still above 1 000 ft AGL, the flight crew may decide to continue the approach to LNAV minima, when supported by the navigation system.

AMC7 CAT.OP.MPA.126 Performance-based navigation

CONTINGENCY PROCEDURES

- (a) The flight crew should make the necessary preparation to revert to a conventional arrival procedure where appropriate. The following conditions should be considered:
- (1) failure of the navigation system components including navigation sensors, and a failure effecting flight technical error (e.g. failures of the flight director or autopilot);
 - (2) multiple system failures affecting aircraft performance;
 - (3) coasting on inertial sensors beyond a specified time limit; and
 - (4) RAIM (or equivalent) alert or loss of integrity function.
- (b) In the event of loss of PBN capability, the flight crew should invoke contingency procedures and navigate using an alternative means of navigation.
- (c) The flight crew should notify ATC of any problem with PBN capability.
- (d) In the event of communication failure, the flight crew should continue with the operation in accordance with published lost communication procedures.

GM1 CAT.OP.MPA.126 Performance-based navigation

DESCRIPTION

- (a) For both, RNP X and RNAV X designations, the 'X' (where stated) refers to the lateral navigation accuracy (total system error) in NM, which is expected to be achieved at least 95 % of the flight time by the population of aircraft operating within the airspace, route or procedure. For RNP APCH and A-RNP, the lateral navigation accuracy depends on the segment.
- (b) PBN may be required on notified routes, for notified procedures and in notified airspace.

RNAV 10

- (c) For purposes of consistency with the PBN concept, this Regulation is using the designation 'RNAV 10' because this specification does not include on-board performance monitoring and alerting.
- (d) However, it should be noted that many routes still use the designation 'RNP 10' instead of 'RNAV 10'. 'RNP 10' was used as designation before the publication of the fourth edition of ICAO Doc 9613 in 2013. The terms 'RNP 10' and 'RNAV 10' should be considered equivalent.

AMC1 CAT.OP.MPA.130 Noise abatement procedures — aeroplanes

NADP DESIGN

- (a) For each aeroplane type, two departure procedures should be defined, in accordance with ICAO Doc 8168 (Procedures for Air Navigation Services, 'PANS-OPS'), Volume I:
- (1) noise abatement departure procedure one (NADP 1), designed to meet the close-in noise abatement objective; and
 - (2) noise abatement departure procedure two (NADP 2), designed to meet the distant noise

abatement objective.

- (b) For each type of NADP (1 and 2), a single climb profile should be specified for use at all aerodromes, which is associated with a single sequence of actions. The NADP 1 and NADP 2 profiles may be identical.

GM1 CAT.OP.MPA.130 Noise abatement procedures — aeroplanes

TERMINOLOGY

- (a) 'Climb profile' means in this context the vertical path of the NADP as it results from the pilot's actions (engine power reduction, acceleration, slats/flaps retraction).
- (b) 'Sequence of actions' means the order in which these pilot's actions are done and their timing.

GENERAL

- (c) The rule addresses only the vertical profile of the departure procedure. Lateral track has to comply with the standard instrument departure (SID).

EXAMPLE

- (d) For a given aeroplane type, when establishing the distant NADP, the operator should choose either to reduce power first and then accelerate, or to accelerate first and then wait until slats/flaps are retracted before reducing power. The two methods constitute two different sequences of actions.
- (e) For an aeroplane type, each of the two departure climb profiles may be defined by one sequence of actions (one for close-in, one for distant) and two above aerodrome level (AAL) altitudes/heights. These are:
 - (1) the altitude of the first pilot's action (generally power reduction with or without acceleration). This altitude should not be less than 800 ft AAL; or
 - (2) the altitude of the end of the noise abatement procedure. This altitude should usually not be more than 3 000 ft AAL.

These two altitudes may be runway specific when the aeroplane flight management system (FMS) has the relevant function which permits the crew to change thrust reduction and/or acceleration altitude/height. If the aeroplane is not FMS-equipped or the FMS is not fitted with the relevant function, two fixed heights should be defined and used for each of the two NADPs.

AMC1 CAT.OP.MPA.135 Routes and areas of operation — general

RNAV 10

- (a) Operating procedures and routes should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace.
- (b) The operator may extend RNAV 10 inertial navigation time by position updating. The operator should calculate, using statistically-based typical wind scenarios for each planned route, points at which updates can be made, and the points at which further updates will not be possible.

GM1 CAT.OP.MPA.137(b) Routes and areas of operation — helicopters

COASTAL TRANSIT

(a) General

- (1) Helicopters operating overwater in performance class 3 have to have certain equipment fitted. This equipment varies with the distance from land that the helicopter is expected to operate. The aim of this GM is to discuss that distance, bring into focus what fit is required and to clarify the operator's responsibility, when a decision is made to conduct coastal transit operations.
- (2) In the case of operations north of 45N or south of 45S, the coastal corridor facility may or may not be available in a particular state, as it is related to the State definition of open sea area as described in the definition of hostile environment.
- (3) Where the term 'coastal transit' is used, it means the conduct of operations overwater within the coastal corridor in conditions where there is reasonable expectation that:
 - (i) the flight can be conducted safely in the conditions prevailing;
 - (ii) following an engine failure, a safe forced landing and successful evacuation can be achieved; and
 - (iii) survival of the crew and passengers can be assured until rescue is effected.
- (4) Coastal corridor is a variable distance from the coastline to a maximum distance corresponding to three minutes' flying at normal cruising speed.

(b) Establishing the width of the coastal corridor

- (1) The maximum distance from land of coastal transit, is defined as the boundary of a corridor that extends from the land, to a maximum distance of up to 3 minutes at normal cruising speed (approximately 5 - 6 NM). Land in this context includes sustainable ice (see (i) to (iii) below) and, where the coastal region includes islands, the surrounding waters may be included in the corridor and aggregated with the coast and each other. Coastal transit need not be applied to inland waterways, estuary crossing or river transit.
 - (i) In some areas, the formation of ice is such that it can be possible to land, or force land, without hazard to the helicopter or occupants. Unless the CAA considers that operating to, or over, such ice fields is unacceptable, the operator may regard that the definition of the 'land' extends to these areas.
 - (ii) The interpretation of the following rules may be conditional on (i) above:
 - **CAT.OP.MPA.137(a)(2);**
 - **CAT.IDE.H.290;**
 - **CAT.IDE.H.295;**
 - **CAT.IDE.H.300;** and
 - **CAT.IDE.H.320.**
 - (iii) In view of the fact that such featureless and flat white surfaces could present a hazard and could lead to white-out conditions, the definition of land does not extend to flights over ice fields in the following rules:

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- **CAT.IDE.H.125(d)**; and
 - **CAT.IDE.H.145.**
- (2) The width of the corridor is variable from not safe to conduct operations in the conditions prevailing, to the maximum of 3 minutes wide. A number of factors will, on the day, indicate if it can be used — and how wide it can be. These factors will include, but not be restricted to, the following:
- (i) meteorological conditions prevailing in the corridor;
 - (ii) instrument fit of the aircraft;
 - (iii) certification of the aircraft — particularly with regard to floats;
 - (iv) sea state;
 - (v) temperature of the water;
 - (vi) time to rescue; and
 - (vii) survival equipment carried.
- (3) These can be broadly divided into three functional groups:
- (i) those that meet the provisions for safe flying;
 - (ii) those that meet the provisions for a safe forced landing and evacuation; and
 - (iii) those that meet the provisions for survival following a forced landing and successful evacuation.
- (c) Provision for safe flying
- (1) It is generally recognised that when flying out of sight of land in certain meteorological conditions, such as those occurring in high pressure weather patterns (goldfish bowl — no horizon, light winds and low visibility), the absence of a basic panel (and training) can lead to disorientation. In addition, lack of depth perception in these conditions demands the use of a radio altimeter with an audio voice warning as an added safety benefit — particularly when autorotation to the surface of the water may be required.
- (2) In these conditions, the helicopter, without the required instruments and radio altimeter, should be confined to a corridor in which the pilot can maintain reference using the visual cues on the land.
- (d) Provision for a safe forced landing and evacuation
- (1) Weather and sea state both affect the outcome of an autorotation following an engine failure. It is recognised that the measurement of sea state is problematical and when assessing such conditions, good judgement has to be exercised by the operator and the commander.
- (2) Where floats have been certificated only for emergency use (and not for ditching), operations should be limited to those sea states that meet the provisions for such use — where a safe evacuation is possible.

Ditching certification requires compliance with a comprehensive number of requirements relating to rotorcraft water entry, flotation and trim, occupant egress and occupant survival. Emergency flotation systems, generally fitted to smaller CS-27 rotorcraft, are approved against a broad specification that the equipment should perform its intended function and not hazard the rotorcraft or its occupants. In practice, the most

significant difference between ditching and emergency flotation systems is substantiation of the water entry phase. Ditching rules call for water entry procedures and techniques to be established and promulgated in the AFM. The fuselage/flotation equipment should thereafter be shown to be able to withstand loads under defined water entry conditions which relate to these procedures. For emergency flotation equipment, there is no specification to define the water entry technique and no specific conditions defined for the structural substantiation.

(e) Provisions for survival

- (1) Survival of crew members and passengers, following a successful autorotation and evacuation, is dependent on the clothing worn, the equipment carried and worn, the temperature of the sea and the sea state. Search and rescue (SAR) response/capability consistent with the anticipated exposure should be available before the conditions in the corridor can be considered non-hostile.
- (2) Coastal transit can be conducted (including north of 45N and south of 45S — when the definition of open sea areas allows) providing the provisions of (c) and (d) are met, and the conditions for a non-hostile coastal corridor are satisfied.

AMC1 CAT.OP.MPA.140(d) Maximum distance from an adequate aerodrome for two-engined aeroplanes without an ETOPS approval

OPERATION OF NON-ETOPS COMPLIANT TWIN TURBO-JET AEROPLANES WITH MOPSC OF 19 OR LESS AND MCTOM LESS THAN 45 360 KG BETWEEN 120 AND 180 MINUTES FROM AN ADEQUATE AERODROME

- (a) For operations between 120 and 180 minutes, due account should be taken of the aeroplane's design and capabilities as outlined below and the operator's experience related to such operations. Relevant information should be included in the operations manual and the operator's maintenance procedures. The term 'the aeroplane's design' in this AMC does not imply any additional type design approval specifications beyond the applicable original type certificate (TC) specifications.

(b) Systems capability

Aeroplanes should be certified to CS-25 as appropriate or equivalent (e.g. FAR-25). With respect to the capability of the aeroplane systems, the objective is that the aeroplane is capable of a safe diversion from the maximum diversion distance with particular emphasis on operations with OEI or with degraded system capability. To this end, the operator should give consideration to the capability of the following systems to support such a diversion:

- (1) Propulsion systems: the aeroplane engine should meet the applicable specifications prescribed in CS-25 and CS-E or equivalent (e.g. FAR-25, FAR-E), concerning engine TC, installation and system operation. In addition to the performance standards established by the CAA at the time of engine certification, the engines should comply with all subsequent mandatory safety standards specified by the CAA, including those necessary to maintain an acceptable level of reliability. In addition, consideration should be given to the effects of extended duration single-engine operation (e.g. the effects of higher power demands such as bleed and electrical).
- (2) Airframe systems: with respect to electrical power, three or more reliable as defined by CS-25 or equivalent (e.g. FAR-25) and independent electrical power sources should be available, each of which should be capable of providing power for all essential services which should at least include the following:

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- (i) sufficient instruments for the flight crew providing, as a minimum, attitude, heading, airspeed and altitude information;
 - (ii) appropriate pitot heating;
 - (iii) adequate navigation capability;
 - (iv) adequate radio communication and intercommunication capability;
 - (v) adequate flight deck and instrument lighting and emergency lighting;
 - (vi) adequate flight controls;
 - (vii) adequate engine controls and restart capability with critical type fuel (from the stand-point of flame-out and restart capability) and with the aeroplane initially at the maximum relight altitude;
 - (viii) adequate engine instrumentation;
 - (ix) adequate fuel supply system capability including such fuel boost and fuel transfer functions that may be necessary for extended duration single or dual-engine operation;
 - (x) such warnings, cautions and indications as are required for continued safe flight and landing;
 - (xi) fire protection (engines and auxiliary power unit (APU));
 - (xii) adequate ice protection including windshield de-icing; and
 - (xiii) adequate control of the flight crew compartment and cabin environment including heating and pressurisation.

The equipment including avionics necessary for extended diversion times should have the ability to operate acceptably following failures in the cooling system or electrical power systems.

For single-engine operations, the remaining power electrical, hydraulic, and pneumatic should continue to be available at levels necessary to permit continued safe flight and landing, and to provide those services necessary for the overall safety of the passengers and crew. As a minimum, following the failure of any two of the three electrical power sources, the remaining source should be capable of providing power for all of the items necessary for the duration of any diversion. If one or more of the required electrical power sources are provided by an APU, hydraulic system or air driven generator/ram air turbine (ADG/RAT), the following criteria should apply as appropriate:

- (i) to ensure hydraulic power (hydraulic motor generator) reliability, it may be necessary to provide two or more independent energy sources;
 - (ii) the ADG/RAT, if fitted, should not require engine dependent power for deployment; and
 - (iii) the APU should meet the criteria in (b)(3).
- (3) APU: the APU, if required for extended range operations, should be certified as an essential APU and should meet the applicable CS-25 and CS-APU provisions or equivalent (e.g. FAR-25).
 - (4) Fuel supply system: consideration should include the capability of the fuel supply system to provide sufficient fuel for the entire diversion taking account of aspects such as fuel boost and fuel transfer.

(c) Engine events and corrective action

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- (1) All engine events and operating hours should be reported by the operator to the airframe and engine supplemental type certificate (STC) holders as well as to the CAA.
 - (2) These events should be evaluated by the operator in consultation with the CAA and with the engine and airframe (S)TC holders. The CAA may consult with other agencies to ensure that worldwide data are evaluated.
 - (3) Where statistical assessment alone is not applicable, e.g. where the fleet size or accumulated flight hours are small, individual engine events should be reviewed on a case-by-case basis.
 - (4) The evaluation or statistical assessment, when available, may result in corrective action or the application of operational restrictions.
 - (5) Engine events could include engine shutdowns, both on ground and in-flight, excluding normal training events, including flameout, occurrences where the intended thrust level was not achieved or where crew action was taken to reduce thrust below the normal level for whatever reason, and unscheduled removals.
 - (6) Arrangements to ensure that all corrective actions required by the CAA are implemented.
- (d) Maintenance
- The maintenance programme in accordance with Annex I to Commission Regulation (EC) No 2042/2003¹ (Part-M) should be based upon reliability programmes including, but not limited to, the following elements:
- (1) engine oil consumption programmes: such programmes are intended to support engine condition trend monitoring; and
 - (2) engine condition monitoring programme: a programme for each engine that monitors engine performance parameters and trends of degradation that provides for maintenance actions to be undertaken prior to significant performance loss or mechanical failure.
- (e) Flight crew training
- Flight crew training for this type of operation should include, in addition to the requirements of Subpart FC of Annex III (ORO.FC), particular emphasis on the following:
- (1) Fuel management: verifying required fuel on board prior to departure and monitoring fuel on board en-route including calculation of fuel remaining. Procedures should provide for an independent cross-check of fuel quantity indicators, e.g. fuel flow used to calculate fuel burned compared to indicate fuel remaining. Confirmation that the fuel remaining is sufficient to satisfy the critical fuel reserves.
 - (2) Procedures for single and multiple failures in-flight that may give rise to go/no-go and diversion decisions — policy and guidelines to aid the flight crew in the diversion decision making process and the need for constant awareness of the closest weather-permissible alternate aerodrome in terms of time.

¹ Commission Regulation (EC) No 2042/2003 of 20 November 2003 on the continuing airworthiness of aircraft and aeronautical products, parts and appliances, and on the approval of organisations and personnel involved in these tasks (OJ L 315, 28.11.2003, p. 1).

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- (3) OEI performance data: drift down procedures and OEI service ceiling data.
 - (4) Weather reports and flight requirements: meteorological aerodrome reports (METARs) and aerodrome forecast (TAF) reports and obtaining in-flight weather updates on the en-route alternate (ERA), destination and destination alternate aerodromes. Consideration should also be given to forecast winds including the accuracy of the forecast compared to actual wind experienced during flight and meteorological conditions along the expected flight path at the OEI cruising altitude and throughout the approach and landing.
- (f) Pre-departure check
- A pre-departure check, additional to the pre-flight inspection required by Part-M should be reflected in the operations manual. Flight crew members who are responsible for the pre-departure check of an aeroplane should be fully trained and competent to do it. The training programme required should cover all relevant tasks with particular emphasis on checking required fluid levels.
- (g) MEL
- The MEL should take into account all items specified by the manufacturer relevant to operations in accordance with this AMC.
- (h) Dispatch/flight planning rules
- The operator's dispatch rules should address the following:
- (1) Fuel and oil supply: an aeroplane should not be dispatched on an extended range flight unless it carries sufficient fuel and oil to comply with the applicable operational requirements and any additional reserves determined in accordance with the following:
 - (i) Critical fuel scenario — the critical point is the furthest point from an alternate aerodrome assuming a simultaneous failure of an engine and the pressurisation system. For those aeroplanes that are type certificated to operate above flight level 450, the critical point is the furthest point from an alternate aerodrome assuming an engine failure. The operator should carry additional fuel for the worst case fuel burn condition (one engine vs two engines operating) if this is greater than the additional fuel calculated in accordance with the fuel requirements in CAT.OP.MPA, as follows:
 - (A) fly from the critical point to an alternate aerodrome:
 - (a) at 10 000 ft;
 - (b) at 25 000 ft or the single-engine ceiling, whichever is lower, provided that all occupants can be supplied with and use oxygen for the time required to fly from the critical point to an alternate aerodrome; or
 - (c) at the single-engine ceiling, provided that the aeroplane is type certified to operate above flight level 450;
 - (B) descend and hold at 1 500 ft for 15 minutes in international standard atmosphere (ISA) conditions;
 - (C) descend to the applicable MDA/DH followed by a missed approach (taking into account the complete missed approach procedure); followed by
 - (D) a normal approach and landing.
 - (ii) Ice protection: additional fuel used when operating in icing conditions (e.g. operation of ice protection systems (engine/airframe as applicable)) and, when manufacturer's data are available, take account of ice accumulation on

unprotected surfaces if icing conditions are likely to be encountered during a diversion.

- (iii) APU operation: if an APU has to be used to provide additional electrical power, consideration should be given to the additional fuel required.
- (2) Communication facilities: the availability of communications facilities in order to allow reliable two-way voice communications between the aeroplane and the appropriate ATC unit at OEI cruise altitudes.
- (3) Aircraft technical log review to ensure proper MEL procedures, deferred items, and required maintenance checks completed.
- (4) ERA aerodrome(s): ensuring that ERA aerodromes are available for the intended route, within the distance flown in 180 minutes based upon the OEI cruising speed which is a speed within the certificated limits of the aeroplane, selected by the operator and approved by the CAA, confirming that, based on the available meteorological information, the weather conditions at ERA aerodromes are at or above the applicable minima for the period of time during which the aerodrome(s) may be used.

Table 1

Planning minima

| Approach facility | Alternate aerodrome ceiling | Weather minima RVR/VIS |
|--------------------------|-----------------------------|---------------------------|
| PA | DA/H +200 ft | RVR/VIS +800 m |
| NPA Circling approach | MDA/H +400 ft | RVR/VIS +1 500 m |

GM1 CAT.OP.MPA.140(c) Maximum distance from an adequate aerodrome for two-engined aeroplanes without an ETOPS approval

ONE-ENGINE-INOPERATIVE (OEI) CRUISING SPEED

The OEI cruising speed is intended to be used solely for establishing the maximum distance from an adequate aerodrome.

AMC1 CAT.OP.MPA.145(a) Establishment of minimum flight altitudes

CONSIDERATIONS FOR ESTABLISHING MINIMUM FLIGHT ALTITUDES

- (a) The operator should take into account the following factors when establishing minimum flight altitudes:
 - (1) the accuracy with which the position of the aircraft can be determined;
 - (2) the probable inaccuracies in the indications of the altimeters used;
 - (3) the characteristics of the terrain, such as sudden changes in the elevation, along the routes or in the areas where operations are to be conducted;
 - (4) the probability of encountering unfavourable meteorological conditions, such as severe turbulence and descending air currents; and
 - (5) possible inaccuracies in aeronautical charts.

- (b) The operator should also consider:
- (1) corrections for temperature and pressure variations from standard values;
 - (2) ATC requirements; and
 - (3) any foreseeable contingencies along the planned route.

AMC1.1 CAT.OP.MPA.145(a) Establishment of minimum flight altitudes

CONSIDERATIONS FOR ESTABLISHING MINIMUM FLIGHT ALTITUDES

This AMC provides another means of complying with the rule for VFR operations of other-than-complex motor-powered aircraft by day, compared to that presented in **AMC1 CAT.OP.MPA.145(a)**. The safety objective should be satisfied if the operator ensures that operations are only conducted along such routes or within such areas for which a safe terrain clearance can be maintained and take account of such factors as temperature, terrain and unfavourable meteorological conditions.

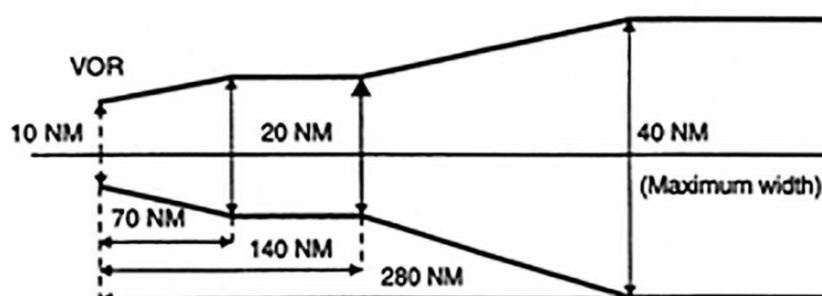
GM1 CAT.OP.MPA.145(a) Establishment of minimum flight altitudes

MINIMUM FLIGHT ALTITUDES

- (a) The following are examples of some of the methods available for calculating minimum flight altitudes.
- (b) KSS formula:
- (1) Minimum obstacle clearance altitude (MOCA)
 - (i) MOCA is the sum of:
 - (A) the maximum terrain or obstacle elevation, whichever is higher; plus
 - (B) 1 000 ft for elevation up to and including 6 000 ft; or
 - (C) 2 000 ft for elevation exceeding 6 000 ft rounded up to the next 100 ft.
 - (ii) The lowest MOCA to be indicated is 2 000 ft.
 - (iii) From a VOR station, the corridor width is defined as a borderline starting 5 NM either side of the VOR, diverging 4° from centreline until a width of 20 NM is reached at 70 NM out, thence paralleling the centreline until 140 NM out, thence again diverging 4° until a maximum width of 40 NM is reached at 280 NM out. Thereafter, the width remains constant (see Figure 1).

Figure 1

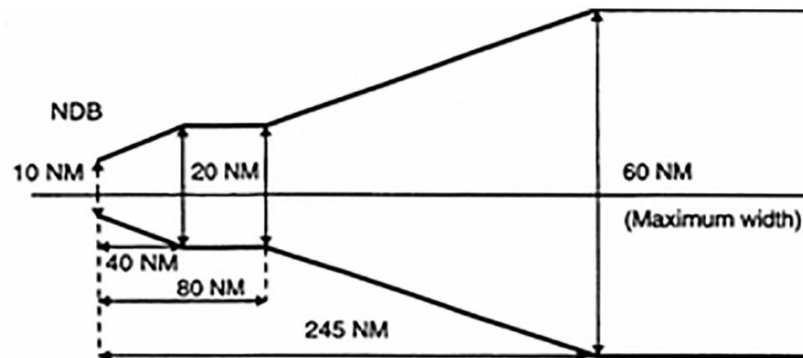
Corridor width from a VOR station



- (iv) From a non-directional beacon (NDB), similarly, the corridor width is defined as a borderline starting 5 NM either side of the NDB diverging 7° until a width of 20 NM is reached 40 NM out, thence paralleling the centreline until 80 NM out, thence again diverging 7° until a maximum width of 60 NM is reached 245 NM out. Thereafter, the width remains constant (see Figure 2).

Figure 2

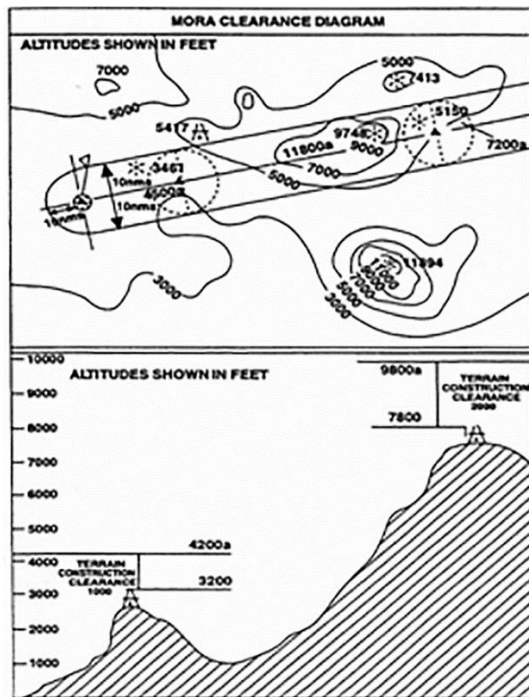
Corridor width from an NDB



- (v) MOCA does not cover any overlapping of the corridor.
- (2) Minimum off-route altitude (MORA). MORA is calculated for an area bounded by each or every second LAT/LONG square on the route facility chart (RFC)/terminal approach chart (TAC) and is based on a terrain clearance as follows:
- terrain with elevation up to 6 000 ft (2 000 m) – 1 000 ft above the highest terrain and obstructions;
 - terrain with elevation above 6 000 ft (2 000 m) – 2 000 ft above the highest terrain and obstructions.
- (c) Jeppesen formula (see Figure 3)
- MORA is a minimum flight altitude computed by Jeppesen from current operational navigation charts (ONCs) or world aeronautical charts (WACs). Two types of MORAs are charted which are:
 - route MORAs e.g. 9800a; and
 - grid MORAs e.g. 98.
 - Route MORA values are computed on the basis of an area extending 10 NM to either side of route centreline and including a 10 NM radius beyond the radio fix/reporting point or mileage break defining the route segment.
 - MORA values clear all terrain and man-made obstacles by 1 000 ft in areas where the highest terrain elevation or obstacles are up to 5 000 ft. A clearance of 2 000 ft is provided above all terrain or obstacles that are 5 001 ft and above.
 - A grid MORA is an altitude computed by Jeppesen and the values are shown within each grid formed by charted lines of latitude and longitude. Figures are shown in thousands and hundreds of feet (omitting the last two digits so as to avoid chart congestion). Values followed by ± are believed not to exceed the altitudes shown. The same clearance criteria as explained in (c)(3) apply.

Figure 3

Jeppesen formula



(d) ATLAS formula

- (1) Minimum en-route altitude (MEA). Calculation of the MEA is based on the elevation of the highest point along the route segment concerned (extending from navigational aid to navigational aid) within a distance on either side of track as specified in Table 1 below:

Table 1

Minimum safe en-route altitude

| Segment length | Distance either side of track |
|------------------|----------------------------------------------------|
| Up to 100 NM | 10 NM * |
| More than 100 NM | 10 % of segment length up to a maximum of 60 NM ** |

*: This distance may be reduced to 5 NM within terminal control areas (TMAs) where, due to the number and type of available navigational aids, a high degree of navigational accuracy is warranted.

****:** In exceptional cases, where this calculation results in an operationally impracticable value, an additional special MEA may be calculated based on a distance of not less than 10 NM either side of track. Such special MEA will be shown together with an indication of the actual width of protected airspace.

- (2) The MEA is calculated by adding an increment to the elevation specified above as appropriate, following Table 2 below. The resulting value is adjusted to the nearest 100 ft.

Table 2:

Increment added to the elevation *

| Elevation of highest point | Increment |
|----------------------------|-----------|
| Not above 5 000 ft | 1 500 ft |

| | |
|----------------------------------------|---------------------------------|
| Above 5 000 ft but not above 10 000 ft | 2 000 ft |
| Above 10 000 ft | 10 % of elevation plus 1 000 ft |

*: For the last route segment ending over the initial approach fix, a reduction to 1 000 ft is permissible within TMAs where, due to the number and type of available navigation aids, a high degree of navigational accuracy is warranted.

- (3) Minimum safe grid altitude (MGA). Calculation of the MGA is based on the elevation of the highest point within the respective grid area.

The MGA is calculated by adding an increment to the elevation specified above as appropriate, following Table 3 below. The resulting value is adjusted to the nearest 100 ft.

Table 3

Minimum safe grid altitude

| Elevation of highest point | Increment |
|----------------------------------------|---------------------------------|
| Not above 5 000 ft | 1 500 ft |
| Above 5 000 ft but not above 10 000 ft | 2 000 ft |
| Above 10 000 ft | 10 % of elevation plus 1 000 ft |

- (e) Lido formula

- (1) Minimum terrain clearance altitude (MTCA)

The MTCA represents an altitude providing terrain and obstacle clearance for all airways/ATS routes, all standard terminal arrival route (STAR) segments up to IAF or equivalent end point and for selected standard instrument departures (SIDs).

The MTCA is calculated by Lido and covers terrain and obstacle clearance relevant for air navigation with the following buffers:

- (i) Horizontal:
 - (A) for SID and STAR procedures 5 NM either side of centre line; and
 - (B) for airways/ATS routes 10 NM either side of centre line.
- (ii) Vertical:
 - (A) 1 000 ft up to 6 000 ft; and
 - (B) 2 000 ft above 6 000 ft.

MTCA's are always shown in feet. The lowest indicated MTCA is 3 100 ft.

- (2) Minimum grid altitude (MGA)

MGA represents the lowest safe altitude which can be flown off-track. The MGA is calculated by rounding up the elevation of the highest obstruction within the respective grid area to the next 100 ft and adding an increment of

- (i) 1 000 ft for terrain or obstructions up to 6 000 ft; and
- (ii) 2 000 ft for terrain or obstructions above 6 000 ft.

MGA is shown in hundreds of feet. The lowest indicated MGA is 2 000 ft. This value is also provided for terrain and obstacles that would result in an MGA below 2 000 ft. An exception is over water areas where the MGA can be omitted.

AMC1 CAT.OP.MPA.150(b) Fuel policy

PLANNING CRITERIA — AEROPLANES

The operator should base the defined fuel policy, including calculation of the amount of fuel to be on board for departure, on the following planning criteria:

(a) Basic procedure

The usable fuel to be on board for departure should be the sum of the following:

- (1) Taxi fuel, which should not be less than the amount expected to be used prior to take-off. Local conditions at the departure aerodrome and auxiliary power unit (APU) consumption should be taken into account.
- (2) Trip fuel, which should include:
 - (i) fuel for take-off and climb from aerodrome elevation to initial cruising level/altitude, taking into account the expected departure routing;
 - (ii) fuel from top of climb to top of descent, including any step climb/descent;
 - (iii) fuel from top of descent to the point where the approach is initiated, taking into account the expected arrival procedure; and
 - (iv) fuel for approach and landing at the destination aerodrome.
- (3) Contingency fuel, except as provided for in (b), which should be the higher of:
 - (i) Either:
 - (A) 5 % of the planned trip fuel or, in the event of in-flight replanning, 5 % of the trip fuel for the remainder of the flight;
 - (B) not less than 3 % of the planned trip fuel or, in the event of in-flight replanning, 3 % of the trip fuel for the remainder of the flight, provided that an en-route alternate (ERA) aerodrome is available;
 - (C) an amount of fuel sufficient for 20 minutes flying time based upon the planned trip fuel consumption, provided that the operator has established a fuel consumption monitoring programme for individual aeroplanes and uses valid data determined by means of such a programme for fuel calculation; or
 - (D) an amount of fuel based on a statistical method that ensures an appropriate statistical coverage of the deviation from the planned to the actual trip fuel. This method is used to monitor the fuel consumption on each city pair/aeroplane combination and the operator uses this data for a statistical analysis to calculate contingency fuel for that city pair/aeroplane combination;
 - (ii) or an amount to fly for 5 minutes at holding speed at 1 500 ft (450 m), above the destination aerodrome in standard conditions.
- (4) Alternate fuel, which should:
 - (i) include:
 - (A) fuel for a missed approach from the applicable DA/H or MDA/H at the destination aerodrome to missed approach altitude, taking into account the complete missed approach procedure;

-
- (B) fuel for climb from missed approach altitude to cruising level/altitude, taking into account the expected departure routing;
 - (C) fuel for cruise from top of climb to top of descent, taking into account the expected routing;
 - (D) fuel for descent from top of descent to the point where the approach is initiated, taking into account the expected arrival procedure; and
 - (E) fuel for executing an approach and landing at the destination alternate aerodrome;
 - (ii) where two destination alternate aerodromes are required, be sufficient to proceed to the alternate aerodrome that requires the greater amount of alternate fuel.
 - (5) Final reserve fuel, which should be:
 - (i) for aeroplanes with reciprocating engines, fuel to fly for 45 minutes; or
 - (ii) for aeroplanes with turbine engines, fuel to fly for 30 minutes at holding speed at 1 500 ft (450 m) above aerodrome elevation in standard conditions, calculated with the estimated mass on arrival at the destination alternate aerodrome or the destination aerodrome, when no destination alternate aerodrome is required.
 - (6) The minimum additional fuel, which should permit:
 - (i) the aeroplane to descend as necessary and proceed to an adequate alternate aerodrome in the event of engine failure or loss of pressurisation, whichever requires the greater amount of fuel based on the assumption that such a failure occurs at the most critical point along the route, and
 - (A) hold there for 15 minutes at 1 500 ft (450 m) above aerodrome elevation in standard conditions; and
 - (B) make an approach and landing,

except that additional fuel is only required if the minimum amount of fuel calculated in accordance with (a)(2) to (a)(5) is not sufficient for such an event; and
 - (ii) holding for 15 minutes at 1 500 ft (450 m) above destination aerodrome elevation in standard conditions, when a flight is operated without a destination alternate aerodrome.
 - (7) Extra fuel, which should be at the discretion of the commander.
- (b) Reduced contingency fuel (RCF) procedure
- If the operator's fuel policy includes pre-flight planning to a destination 1 aerodrome (commercial destination) with an RCF procedure using a decision point along the route and a destination 2 aerodrome (optional refuel destination), the amount of usable fuel, on board for departure, should be the greater of (b)(1) or (b)(2):
- (1) The sum of:
 - (i) taxi fuel;
 - (ii) trip fuel to the destination 1 aerodrome, via the decision point;
 - (iii) contingency fuel equal to not less than 5 % of the estimated fuel consumption from the decision point to the destination 1 aerodrome;
 - (iv) alternate fuel or no alternate fuel if the decision point is at less than 6 hours from the destination 1 aerodrome and the requirements of **CAT.OP.MPA.180(b)(2)**, are

fulfilled;

- (v) final reserve fuel;
- (vi) additional fuel; and
- (vii) extra fuel if required by the commander.

(2) The sum of:

- (i) taxi fuel;
- (ii) trip fuel to the destination 2 aerodrome, via the decision point;
- (iii) contingency fuel equal to not less than the amount calculated in accordance with (a)(3) above from departure aerodrome to the destination 2 aerodrome;
- (iv) alternate fuel, if a destination 2 alternate aerodrome is required;
- (v) final reserve fuel;
- (vi) additional fuel; and
- (vii) extra fuel if required by the commander.

(c) Predetermined point (PDP) procedure

If the operator's fuel policy includes planning to a destination alternate aerodrome where the distance between the destination aerodrome and the destination alternate aerodrome is such that a flight can only be routed via a predetermined point to one of these aerodromes, the amount of usable fuel, on board for departure, should be the greater of (c)(1) or (c)(2):

(1) The sum of:

- (i) taxi fuel;
- (ii) trip fuel from the departure aerodrome to the destination aerodrome, via the predetermined point;
- (iii) contingency fuel calculated in accordance with (a)(3);
- (iv) additional fuel if required, but not less than:
 - (A) for aeroplanes with reciprocating engines, fuel to fly for 45 minutes plus 15 % of the flight time planned to be spent at cruising level or 2 hours, whichever is less; or
 - (B) for aeroplanes with turbine engines, fuel to fly for 2 hours at normal cruise consumption above the destination aerodrome,
 this should not be less than final reserve fuel; and
- (v) extra fuel if required by the commander.

(2) The sum of:

- (i) taxi fuel;
- (ii) trip fuel from the departure aerodrome to the destination alternate aerodrome, via the predetermined point;
- (iii) contingency fuel calculated in accordance with (a)(3);
- (iv) additional fuel if required, but not less than:
 - (A) for aeroplanes with reciprocating engines: fuel to fly for 45 minutes; or
 - (B) for aeroplanes with turbine engines: fuel to fly for 30 minutes at holding

speed at 1 500 ft (450 m) above the destination alternate aerodrome elevation in standard conditions,

this should not be less than final reserve fuel; and

(v) extra fuel if required by the commander.

(d) Isolated aerodrome procedure

If the operator's fuel policy includes planning to an isolated aerodrome, the last possible point of diversion to any available en-route alternate (ERA) aerodrome should be used as the predetermined point.

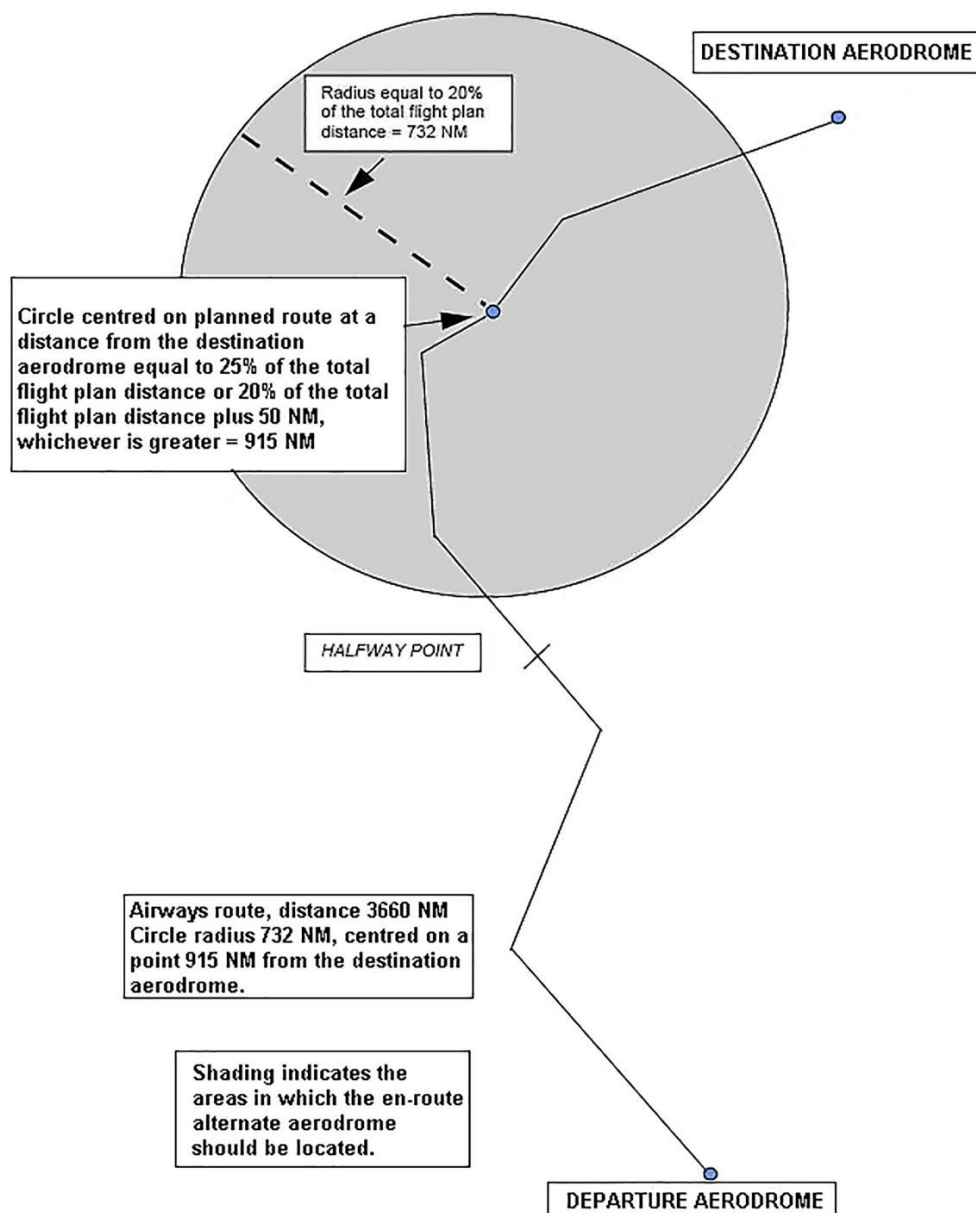
AMC2 CAT.OP.MPA.150(b) Fuel policy

LOCATION OF THE FUEL EN-ROUTE ALTERNATE (FUEL ERA) AERODROME

- (a) The fuel ERA aerodrome should be located within a circle having a radius equal to 20 % of the total flight plan distance, the centre of which lies on the planned route at a distance from the destination aerodrome of 25 % of the total flight plan distance, or at least 20 % of the total flight plan distance plus 50 NM, whichever is greater. All distances should be calculated in still air conditions (see Figure 1).

Figure 1

Location of the fuel ERA aerodrome for the purposes of reducing contingency fuel to 3 %



AMC3 CAT.OP.MPA.150(b) Fuel policy

PLANNING CRITERIA — HELICOPTERS

The operator should base the company fuel policy, including calculation of the amount of fuel to be carried, on the following planning criteria:

- (a) The amount of:
 - (1) taxi fuel, which should not be less than the amount expected to be used prior to take-off. Local conditions at the departure site and APU consumption should be taken into account;
 - (2) trip fuel, which should include fuel:
 - (i) for take-off and climb from aerodrome elevation to initial cruising level/altitude, taking into account the expected departure routing;
 - (ii) from top of climb to top of descent, including any step climb/descent;
 - (iii) from top of descent to the point where the approach procedure is initiated, taking into account the expected arrival procedure; and
 - (iv) for approach and landing at the destination site;
 - (3) contingency fuel, which should be:
 - (i) for IFR flights, or for VFR flights in a hostile environment, 10 % of the planned trip fuel; or
 - (ii) for VFR flights in a non-hostile environment, 5 % of the planned trip fuel;
 - (4) alternate fuel, which should be:
 - (i) fuel for a missed approach from the applicable MDA/DH at the destination aerodrome to missed approach altitude, taking into account the complete missed approach procedure;
 - (ii) fuel for a climb from missed approach altitude to cruising level/altitude;
 - (iii) fuel for the cruise from top of climb to top of descent;
 - (iv) fuel for descent from top of descent to the point where the approach is initiated, taking into account the expected arrival procedure;
 - (v) fuel for executing an approach and landing at the destination alternate selected in accordance with **CAT.OP.MPA.181**; and
 - (vi) for helicopters operating to or from helidecks located in a hostile environment, 10 % of (a)(4)(i) to (v);
 - (5) final reserve fuel, which should be:
 - (i) for VFR flights navigating by day with reference to visual landmarks, 20 minutes' fuel at best range speed; or
 - (ii) for IFR flights or when flying VFR and navigating by means other than by reference to visual landmarks or at night, fuel to fly for 30 minutes at holding speed at 1 500 ft (450 m) above the destination aerodrome in standard conditions calculated with the estimated mass on arrival above the alternate, or the destination, when no alternate is required;

and

(6) extra fuel, which should be at the discretion of the commander.

(b) Isolated aerodrome IFR procedure

If the operator's fuel policy includes planning to an isolated aerodrome flying IFR, or when flying VFR and navigating by means other than by reference to visual landmarks, for which a destination alternate does not exist, the amount of fuel at departure should include:

- (1) taxi fuel;
- (2) trip fuel;
- (3) contingency fuel calculated in accordance with (a)(3);
- (4) additional fuel to fly for 2 hours at holding speed, including final reserve fuel; and
- (5) extra fuel at the discretion of the commander.

(c) Sufficient fuel should be carried at all times to ensure that following the failure of an engine occurring at the most critical point along the route, the helicopter is able to:

- (1) descend as necessary and proceed to an adequate aerodrome;
- (2) hold there for 15 minutes at 1 500 ft (450 m) above aerodrome elevation in standard conditions; and
- (3) make an approach and landing.

GM1 CAT.OP.MPA.150(b) Fuel policy

CONTINGENCY FUEL STATISTICAL METHOD — AEROPLANES

- (a) As an example, the following values of statistical coverage of the deviation from the planned to the actual trip fuel provide appropriate statistical coverage.
- (1) 99 % coverage plus 3 % of the trip fuel, if the calculated flight time is less than 2 hours, or more than 2 hours and no weather-permissible ERA aerodrome is available.
 - (2) 99 % coverage if the calculated flight time is more than 2 hours and a weather-permissible ERA aerodrome is available.
 - (3) 90 % coverage if:
 - (i) the calculated flight time is more than 2 hours;
 - (ii) a weather-permissible ERA aerodrome is available; and
 - (iii) at the destination aerodrome two separate runways are available and usable, one of which is equipped with an ILS/MLS, and the weather conditions are in compliance with **CAT.OP.MPA.180(b)(2)**, or the ILS/MLS is operational to CAT II/III operating minima and the weather conditions are at or above 500 ft.
- (b) The fuel consumption database used in conjunction with these values should be based on fuel consumption monitoring for each route/aeroplane combination over a rolling 2-year period.

GM1 CAT.OP.MPA.150(c)(3)(i) Fuel policy

CONTINGENCY FUEL

Factors that may influence fuel required on a particular flight in an unpredictable way include deviations of an individual aeroplane from the expected fuel consumption data, deviations from forecast meteorological conditions and deviations from planned routings and/or cruising levels/altitudes.

GM1 CAT.OP.MPA.150(c)(3)(ii) Fuel policy

DESTINATION ALTERNATE AERODROME

The departure aerodrome may be selected as the destination alternate aerodrome.

AMC1 CAT.OP.MPA.155(b) Carriage of special categories of passengers (SCPs)

PROCEDURES

When establishing the procedures for the carriage of SCPs, the operator should take into account the following factors:

- (a) the aircraft type and cabin configuration;
- (b) the total number of passengers carried on board;
- (c) the number and categories of SCPs, which should not exceed the number of passengers capable of assisting them in case of an emergency; and
- (d) any other factor(s) or circumstances possibly impacting on the application of emergency procedures by the operating crew members.

AMC2 CAT.OP.MPA.155(b) Carriage of Special Categories of Passengers (SCPs)

PROCEDURES TO PROVIDE INFORMATION TO SCP

The operator procedures on information provided to the SCP should specify the timing and methods on how and when the information can be provided.

AMC3 CAT.OP.MPA.155(b) Carriage of Special Categories of Passengers (SCPs)

CONDITIONS OF SAFE CARRIAGE FOR UNACCOMPANIED CHILDREN

- (a) When carrying an unaccompanied child that is not self-reliant, the operator should assess the safety risks to ensure that the child is assisted in case of an emergency situation.
- (b) A child under the age of 12 years, separated from the accompanying adult, who is travelling in another cabin class, should be considered as an unaccompanied child in order to ensure that the child is assisted in case of an emergency situation.

GM1 CAT.OP.MPA.155(b) Carriage of Special Categories of Passengers (SCPs)

PROCEDURES TO PROVIDE INFORMATION TO SCP

Providing information only at the time of booking might not be sufficient to ensure that the SCP is aware of the information at the time of the flight.

GM2 CAT.OP.MPA.155(b) Carriage of special categories of passengers (SCPs)

INFORMATION PROVIDED TO SCPs

When establishing procedures on the information to be provided to an SCP, the operator should consider informing the SCP that cabin crew can only assist the SCP once the cabin has been evacuated. The following table contains additional information by SCP category:

| SCP category | Type of information |
|---------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Unaccompanied child | <p>Inform the unaccompanied child on the following:</p> <ul style="list-style-type: none"> (a) which adult will assist with the operation of the seat belt and the fitting of the oxygen mask if the situation requires it; (b) the content of the passenger safety briefing card; and (c) in case of evacuation, to seek the assistance of adult passenger(s) in contacting a crew member. <p>Inform the passenger sitting next to the unaccompanied child to assist with:</p> <ul style="list-style-type: none"> (a) providing the child with an oxygen mask in case of decompression after fitting one's own mask; (b) securing/releasing the child's seat belt, if necessary; and (c) calling a cabin crew member in all other in-flight situations. <p>When a child and the accompanying adult travel in a different class of cabin, information should be provided to the child and adult that, in the event of an emergency, they should follow the instructions of the cabin crew and not try to reunite inside the cabin as this would slow down the overall evacuation.</p> |
| Adult travelling with an infant | <p>Information on brace position for adult with lap-held infant.</p> <p>Information on the use of the loop belt, in case of a lap-held infant.</p> <p>Information to fit own oxygen mask before fitting the infant's oxygen mask.</p> <p>Information on how to evacuate when carrying an infant:</p> <ul style="list-style-type: none"> (a) On land, see EASA SIB 2013-06 on evacuation of infants on aircraft equipped with inflatable slides or hatch-type overwing exits; and |

| SCP category | Type of information |
|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | (b) In case of ditching, how to fit and when to inflate infant flotation aid (e.g. life jacket, flotation device). |
| Physically disabled passenger (aided walking) | Inform the SCP to leave mobility aid behind in an emergency evacuation. |
| Passenger with disability of upper limbs | Inform the accompanying passenger to: <ul style="list-style-type: none"> (a) fit the life jacket on the SCP, in case of a ditching evacuation; (b) first put on their own oxygen mask before fitting the SCP's oxygen mask, in case of decompression; and (c) secure/release the SCP's seat belt, if necessary. |
| Passenger with disability of lower limbs | Inform the SCP: <ul style="list-style-type: none"> (a) on the location of the nearest suitable exit; and (b) that mobility aids might not be accessible in an emergency evacuation. |
| Passenger with disability of both upper and lower limbs | Inform accompanying passenger to secure/release the SCP's seat belt. Inform the SCP: <ul style="list-style-type: none"> (a) in case of an evacuation, on the location of the nearest suitable exit; (b) in case of a ditching evacuation, that the accompanying passenger should fit the life jacket on the SCP; and (c) in case of a decompression, that the accompanying passenger should first put on his/her own oxygen mask before fitting the SCP's oxygen mask. |
| Visually impaired passenger | Depending on the level of impairment, inform the visually impaired passenger on the following: <ul style="list-style-type: none"> (a) seat and seat belt operation; (b) location of the nearest exit (e.g. number of seat rows to the nearest exit); (c) oxygen mask deployment; (d) location of life jacket; (e) brace position; and (f) location of cabin crew call button. If available, take the aircraft demonstration equipment to the passenger for tactile assistance. |
| Passenger travelling with a recognised assistance dog in the cabin | Advise how to evacuate guide dog by holding the dog and sliding. |
| Stretcher occupant | Inform the stretcher occupant and the accompanying passenger that in case of an evacuation: <ul style="list-style-type: none"> (a) the stretcher occupant should be evacuated when the cabin area surrounding the stretcher is clear; (b) to evacuate the stretcher occupant without the stretcher, if possible; (c) to be seated when sliding, holding the stretcher occupant in front; and (d) in the event of a ditching evacuation, to fit the life jacket on the stretcher occupant. |

GM3 CAT.OP.MPA.155(b) Carriage of Special Categories of Passengers (SCPs)

PROCEDURES

A passenger capable of assisting in case of an emergency means a passenger who is not an SCP and has no other role or private responsibility that would prevent him/her from assisting the SCP. For example, an adult travelling alone has no other role or private responsibility, unlike a family travelling together with younger children.

GM4 CAT.OP.MPA.155(b) Carriage of Special Categories of Passengers (SCPs)

BRIEFING PROCEDURE IN A PLANNED EMERGENCY

In a planned emergency, if time permits, passengers identified by the cabin crew as capable of assisting an SCP should be briefed on the assistance they can provide.

AMC1 CAT.OP.MPA.155(c) Carriage of Special Categories of Passengers (SCPs)

SEATING PROCEDURES

When establishing SCP seating procedures, the operator should take into account the following factors:

- (a) If the SCP travels with an accompanying passenger, the accompanying passenger should be seated next to the SCP.
- (b) If the SCP is unable to negotiate stairs within the cabin unaided, he/she should not be seated on the upper deck of a multi-deck aircraft if the exits are not certified for emergency evacuation on both land and water.

AMC2 CAT.OP.MPA.155(c) Carriage of Special Categories of Passengers (SCPs)

SEATING ALLOCATION OF SCP WITH A DISABILITY AND/OR RESTRAINT AID

- (a) A disability and/or restraint aid that requires to be secured around the back of the seat should not be used if there is a person seated behind unless the seating configuration is approved for the use of such devices. This is to avoid the changed dynamic seat reactions with the disability and/or restraint aid, which may lead to head injury of the passenger seated behind.
- (b) If the seat design or installation would prevent head contact of the person seated behind, then no further consideration is necessary.

GM1 CAT.OP.MPA.155(c) Carriage of Special categories of Passengers (SCPs)

GROUP SEATING

- (a) Taking into account access to exits, groups of non-ambulatory SCPs should be seated throughout the cabin to ensure that each SCP is surrounded by the maximum number of passengers capable of assisting in case of an emergency.
- (b) If non-ambulatory SCPs cannot be evenly distributed throughout the cabin, the operator should establish procedures to mitigate the increased safety risk such as seating of passengers capable of assisting in case of an emergency in the vicinity, additional information or training of cabin crew.

- (c) A group of passengers whose physical size would possibly prevent them from moving quickly or reaching and passing through an emergency exit, should not occupy the same seat row segment to avoid overloading the structure of the seat.

GM2 CAT.OP.MPA.155(c) Carriage of Special Categories of Passengers (SCPs)

SEATING ALLOCATION

When establishing the procedure on seating of an SCP, seats should be allocated taking into account the following:

| SCP category | Seating allocation procedure |
|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Unaccompanied child | The seating allocation of an unaccompanied child should allow for visual or audible communication during all phases of the flight with cabin crew. Groups of unaccompanied children should be seated in mix of ages, with the tallest child seated to allow assistance with fitting drop-down oxygen mask to smaller children in case of a decompression. Where possible, one adult should occupy the seat across the aisle next to each row of unaccompanied children. |
| Passenger travelling with a child of less than 12 years of age | If a child travels with an accompanying adult in the same class of cabin, the child should be seated in the same seat row segment as the accompanying adult. Where this is not possible, the child should be seated no more than one seat row or aisle away. |
| Passenger whose physical size would possibly prevent him/her from passing through an emergency exit | A passenger whose physical size would possibly prevent him/her from passing through an emergency exit (e.g. Type III or Type IV exit), should be seated in the vicinity of a suitable exit, taking into account the size of the exit. Seating of more than one of such passengers in the same seat row segment should be avoided. |
| Passenger with physical disability of the upper limbs | A passenger with a physical disability of the upper limbs travelling without an accompanying passenger should be allocated seats during all phases of the flight so that visual and audible communication can be established with the cabin crew. |
| Passenger with disability of lower limbs | A passenger with a disability of the lower limbs should be seated in a location providing easy access to floor level exits. |
| Passenger with disability of both upper and lower limbs | A passenger with a disability of both upper and lower limbs should be seated in a location providing easy access to floor level exits. |
| Mentally impaired passenger | A mentally impaired passenger, who travels without an accompanying passenger, should be allocated seats during all phases of the flight so that visual and audible communication can be established with the cabin crew. |
| Passenger travelling with recognised assistance dog in the cabin | Suitable arrangements should be made between the passenger and the operator in advance of a flight where a recognised assistance dog is to be accommodated. A suitable restraint harness should be provided by the owner to secure and restrain the dog during taxi, take-off, landing and turbulence. In cruise, it is acceptable for the dog to be subject to less restraint. |
| Stretcher occupant | Where possible, the stretcher should be installed behind a cabin monument. Alternatively, the stretcher could be installed where it can demonstrate compliance with the appropriate certification basis (CS.25.561 and CS.25.562(b), (c)(7), (8)). Stretcher installation should be as close to the floor level non-overwing exits as practical; preferably close to a required cabin crew station with an adjacent seat for the designated accompanying passenger. |

AMC1 CAT.OP.MPA.160 Stowage of baggage and cargo

STOWAGE PROCEDURES

Procedures established by the operator to ensure that hand baggage and cargo are adequately and securely stowed should take account of the following:

- (a) each item carried in a cabin should be stowed only in a location that is capable of restraining it;
- (b) weight limitations placarded on or adjacent to stowages should not be exceeded;
- (c) under seat stowages should not be used unless the seat is equipped with a restraint bar and the baggage is of such size that it may adequately be restrained by this equipment;
- (d) items should not be stowed in lavatories or against bulkheads that are incapable of restraining articles against movement forwards, sideways or upwards and unless the bulkheads carry a placard specifying the greatest mass that may be placed there;
- (e) baggage and cargo placed in lockers should not be of such size that they prevent latched doors from being closed securely;
- (f) baggage and cargo should not be placed where it can impede access to emergency equipment; and
- (g) checks should be made before take-off, before landing and whenever the 'fasten seat belts' signs are illuminated or it is otherwise so ordered to ensure that baggage is stowed where it cannot impede evacuation from the aircraft or cause injury by falling (or other movement), as may be appropriate to the phase of flight.

AMC2 CAT.OP.MPA.160 Stowage of baggage and cargo

CARRIAGE OF CARGO IN THE PASSENGER COMPARTMENT

The following should be observed before carrying cargo in the passenger compartment:

- (a) for aeroplanes:
 - (1) dangerous goods should not be allowed; and
 - (2) a mix of passengers and live animals should only be allowed for pets weighing not more than 8 kg and guide dogs;
- (b) for aeroplanes and helicopters:
 - (1) the mass of cargo should not exceed the structural loading limits of the floor or seats;
 - (2) the number/type of restraint devices and their attachment points should be capable of restraining the cargo in accordance with applicable Certification Specifications; and
 - (3) the location of the cargo should be such that, in the event of an emergency evacuation, it will not hinder egress nor impair the crew's view.

AMC1 CAT.OP.MPA.165 Passenger seating

EMERGENCY EXIT SEATING

The operator should make provisions so that:

- (a) a passenger occupies a seat at least on each side in a seat row with direct access to an emergency exit (not staffed by a cabin crew member) during taxiing, take-off and landing unless

this would be impracticable due to a low number of passengers or might negatively impact the mass and balance limitations.

- (b) those passengers who are allocated seats that permit direct access to emergency exits appear to be reasonably fit, strong, and be able and willing to assist the rapid evacuation of the aircraft in an emergency after an appropriate briefing by the crew;
- (c) in all cases, passengers who, because of their condition, might hinder other passengers during an evacuation or who might impede the crew in carrying out their duties, should not be allocated seats that permit direct access to emergency exits. If procedures cannot be reasonably implemented at the time of passenger 'check-in', the operator should establish an alternative procedure which ensures that the correct seat allocations will, in due course, be made.

AMC2 CAT.OP.MPA.165 Passenger seating

ACCESS TO EMERGENCY EXITS

The following categories of passengers are among those who should not be allocated to, or directed to, seats that permit direct access to emergency exits:

- (a) passengers suffering from obvious physical or mental disability to the extent that they would have difficulty in moving quickly if asked to do so;
- (b) passengers who are either substantially blind or substantially deaf to the extent that they might not readily assimilate printed or verbal instructions given;
- (c) passengers who because of age or sickness are so frail that they have difficulty in moving quickly;
- (d) passengers who are so obese that they would have difficulty in moving quickly or reaching and passing through the adjacent emergency exit;
- (e) children (whether accompanied or not) and infants;
- (f) deportees, inadmissible passengers or persons in custody; and
- (g) passengers with animals.

GM1 CAT.OP.MPA.165 Passenger seating

DIRECT ACCESS

'Direct access' means a seat from which a passenger can proceed directly to the exit without entering an aisle or passing around an obstruction.

GM2 CAT.OP.MPA.165 Passenger seating

EMERGENCY EXIT SEATING

When allocating a seat in a seat row with direct access to an emergency exit, the operator should consider at least the following:

- (a) providing the passenger with the applicable emergency exit seating restrictions prior to boarding, or upon assigning a passenger to a seat, e.g. at the stage of booking, or check-in, or at the airport;
- (b) utilising, as far as practicable, cabin crew members that are additional to the minimum required cabin crew complement, or positioning crew members, if available on board.

AMC1 CAT.OP.MPA.170 Passenger briefing

PASSENGER BRIEFING

Passenger briefings should contain the following:

- (a) Before take-off
 - (1) Passengers should be briefed on the following items, if applicable:
 - (i) any cabin secured aspects, e.g. required position of seatbacks, tray tables, footrests, window blinds, etc. as applicable;
 - (ii) emergency lighting (floor proximity escape path markings, exit signs);
 - (iii) correct stowage of hand baggage and the importance of leaving hand baggage behind in case of evacuation;
 - (iv) the use and stowage of portable electronic devices, including in-flight entertainment (IFE) systems;
 - (v) the location and presentation of the safety briefing card, the importance of its contents and the need for passengers to review it prior to take-off; and
 - (vi) compliance with ordinance signs, pictograms or placards, and crew member instructions; and
 - (2) Passengers should receive a demonstration of the following:
 - (i) the use of safety belts or restraint systems, including how to fasten and unfasten the safety belts or restraint systems;
 - (ii) the location of emergency exits;
 - (iii) the location and use of oxygen equipment, if required. Passengers should also be briefed to extinguish all smoking materials when oxygen is being used; and
 - (iv) the location and use of life-jackets if required.
 - (3) Passengers occupying seats with direct access to emergency exits not staffed by cabin crew members should receive an additional briefing on the operation and use of the exit.
- (b) After take-off
 - (1) Passengers should be reminded of the following, if applicable:
 - (i) use of safety belts or restraint systems including the safety benefits of having safety belts fastened when seated irrespective of seat belt sign illumination; and
 - (ii) caution when opening overhead compartments.
- (c) Before landing
 - (1) Passengers should be reminded of the following, if applicable:
 - (i) use of safety belts or restraint systems;
 - (ii) any cabin secured aspects, e.g. required position of seatbacks, tray tables, footrests, window blinds, etc. as applicable;
 - (iii) correct stowage of hand baggage and the importance of leaving hand baggage behind in case of evacuation;
 - (iv) the use and stowage of portable electronic devices; and

- (v) the location of the safety briefing card, the importance of its contents and its review.
- (d) After landing
 - (1) Passengers should be reminded of the following:
 - (i) use of safety belts or restraint systems;
 - (ii) the use and stowage of portable electronic devices; and
 - (iii) caution when opening overhead compartments.
- (e) Emergency during flight:
 - (1) Passengers should be instructed as appropriate to the circumstances.
- (f) Smoking regulations
 - (1) The operator should determine the frequency of briefings or reminding passengers about the smoking regulations.

AMC1.1 CAT.OP.MPA.170 Passenger briefing

PASSENGER BRIEFING

- (a) The operator may replace the briefing/demonstration as set out in AMC1 CAT.OP.MPA.170 with a passenger training programme covering all safety and emergency procedures for a given type of aircraft.
- (b) Only passengers who have been trained according to this programme and have flown on the aircraft type within the last 90 days may be carried on board without receiving a briefing/demonstration.

AMC2 CAT.OP.MPA.170 Passenger briefing

SINGLE-PILOT OPERATIONS WITHOUT CABIN CREW

For single-pilot operations without cabin crew, the commander should provide safety briefings to passengers except during critical phases of flight and taxiing.

AMC3 CAT.OP.MPA.170 Passenger briefing

IN-FLIGHT ENTERTAINMENT (IFE) SYSTEMS

When IFE systems are available by means of equipment that can be handled by passengers, including portable electronic devices (PEDs), provided by the operator for the purpose of IFE, appropriate information containing at least the following should be made available to passengers:

- (a) instructions on how to safely operate the IFE system for personal use in normal conditions;
- (b) restrictions, including stowage of retractable or loose items of equipment (e.g. screens or remote controls) during taxiing, take-off and landing, and in abnormal or emergency conditions; and
- (c) the instruction to alert cabin crew members in case of IFE system malfunction in accordance with point (f)(9) of **GM2 CAT.OP.MPA.170**.

GM1 CAT.OP.MPA.170(a) Passenger briefing

BRIEFING OF PASSENGERS OCCUPYING SEATS WITH DIRECT ACCESS TO EMERGENCY EXITS NOT STAFFED BY CABIN CREW MEMBERS

- (a) The emergency exit briefing should contain instructions on the operation of the exit, assessment of surrounding conditions for the safe use of the exit, and recognition of emergency commands given by the crew.
- (b) Cabin crew should verify that the passenger(s) is (are) able and willing to assist the crew in case of an emergency and that the passenger(s) has (have) understood the instructions.

GM2 CAT.OP.MPA.170 Passenger briefing

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SAFETY BRIEFING MATERIAL

- (a) Safety briefing material may include but is not limited to an audio-visual presentation, such as a safety video or a safety briefing card. Information in the safety briefing material should be relevant to the aircraft type and the installed equipment and should be consistent with the operator's procedures. Information in the safety briefing material should be presented in a clear and unambiguous manner and in a form easily understandable to passengers.
- (b) For those passengers occupying seats with direct access to emergency exits, the operator should consider providing a separate briefing card, which contains a summary of the exit briefing information.
- (c) The safety briefing card should be designed, and the information should be provided, in a size easily visible to the passenger. The safety briefing card should be stowed in a location from where it is easily visible and reachable to the seated passenger and from where it cannot easily fall out. Information should be presented in a pictographic form and should be consistent with the placards used in the aircraft. Written information should be kept to the necessary minimum. The safety briefing card should only contain information relevant to safety.
- (d) The operator conducting an operation with no cabin crew should consider including expanded information, such as location and use of fire extinguisher, oxygen system if different from the drop-down system, etc.
- (e) The safety video should be structured in a pace that allows a continuous ability to follow the information presented. The operator may consider including sign language or subtitles to simultaneously complement the soundtrack.
- (f) The operator should consider including the following information in its safety briefing material:
 - (1) hand baggage:
 - (i) correct versus forbidden stowage locations (e.g. exits, aisles, etc.);
 - (2) safety belts and other restraint systems:
 - (i) when and how to use safety belts and other restraint systems;
 - (ii) restraint of infants and children;
 - (iii) additional installed systems, e.g. airbag;
 - (3) drop-down oxygen system:
 - (i) location;

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- (ii) activation;
 - (iii) indication of active oxygen supply;
 - (iv) correct and timely donning of oxygen mask;
 - (v) assisting others;
 - (4) flotation devices:
 - (i) stowage locations (including if different in various cabin sections);
 - (ii) use for adult, child and infant;
 - (iii) features, e.g. straps, toggles, tubes, signalling light, whistle;
 - (iv) when and where to inflate a life jacket;
 - (v) flotation devices for infants;
 - (5) emergency exits:
 - (i) number and location;
 - (ii) method of operation, including alternative operation in case of ditching;
 - (iii) surrounding conditions prior to opening (e.g. fire, smoke, water level, etc.);
 - (iv) unusable exit;
 - (v) alternative egress routes in case of unusable exit(s);
 - (vi) leaving hand baggage behind;
 - (vii) method of egress through exit including with infants and children;
 - (viii) awareness of exit height;
 - (ix) awareness of propellers;
 - (6) escape routes: depiction of routes:
 - (i) to the exits (inside the aircraft);
 - (ii) movement on a double-deck aircraft;
 - (iii) via the wing to the ground;
 - (iv) on the ground away from the aircraft;
 - (7) assisting evacuation means:
 - (i) location of available equipment (e.g. life raft, installed slide/raft, etc.);
 - (ii) awareness of the evacuation equipment's features;
 - (iii) operation of the available equipment (activation, detachment, etc.);
 - (iv) method of boarding the device including with infants and children;
 - (v) use of shoes;
 - (vi) method of evacuation through exits with no assisting evacuation means;
 - (8) brace position:
 - (i) appropriate method to the applicable facing direction;
 - (ii) alternative brace positions for e.g. expectant mothers, passengers with lap-held infants, tall or large individuals, children, etc.;

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- (9) portable electronic devices, including spare batteries:
 - (i) allowed versus forbidden devices;
 - (ii) use in various flight phases including during safety briefing;
 - (iii) stowage;
 - (iv) danger of fire in case the device is damaged;
 - (v) the need to call for immediate assistance in case a device is damaged, hot, produces smoke, is lost, or falls into the seat structure (including advice to refrain from manipulating the seat);
 - (vi) the need to monitor devices during charging;
 - (10) cabin secured aspects:
 - (i) required position of seatbacks, headrests, tray tables, footrests, window blinds, in-seat video screens and their control gadgets, etc.;
 - (ii) caution when opening overhead compartments;
 - (11) smoking regulations (e.g. phase of flight, electronic smoking devices, pipes, etc.) including smoking in the lavatory;
 - (12) floor proximity escape path marking:
 - (i) location;
 - (ii) purpose in case of darkness or smoke;
 - (13) actions in case of an emergency (e.g. remove sharp objects, fasten seat belt, open window blind, etc.);
 - (14) any other safety aspects.

AMC1 CAT.OP.MPA.175 Flight preparation

FLIGHT PREPARATION FOR PBN OPERATIONS

- (a) The flight crew should ensure that RNAV 1, RNAV 2, RNP 1 RNP 2, and RNP APCH routes or procedures to be used for the intended flight, including for any alternate aerodromes, are selectable from the navigation database and are not prohibited by NOTAM.
- (b) The flight crew should take account of any NOTAMs or operator briefing material that could adversely affect the aircraft system operation along its flight plan including any alternate aerodromes.
- (c) When PBN relies on GNSS systems for which RAIM is required for integrity, its availability should be verified during the preflight planning. In the event of a predicted continuous loss of fault detection of more than five minutes, the flight planning should be revised to reflect the lack of full PBN capability for that period.
- (d) For RNP 4 operations with only GNSS sensors, a fault detection and exclusion (FDE) check should be performed. The maximum allowable time for which FDE capability is projected to be unavailable on any one event is 25 minutes. If predictions indicate that the maximum allowable FDE outage will be exceeded, the operation should be rescheduled to a time when FDE is available.
- (e) For RNAV 10 operations, the flight crew should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could

affect flight duration in RNAV 10 airspace. Where an extension to the time limit is permitted, the flight crew will need to ensure that en route radio facilities are serviceable before departure, and to apply radio updates in accordance with any AFM limitation.

AMC2 CAT.OP.MPA.175 Flight preparation

DATABASE SUITABILITY

- (a) The flight crew should check that any navigational database required for PBN operations includes the routes and procedures required for the flight.

DATABASE CURRENCY

- (b) The database validity (current AIRAC cycle) should be checked before the flight.
- (c) Navigation databases should be current for the duration of the flight. If the AIRAC cycle is due to change during flight, the flight crew should follow procedures established by the operator to ensure the accuracy of navigation data, including the suitability of navigation facilities used to define the routes and procedures for the flight.
- (d) An expired database may only be used if the following conditions are satisfied:
 - (1) the operator has confirmed that the parts of the database which are intended to be used during the flight and any contingencies that are reasonable to expect are not changed in the current version;
 - (2) any NOTAMs associated with the navigational data are taken into account;
 - (3) maps and charts corresponding to those parts of the flight are current and have not been amended since the last cycle;
 - (4) any MEL limitations are observed; and
 - (5) the database has expired by no more than 28 days.

AMC1 CAT.OP.MPA.175(a) Flight preparation

OPERATIONAL FLIGHT PLAN — COMPLEX MOTOR-POWERED AIRCRAFT

- (a) The operational flight plan used and the entries made during flight should contain the following items:
 - (1) aircraft registration;
 - (2) aircraft type and variant;
 - (3) date of flight;
 - (4) flight identification;
 - (5) names of flight crew members;
 - (6) duty assignment of flight crew members;
 - (7) place of departure;
 - (8) time of departure (actual off-block time, take-off time);
 - (9) place of arrival (planned and actual);
 - (10) time of arrival (actual landing and on-block time);
 - (11) type of operation (ETOPS, VFR, ferry flight, etc.);

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- (12) route and route segments with checkpoints/waypoints, distances, time and tracks;
 - (13) planned cruising speed and flying times between check-points/waypoints (estimated and actual times overhead);
 - (14) safe altitudes and minimum levels;
 - (15) planned altitudes and flight levels;
 - (16) fuel calculations (records of in-flight fuel checks);
 - (17) fuel on board when starting engines;
 - (18) alternate(s) for destination and, where applicable, take-off and en-route, including information required in (a)(12) to (15);
 - (19) initial ATS flight plan clearance and subsequent reclearance;
 - (20) in-flight replanning calculations; and
 - (21) relevant meteorological information.
- (b) Items that are readily available in other documentation or from another acceptable source or are irrelevant to the type of operation may be omitted from the operational flight plan.
 - (c) The operational flight plan and its use should be described in the operations manual.
 - (d) All entries on the operational flight plan should be made concurrently and be permanent in nature.

OPERATIONAL FLIGHT PLAN — OTHER-THAN-COMPLEX MOTOR-POWERED AIRCRAFT OPERATIONS AND LOCAL OPERATIONS

An operational flight plan may be established in a simplified form relevant to the kind of operation for operations with other-than-complex motor-powered aircraft as well as local operations with any aircraft.

GM1 CAT.OP.MPA.175(b)(5) Flight preparation

CONVERSION TABLES

The documentation should include any conversion tables necessary to support operations where metric heights, altitudes and flight levels are used.

GM1 CAT.OP.MPA.181 Selection of aerodromes and operating sites — helicopters

LANDING FORECAST

- (a) Meteorological data have been specified that conform to the standards contained in the Regional Air Navigation Plan and ICAO Annex 3. As the following meteorological data are point-specific, caution should be exercised when associating it with nearby aerodromes (or helidecks).
- (b) Meteorological reports (METARs)
 - (1) Routine and special meteorological observations at offshore installations should be made during periods and at a frequency agreed between the meteorological authority and the operator concerned. They should comply with the provisions contained in the meteorological section of the ICAO Regional Air Navigation Plan, and should conform to the standards and recommended practices, including the desirable accuracy of observations, promulgated in ICAO Annex 3.

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- (2) Routine and selected special reports are exchanged between meteorological offices in the METAR or SPECI (aviation selected special weather report) code forms prescribed by the World Meteorological Organisation.
- (c) Aerodrome forecasts (TAFs)
- (1) The aerodrome forecast consists of a concise statement of the mean or average meteorological conditions expected at an aerodrome or aerodrome during a specified period of validity, which is normally not less than 9 hours, or more than 24 hours in duration. The forecast includes surface wind, visibility, weather and cloud, and expected changes of one or more of these elements during the period. Additional elements may be included as agreed between the meteorological authority and the operators concerned. Where these forecasts relate to offshore installations, barometric pressure and temperature should be included to facilitate the planning of helicopter landing and take-off performance.
 - (2) Aerodrome forecasts are most commonly exchanged in the TAF code form, and the detailed description of an aerodrome forecast is promulgated in the ICAO Regional Air Navigation Plan and also in ICAO Annex 3, together with the operationally desirable accuracy elements. In particular, the observed cloud height should remain within $\pm 30\%$ of the forecast value in 70 % of cases, and the observed visibility should remain within $\pm 30\%$ of the forecast value in 80 % of cases.
- (d) Landing forecasts (TRENDS)
- (1) The landing forecast consists of a concise statement of the mean or average meteorological conditions expected at an aerodrome or aerodrome during the two-hour period immediately following the time of issue. It contains surface wind, visibility, significant weather and cloud elements and other significant information, such as barometric pressure and temperature, as may be agreed between the meteorological authority and the operators concerned.
 - (2) The detailed description of the landing forecast is promulgated in the ICAO Regional Air Navigation Plan and also in ICAO Annex 3, together with the operationally desirable accuracy of the forecast elements. In particular, the value of the observed cloud height and visibility elements should remain within $\pm 30\%$ of the forecast values in 90 % of the cases.
 - (3) Landing forecasts most commonly take the form of routine or special selected meteorological reports in the METAR code, to which either the code words 'NOSIG', i.e. no significant change expected; 'BECMG' (becoming), or 'TEMPO' (temporarily), followed by the expected change, are added. The 2-hour period of validity commences at the time of the meteorological report.

AMC1 CAT.OP.MPA.182 Destination aerodromes — instrument approach operations

PBN OPERATIONS

The pilot-in-command should only select an aerodrome as a destination alternate aerodrome if an instrument approach procedure that does not rely on GNSS is available either at that aerodrome or at the destination aerodrome.

GM1 CAT.OP.MPA.182 Destination aerodromes — instrument approach operations

INTENT OF AMC1

- (a) The limitation applies only to destination alternate aerodromes for flights when a destination alternate aerodrome is required. A take-off or en route alternate aerodrome with instrument approach procedures relying on GNSS may be planned without restrictions. A destination aerodrome with all instrument approach procedures relying solely on GNSS may be used without a destination alternate aerodrome if the conditions for a flight without a destination alternate aerodrome are met.
- (b) The term 'available' means that the procedure can be used in the planning stage and complies with planning minima requirements.

GM1 CAT.OP.MPA.185 Planning minima for IFR flights — aeroplanes

PLANNING MINIMA FOR ALTERNATE AERODROMES

Non-precision minima (NPA) in Table 1 of **CAT.OP.MPA.185** mean the next highest minima that apply in the prevailing wind and serviceability conditions. Localiser only approaches, if published, are considered to be non-precision in this context. It is recommended that operators wishing to publish tables of planning minima choose values that are likely to be appropriate on the majority of occasions (e.g. regardless of wind direction). Unserviceabilities should, however, be fully taken into account.

As Table 1 does not include planning minima requirements for APV, lower than standard (LTS) CAT I and other than standard (OTS) CAT II operations, the operator may use the following minima:

- (a) for APV operations — NPA or CAT I minima, depending on the DH/MDH;
- (b) for LTS CAT I operations — CAT I minima; and
- (c) for OTS CAT II operations — CAT II minima.

GM2 CAT.OP.MPA.185 Planning minima for IFR flights — aeroplanes

AERODROME WEATHER FORECASTS

APPLICATION OF AERODROME FORECASTS (TAF & TREND) TO PRE-FLIGHT PLANNING (ICAO Annex 3 refers)

1. APPLICATION OF INITIAL PART OF TAF

- a) **Application time period:** From the start of the TAF validity period up to the time of applicability of the first subsequent 'FM...*' or 'BECMG', or if no 'FM' or 'BECMG' is given, up to the end of the validity period of the TAF.
- b) **Application of forecast:** The prevailing weather conditions forecast in the initial part of the TAF should be fully applied with the exception of the mean wind and gusts (and crosswind) which should be applied in accordance with the policy in the column 'BECMG AT and FM' in the table below. This may however be overdue temporarily by a 'TEMPO' or 'PROB**' if applicable according to the table below.

2. APPLICATION OF FORECAST FOLLOWING CHANGE INDICATION IN TAF AND TREND

| TAF or TREND for AERODROME PLANNED AS: | FM (alone) and BECMG AT: Deterioration and Improvement | BECMG (alone), BECMG FM, BECMG TL, BECMG FM...*TL, in case of: | | TEMPO (alone), TEMPO FM, TEMPO FM...TL, PROB30/40 (alone) | | | PROB TEMPO Deterioration and Improvement |
|----------------------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|--------------------------|-------------------------------------------------------------------------------------------------|
| | | Deterioration | Improvement | Deterioration | | Improvement | |
| | | | | Transient/Shower Conditions in connection with short- lived weather phenomena, e.g. thunderstorms, showers | Persistent Conditions in connection with e.g. haze, mist, fog, dust/sandstorm, continuous precipitations | In any case | |
| DESTINATION at ETA ± 1 HR | Applicable from the start of the change | Applicable from the time of the start of the change | Applicable from the time of the end of the change | Not applicable | Applicable | Should be disregarded | Deterioration may be disregarded. Improvement should be disregarded including |
| TAKE – OFF ALTERNATE at ETA ± 1 HR | Mean wind: | Mean wind: | Mean wind: | | Mean wind: Should be within required limits | | |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART B: OPERATING PROCEDURES

| | | | | | | | |
|--------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------|--|-------------------------|
| DEST. ALTERNATE at ETA \pm 1 HR | Should be within required limits | Should be within required limits | Should be within required limits | Mean wind and gusts exceeding required limits may be disregarded | Gusts: May be disregarded | | mean wind and gusts. |
| EN-ROUTE ALTERNATE at ETA \pm 1 HR | Gusts: May be disregarded | Gusts: May be disregarded | Gusts: May be disregarded | | | | |
| ETOPS ENRT ALTN at earliest/latest ETA \pm 1 HR | Applicable from the time of start of change | Applicable from the time of start of change | Applicable from the time of end of change | Applicable if below applicable landing minima | Applicable if below applicable landing minima | | |
| | Mean wind: should be within required limits | Mean wind: should be within required limits | Mean wind: should be within required limits | Mean wind: Should be within required limits | Mean wind: Should be within required limits | | |
| | Gusts exceeding crosswind limits should be fully applied | Gusts exceeding crosswind limits should be fully applied | Gusts exceeding crosswind limits should be fully applied | Gusts exceeding crosswind limits should be fully applied | Gusts exceeding crosswind limits should be fully applied | | |

Note 1: 'Required limits' are those contained in the Operations Manual.

Note 2: If promulgated aerodrome forecasts do not comply with the requirements of ICAO Annex 3, operators should ensure that guidance in the application of these reports is provided.

* The space following 'FM' should always include a time group e.g. 'FM1030'.

GM1 CAT.OP.MPA.186 Planning minima for IFR flights — helicopters

PLANNING MINIMA FOR ALTERNATE AERODROMES

Non-precision minima (NPA) in Table 1 of **CAT.OP.MPA.186** mean the next highest minima that apply in the prevailing wind and serviceability conditions. Localiser only approaches, if published, are considered to be non-precision in this context. It is recommended that operators wishing to publish tables of planning minima choose values that are likely to be appropriate on the majority of occasions (e.g. regardless of wind direction). Unserviceabilities should, however, be fully taken into account.

As Table 1 does not include planning minima requirements for APV, LTS CAT I and OTS CAT II operations, the operator may use the following minima:

- (a) for APV operations — NPA or CAT I minima, depending on the DH/MDH;
- (b) for LTS CAT I operations — CAT I minima; and
- (c) for OTS CAT II operations — CAT II minima.

AMC1 CAT.OP.MPA.190 Submission of the ATS flight plan

FLIGHTS WITHOUT ATS FLIGHT PLAN

- (a) When unable to submit or to close the ATS flight plan due to lack of ATS facilities or any other means of communications to ATS, the operator should establish procedures, instructions and a list of nominated persons to be responsible for alerting search and rescue services.
- (b) To ensure that each flight is located at all times, these instructions should:
 - (1) provide the nominated person with at least the information required to be included in a VFR flight plan, and the location, date and estimated time for re-establishing communications;
 - (2) if an aircraft is overdue or missing, provide for notification to the appropriate ATS or search and rescue facility; and
 - (3) provide that the information will be retained at a designated place until the completion of the flight.

AMC1 CAT.OP.MPA.195 Refuelling/defuelling with passengers embarking, on board or disembarking

OPERATIONAL PROCEDURES — GENERAL

- (a) When refuelling/defuelling with passengers on board, ground servicing activities and work inside the aircraft, such as catering and cleaning, should be conducted in such a manner that they do not create a hazard and allow emergency evacuation to take place through those aisles and exits intended for emergency evacuation.
- (b) The deployment of integral aircraft stairs or the opening of emergency exits as a prerequisite to refuelling is not necessarily required.

OPERATIONAL PROCEDURES — AEROPLANES

- (c) Operational procedures should specify that at least the following precautions are taken:
 - (1) one qualified person should remain at a specified location during fuelling operations with passengers on board. This qualified person should be capable of handling emergency

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- procedures concerning fire protection and firefighting, handling communications, and initiating and directing an evacuation;
- (2) two-way communication should be established and should remain available by the aeroplane's inter-communication system or other suitable means between the ground crew supervising the refuelling and the qualified personnel on board the aeroplane; the involved personnel should remain within easy reach of the system of communication;
 - (3) crew, personnel and passengers should be warned that re/defuelling will take place;
 - (4) 'Fasten Seat Belts' signs should be off;
 - (5) 'NO SMOKING' signs should be on, together with interior lighting to enable emergency exits to be identified;
 - (6) passengers should be instructed to unfasten their seat belts and refrain from smoking;
 - (7) the minimum required number of cabin crew should be on board and be prepared for an immediate emergency evacuation;
 - (8) if the presence of fuel vapour is detected inside the aeroplane, or any other hazard arises during re/defuelling, fuelling should be stopped immediately;
 - (9) the ground area beneath the exits intended for emergency evacuation and slide deployment areas should be kept clear at doors where stairs are not in position for use in the event of evacuation; and
 - (10) provision is made for a safe and rapid evacuation.

OPERATIONAL PROCEDURES — HELICOPTERS

- (d) Operational procedures should specify that at least the following precautions are taken:
 - (1) door(s) on the refuelling side of the helicopter remain closed;
 - (2) door(s) on the non-refuelling side of the helicopter remain open, weather permitting;
 - (3) firefighting facilities of the appropriate scale be positioned so as to be immediately available in the event of a fire;
 - (4) sufficient personnel be immediately available to move passengers clear of the helicopter in the event of a fire;
 - (5) sufficient qualified personnel be on board and be prepared for an immediate emergency evacuation;
 - (6) if the presence of fuel vapour is detected inside the helicopter, or any other hazard arises during refuelling/defuelling, fuelling be stopped immediately;
 - (7) the ground area beneath the exits intended for emergency evacuation be kept clear; and
 - (8) provision is made for a safe and rapid evacuation.

GM1 CAT.OP.MPA.200 Refuelling/defuelling with wide-cut fuel

PROCEDURES

- (a) 'Wide-cut fuel' (designated JET B, JP-4 or AVTAG) is an aviation turbine fuel that falls between gasoline and kerosene in the distillation range and consequently, compared to kerosene (JET A or JET A1), it has the properties of higher volatility (vapour pressure), lower flash point and lower freezing point.

- (b) Wherever possible, the operator should avoid the use of wide-cut fuel types. If a situation arises such that only wide-cut fuels are available for refuelling/defuelling, operators should be aware that mixtures of wide-cut fuels and kerosene turbine fuels can result in the air/fuel mixture in the tank being in the combustible range at ambient temperatures. The extra precautions set out below are advisable to avoid arcing in the tank due to electrostatic discharge. The risk of this type of arcing can be minimised by the use of a static dissipation additive in the fuel. When this additive is present in the proportions stated in the fuel specification, the normal fuelling precautions set out below are considered adequate.
- (c) Wide-cut fuel is considered to be 'involved' when it is being supplied or when it is already present in aircraft fuel tanks.
- (d) When wide-cut fuel has been used, this should be recorded in the technical log. The next two uplifts of fuel should be treated as though they too involved the use of wide-cut fuel.
- (e) When refuelling/defuelling with turbine fuels not containing a static dissipator, and where wide-cut fuels are involved, a substantial reduction on fuelling flow rate is advisable. Reduced flow rate, as recommended by fuel suppliers and/or aeroplane manufacturers, has the following benefits:
 - (1) it allows more time for any static charge build-up in the fuelling equipment to dissipate before the fuel enters the tank;
 - (2) it reduces any charge which may build up due to splashing; and
 - (3) until the fuel inlet point is immersed, it reduces misting in the tank and consequently the extension of the flammable range of the fuel.
- (f) The flow rate reduction necessary is dependent upon the fuelling equipment in use and the type of filtration employed on the aeroplane fuelling distribution system. It is difficult, therefore, to quote precise flow rates. Reduction in flow rate is advisable whether pressure fuelling or over-wing fuelling is employed.
- (g) With over-wing fuelling, splashing should be avoided by making sure that the delivery nozzle extends as far as practicable into the tank. Caution should be exercised to avoid damaging bag tanks with the nozzle.

AMC1 CAT.OP.MPA.205 Push back and towing — aeroplanes

BARLESS TOWING

- (a) Barless towing should be based on the applicable SAE ARP (Aerospace Recommended Practices), i.e. 4852B/4853B/5283/5284/5285 (as amended).
- (b) Pre- or post-taxi positioning of the aeroplanes should only be executed by barless towing if one of the following conditions are met:
 - (1) an aeroplane is protected by its own design from damage to the nose wheel steering system;
 - (2) a system/procedure is provided to alert the flight crew that damage referred to in (b)(1) may have or has occurred;
 - (3) the towing vehicle is designed to prevent damage to the aeroplane type; or
 - (4) the aeroplane manufacturer has published procedures and these are included in the operations manual.

AMC1 CAT.OP.MPA.210(b) Crew members at stations

CABIN CREW SEATING POSITIONS

- (a) When determining cabin crew seating positions, the operator should ensure that they are:
 - (1) close to a floor level door/exit;
 - (2) provided with a good view of the area(s) of the passenger cabin for which the cabin crew member is responsible; and
 - (3) evenly distributed throughout the cabin, in the above order of priority.
- (b) Item (a) should not be taken as implying that, in the event of there being more cabin crew stations than required cabin crew, the number of cabin crew members should be increased.

GM1 CAT.OP.MPA.210 Crew members at stations

MITIGATING MEASURES — CONTROLLED REST

- (a) This GM addresses controlled rest taken by the minimum certified flight crew. It is not related to planned in-flight rest by members of an augmented crew.
- (b) Although flight crew members should stay alert at all times during flight, unexpected fatigue can occur as a result of sleep disturbance and circadian disruption. To cover for this unexpected fatigue, and to regain a high level of alertness, a controlled rest procedure in the flight crew compartment, organised by the commander may be used, if workload permits and a controlled rest procedure is described in the operations manual. 'Controlled rest' means a period of time 'off task' that may include actual sleep. The use of controlled rest has been shown to significantly increase the levels of alertness during the later phases of flight, particularly after the top of descent, and is considered to be good use of crew resource management (CRM) principles. Controlled rest should be used in conjunction with other on-board fatigue management countermeasures such as physical exercise, bright cockpit illumination at appropriate times, balanced eating and drinking, and intellectual activity.
- (c) Controlled rest taken in this way should not be considered to be part of a rest period for the purposes of calculating flight time limitations, nor used to justify any duty period. Controlled rest may be used to manage both sudden unexpected fatigue and fatigue that is expected to become more severe during higher workload periods later in the flight. Controlled rest is not related to fatigue management, which is planned before flight.
- (d) Controlled rest periods should be agreed according to individual needs and the accepted principles of CRM; where the involvement of the cabin crew is required, consideration should be given to their workload.
- (e) When applying controlled rest procedures, the commander should ensure that:
 - (1) the other flight crew member(s) is (are) adequately briefed to carry out the duties of the resting flight crew member;
 - (2) one flight crew member is fully able to exercise control of the aircraft at all times; and
 - (3) any system intervention that would normally require a cross-check according to multi-crew principles is avoided until the resting flight crew member resumes his/her duties.
- (f) Controlled rest procedures should satisfy all of the following criteria:
 - (1) Only one flight crew member at a time should take rest at his/her station; the restraint device should be used and the seat positioned to minimise unintentional interference

with the controls.

- (2) The rest period should be no longer than 45 minutes (in order to limit any actual sleep to approximately 30 minutes) to limit deep sleep and associated long recovery time (sleep inertia).
- (3) After this 45-minute period, there should be a recovery period of 20 minutes to overcome sleep inertia during which control of the aircraft should not be entrusted to the flight crew member. At the end of this recovery period, an appropriate briefing should be given.
- (4) In the case of two-crew operations, means should be established to ensure that the non-resting flight crew member remains alert. This may include:
 - (i) appropriate alarm systems;
 - (ii) on-board systems to monitor flight crew activity; and
 - (iii) frequent cabin crew checks. In this case, the commander should inform the senior cabin crew member of the intention of the flight crew member to take controlled rest, and of the time of the end of that rest; frequent contact should be established between the non-resting flight crew member and the cabin crew by communication means, and the cabin crew should check that the resting flight crew member is awake at the end of the period.
- (5) There should be a minimum of 20 minutes between two subsequent controlled rest periods in order to overcome the effects of sleep inertia and allow for adequate briefing.
- (6) If necessary, a flight crew member may take more than one rest period, if time permits, on longer sectors, subject to the restrictions above.
- (7) Controlled rest periods should terminate at least 30 minutes before the top of descent.

GM1 CAT.OP.MPA.250 Ice and other contaminants — ground procedures

TERMINOLOGY

Terms used in the context of de-icing/anti-icing have the meaning defined in the following subparagraphs.

- (a) 'Anti-icing fluid' includes, but is not limited to, the following:
 - (1) Type I fluid if heated to minimum 60°C at the nozzle;
 - (2) mixture of water and Type I fluid if heated to minimum 60°C at the nozzle;
 - (3) Type II fluid;
 - (4) mixture of water and Type II fluid;
 - (5) Type III fluid;
 - (6) mixture of water and Type III fluid;
 - (7) Type IV fluid;
 - (8) mixture of water and Type IV fluid.

On uncontaminated aircraft surfaces, Type II, III and IV anti-icing fluids are normally applied unheated.

- (b) 'Clear ice': a coating of ice, generally clear and smooth, but with some air pockets. It forms on

exposed objects, the temperatures of which are at, below or slightly above the freezing temperature, by the freezing of super-cooled drizzle, droplets or raindrops.

- (c) Conditions conducive to aircraft icing on the ground (e.g. freezing fog, freezing precipitation, frost, rain or high humidity (on cold soaked wings), snow or mixed rain and snow).
- (d) 'Contamination', in this context, is understood as being all forms of frozen or semi-frozen moisture, such as frost, snow, slush or ice.
- (e) 'Contamination check': a check of aircraft for contamination to establish the need for de-icing.
- (f) 'De-icing fluid': such fluid includes, but is not limited to, the following:
 - (1) heated water;
 - (2) Type I fluid;
 - (3) mixture of water and Type I fluid;
 - (4) Type II fluid;
 - (5) mixture of water and Type II fluid;
 - (6) Type III fluid;
 - (7) mixture of water and Type III fluid;
 - (8) Type IV fluid;
 - (9) mixture of water and Type IV fluid.

De-icing fluid is normally applied heated to ensure maximum efficiency.

- (g) 'De-icing/anti-icing': this is the combination of de-icing and anti-icing performed in either one or two steps.
- (h) 'Ground ice detection system (GIDS)': system used during aircraft ground operations to inform the personnel involved in the operation and/or the flight crew about the presence of frost, ice, snow or slush on the aircraft surfaces.
- (i) 'Lowest operational use temperature (LOUT)': the lowest temperature at which a fluid has been tested and certified as acceptable in accordance with the appropriate aerodynamic acceptance test whilst still maintaining a freezing point buffer of not less than:
 - (1) 10°C for a Type I de-icing/anti-icing fluid; or
 - (2) 7°C for Type II, III or IV de-icing/anti-icing fluids.
- (j) 'Post-treatment check': an external check of the aircraft after de-icing and/or anti-icing treatment accomplished from suitably elevated observation points (e.g. from the de-icing/anti-icing equipment itself or other elevated equipment) to ensure that the aircraft is free from any frost, ice, snow, or slush.
- (k) 'Pre-take-off check': an assessment normally performed by the flight crew, to validate the applied HoT.
- (l) 'Pre-take-off contamination check': a check of the treated surfaces for contamination, performed when the HoT has been exceeded or if any doubt exists regarding the continued effectiveness of the applied anti-icing treatment. It is normally accomplished externally, just before commencement of the take-off run.

ANTI-ICING CODES

- (m) The following are examples of anti-icing codes:
- (1) 'Type I' at (start time) — to be used if anti-icing treatment has been performed with a Type I fluid;
 - (2) 'Type II/100' at (start time) — to be used if anti-icing treatment has been performed with undiluted Type II fluid;
 - (3) 'Type II/75' at (start time) — to be used if anti-icing treatment has been performed with a mixture of 75 % Type II fluid and 25 % water;
 - (4) 'Type IV/50' at (start time) — to be used if anti-icing treatment has been performed with a mixture of 50 % Type IV fluid and 50 % water.
- (n) When a two-step de-icing/anti-icing operation has been carried out, the anti-icing code should be determined by the second step fluid. Fluid brand names may be included, if desired.

GM2 CAT.OP.MPA.250 Ice and other contaminants — ground procedures

DE-ICING/ANTI-ICING — PROCEDURES

- (a) De-icing and/or anti-icing procedures should take into account manufacturer's recommendations, including those that are type-specific and cover:
- (1) contamination checks, including detection of clear ice and under-wing frost; limits on the thickness/area of contamination published in the AFM or other manufacturers' documentation should be followed;
 - (2) procedures to be followed if de-icing and/or anti-icing procedures are interrupted or unsuccessful;
 - (3) post-treatment checks;
 - (4) pre-take-off checks;
 - (5) pre-take-off contamination checks;
 - (6) the recording of any incidents relating to de-icing and/or anti-icing; and
 - (7) the responsibilities of all personnel involved in de-icing and/or anti-icing.
- (b) Operator's procedures should ensure the following:
- (1) When aircraft surfaces are contaminated by ice, frost, slush or snow, they are de-iced prior to take-off according to the prevailing conditions. Removal of contaminants may be performed with mechanical tools, fluids (including hot water), infrared heat or forced air, taking account of aircraft type-specific provisions.
 - (2) Account is taken of the wing skin temperature versus outside air temperature (OAT), as this may affect:
 - (i) the need to carry out aircraft de-icing and/or anti-icing; and/or
 - (ii) the performance of the de-icing/anti-icing fluids.
 - (3) When freezing precipitation occurs or there is a risk of freezing precipitation occurring that would contaminate the surfaces at the time of take-off, aircraft surfaces should be

anti-iced. If both de-icing and anti-icing are required, the procedure may be performed in a one- or two-step process, depending upon weather conditions, available equipment, available fluids and the desired hold-over time (HoT). One-step de-icing/anti-icing means that de-icing and anti-icing are carried out at the same time, using a mixture of de-icing/anti-icing fluid and water. Two-step de-icing/anti-icing means that de-icing and anti-icing are carried out in two separate steps. The aircraft is first de-iced using heated water only or a heated mixture of de-icing/anti-icing fluid and water. After completion of the de-icing operation, a layer of a mixture of de-icing/anti-icing fluid and water, or of de-icing/anti-icing fluid only, is sprayed over the aircraft surfaces. The second step will be taken before the first-step fluid freezes, typically within three minutes and, if necessary, area by area.

- (4) When an aircraft is anti-iced and a longer HoT is needed/desired, the use of a less diluted Type II or Type IV fluid should be considered.
 - (5) All restrictions relative to OAT and fluid application (including, but not necessarily limited to, temperature and pressure) published by the fluid manufacturer and/or aircraft manufacturer, are followed. and procedures, limitations and recommendations to prevent the formation of fluid residues are followed.
 - (6) During conditions conducive to aircraft icing on the ground or after de-icing and/or anti-icing, an aircraft is not dispatched for departure unless it has been given a contamination check or a post-treatment check by a trained and qualified person. This check should cover all treated surfaces of the aircraft and be performed from points offering sufficient accessibility to these parts. To ensure that there is no clear ice on suspect areas, it may be necessary to make a physical check (e.g. tactile).
 - (7) The required entry is made in the technical log.
 - (8) The commander continually monitors the environmental situation after the performed treatment. Prior to take-off, he/she performs a pre-take-off check, which is an assessment of whether the applied HoT is still appropriate. This pre-take-off check includes, but is not limited to, factors such as precipitation, wind and OAT.
 - (9) If any doubt exists as to whether a deposit may adversely affect the aircraft's performance and/or controllability characteristics, the commander should arrange for a pre-take-off contamination check to be performed in order to verify that the aircraft's surfaces are free of contamination. Special methods and/or equipment may be necessary to perform this check, especially at night time or in extremely adverse weather conditions. If this check cannot be performed just before take-off, re-treatment should be applied.
 - (10) When re-treatment is necessary, any residue of the previous treatment should be removed and a completely new de-icing/anti-icing treatment should be applied.
 - (11) When a ground ice detection system (GIDS) is used to perform an aircraft surfaces check prior to and/or after a treatment, the use of GIDS by suitably trained personnel should be part of the procedure.
- (c) Special operational considerations
- (1) When using thickened de-icing/anti-icing fluids, the operator should consider a two-step de-icing/anti-icing procedure, the first step preferably with hot water and/or un-thickened fluids.

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- (2) The use of de-icing/anti-icing fluids should be in accordance with the aircraft manufacturer's documentation. This is particularly important for thickened fluids to assure sufficient flow-off during take-off.
 - (3) The operator should comply with any type-specific operational provision(s), such as an aircraft mass decrease and/or a take-off speed increase associated with a fluid application.
 - (4) The operator should take into account any flight handling procedures (stick force, rotation speed and rate, take-off speed, aircraft attitude etc.) laid down by the aircraft manufacturer when associated with a fluid application.
 - (5) The limitations or handling procedures resulting from (c)(3) and/or (c)(4) above should be part of the flight crew pre take-off briefing.
- (d) Communications
- (1) Before aircraft treatment. When the aircraft is to be treated with the flight crew on board, the flight and personnel involved in the operation should confirm the fluid to be used, the extent of treatment required and any aircraft type-specific procedure(s) to be used. Any other information needed to apply the HoT tables should be exchanged.
 - (2) Anti-icing code. The operator's procedures should include an anti-icing code, which indicates the treatment the aircraft has received. This code provides the flight crew with the minimum details necessary to estimate a HoT and confirms that the aircraft is free of contamination.
 - (3) After treatment. Before reconfiguring or moving the aircraft, the flight crew should receive a confirmation from the personnel involved in the operation that all de-icing and/or anti-icing operations are complete and that all personnel and equipment are clear of the aircraft.
- (e) Hold-over protection
- The operator should publish in the operations manual, when required, the HoTs in the form of a table or a diagram, to account for the various types of ground icing conditions and the different types and concentrations of fluids used. However, the times of protection shown in these tables are to be used as guidelines only and are normally used in conjunction with the pre-take-off check.
- (f) Training
- The operator's initial and recurrent de-icing and/or anti-icing training programmes (including communication training) for flight crew and those of its personnel involved in the operation who are involved in de-icing and/or anti-icing should include additional training if any of the following is introduced:
- (1) a new method, procedure and/or technique;
 - (2) a new type of fluid and/or equipment; or
 - (3) a new type of aircraft.
- (g) Contracting
- When the operator contracts training on de-icing/anti-icing, the operator should ensure that the contractor complies with the operator's training/qualification procedures, together with any specific procedures in respect of:
- (1) de-icing and/or anti-icing methods and procedures;

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- (2) fluids to be used, including precautions for storage and preparation for use;
 - (3) specific aircraft provisions (e.g. no-spray areas, propeller/engine de-icing, APU operation etc.); and
 - (4) checking and communications procedures.
- (h) Special maintenance considerations
- (1) General

The operator should take proper account of the possible side-effects of fluid use. Such effects may include, but are not necessarily limited to, dried and/or re-hydrated residues, corrosion and the removal of lubricants.
 - (2) Special considerations regarding residues of dried fluids

The operator should establish procedures to prevent or detect and remove residues of dried fluid. If necessary the operator should establish appropriate inspection intervals based on the recommendations of the airframe manufacturers and/or the operator's own experience:

 - (i) Dried fluid residues

Dried fluid residues could occur when surfaces have been treated and the aircraft has not subsequently been flown and has not been subject to precipitation. The fluid may then have dried on the surfaces.
 - (ii) Re-hydrated fluid residues

Repetitive application of thickened de-icing/anti-icing fluids may lead to the subsequent formation/build-up of a dried residue in aerodynamically quiet areas, such as cavities and gaps. This residue may re-hydrate if exposed to high humidity conditions, precipitation, washing, etc., and increase to many times its original size/volume. This residue will freeze if exposed to conditions at or below 0 °C. This may cause moving parts, such as elevators, ailerons, and flap actuating mechanisms to stiffen or jam in-flight. Re-hydrated residues may also form on exterior surfaces, which can reduce lift, increase drag and stall speed. Re-hydrated residues may also collect inside control surface structures and cause clogging of drain holes or imbalances to flight controls. Residues may also collect in hidden areas, such as around flight control hinges, pulleys, grommets, on cables and in gaps.
 - (iii) Operators are strongly recommended to obtain information about the fluid dry-out and re-hydration characteristics from the fluid manufacturers and to select products with optimised characteristics.
 - (iv) Additional information should be obtained from fluid manufacturers for handling, storage, application and testing of their products.

GM3 CAT.OP.MPA.250 Ice and other contaminants — ground procedures

DE-ICING/ANTI-ICING BACKGROUND INFORMATION

Further guidance material on this issue is given in the ICAO *Manual of Aircraft Ground De-icing/Anti-icing Operations* (Doc 9640) (hereinafter referred to as the ICAO *Manual of Aircraft Ground De-icing/Anti-icing Operations*).

(a) General

- (1) Any deposit of frost, ice, snow or slush on the external surfaces of an aircraft may drastically affect its flying qualities because of reduced aerodynamic lift, increased drag, modified stability and control characteristics. Furthermore, freezing deposits may cause moving parts, such as elevators, ailerons, flap actuating mechanism etc., to jam and create a potentially hazardous condition. Propeller/engine/auxiliary power unit (APU)/systems performance may deteriorate due to the presence of frozen contaminants on blades, intakes and components. Also, engine operation may be seriously affected by the ingestion of snow or ice, thereby causing engine stall or compressor damage. In addition, ice/frost may form on certain external surfaces (e.g. wing upper and lower surfaces, etc.) due to the effects of cold fuel/structures, even in ambient temperatures well above 0 °C.
- (2) Procedures established by the operator for de-icing and/or anti-icing are intended to ensure that the aircraft is clear of contamination so that degradation of aerodynamic characteristics or mechanical interference will not occur and, following anti-icing, to maintain the airframe in that condition during the appropriate HoT.
- (3) Under certain meteorological conditions, de-icing and/or anti-icing procedures may be ineffective in providing sufficient protection for continued operations. Examples of these conditions are freezing rain, ice pellets and hail, heavy snow, high wind velocity, fast dropping OAT or any time when freezing precipitation with high water content is present. No HoT guidelines exist for these conditions.
- (4) Material for establishing operational procedures can be found, for example, in:
 - (i) ICAO Annex 3, Meteorological Service for International Air Navigation;
 - (ii) ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations;
 - (iii) ISO 11075 Aircraft - De-icing/anti-icing fluids - ISO type I;
 - (iv) ISO 11076 Aircraft - De-icing/anti-icing methods with fluids;
 - (v) ISO 11077 Aerospace - Self propelled de-icing/anti-icing vehicles - Functional requirements;
 - (vi) ISO 11078 Aircraft - De-icing/anti-icing fluids -- ISO types II, III and IV;
 - (vii) AEA 'Recommendations for de-icing/anti-icing of aircraft on the ground';
 - (viii) AEA 'Training recommendations and background information for de-icing/anti-icing of aircraft on the ground';
 - (ix) EUROCAE ED-104A Minimum Operational Performance Specification for Ground Ice Detection Systems;

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- (i) SAE AS5681 Minimum Operational Performance Specification for Remote On-Ground Ice Detection Systems;
 - (ii) SAE ARP4737 Aircraft - De-icing/anti-icing methods;
 - (iii) SAE AMS1424 De-icing/anti-Icing Fluid, Aircraft, SAE Type I;
 - (iv) SAE AMS1428 Fluid, Aircraft De-icing/anti-Icing, Non-Newtonian, (Pseudoplastic), SAE Types II, III, and IV;
 - (v) SAE ARP1971 Aircraft De-icing Vehicle - Self-Propelled, Large and Small Capacity;
 - (vi) SAE ARP5149 Training Programme Guidelines for De-icing/anti-icing of Aircraft on Ground; and
 - (vii) SAE ARP5646 Quality Program Guidelines for De-icing/anti-icing of Aircraft on the Ground.

(b) Fluids

- (1) Type I fluid: Due to its properties, Type I fluid forms a thin, liquid-wetting film on surfaces to which it is applied which, under certain weather conditions, gives a very limited HoT. With this type of fluid, increasing the concentration of fluid in the fluid/water mix does not provide any extension in HoT.
- (2) Type II and Type IV fluids contain thickeners which enable the fluid to form a thicker liquid-wetting film on surfaces to which it is applied. Generally, this fluid provides a longer HoT than Type I fluids in similar conditions. With this type of fluid, the HoT can be extended by increasing the ratio of fluid in the fluid/water mix.
- (3) Type III fluid is a thickened fluid especially intended for use on aircraft with low rotation speeds.
- (4) Fluids used for de-icing and/or anti-icing should be acceptable to the operator and the aircraft manufacturer. These fluids normally conform to specifications such as SAE AMS1424, SAE AMS1428 or equivalent. Use of non-conforming fluids is not recommended due to their characteristics being unknown. The anti-icing and aerodynamic properties of thickened fluids may be seriously degraded by, for example, inappropriate storage, treatment, application, application equipment and age.

(c) Hold-over protection

- (1) Hold-over protection is achieved by a layer of anti-icing fluid remaining on and protecting aircraft surfaces for a period of time. With an one-step de-icing/anti-icing procedure, the HoT begins at the commencement of de-icing/anti-icing. With a two-step procedure, the HoT begins at the commencement of the second (anti-icing) step. The hold-over protection runs out:
 - (i) at the commencement of the take-off roll (due to aerodynamic shedding of fluid); or
 - (ii) when frozen deposits start to form or accumulate on treated aircraft surfaces, thereby indicating the loss of effectiveness of the fluid.
- (2) The duration of hold-over protection may vary depending on the influence of factors other than those specified in the HoT tables. Guidance should be provided by the operator to take account of such factors, which may include:
 - (i) atmospheric conditions, e.g. exact type and rate of precipitation, wind direction

and velocity, relative humidity and solar radiation; and

ANNEX IV (Part-CAT)

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART B: OPERATING PROCEDURES

- (ii) the aircraft and its surroundings, such as aircraft component inclination angle, contour and surface roughness, surface temperature, operation in close proximity to other aircraft (jet or propeller blast) and ground equipment and structures.
- (3) HoTs are not meant to imply that flight is safe in the prevailing conditions if the specified HoT has not been exceeded. Certain meteorological conditions, such as freezing drizzle or freezing rain, may be beyond the certification envelope of the aircraft.

AMC1 CAT.OP.MPA.255 Ice and other contaminants – flight procedures

FLIGHT IN EXPECTED OR ACTUAL ICING CONDITIONS — AEROPLANES

- (a) In accordance with Article 2(a)5. of Annex IV to Regulation (EC) No 216/2008 (Essential requirements for air operations), in case of flight into known or expected icing conditions, the aircraft must be certified, equipped and/or treated to operate safely in such conditions. The procedures to be established by the operator should take account of the design, the equipment, the configuration of the aircraft and the necessary training. For these reasons, different aircraft types operated by the same company may require the development of different procedures. In every case, the relevant limitations are those which are defined in the AFM and other documents produced by the manufacturer.
- (b) The operator should ensure that the procedures take account of the following:
 - (1) the equipment and instruments which must be serviceable for flight in icing conditions;
 - (2) the limitations on flight in icing conditions for each phase of flight. These limitations may be imposed by the aircraft's de-icing or anti-icing equipment or the necessary performance corrections that have to be made;
 - (3) the criteria the flight crew should use to assess the effect of icing on the performance and/or controllability of the aircraft;
 - (4) the means by which the flight crew detects, by visual cues or the use of the aircraft's ice detection system, that the flight is entering icing conditions; and
 - (5) the action to be taken by the flight crew in a deteriorating situation (which may develop rapidly) resulting in an adverse effect on the performance and/or controllability of the aircraft, due to:
 - (i) the failure of the aircraft's anti-icing or de-icing equipment to control a build-up of ice; and/or
 - (ii) ice build-up on unprotected areas.
- (c) Training for dispatch and flight in expected or actual icing conditions. The content of the operations manual should reflect the training, both conversion and recurrent, which flight crew, cabin crew and all other relevant operational personnel require in order to comply with the procedures for dispatch and flight in icing conditions:
 - (1) For the flight crew, the training should include:
 - (i) instruction on how to recognise, from weather reports or forecasts which are available before flight commences or during flight, the risks of encountering icing conditions along the planned route and on how to modify, as necessary, the departure and in-flight routes or profiles;
 - (ii) instruction on the operational and performance limitations or margins;

- (iii) the use of in-flight ice detection, anti-icing and de-icing systems in both normal and abnormal operation; and
 - (iv) instruction on the differing intensities and forms of ice accretion and the consequent action which should be taken.
- (2) For the cabin crew, the training should include:
- (i) awareness of the conditions likely to produce surface contamination; and
 - (ii) the need to inform the flight crew of significant ice accretion.

AMC2 CAT.OP.MPA.255 Ice and other contaminants – flight procedures

FLIGHT IN EXPECTED OR ACTUAL ICING CONDITIONS — HELICOPTERS

- (a) The procedures to be established by the operator should take account of the design, the equipment and the configuration of the helicopter and also of the training which is needed. For these reasons, different helicopter types operated by the same company may require the development of different procedures. In every case, the relevant limitations are those that are defined in the AFM and other documents produced by the manufacturer.
- (b) For the required entries in the operations manual, the procedural principles that apply to flight in icing conditions are referred to under Subpart MLR of Annex III (ORO.MLR) and should be cross-referenced, where necessary, to supplementary, type-specific data.
- (c) Technical content of the procedures

The operator should ensure that the procedures take account of the following:

- (1) **CAT.IDE.H.165;**
 - (2) the equipment and instruments that should be serviceable for flight in icing conditions;
 - (3) the limitations on flight in icing conditions for each phase of flight. These limitations may be specified by the helicopter's de-icing or anti-icing equipment or the necessary performance corrections which have to be made;
 - (4) the criteria the flight crew should use to assess the effect of icing on the performance and/or controllability of the helicopter;
 - (5) the means by which the flight crew detects, by visual cues or the use of the helicopter's ice detection system, that the flight is entering icing conditions; and
 - (6) the action to be taken by the flight crew in a deteriorating situation (which may develop rapidly) resulting in an adverse effect on the performance and/or controllability of the helicopter, due to either:
 - (i) the failure of the helicopter's anti-icing or de-icing equipment to control a build-up of ice; and/or
 - (ii) ice build-up on unprotected areas.
- (d) Training for dispatch and flight in expected or actual icing conditions

The content of the operations manual, Part D, should reflect the training, both conversion and recurrent, which flight crew, and all other relevant operational personnel will require in order to comply with the procedures for dispatch and flight in icing conditions.

- (1) For the flight crew, the training should include:

- (i) instruction on how to recognise, from weather reports or forecasts that are available before flight commences or during flight, the risks of encountering icing conditions along the planned route and on how to modify, as necessary, the departure and in-flight routes or profiles;
 - (ii) instruction on the operational and performance limitations or margins;
 - (iii) the use of in-flight ice detection, anti-icing and de-icing systems in both normal and abnormal operation; and
 - (iv) instruction on the differing intensities and forms of ice accretion and the consequent action which should be taken.
- (2) For crew members other than flight crew, the training should include;
- (i) awareness of the conditions likely to produce surface contamination; and
 - (ii) the need to inform the flight crew of significant ice accretion.

AMC1 CAT.OP.MPA.281 In-flight fuel management – helicopters

COMPLEX MOTOR-POWERED HELICOPTERS, OTHER THAN LOCAL OPERATIONS

The operator should base in-flight fuel management procedures on the following criteria:

- (a) In-flight fuel checks
 - (1) The commander should ensure that fuel checks are carried out in-flight at regular intervals. The remaining fuel should be recorded and evaluated to:
 - (i) compare actual consumption with planned consumption;
 - (ii) check that the remaining fuel is sufficient to complete the flight; and
 - (iii) determine the expected fuel remaining on arrival at the destination.
 - (2) The relevant fuel data should be recorded.
- (b) In-flight fuel management
 - (1) If, as a result of an in-flight fuel check, the expected fuel remaining on arrival at the destination is less than the required alternate fuel plus final reserve fuel, the commander should:
 - (i) divert; or
 - (ii) replan the flight in accordance with **SPA.HOFO.120** unless he/she considers it safer to continue to the destination.
 - (2) At an onshore destination, when two suitable, separate touchdown and lift-off areas are available and the weather conditions at the destination comply with those specified for planning in **CAT.OP.MPA.245(a)(2)**, the commander may permit alternate fuel to be used before landing at the destination.
- (c) If, as a result of an in-flight fuel check on a flight to an isolated destination, planned in accordance with (b), the expected fuel remaining at the point of last possible diversion is less than the sum of:
 - (1) fuel to divert to an operating site selected in accordance with **CAT.OP.MPA.181(a)**;
 - (2) contingency fuel; and
 - (3) final reserve fuel,

the commander should:

- (i) divert; or
- (ii) proceed to the destination provided that at onshore destinations, two suitable, separate touchdown and lift-off areas are available at the destination and the expected weather conditions at the destination comply with those specified for planning in **CAT.OP.MPA.245(a)(2)**.

GM1 CAT.OP.MPA.290 Ground proximity detection

TERRAIN AWARENESS WARNING SYSTEM (TAWS) FLIGHT CREW TRAINING PROGRAMMES

(a) Introduction

- (1) This GM contains performance-based training objectives for TAWS flight crew training.
- (2) The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAWS cautions; and response to TAWS warnings.
- (3) The term 'TAWS' in this GM means a ground proximity warning system (GPWS) enhanced by a forward-looking terrain avoidance function. Alerts include both cautions and warnings.
- (4) The content of this GM is intended to assist operators who are producing training programmes. The information it contains has not been tailored to any specific aircraft or TAWS equipment, but highlights features which are typically available where such systems are installed. It is the responsibility of the individual operator to determine the applicability of the content of this guidance material to each aircraft and TAWS equipment installed and their operation. Operators should refer to the AFM and/or aircraft/flight crew operating manual (A/FCOM), or similar documents, for information applicable to specific configurations. If there should be any conflict between the content of this guidance material and that published in the other documents described above, then information contained in the AFM or A/FCOM will take precedence.

(b) Scope

- (1) The scope of this GM is designed to identify training objectives in the areas of: academic training; manoeuvre training; initial evaluation; and recurrent qualification. Under each of these four areas, the training material has been separated into those items which are considered essential training items and those that are considered to be desirable. In each area, objectives and acceptable performance criteria are defined.
- (2) No attempt is made to define how the training programme should be implemented. Instead, objectives are established to define the knowledge that a pilot operating a TAWS is expected to possess and the performance expected from a pilot who has completed TAWS training. However, the guidelines do indicate those areas in which the pilot receiving the training should demonstrate his/her understanding, or performance, using a real-time, interactive training device, i.e. a flight simulator. Where appropriate, notes are included within the performance criteria which amplify or clarify the material addressed by the training objective.

(c) Performance-based training objectives

- (1) TAWS academic training
 - (i) This training is typically conducted in a classroom environment. The knowledge

demonstrations specified in this section may be completed through the successful completion of written tests or by providing correct responses to non-real-time computer-based training (CBT) questions.

- (ii) Theory of operation. The pilot should demonstrate an understanding of TAWS operation and the criteria used for issuing cautions and warnings. This training should address system operation. Objective: To demonstrate knowledge of how a TAWS functions. Criteria: The pilot should demonstrate an understanding of the following functions:
 - (A) Surveillance
 - (a) The GPWS computer processes data supplied from an air data computer, a radio altimeter, an instrument landing system (ILS)/microwave landing system (MLS)/multi-mode (MM) receiver, a roll attitude sensor, and actual position of the surfaces and of the landing gear.
 - (b) The forward-looking terrain avoidance function utilises an accurate source of known aircraft position, such as that which may be provided by a flight management system (FMS) or GPS, or an electronic terrain database. The source and scope of the terrain, obstacle and airport data, and features such as the terrain clearance floor, the runway picker, and geometric altitude (where provided) should all be described.
 - (c) Displays required to deliver TAWS outputs include a loudspeaker for voice announcements, visual alerts (typically amber and red lights), and a terrain awareness display (that may be combined with other displays). In addition, means should be provided for indicating the status of the TAWS and any partial or total failures that may occur.
 - (B) Terrain avoidance. Outputs from the TAWS computer provides visual and audio synthetic voice cautions and warnings to alert the flight crew about potential conflicts with terrain and obstacles.
 - (C) Alert thresholds. Objective: To demonstrate knowledge of the criteria for issuing cautions and warnings. Criteria: The pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and alerts and the general criteria for the issuance of these alerts, including:
 - (a) basic GPWS alerting modes specified in the ICAO Standard:
 - Mode 1: excessive sink rate;
 - Mode 2: excessive terrain closure rate;
 - Mode 3: descent after take-off or go-around;
 - Mode 4: unsafe proximity to terrain;
 - Mode 5: descent below ILS glide slope (caution only); and
 - (b) an additional, optional alert mode — Mode 6: radio altitude call-out (information only); TAWS cautions and warnings which alert the flight crew to obstacles and terrain ahead of the aircraft in line with or adjacent to its projected flight path (forward-looking terrain avoidance (FLTA) and premature descent alert (PDA) functions).
 - (D) TAWS limitations. Objective: To verify that the pilot is aware of the limitations of TAWS. Criteria: The pilot should demonstrate knowledge and an understanding of

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- TAWS limitations identified by the manufacturer for the equipment model installed, such as:
- (a) navigation should not be predicated on the use of the terrain display;
 - (b) unless geometric altitude data are provided, use of predictive TAWS functions is prohibited when altimeter subscale settings display 'QFE';
 - (c) nuisance alerts can be issued if the aerodrome of intended landing is not included in the TAWS airport database;
 - (d) in cold weather operations, corrective procedures should be implemented by the pilot unless the TAWS has in-built compensation, such as geometric altitude data;
 - (e) loss of input data to the TAWS computer could result in partial or total loss of functionality. Where means exist to inform the flight crew that functionality has been degraded, this should be known and the consequences understood;
 - (f) radio signals not associated with the intended flight profile (e.g. ILS glide path transmissions from an adjacent runway) may cause false alerts;
 - (g) inaccurate or low accuracy aircraft position data could lead to false or non-annunciation of terrain or obstacles ahead of the aircraft; and
 - (h) minimum equipment list (MEL) restrictions should be applied in the event of the TAWS becoming partially or completely unserviceable. (It should be noted that basic GPWS has no forward-looking capability.)
- (E) TAWS inhibits. Objective: To verify that the pilot is aware of the conditions under which certain functions of a TAWS are inhibited. Criteria: The pilot should demonstrate knowledge and an understanding of the various TAWS inhibits, including the following means of:
- (a) silencing voice alerts;
 - (b) inhibiting ILS glide path signals (as may be required when executing an ILS back beam approach);
 - (c) inhibiting flap position sensors (as may be required when executing an approach with the flaps not in a normal position for landing);
 - (d) inhibiting the FLTA and PDA functions; and
 - (e) selecting or deselecting the display of terrain information, together with appropriate annunciation of the status of each selection.
- (2) Operating procedures. The pilot should demonstrate the knowledge required to operate TAWS avionics and to interpret the information presented by a TAWS. This training should address the following topics:
- (i) Use of controls. Objective: To verify that the pilot can properly operate all TAWS controls and inhibits. Criteria: The pilot should demonstrate the proper use of controls, including the following means by which:
 - (A) before flight, any equipment self-test functions can be initiated;
 - (B) TAWS information can be selected for display; and
 - (C) all TAWS inhibits can be operated and what the consequent annunciations mean with regard to loss of functionality.

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- (ii) Display interpretation. Objective: To verify that the pilot understands the meaning of all information that can be annunciated or displayed by a TAWS. Criteria: The pilot should demonstrate the ability to properly interpret information annunciated or displayed by a TAWS, including the following:
 - (A) knowledge of all visual and aural indications that may be seen or heard;
 - (B) response required on receipt of a caution;
 - (C) response required on receipt of a warning; and
 - (D) response required on receipt of a notification that partial or total failure of the TAWS has occurred (including annunciation that the present aircraft position is of low accuracy).
 - (iii) Use of basic GPWS or use of the FLTA function only. Objective: To verify that the pilot understands what functionality will remain following loss of the GPWS or of the FLTA function. Criteria: The pilot should demonstrate knowledge of how to recognise the following:
 - (A) un-commanded loss of the GPWS function, or how to isolate this function and how to recognise the level of the remaining controlled flight into terrain (CFIT) protection (essentially, this is the FLTA function); and
 - (B) un-commanded loss of the FLTA function, or how to isolate this function and how to recognise the level of the remaining CFIT protection (essentially, this is the basic GPWS).
 - (iv) Crew coordination. Objective: To verify that the pilot adequately briefs other flight crew members on how TAWS alerts will be handled. Criteria: The pilot should demonstrate that the pre-flight briefing addresses procedures that will be used in preparation for responding to TAWS cautions and warnings, including the following:
 - (A) the action to be taken, and by whom, in the event that a TAWS caution and/or warning is issued; and
 - (B) how multi-function displays will be used to depict TAWS information at take-off, in the cruise and for the descent, approach, landing (and any go-around). This will be in accordance with procedures specified by the operator, who will recognise that it may be more desirable that other data are displayed at certain phases of flight and that the terrain display has an automatic 'pop-up' mode in the event that an alert is issued.
 - (v) Reporting rules. Objective: To verify that the pilot is aware of the rules for reporting alerts to the controller and other authorities. Criteria: The pilot should demonstrate knowledge of the following:
 - (A) when, following recovery from a TAWS alert or caution, a transmission of information should be made to the appropriate ATC unit; and
 - (B) the type of written report that is required, how it is to be compiled, and whether any cross reference should be made in the aircraft technical log and/or voyage report (in accordance with procedures specified by the operator), following a flight in which the aircraft flight path has been modified in response to a TAWS alert, or if any part of the equipment appears not to have functioned correctly.
 - (vi) Alert thresholds. Objective: To demonstrate knowledge of the criteria for issuing

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- cautions and warnings. Criteria: The pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and warnings and the general criteria for the issuance of these alerts, including awareness of the following:
- (A) modes associated with basic GPWS, including the input data associated with each; and
 - (B) visual and aural annunciations that can be issued by TAWS and how to identify which are cautions and which are warnings.
- (3) TAWS manoeuvre training. The pilot should demonstrate the knowledge required to respond correctly to TAWS cautions and warnings. This training should address the following topics:
- (i) Response to cautions:
 - (A) Objective: To verify that the pilot properly interprets and responds to cautions. Criteria: The pilot should demonstrate an understanding of the need, without delay:
 - (a) to initiate action required to correct the condition which has caused the TAWS to issue the caution and to be prepared to respond to a warning, if this should follow; and
 - (b) if a warning does not follow the caution, to notify the controller of the new position, heading and/or altitude/flight level of the aircraft, and what the commander intends to do next.
 - (B) The correct response to a caution might require the pilot to:
 - (a) reduce a rate of descent and/or to initiate a climb;
 - (b) regain an ILS glide path from below, or to inhibit a glide path signal if an ILS is not being flown;
 - (c) select more flap, or to inhibit a flap sensor if the landing is being conducted with the intent that the normal flap setting will not be used;
 - (d) select gear down; and/or
 - (e) initiate a turn away from the terrain or obstacle ahead and towards an area free of such obstructions if a forward-looking terrain display indicates that this would be a good solution and the entire manoeuvre can be carried out in clear visual conditions.
 - (ii) Response to warnings. Objective: To verify that the pilot properly interprets and responds to warnings. Criteria: The pilot should demonstrate an understanding of the following:
 - (A) The need, without delay, to initiate a climb in the manner specified by the operator.
 - (B) The need, without delay, to maintain the climb until visual verification can be made that the aircraft will clear the terrain or obstacle ahead or until above the appropriate sector safe altitude (if certain about the location of the aircraft with respect to terrain) even if the TAWS warning stops. If, subsequently, the aircraft climbs up through the sector safe altitude, but the visibility does not allow the flight crew to confirm that the terrain hazard has

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- ended, checks should be made to verify the location of the aircraft and to confirm that the altimeter subscale settings are correct.
- (C) When the workload permits that, the flight crew should notify the air traffic controller of the new position and altitude/flight level, and what the commander intends to do next.
 - (D) That the manner in which the climb is made should reflect the type of aircraft and the method specified by the aircraft manufacturer (which should be reflected in the operations manual) for performing the escape manoeuvre. Essential aspects will include the need for an increase in pitch attitude, selection of maximum thrust, confirmation that external sources of drag (e.g. spoilers/speed brakes) are retracted, and respect of the stick shaker or other indication of eroded stall margin.
 - (E) That TAWS warnings should never be ignored. However, the pilot's response may be limited to that which is appropriate for a caution, only if:
 - (a) the aircraft is being operated by day in clear, visual conditions; and
 - (b) it is immediately clear to the pilot that the aircraft is in no danger in respect of its configuration, proximity to terrain or current flight path.
- (4) TAWS initial evaluation:
- (i) The flight crew member's understanding of the academic training items should be assessed by means of a written test.
 - (ii) The flight crew member's understanding of the manoeuvre training items should be assessed in a FSTD equipped with TAWS visual and aural displays and inhibit selectors similar in appearance and operation to those in the aircraft which the pilot will fly. The results should be assessed by a synthetic flight instructor, synthetic flight examiner, type rating instructor or type rating examiner.
 - (iii) The range of scenarios should be designed to give confidence that proper and timely responses to TAWS cautions and warnings will result in the aircraft avoiding a CFIT accident. To achieve this objective, the pilot should demonstrate taking the correct action to prevent a caution developing into a warning and, separately, the escape manoeuvre needed in response to a warning. These demonstrations should take place when the external visibility is zero, though there is much to be learnt if, initially, the training is given in 'mountainous' or 'hilly' terrain with clear visibility. This training should comprise a sequence of scenarios, rather than be included in line oriented flight training (LOFT).
 - (iv) A record should be made, after the pilot has demonstrated competence, of the scenarios that were practised.
- (5) TAWS recurrent training:
- (i) TAWS recurrent training ensures that pilots maintain the appropriate TAWS knowledge and skills. In particular, it reminds pilots of the need to act promptly in response to cautions and warnings, and of the unusual attitude associated with flying the escape manoeuvre.
 - (ii) An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes to TAWS logic, parameters or procedures and to any unique TAWS characteristics of which pilots should be aware.

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- (6) Reporting procedures:
- (i) Verbal reports. Verbal reports should be made promptly to the appropriate air traffic control unit:
 - (A) whenever any manoeuvre has caused the aircraft to deviate from an air traffic clearance;
 - (B) when, following a manoeuvre which has caused the aircraft to deviate from an air traffic clearance, the aircraft has returned to a flight path which complies with the clearance; and/or
 - (C) when an air traffic control unit issues instructions which, if followed, would cause the pilot to manoeuvre the aircraft towards terrain or obstacle or it would appear from the display that a potential CFIT occurrence is likely to result.
 - (ii) Written reports. Written reports should be submitted in accordance with the operator's occurrence reporting scheme and they also should be recorded in the aircraft technical log:
 - (A) whenever the aircraft flight path has been modified in response to a TAWS alert (false, nuisance or genuine);
 - (B) whenever a TAWS alert has been issued and is believed to have been false; and/or
 - (C) if it is believed that a TAWS alert should have been issued, but was not.
 - (iii) Within this GM and with regard to reports:
 - (A) the term 'false' means that the TAWS issued an alert which could not possibly be justified by the position of the aircraft in respect to terrain and it is probable that a fault or failure in the system (equipment and/or input data) was the cause;
 - (B) the term 'nuisance' means that the TAWS issued an alert which was appropriate, but was not needed because the flight crew could determine by independent means that the flight path was, at that time, safe;
 - (C) the term 'genuine' means that the TAWS issued an alert which was both appropriate and necessary; and
 - (D) the report terms described in (c)(6)(iii) are only meant to be assessed after the occurrence is over, to facilitate subsequent analysis, the adequacy of the equipment and the programmes it contains. The intention is not for the flight crew to attempt to classify an alert into any of these three categories when visual and/or aural cautions or warnings are annunciated.

GM1 CAT.OP.MPA.295 Use of airborne collision avoidance system (ACAS)

GENERAL

- (a) The ACAS operational procedures and training programmes established by the operator should take into account this GM. It incorporates advice contained in:
 - (1) ICAO Doc 8168 (PANS-OPS), Volume III¹ Aircraft Operating Procedures, Chapter 3 and Attachment A (ACAS training guidelines for pilots) and Attachment B (ACAS high vertical rate (HVR) encounters) to Section 4, Chapter 3; and
 - (2) ICAO PANS-ATM² Chapters 12 and 15 phraseology requirements;
 - (3) ICAO Annex 10, Volume IV;
 - (4) ICAO PANS-ATM.
- (b) Additional guidance material on ACAS may be referred to, including information available from such sources as EUROCONTROL.

ACAS FLIGHT CREW TRAINING PROGRAMMES

- (c) During the implementation of ACAS, several operational issues were identified which had been attributed to deficiencies in flight crew training programmes. As a result, the issue of flight crew training has been discussed within the ICAO, which has developed guidelines for operators to use when designing training programmes.
- (d) This GM contains performance-based training objectives for ACAS II flight crew training. Information contained in this paper related to traffic advisories (TAs) is also applicable to ACAS I and ACAS II users. The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAs; and response to resolution advisories (RAs).
- (e) The information provided is valid for version 7 and 7.1 (ACAS II). Where differences arise, these are identified.
- (f) The performance-based training objectives are further divided into the areas of: academic training; manoeuvre training; initial evaluation and recurrent qualification. Under each of these four areas, the training material has been separated into those items which are considered essential training items and those which are considered desirable. In each area, objectives and acceptable performance criteria are defined.
- (g) ACAS academic training
 - (1) This training is typically conducted in a classroom environment. The knowledge demonstrations specified in this section may be completed through the successful completion of written tests or through providing correct responses to non-real-time computer-based training (CBT) questions.
 - (2) Essential items

¹ ICAO Doc 8168 Procedures for Air Navigation Services-Aircraft Operations, Volume III - Aircraft Operating Procedures, First Edition, 2018.

² ICAO Doc 4444-ATM/501 - PANS-ATM (Procedures for Air Navigation Services-Air Traffic Management) (Fifteenth edition, Amendment 3).

- (i) Theory of operation. The flight crew member should demonstrate an understanding of ACAS II operation and the criteria used for issuing TAs and RAs. This training should address the following topics:

(A) System operation

Objective: to demonstrate knowledge of how ACAS functions.

Criteria: the flight crew member should demonstrate an understanding of the following functions:

(a) Surveillance

- (1) ACAS interrogates other transponder-equipped aircraft within a nominal range of 14 NM.
- (2) ACAS surveillance range can be reduced in geographic areas with a large number of ground interrogators and/or ACAS II-equipped aircraft.
- (3) If the operator's ACAS implementation provides for the use of the Mode S extended squitter, the normal surveillance range may be increased beyond the nominal 14 NM. However, this information is not used for collision avoidance purposes.

(b) Collision avoidance

- (1) TAs can be issued against any transponder-equipped aircraft which responds to the ICAO Mode C interrogations, even if the aircraft does not have altitude reporting capability.
- (2) RAs can be issued only against aircraft that are reporting altitude and in the vertical plane only.
- (3) RAs issued against an ACAS-equipped intruder are co-ordinated to ensure complementary RAs are issued.
- (4) Failure to respond to an RA deprives own aircraft of the collision protection provided by own ACAS.
- (5) Additionally, in ACAS-ACAS encounters, failure to respond to an RA also restricts the choices available to the other aircraft's ACAS and thus renders the other aircraft's ACAS less effective than if own aircraft were not ACAS-equipped.

(B) Advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (a) ACAS advisories are based on time to closest point of approach (CPA) rather than distance. The time should be short and vertical separation should be small, or projected to be small, before an advisory can be issued. The separation standards provided by ATS are different from the miss distances against which ACAS issues alerts.

- (b) Thresholds for issuing a TA or an RA vary with altitude. The thresholds are larger at higher altitudes.
 - (c) A TA occurs from 15 to 48 seconds and an RA from 15 to 35 seconds before the projected CPA.
 - (d) RAs are chosen to provide the desired vertical miss distance at CPA. As a result, RAs can instruct a climb or descent through the intruder aircraft's altitude.
- (C) ACAS limitations
- Objective: to verify that the flight crew member is aware of the limitations of ACAS.
- Criteria: the flight crew member should demonstrate knowledge and understanding of ACAS limitations, including the following:
- (a) ACAS will neither track nor display non-transponder-equipped aircraft, nor aircraft not responding to ACAS Mode C interrogations.
 - (b) ACAS will automatically fail if the input from the aircraft's barometric altimeter, radio altimeter or transponder is lost.
 - (1) In some installations, the loss of information from other on board systems such as an inertial reference system (IRS) or attitude heading reference system (AHRS) may result in an ACAS failure. Individual operators should ensure that their flight crews are aware of the types of failure that will result in an ACAS failure.
 - (2) ACAS may react in an improper manner when false altitude information is provided to own ACAS or transmitted by another aircraft. Individual operators should ensure that their flight crew are aware of the types of unsafe conditions that can arise. Flight crew members should ensure that when they are advised, if their own aircraft is transmitting false altitude reports, an alternative altitude reporting source is selected, or altitude reporting is switched off.
 - (c) Some aeroplanes within 380 ft above ground level (AGL) (nominal value) are deemed to be 'on ground' and will not be displayed. If ACAS is able to determine an aircraft below this altitude is airborne, it will be displayed.
 - (d) ACAS may not display all proximate transponder-equipped aircraft in areas of high density traffic.
 - (e) The bearing displayed by ACAS is not sufficiently accurate to support the initiation of horizontal manoeuvres based solely on the traffic display.
 - (f) ACAS will neither track nor display intruders with a vertical speed in excess of 10 000 ft/min. In addition, the design implementation may result in some short-term errors in the tracked vertical speed of an intruder during periods of high vertical acceleration by the intruder.

- (g) Ground proximity warning systems/ground collision avoidance systems (GPWSs/GCASs) warnings and wind shear warnings take precedence over ACAS advisories. When either a GPWS/GCAS or wind shear warning is active, ACAS aural annunciations will be inhibited and ACAS will automatically switch to the 'TA only' mode of operation.

(D) ACAS inhibits

Objective: to verify that the flight crew member is aware of the conditions under which certain functions of ACAS are inhibited.

Criteria: the flight crew member should demonstrate knowledge and understanding of the various ACAS inhibits, including the following:

- (a) 'Increase Descent' RAs are inhibited below 1 450 ft AGL;
- (b) 'Descend' RAs are inhibited below 1 100 ft AGL;
- (c) all RAs are inhibited below 1 000 ft AGL;
- (d) all TA aural annunciations are inhibited below 500 ft AGL; and
- (e) altitude and configuration under which 'Climb' and 'Increase Climb' RAs are inhibited. ACAS can still issue 'Climb' and 'Increase Climb' RAs when operating at the aeroplane's certified ceiling. (In some aircraft types, 'Climb' or 'Increase Climb' RAs are never inhibited.)

(ii) Operating procedures

The flight crew member should demonstrate the knowledge required to operate the ACAS avionics and interpret the information presented by ACAS. This training should address the following:

(A) Use of controls

Objective: to verify that the pilot can properly operate all ACAS and display controls.

Criteria: demonstrate the proper use of controls including:

- (a) aircraft configuration required to initiate a self-test;
- (b) steps required to initiate a self-test;
- (c) recognising when the self-test was successful and when it was unsuccessful. When the self-test is unsuccessful, recognising the reason for the failure and, if possible, correcting the problem;
- (d) recommended usage of range selection. Low ranges are used in the terminal area and the higher display ranges are used in the en-route environment and in the transition between the terminal and en-route environment;
- (e) recognising that the configuration of the display does not affect the ACAS surveillance volume;
- (f) selection of lower ranges when an advisory is issued, to increase display resolution;
- (g) proper configuration to display the appropriate ACAS information without eliminating the display of other needed information;

- (h) if available, recommended usage of the above/below mode selector. The above mode should be used during climb and the below mode should be used during descent; and
- (i) if available, proper selection of the display of absolute or relative altitude and the limitations of using this display if a barometric correction is not provided to ACAS.

(B) Display interpretation

Objective: to verify that the flight crew member understands the meaning of all information that can be displayed by ACAS. The wide variety of display implementations require the tailoring of some criteria. When the training programme is developed, these criteria should be expanded to cover details for the operator's specific display implementation.

Criteria: the flight crew member should demonstrate the ability to properly interpret information displayed by ACAS, including the following:

- (a) other traffic, i.e. traffic within the selected display range that is not proximate traffic, or causing a TA or RA to be issued;
- (b) proximate traffic, i.e. traffic that is within 6 NM and $\pm 1\,200$ ft;
- (c) non-altitude reporting traffic;
- (d) no bearing TAs and RAs;
- (e) off-scale TAs and RAs: the selected range should be changed to ensure that all available information on the intruder is displayed;
- (f) TAs: the minimum available display range which allows the traffic to be displayed should be selected, to provide the maximum display resolution;
- (g) RAs (traffic display): the minimum available display range of the traffic display which allows the traffic to be displayed should be selected, to provide the maximum display resolution;
- (h) RAs (RA display): flight crew members should demonstrate knowledge of the meaning of the red and green areas or the meaning of pitch or flight path angle cues displayed on the RA display. Flight crew members should also demonstrate an understanding of the RA display limitations, i.e. if a vertical speed tape is used and the range of the tape is less than 2 500 ft/min, an increase rate RA cannot be properly displayed; and
- (i) if appropriate, awareness that navigation displays oriented on 'Track-Up' may require a flight crew member to make a mental adjustment for drift angle when assessing the bearing of proximate traffic.

(C) Use of the TA-only mode

Objective: to verify that a flight crew member understands the appropriate times to select the TA-only mode of operation and the limitations associated with using this mode.

Criteria: the flight crew member should demonstrate the following:

- (a) Knowledge of the operator's guidance for the use of TA only.

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- (b) Reasons for using this mode. If TA only is not selected when an airport is conducting simultaneous operations from parallel runways separated by less than 1 200 ft, and to some intersecting runways, RAs can be expected. If for any reason TA only is not selected and an RA is received in these situations, the response should comply with the operator's approved procedures.
 - (c) All TA aural annunciations are inhibited below 500 ft AGL. As a result, TAs issued below 500 ft AGL may not be noticed unless the TA display is included in the routine instrument scan.
- (D) Crew coordination
- Objective: to verify that the flight crew member understands how ACAS advisories will be handled.
- Criteria: the flight crew member should demonstrate knowledge of the crew procedures that should be used when responding to TAs and RAs, including the following:
- (a) task sharing between the pilot flying and the pilot monitoring;
 - (b) expected call-outs; and
 - (c) communications with ATC.
- (E) Phraseology rules
- Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the controller.
- Criteria: the flight crew member should demonstrate the following:
- (a) the use of the phraseology contained in ICAO PANS-OPS;
 - (b) an understanding of the procedures contained in ICAO PANS-ATM and ICAO Annex 2; and
 - (c) the understanding that verbal reports should be made promptly to the appropriate ATC unit:
 - (1) whenever any manoeuvre has caused the aeroplane to deviate from an air traffic clearance;
 - (2) when, subsequent to a manoeuvre that has caused the aeroplane to deviate from an air traffic clearance, the aeroplane has returned to a flight path that complies with the clearance; and/or
 - (3) when air traffic issue instructions that, if followed, would cause the crew to manoeuvre the aircraft contrary to an RA with which they are complying.
- (F) Reporting rules
- Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the operator.
- Criteria: the flight crew member should demonstrate knowledge of where information can be obtained regarding the need for making written reports to various states when an RA is issued. Various States have different

reporting rules and the material available to the flight crew member should be tailored to the operator's operating environment. For operators involved in commercial operations, this responsibility is satisfied by the flight crew member reporting to the operator according to the applicable reporting rules.

(3) Non-essential items: advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (i) the minimum and maximum altitudes below/above which TAs will not be issued;
- (ii) when the vertical separation at CPA is projected to be less than the ACAS-desired separation, a corrective RA which requires a change to the existing vertical speed will be issued. This separation varies from 300 ft at low altitude to a maximum of 700 ft at high altitude;
- (iii) when the vertical separation at CPA is projected to be just outside the ACAS-desired separation, a preventive RA that does not require a change to the existing vertical speed will be issued. This separation varies from 600 to 800 ft; and
- (iv) RA fixed range thresholds vary between 0.2 and 1.1 NM.

(h) ACAS manoeuvre training

- (1) Demonstration of the flight crew member's ability to use ACAS displayed information to properly respond to TAs and RAs should be carried out in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft. If a full flight simulator is utilised, CRM should be practised during this training.
- (2) Alternatively, the required demonstrations can be carried out by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft. This interactive CBT should depict scenarios in which real-time responses should be made. The flight crew member should be informed whether or not the responses made were correct. If the response was incorrect or inappropriate, the CBT should show what the correct response should be.
- (3) The scenarios included in the manoeuvre training should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-aircraft encounters. The consequences of failure to respond correctly should be demonstrated by reference to actual incidents such as those publicised in EUROCONTROL ACAS II Bulletins (available on the EUROCONTROL website).

(i) TA responses

Objective: to verify that the pilot properly interprets and responds to TAs.

Criteria: the pilot should demonstrate the following:

- (A) Proper division of responsibilities between the pilot flying and the pilot monitoring. The pilot flying should fly the aircraft using any type-specific procedures and be prepared to respond to any RA that might follow. For aircraft without an RA pitch display, the pilot flying should consider the likely magnitude of an appropriate pitch change. The pilot monitoring should

provide updates on the traffic location shown on the ACAS display, using this information to help visually acquire the intruder.

- (B) Proper interpretation of the displayed information. Flight crew members should confirm that the aircraft they have visually acquired is that which has caused the TA to be issued. Use should be made of all information shown on the display, note being taken of the bearing and range of the intruder (amber circle), whether it is above or below (data tag) and its vertical speed direction (trend arrow).
- (C) Other available information should be used to assist in visual acquisition, including ATC 'party-line' information, traffic flow in use, etc.
- (D) Because of the limitations described, the pilot flying should not manoeuvre the aircraft based solely on the information shown on the ACAS display. No attempt should be made to adjust the current flight path in anticipation of what an RA would advise, except that if own aircraft is approaching its cleared level at a high vertical rate with a TA present, vertical rate should be reduced to less than 1 500 ft/min.
- (E) When visual acquisition is attained, and as long as no RA is received, normal right of way rules should be used to maintain or attain safe separation. No unnecessary manoeuvres should be initiated. The limitations of making manoeuvres based solely on visual acquisition, especially at high altitude or at night, or without a definite horizon should be demonstrated as being understood.

(ii) RA responses

Objective: to verify that the pilot properly interprets and responds to RAs.

Criteria: the pilot should demonstrate the following:

- (A) Proper response to the RA, even if it is in conflict with an ATC instruction and even if the pilot believes that there is no threat present.
- (B) Proper task sharing between the pilot flying and the pilot monitoring. The pilot flying should respond to a corrective RA with appropriate control inputs. The pilot monitoring should monitor the response to the RA and should provide updates on the traffic location by checking the traffic display. Proper crew resource management (CRM) should be used.
- (C) Proper interpretation of the displayed information. The pilot should recognise the intruder causing the RA to be issued (red square on display). The pilot should respond appropriately.
- (D) For corrective RAs, the response should be initiated in the proper direction within five seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{4} g$ (gravitational acceleration of 9.81 m/sec²).
- (E) Recognition of the initially displayed RA being modified. Response to the modified RA should be properly accomplished, as follows:
 - (a) For increase rate RAs, the vertical speed change should be started within two and a half seconds of the RA being displayed. The change

- in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
- (b) For RA reversals, the vertical speed reversal should be started within two and a half seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
 - (c) For RA weakenings, the vertical speed should be modified to initiate a return towards the original clearance.
 - (d) An acceleration of approximately $\frac{1}{4}$ g will be achieved if the change in pitch attitude corresponding to a change in vertical speed of 1 500 ft/min is accomplished in approximately 5 seconds, and of $\frac{1}{3}$ g if the change is accomplished in approximately three seconds. The change in pitch attitude required to establish a rate of climb or descent of 1 500 ft/min from level flight will be approximately 6° when the true airspeed (TAS) is 150 kt, 4° at 250 kt, and 2° at 500 kt. (These angles are derived from the formula: 1 000 divided by TAS.).
 - (F) Recognition of altitude crossing encounters and the proper response to these RAs.
 - (G) For preventive RAs, the vertical speed needle or pitch attitude indication should remain outside the red area on the RA display.
 - (H) For maintain rate RAs, the vertical speed should not be reduced. Pilots should recognise that a maintain rate RA may result in crossing through the intruder's altitude.
 - (I) When the RA weakens, or when the green 'fly to' indicator changes position, the pilot should initiate a return towards the original clearance and when 'clear of conflict' is annunciated, the pilot should complete the return to the original clearance.
 - (J) The controller should be informed of the RA as soon as time and workload permit, using the standard phraseology.
 - (K) When possible, an ATC clearance should be complied with while responding to an RA. For example, if the aircraft can level at the assigned altitude while responding to RA (an 'adjust vertical speed' RA (version 7) or 'level off' (version 7.1)) it should be done; the horizontal (turn) element of an ATC instruction should be followed.
 - (L) Knowledge of the ACAS multi-aircraft logic and its limitations, and that ACAS can optimise separations from two aircraft by climbing or descending towards one of them. For example, ACAS only considers intruders that it considers to be a threat when selecting an RA. As such, it is possible for ACAS to issue an RA against one intruder that results in a manoeuvre towards another intruder which is not classified as a threat. If the second intruder becomes a threat, the RA will be modified to provide separation from that intruder.

- (i) ACAS initial evaluation
 - (1) The flight crew member's understanding of the academic training items should be assessed by means of a written test or interactive CBT that records correct and incorrect responses to phrased questions.
 - (2) The flight crew member's understanding of the manoeuvre training items should be assessed in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft the flight crew member will fly, and the results assessed by a qualified instructor, inspector, or check airman. The range of scenarios should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-threat encounters. The scenarios should also include demonstrations of the consequences of not responding to RAs, slow or late responses, and manoeuvring opposite to the direction called for by the displayed RA.
 - (3) Alternatively, exposure to these scenarios can be conducted by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft the pilot will fly. This interactive CBT should depict scenarios in which real-time responses should be made and a record made of whether or not each response was correct.
- (j) ACAS recurrent training
 - (1) ACAS recurrent training ensures that flight crew members maintain the appropriate ACAS knowledge and skills. ACAS recurrent training should be integrated into and/or conducted in conjunction with other established recurrent training programmes. An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes to ACAS logic, parameters or procedures and to any unique ACAS characteristics which flight crew members should be made aware of.
 - (2) It is recommended that the operator's recurrent training programmes using full flight simulators include encounters with conflicting traffic when these simulators are equipped with ACAS. The full range of likely scenarios may be spread over a 2-year period. If a full flight simulator, as described above, is not available, use should be made of interactive CBT that is capable of presenting scenarios to which pilot responses should be made in real time.

AMC1 CAT.OP.MPA.300 Approach and landing conditions

IN-FLIGHT DETERMINATION OF THE LANDING DISTANCE

The in-flight determination of the landing distance should be based on the latest available meteorological or runway state report, preferably not more than 30 minutes before the expected landing time.

AMC1 CAT.OP.MPA.305(e) Commencement and continuation of approach

VISUAL REFERENCES FOR INSTRUMENT APPROACH OPERATIONS

- (a) NPA, APV and CAT I operations

At DH or MDH, at least one of the visual references specified below should be distinctly visible

and identifiable to the pilot:

- (1) elements of the approach lighting system;
- (2) the threshold;
- (3) the threshold markings;
- (4) the threshold lights;
- (5) the threshold identification lights;
- (6) the visual glide slope indicator;
- (7) the touchdown zone or touchdown zone markings;
- (8) the touchdown zone lights;
- (9) FATO/runway edge lights; or
- (10) other visual references specified in the operations manual.

(b) LTS CAT I operations

At DH, the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of them;
- (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS usable to at least 150 ft.

(c) CAT II or OTS CAT II operations

At DH, the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) a segment of at least three consecutive lights being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of them;
- (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS to touchdown.

(d) CAT III operations

- (1) For CAT IIIA operations and for CAT IIIB operations conducted either with fail-passive flight control systems or with the use of an approved HUDLS: at DH, a segment of at least three consecutive lights being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of these is attained and can be maintained by the pilot.
- (2) For CAT IIIB operations conducted either with fail-operational flight control systems or with a fail-operational hybrid landing system using a DH: at DH, at least one centreline light is attained and can be maintained by the pilot.
- (3) For CAT IIIB operations with no DH, there is no specification for visual reference with the runway prior to touchdown.

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- (e) Approach operations utilising EVS — CAT I operations
- (1) At DH, the following visual references should be displayed and identifiable to the pilot on the EVS image:
 - (i) elements of the approach light; or
 - (ii) the runway threshold, identified by at least one of the following:
 - (A) the beginning of the runway landing surface,
 - (B) the threshold lights, the threshold identification lights; or
 - (C) the touchdown zone, identified by at least one of the following: the runway touchdown zone landing surface, the touchdown zone lights, the touchdown zone markings or the runway lights.
 - (2) At 100 ft above runway threshold elevation, at least one of the visual references specified below should be distinctly visible and identifiable to the pilot without reliance on the EVS:
 - (i) the lights or markings of the threshold; or
 - (ii) the lights or markings of the touchdown zone.
- (f) Approach operations utilising EVS — APV and NPA operations flown with the CDFA technique
- (1) At DH/MDH, visual references should be displayed and identifiable to the pilot on the EVS image as specified under (a).
 - (2) At 200 ft above runway threshold elevation, at least one of the visual references specified under (a) should be distinctly visible and identifiable to the pilot without reliance on the EVS.

GM1 CAT.OP.MPA.305(f) Commencement and continuation of approach

EXPLANATION OF THE TERM ‘RELEVANT’

‘Relevant’ in this context means that part of the runway used during the high-speed phase of the landing down to a speed of approximately 60 kt.

GM1 CAT.OP.MPA.315 Flight hours reporting — helicopters

FLIGHT HOURS REPORTING

- (a) The requirement in **CAT.OP.MPA.315** may be achieved by making available either:
 - (1) the flight hours flown by each helicopter — identified by its serial number and registration mark — during the previous calendar year; or
 - (2) the total flight hours of each helicopter — identified by its serial number and registration mark — on the 31st of December of the previous calendar year.
- (b) Where possible, the operator should have available, for each helicopter, the breakdown of hours for CAT operations. If the exact hours for the functional activity cannot be established, the estimated proportion will be sufficient.

SUBPART C: AIRCRAFT PERFORMANCE AND OPERATING LIMITATIONS

SECTION 1 – AEROPLANES

CHAPTER 1 – GENERAL REQUIREMENTS

CHAPTER 2 – PERFORMANCE CLASS A

AMC1 CAT.POL.A.200 General

WET AND CONTAMINATED RUNWAY DATA

If the performance data have been determined on the basis of a measured runway friction coefficient, the operator should use a procedure correlating the measured runway friction coefficient and the effective braking coefficient of friction of the aeroplane type over the required speed range for the existing runway conditions.

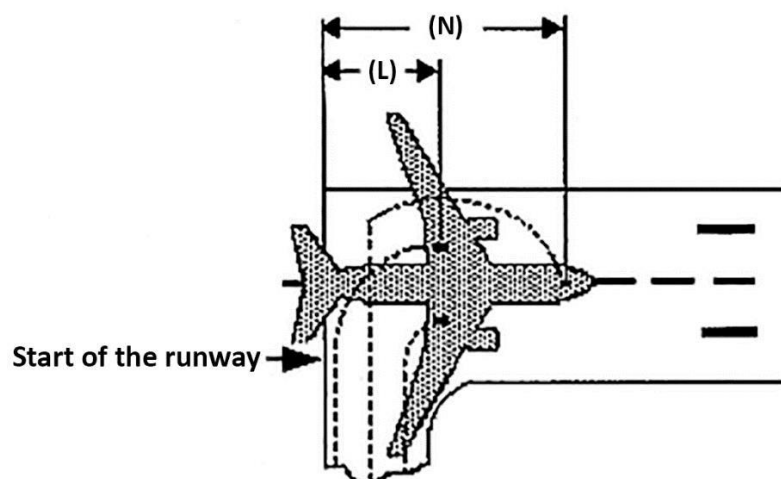
AMC1 CAT.POL.A.205 Take-off

LOSS OF RUNWAY LENGTH DUE TO ALIGNMENT

- (a) The length of the runway that is declared for the calculation of take-off distance available (TODA), accelerate-stop distance available (ASDA) and take-off run available (TORA) does not account for line-up of the aeroplane in the direction of take-off on the runway in use. This alignment distance depends on the aeroplane geometry and access possibility to the runway in use. Accountability is usually required for a 90°-taxiway entry to the runway and 180°-turnaround on the runway. There are two distances to be considered:
- (1) the minimum distance of the main wheels from the start of the runway for determining TODA and TORA, 'L'; and
 - (2) the minimum distance of the most forward wheel(s) from the start of the runway for determining ASDA, 'N'.

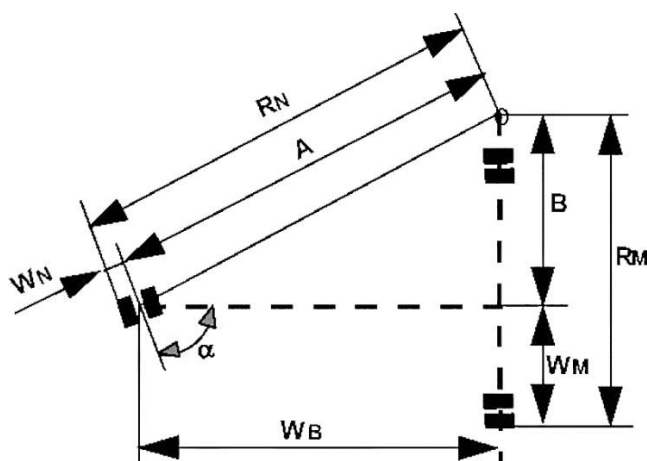
Figure 1

Line-up of the aeroplane in the direction of take-off — L and N



Where the aeroplane manufacturer does not provide the appropriate data, the calculation method given in (b) should be used to determine the alignment distance.

(b) Alignment distance calculation



The distances mentioned in (a)(1) and (a)(2) are:

| | 90° entry | 180° turnaround |
|----|-------------|-----------------|
| L= | RM + X | RN + Y |
| N= | RM + X + WB | RN + Y + WB |

where:

$$RN = A + WN = WB / \cos(90^\circ - \alpha) + WN$$

$$RM = B + WM = WB \tan(90^\circ - \alpha) + WM$$

X = safety distance of outer main wheel during turn to the edge of the runway

Y = safety distance of outer nose wheel during turn to the edge of the runway

Note: Minimum edge safety distances for X and Y are specified in FAA AC 150/5300-13 and ICAO Annex 14, 3.8.3

RN = radius of turn of outer nose wheel

RM = radius of turn of outer main wheel

WN = distance from aeroplane centre-line to outer nose wheel

WM = distance from aeroplane centre-line to outer main wheel

WB = wheel base

α = steering angle.

GM1 CAT.POL.A.205 Take-off

RUNWAY SURFACE CONDITION

- (a) Operation on runways contaminated with water, slush, snow or ice implies uncertainties with regard to runway friction and contaminant drag and, therefore, to the achievable performance and control of the aeroplane during take-off, since the actual conditions may not completely match the assumptions on which the performance information is based. In the case of a contaminated runway, the first option for the commander is to wait until the runway is cleared. If this is impracticable, he/she may consider a take-off, provided that he/she has applied the

applicable performance adjustments, and any further safety measures he/she considers justified under the prevailing conditions.

- (b) An adequate overall level of safety will only be maintained if operations in accordance with AMC 25.1591 or equivalent are limited to rare occasions. Where the frequency of such operations on contaminated runways is not limited to rare occasions, the operator should provide additional measures ensuring an equivalent level of safety. Such measures could include special crew training, additional distance factoring and more restrictive wind limitations.

AMC1 CAT.POL.A.210 Take-off obstacle clearance

TAKE-OFF OBSTACLE CLEARANCE

- (a) In accordance with the definitions used in preparing the take-off distance and take-off flight path data provided in the AFM:
 - (1) The net take-off flight path is considered to begin at a height of 35 ft above the runway or clearway at the end of the take-off distance determined for the aeroplane in accordance with (b) below.
 - (2) The take-off distance is the longest of the following distances:
 - (i) 115 % of the distance with all engines operating from the start of the take-off to the point at which the aeroplane is 35 ft above the runway or clearway;
 - (ii) the distance from the start of the take-off to the point at which the aeroplane is 35 ft above the runway or clearway assuming failure of the critical engine occurs at the point corresponding to the decision speed (V_1) for a dry runway; or
 - (iii) if the runway is wet or contaminated, the distance from the start of the take-off to the point at which the aeroplane is 15 ft above the runway or clearway assuming failure of the critical engine occurs at the point corresponding to the decision speed (V_1) for a wet or contaminated runway.
- (b) The net take-off flight path, determined from the data provided in the AFM in accordance with (a)(1) and (a)(2), should clear all relevant obstacles by a vertical distance of 35 ft. When taking off on a wet or contaminated runway and an engine failure occurs at the point corresponding to the decision speed (V_1) for a wet or contaminated runway, this implies that the aeroplane can initially be as much as 20 ft below the net take-off flight path in accordance with (a) and, therefore, may clear close-in obstacles by only 15 ft. When taking off on wet or contaminated runways, the operator should exercise special care with respect to obstacle assessment, especially if a take-off is obstacle-limited and the obstacle density is high.

AMC2 CAT.POL.A.210 Take-off obstacle clearance

EFFECT OF BANK ANGLES

- (a) The AFM generally provides a climb gradient decrement for a 15° bank turn. For bank angles of less than 15°, a proportionate amount should be applied unless the manufacturer or AFM has provided other data.
- (b) Unless otherwise specified in the AFM or other performance or operating manuals from the manufacturer, acceptable adjustments to assure adequate stall margins and gradient corrections are provided by the following table:

Table 1

Effect of bank angles

| Bank | Speed | Gradient correction |
|------|------------------------|---------------------------|
| 15° | V ₂ | 1 x AFM 15° gradient loss |
| 20° | V ₂ + 5 kt | 2 x AFM 15° gradient loss |
| 25° | V ₂ + 10 kt | 3 x AFM 15° gradient loss |

AMC3 CAT.POL.A.210 Take-off obstacle clearance

REQUIRED NAVIGATIONAL ACCURACY

- (a) Navigation systems

The obstacle accountability semi-widths of 300 m and 600 m may be used if the navigation system under OEI conditions provides a two standard deviation accuracy of 150 m and 300 m respectively.
- (b) Visual course guidance
 - (1) The obstacle accountability semi-widths of 300 m and 600 m may be used where navigational accuracy is ensured at all relevant points on the flight path by use of external references. These references may be considered visible from the flight crew compartment if they are situated more than 45° either side of the intended track and with a depression of not greater than 20° from the horizontal.
 - (2) For visual course guidance navigation, the operator should ensure that the weather conditions prevailing at the time of operation, including ceiling and visibility, are such that the obstacle and/or ground reference points can be seen and identified. The operations manual should specify, for the aerodrome(s) concerned, the minimum weather conditions which enable the flight crew to continuously determine and maintain the correct flight path with respect to ground reference points, so as to provide a safe clearance with respect to obstructions and terrain as follows:
 - (i) the procedure should be well-defined with respect to ground reference points so that the track to be flown can be analysed for obstacle clearance requirements;
 - (ii) the procedure should be within the capabilities of the aeroplane with respect to forward speed, bank angle and wind effects;
 - (iii) a written and/or pictorial description of the procedure should be provided for crew use; and

- (iv) the limiting environmental conditions (such as wind, the lowest cloud base, ceiling, visibility, day/night, ambient lighting, obstruction lighting) should be specified.

GM1 CAT.POL.A.210 Take-off obstacle clearance

CONTINGENCY PROCEDURES FOR OBSTACLES CLEARANCES

If compliance with **CAT.POL.A.210** is based on an engine failure route that differs from the all engine departure route or SID normal departure, a 'deviation point' can be identified where the engine failure route deviates from the normal departure route. Adequate obstacle clearance along the normal departure route with failure of the critical engine at the deviation point will normally be available. However, in certain situations the obstacle clearance along the normal departure route may be marginal and should be checked to ensure that, in case of an engine failure after the deviation point, a flight can safely proceed along the normal departure route.

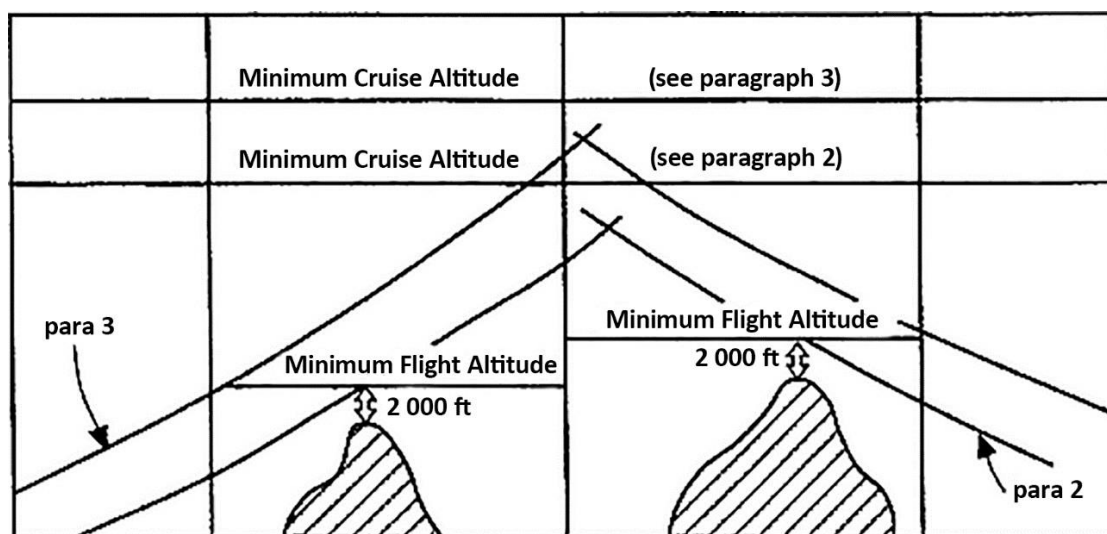
AMC1 CAT.POL.A.215 En-route – one-engine-inoperative (OEI)

ROUTE ANALYSIS

- (a) The high terrain or obstacle analysis required should be carried out by a detailed analysis of the route.
- (b) A detailed analysis of the route should be made using contour maps of the high terrain and plotting the highest points within the prescribed corridor's width along the route. The next step is to determine whether it is possible to maintain level flight with OEI 1 000 ft above the highest point of the crossing. If this is not possible, or if the associated weight penalties are unacceptable, a drift down procedure should be worked out, based on engine failure at the most critical point and clearing critical obstacles during the drift down by at least 2 000 ft. The minimum cruise altitude is determined by the intersection of the two drift down paths, taking into account allowances for decision making (see Figure 1). This method is time-consuming and requires the availability of detailed terrain maps.
- (c) Alternatively, the published minimum flight altitudes (MEA or minimum off-route altitude (MORA)) should be used for determining whether OEI level flight is feasible at the minimum flight altitude, or if it is necessary to use the published minimum flight altitudes as the basis for the drift down construction (see Figure 1). This procedure avoids a detailed high terrain contour analysis, but could be more penalising than taking the actual terrain profile into account as in (b).
- (d) In order to comply with **CAT.POL.A.215** (c), one means of compliance is the use of MORA and, with CAT.POL.A.215 (d), MEA provided that the aeroplane meets the navigational equipment standard assumed in the definition of MEA.

Figure 1

Intersection of the two drift down paths



Note: MEA or MORA normally provide the required 2 000 ft obstacle clearance for drift down. However, at and below 6 000 ft altitude, MEA and MORA cannot be used directly as only 1 000 ft clearance is ensured.

AMC1 CAT.POL.A.225 Landing – destination and alternate aerodromes

ALTITUDE MEASURING

The operator should use either pressure altitude or geometric altitude for its operation and this should be reflected in the operations manual.

AMC2 CAT.POL.A.225 Landing – destination and alternate aerodromes

MISSED APPROACH

- (a) For instrument approaches with a missed approach climb gradient greater than 2.5 %, the operator should verify that the expected landing mass of the aeroplane allows for a missed approach with a climb gradient equal to or greater than the applicable missed approach gradient in the OEI missed approach configuration and at the associated speed.
- (b) For instrument approaches with DH below 200 ft, the operator should verify that the expected landing mass of the aeroplane allows a missed approach gradient of climb, with the critical engine failed and with the speed and configuration used for a missed approach of at least 2.5 %, or the published gradient, whichever is greater.

GM1 CAT.POL.A.225 Landing – destination and alternate aerodromes

MISSED APPROACH GRADIENT

- (a) Where an aeroplane cannot achieve the missed approach gradient specified in **AMC2 CAT.POL.A.225**, when operating at or near maximum certificated landing mass and in engine-out conditions, the operator has the opportunity to propose an alternative means of compliance to the CAA demonstrating that a missed approach can be executed safely taking into account appropriate mitigating measures.
- (b) The proposal for an alternative means of compliance may involve the following:
 - (1) considerations to mass, altitude and temperature limitations and wind for the missed approach;
 - (2) a proposal to increase the DA/H or MDA/H; and
 - (3) a contingency procedure ensuring a safe route and avoiding obstacles.

AMC1 CAT.POL.A.230 Landing – dry runways

FACTORING OF AUTOMATIC LANDING DISTANCE PERFORMANCE DATA

In those cases where the landing requires the use of an automatic landing system, and the distance published in the AFM includes safety margins equivalent to those contained in **CAT.POL.A.230(a)(1)** and **CAT.POL.A.235**, the landing mass of the aeroplane should be the lesser of:

- (a) the landing mass determined in accordance with **CAT.POL.A.230(a)(1)** or **CAT.POL.A.235** as appropriate; or
- (b) the landing mass determined for the automatic landing distance for the appropriate surface condition, as given in the AFM or equivalent document. Increments due to system features such as beam location or elevations, or procedures such as use of overspeed, should also be included.

GM1 CAT.POL.A.230 Landing – dry runways

LANDING MASS

CAT.POL.A.230 establishes two considerations in determining the maximum permissible landing mass at the destination and alternate aerodromes:

- (a) Firstly, the aeroplane mass will be such that on arrival the aeroplane can be landed within 60 % or 70 % (as applicable) of the landing distance available (LDA) on the most favourable (normally the longest) runway in still air. Regardless of the wind conditions, the maximum landing mass for an aerodrome/aeroplane configuration at a particular aerodrome cannot be exceeded.
- (b) Secondly, consideration should be given to anticipated conditions and circumstances. The expected wind, or ATC and noise abatement procedures, may indicate the use of a different runway. These factors may result in a lower landing mass than that permitted under (a), in which case dispatch should be based on this lesser mass.
- (c) The expected wind referred to in (b) is the wind expected to exist at the time of arrival.

CHAPTER 3 – PERFORMANCE CLASS B

AMC1 CAT.POL.A.305 Take-off

RUNWAY SURFACE CONDITION

- (a) Unless otherwise specified in the AFM or other performance or operating manuals from the manufacturer, the variables affecting the take-off performance and the associated factors that should be applied to the AFM data are shown in Table 1 below. They should be applied in addition to the operational factors as prescribed in **CAT.POL.A.305**.

Table 1

Runway surface condition — Variables

| Surface type | Condition | Factor |
|----------------------|-----------|--------|
| Grass (on firm soil) | Dry | 1.2 |
| up to 20 cm long | Wet | 1.3 |
| Paved | Wet | 1.0 |

- (b) The soil should be considered firm when there are wheel impressions but no rutting.
- (c) When taking off on grass with a single-engined aeroplane, care should be taken to assess the rate of acceleration and consequent distance increase.
- (d) When making a rejected take-off on very short grass that is wet and with a firm subsoil, the surface may be slippery, in which case the distances may increase significantly.

AMC2 CAT.POL.A.305 Take-off

RUNWAY SLOPE

Unless otherwise specified in the AFM, or other performance or operating manuals from the manufacturer, the take-off distance should be increased by 5 % for each 1 % of upslope except that correction factors for runways with slopes in excess of 2 % should only be applied when the operator has demonstrated to the CAA that the necessary data in the AFM or the operations manual contain the appropriated procedures and the crew is trained to take-off in runway with slopes in excess of 2 %.

GM1 CAT.POL.A.305 Take-off

RUNWAY SURFACE CONDITION

- (a) Due to the inherent risks, operations from contaminated runways are inadvisable, and should be avoided whenever possible. Therefore, it is advisable to delay the take-off until the runway is cleared.
- (b) Where this is impracticable, the commander should also consider the excess runway length available including the criticality of the overrun area.

AMC1 CAT.POL.A.310 Take-off obstacle clearance – multi-engined aeroplanes

TAKE-OFF FLIGHT PATH — VISUAL COURSE GUIDANCE NAVIGATION

- (a) In order to allow visual course guidance navigation, the weather conditions prevailing at the time of operation, including ceiling and visibility, should be such that the obstacle and/or ground reference points can be seen and identified. For VFR operations by night, the visual course guidance should be considered available when the flight visibility is 1 500 m or more.
- (b) The operations manual should specify, for the aerodrome(s) concerned, the minimum weather conditions that enable the flight crew to continuously determine and maintain the correct flight path with respect to ground reference points so as to provide a safe clearance with respect to obstructions and terrain as follows:
 - (1) the procedure should be well defined with respect to ground reference points so that the track to be flown can be analysed for obstacle clearance requirements;
 - (2) the procedure should be within the capabilities of the aeroplane with respect to forward speed, bank angle and wind effects;
 - (3) a written and/or pictorial description of the procedure should be provided for crew use; and
 - (4) the limiting environmental conditions should be specified (e.g. wind, cloud, visibility, day/night, ambient lighting, obstruction lighting).

AMC2 CAT.POL.A.310 Take-off obstacle clearance – multi-engined aeroplanes

TAKE-OFF FLIGHT PATH CONSTRUCTION

- (a) For demonstrating that the aeroplane clears all obstacles vertically, a flight path should be constructed consisting of an all-engines segment to the assumed engine failure height, followed by an engine-out segment. Where the AFM does not contain the appropriate data, the approximation given in (b) may be used for the all-engines segment for an assumed engine failure height of 200 ft, 300 ft, or higher.
- (b) Flight path construction
 - (1) All-engines segment (50 ft to 300 ft)

The average all-engines gradient for the all-engines flight path segment starting at an altitude of 50 ft at the end of the take-off distance ending at or passing through the 300 ft point is given by the following formula:

$$Y_{300} = \frac{0.57(Y_{ERC})}{1 + (V_{ERC}^2 - V_2^2)/5647}$$

The factor of 0.77 as required by **CAT.POL.A.310** is already included

where: Y_{300} = average all-engines gradient from 50 ft to 300 ft;

Y_{ERC} = scheduled all engines en-route gross climb gradient;

V_{ERC} = en-route climb speed, all engines knots true airspeed (TAS);

V_2 = take-off speed at 50 ft, knots TAS;

(2) All-engines segment (50 ft to 200 ft)

This may be used as an alternative to (b)(1) where weather minima permit. The average all-engines gradient for the all-engines flight path segment starting at an altitude of 50 ft at the end of the take-off distance ending at or passing through the 200 ft point is given by the following formula:

$$Y_{200} = \frac{0.51(Y_{ERC})}{1 + (V_{ERC}^2 - V_2^2)/3388}$$

The factor of 0.77 as required by **CAT.POL.A.310** is already included

where: Y_{200} = average all-engines gradient from 50 ft to 200 ft;

Y_{ERC} = scheduled all engines en-route gross climb gradient;

V_{ERC} = en-route climb speed, all engines, knots TAS;

V_2 = take-off speed at 50 ft, knots TAS.

(3) All-engines segment (above 300 ft)

The all-engines flight path segment continuing from an altitude of 300 ft is given by the AFM en-route gross climb gradient, multiplied by a factor of 0.77.

(4) The OEI flight path

The OEI flight path is given by the OEI gradient chart contained in the AFM.

GM1 CAT.POL.A.310 Take-off obstacle clearance – multi-engined aeroplanes

OBSTACLE CLEARANCE IN LIMITED VISIBILITY

- (a) Unlike the Certification Specifications applicable for performance class A aeroplanes, those for performance class B aeroplanes do not necessarily provide for engine failure in all phases of flight. It is accepted that performance accountability for engine failure need not be considered until a height of 300 ft is reached.
- (b) The weather minima given up to and including 300 ft imply that if a take-off is undertaken with minima below 300 ft, an OEI flight path should be plotted starting on the all-engines take-off flight path at the assumed engine failure height. This path should meet the vertical and lateral obstacle clearance specified in **CAT.POL.A.310**. Should engine failure occur below this height, the associated visibility is taken as being the minimum that would enable the pilot to make, if necessary, a forced landing broadly in the direction of the take-off. At or below 300 ft, a circle and land procedure is extremely inadvisable. The weather minima provisions specify that, if the assumed engine failure height is more than 300 ft, the visibility should be at least 1 500 m and, to allow for manoeuvring, the same minimum visibility should apply whenever the obstacle clearance criteria for a continued take-off cannot be met.

GM2 CAT.POL.A.310 Take-off obstacle clearance – multi-engined aeroplanes

TAKE-OFF FLIGHT PATH CONSTRUCTION

(a) This GM provides examples to illustrate the method of take-off flight path construction given in **AMC2 CAT.POL.A.310**. The examples are based on an aeroplane for which the AFM shows, at a given mass, altitude, temperature and wind component the following performance data:

- factored take-off distance – 1 000 m;
- take-off speed, V_2 – 90 kt;
- en-route climb speed, V_{ERC} – 120 kt;
- en-route all-engines climb gradient, Y_{ERC} – 0.2;
- en-route OEI climb gradient, Y_{ERC-1} – 0.032.

(1) Assumed engine failure height 300 ft

The average all-engines gradient from 50 ft to 300 ft may be read from Figure 1 or calculated with the following formula:

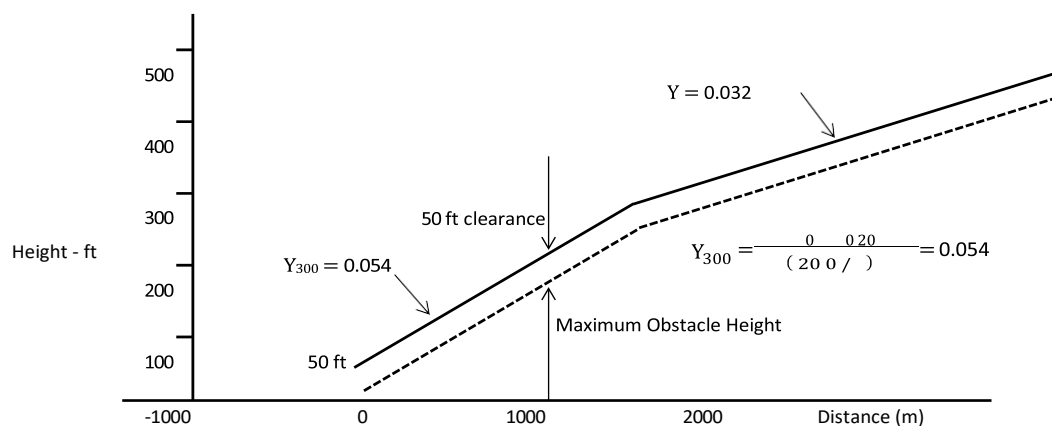
$$Y_{300} = \frac{0.57(Y_{ERC})}{1 + (V_{ERC}^2 - V_2^2)/5647}$$

The factor of 0.77 as required by **CAT.POL.A.310** is already included where:

- Y_{300} = average all-engines gradient from 50 ft to 300 ft;
- Y_{ERC} = scheduled all engines en-route gross climb gradient;
- V_{ERC} = en-route climb speed, all engines knots TAS; and
- V_2 = take-off speed at 50 ft, knots TAS.

Figure 1

Assumed engine failure height 300 ft



(2) Assumed engine failure height 200 ft

The average all-engines gradient from 50 ft to 200 ft may be read from Figure 2 or calculated with the following formula:

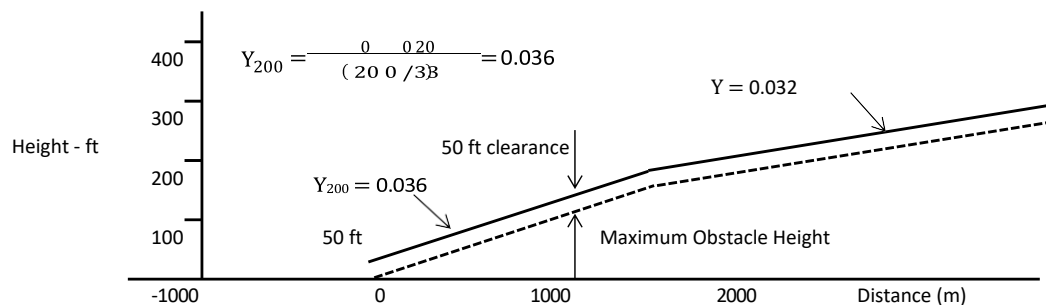
$$Y_{200} = \frac{0.51(Y_{ERC})}{1 + (V_{ERC}^2 - V_2^2)/3388}$$

The factor of 0.77 as required by **CAT.POL.A.310** is already included where:

- Y_{200} = average all-engines gradient from 50 ft to 200 ft;
- Y_{ERC} = scheduled all engines en-route gross gradient;
- V_{ERC} = en-route climb speed, all engines, knots TAS; and
- V_2 = take-off speed at 50 ft, knots TAS.

Figure 2

Assumed engine failure height 200 ft



(3) Assumed engine failure height less than 200 ft

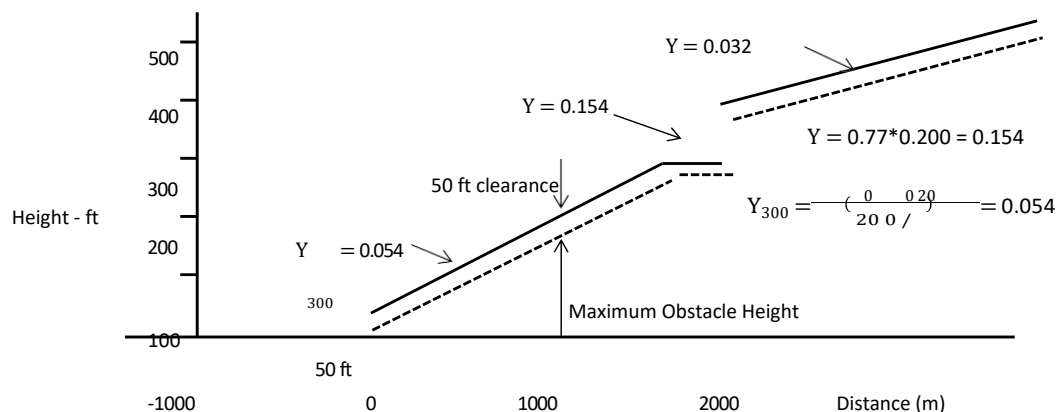
Construction of a take-off flight path is only possible if the AFM contains the required flight path data.

(4) Assumed engine failure height more than 300 ft

The construction of a take-off flight path for an assumed engine failure height of 400 ft is illustrated below.

Figure 3

Assumed engine failure height less than 200 ft



GM1 CAT.POL.A.315 En-route – multi-engined aeroplanes

CRUISING ALTITUDE

- (a) The altitude at which the rate of climb equals 300 ft per minute is not a restriction on the maximum cruising altitude at which the aeroplane can fly in practice, it is merely the maximum altitude from which the driftdown procedure can be planned to start.
- (b) Aeroplanes may be planned to clear en-route obstacles assuming a driftdown procedure, having first increased the scheduled en-route OEI descent data by 0.5 % gradient.

AMC1 CAT.POL.A.320 En-route – single-engined aeroplanes

ENGINE FAILURE

CAT.POL.A.320 requires the operator not approved by the CAA in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, and not making use of a risk period, to ensure that in the event of an engine failure, the aeroplane should be capable of reaching a point from which a safe forced landing can be made. Unless otherwise specified by the CAA, this point should be 1 000 ft above the intended landing area.

GM1 CAT.POL.A.320 En-route – single-engined aeroplanes

ENGINE FAILURE

Considerations for the operator not approved by the CAA in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, and not making use of a risk period:

- (a) In the event of an engine failure, single-engined aeroplanes have to rely on gliding to a point suitable for a safe forced landing. Such a procedure is clearly incompatible with flight above a cloud layer that extends below the relevant minimum safe altitude.
- (b) The operator should first increase the scheduled engine-inoperative gliding performance data by 0.5 % gradient when verifying the en-route clearance of obstacles and the ability to reach a suitable place for a forced landing.
- (c) The altitude at which the rate of climb equals 300 ft per minute is not a restriction on the maximum cruising altitude at which the aeroplane can fly in practice, it is merely the maximum altitude from which the engine-inoperative procedure can be planned to start.

GM2 CAT.POL.A.320 En-route – single-engined aeroplanes

RISK PERIOD

In the context of commercial air transport operations with single-engined turbine aeroplanes in instrument meteorological conditions or at night (CAT SET-IMC), a risk period is a period of flight during which no landing site has been selected by the operator.

AMC1 CAT.POL.A.325 Landing – destination and alternate aerodromes

ALTITUDE MEASURING

The operator should use either pressure altitude or geometric altitude for its operation and this should be reflected in the operations manual.

AMC1 CAT.POL.A.330 Landing – dry runways

LANDING DISTANCE CORRECTION FACTORS

- (a) Unless otherwise specified in the AFM, or other performance or operating manuals from the manufacturers, the variable affecting the landing performance and the associated factor that should be applied to the AFM data are shown in the table below. It should be applied in addition to the operational factors as prescribed in **CAT.POL.A.330(a)**.

Table 1

Landing distance correction factors

| Surface type | Factor |
|---------------------------------------|--------|
| Grass (on firm soil up to 20 cm long) | 1.15 |

- (b) The soil should be considered firm when there are wheel impressions but no rutting.

AMC2 CAT.POL.A.330 Landing – dry runways

RUNWAY SLOPE

Unless otherwise specified in the AFM, or other performance or operating manuals from the manufacturer, the landing distances required should be increased by 5 % for each 1 % of downslope.

GM1 CAT.POL.A.330 Landing — dry runways

LANDING MASS

CAT.POL.A.330 establishes two considerations in determining the maximum permissible landing mass at the destination and alternate aerodromes.

- (a) Firstly, the aeroplane mass will be such that on arrival the aeroplane can be landed within 70 % of the LDA on the most favourable (normally the longest) runway in still air. Regardless of the wind conditions, the maximum landing mass for an aerodrome/aeroplane configuration at a particular aerodrome cannot be exceeded.
- (b) Secondly, consideration should be given to anticipated conditions and circumstances. The expected wind, or ATC and noise abatement procedures, may indicate the use of a different runway. These factors may result in a lower landing mass than that permitted under (a), in which case dispatch should be based on this lesser mass.
- (c) The expected wind referred to in (b) is the wind expected to exist at the time of arrival.

GM1 CAT.POL.A.335 Landing — wet and contaminated runways

LANDING ON WET GRASS RUNWAYS

- (a) When landing on very short grass that is wet and with a firm subsoil, the surface may be slippery, in which case the distances may increase by as much as 60 % (1.60 factor).
- (b) As it may not be possible for a pilot to determine accurately the degree of wetness of the grass, particularly when airborne, in cases of doubt, the use of the wet factor (1.15) is recommended.

CHAPTER 4 – PERFORMANCE CLASS C

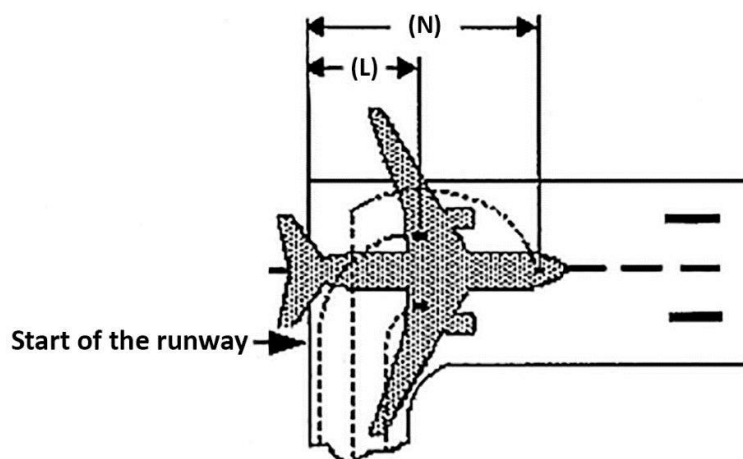
AMC1 CAT.POL.A.400 Take-off

LOSS OF RUNWAY LENGTH DUE TO ALIGNMENT

- (a) The length of the runway that is declared for the calculation of TODA, ASDA and TORA does not account for line-up of the aeroplane in the direction of take-off on the runway in use. This alignment distance depends on the aeroplane geometry and access possibility to the runway in use. Accountability is usually required for a 90°-taxiway entry to the runway and 180°-turnaround on the runway. There are two distances to be considered:
- (1) the minimum distance of the main wheels from the start of the runway for determining TODA and TORA, 'L'; and
 - (2) the minimum distance of the most forward wheel(s) from the start of the runway for determining ASDA, 'N'.

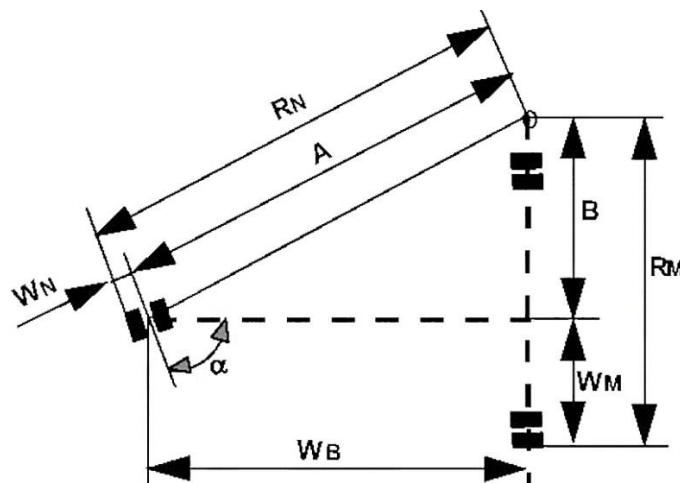
Figure 1

Line-up of the aeroplane in the direction of take-off — L and N



Where the aeroplane manufacturer does not provide the appropriate data, the calculation method given in (b) may be used to determine the alignment distance.

- (b) Alignment distance calculation



The distances mentioned in (a)(1) and (a)(2) above are:

| | 90°-entry | 180°-turnaround |
|-----|-------------|-----------------|
| L = | RM + X | RN + Y |
| N = | RM + X + WB | RN + Y + WB |

where:

$$RN = A + WN = \frac{W_B}{\cos(90^\circ - \alpha)}$$

RM = B + WM = WB tan(90°-α) + WM

X = safety distance of outer main wheel during turn to the edge of the runway Y

= safety distance of outer nose wheel during turn to the edge of the runway

Note: Minimum edge safety distances for X and Y are specified in FAA AC 150/5300-13 and ICAO Annex 14, 3.8.3

RN = radius of turn of outer nose wheel

RM = radius of turn of outer main wheel

WN = distance from aeroplane centre-line to outer nose wheel

WM = distance from aeroplane centre-line to outer main wheel

WB = wheel base

α = steering angle.

AMC2 CAT.POL.A.400 Take-off

RUNWAY SLOPE

Unless otherwise specified in the AFM, or other performance or operating manuals from the manufacturers, the take-off distance should be increased by 5 % for each 1 % of upslope. However, correction factors for runways with slopes in excess of 2 % should only be applied when:

- the operator has demonstrated to the CAA that the necessary data in the AFM or the operations manual contain the appropriated procedures; and
- the crew is trained to take-off on runways with slopes in excess of 2 %.

GM1 CAT.POL.A.400 Take-off

RUNWAY SURFACE CONDITION

Operation on runways contaminated with water, slush, snow or ice implies uncertainties with regard to runway friction and contaminant drag and, therefore, to the achievable performance and control of the aeroplane during take-off, since the actual conditions may not completely match the assumptions on which the performance information is based. An adequate overall level of safety can, therefore, only be maintained if such operations are limited to rare occasions. In case of a contaminated runway, the first option for the commander is to wait until the runway is cleared. If this is impracticable, he/she may consider a take-off, provided that he/she has applied the applicable performance adjustments, and any further safety measures he/she considers justified under the

prevailing conditions.

AMC1 CAT.POL.A.405 Take-off obstacle clearance

EFFECT OF BANK ANGLES

- (a) The AFM generally provides a climb gradient decrement for a 15° bank turn. Unless otherwise specified in the AFM or other performance or operating manuals from the manufacturer, acceptable adjustments to assure adequate stall margins and gradient corrections are provided by the following:

Table 1

Effect of bank angles

| Bank | Speed | Gradient correction |
|------|------------------------|---------------------------|
| 15° | V ₂ | 1 x AFM 15° gradient loss |
| 20° | V ₂ + 5 kt | 2 x AFM 15° gradient loss |
| 25° | V ₂ + 10 kt | 3 x AFM 15° gradient loss |

- (b) For bank angles of less than 15°, a proportionate amount may be applied, unless the manufacturer or AFM has provided other data.

AMC2 CAT.POL.A.405 Take-off obstacle clearance

REQUIRED NAVIGATIONAL ACCURACY

- (a) Navigation systems

The obstacle accountability semi-widths of 300 m and 600 m may be used if the navigation system under OEI conditions provides a two-standard deviation accuracy of 150 m and 300 m respectively.

- (b) Visual course guidance

- (1) The obstacle accountability semi-widths of 300 m and 600 m may be used where navigational accuracy is ensured at all relevant points on the flight path by use of external references. These references may be considered visible from the flight crew compartment if they are situated more than 45° either side of the intended track and with a depression of not greater than 20° from the horizontal.
- (2) For visual course guidance navigation, the operator should ensure that the weather conditions prevailing at the time of operation, including ceiling and visibility, are such that the obstacle and/or ground reference points can be seen and identified. The operations manual should specify, for the aerodrome(s) concerned, the minimum weather conditions that enable the flight crew to continuously determine and maintain the correct flight path with respect to ground reference points, so as to provide a safe clearance with respect to obstructions and terrain as follows:
 - (i) the procedure should be well defined with respect to ground reference points so that the track to be flown can be analysed for obstacle clearance requirements;
 - (ii) the procedure should be within the capabilities of the aeroplane with respect to forward speed, bank angle and wind effects;

- (iii) a written and/or pictorial description of the procedure should be provided for crew use; and
- (iv) the limiting environmental conditions (such as wind, the lowest cloud base, ceiling, visibility, day/night, ambient lighting, obstruction lighting) should be specified.

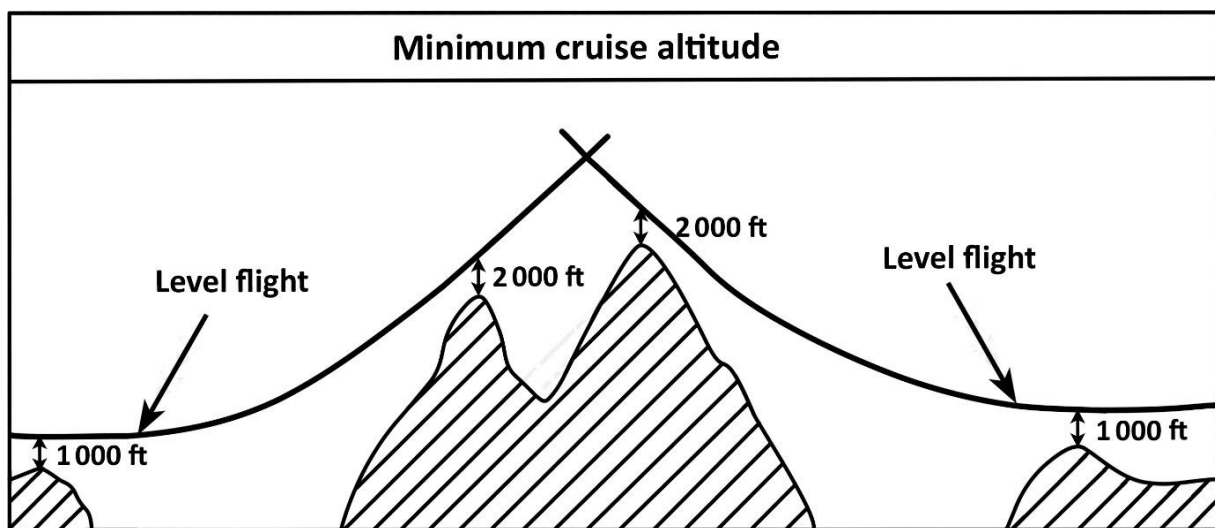
AMC1 CAT.POL.A.415 En-route – OEI

ROUTE ANALYSIS

The high terrain or obstacle analysis should be carried out by making a detailed analysis of the route using contour maps of the high terrain, and plotting the highest points within the prescribed corridor width along the route. The next step is to determine whether it is possible to maintain level flight with OEI 1 000 ft above the highest point of the crossing. If this is not possible, or if the associated weight penalties are unacceptable, a drift down procedure must be evaluated, based on engine failure at the most critical point, and must show obstacle clearance during the drift down by at least 2 000 ft. The minimum cruise altitude is determined from the drift down path, taking into account allowances for decision making, and the reduction in the scheduled rate of climb (See Figure 1).

Figure 1

Intersection of the drift down paths



AMC1 CAT.POL.A.425 Landing – destination and alternate aerodromes

ALTITUDE MEASURING

The operator should use either pressure altitude or geometric altitude for its operation and this should be reflected in the operations manual.

AMC1 CAT.POL.A.430 Landing – dry runways

LANDING DISTANCE CORRECTION FACTORS

- (a) Unless otherwise specified in the AFM or other performance or operating manuals from the manufacturers, the variables affecting the landing performance and the associated factors to be applied to the AFM data are shown in the table below. It should be applied in addition to the factor specified in **CAT.POL.A.430**.

Table 1

Landing distance correction factor

| Surface type | factor |
|---------------------------------------|--------|
| Grass (on firm soil up to 20 cm long) | 1.2 |

- (b) The soil should be considered firm when there are wheel impressions, but no rutting.

AMC2 CAT.POL.A.430 Landing – dry runways

RUNWAY SLOPE

Unless otherwise specified in the AFM, or other performance or operating manuals from the manufacturer, the landing distances required should be increased by 5 % for each 1 % of downslope.

GM1 CAT.POL.A.430 Landing – dry runways

LANDING MASS

CAT.POL.A.430 establishes two considerations in determining the maximum permissible landing mass at the destination and alternate aerodromes.

- (a) Firstly, the aeroplane mass will be such that on arrival the aeroplane can be landed within 70 % of the LDA on the most favourable (normally the longest) runway in still air. Regardless of the wind conditions, the maximum landing mass for an aerodrome/aeroplane configuration at a particular aerodrome cannot be exceeded.
- (b) Secondly, consideration should be given to anticipated conditions and circumstances. The expected wind, or ATC and noise abatement procedures may indicate the use of a different runway. These factors may result in a lower landing mass than that permitted under (a), in which case dispatch should be based on this lesser mass.
- (c) The expected wind referred to in (b) is the wind expected to exist at the time of arrival.

SECTION 2 – HELICOPTERS

CHAPTER 1 –GENERAL REQUIREMENTS

GM1 CAT.POL.H.105(c)(3)(ii)(A) General

REPORTED HEADWIND COMPONENT

The reported headwind component should be interpreted as being that reported at the time of flight planning and may be used, provided there is no significant change of unfactored wind prior to take-off.

GM1 CAT.POL.H.110(a)(2)(i) Obstacle accountability

COURSE GUIDANCE

Standard course guidance includes automatic direction finder (ADF) and VHF omnidirectional radio range (VOR) guidance.

Accurate course guidance includes ILS, MLS or other course guidance providing an equivalent navigational accuracy.

CHAPTER 2 – PERFORMANCE CLASS 1

GM1 CAT.POL.H.200 & CAT.POL.H.300 & CAT.POL.H.400 General

CATEGORY A AND CATEGORY B

- (a) Helicopters that have been certified according to any of the following standards are considered to satisfy the Category A criteria. Provided that they have the necessary performance information scheduled in the AFM, such helicopters are, therefore, eligible for performance class 1 or 2 operations:
- (1) certification as Category A under CS-27 or CS-29;
 - (2) certification as Category A under JAR-27 or JAR-29;
 - (3) certification as Category A under FAR Part 29;
 - (4) certification as group A under BCAR Section G; and
 - (5) certification as group A under BCAR-29.
- (b) In addition to the above, certain helicopters have been certified under FAR Part 27 and with compliance with FAR Part 29 engine isolation requirements as specified in FAA Advisory Circular AC 27-1. Provided that compliance is established with the following additional requirements of CS-29:
- (1) CS 29.1027(a) Independence of engine and rotor drive system lubrication;
 - (2) CS 29.1187(e);
 - (3) CS 29.1195(a) & (b) Provision of a one-shot fire extinguishing system for each engine;
 - (i) The requirement to fit a fire extinguishing system may be waived if the helicopter manufacturer can demonstrate equivalent safety, based on service experience for the entire fleet showing that the actual incidence of fires in the engine fire zones has been negligible.
 - (4) CS 29.1197;
 - (5) CS 29.1199;
 - (6) CS 29.1201; and
 - (7) CS 29.1323(c)(1) Ability of the airspeed indicator to consistently identify the take-off decision point,
- these helicopters are considered to satisfy the requirement to be certified as equivalent to Category A.
- (c) The performance operating rules of JAR-OPS 3, which were transposed into this Part, were drafted in conjunction with the performance requirements of JAR-29 Issue 1 and FAR Part 29 at amendment 29-39. For helicopters certificated under FAR Part 29 at an earlier amendment, or under BCAR section G or BCAR-29, performance data will have been scheduled in the AFM according to these earlier requirements. This earlier scheduled data may not be fully compatible with this Part.
- (d) Before any AOC is issued under which performance class 1 or 2 operations are conducted, it should be established that scheduled performance data are available that are compatible with the requirements of performance class 1 and 2 respectively.

- (e) Any properly certified helicopter is considered to satisfy the Category B criteria. If appropriately equipped (in accordance with CAT.IDE.H), such helicopters are, therefore, eligible for performance class 3 operations.

AMC1 CAT.POL.H.205(b)(4) Take-off

THE APPLICATION OF TODRH

The selected height should be determined with the use of AFM data, and be at least 10.7 m (35 ft) above:

- (a) the take-off surface; or
- (b) as an alternative, a level height defined by the highest obstacle in the take-off distance required.

GM1 CAT.POL.H.205(b)(4) Take-off

THE APPLICATION OF TODRH

- (a) Introduction

Original definitions for helicopter performance were derived from aeroplanes; hence, the definition of take-off distance owes much to operations from runways. Helicopters on the other hand can operate from runways, confined and restricted areas and rooftop FATOs — all bounded by obstacles. As an analogy, this is equivalent to a take-off from a runway with obstacles on and surrounding it.

It can, therefore, be said that unless the original definitions from aeroplanes are tailored for helicopters, the flexibility of the helicopter might be constrained by the language of operational performance.

This GM concentrates on the critical term ‘take-off distance required (TODRH)’ and describes the methods to achieve compliance with it and, in particular, the alternative procedure described in ICAO Annex 6 Attachment A 4.1.1.3:

- (1) the take-off distance required does not exceed the take-off distance available; or
- (2) as an alternative, the take-off distance required may be disregarded provided that the helicopter with the critical engine failure recognised at TDP can, when continuing the take-off, clear all obstacles between the end of the take-off distance available and the point at which it becomes established in a climb at V_{TOSS} by a vertical margin of 10.7 m (35 ft) or more. An obstacle is considered to be in the path of the helicopter if its distance from the nearest point on the surface below the intended line of flight does not exceed 30 m or 1.5 times the maximum dimension of the helicopter, whichever is greater.

- (b) Definition of TODRH

The definition of TODRH from **Annex I** is as follows:

‘Take-off distance required (TODRH)’ in the case of helicopters means the horizontal distance required from the start of the take-off to the point at which take-off safety speed (V_{TOSS}), a selected height and a positive climb gradient are achieved, following failure of the critical engine being recognised at the TDP, the remaining engines operating within approved operating limits.

AMC1 CAT.POL.H.205(b)(4) states how the specified height should be determined.

The original definition of TODRH was based only on the first part of this definition.

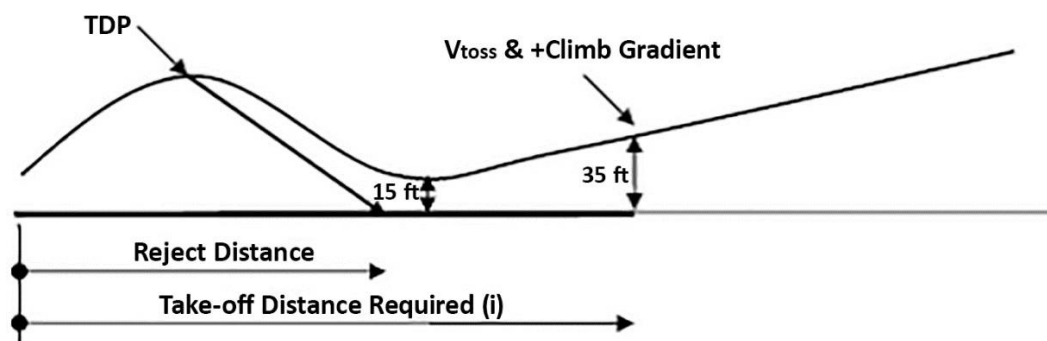
- (c) The clear area procedure (runway)

In the past, helicopters certified in Category A would have had, at the least, a 'clear area' procedure. This procedure is analogous to an aeroplane Category A procedure and assumes a runway (either metalled or grass) with a smooth surface suitable for an aeroplane take-off (see Figure 1).

The helicopter is assumed to accelerate down the FATO (runway) outside of the height velocity (HV) diagram. If the helicopter has an engine failure before TDP, it must be able to land back on the FATO (runway) without damage to helicopter or passengers; if there is a failure at or after TDP the aircraft is permitted to lose height — providing it does not descend below a specified height above the surface (usually 15 ft if the TDP is above 15 ft). Errors by the pilot are taken into consideration, but the smooth surface of the FATO limits serious damage if the error margin is eroded (e.g. by a change of wind conditions).

Figure 1

Clear Area take – off



The operator only has to establish that the distances required are within the distance available (take-off distance and reject distance). The original definition of TODRH meets this case exactly.

From the end of the TODRH obstacle clearance is given by the climb gradient of the first or second climb segment meeting the requirement of **CAT.POL.H.210** (or for performance class 2 (PC2): **CAT.POL.H.315**). The clearance margin from obstacles in the take-off flight path takes account of the distance travelled from the end of the take-off distance required and operational conditions (IMC or VMC).

(d) Category A procedures other-than-clear area

Procedures other-than-the-clear area are treated somewhat differently. However, the short field procedure is somewhat of a hybrid as either (a) or (b) of **AMC1 CAT.POL.H.205(b)(4)** can be utilised (the term 'helipad' is used in the following section to illustrate the principle only, it is not intended as a replacement for 'aerodrome' or 'FATO').

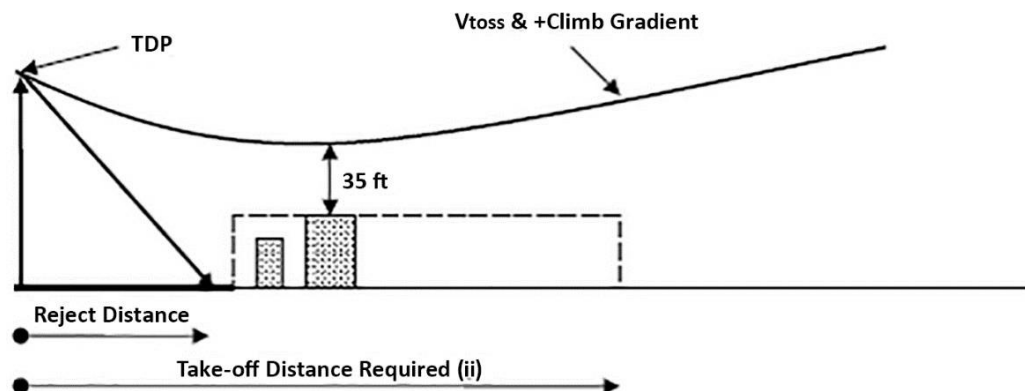
(1) Limited area, restricted area and helipad procedures (other than elevated)

The exact names of the procedure used for other-than-clear area are as many as there are manufacturers. However, principles for obstacle clearance are generic and the name is unimportant.

These procedures (see Figure 2 and Figure 3) are usually associated with an obstacle in the continued take-off area — usually shown as a line of trees or some other natural obstacle. As clearance above such obstacles is not readily associated with an accelerative procedure, as described in (c), a procedure using a vertical climb (or a steep climb in the forward, sideways or rearward direction) is utilised.

Figure 2

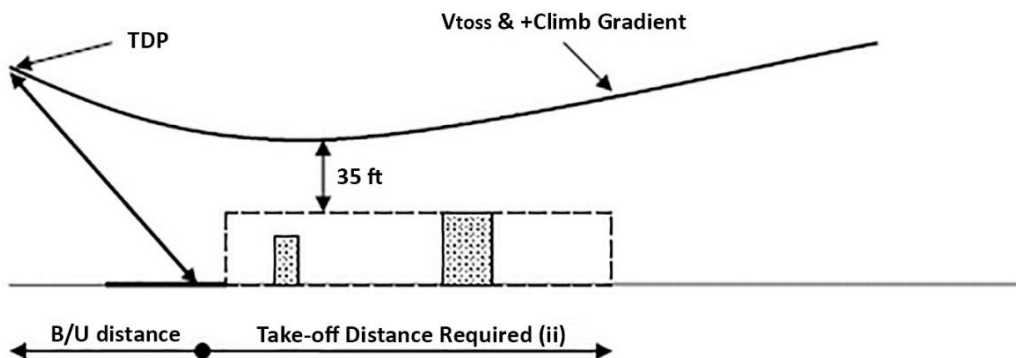
Short Field take-off



With the added complication of a TDP principally defined by height together with obstacles in the continued take off area, a drop down to within 15 ft of the take-off surface is not deemed appropriate and the required obstacle clearance is set to 35 ft (usually called 'min-dip'). The distance to the obstacle does not need to be calculated (provided it is outside the rejected distance required), as clearance above all obstacles is provided by ensuring that helicopter does not descend below the min-dip associated with a level defined by the highest obstacle in the continued take-off area.

Figure 3

Helipad take-off



These procedures depend upon (b) of **AMC1 CAT.POL.H.205(b)(4)**.

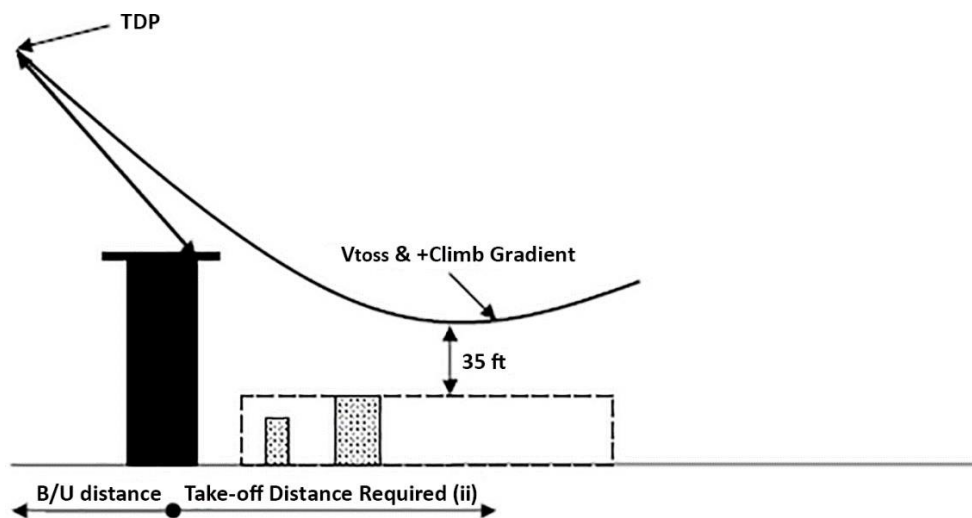
As shown in Figure 3, the point at which V_{TOSS} and a positive rate of climb are met defines the TODRH. Obstacle clearance from that point is assured by meeting the requirement of **CAT.POL.H.210** (or for PC2, **CAT.POL.H.315**). Also shown in Figure 3 is the distance behind the helipad which is the backup distance (B/U distance).

(2) Elevated helipad procedures

The elevated helipad procedure (see Figure 4) is a special case of the ground level helipad procedure discussed above.

Figure 4

Elevate Helipad take-off



The main difference is that drop down below the level of the take-off surface is permitted. In the drop down phase, the Category A procedure ensures deck-edge clearance but, once clear of the deck-edge, the 35 ft clearance from obstacles relies upon the calculation of drop down. Subparagraph (b) of **AMC1 CAT.POL.H.205(b)(4)** is applied.

Although 35 ft is used throughout the requirements, it may be inadequate at particular elevated FATOs that are subject to adverse airflow effects, turbulence, etc.

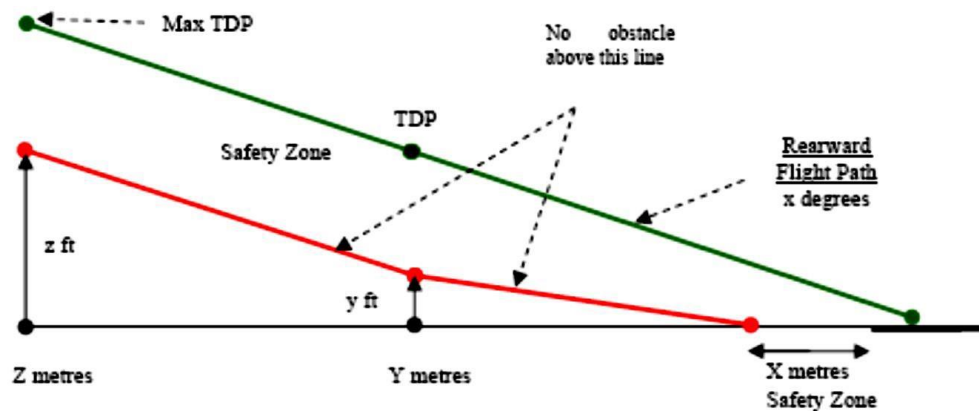
AMC1 CAT.POL.H.205(e) Take-off

OBSTACLE CLEARANCE IN THE BACKUP AREA

- (a) The requirement in **CAT.POL.H.205(e)** has been established in order to take into account the following factors:
 - (1) in the backup: the pilot has few visual cues and has to rely upon the altimeter and sight picture through the front window (if flight path guidance is not provided) to achieve an accurate rearward flight path;
 - (2) in the rejected take-off: the pilot has to be able to manage the descent against a varying forward speed whilst still ensuring an adequate clearance from obstacles until the helicopter gets in close proximity for landing on the FATO; and
 - (3) in the continued take-off; the pilot has to be able to accelerate to V_{TOSS} (take-off safety speed for Category A helicopters) whilst ensuring an adequate clearance from obstacles.
- (b) The requirements of **CAT.POL.H.205(e)** may be achieved by establishing that:
 - (1) in the backup area no obstacles are located within the safety zone below the rearward flight path when described in the AFM (see Figure 1, in the absence of such data in the AFM, the operator should contact the manufacturer in order to define a safety zone); or
 - (2) during the backup, the rejected take-off and the continued take-off manoeuvres, obstacle clearance is demonstrated to the CAA.

Figure 1

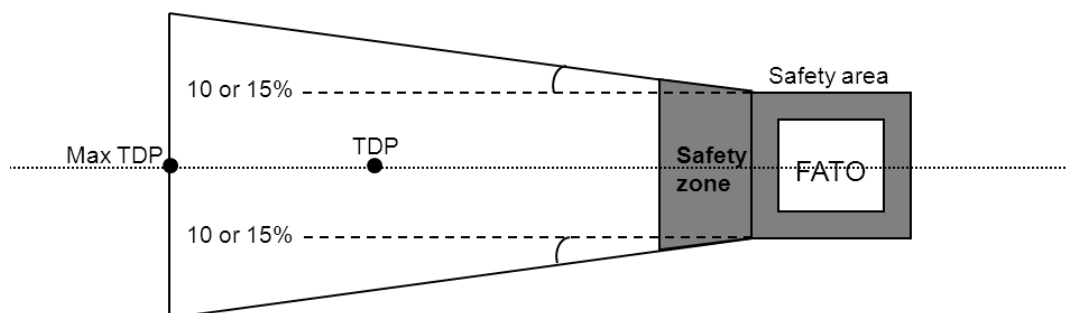
Rearward flight path



- (c) An obstacle, in the backup area, is considered if its lateral distance from the nearest point on the surface below the intended flight path is not further than:
- (1) half of the minimum FATO (or the equivalent term used in the AFM) width defined in the AFM (or, when no width is defined $0.75 D$, where D is the largest dimension of the helicopter when the rotors are turning); plus
 - (2) 0.25 times D (or 3 m, whichever is greater); plus
 - (3) 0.10 for VFR day, or 0.15 for VFR night, of the distance travelled from the back of the FATO (see Figure 2).

Figure 2

Obstacle accountability



AMC1 CAT.POL.H.205 & CAT.POL.H.220 Take-off and landing

APPLICATION FOR ALTERNATIVE TAKE-OFF AND LANDING PROCEDURES

- (a) A reduction in the size of the take-off surface may be applied when the operator has demonstrated to the CAA that compliance with the requirements of **CAT.POL.H.205**, 210 and 220 can be assured with:
- (1) a procedure based upon an appropriate Category A take-off and landing profile scheduled in the AFM;

- (2) a take-off or landing mass not exceeding the mass scheduled in the AFM for a hover-out-of-ground-effect one-engine-inoperative (HOGE OEI) ensuring that:
 - (i) following an engine failure at or before TDP, there are adequate external references to ensure that the helicopter can be landed in a controlled manner; and
 - (ii) following an engine failure at or after the landing decision point (LDP), there are adequate external references to ensure that the helicopter can be landed in a controlled manner.
- (b) An upwards shift of the TDP and LDP may be applied when the operator has demonstrated to the CAA that compliance with the requirements of **CAT.POL.H.205**, 210 and 220 can be assured with:
 - (1) a procedure based upon an appropriate Category A take-off and landing profile scheduled in the AFM;
 - (2) a take-off or landing mass not exceeding the mass scheduled in the AFM for a HOGE OEI ensuring that:
 - (i) following an engine failure at or after TDP compliance with the obstacle clearance requirements of **CAT.POL.H.205** (b)(4) and **CAT.POL.H.210** can be met; and
 - (ii) following an engine failure at or before the LDP the balked landing obstacle clearance requirements of **CAT.POL.H.220** (b) and **CAT.POL.H.210** can be met.
- (c) The Category A ground level surface area requirement may be applied at a specific elevated FATO when the operator can demonstrate to the CAA that the usable cue environment at that aerodrome/operating site would permit such a reduction in size.

GM1 CAT.POL.H.205&CAT.POL.H.220 Take-off and landing

APPLICATION FOR ALTERNATIVE TAKE-OFF AND LANDING PROCEDURES

The manufacturer's Category A procedure defines profiles and scheduled data for take-off, climb, performance at minimum operating speed and landing, under specific environmental conditions and masses.

Associated with these profiles and conditions are minimum operating surfaces, take-off distances, climb performance and landing distances; these are provided (usually in graphic form) with the take-off and landing masses and the take-off decision point (TDP) and landing decision point (LDP).

The landing surface and the height of the TDP are directly related to the ability of the helicopter — following an engine failure before or at TDP — to reject onto the surface under forced landing conditions. The main considerations in establishing the minimum size of the landing surface are the scatter during flight testing of the reject manoeuvre, with the remaining engine operating within approved limits, and the required usable cue environment.

Hence, an elevated site with few visual cues — apart from the surface itself — would require a greater surface area in order that the helicopter can be accurately positioned during the reject manoeuvre within the specified area. This usually results in the stipulation of a larger surface for an elevated site than for a ground level site (where lateral cues may be present).

This could have the unfortunate side effect that a FATO that is built 3 m above the surface (and, therefore, elevated by definition) might be out of operational scope for some helicopters — even though there might be a rich visual cue environment where rejects are not problematical. The

presence of elevated sites where ground level surface requirements might be more appropriate could be brought to the attention of the CAA.

It can be seen that the size of the surface is directly related to the requirement of the helicopter to complete a rejected take-off following an engine failure. If the helicopter has sufficient power such that a failure before or at TDP will not lead to a requirement for rejected take-off, the need for large surfaces is removed; sufficient power for the purpose of this GM is considered to be the power required for hover-out-of-ground-effect one-engine-inoperative (HOGE OEI).

Following an engine failure at or after the TDP, the continued take-off path provides OEI clearance from the take-off surface and the distance to reach a point from where climb performance in the first, and subsequent segments, is assured.

If HOGE OEI performance exists at the height of the TDP, it follows that the continued take-off profile, which has been defined for a helicopter with a mass such that a rejected take-off would be required following an engine failure at or before TDP, would provide the same, or better, obstacle clearance and the same, or less, distance to reach a point where climb performance in the first, and subsequent segments, is assured.

If the TDP is shifted upwards, provided that the HOGE OEI performance is established at the revised TDP, it will not affect the shape of the continued take-off profile but should shift the min-dip upwards by the same amount that the revised TDP has been increased — with respect to the basic TDP.

Such assertions are concerned only with the vertical or the backup procedures and can be regarded as achievable under the following circumstances:

- (a) when the procedure is flown, it is based upon a profile contained in the AFM — with the exception of the necessity to perform a rejected take-off;
- (b) the TDP, if shifted upwards (or upwards and backward in the backup procedure) will be the height at which the HOGE OEI performance is established; and
- (c) if obstacles are permitted in the backup area, they should continue to be permitted with a revised TDP.

GM1 CAT.POL.H.215(b)(3) En-route — critical engine inoperative

FUEL JETTISON

The presence of obstacles along the en-route flight path may preclude compliance with **CAT.POL.H.215 (a)(1)** at the planned mass at the critical point along the route. In this case fuel jettison at the most critical point may be planned, provided that the procedures of (c) in **AMC3 CAT.OP.MPA.150(b)** are complied with.

GM1 CAT.POL.H.205&CAT.POL.H.220 Take-off and landing

APPLICATION FOR ALTERNATIVE TAKE-OFF AND LANDING PROCEDURES

The manufacturer's Category A procedure defines profiles and scheduled data for take-off, climb, performance at minimum operating speed and landing, under specific environmental conditions and masses.

Associated with these profiles and conditions are minimum operating surfaces, take-off distances, climb performance and landing distances; these are provided (usually in graphic form) with the take-off and landing masses and the take-off decision point (TDP) and landing decision point (LDP).

The landing surface and the height of the TDP are directly related to the ability of the helicopter — following an engine failure before or at TDP — to reject onto the surface under forced landing conditions. The main considerations in establishing the minimum size of the landing surface are the scatter during flight testing of the reject manoeuvre, with the remaining engine operating within approved limits, and the required usable cue environment.

Hence, an elevated site with few visual cues — apart from the surface itself — would require a greater surface area in order that the helicopter can be accurately positioned during the reject manoeuvre within the specified area. This usually results in the stipulation of a larger surface for an elevated site than for a ground level site (where lateral cues may be present).

This could have the unfortunate side effect that a FATO that is built 3 m above the surface (and, therefore, elevated by definition) might be out of operational scope for some helicopters — even though there might be a rich visual cue environment where rejects are not problematical. The presence of elevated sites where ground level surface requirements might be more appropriate could be brought to the attention of the CAA.

It can be seen that the size of the surface is directly related to the requirement of the helicopter to complete a rejected take-off following an engine failure. If the helicopter has sufficient power such that a failure before or at TDP will not lead to a requirement for rejected take-off, the need for large surfaces is removed; sufficient power for the purpose of this GM is considered to be the power required for hover-out-of-ground-effect one-engine-inoperative (HOGE OEI).

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If HOGE OEI performance exists at the height of the TDP, it follows that the continued take-off profile, which has been defined for a helicopter with a mass such that a rejected take-off would be required following an engine failure at or before TDP, would provide the same, or better, obstacle clearance and the same, or less, distance to reach a point where climb performance in the first, and subsequent segments, is assured.

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Such assertions are concerned only with the vertical or the backup procedures and can be regarded as achievable under the following circumstances:

- (a) when the procedure is flown, it is based upon a profile contained in the AFM — with the exception of the necessity to perform a rejected take-off;
- (b) the TDP, if shifted upwards (or upwards and backward in the backup procedure) will be the height at which the HOGE OEI performance is established; and
- (c) if obstacles are permitted in the backup area, they should continue to be permitted with a revised TDP.

AMC1 CAT.POL.H.225(a)(5) Helicopter operations to/from a public interest site

HELICOPTER MASS LIMITATION

- (a) The helicopter mass limitation at take-off or landing specified in CAT.POL.H.225(a)(5) should be determined using the climb performance data from 35 ft to 200 ft at V_{TOSS} (first segment of the take-off flight path) contained in the Category A supplement of the AFM (or equivalent

manufacturer data acceptable in accordance with GM1-CAT.POL.H.200 & CAT.POL.H.300 & CAT.POL.H.400).

- (b) The first segment climb data to be considered is established for a climb at the take-off safety speed V_{TOSS} , with the landing gear extended (when the landing gear is retractable), with the critical engine inoperative and the remaining engines operating at an appropriate power rating (the 2 min 30 sec or 2 min OEI power rating, depending on the helicopter type certification). The appropriate V_{TOSS} , is the value specified in the Category A performance section of the AFM for vertical take-off and landing procedures (VTOL, helipad or equivalent manufacturer terminology).
- (c) The ambient conditions at the site (pressure-altitude and temperature) should be taken into account.
- (d) The data are usually provided in charts in one of the following ways:
 - (1) Height gain in ft over a horizontal distance of 100 ft in the first segment configuration (35 ft to 200 ft, V_{TOSS} , 2 min 30 sec/2 min OEI power rating). This chart should be entered with a height gain of 8 ft per 100 ft horizontally travelled, resulting in a mass value for every pressure-altitude/temperature combination considered.
 - (2) Horizontal distance to climb from 35 ft to 200 ft in the first segment configuration (V_{TOSS} , 2 min 30 sec/2 min OEI power rating). This chart should be entered with a horizontally distance of 628 m (2 062 ft), resulting in a mass value for every pressure-altitude/temperature combination considered.
 - (3) Rate of climb in the first segment configuration (35 ft to 200 ft, V_{TOSS} , 2 min 30 sec/2 min OEI power rating). This chart can be entered with a rate of climb equal to the climb speed (V_{TOSS}) value in knots (converted to true airspeed) multiplied by 8.1, resulting in a mass value for every pressure-altitude/temperature combination considered.

GM1 CAT.POL.H.225 Helicopter operations to/from a public interest site

UNDERLYING PRINCIPLES

(a) General

The original Joint Aviation Authorities (JAA) Appendix 1 to JAR-OPS 3.005(i) was introduced in January 2002 to address problems that had been encountered by Member States at hospital sites due to the applicable performance requirements of JAR-OPS 3 Subparts G and H. These problems were enumerated in ACJ to Appendix 1 to JAR-OPS 3.005(d) paragraph 8, part of which is reproduced below.

'8 Problems with hospital sites

During implementation of JAR-OPS 3, it was established that a number of States had encountered problems with the impact of performance rules where helicopters were operated for HEMS. Although States accept that progress should be made towards operations where risks associated with a critical power unit failure are eliminated, or limited by the exposure time concept, a number of landing sites exist which do not (or never can) allow operations to performance class 1 or 2 requirements.

These sites are generally found in a congested hostile environment:

- *in the grounds of hospitals; or*

— on hospital buildings;

The problem of hospital sites is mainly historical and, whilst the Authority could insist that such sites not be used - or used at such a low weight that critical power unit failure performance is assured, it would seriously curtail a number of existing operations.

Even though the rule for the use of such sites in hospital grounds for HEMS operations (Appendix 1 to JAR-OPS 3.005(d) sub-paragraph (c)(2)(i)(A)) attracts alleviation until 2005, it is only partial and will still impact upon present operations.

Because such operations are performed in the public interest, it was felt that the Authority should be able to exercise its discretion so as to allow continued use of such sites provided that it is satisfied that an adequate level of safety can be maintained - notwithstanding that the site does not allow operations to performance class 1 or 2 standards. However, it is in the interest of continuing improvements in safety that the alleviation of such operations be constrained to existing sites, and for a limited period.'

As stated in this ACJ and embodied in the text of the appendix, the solution was short-term (until 31 December 2004). During the commenting period of JAA NPA 18, representations were made to the JAA that the alleviation should be extended to 2009. The review committee, in not accepting this request, had in mind that this was a short-term solution to address an immediate problem, and a permanent solution should be sought.

(b) After 1 January 2005

Although elimination of such sites would remove the problem, it is recognised that phasing out, or rebuilding existing hospital sites, is a long-term goal which may not be cost-effective, or even possible, in some Member States.

It should be noted, however, that **CAT.POL.H.225(a)** limits the problem by confining approvals to hospital sites established before 1 July 2002 (established in this context means either: built before that date, or brought into service before that date — this precise wording was used to avoid problems associated with a ground level aerodrome/operating site where no building would be required). Thus the problem of these sites is contained and reducing in severity. This date was set approximately 6 months after the intended implementation of the original JAR-OPS 3 appendix.

EASA adopted the JAA philosophy that, from 1st January 2005, approval would be confined to those sites where a CAT A procedure alone cannot solve the problem. The determination of whether the helicopter can or cannot be operated in accordance with performance class 1 should be established with the helicopter at a realistic payload and fuel to complete the mission. However, in order to reduce the risk at those sites, the application of the requirements contained in **CAT.POL.H.225(a)** should be applied.

Additionally and in order to promote understanding of the problem, the text contained in **CAT.POL.H.225(c)** refers to the performance class and not to ICAO Annex 14. Thus, Part C of the operations manual should reflect the non-conformance with performance class 1, as well as the site-specific procedures (approach and departure paths) to minimise the danger to third parties in the event of an incident.

The following paragraphs explain the problem and solutions.

(c) The problem associated with such sites

There is a number of problems: some of which can be solved with the use of appropriate helicopters and procedures; and others which, because of the size of the site or the obstacle environment, cannot. They consist of:

-
- (1) the size of the surface of the site (smaller than that required by the manufacturer's procedure);
 - (2) an obstacle environment that prevents the use of the manufacturer's procedure (obstacles in the backup area); and
 - (3) an obstacle environment that does not allow recovery following an engine failure in the critical phase of take-off (a line of buildings requiring a demanding gradient of climb) at a realistic payload and fuel to complete the mission.
 - Problems associated with (c)(1): the inability to climb and conduct a rejected landing back to the site following an engine failure before the Decision Point (DP).
 - Problems associated with (c)(2): as in (c)(1).
 - Problems associated with (c)(3): climb into an obstacle following an engine failure after DP.

Problems cannot be solved in the immediate future, but can, when mitigated with the use of the latest generation of helicopters (operated at a weight that can allow useful payloads and endurance), minimise exposure to risk.

(d) Long-term solution

Although not offering a complete solution, it was felt that a significant increase in safety could be achieved by applying an additional performance margin to such operations. This solution allowed the time restriction of 2004 to be removed.

The required performance level of 8 % climb gradient in the first segment reflects ICAO Annex 14 Volume II in 'Table 4-3 'Dimensions and slopes of obstacle limitations surfaces' for performance class 2.

The performance delta is achieved without the provision of further manufacturer's data by using existing graphs to provide the reduced take-off mass (RTOM).

If the solution in relation to the original problem is examined, the effects can be seen.

- (1) Solution with relation to (c)(1): although the problem still exists, the safest procedure is a dynamic take-off reducing the time taken to achieve V_{stayup} and thus allowing VFR recovery — if the failure occurs at or after V_y and 200 ft, an IFR recovery is possible.
- (2) Solution with relation to (c)(2): as in (c)(1) above.
- (3) Solution with relation to (c)(3): once again this does not give a complete solution, however, the performance delta minimises the time during which a climb over the obstacle cannot be achieved.

CHAPTER 3 – PERFORMANCE CLASS 2

GM to Section 2, Chapter 3 performance class 2

OPERATIONS IN PERFORMANCE CLASS 2

(a) Introduction

This GM describes performance class 2 as established in Part-CAT. It has been produced for the purpose of:

- (1) explaining the underlying philosophy of operations in performance class 2;
- (2) showing simple means of compliance; and
- (3) explaining how to determine — with examples and diagrams:
 - (i) the take-off and landing masses;
 - (ii) the length of the safe forced landing area;
 - (iii) distances to establish obstacle clearance; and
 - (iv) entry point(s) into performance class 1.

It explains the derivation of performance class 2 from ICAO Annex 6 Part III and describes an alleviation that may be approved in accordance with **CAT.POL.H.305** following a risk assessment.

It examines the basic requirements, discusses the limits of operation, and considers the benefits of the use of performance class 2.

It contains examples of performance class 2 in specific circumstances, and explains how these examples may be generalised to provide operators with methods of calculating landing distances and obstacle clearance.

(b) Definitions used in this GM

The definitions for the following terms, used in this GM, are contained in Annex I and its AMC:

- (1) distance DR;
- (2) defined point after take-off (DPATO);
- (3) defined point before landing (DPBL);
- (4) landing distance available (LDAH);
- (5) landing distance required (LDRH);
- (6) performance class 2;
- (7) safe forced landing (SFL); and
- (8) take-off distance available (TODAH).

The following terms, which are not defined Annex I, are used in this GM:

- V_T : a target speed at which to aim at the point of minimum ground clearance (min-dip) during acceleration from TDP to V_{TOSS} ;
- V_{50} : a target speed and height utilised to establish an AFM distance (in compliance with the requirement of CS/JAR 29.63) from which climb out is possible; and
- V_{stayup} : a colloquial term used to indicate a speed at which a descent would not result following an engine failure. This speed is several knots lower than V_{TOSS} at the equivalent take-off mass.

(c) What defines performance class 2

Performance class 2 can be considered as performance class 3 take-off or landing, and performance class 1 climb, cruise and descent. It comprises an all-engines-operating (AEO) obstacle clearance regime for the take-off or landing phases, and a OEI obstacle clearance regime for the climb, cruise, descent, approach and missed approach phases.

For the purpose of performance calculations in Part-CAT, the CS/JAR 29.67 Category A climb performance criteria is used:

- 150 ft/min at 1 000 ft (at V_y);

and depending on the choice of DPATO:

- 100 ft/min up to 200 ft (at V_{TOSS})

at the appropriate power settings.

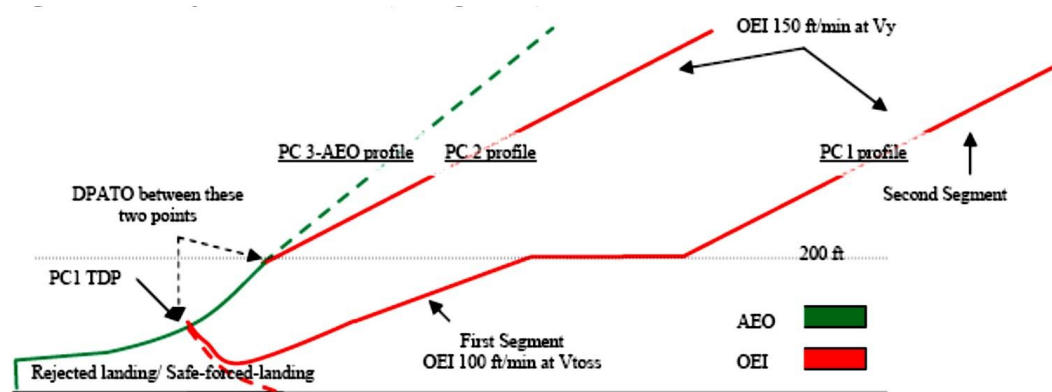
(1) Comparison of obstacle clearance in all performance classes

Figure 1 shows the profiles of the three performance classes — superimposed on one diagram.

- Performance class 1 (PC1): from TDP, requires OEI obstacle clearance in all phases of flight; the construction of Category A procedures, provides for a flight path to the first climb segment, a level acceleration segment to V_y (which may be shown concurrent with the first segment), followed by the second climb segment from V_y at 200 ft (see Figure 1).

Figure 1

All Performance Classes (a comparison)



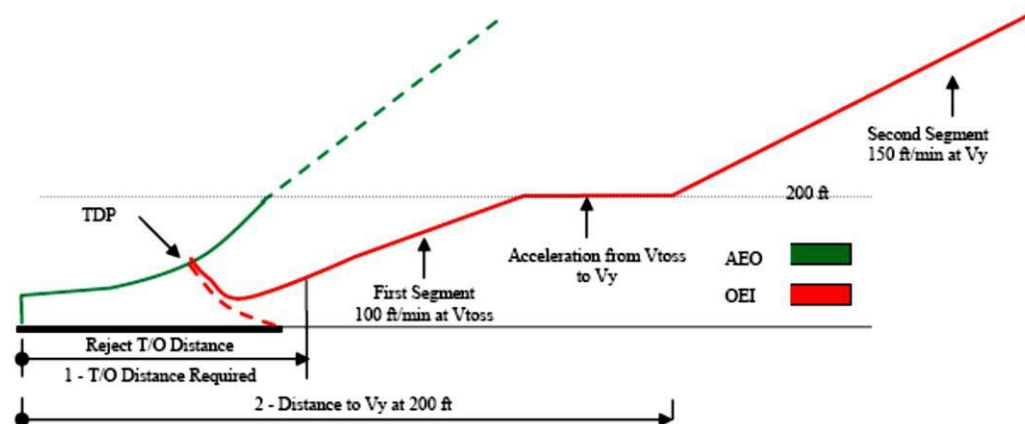
- Performance class 2 (PC2): requires AEO obstacle clearance to DPATO and OEI from then on. The take-off mass has the PC1 second segment climb performance at its basis

therefore, at the point where V_y at 200 ft is reached, Performance Class 1 is achieved (see also Figure 3).

- Performance class 3 (PC3): requires AEO obstacle clearance in all phases.

Figure 2

Performance Class 1 distances



- (2) Comparison of the discontinued take-off in all performance classes
 - (i) PC1 — requires a prepared surface on which a rejected landing can be undertaken (no damage); and
 - (ii) PC2 and 3 — require a safe forced landing surface (some damage can be tolerated, but there must be a reasonable expectancy of no injuries to persons in the aircraft or third parties on the surface).

(d) The derivation of performance class 2

PC2 is primarily based on the text of ICAO Annex 6 Part III Section II and its attachments which provide for the following:

- (1) obstacle clearance before DPATO: the helicopter shall be able, with all engines operating, to clear all obstacles by an adequate margin until it is in a position to comply with (2);
- (2) obstacle clearance after DPATO: the helicopter shall be able, in the event of the critical engine becoming inoperative at any time after reaching DPATO, to continue the take-off clearing all obstacles along the flight path by an adequate margin until it is able to comply with en-route clearances; and
- (3) engine failure before DPATO: before the DPATO, failure of the critical engine may cause the helicopter to force land; therefore, a safe forced landing should be possible (this is analogous to the requirement for a reject in performance class 1, but where some damage to the helicopter can be tolerated.)

(e) Benefits of performance class 2

Operations in performance class 2 permit advantage to be taken of an AEO procedure for a short period during take-off and landing — whilst retaining engine failure accountability in the climb, descent and cruise. The benefits include the ability to:

- (1) use (the reduced) distances scheduled for the AEO — thus permitting operations to take place at smaller aerodromes and allowing airspace requirements to be reduced;
- (2) operate when the safe forced landing distance available is located outside the boundary of the aerodrome;
- (3) operate when the take-off distance required is located outside the boundary of the aerodrome; and
- (4) use existing Category A profiles and distances when the surface conditions are not adequate for a reject, but are suitable for a safe forced landing (for example, when the ground is waterlogged).

Additionally, following a risk assessment when the use of exposure is approved by the CAA the ability to:

- (i) operate when a safe forced landing is not assured in the take-off phase; and
 - (ii) penetrate the HV curve for short periods during take-off or landing.
- (f) Implementation of performance class 2 in Part-CAT

The following sections explain the principles of the implementation of performance class 2.

- (1) Does ICAO spell it all out?

ICAO Annex 6 does not give guidance on how DPATO should be calculated nor does it require that distances be established for the take-off. However, it does require that, up to DPATO AEO, and from DPATO OEI, obstacle clearance is established (see Figure 3 and Figure 4 which are simplified versions of the diagrams contained in Annex 6 Part III, Attachment A).

(ICAO Annex 8 – Airworthiness of Aircraft (IVA 2.2.3.1.4' and 'IVB 2.2.7 d) requires that an AEO distance be scheduled for all helicopters operating in performance classes 2 & 3. ICAO Annex 6 is dependent upon the scheduling of the AEO distances, required in Annex 8, to provide data for the location of DPATO.)

When showing obstacle clearance, the divergent obstacle clearance height required for IFR is — as in performance class 1 — achieved by the application of the additional obstacle clearance of 0.01 distance DR (the distance from the end of 'take-off-distance- available' — see the pictorial representation in Figure 4 and the definition in Annex I).

As can also be seen from Figure 4, flight must be conducted in VFR until DPATO has been achieved (and deduced that if an engine failure occurs before DPATO, entry into IFR is not permitted (as the OEI climb gradient will not have been established)).

Figure 3

Performance Class 2 Obstacle Clearance

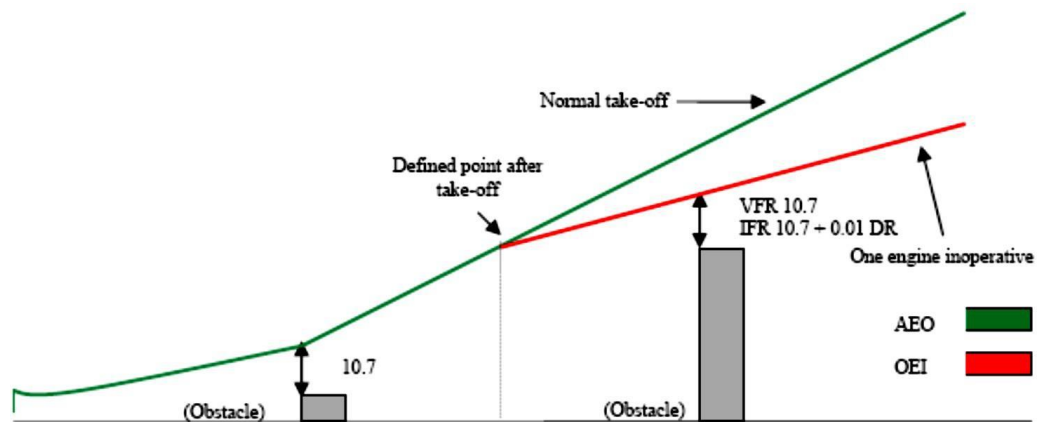
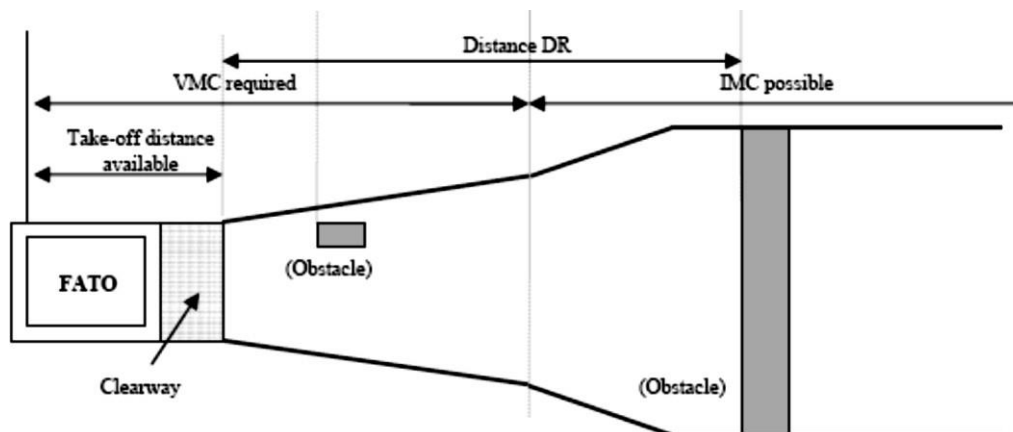


Figure 4

Performance Class 2 Obstacle Clearance (plan view)



(2) Function of DPATO

From the preceding paragraphs, it can be seen that DPATO is germane to PC2. It can also be seen that, in view of the many aspects of DPATO, it has, potentially, to satisfy a number of requirements that are not necessarily synchronised (nor need to be).

It is clear that it is only possible to establish a single point for DPATO, satisfying the requirement of (d)(2) & (d)(3), when:

- accepting the TDP of a Category A procedure; or
- extending the safe forced landing requirement beyond required distances (if data are available to permit the calculation of the distance for a safe forced landing from the DPATO).

It could be argued that the essential requirement for DPATO is contained in section (d)(2) — OEI obstacle clearance. From careful examination of the flight path reproduced in Figure 3 above, it may be reasonably deduced that DPATO is the point at which adequate climb performance is established (examination of Category A procedures would indicate

that this could be (in terms of mass, speed and height above the take-off surface) the conditions at the start of the first or second segments — or any point between.)

(The diagrams in Attachment A of ICAO Annex 6 do not appear to take account of drop down — permitted under Category A procedures; similarly with helideck departures, the potential for acceleration in drop down below deck level (once the deck edge has been cleared) is also not shown. These omissions could be regarded as a simplification of the diagram, as drop down is discussed and accepted in the accompanying ICAO text.)

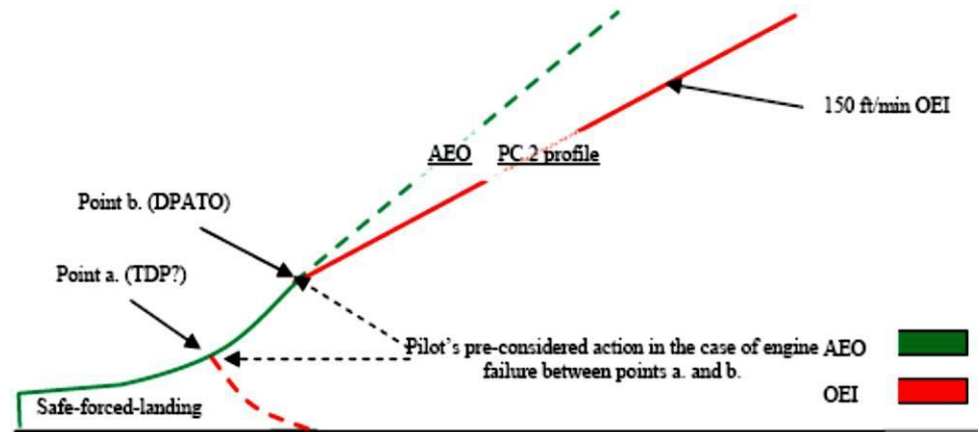
It may reasonably be argued that, during the take-off and before reaching an appropriate climb speed (V_{TOSS} or V_y), V_{stayup} will already have been achieved (where V_{stayup} is the ability to continue the flight and accelerate without descent — shown in some Category A procedures as VT or target speed) and where, in the event of an engine failure, no landing would be required.

It is postulated that, to practically satisfy all the requirements of (d)(1), (2) and (3), DPATO does not need to be defined at one synchronised point; provisions can be met separately, i.e. defining the distance for a safe forced landing, and then establishing the OEI obstacle clearance flight path.

As the point at which the helicopter's ability to continue the flight safely, with the critical engine inoperative is the critical element, it is that for which DPATO is used in this text.

Figure 5

The three elements in a PC 2 take-off



(i) The three elements from the pilot's perspective

When seen from the pilot's perspective (see Figure 5), there are three elements of the PC 2 take-off — each with associated related actions which need to be considered in the case of an engine failure:

- (A) action in the event of an engine failure — up to the point where a forced-landing will be required;
- (B) action in the event of an engine failure — from the point where OEI obstacle clearance is established (DPATO); and
- (C) pre-considered action in the event of an engine failure — in the period between (A) and (B)

The action of the pilot in (A) and (B) is deterministic, i.e. it remains the same for every occasion. For pre-consideration of the action at point (C), as is likely that the planned flight path will have to be abandoned (the point at which obstacle clearance using the OEI climb gradients not yet being reached), the pilot must (before take-off) have considered his/her options and the associated risks, and have in mind the course of action that will be pursued in the event of an engine failure during that short period. (As it is likely that any action will involve turning manoeuvres, the effect of turns on performance must be considered.)

(3) Take-off mass for performance class 2

As previously stated, performance class 2 is an AEO take-off that, from DPATO, has to meet the requirement for OEI obstacle clearance in the climb and en-route phases. Take-off mass is, therefore, the mass that gives at least the minimum climb performance of 150 ft/min at V_y , at 1 000 ft above the take-off point, and obstacle clearance.

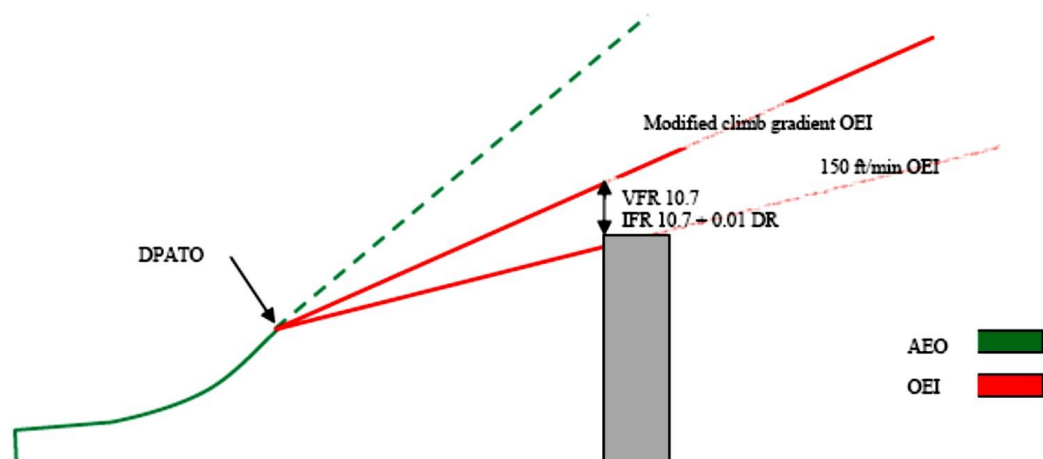
As can be seen in Figure 6 below, the take-off mass may have to be modified when it does not provide the required OEI clearance from obstacles in the take-off-flight path (exactly as in performance class 1). This could occur when taking off from an aerodrome/operating site where the flight path has to clear an obstacle such a ridge line (or line of buildings) that can neither be:

- (i) flown around using VFR and see and avoid; nor
- (ii) cleared using the minimum climb gradient given by the take-off mass (150 ft/min at 1 000 ft).

In this case, the take-off mass has to be modified (using data contained in the AFM) to give an appropriate climb gradient.

Figure 6

Performance Class 2 (enhanced climb gradient)



(4) Do distances have to be calculated?

Distances do not have to be calculated if, by using pilot judgement or standard practice, it can be established that:

- (i) a safe forced landing is possible following an engine failure (notwithstanding that there might be obstacles in the take-off path); and

- (ii) obstacles can be cleared (or avoided) — AEO in the take-off phase and OEI in the climb.

If early entry (in the sense of cloud base) into IMC is expected, an IFR departure should be planned. However, standard masses and departures can be used when described in the operations manual.

(5) The use of Category A data

In Category A procedures, TDP is the point at which either a rejected landing or a safe continuation of the flight, with OEI obstacle clearance, can be performed.

For PC2 (when using Category A data), only the safe forced landing (reject) distance depends on the equivalent of the TDP; if an engine fails between TDP and DPATO, the pilot has to decide what action is required. It is not necessary for a safe forced landing distance to be established from beyond the equivalent of TDP (see Figure 5 and discussion in (f)(2)(ii)(A)).

Category A procedures based on a fixed V_{TOSS} are usually optimised either for the reduction of the rejected take-off distance, or the take-off distance. Category A procedures based on a variable V_{TOSS} allow either a reduction in required distances (low V_{TOSS}) or an improvement in OEI climb capability (high V_{TOSS}). These optimisations may be beneficial in PC2 to satisfy the dimensions of the take-off site.

In view of the different requirements for PC2 (from PC1), it is perfectly acceptable for the two calculations (one to establish the safe forced landing distance and the other to establish DPATO) to be based upon different Category A procedures. However, if this method is used, the mass resulting from the calculation cannot be more than the mass from the more limiting of the procedures.

(6) DPATO and obstacle clearance

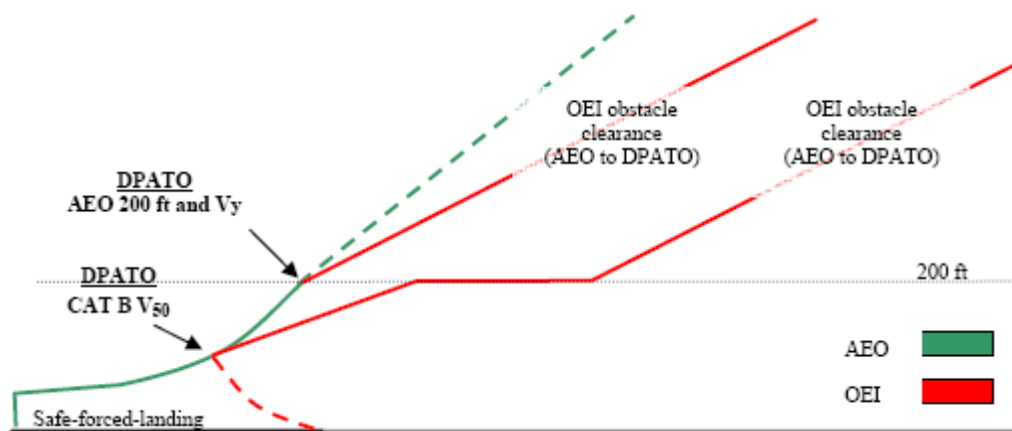
If it is necessary for OEI obstacle clearance to be established in the climb, the starting point (DPATO) for the (obstacle clearance) gradient has to be established. Once DPATO is defined, the OEI obstacle clearance is relatively easy to calculate with data from the AFM.

- (i) DPATO based on AEO distance

In the simplest case; if provided, the scheduled AEO to 200 ft at V_y can be used (see Figure 7).

Figure 7

Suggested AEO locations for DPATO



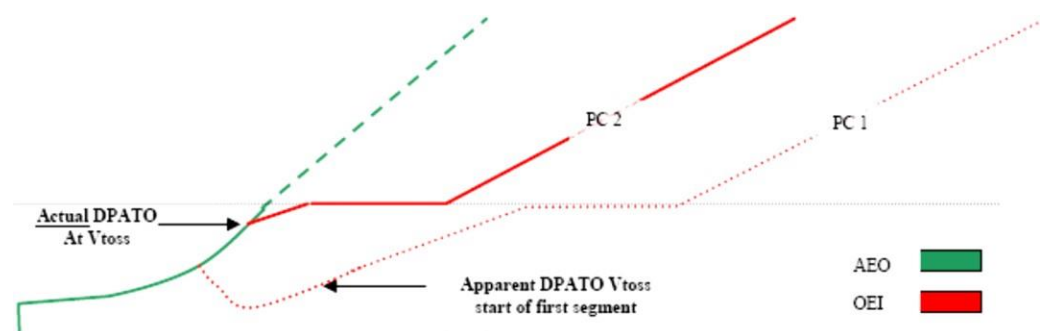
Otherwise, and if scheduled in the AFM, the AEO distance to 50 ft (V_{50}) — determined in accordance with CS/JAR 29.63 — can be used (see Figure 7). Where this distance is used, it will be necessary to ensure that the V_{50} climb out speed is associated with a speed and mass for which OEI climb data are available so that, from V_{50} , the OEI flight path can be constructed.

(ii) DPATO based on Category A distances

It is not necessary for specific AEO distances to be used (although for obvious reasons it is preferable); if they are not available, a flight path (with OEI obstacle clearance) can be established using Category A distances (see Figure 8 and Figure 9) — which will then be conservative.

Figure 8

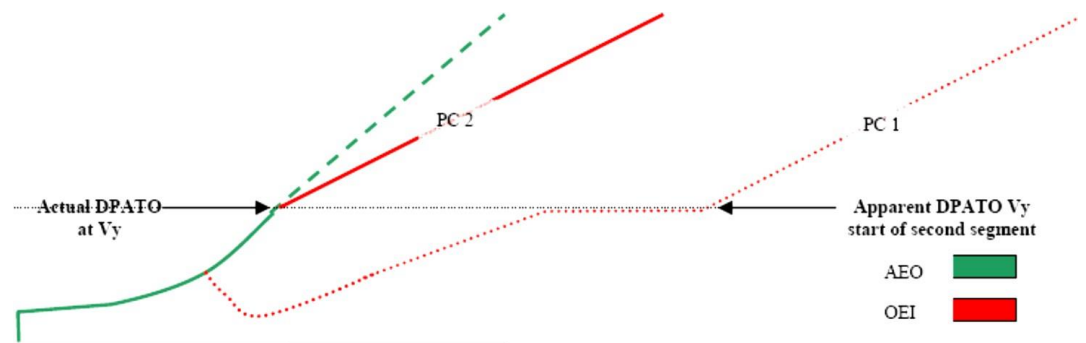
Using Cat A data; actual and apparent position of DPATO (V_{toss} and start of first segment)



The apparent DPATO is for planning purposes only in the case where AEO data are not available to construct the take-off flight path. The actual OEI flight path will provide better obstacle clearance than the apparent one (used to demonstrate the minimum requirement) — as seen from the firm and dashed lines in the above figure.

Figure 9

Using Cat A data; actual and apparent position of DPATO (V_y and start of second segment)



(iii) Use of most favourable Category A data

The use of AEO data are recommended for calculating DPATO. However, where an AEO distance is not provided in the flight manual, distance to V_y at 200 ft, from the most favourable of the Category A procedures, can be used to construct a flight path (provided it can be demonstrated that AEO distance to 200 ft at V_y is always closer to the take-off point than the CAT A OEI flight path).

In order to satisfy the requirement of **CAT.POL.H.315**, the last point from where the start of OEI obstacle clearance can be shown is at 200 ft.

(7) The calculation of DPATO — a summary

DPATO should be defined in terms of speed and height above the take-off surface and should be selected such that AFM data (or equivalent data) are available to establish the distance from the start of the take-off up to the DPATO (conservatively if necessary).

(i) First method

DPATO is selected as the AFM Category B take-off distance (V_{50} speed or any other take-off distance scheduled in accordance with CS/JAR 29.63) provided that within the distance the helicopter can achieve:

- (A) one of the V_{TOSS} values (or the unique V_{TOSS} value if it is not variable) provided in the AFM, selected so as to assure a climb capability according to Category A criteria; or
- (B) V_y .

Compliance with **CAT.POL.H.315** would be shown from V_{50} (or the scheduled Category B take-off distance).

(ii) Second method

DPATO is selected as equivalent to the TDP of a Category A 'clear area' take-off procedure conducted in the same conditions.

Compliance with **CAT.POL.H.315** would be shown from the point at which V_{TOSS} , a height of at least 35 ft above the take-off surface and a positive climb gradient are achieved (which is the Category A 'clear area' take-off distance).

Safe forced landing areas should be available from the start of the take-off, to a distance equal to the Category A 'clear area' rejected take-off distance.

(iii) Third method

As an alternative, DPATO could be selected such that AFM OEI data are available to establish a flight path initiated with a climb at that speed. This speed should then be:

- (A) one of the V_{TOSS} values (or the unique V_{TOSS} value if it is not variable) provided in the AFM, selected so as to assure a climb capability according to Category A criteria; or
- (B) V_y

The height of the DPATO should be at least 35 ft and can be selected up to 200 ft. Compliance with **CAT.POL.H.315** would be shown from the selected height.

(8) Safe forced landing distance

Except as provided in (f)(7)(ii), the establishment of the safe forced landing distance could be problematical as it is not likely that PC2 specific data will be available in the AFM.

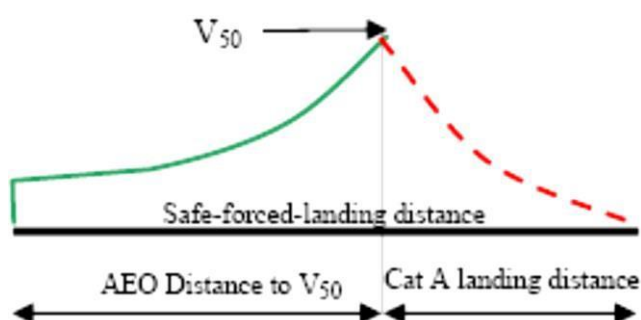
By definition, the Category A reject distance may be used when the surface is not suitable for a reject, but may be satisfactory for a safe forced landing (for example, where the surface is flooded or is covered with vegetation).

Any Category A (or other accepted) data may be used to establish the distance. However, once established, it remains valid only if the Category A mass (or the mass from the accepted data) is used and the Category A (or accepted) AEO profile to the TDP is flown. In view of these constraints, the likeliest Category A procedures are the clear area or the short field (restricted area/site) procedures.

From Figure 10, it can be seen that if the Category B V_{50} procedure is used to establish DPATO, the combination of the distance to 50 ft and the Category A 'clear area' landing distance, required by CS/JAR 29.81 (the horizontal distance required to land and come to a complete stop from a point 50 ft above the landing surface), will give a good indication of the maximum safe-forced-landing distance required (see also the explanation on V_{stayup} above).

Figure 10

Category B (V_{50}) safe-forced-landing distance



(9) Performance class 2 landing

For other than PC2 operations to elevated FATOs or helidecks (see section (g)(4)(i)), the principles for the landing case are much simpler. As the performance requirements for PC1 and PC2 landings are virtually identical, the condition of the landing surface is the main issue.

If the engine fails at any time during the approach, the helicopter must be able either: to perform a go-around meeting the requirements of **CAT.POL.H.315**; or perform a safe forced landing on the surface. In view of this, and if using PC1 data, the LDP should not be lower than the corresponding TDP (particularly in the case of a variable TDP).

The landing mass will be identical to the take-off mass for the same site (with consideration for any reduction due to obstacle clearance — as shown in Figure 6 above).

In the case of a balked landing (i.e. the landing site becomes blocked or unavailable during the approach), the full requirement for take-off obstacle clearance must be met.

(g) Operations in performance class 2 with exposure

The Implementing Rules offer an opportunity to discount the requirement for an assured safe forced landing area in the take-off or landing phase — subject to an approval from the CAA. The following sections deal with this option:

(1) Limit of exposure

As stated above, performance class 2 has to ensure AEO obstacle clearance to DPATO and OEI obstacle clearance from that point. This does not change with the application of exposure.

It can, therefore, be stated that operations with exposure are concerned only with alleviation from the requirement for the provision of a safe forced landing.

The absolute limit of exposure is 200 ft — from which point OEI obstacle clearance must be shown.

(2) The principle of risk assessment

ICAO Annex 6 Part III Chapter 3.1.2 states that:

‘3.1.2 In conditions where the safe continuation of flight is not ensured in the event of a critical engine failure, helicopter operations shall be conducted in a manner that gives appropriate consideration for achieving a safe forced landing.’

Although a safe forced landing may no longer be the (absolute) Standard, it is considered that risk assessment is obligatory to satisfy the amended requirement for ‘appropriate consideration’.

Risk assessment used for fulfilment of this proposed Standard is consistent with principles described in ‘AS/NZS 4360:1999’. Terms used in this text and defined in the AS/NZS Standard are shown in Sentence Case e.g. risk assessment or risk reduction.

(3) The application of risk assessment to performance class 2

Under circumstances where no risk attributable to engine failure (beyond that inherent in the safe forced landing) is present, operations in performance class 2 may be conducted in accordance with the non-alleviated requirements contained above — and a safe forced landing will be possible.

Under circumstances where such risk would be present, i.e. operations to an elevated FATO (deck edge strike); or, when permitted, operations from a site where a safe forced landing cannot be accomplished because the surface is inadequate; or where there is penetration into the HV curve for a short period during take-off or landing (a limitation in CS/JAR 29 AFMs), operations have to be conducted under a specific approval.

Provided such operations are risk assessed and can be conducted to an established safety target, they may be approved in accordance with **CAT.POL.H.305**.

(i) The elements of the risk management

The approval process consists of an operational risk assessment and the application of four principles:

- (A) a safety target;
- (B) a helicopter reliability assessment;
- (C) continuing airworthiness; and
- (D) mitigating procedures.

(ii) The safety target

The main element of the risk assessment when exposure was initially introduced by the JAA into JAR-OPS 3 (NPA OPS⁻⁸), was the assumption that turbine engines in helicopters would have failure rates of about 1:100 000 per flying hour, which would permit (against the agreed safety target of 5×10^{-8} per event) an exposure of about 9 seconds for twins during the take-off or landing event. (When choosing this target it was assumed that the majority of current well-maintained turbine powered helicopters would be capable of meeting the event target — it, therefore, represents the residual risk).

(Residual risk is considered to be the risk that remains when all mitigating procedures — airworthiness and operational — are applied (see sections (g)(3)(iv) and (g)(3)(v))).

(iii) The reliability assessment

The reliability assessment was initiated to test the hypothesis (stated in (g)(3)(ii)) that the majority of turbine powered types would be able to meet the safety target. This hypothesis could only be confirmed by an examination of the manufacturers' power-loss data.

(iv) Mitigating procedures (airworthiness)

Mitigating procedures consist of a number of elements:

- (A) the fulfilment of all manufacturers' safety modifications;
- (B) a comprehensive reporting system (both failures and usage data); and
- (C) the implementation of a usage monitoring system (UMS).

Each of these elements is to ensure that engines, once shown to be sufficiently reliable to meet the safety target, will sustain such reliability (or improve upon it).

The monitoring system is felt to be particularly important as it had already been demonstrated that when such systems are in place it inculcates a more considered

approach to operations. In addition, the elimination of 'hot starts', prevented by the UMS, itself minimises the incidents of turbine burst failures.

(v) Mitigating procedures (operations)

Operational and training procedures, to mitigate the risk — or minimise the consequences — are required of the operator. Such procedures are intended to minimise risk by ensuring that:

- (A) the helicopter is operated within the exposed region for the minimum time; and
- (B) simple but effective procedures are followed to minimise the consequence should an engine failure occur.

(4) Operation with exposure

When operating with exposure, there is alleviation from the requirement to establish a safe forced landing area (which extends to landing as well as take-off). However, the requirement for obstacle clearance — AEO in the take-off and from DPATO OEI in the climb and en-route phases — remains (both for take-off and landing).

The take-off mass is obtained from the more limiting of the following:

- the climb performance of 150 ft/min at 1 000 ft above the take-off point; or
- obstacle clearance (in accordance with (f)(3) above); or
- AEO hover out of ground effect (HOGE) performance at the appropriate power setting. (AEO HOGE is required to ensure acceleration when (near) vertical dynamic take-off techniques are being used. Additionally, for elevated FATO or helidecks, it ensures a power reserve to offset ground cushion dissipation; and ensures that, during the landing manoeuvre, a stabilised HOGE is available — should it be required.)

(i) Operations to elevated FATO or helidecks

PC2 operations to elevated FATO and helidecks are a specific case of operations with exposure. In these operations, the alleviation covers the possibility of:

- (A) a deck-edge strike if the engine fails early in the take-off or late in the landing;
- (B) penetration into the HV Curve during take-off and landing; and
- (C) forced landing with obstacles on the surface (hostile water conditions) below the elevated FATO (helideck). The take-off mass is as stated above and relevant techniques are as described in **GM1 CAT.POL.H.310(c) & CAT.POL.H.325(c)**.

It is unlikely that the DPATO will have to be calculated with operations to helidecks (due to the absence of obstacles in the take-off path).

(ii) Additional requirements for operations to helidecks in a hostile environment

For a number of reasons (e.g. the deck size, and the helideck environment — including obstacles and wind vectors), it was not anticipated that operations in PC1 would be technically feasible or economically justifiable by the projected JAA deadline of 2010 (OEI HOGE could have provided a method of compliance, but this would have resulted in a severe and unwarranted restriction on payload/range).

However, due to the severe consequences of an engine failure to helicopters involved in take-off and landings to helidecks located in hostile sea areas (such as the North Sea or the North Atlantic), a policy of risk reduction is called for. As a result, enhanced class 2 take-off and landing masses together with techniques that provide a high confidence of safety due to:

- (A) deck-edge avoidance; and
- (B) drop-down that provides continued flight clear of the sea,

are seen as practical measures.

For helicopters which have a Category A elevated helideck procedure, certification is satisfied by demonstrating a procedure and adjusted masses (adjusted for wind as well as temperature and pressure) that assure a 15-ft deck edge clearance on take-off and landing. It is, therefore, recommended that manufacturers, when providing enhanced PC2 procedures, use the provision of this deck-edge clearance as their benchmark.

As the height of the helideck above the sea is a variable, drop down has to be calculated; once clear of the helideck, a helicopter operating in PC1 would be expected to meet the 35-ft obstacle clearance. Under circumstances other than open sea areas and with less complex environmental conditions, this would not present difficulties. As the provision of drop down takes no account of operational circumstances, standard drop down graphs for enhanced PC2 — similar to those in existence for Category A procedures — are anticipated.

Under conditions of offshore operations, calculation of drop down is not a trivial matter — the following examples indicate some of the problems which might be encountered in hostile environments:

- (A) Occasions when tide is not taken into account and the sea is running irregularly — the level of the obstacle (i.e. the sea) is indefinable making a true calculation of drop down impossible.
- (B) Occasions when it would not be possible — for operational reasons — for the approach and departure paths to be clear of obstacles — the ‘standard’ calculation of drop-down could not be applied.

Under these circumstances, practicality indicates that drop down should be based upon the height of the deck AMSL and the 35-ft clearance should be applied.

There are, however, other and more complex issues which will also affect the deck-edge clearance and drop down calculations.

- (C) When operating to moving decks on vessels, a recommended landing or take-off profile might not be possible because the helicopter might have to hover alongside in order that the rise and fall of the ship is mentally mapped; or, on take-off re-landing in the case of an engine failure might not be an option.

Under these circumstances, the commander might adjust the profiles to address a hazard more serious or more likely than that presented by an engine failure.

It is because of these and other (unforeseen) circumstances that a prescriptive requirement is not used. However, the target remains a 15-ft deck-edge clearance and a 35-ft obstacle clearance and data should be provided such that, where practically possible, these clearances can be planned.

As accident/incident history indicates that the main hazard is collision with obstacles on the helideck due to human error, simple and reproducible take-off and landing procedures are recommended.

In view of the reasons stated above, the future requirement for PC1 was replaced by the new requirement that the take-off mass takes into account:

- the procedure;
- deck-edge miss; and
- drop down appropriate to the height of the helideck.

This will require calculation of take-off mass from information produced by manufacturers reflecting these elements. It is expected that such information will be produced by performance modelling/simulation using a model validated through limited flight testing.

- (iii) Operations to helidecks for helicopters with a maximum operational passenger seating configuration (MOPSC) of more than 19

The original requirement for operations of helicopters with an MOPSC of more than 19 was PC1 (as set out in **CAT.POL.H.100(b)(2)**).

However, when operating to helidecks, the problems enumerated in (g)(4)(ii) above are equally applicable to these helicopters. In view of this, but taking into account that increased numbers are (potentially) being carried, such operations are permitted in PC2 (**CAT.POL.H.100(b)(2)**) but, in all helideck environments (both hostile and non-hostile), have to satisfy, the additional requirements, set out in (g)(4)(ii) above.

AMC1 CAT.POL.H.305(a) Operations without an assured safe forced landing capability

VALIDITY OF THE RISK ASSESSMENT

The operator should periodically review and update the procedures and associated risk assessments, pertaining to the granting of the **CAT.POL.H.305(a)** approval, to ensure that they are adequate and remain relevant for the operation.

AMC1 CAT.POL.H.305(b) Helicopter operations without an assured safe forced landing capability

ENGINE RELIABILITY STATISTICS

- (a) As part of the risk assessment prior to granting an approval under **CAT.POL.H.305**, the operator should provide appropriate engine reliability statistics available for the helicopter type and the engine type.
- (b) Except in the case of new engines, such data should show sudden power loss from the set of in-flight shutdown (IFSD) events not exceeding 1 per 100 000 engine hours in a 5 year moving window. However, a rate in excess of this value, but not exceeding 3 per 100 000 engine hours, may be accepted by the CAA after an assessment showing an improving trend.
- (c) New engines should be assessed on a case-by-case basis.
- (d) After the initial assessment, updated statistics should be periodically reassessed; any adverse

sustained trend will require an immediate evaluation to be accomplished by the operator in consultation with the CAA and the manufacturers concerned. The evaluation may result in corrective action or operational restrictions being applied.

- (e) The purpose of this paragraph is to provide guidance on how the in-service power plant sudden power loss rate is determined.

(1) Share of roles between the helicopter and engine type certificate holders (TCH)

- (i) The provision of documents establishing the in-service sudden power loss rate for the helicopter/engine installation; the interface with the operational authority of the State of the operator should be the engine TCH or the helicopter TCH depending on the way they share the corresponding analysis work.
- (ii) The engine TCH should provide the helicopter TCH with a document including: the list of in-service power loss events, the applicability factor for each event (if used), and the assumptions made on the efficiency of any corrective actions implemented (if used).
- (iii) The engine or helicopter TCH should provide the operational authority of the State of the operator, with a document that details the calculation results taking into account the following:
 - (A) events caused by the engine and the events caused by the engine installation;
 - (B) applicability factor for each event (if used), the assumptions made on the efficiency of any corrective actions implemented on the engine and on the helicopter (if used); and
 - (C) calculation of the power plant power loss rate.

(2) Documentation

The following documentation should be updated every year:

- (i) the document with detailed methodology and calculation as distributed to the authority of the State of design;
- (ii) a summary document with results of computation as made available on request to any operational authority; and
- (iii) a service letter establishing the eligibility for such operation and defining the corresponding required configuration as provided to the operators.

(3) Definition of 'sudden in-service power loss'

Sudden in-service power loss is an engine power loss:

- (i) larger than 30 % of the take-off power;
- (ii) occurring during operation; and
- (iii) without the occurrence of an early intelligible warning to inform and give sufficient time for the pilot to take any appropriate action.

(4) Database documentation

Each power loss event should be documented, by the engine and/or helicopter TCHs, as follows:

- (i) incident report number;
- (ii) engine type;

- (iii) engine serial number;
- (iv) helicopter serial number;
- (v) date;
- (vi) event type (demanded IFSD, un-demanded IFSD);
- (vii) presumed cause;
- (viii) applicability factor when used; and
- (ix) reference and assumed efficiency of the corrective actions that will have to be applied (if any).

(5) Counting methodology

Various methodologies for counting engine power loss rate have been accepted by authorities. The following is an example of one of these methodologies.

- (i) The events resulting from:
 - (A) unknown causes (wreckage not found or totally destroyed, undocumented or unproven statements);
 - (B) where the engine or the elements of the engine installation have not been investigated (for example, when the engine has not been returned by the customer); or
 - (C) an unsuitable or non-representative use (operation or maintenance) of the helicopter or the engine,

are not counted as engine in-service sudden power loss and the applicability factor is 0 %.
- (ii) The events caused by:
 - (A) the engine or the engine installation; or
 - (B) the engine or helicopter maintenance, when the applied maintenance was compliant with the maintenance manuals,

are counted as engine in-service sudden power loss and the applicability factor is 100 %.
- (iii) For the events where the engine or an element of the engine installation has been submitted for investigation, but where this investigation subsequently failed to define a presumed cause, the applicability factor is 50 %.

(6) Efficiency of corrective actions

The corrective actions made by the engine and helicopter manufacturers on the definition or maintenance of the engine or its installation may be defined as mandatory for specific operations. In this case, the associated reliability improvement may be considered as a mitigating factor for the event.

A factor defining the efficiency of the corrective action may be applied to the applicability factor of the concerned event.

(7) Method of calculation of the power plant power loss rate

The detailed method of calculation of the power plant power loss rate should be documented by engine or helicopter TCH and accepted by the relevant authority.

AMC2 CAT.POL.H.305(b) Helicopter operations without an assured safe forced landing capability

IMPLEMENTATION OF THE SET OF CONDITIONS

To obtain an approval under **CAT.POL.H.305(a)**, the operator conducting operations without an assured safe forced landing capability should implement the following:

- (a) Attain and then maintain the helicopter/engine modification standard defined by the manufacturer that has been designated to enhance reliability during the take-off and landing phases.
- (b) Conduct the preventive maintenance actions recommended by the helicopter or engine manufacturer as follows:
 - (1) engine oil spectrometric and debris analysis — as appropriate;
 - (2) engine trend monitoring, based on available power assurance checks;
 - (3) engine vibration analysis (plus any other vibration monitoring systems where fitted); and
 - (4) oil consumption monitoring.
- (c) The usage monitoring system should fulfil at least the following:
 - (1) Recording of the following data:
 - (i) date and time of recording, or a reliable means of establishing these parameters;
 - (ii) amount of flight hours recorded during the day plus total flight time;
 - (iii) N₁ (gas producer RPM) cycle count;
 - (iv) N₂ (power turbine RPM) cycle count (if the engine features a free turbine);
 - (v) turbine temperature exceedance: value, duration;
 - (vi) power-shaft torque exceedance: value, duration (if a torque sensor is fitted);
 - (vii) engine shafts speed exceedance: value, duration.
 - (2) Data storage of the above parameters, if applicable, covering the maximum flight time in a day, and not less than 5 flight hours, with an appropriate sampling interval for each parameter.
 - (3) The system should include a comprehensive self-test function with a malfunction indicator and a detection of power-off or sensor input disconnection.
 - (4) A means should be available for downloading and analysis of the recorded parameters. Frequency of downloading should be sufficient to ensure data are not lost through overwriting.
 - (5) The analysis of parameters gathered by the usage monitoring system, the frequency of such analysis and subsequent maintenance actions should be described in the maintenance documentation.
 - (6) The data should be stored in an acceptable form and accessible to the CAA for at least 24 months.
- (d) The training for flight crew should include the discussion, demonstration, use and practice of the techniques necessary to minimise the risks.

- (e) Report to the manufacturer any loss of power control, engine shutdown (precautionary or otherwise) or engine failure for any cause (excluding simulation of engine failure during training). The content of each report should provide:
- (1) date and time;
 - (2) operator (and maintenance organisations where relevant);
 - (3) type of helicopter and description of operations;
 - (4) registration and serial number of airframe;
 - (5) engine type and serial number;
 - (6) power unit modification standard where relevant to failure;
 - (7) engine position;
 - (8) symptoms leading up to the event;
 - (9) circumstances of engine failure including phase of flight or ground operation;
 - (10) consequences of the event;
 - (11) weather/environmental conditions;
 - (12) reason for engine failure — if known;
 - (13) in case of an in-flight shutdown (IFSD), nature of the IFSD (demanded/un-demanded);
 - (14) procedure applied and any comment regarding engine restart potential;
 - (15) engine hours and cycles (from new and last overhaul);
 - (16) airframe flight hours;
 - (17) rectification actions applied including, if any, component changes with part number and serial number of the removed equipment; and
 - (18) any other relevant information.

GM1 CAT.POL.H.305(b) Helicopter operations without an assured safe forced landing capability

USE OF FULL AUTHORITY DIGITAL ENGINE CONTROL (FADEC)

Current technology increasingly allows for the recording function required in (c)(1) of **AMC2 CAT.POL.H.305(b)** to be incorporated in the full authority digital engine control (FADEC).

Where a FADEC is capable of recording some of the parameters required by (c)(1) of **AMC2 CAT.POL.H.305(b)**, it is not intended that the recording of the parameters is to be duplicated.

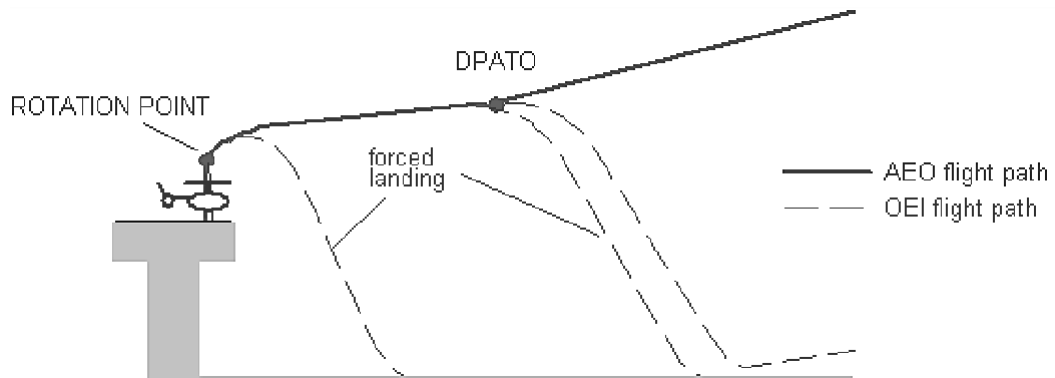
Providing that the functions as set out in (c) of **AMC2 CAT.POL.H.305(b)** are satisfied, the FADEC may partially, or in whole, fulfil the requirement for recording and storing parameters in a usage monitoring system.

GM1 CAT.POL.H.310(c) & CAT.POL.H.325(c) Take-off and landing**PROCEDURE FOR CONTINUED OPERATIONS TO HELIDECKS**

- (a) Factors to be considered when taking off from or landing on a helideck
- (1) In order to take account of the considerable number of variables associated with the helideck environment, each take-off and landing may require a slightly different profile. Factors such as helicopter mass and centre of gravity, wind velocity, turbulence, deck size, deck elevation and orientation, obstructions, power margins, platform gas turbine exhaust plumes etc., will influence both the take-off and landing. In particular, for the landing, additional considerations such as the need for a clear go-around flight path, visibility and cloud base, etc. will affect the commander's decision on the choice of landing profile. Profiles may be modified, taking account of the relevant factors noted above and the characteristics of individual helicopter types.
- (b) Performance
- (1) To perform the following take-off and landing profiles, adequate all engines operating (AEO) hover performance at the helideck is required. In order to provide a minimum level of performance, data (derived from the AFM AEO out of ground effect (OGE)) should be used to provide the maximum take-off or landing mass. Where a helideck is affected by downdrafts or turbulence or hot gases, or where the take-off or landing profile is obstructed, or the approach or take-off cannot be made into wind, it may be necessary to decrease this take-off or landing mass by using a suitable calculation method. The helicopter mass should not exceed that required by **CAT.POL.H.310(a)** or **CAT.POL.H.325(a)**.
- (For helicopter types no longer supported by the manufacturer, data may be established by the operator, provided it is acceptable to the CAA.)
- (c) Take-off profile
- (1) The take-off should be performed in a dynamic manner ensuring that the helicopter continuously moves vertically from the hover to the rotation point (RP) and thence into forward flight. If the manoeuvre is too dynamic, then there is an increased risk of losing spatial awareness (through loss of visual cues) in the event of a rejected take-off, particularly at night.
- (2) If the transition to forward flight is too slow, the helicopter is exposed to an increased risk of contacting the deck edge in the event of an engine failure at or just after the point of cyclic input (RP).
- (3) It has been found that the climb to RP is best made between 110 % and 120 % of the power required in the hover. This power offers a rate of climb that assists with deck-edge clearance following engine failure at RP, whilst minimising ballooning following a failure before RP. Individual types will require selection of different values within this range.

Figure 1

Take-off profile

**(d) Selection of a lateral visual cue**

- (1) In order to obtain the maximum performance in the event of an engine failure being recognised at or just after RP, the RP should be at its optimum value, consistent with maintaining the necessary visual cues. If an engine failure is recognised just before RP, the helicopter, if operating at a low mass, may 'balloon' a significant height before the reject action has any effect. It is, therefore, important that the pilot flying selects a lateral visual marker and maintains it until the RP is achieved, particularly on decks with few visual cues. In the event of a rejected take-off, the lateral marker will be a vital visual cue in assisting the pilot to carry out a successful landing.

(e) Selection of the rotation point

- (1) The optimum RP should be selected to ensure that the take-off path will continue upwards and away from the deck with AEO, but minimising the possibility of hitting the deck edge due to the height loss in the event of an engine failure at or just after RP.
- (2) The optimum RP may vary from type to type. Lowering the RP will result in a reduced deck edge clearance in the event of an engine failure being recognised at or just after RP. Raising the RP will result in possible loss of visual cues, or a hard landing in the event of an engine failure just prior to RP.

(f) Pilot reaction times

- (1) Pilot reaction time is an important factor affecting deck edge clearance in the event of an engine failure prior to or at RP. Simulation has shown that a delay of 1 second can result in a loss of up to 15 ft in deck edge clearance.

(g) Variation of wind speed

- (1) Relative wind is an important parameter in the achieved take-off path following an engine failure; wherever practicable, take-off should be made into wind. Simulation has shown that a 10-kt wind can give an extra 5-ft deck edge clearance compared to a zero wind condition.

(h) Position of the helicopter relative to the deck edge

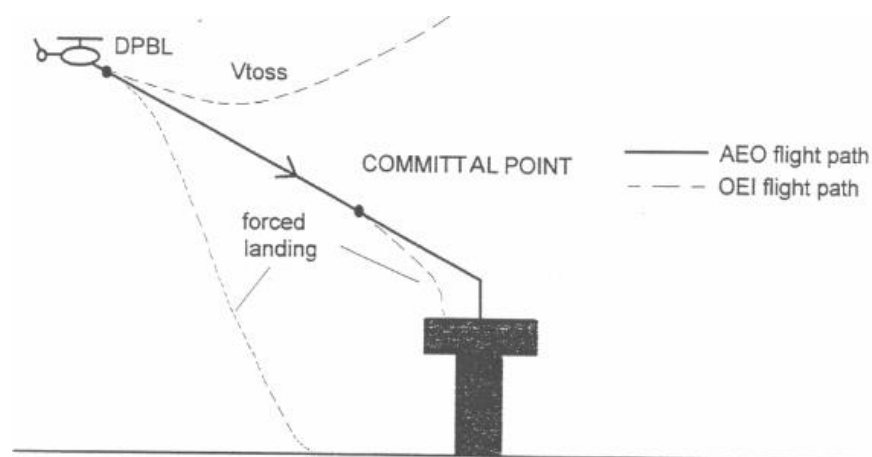
- (1) It is important to position the helicopter as close to the deck edge (including safety nets) as possible whilst maintaining sufficient visual cues, particularly a lateral marker.
- (2) The ideal position is normally achieved when the rotor tips are positioned at the forward deck edge. This position minimises the risk of striking the deck edge following recognition of an engine failure at or just after RP. Any take-off heading which causes the helicopter

to fly over obstructions below and beyond the deck edge should be avoided if possible. Therefore, the final take-off heading and position will be a compromise between the take-off path for least obstructions, relative wind, turbulence and lateral marker cue considerations.

- (i) Actions in the event of an engine failure at or just after RP
 - (1) Once committed to the continued take-off, it is important, in the event of an engine failure, to rotate the aircraft to the optimum attitude in order to give the best chance of missing the deck edge. The optimum pitch rates and absolute pitch attitudes should be detailed in the profile for the specific type.
- (j) Take-off from helidecks that have significant movement
 - (1) This technique should be used when the helideck movement and any other factors, e.g. insufficient visual cues, makes a successful rejected take-off unlikely. Weight should be reduced to permit an improved one-engine-inoperative capability, as necessary.
 - (2) The optimum take-off moment is when the helideck is level and at its highest point, e.g. horizontal on top of the swell. Collective pitch should be applied positively and sufficiently to make an immediate transition to climbing forward flight. Because of the lack of a hover, the take-off profile should be planned and briefed prior to lift off from the deck.
- (k) Standard landing profile
 - (1) The approach should be commenced into wind to a point outboard of the helideck. Rotor tip clearance from the helideck edge should be maintained until the aircraft approaches this position at the requisite height (type dependent) with approximately 10 kt of ground-speed and a minimal rate of descent. The aircraft is then flown on a flight path to pass over the deck edge and into a hover over the safe landing area.

Figure 2

Standard landing profile



- (l) Offset landing profile
 - (1) If the normal landing profile is impracticable due to obstructions and the prevailing wind velocity, the offset procedure may be used. This should involve flying to a hover position, approximately 90° offset from the landing point, at the appropriate height and maintaining rotor tip clearance from the deck edge. The helicopter should then be flown slowly but positively sideways and down to position in a low hover over the landing point. Normally, the committal point (CP) will be the point at which helicopter begins to transition over the helideck edge.

(m) Training

- (1) These techniques should be covered in the training required by Annex III (Part-ORO).

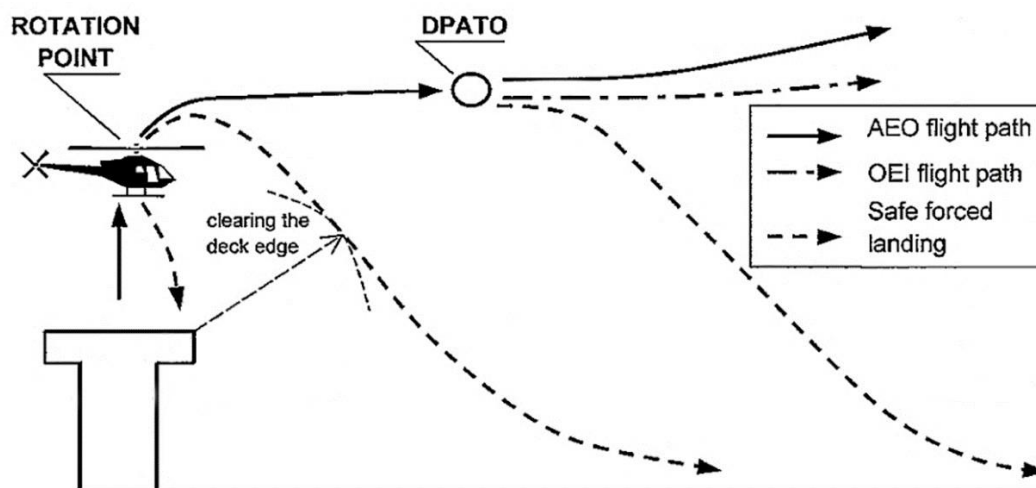
GM1 CAT.POL.H.310 & CAT.POL.H.325 Take-off and landing

TAKE-OFF AND LANDING TECHNIQUES

- (a) This GM describes three types of operation to/from helidecks and elevated FATOs by helicopters operating in performance class 2.
- (b) In two cases of take-off and landing, exposure time is used. During the exposure time (which is only approved for use when complying with **CAT.POL.H.305**), the probability of an engine failure is regarded as extremely remote. If an engine failure occurs during the exposure time, a safe forced landing may not be possible.
- (c) Take-off — non-hostile environment (without an approval to operate with an exposure time) **CAT.POL.H.310(b)**.
- (1) Figure 1 shows a typical take-off profile for performance class 2 operations from a helideck or an elevated FATO in a non-hostile environment.
 - (2) If an engine failure occurs during the climb to the rotation point, compliance with **CAT.POL.H.310(b)** will enable a safe landing or a safe forced landing on the deck.
 - (3) If an engine failure occurs between the rotation point and the DPATO, compliance with **CAT.POL.H.310(b)** will enable a safe forced landing on the surface, clearing the deck edge.
 - (4) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 1

Typical take-off profile PC2 from a helideck/elevated FATO, non-hostile environment



- (d) Take-off — non-hostile environment (with exposure time) **CAT.POL.H.310(c)**

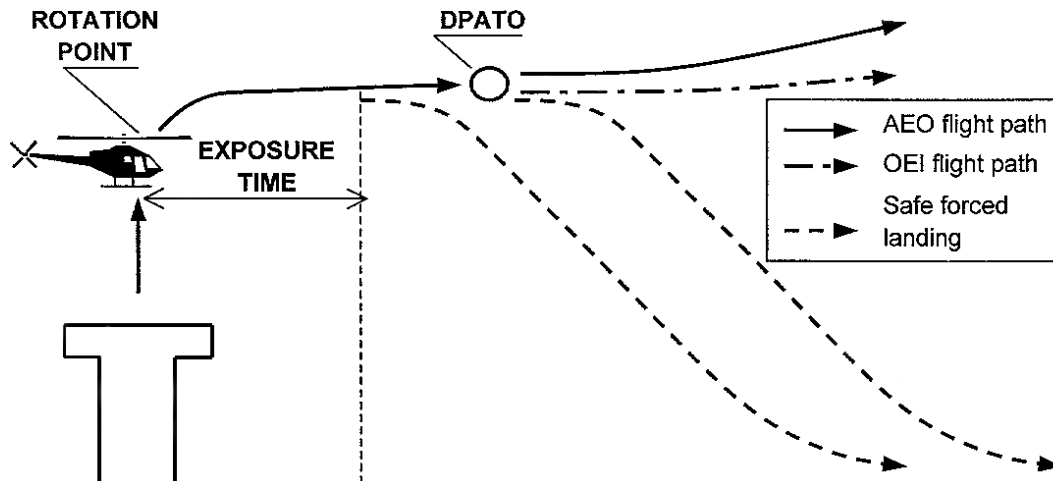
- (1) Figure 2 shows a typical take-off profile for performance class 2 operations from a helideck or an elevated FATO in a non-hostile environment (with exposure time).
- (2) If an engine failure occurs after the exposure time and before DPATO, compliance with

CAT.POL.H.310(c) will enable a safe forced landing on the surface.

- (3) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 2

Typical take-off profile PC2 from a helideck/elevated FATO with exposure time, non-hostile environment

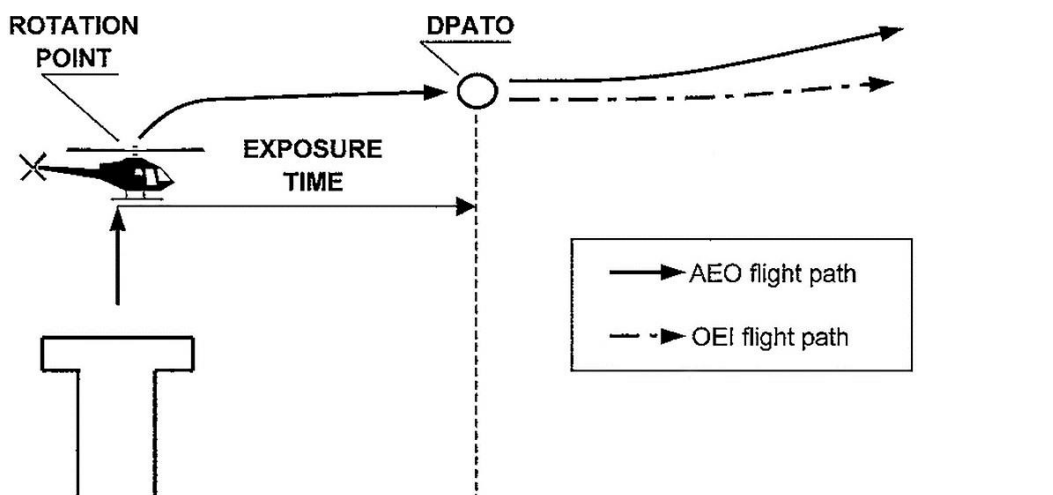


- (e) Take-off — non-congested hostile environment (with exposure time) **CAT.POL.H.310(c)**

- (1) Figure 3 shows a typical take off profile for performance class 2 operations from a helideck or an elevated FATO in a non-congested hostile environment (with exposure time).
- (2) If an engine failure occurs after the exposure time, the helicopter is capable of a safe forced landing or safe continuation of the flight.
- (3) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 3

Typical take-off profile PC2 from a helideck/elevated FATO, non-congested hostile environment

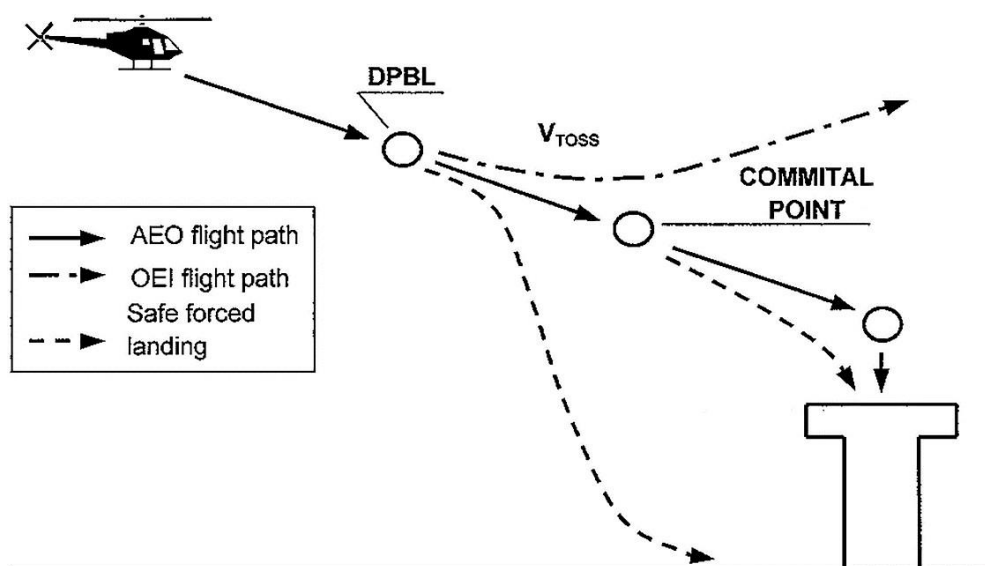


(f) Landing — non-hostile environment (without an approval to operate with an exposure time) **CAT.POL.H.325(b)**

- (1) Figure 4 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-hostile environment.
- (2) The DPBL is defined as a 'window' in terms of airspeed, rate of descent, and height above the landing surface. If an engine failure occurs before the DPBL, the pilot may elect to land or to execute a balked landing.
- (3) In the event of an engine failure being recognised after the DPBL and before the committal point, compliance with CAT.POL.H.325(b) will enable a safe forced landing on the surface.
- (4) In the event of an engine failure at or after the committal point, compliance with CAT.POL.H.325(b) will enable a safe forced landing on the deck.

Figure 4

Typical landing profile PC2 to a helideck/elevated FATO, non-hostile environment

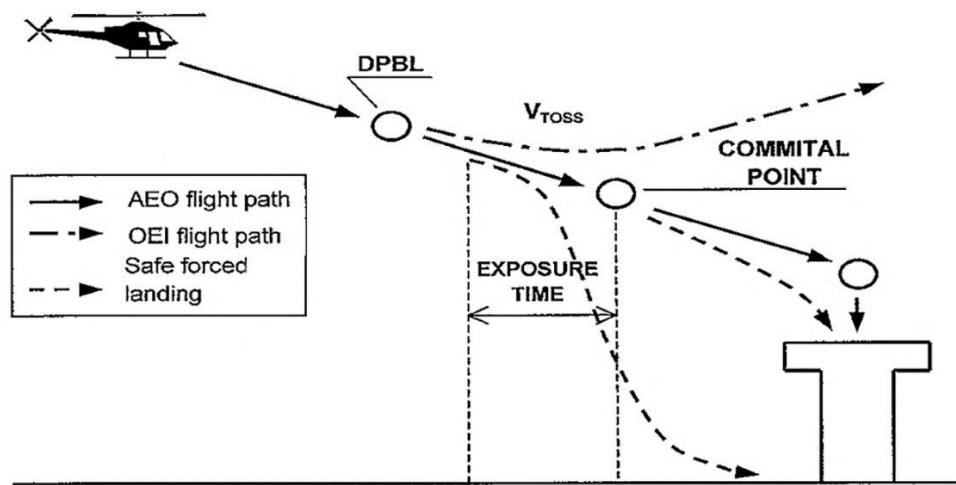


(g) Landing — non-hostile environment (with exposure time) **CAT.POL.H.325(c)**

- (1) Figure 5 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-hostile environment (with exposure time).
- (2) The DPBL is defined as a 'window' in terms of airspeed, rate of descent, and height above the landing surface. If an engine failure occurs before the DPBL, the pilot may elect to land or to execute a balked landing.
- (3) In the event of an engine failure being recognised before the exposure time, compliance with CAT.POL.H.325(c) will enable a safe forced landing on the surface.
- (4) In the event of an engine failure after the exposure time, compliance with CAT.POL.H.325(c) will enable a safe forced landing on the deck.

Figure 5

Typical landing profile PC2 to a helideck/elevated FATO with exposure time, non-hostile environment

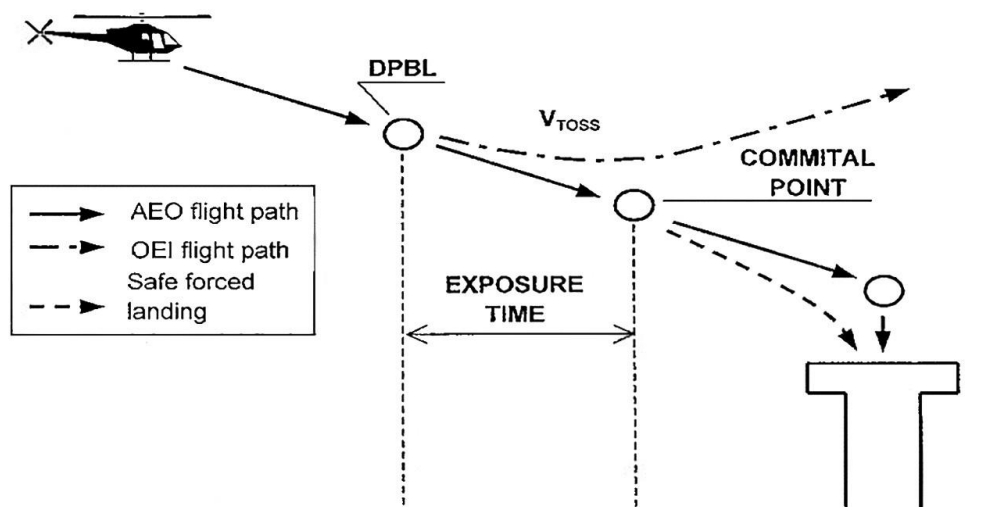


(h) Landing — non-congested hostile environment (with exposure time) **CAT.POL.H.325(c)**

- (1) Figure 6 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-congested hostile environment (with exposure time).
- (2) In the event of an engine failure at any point during the approach and landing phase up to the start of exposure time, compliance with CAT.POL.H.325(b) will enable the helicopter, after clearing all obstacles under the flight path, to continue the flight.
- (3) In the event of an engine failure after the exposure time (i.e. at or after the committal point), a safe forced landing should be possible on the deck.

Figure 6

Typical landing profile PC2 to a helideck/elevated FATO with exposure time, non-congested hostile environment



AMC1 CAT.POL.H.310(c)(2) and CAT.POL.H.325(c)(2) Take-off and Landing

FACTORS

- (a) To ensure that the necessary factors are taken into account, the operator should:
 - (1) use take-off and landing procedures that are appropriate to the circumstances, and that minimise the risks of collision with obstacles at the individual offshore location under the prevailing conditions; and
 - (2) use the aircraft flight manual (AFM) performance data or, where such data is not available, alternative data approved by the CAA, which show take-off and landing masses that take into account drop-down and take-off deck-edge miss, under varying conditions of pressure altitude, temperature, and wind.
- (b) Replanning of offshore location take-off or landing masses during the flight should only be performed in accordance with procedures established in the operations manual (OM). These procedures should be simple and safe to carry out, with no significant increase in the crew workload during critical phases of the flight.

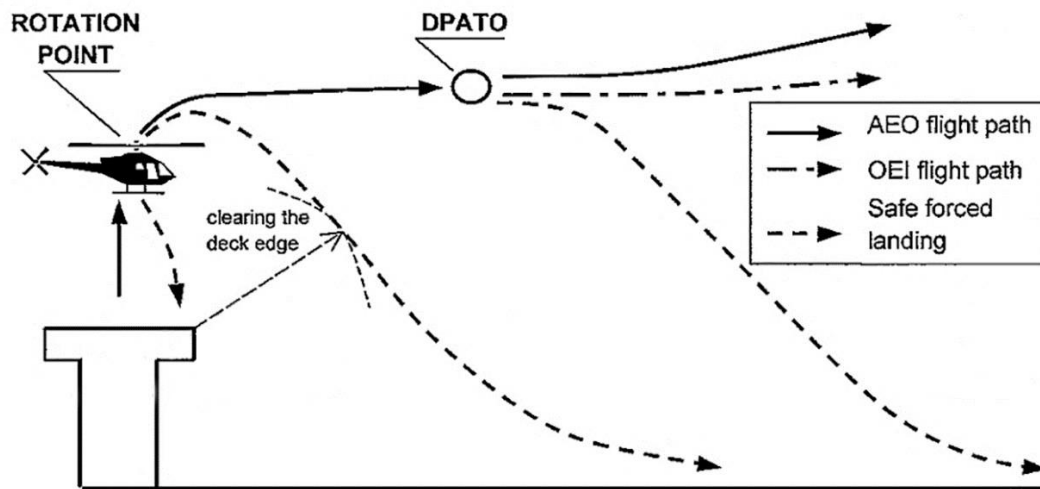
GM1 CAT.POL.H.310 & CAT.POL.H.325 Take-off and landing

TAKE-OFF AND LANDING TECHNIQUES

- (a) This GM describes three types of operation to/from helidecks and elevated FATOs by helicopters operating in performance class 2.
- (b) In two cases of take-off and landing, exposure time is used. During the exposure time (which is only approved for use when complying with **CAT.POL.H.305**), the probability of an engine failure is regarded as extremely remote. If an engine failure occurs during the exposure time, a safe forced landing may not be possible.
- (c) Take-off — non-hostile environment (without an approval to operate with an exposure time) **CAT.POL.H.310(b)**.
 - (1) Figure 1 shows a typical take-off profile for performance class 2 operations from a helideck or an elevated FATO in a non-hostile environment.
 - (2) If an engine failure occurs during the climb to the rotation point, compliance with **CAT.POL.H.310(b)** will enable a safe landing or a safe forced landing on the deck.
 - (3) If an engine failure occurs between the rotation point and the DPATO, compliance with **CAT.POL.H.310(b)** will enable a safe forced landing on the surface, clearing the deck edge.
 - (4) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 1

Typical take-off profile PC2 from a helideck/elevated FATO, non-hostile environment

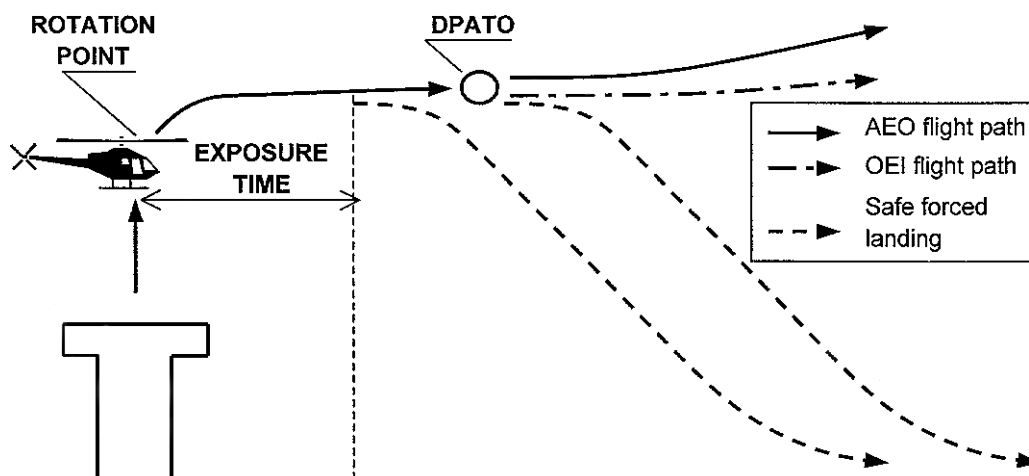


(d) Take-off — non-hostile environment (with exposure time) **CAT.POL.H.310(c)**

- (1) Figure 2 shows a typical take-off profile for performance class 2 operations from a helideck or an elevated FATO in a non-hostile environment (with exposure time).
- (2) If an engine failure occurs after the exposure time and before DPATO, compliance with CAT.POL.H.310(c) will enable a safe forced landing on the surface.
- (3) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 2

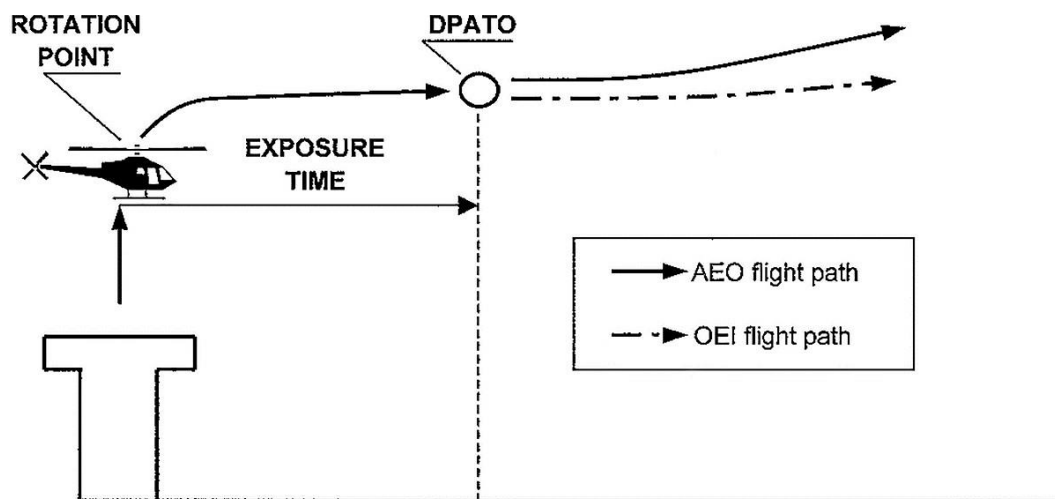
Typical take-off profile PC2 from a helideck/elevated FATO with exposure time, non-hostile environment



- (e) Take-off — non-congested hostile environment (with exposure time) **CAT.POL.H.310(c)**
- (1) Figure 3 shows a typical take off profile for performance class 2 operations from a helideck or an elevated FATO in a non-congested hostile environment (with exposure time).
 - (2) If an engine failure occurs after the exposure time, the helicopter is capable of a safe forced landing or safe continuation of the flight.
 - (3) At or after the DPATO, the OEI flight path should clear all obstacles by the margins specified in **CAT.POL.H.315**.

Figure 3

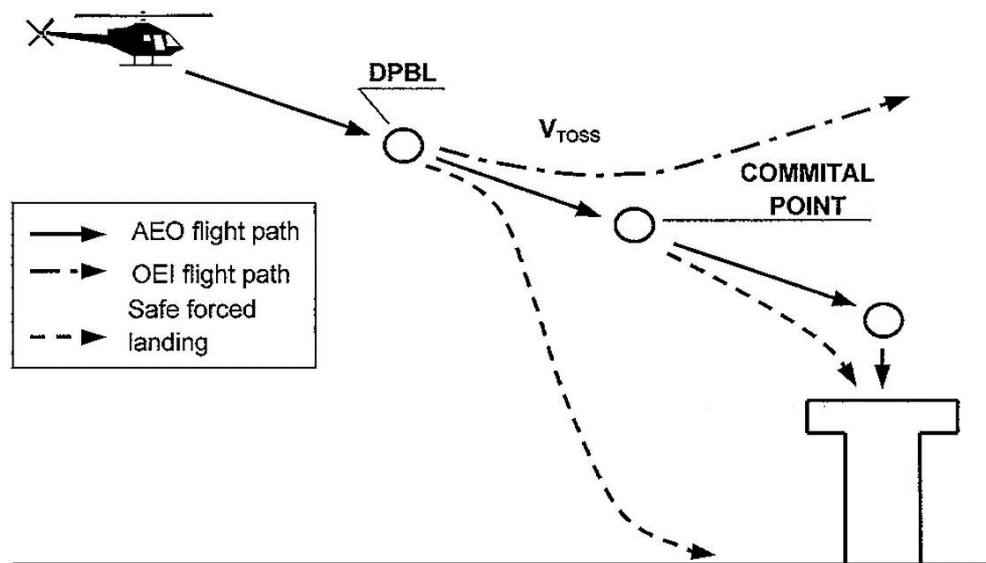
Typical take-off profile PC2 from a helideck/elevated FATO, non-congested hostile environment



- (f) Landing — non-hostile environment (without an approval to operate with an exposure time) **CAT.POL.H.325(b)**
- (1) Figure 4 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-hostile environment.
 - (2) The DPBL is defined as a 'window' in terms of airspeed, rate of descent, and height above the landing surface. If an engine failure occurs before the DPBL, the pilot may elect to land or to execute a balked landing.
 - (3) In the event of an engine failure being recognised after the DPBL and before the committal point, compliance with CAT.POL.H.325(b) will enable a safe forced landing on the surface.
 - (4) In the event of an engine failure at or after the committal point, compliance with CAT.POL.H.325(b) will enable a safe forced landing on the deck.

Figure 4

Typical landing profile PC2 to a helideck/elevated FATO, non-hostile environment

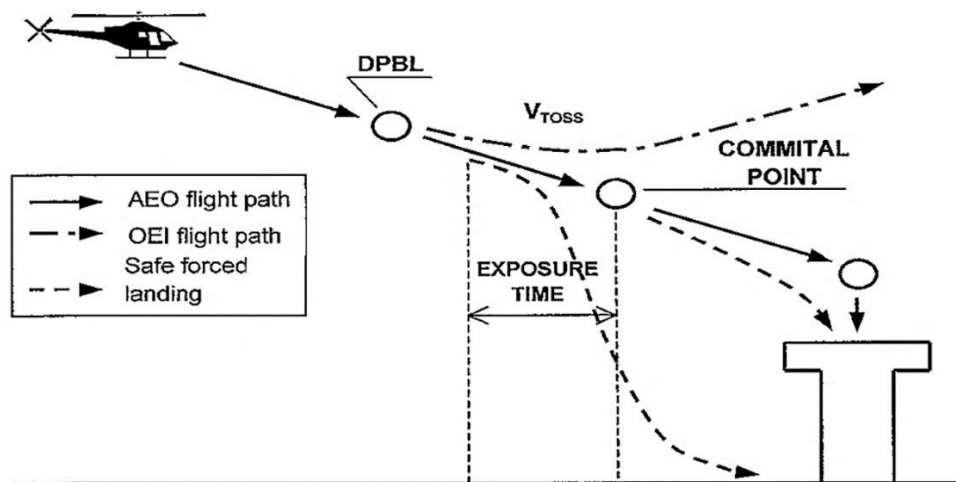


(g) Landing — non-hostile environment (with exposure time) **CAT.POL.H.325(c)**

- (1) Figure 5 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-hostile environment (with exposure time).
- (2) The DPBL is defined as a 'window' in terms of airspeed, rate of descent, and height above the landing surface. If an engine failure occurs before the DPBL, the pilot may elect to land or to execute a balked landing.
- (3) In the event of an engine failure being recognised before the exposure time, compliance with CAT.POL.H.325(c) will enable a safe forced landing on the surface.
- (4) In the event of an engine failure after the exposure time, compliance with CAT.POL.H.325(c) will enable a safe forced landing on the deck.

Figure 5

Typical landing profile PC2 to a helideck/elevated FATO with exposure time, non-hostile environment

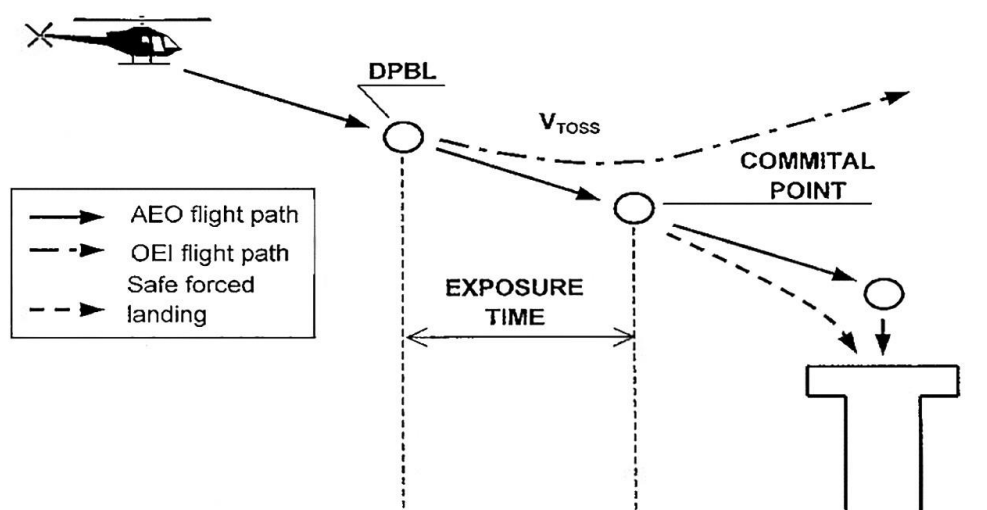


(h) Landing — non-congested hostile environment (with exposure time) **CAT.POL.H.325(c)**

- (1) Figure 6 shows a typical landing profile for performance class 2 operations to a helideck or an elevated FATO in a non-congested hostile environment (with exposure time).
- (2) In the event of an engine failure at any point during the approach and landing phase up to the start of exposure time, compliance with CAT.POL.H.325(b) will enable the helicopter, after clearing all obstacles under the flight path, to continue the flight.
- (3) In the event of an engine failure after the exposure time (i.e. at or after the committal point), a safe forced landing should be possible on the deck.

Figure 6

Typical landing profile PC2 to a helideck/elevated FATO with exposure time, non-congested hostile environment



CHAPTER 4 – PERFORMANCE CLASS 3

GM1 CAT.POL.H.400(c) General

THE TAKE-OFF AND LANDING PHASES (PERFORMANCE CLASS 3)

- (a) To understand the use of ground level exposure in performance class 3, it is important first to be aware of the logic behind the use of 'take-off and landing phases'. Once this is clear, it is easier to appreciate the aspects and limits of the use of ground level exposure. This GM shows the derivation of the term from the ICAO definition of the 'en-route phase' and then gives practical examples of the use, and limitations on the use, of ground level exposure in CAT.POL.400(c).
- (b) The take-off phase in performance class 1 and performance class 2 may be considered to be bounded by 'the specified point in the take-off' from which the take-off flight path begins.
 - (1) In performance class 1, this specified point is defined as 'the end of the take-off distance required'.
 - (2) In performance class 2, this specified point is defined as DPATO or, as an alternative, no later than 200 ft above the take-off surface.
 - (3) There is no simple equivalent point for bounding of the landing in performance classes 1 & 2.
- (c) Take-off flight path is not used in performance class 3 and, consequently, the term 'take-off and landing phases' is used to bound the limit of exposure. For the purpose of performance class 3, the take-off and landing phases are as set out in **CAT.POL.H.400(c)** and are considered to be bounded by:
 - (1) during take-off before reaching V_y (speed for best rate of climb) or 200 ft above the take-off surface; and
 - (2) during landing, below 200 ft above the landing surface.

(ICAO Annex 6 Part III, defines en-route phase as being "That part of the flight from the end of the take-off and initial climb phase to the commencement of the approach and landing phase." The use of take-off and landing phase in this text is used to distinguish the take-off from the initial climb, and the landing from the approach: they are considered to be complimentary and not contradictory.)
- (d) Ground level exposure — and exposure for elevated FATOs or helidecks in a non-hostile environment — is permitted for operations under an approval in accordance with **CAT.POL.H.305**. Exposure in this case is limited to the 'take-off and landing phases'.

The practical effect of bounding of exposure can be illustrated with the following examples:

- (1) A clearing: the operator may consider a take-off/landing in a clearing when there is sufficient power, with all engines operating, to clear all obstacles in the take-off path by an adequate margin (this, in ICAO, is meant to indicate 35 ft). Thus, the clearing may be bounded by bushes, fences, wires and, in the extreme, by power lines, high trees, etc. Once the obstacle has been cleared, by using a steep or a vertical climb (which itself may infringe the height velocity (HV) diagram), the helicopter reaches V_y or 200 ft, and from that point a safe forced landing must be possible. The effect is that whilst operation to a clearing is possible, operation to a clearing in the middle of a forest is not (except when operated in accordance with **CAT.POL.H.420**).

- (2) An aerodrome/operating site surrounded by rocks: the same applies when operating to a landing site that is surrounded by rocky ground. Once V_y or 200 ft has been reached, a safe forced landing must be possible.
- (3) An elevated FATO or helideck: when operating to an elevated FATO or helideck in performance class 3, exposure is considered to be twofold: firstly, to a deck-edge strike if the engine fails after the decision to transition has been taken; and secondly, to operations in the HV diagram due to the height of the FATO or helideck. Once the take-off surface has been cleared and the helicopter has reached the knee of the HV diagram, the helicopter should be capable of making a safe forced landing.
- (e) Operation in accordance with CAT.POL.400(b) does not permit excursions into a hostile environment as such and is specifically concerned with the absence of space to abort the take-off or landing when the take-off and landing space are limited; or when operating in the HV diagram.
- (f) Specifically, the use of this exception to the requirement for a safe forced landing (during take-off or landing) does not permit semi-continuous operations over a hostile environment such as a forest or hostile sea area.

AMC1 CAT.POL.H.420 Helicopter operations over a hostile environment located outside a congested area

SAFETY RISK ASSESSMENT

(a) Introduction

Two cases that are deemed to be acceptable for the alleviation under the conditions of **CAT.POL.H.420** for the en-route phase of the flight (operations without an assured safe forced landing capability during take-off and landing phases are subject to a separate approval under **CAT.POL.H.400(c)**) are flights over mountainous areas and remote areas, both already having been considered by the JAA in comparison to ground transport in the case of remote areas and respectively to multi-engined helicopters in the case of mountain areas.

(1) Remote areas

Remote area operation is acceptable when alternative surface transportation does not provide the same level of safety as helicopter transportation. In this case, the operator should demonstrate why the economic circumstances do not justify replacement of single-engined helicopters by multi-engined helicopters.

(2) Mountainous areas

Current generation twin-engined helicopters may not be able to meet the performance class 1 or 2 requirements at the operational altitude; consequently, the outcome of an engine failure is the same as a single-engined helicopter. In this case, the operator should justify the use of exposure in the en-route phase.

(b) Other areas of operation

For other areas of operations to be considered for the operational approval, a risk assessment should be conducted by the operator that should, at least, consider the following factors:

- (1) type of operations and the circumstances of the flight;
- (2) area/terrain over which the flight is being conducted;
- (3) probability of an engine failure and the consequence of such an event;

- (4) safety target;
- (5) procedures to maintain the reliability of the engine(s);
- (6) installation and utilisation of a usage monitoring system; and
- (7) when considered relevant, any available publications on (analysis of) accident or other safety data.

GM1 CAT.POL.H.420 Helicopter operations over a hostile environment located outside a congested area

EXAMPLE OF A SAFETY RISK ASSESSMENT

(a) Introduction

Where it can be substantiated that helicopter limitations, or other justifiable considerations, preclude the use of appropriate performance, the approval effectively alleviates from compliance with the requirement in **CAT.OP.MPA.137**, that requires the availability of surfaces that permit a safe forced landing to be executed.

Circumstances where an engine failure will result in a catastrophic event are those defined for a hostile environment:

- (1) a lack of adequate surfaces to perform a safe landing;
- (2) the inability to protect the occupants of the helicopter from the elements; or
- (3) a lack of search and rescue services to provide rescue consistent with the expected survival time in such environment.

(b) The elements of the risk assessment

The risk assessment process consists of the application of three principles:

- a safety target;
- a helicopter reliability assessment; and
- continuing airworthiness.

(1) The safety target

The main element of the risk assessment when exposure was initially introduced by the JAA into JAR-OPS 3 (NPA OPS-8), was the assumption that turbine engines in helicopters would have failure rates of about 1:100 000 per flying hour — which would permit (against the agreed safety target of 5×10^{-8} per event) an exposure of about 9 seconds for twin-engined helicopters and 18 seconds for single-engined helicopters during the take-off or landing event.

An engine failure in the en-route phase over a hostile environment will inevitably result in a higher risk (in the order of magnitude of 1×10^{-5} per flying hour) to a catastrophic event.

The approval to operate with this high risk of endangering the helicopter occupants should, therefore, only be granted against a comparative risk assessment (i.e. compared to other means of transport, the risk is demonstrated to be lower), or where there is no economic justification to replace single-engined helicopters by multi-engined helicopters.

(2) The reliability assessment

The purpose of the reliability assessment is to ensure that the engine reliability remains

at or better than 1×10^{-5} .

(3) Continuing airworthiness

Mitigating procedures consist of a number of elements:

- (i) the fulfilment of all manufacturers' safety modifications;
- (ii) a comprehensive reporting system (both failures and usage data); and
- (iii) the implementation of a usage monitoring system (UMS).

Each of these elements is to ensure that engines, once shown to be sufficiently reliable to meet the safety target, will sustain such reliability (or improve upon it).

The monitoring system is felt to be particularly important as it had already been demonstrated that when such systems are in place, it inculcates a more considered approach to operations. In addition, the elimination of 'hot starts', prevented by the UMS, itself minimises the incidents of turbine burst failures.

SECTION 3 – MASS AND BALANCE

CHAPTER 1 – MOTOR-POWERED AIRCRAFT

AMC1 CAT.POL.MAB.100(a) Mass and balance, loading

CENTRE OF GRAVITY LIMITS — OPERATIONAL CG ENVELOPE AND IN-FLIGHT CG

In the Certificate Limitations section of the AFM, forward and aft CG limits are specified. These limits ensure that the certification stability and control criteria are met throughout the whole flight and allow the proper trim setting for take-off. The operator should ensure that these limits are respected by:

- (a) Defining and applying operational margins to the certified CG envelope in order to compensate for the following deviations and errors:
 - (1) Deviations of actual CG at empty or operating mass from published values due, for example, to weighing errors, unaccounted modifications and/or equipment variations.
 - (2) Deviations in fuel distribution in tanks from the applicable schedule.
 - (3) Deviations in the distribution of baggage and cargo in the various compartments as compared with the assumed load distribution as well as inaccuracies in the actual mass of baggage and cargo.
 - (4) Deviations in actual passenger seating from the seating distribution assumed when preparing the mass and balance documentation. Large CG errors may occur when 'free seating', i.e. freedom of passengers to select any seat when entering the aircraft, is permitted. Although in most cases reasonably even longitudinal passenger seating can be expected, there is a risk of an extreme forward or aft seat selection causing very large and unacceptable CG errors, assuming that the balance calculation is done on the basis of an assumed even distribution. The largest errors may occur at a load factor of approximately 50% if all passengers are seated in either the forward or aft half of the cabin. Statistical analysis indicates that the risk of such extreme seating adversely affecting the CG is greatest on small aircraft.
 - (5) Deviations of the actual CG of cargo and passenger load within individual cargo compartments or cabin sections from the normally assumed mid position.
 - (6) Deviations of the CG caused by gear and flap positions and by application of the prescribed fuel usage procedure, unless already covered by the certified limits.
 - (7) Deviations caused by in-flight movement of cabin crew, galley equipment and passengers.
 - (8) On small aeroplanes, deviations caused by the difference between actual passenger masses and standard passenger masses when such masses are used.
- (b) Defining and applying operational procedures in order to:
 - (1) ensure an even distribution of passengers in the cabin;
 - (2) take into account any significant CG travel during flight caused by passenger/crew movement; and
 - (3) take into account any significant CG travel during flight caused by fuel consumption/transfer.

AMC1 CAT.POL.MAB.100(b) Mass and balance, loading

WEIGHING OF AN AIRCRAFT

- (a) New aircraft that have been weighed at the factory may be placed into operation without reweighing if the mass and balance records have been adjusted for alterations or modifications to the aircraft. Aircraft transferred from one EU operator to another EU operator do not have to be weighed prior to use by the receiving operator unless more than 4 years have elapsed since the last weighing.
- (b) The mass and centre of gravity (CG) position of an aircraft should be revised whenever the cumulative changes to the dry operating mass exceed $\pm 0.5\%$ of the maximum landing mass or, for aeroplanes, the cumulative change in CG position exceeds 0.5% of the mean aerodynamic chord. This may be done by weighing the aircraft or by calculation. If the AFM requires to record changes to mass and CG position below these thresholds, or to record changes in any case, and make them known to the commander, mass and CG position should be revised accordingly and made known to the commander.
- (c) When weighing an aircraft, normal precautions should be taken consistent with good practices such as:
 - (1) checking for completeness of the aircraft and equipment;
 - (2) determining that fluids are properly accounted for;
 - (3) ensuring that the aircraft is clean; and
 - (4) ensuring that weighing is accomplished in an enclosed building.
- (d) Any equipment used for weighing should be properly calibrated, zeroed, and used in accordance with the manufacturer's instructions. Each scale should be calibrated either by the manufacturer, by a civil department of weights and measures or by an appropriately authorised organisation within two years or within a time period defined by the manufacturer of the weighing equipment, whichever is less. The equipment should enable the mass of the aircraft to be established accurately. One single accuracy criterion for weighing equipment cannot be given. However, the weighing accuracy is considered satisfactory if the accuracy criteria in Table 1 are met by the individual scales/cells of the weighing equipment used:

Table 1

Accuracy criteria for weighing equipment

| For a scale/cell load | An accuracy of |
|----------------------------|----------------|
| below 2 000 kg | $\pm 1\%$ |
| from 2 000 kg to 20 000 kg | ± 20 kg |
| above 20 000 kg | $\pm 0.1\%$ |

AMC2 CAT.POL.MAB.100(b) Mass and balance, loading

FLEET MASS AND CG POSITION — AEROPLANES

- (a) For a group of aeroplanes of the same model and configuration, an average dry operating mass and CG position may be used as the fleet mass and CG position, provided that:
 - (1) the dry operating mass of an individual aeroplane does not differ by more than $\pm 0.5\%$ of the maximum structural landing mass from the established dry operating fleet mass; or
 - (2) the CG position of an individual aeroplane does not differ by more than $\pm 0.5\%$ of the mean aerodynamic chord from the established fleet CG.
- (b) The operator should verify that, after an equipment or configuration change or after weighing, the aeroplane falls within the tolerances above.
- (c) To add an aeroplane to a fleet operated with fleet values, the operator should verify by weighing or calculation that its actual values fall within the tolerances specified in (a)(1) and (2).
- (d) To obtain fleet values, the operator should weigh, in the period between two fleet mass evaluations, a certain number of aeroplanes as specified in Table 1, where 'n' is the number of aeroplanes in the fleet using fleet values. Those aeroplanes in the fleet that have not been weighed for the longest time should be selected first.

Table 1

Minimum number of weighings to obtain fleet values

| Number of aeroplanes in the fleet | Minimum number of weighings |
|-----------------------------------|-----------------------------|
| 2 or 3 | n |
| 4 to 9 | $(n + 3)/2$ |
| 10 or more | $(n + 51)/10$ |

- (e) The interval between two fleet mass evaluations should not exceed 48 months.
- (f) The fleet values should be updated at least at the end of each fleet mass evaluation.
- (g) Aeroplanes that have not been weighed since the last fleet mass evaluation may be kept in a fleet operated with fleet values, provided that the individual values are revised by calculation and stay within the tolerances above. If these individual values no longer fall within the tolerances, the operator should determine new fleet values or operate aeroplanes not falling within the limits with their individual values.
- (h) If an individual aeroplane mass is within the dry operating fleet mass tolerance but its CG position exceeds the tolerance, the aeroplane may be operated under the applicable dry operating fleet mass but with an individual CG position.
- (i) Aeroplanes for which no mean aerodynamic chord has been published, should be operated with their individual mass and CG position values. They may be operated under the dry operating fleet mass and CG position, provided that a risk assessment has been completed.

AMC1 CAT.POL.MAB.100(d) Mass and balance, loading

DRY OPERATING MASS

The dry operating mass includes:

- (a) crew and crew baggage;
- (b) catering and removable passenger service equipment; and
- (c) tank water and lavatory chemicals.

AMC2 CAT.POL.MAB.100(d) Mass and balance, loading

MASS VALUES FOR CREW MEMBERS

- (a) The operator should use the following mass values for crew to determine the dry operating mass:
 - (1) actual masses including any crew baggage; or
 - (2) standard masses, including hand baggage, of 85 kg for flight crew/technical crew members and 75 kg for cabin crew members.
- (b) The operator should correct the dry operating mass to account for any additional baggage. The position of this additional baggage should be accounted for when establishing the centre of gravity of the aeroplane.

AMC1 CAT.POL.MAB.100(e) Mass and balance, loading

MASS VALUES FOR PASSENGERS AND BAGGAGE

- (a) When the number of passenger seats available is:

- (1) less than 10 for aeroplanes; or
- (2) less than 6 for helicopters,

passenger mass may be calculated on the basis of a statement by, or on behalf of, each passenger, adding to it a predetermined mass to account for hand baggage and clothing.

The predetermined mass for hand baggage and clothing should be established by the operator on the basis of studies relevant to his particular operation. In any case, it should not be less than:

- (1) 4 kg for clothing; and
- (2) 6 kg for hand baggage.

The passengers' stated mass and the mass of passengers' clothing and hand baggage should be checked prior to boarding and adjusted, if necessary. The operator should establish a procedure in the operations manual when to select actual or standard masses and the procedure to be followed when using verbal statements.

- (b) When determining the actual mass by weighing, passengers' personal belongings and hand baggage should be included. Such weighing should be conducted immediately prior to boarding the aircraft.

- (c) When determining the mass of passengers by using standard mass values, the standard mass values in Tables 1 and 2 below should be used. The standard masses include hand baggage and the mass of any infant carried by an adult on one passenger seat. Infants occupying separate passenger seats should be considered as children for the purpose of this AMC. When the total number of passenger seats available on an aircraft is 20 or more, the standard masses for males and females in Table 1 should be used. As an alternative, in cases where the total number of passenger seats available is 30 or more, the 'All Adult' mass values in Table 1 may be used.

Table 1

Standard masses for passengers — aircraft with a total number of passenger seats of 20 or more

| Passenger seats: | 20 and more | | 30 and more |
|-------------------------------------|-------------|--------|-------------|
| | Male | Female | All adult |
| All flights except holiday charters | 88 kg | 70 kg | 84 kg |
| Holiday charters(*) | 83 kg | 69 kg | 76 kg |
| Children | 35 kg | 35 kg | 35 kg |

- (*) Holiday charter means a charter flight that is part of a holiday travel package. On such flights the entire passenger capacity is hired by one or more charterer(s) for the carriage of passengers who are travelling, all or in part by air, on a round- or circle-trip basis for holiday purposes. The holiday charter mass values apply provided that not more than 5 % of passenger seats installed in the aircraft are used for the non-revenue carriage of certain categories of passengers. Categories of passengers such as company personnel, tour operators' staff, representatives of the press, authority officials, etc. can be included within the 5% without negating the use of holiday charter mass values.

Table 2

Standard masses for passengers — aircraft with a total number of passenger seats of 19 or less

| Passenger seats: | 1 - 5 | 6 - 9 | 10 - 19 |
|------------------|--------|-------|---------|
| Male | 104 kg | 96 kg | 92 kg |
| Female | 86 kg | 78 kg | 74 kg |
| Children | 35 kg | 35 kg | 35 kg |

- (1) On aeroplane flights with 19 passenger seats or less and all helicopter flights where no hand baggage is carried in the cabin or where hand baggage is accounted for separately, 6 kg may be deducted from male and female masses in Table 2. Articles such as an overcoat, an umbrella, a small handbag or purse, reading material or a small camera are not considered as hand baggage.
- (2) For helicopter operations in which a survival suit is provided to passengers, 3 kg should be added to the passenger mass value.
- (d) Mass values for baggage
- (1) Aeroplanes. When the total number of passenger seats available on the aeroplane is 20 or more, the standard mass values for checked baggage of Table 3 should be used.
- (2) Helicopters. When the total number of passenger seats available on the helicopters is 20 or more, the standard mass value for checked baggage should be 13 kg.
- (3) For aircraft with 19 passenger seats or less, the actual mass of checked baggage should be determined by weighing.

Table 3

Standard masses for baggage — aeroplanes with a total number of passenger seats of 20 or more

| Type of flight | Baggage standard mass |
|----------------------------|-----------------------|
| Domestic | 11 kg |
| Within the European region | 13 kg |
| Intercontinental | 15 kg |
| All other | 13 kg |

(4) For the purpose of Table 3:

- (i) domestic flight means a flight with origin and destination within the borders of one State;
- (ii) flights within the European region mean flights, other than domestic flights, whose origin and destination are within the area specified in (d)(5); and
- (iii) intercontinental flight means flights beyond the European region with origin and destination in different continents.

(5) Flights within the European region are flights conducted within the following area:

- N7200 E04500
- N4000 E04500
- N3500 E03700
- N3000 E03700
- N3000 W00600
- N2700 W00900
- N2700 W03000
- N6700 W03000
- N7200 W01000
- N7200 E04500

as depicted in Figure 1.

Figure 1

The European region



- (f) Other standard masses may be used provided they are calculated on the basis of a detailed weighing survey plan and a reliable statistical analysis method is applied. The operator should advise the CAA about the intent of the passenger weighing survey and explain the survey plan in general terms. The revised standard mass values should only be used in circumstances comparable with those under which the survey was conducted. Where the revised standard masses exceed those in Tables 1, 2 and 3 of, then such higher values should be used.
- (g) On any flight identified as carrying a significant number of passengers whose masses, including hand baggage, are expected to significantly deviate from the standard passenger mass, the operator should determine the actual mass of such passengers by weighing or by adding an adequate mass increment.
- (h) If standard mass values for checked baggage are used and a significant number of passengers checked baggage is expected to significantly deviate from the standard baggage mass, the operator should determine the actual mass of such baggage by weighing or by adding an adequate mass increment.

AMC2 CAT.POL.MAB.100(e) Mass and balance, loading

PROCEDURE FOR ESTABLISHING REVISED STANDARD MASS VALUES FOR PASSENGERS AND BAGGAGE

- (a) **Passengers**
 - (1) **Weight sampling method.** The average mass of passengers and their hand baggage should be determined by weighing, taking random samples. The selection of random samples should by nature and extent be representative of the passenger volume, considering the type of operation, the frequency of flights on various routes, in/outbound flights, applicable season and seat capacity of the aircraft.

- (2) Sample size. The survey plan should cover the weighing of at least the greatest of:
 - (i) a number of passengers calculated from a pilot sample, using normal statistical procedures and based on a relative confidence range (accuracy) of 1 % for all adult and 2 % for separate male and female average masses; and
 - (ii) for aircraft:
 - (A) with a passenger seating capacity of 40 or more, a total of 2 000 passengers; or
 - (B) with a passenger seating capacity of less than 40, a total number of 50 multiplied by the passenger seating capacity.
- (3) Passenger masses. Passenger masses should include the mass of the passengers' belongings that are carried when entering the aircraft. When taking random samples of passenger masses, infants should be weighted together with the accompanying adult.
- (4) Weighing location. The location for the weighing of passengers should be selected as close as possible to the aircraft, at a point where a change in the passenger mass by disposing of or by acquiring more personal belongings is unlikely to occur before the passengers board the aircraft.
- (5) Weighing machine. The weighing machine used for passenger weighing should have a capacity of at least 150 kg. The mass should be displayed at minimum graduations of 500 g. The weighing machine should have an accuracy of at least 0.5 % or 200 g, whichever is greater.
- (6) Recording of mass values. For each flight included in the survey the mass of the passengers, the corresponding passenger category (i.e. male/female/children) and the flight number should be recorded.
- (b) Checked baggage. The statistical procedure for determining revised standard baggage mass values based on average baggage masses of the minimum required sample size should comply with (a)(1) and (a)(2). For baggage, the relative confidence range (accuracy) should amount to 1 %. A minimum of 2 000 pieces of checked baggage should be weighed.
- (c) Determination of revised standard mass values for passengers and checked baggage
 - (1) To ensure that, in preference to the use of actual masses determined by weighing, the use of revised standard mass values for passengers and checked baggage does not adversely affect operational safety, a statistical analysis should be carried out. Such an analysis should generate average mass values for passengers and baggage as well as other data.
 - (2) On aircraft with 20 or more passenger seats, these averages apply as revised standard male and female mass values.
 - (3) On aircraft with 19 passenger seats or less, the increments in Table 1 should be added to the average passenger mass to obtain the revised standard mass values.

Table 1

Increments for revised standard masses values

| Number of passenger seats | Required mass increment |
|---------------------------|-------------------------|
| 1 – 5 incl. | 16 kg |
| 6 – 9 incl. | 8 kg |
| 10 – 19 incl. | 4 kg |

Alternatively, all adult revised standard (average) mass values may be applied on aircraft with 30 or more passenger seats. Revised standard (average) checked baggage mass values are applicable to aircraft with 20 or more passenger seats.

- (4) The revised standard masses should be reviewed at intervals not exceeding 5 years.
- (5) All adult revised standard mass values should be based on a male/female ratio of 80/20 in respect of all flights except holiday charters that are 50/50. A different ratio on specific routes or flights may be used, provided supporting data shows that the alternative male/female ratio is conservative and covers at least 84 % of the actual male/female ratios on a sample of at least 100 representative flights.
- (6) The resulting average mass values should be rounded to the nearest whole number in kg. Checked baggage mass values should be rounded to the nearest 0.5 kg figure, as appropriate.
- (7) When operating on similar routes or networks, operators may pool their weighing surveys provided that in addition to the joint weighing survey results, results from individual operators participating in the joint survey are separately indicated in order to validate the joint survey results.

GM1 CAT.POL.MAB.100(e) Mass and balance, loading

ADJUSTMENT OF STANDARD MASSES

When standard mass values are used, **AMC1 CAT.POL.MAB.100(e)** subparagraph (g) states that the operator should identify and adjust the passenger and checked baggage masses in cases where significant numbers of passengers or quantities of baggage are suspected of significantly deviating from the standard values. Therefore, the operations manual should contain instructions to ensure that:

- (a) check-in, operations and cabin staff and loading personnel report or take appropriate action when a flight is identified as carrying a significant number of passengers whose masses, including hand baggage, are expected to significantly deviate from the standard passenger mass, and/or groups of passengers carrying exceptionally heavy baggage (e.g. military personnel or sports teams); and
- (b) on small aircraft, where the risks of overload and/or CG errors are the greatest, pilots pay special attention to the load and its distribution and make proper adjustments.

GM2 CAT.POL.MAB.100(e) Mass and Balance, Loading

STATISTICAL EVALUATION OF PASSENGERS AND BAGGAGE DATA

- (a) Sample size
 - (1) For calculating the required sample size, it is necessary to make an estimate of the standard deviation on the basis of standard deviations calculated for similar populations or for preliminary surveys. The precision of a sample estimate is calculated for 95 % reliability or 'significance', i.e. there is a 95 % probability that the true value falls within the specified confidence interval around the estimated value. This standard deviation value is also used for calculating the standard passenger mass.

- (2) As a consequence, for the parameters of mass distribution, i.e. mean and standard deviation, three cases have to be distinguished:

- (i) μ, σ = the true values of the average passenger mass and standard deviation, which are unknown and which are to be estimated by weighing passenger samples.
- (ii) μ', σ' = the 'a priori' estimates of the average passenger mass and the standard deviation, i.e. values resulting from an earlier survey, which are needed to determine the current sample size.
- (iii) \bar{x}, s = the estimates for the current true values of μ and σ , calculated from the sample.

The sample size can then be calculated using the following formula:

$$n \geq \frac{(1.96 \cdot \sigma' / \mu')^2}{e_r'^2}$$

where:

n = number of passengers to be weighed (sample size)

e_r' = allowed relative confidence range (accuracy) for the estimate of μ by \bar{x} (see also equation in (c)). The allowed relative confidence range specifies the accuracy to be achieved when estimating the true mean. For example, if it is proposed to estimate the true mean to within $\pm 1\%$, then e_r' will be 1 in the above formula.

1.96 = value from the Gaussian distribution for 95 % significance level of the resulting confidence interval.

- (b) Calculation of average mass and standard deviation. If the sample of passengers weighed is drawn at random, then the arithmetic mean of the sample (\bar{x}) is an unbiased estimate of the true average mass (μ) of the population.

- (1) Arithmetic mean of sample where:

$$\bar{x} = \frac{\sum_{j=1}^n x_j}{n}$$

x_j = mass values of individual passengers (sampling units).

- (2) Standard deviation where:

$$S = \sqrt{\frac{\sum_{j=1}^n (x_j - \bar{x})^2}{n - 1}}$$

$x_j - \bar{x}$ = deviation of the individual value from the sample mean.

- (c) Checking the accuracy of the sample mean. The accuracy (confidence range) which can be ascribed to the sample mean as an indicator of the true mean is a function of the standard deviation of the sample which has to be checked after the sample has been evaluated. This is done using the formula:

$$e_r = \frac{1.96 S}{\bar{x} \sqrt{n}} (\%)$$

whereby e_r should not exceed 1 % for an all adult average mass and 2 % for an average male
and/or female mass. The result of this calculation gives the relative accuracy of the estimate of
AMC-GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

ANNEX IV (Part-CAT)

SUBPART C AIRCRAFT PERFORMANCE
AND OPERATING LIMITATIONS

μ at the 95 % significance level. This means that with 95 % probability, the true average mass μ lies within the interval:

$$\bar{x} \pm \frac{1.96 S}{\sqrt{n}}$$

(d) Example of determination of the required sample size and average passenger mass

- (1) Introduction. Standard passenger mass values for mass and balance purposes require passenger weighing programs to be carried out. The following example shows the various steps required for establishing the sample size and evaluating the sample data. It is provided primarily for those who are not well versed in statistical computations. All mass figures used throughout the example are entirely fictitious.
- (2) Determination of required sample size. For calculating the required sample size, estimates of the standard (average) passenger mass and the standard deviation are needed. The 'a priori' estimates from an earlier survey may be used for this purpose. If such estimates are not available, a small representative sample of about 100 passengers should be weighed so that the required values can be calculated. The latter has been assumed for the example.

Step 1: Estimated average passenger mass.

| n | x_j (kg) |
|-------------|------------|
| 1 | 79.9 |
| 2 | 68.1 |
| 3 | 77.9 |
| 4 | 74.5 |
| 5 | 54.1 |
| 6 | 62.2 |
| 7 | 89.3 |
| 8 | 108.7 |
| . | . |
| 85 | 63.2 |
| 86 | 75.4 |
| $\sum_{j=}$ | 6 071.6 |

$$\begin{aligned} \mu' = \bar{x} &= \frac{\sum x_j}{n} = \frac{6071.6}{86} \\ &= 70.6 \text{ kg} \end{aligned}$$

Step 2: Estimated standard deviation.

| n | x_j | $(x_j - \bar{x})$ | $(x_j - \bar{x})^2$ |
|-------------|---------|-------------------|---------------------|
| 1 | 79.9 | +9.3 | 86.49 |
| 2 | 68.1 | -2.5 | 6.25 |
| 3 | 77.9 | +7.3 | 53.29 |
| 4 | 74.5 | +3.9 | 15.21 |
| 5 | 54.1 | -16.5 | 272.25 |
| 6 | 62.2 | -8.4 | 70.56 |
| 7 | 89.3 | +18.7 | 349.69 |
| 8 | 108.7 | +38.1 | 1 451.61 |
| . | . | . | . |
| 85 | 63.2 | -7.4 | 54.76 |
| 86 | 75.4 | -4.8 | 23.04 |
| $\sum_{j=}$ | 6 071.6 | | 34 683.40 |

$$\sigma' = \sqrt{\frac{\sum (x_j - \bar{x})^2}{n - 1}}$$

$$\sigma' = \sqrt{\frac{34\,683.40}{86 - 1}}$$

$$\sigma' = 20.20 \text{ kg}$$

Step 3: Required sample size.

The required number of passengers to be weighed should be such that the confidence range, e'_r , does not exceed 1 %, as specified in (c).

$$n \geq \frac{(1.96 \sigma' 100)^2}{(e'_r \mu')^2}$$

$$n \geq \frac{(1.96 \cdot 20.20 \cdot 100)^2}{(1.706)^2}$$

$$n \geq 3145$$

The result shows that at least 3 145 passengers should be weighed to achieve the required accuracy. If e'_r is chosen as 2 % the result would be $n \geq 786$.

Step 4: After having established the required sample size, a plan for weighing the passengers is to be worked out.

(3) Determination of the passenger average mass

Step 1: Having collected the required number of passenger mass values, the average passenger mass can be calculated. For the purpose of this example, it has been assumed

that 3 180 passengers were weighed. The sum of the individual masses amounts to 231 186.2 kg.

$$n = 3\,180$$

$$\sum_{j=1}^n X_j = 231\,186.2 \text{ kg}$$

$$\bar{x} = \frac{\sum x_j}{n} = \frac{231\,186.2}{3\,180} \text{ kg}$$

$$\bar{x} = 72.7 \text{ kg}$$

Step 2: Calculation of the standard deviation

For calculating the standard deviation, the method shown in paragraph (2) step 2 should be applied.

$$\sum (x_j - \bar{x})^2 = 745\,145.20$$

$$s = \sqrt{\frac{\sum (x_j - \bar{x})^2}{n - 1}}$$

$$s = \sqrt{\frac{745\,145.20}{3\,180 - 1}}$$

$$s = 15.31 \text{ kg}$$

Step 3: Calculation of the accuracy of the sample mean

$$e_r = \frac{1.96 \cdot s}{\sqrt{n} \cdot \bar{x}} \%$$

$$e_r = \frac{1.96 \cdot 15.31}{\sqrt{3\,180} \cdot 72.7} \%$$

$$e_r = 0.73 \%$$

Step 4: Calculation of the confidence range of the sample mean

$$\bar{x} \pm \frac{1.96 \cdot s}{\sqrt{n}}$$

$$\bar{x} \pm \frac{1\ 96\ 15\ 31}{\sqrt{3180}} \text{ kg}$$

$$72.7 \pm 0.5 \text{ kg}$$

The result of this calculation shows that there is a 95 % probability of the actual mean for all passengers lying within the range 72.2 kg to 73.2 kg.

GM3 CAT.POL.MAB.100(e) Mass and balance, loading

GUIDANCE ON PASSENGER WEIGHING SURVEYS

(a) Detailed survey plan

- (1) The operator should establish and submit to the CAA a detailed weighing survey plan that is fully representative of the operation, i.e. the network or route under consideration and the survey should involve the weighing of an adequate number of passengers.
- (2) A representative survey plan means a weighing plan specified in terms of weighing locations, dates and flight numbers giving a reasonable reflection of the operator's timetable and/or area of operation.
- (3) The minimum number of passengers to be weighed is the highest of the following:
 - (i) The number that follows from the means of compliance that the sample should be representative of the total operation to which the results will be applied; this will often prove to be the overriding requirement.
 - (ii) The number that follows from the statistical requirement specifying the accuracy of the resulting mean values, which should be at least 2 % for male and female standard masses and 1 % for all adult standard masses, where applicable. The required sample size can be estimated on the basis of a pilot sample (at least 100 passengers) or from a previous survey. If analysis of the results of the survey indicates that the requirements on the accuracy of the mean values for male or female standard masses or all adult standard masses, as applicable, are not met, an additional number of representative passengers should be weighed in order to satisfy the statistical requirements.
- (4) To avoid unrealistically small samples, a minimum sample size of 2 000 passengers (males + females) is also required, except for small aircraft where in view of the burden of the large number of flights to be weighed to cover 2 000 passengers, a lesser number is considered acceptable.

(b) Execution of weighing programme

- (1) At the beginning of the weighing programme, it is important to note, and to account for, the data requirements of the weighing survey report (see (e)).
- (2) As far as is practicable, the weighing programme should be conducted in accordance with the specified survey plan.
- (3) Passengers and all their personal belongings should be weighed as close as possible to the boarding point and the mass, as well as the associated passenger category (male/female/child), should be recorded.

- (c) Analysis of results of weighing survey. The data of the weighing survey should be analysed as explained in this GM. To obtain an insight to variations per flight, per route, etc. this analysis should be carried out in several stages, i.e. by flight, by route, by area, inbound/outbound, etc. Significant deviations from the weighing survey plan should be explained as well as their possible effect(s) on the results.
- (d) Results of the weighing survey
- (1) The results of the weighing survey should be summarised. Conclusions and any proposed deviations from published standard mass values should be justified. The results of a passenger weighing survey are average masses for passengers, including hand baggage, which may lead to proposals to adjust the standard mass values given in **AMC1 CAT.POL.MAB.100(e)** Tables 1 and 2. These averages, rounded to the nearest whole number may, in principle, be applied as standard mass values for males and females on aircraft with 20 or more passenger seats. Because of variations in actual passenger masses, the total passenger load also varies and statistical analysis indicates that the risk of a significant overload becomes unacceptable for aircraft with less than 20 seats. This is the reason for passenger mass increments on small aircraft.
 - (2) The average masses of males and females differ by some 15 kg or more. Because of uncertainties in the male/female ratio, the variation of the total passenger load is greater if all adult standard masses are used than when using separate male and female standard masses. Statistical analysis indicates that the use of all adult standard mass values should be limited to aircraft with 30 passenger seats or more.
 - (3) Standard mass values for all adults must be based on the averages for males and females found in the sample, taking into account a reference male/female ratio of 80/20 for all flights except holiday charters where a ratio of 50/50 applies. The operator may, based on the data from his weighing programme, or by proving a different male/female ratio, apply for approval of a different ratio on specific routes or flights.
- (e) Weighing survey report

The weighing survey report, reflecting the content of (d)(1) - (3), should be prepared in a standard format as follows:

WEIGHING SURVEY REPORT

1 Introduction

Objective and brief description of the weighing survey.

2 Weighing survey plan

Discussion of the selected flight number, airports, dates, etc.

Determination of the minimum number of passengers to be weighed.

Survey plan.

3 Analysis and discussion of weighing survey results

Significant deviations from survey plan (if any).

Variations in means and standard deviations in the network.

Discussion of the (summary of) results.

4 Summary of results and conclusions

Main results and conclusions.

Proposed deviations from published standard mass values.

Attachment 1

Applicable summer and/or winter timetables or flight programmes.

Attachment 2

Weighing results per flight (showing individual passenger masses and sex); means and standard deviations per flight, per route, per area and for the total network.

GM1 CAT.POL.MAB.100(g) Mass and balance, loading

FUEL DENSITY

- (a) If the actual fuel density is not known, the operator may use standard fuel density values for determining the mass of the fuel load. Such standard values should be based on current fuel density measurements for the airports or areas concerned.
- (b) Typical fuel density values are:
- | | | | |
|-----|-------------------------------|---|------|
| (1) | Gasoline (piston engine fuel) | – | 0.71 |
| (2) | JET A1 (Jet fuel JP 1) | – | 0.79 |
| (3) | JET B (Jet fuel JP 4) | – | 0.76 |
| (4) | Oil | – | 0.88 |

GM1 CAT.POL.MAB.100(i) Mass and balance, loading

IN-FLIGHT CHANGES IN LOADING — HELICOPTERS

In-flight changes in loading may occur in hoist operations.

AMC1 CAT.POL.MAB.105(a) Mass and balance data and documentation

CONTENTS

The mass and balance documentation should include advice to the commander whenever a non-standard method has been used for determining the mass of the load.

AMC1 CAT.POL.MAB.105(b) Mass and balance data and documentation

INTEGRITY

The operator should verify the integrity of mass and balance data and documentation generated by a computerised mass and balance system, at intervals not exceeding 6 months. The operator should establish a system to check that amendments of its input data are incorporated properly in the system and that the system is operating correctly on a continuous basis.

AMC1 CAT.POL.MAB.105(c) Mass and balance data and documentation

SIGNATURE OR EQUIVALENT

Where a signature by hand is impracticable or it is desirable to arrange the equivalent verification by electronic means, the following conditions should be applied in order to make an electronic signature the equivalent of a conventional hand-written signature:

- (a) electronic 'signing' by entering a personal identification number (PIN) code with appropriate security, etc.;
- (b) entering the PIN code generates a print-out of the individual's name and professional capacity on the relevant document(s) in such a way that it is evident, to anyone having a need for that information, who has signed the document;
- (c) the computer system logs information to indicate when and where each PIN code has been entered;
- (d) the use of the PIN code is, from a legal and responsibility point of view, considered to be fully equivalent to signature by hand;
- (e) the requirements for record keeping remain unchanged; and.
- (f) all personnel concerned are made aware of the conditions associated with electronic signature and this is documented.

AMC2 CAT.POL.MAB.105(c) Mass and balance data and documentation

MASS AND BALANCE DOCUMENTATION SENT VIA DATA LINK

Whenever the mass and balance documentation is sent to the aircraft via data link, a copy of the final mass and balance documentation, as accepted by the commander, should be available on the ground.

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

SECTION 1 – AEROPLANES

GM1 CAT.IDE.A.100(a) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH COMMISSION REGULATION (EU) NO 748/2012

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **CAT.IDE.A.100(a)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 CAT.IDE.A.100(b) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH COMMISSION REGULATION (EU) NO 748/2012, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with Commission Regulation (EU) No 748/2012¹. In this case, the installation should be approved as required in Commission Regulation (EU) No 748/2012 and should comply with the applicable Certification Specifications as required under the same Regulation.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by Commission Regulation (EU) No 748/2012 or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aeroplane. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by flight crew or cabin crew; and
 - (3) non-installed passenger entertainment equipment.

GM1 CAT.IDE.A.100(d) Instruments and equipment – general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required to be installed in an aeroplane operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

¹ Commission Regulation (EU) No 748/2012 of 3 August 2012 laying down implementing rules for the airworthiness and environmental certification of aircraft and related products, parts and appliances, as well as for the certification of design and production organisations (OJ L 224, 21.8.2012, p. 1)

GM1 CAT.IDE.A.110 Spare electrical fuses

FUSES

A 'spare electrical fuse' means a replaceable fuse in the flight crew compartment, not an automatic circuit breaker, or circuit breakers in the electric compartments.

AMC1 CAT.IDE.A.120 Equipment to clear windshield

MEANS TO MAINTAIN A CLEAR PORTION OF THE WINDSHIELD DURING PRECIPITATION

The means used to maintain a clear portion of the windshield during precipitation should be windshield wipers or an equivalent.

AMC1 CAT.IDE.A.125 & CAT.IDE.A.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays, provided that the information so available to each required pilot is not less than that required in the applicable operational requirements, and the equivalent safety of the installation has been shown during type certification approval of the aeroplane for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, aeroplane attitude and stabilised aeroplane heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC2 CAT.IDE.A.125 Operations under VFR by day — flight and navigational instruments and associated equipment

LOCAL FLIGHTS

For flights that do not exceed 60 minutes' duration, that take off and land at the same aerodrome and that remain within 50 NM of that aerodrome, an equivalent means of complying with **CAT.IDE.A.125 (a)(1)(vi)** may be:

- (a) a turn and slip indicator;
- (b) a turn coordinator; or
- (c) both an attitude indicator and a slip indicator.

AMC1 CAT.IDE.A.125(a)(1)(i) & CAT.IDE.A.130(a)(1) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 CAT.IDE.A.125(a)(1)(ii) & CAT.IDE.A.130(a)(2) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

AMC1 CAT.IDE.A.125(a)(1)(iii) & CAT.IDE.A.130(b) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying barometric altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 CAT.IDE.A.125(a)(1)(iv) & CAT.IDE.A.130(a)(3) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

The instrument indicating airspeed should be calibrated in knots (kt).

AMC1 CAT.IDE.A.125(a)(1)(ix) & CAT.IDE.A.130(a)(8) Operations under VFR by day & operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) The means of displaying outside air temperature may be an air temperature indicator that

provides indications that are convertible to outside air temperature.

ANNEX IV (Part-CAT)

**AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)**

**SUBPART D: INSTRUMENTS, DATA,
EQUIPMENT**

AMC1 CAT.IDE.A.125(b) & CAT.IDE.A.130(h) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments should include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 CAT.IDE.A.125(c) & CAT.IDE.A.130(d) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

GM1 CAT.IDE.A.125 & CAT.IDE.A.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

SUMMARY TABLE

Table 1

Flight and navigational instruments and associated equipment

| SERIAL | | FLIGHTS UNDER VFR | | FLIGHTS UNDER IFR OR AT NIGHT | |
|------------|-----------------------------------|-------------------|-----------------------------|-------------------------------|---------------------|
| INSTRUMENT | | SINGLE-PILOT | TWO PILOTS REQUIRED | SINGLE-PILOT | TWO PILOTS REQUIRED |
| 1 | Magnetic direction | 1 | 1 | 1 | 1 |
| 2 | Time | 1 | 1 | 1 | 1 |
| 3 | Pressure altitude | 1 | 2 | 2 Note (5) | 2 Note (5) |
| 4 | Indicated airspeed | 1 | 2 | 1 | 2 |
| 5 | Vertical speed | 1 | 2 | 1 | 2 |
| 6 | Turn and slip or turn coordinator | 1 Note (1) | 2 Note (1) & Note (2) | 1 Note (4) | 2 Note (4) |
| 7 | Attitude | 1 Note (1) | 2 Note (1) & Note (2) | 1 | 2 |
| 8 | Stabilised direction | 1 Note (1) | 2 Note (1) & Note (2) | 1 | 2 |
| 9 | Outside air temperature | 1 | 1 | 1 | 1 |
| 10 | Mach number indicator | See Note (3) | | | |

| SERIAL | | FLIGHTS UNDER VFR | | FLIGHTS UNDER IFR OR AT NIGHT | |
|------------|----------------------------------------------|-------------------|------------------------|-------------------------------|------------------------|
| INSTRUMENT | | SINGLE-PILOT | TWO PILOTS REQUIRED | SINGLE-PILOT | TWO PILOTS REQUIRED |
| 11 | Airspeed icing protection | 1 Note (6) | 2 Note (6) | 1 | 2 |
| 12 | Airspeed icing protection failure indicating | | | 1 Note (7) | 2 Note (7) |
| 13 | Static pressure source | | | 2 | 2 |
| 14 | Standby attitude indicator | | | 1 Note (8) | 1 Note (8) |
| 15 | Chart holder | | | 1 Note (6) | 1 Note (6) |

Note (1) For local flights (A to A, 50 NM radius, not more than 60 minutes' duration), the instruments at serials (a)(6) and (a)(8) may be replaced by either a turn and slip indicator, or a turn coordinator, or both an attitude indicator and a slip indicator.

Note (2) The substitute instruments permitted by Note (1) above should be provided at each pilot's station.

Note (3) A Mach number indicator is required for each pilot whenever compressibility limitations are not otherwise indicated by airspeed indicators.

Note (4) For IFR or at night, a turn and slip indicator, or a slip indicator and a third (standby) attitude indicator certified according to CS 25.1303 (b)(4) or equivalent, is required.

Note (5) Except for unpressurised aeroplanes operating below 10 000 ft, neither three pointers, nor drum-pointer altimeters satisfy the requirement.

Note (6) Applicable only to aeroplanes with a maximum certified take-off mass (MCTOM) of more than 5 700 kg, or with an MOPSC of more than 9. It also applies to all aeroplanes first issued with an individual certificate of airworthiness (CofA) on or after 1 April 1999.

Note (7) The pitot heater failure annunciation applies to any aeroplane issued with an individual CofA on or after 1 April 1998. It also applies before that date when: the aeroplane has an MCTOM of more than 5 700 kg and an MOPSC greater than 9.

Note (8) Applicable only to aeroplanes with an MCTOM of more than 5 700 kg, or with an MOPSC of more than 9.

AMC1 CAT.IDE.A.125 & CAT.IDE.A.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays, provided that the information so available to each required pilot is not less than that required in the applicable operational requirements, and the equivalent safety of the installation has been shown during type certification approval of the aeroplane for the intended type of operation.
- The means of measuring and indicating turn and slip, aeroplane attitude and stabilised aeroplane heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC1 CAT.IDE.A.125(a)(1)(i) & CAT.IDE.A.130(a)(1) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment**MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING**

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 CAT.IDE.A.125(a)(1)(ii) & CAT.IDE.A.130(a)(2) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment**MEANS OF MEASURING AND DISPLAYING THE TIME**

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

AMC1 CAT.IDE.A.125(a)(1)(iv) & CAT.IDE.A.130(a)(3) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment**CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED**

The instrument indicating airspeed should be calibrated in knots (kt).

AMC1 CAT.IDE.A.130(a)(5) Operations under IFR or at night — flight and navigational instruments and associated equipment**SLIP INDICATOR**

If only slip indication is provided, the means of measuring and displaying standby attitude should be certified according to CS 25.1303(b)(4) or equivalent.

AMC1 CAT.IDE.A.125(a)(1)(ix) & CAT.IDE.A.130(a)(8) Operations under VFR by day & operations under IFR or at night – flight and navigational instruments and associated equipment**MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE**

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 CAT.IDE.A.125(a)(1)(iii) & CAT.IDE.A.130(b) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying barometric altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC2 CAT.IDE.A.130(b) Operations under IFR or at night – flight and navigational instruments and associated equipment

ALTIMETERS — IFR OR NIGHT OPERATIONS

Except for unpressurised aeroplanes operating below 10 000 ft, the altimeters of aeroplanes operating under IFR or at night should have counter drum-pointer or equivalent presentation.

AMC1 CAT.IDE.A.125(c) & CAT.IDE.A.130(d) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 CAT.IDE.A.130(e) Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF INDICATING FAILURE OF THE AIRSPEED INDICATING SYSTEM'S MEANS OF PREVENTING MALFUNCTION DUE TO EITHER CONDENSATION OR ICING

A combined means of indicating failure of the airspeed indicating system's means of preventing malfunction due to either condensation or icing is acceptable provided that it is visible from each flight crew station and that there is a means to identify the failed heater in systems with two or more sensors.

AMC1 CAT.IDE.A.125(b) & CAT.IDE.A.130(h) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments should include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 CAT.IDE.A.130(i)(5) Operations under IFR or at night – flight and navigational instruments and associated equipment

ILLUMINATION OF STANDBY MEANS OF MEASURING AND DISPLAYING ATTITUDE

The standby means of measuring and displaying attitude should be illuminated so as to be clearly visible under all conditions of daylight and artificial lighting.

AMC1 CAT.IDE.A.130(j) Operations under IFR or at night — flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement is to display a pre-composed chart on an electronic flight bag (EFB).

GM1 CAT.IDE.A.125 & CAT.IDE.A.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

SUMMARY TABLE

Table 1

Flight and navigational instruments and associated equipment

| SERIAL | | FLIGHTS UNDER VFR | | FLIGHTS UNDER IFR OR AT NIGHT | |
|------------|----------------------------------------------|-------------------|-----------------------------|-------------------------------|---------------------|
| INSTRUMENT | | SINGLE-PILOT | TWO PILOTS REQUIRED | SINGLE-PILOT | TWO PILOTS REQUIRED |
| 1 | Magnetic direction | 1 | 1 | 1 | 1 |
| 2 | Time | 1 | 1 | 1 | 1 |
| 3 | Pressure altitude | 1 | 2 | 2 Note (5) | 2 Note (5) |
| 4 | Indicated airspeed | 1 | 2 | 1 | 2 |
| 5 | Vertical speed | 1 | 2 | 1 | 2 |
| 6 | Turn and slip or turn coordinator | 1 Note (1) | 2 Note (1) & Note (2) | 1 Note (4) | 2 Note (4) |
| 7 | Attitude | 1 Note (1) | 2 Note (1) & Note (2) | 1 | 2 |
| 8 | Stabilised direction | 1 Note (1) | 2 Note (1) & Note (2) | 1 | 2 |
| 9 | Outside air temperature | 1 | 1 | 1 | 1 |
| 10 | Mach number indicator | See Note (3) | | | |
| 11 | Airspeed icing protection | 1 Note (6) | 2 Note (6) | 1 | 2 |
| 12 | Airspeed icing protection failure indicating | | | 1 Note (7) | 2 Note (7) |
| 13 | Static pressure source | | | 2 | 2 |

**AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)**

**SUBPART D: INSTRUMENTS, DATA,
EQUIPMENT**

| | | | | | |
|----|----------------------------|--|--|---------------|---------------|
| 14 | Standby attitude indicator | | | 1 Note (8) | 1 Note (8) |
| 15 | Chart holder | | | 1 Note (6) | 1 Note (6) |

Note (1) For local flights (A to A, 50 NM radius, not more than 60 minutes' duration), the instruments at serials (a)(6) and (a)(8) may be replaced by either a turn and slip indicator, or a turn coordinator, or both an attitude indicator and a slip indicator.

Note (2) The substitute instruments permitted by Note (1) above should be provided at each pilot's station.

Note (3) A Mach number indicator is required for each pilot whenever compressibility limitations are not otherwise indicated by airspeed indicators.

Note (4) For IFR or at night, a turn and slip indicator, or a slip indicator and a third (standby) attitude indicator certified according to CS 25.1303 (b)(4) or equivalent, is required.

Note (5) Except for unpressurised aeroplanes operating below 10 000 ft, neither three pointers, nor drum-pointer altimeters satisfy the requirement.

Note (6) Applicable only to aeroplanes with a maximum certified take-off mass (MCTOM) of more than 5 700 kg, or with an MOPSC of more than 9. It also applies to all aeroplanes first issued with an individual certificate of airworthiness (CofA) on or after 1 April 1999.

Note (7) The pitot heater failure annunciation applies to any aeroplane issued with an individual CofA on or after 1 April 1998. It also applies before that date when: the aeroplane has an MCTOM of more than 5 700 kg and an MOPSC greater than 9.

Note (8) Applicable only to aeroplanes with an MCTOM of more than 5 700 kg, or with an MOPSC of more than 9.

AMC1 CAT.IDE.A.150 Terrain awareness warning system (TAWS)

EXCESSIVE DOWNWARDS GLIDE SLOPE DEVIATION WARNING FOR CLASS A TAWS

The requirement for a Class A TAWS to provide a warning to the flight crew for excessive downwards glide slope deviation should apply to all final approach glide slopes with angular vertical navigation (VNAV) guidance, whether provided by the instrument landing system (ILS), microwave landing system (MLS), satellite based augmentation system approach procedure with vertical guidance (SBAS APV (localiser performance with vertical guidance approach LPV)), ground-based augmentation system (GBAS (GPS landing system, GLS) or any other systems providing similar guidance. The same requirement should not apply to systems providing vertical guidance based on barometric VNAV.

GM1 CAT.IDE.A.150 Terrain awareness warning system (TAWS)

ACCEPTABLE STANDARD FOR TAWS

An acceptable standard for Class A and Class B TAWS may be the applicable technical standards order (TSO) issued by the CAA or equivalent.

AMC1 CAT.IDE.A.160 Airborne weather detecting equipment

GENERAL

The airborne weather detecting equipment should be an airborne weather radar, except for propeller-driven pressurised aeroplanes with an MCTOM not more than 5 700 kg and an MOPSC of not more than 9, for which other equipment capable of detecting thunderstorms and other potentially hazardous weather conditions, regarded as detectable with airborne weather radar equipment, are also acceptable.

AMC1 CAT.IDE.A.170 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 CAT.IDE.A.175 Crew member interphone system

SPECIFICATIONS

The crew member interphone system should:

- (a) operate independently of the public address system except for handsets, headsets, microphones, selector switches and signalling devices;
- (b) in the case of aeroplanes where at least one cabin crew member is required, be readily accessible for use at required cabin crew member stations close to each separate or pair of floor level emergency exits;
- (c) in the case of aeroplanes where at least one cabin crew member is required, have an alerting system incorporating aural or visual signals for use by flight and cabin crew;
- (d) have a means for the recipient of a call to determine whether it is a normal call or an emergency call that uses one or a combination of the following:
 - (1) lights of different colours;
 - (2) codes defined by the operator (e.g. different number of rings for normal and emergency calls); or
 - (3) any other indicating signal specified in the operations manual;
- (e) provide two-way communication between:
 - (1) the flight crew compartment and each passenger compartment, in the case of aeroplanes where at least one cabin crew member is required;
 - (2) the flight crew compartment and each galley located other than on a passenger deck level, in the case of aeroplanes where at least one cabin crew member is required;
 - (3) the flight crew compartment and each remote crew compartment and crew member station that is not on the passenger deck and is not accessible from a passenger compartment; and
 - (4) ground personnel and at least two flight crew members. This interphone system for use by the ground personnel should be, where practicable, so located that the personnel using the system may avoid detection from within the aeroplane; and
- (f) be readily accessible for use from each required flight crew station in the flight crew compartment.

AMC1 CAT.IDE.A.180 Public address system

SPECIFICATIONS

The public address system should:

- (a) operate independently of the interphone systems except for handsets, headsets, microphones, selector switches and signalling devices;
- (b) be readily accessible for immediate use from each required flight crew station;
- (c) have, for each floor level passenger emergency exit that has an adjacent cabin crew seat, a microphone operable by the seated cabin crew member, except that one microphone may serve more than one exit, provided the proximity of exits allows unassisted verbal communication between seated cabin crew members;
- (d) be operable within 10 seconds by a cabin crew member at each of those stations; and
- (e) be audible at all passenger seats, lavatories, galleys, cabin crew seats and work stations, and other crew remote areas.

AMC1 CAT.IDE.A.185 Cockpit voice recorder

OPERATIONAL PERFORMANCE REQUIREMENTS

- (a) For aeroplanes first issued with an individual CofA on or after 1 April 1998 and before 1 January 2016, the operational performance requirements for cockpit voice recorders (CVRs) and their dedicated equipment should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) For aeroplanes first issued with an individual CofA on or after 1 January 2016:
 - (1) the operational performance requirements for CVRs should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE; and
 - (2) the operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.

AMC1.1 CAT.IDE.A.190 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid

- down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should record with reference to a timescale the list of parameters in Table 1 and Table 2, as applicable.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document ED-112, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.

Table 1

FDR — all aeroplanes

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude |
| 3a | Indicated airspeed; or Calibrated airspeed |
| 4 | Heading (primary flight crew reference) — when true or magnetic heading can be selected, the primary heading reference, a discrete indicating selection, should be recorded |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference |
| 9 | Engine thrust/power |
| 9a | Parameters required to determine propulsive thrust/power on each engine |
| 9b | Flight crew compartment thrust/power lever position for aeroplanes with non-mechanically linked flight crew compartment — engine control |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (for aeroplanes with control systems in which movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings — in addition to the master warning, each 'red' warning (including smoke warnings from other compartments) should be recorded when the warning condition cannot be determined from other parameters or from the CVR |
| 25 | Each navigation receiver frequency selection |
| 27 | Air—ground status. Air—ground status and a sensor of each landing gear if installed |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

Table 2

FDR — Aeroplanes for which the data source for the parameter is either used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle and automatic flight control system (AFCS) mode and engagement status |
| 20 | Radio altitude. For auto-land/Category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation — the approach aid in use should be recorded. For auto-land/Category III operations, each system should be recorded. |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |
| 21c | Integrated approach navigation (IAN)/integrated area navigation (IRNAV), vertical deviation |
| 22 | Horizontal deviation — the approach aid in use should be recorded. For auto land/Category III operations, each system should be recorded. |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | GNSS approach path/IRNAV lateral deviation |
| 26 | Distance measuring equipment (DME) 1 and 2 distances |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS) status: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |
| 32 | Landing gear: Landing |
| 32a | gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 34 | Brakes: |
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in parameter 9 of Table 1 of AMC1 CAT.IDE.190.A, and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N1 |
| 35c | Indicated vibration level |
| 35d | N2 |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N3 |
| 36 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS) — a suitable combination of discretes should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |
| 38 | Selected barometric setting |
| 38a | Pilot selected barometric setting |
| 38b | Co-pilot selected barometric setting |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (All pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height — to be recorded for the aeroplane where the parameter is displayed electronically |
| 46 | Electronic flight instrument system (EFIS) display format: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format |
| 48 | Alternating current (AC) electrical bus status — each bus |
| 49 | Direct current (DC) electrical bus status — each bus |
| 50 | Engine bleed valve position |
| 51 | Auxiliary power unit (APU) bleed valve position |
| 52 | Computer failure — (all critical flight and engine control systems) |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 53 | Engine thrust command |
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head up display in use |
| 58 | Para visual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/distance measuring equipment (DME) |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |
| 65 | Engine warning — each engine over speed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel |
| 75b | Control column |
| 75c | Rudder pedal |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |

* The number in the left hand column reflects the serial number depicted in EUROCAE Document ED-112.

AMC1.2 CAT.IDE.A.190 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for FDRs should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the aeroplane as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — All aeroplanes

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude (including altitude values displayed on each flight crew member's primary flight display) |
| 3 | Indicated airspeed or calibrated airspeed (including values of indicated airspeed or calibrated airspeed displayed on each flight crew member's primary flight display) |
| 4 | Heading (primary flight crew reference) — when true or magnetic heading can be selected as the primary heading reference, a discrete indicating selection should be recorded. |
| 5 | Normal acceleration |
| 6 | Pitch attitude — pitch attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 7 | Roll attitude — roll attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference |
| 9 | Engine thrust/power: |
| 9a | Parameters required to determine propulsive thrust/power on each engine, in both normal and reverse thrust |
| 9b | Flight crew compartment thrust/power lever position (for aeroplanes with non-mechanically linked engine controls in the flight crew compartment) |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |

| No* | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (For aeroplanes with control systems in which the movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which the movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings — In addition to the master warning, each 'red' warning that cannot be determined from other parameters or from the CVR and each smoke warning from other compartments should be recorded. |
| 25 | Each navigation receiver frequency selection |
| 27 | Air-ground status. Air-ground status and a sensor of each landing gear if installed |

* The number in the left-hand column reflects the serial number depicted in EUROCAE Document 112A.

Table 2: FDR — Aeroplanes for which the data source for the parameter is either used by the aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps: |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats: |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake: |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle and automatic flight control system (AFCS): mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path and speed of the aircraft) |
| 20 | Radio altitude. For auto-land/category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |
| 21c | Integrated approach navigation (IAN) /Integrated Area Navigation (IRNAV), vertical deviation |
| 22 | Horizontal deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | GNSS approach path/IRNAV lateral deviation |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 26 | Distance measuring equipment (DME) 1 and 2 distances: |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS) status — a suitable combination of discrete unless recorder capacity is limited in which case a single discrete for all modes is acceptable: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |
| 32 | Landing gear: Landing |
| 32a | gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |
| 34 | Brakes: |
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in parameter 9 of Table 1, and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N1 |
| 35c | Indicated vibration level |
| 35d | N2 |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N3 |
| 35i | Engine fuel metering valve position (or equivalent parameter from the system that directly controls the flow of fuel into the engine) – for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 36 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS) — a suitable combination of discrete should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |
| 38 | Selected barometric setting — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 38a | Pilot selected barometric setting |

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------|
| 38b | Co-pilot selected barometric setting |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height — to be recorded for the aeroplane where the parameter is displayed electronically |
| 46 | Electronic flight instrument system (EFIS) display format, showing the display system status: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format, showing the display system status |
| 48 | Alternating current (AC) electrical bus status — each bus |
| 49 | Direct current (DC) electrical bus status — each bus |
| 50 | Engine bleed valve(s) position |
| 51 | Auxiliary power unit (APU) bleed valve(s) position |
| 52 | Computer failure — all critical flight and engine control systems |
| 53 | Engine thrust command |
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head-up display in use |
| 58 | Paravirtual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/distance measuring equipment (DME) |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |
| 65 | Engine warning — each engine overspeed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel input forces |
| 75b | Control column input forces |
| 75c | Rudder pedal input forces |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |
| 79 | Cabin pressure altitude — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 80 | Aeroplane computed weight — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81 | Flight director command: |
| 81a | Left flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81b | Left flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81c | Right flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81d | Right flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 82 | Vertical speed — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |

* The number in the left-hand column reflects the serial number depicted in EUROCAE Document 112A.

AMC2 CAT.IDE.A.190 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 APRIL 1998 AND BEFORE 1 JANUARY 2016

- (a) The operational performance requirements for FDRs should be those laid down in EUROCAE Document ED-55 (Minimum Operational Performance Requirements For Flight Data Recorder Systems) dated May 1990, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.

- (b) The FDR should record, with reference to a timescale:
- (1) the parameters listed in Table 1a or Table 1b below, as applicable;
 - (2) the additional parameters listed in Table 2 below, for those aeroplanes with an MCTOM exceeding 27 000 kg;
 - (3) any dedicated parameters relating to novel or unique design or operational characteristics of the aeroplane as determined by the CAA; and
 - (4) the additional parameters listed in Table 3 below, for those aeroplanes equipped with electronic display systems.
- (c) The FDR of aeroplanes first issued with an individual CofA before 20 August 2002 and equipped with an electronic display system does not need to record those parameters listed in Table 3 for which:
- (1) the sensor is not available;
 - (2) the aeroplane system or equipment generating the data needs to be modified; or
 - (3) the signals are incompatible with the recording system;
- (d) The FDR of aeroplanes first issued with an individual CofA on or after 1 April 1998 but not later than 1 April 2001 is not required to comply with (b) above if:
- (1) compliance with (a) cannot be achieved without extensive modification to the aeroplane system and equipment other than the flight recording system; and
 - (2) the FDR of the aeroplane can comply with **AMC4 CAT.IDE.A.190(a)** except that parameter 15b in Table 1 of **AMC4 CAT.IDE.A.190** need not be recorded.
- (e) The parameters to be recorded should meet, as far as practicable, the performance specifications (ranges, sampling intervals, accuracy limits, and resolution in read-out) defined in Table 1 of **AMC3 CAT.IDE.A.190**.
- (f) For aeroplanes with novel or unique design or operational characteristics, the additional parameters should be those required in accordance with applicable Certification Specifications during type or supplemental certification or validation.
- (g) If recording capacity is available, as many as possible of the additional parameters specified in table II-A.1 of EUROCAE Document ED 112 dated March 2003 should be recorded.

Table 1a

FDR — Aeroplanes with an MCTOM of more than 5 700 kg

| No | Parameter |
|----|--------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying |
| 9 | Propulsive thrust/power on each engine and flight crew compartment thrust/power lever position if applicable |

| No | Parameter |
|----|-----------------------------------------------------------------|
| 10 | Trailing edge flap or flight crew compartment control selection |
| 11 | Leading edge flap or flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler position and/or speed brake selection |
| 14 | Total or outside air temperature |
| 15 | Autopilot, autothrottle and AFCS mode and engagement status |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Lateral acceleration |

Table 1b

FDR — Aeroplanes with an MCTOM 5 700 kg or below

| No | Parameter |
|----|--------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying |
| 9 | Propulsive thrust/power on each engine and flight crew compartment thrust/power lever position if applicable |
| 10 | Trailing edge flap or flight crew compartment control selection |
| 11 | Leading edge flap or flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler position and/or speed brake selection |
| 14 | Total or outside air temperature |
| 15 | Autopilot/autothrottle engagement status |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Angle of attack (if a suitable sensor is available) |

Table 2

FDR — Additional parameters for aeroplanes with an MCTOM of more than 27 000 kg

| No | Parameter |
|----|------------------------------------------------------------------------------------------|
| 18 | Primary flight controls — control surface position and/or pilot input (pitch, roll, yaw) |
| 19 | Pitch trim position |
| 20 | Radio altitude |
| 21 | Vertical beam deviation (ILS glide path or MLS elevation) |
| 22 | Horizontal beam deviation (ILS localiser or MLS azimuth) |
| 23 | Marker beacon passage |
| 24 | Warnings |
| 25 | Reserved (navigation receiver frequency selection is recommended) |
| 26 | Reserved (DME distance is recommended) |
| 27 | Landing gear squat switch status or air/ground status |
| 28 | Ground proximity warning system |
| 29 | Angle of attack |
| 30 | Low pressure warning (hydraulic and pneumatic power) |
| 31 | Groundspeed |
| 32 | Landing gear or gear selector position |

Table 3

FDR — Aeroplanes equipped with electronic display systems

| No | Parameter |
|----|--------------------------------------------------|
| 33 | Selected barometric setting (each pilot station) |
| 34 | Selected altitude |
| 35 | Selected speed |
| 36 | Selected Mach |
| 37 | Selected vertical speed |
| 38 | Selected heading |
| 39 | Selected flight path |
| 40 | Selected decision height |
| 41 | EFIS display format |
| 42 | Multi-function/engine/alerts display format |

AMC3 CAT.IDE.A.190 Flight data recorder

PERFORMANCE SPECIFICATIONS FOR THE PARAMETERS TO BE RECORDED FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 APRIL 1998 AND BEFORE 1 JANUARY 2016

Table 1: FDR

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-------|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a or | Time | 24 hours | 4 | ± 0.125 % per hour | 1 second | (a) UTC time preferred where available. |
| 1b | Relative time count | 0 to 4 095 | 4 | ± 0.125 % per hour | | (b) Counter increments every 4 seconds of system operation. |
| 2 | Pressure altitude | -1 000 ft to maximum certificated altitude of aircraft +5 000 ft | 1 | ±100 ft to ±700 ft Refer to Table II-A.3 of EUROCAE Document ED-112 | 5 ft | Should be obtained from air data computer when installed. |
| 3 | Indicated airspeed or calibrated airspeed | 50 kt or minimum value installed pitot static system to Max V _{SO} Max V _{SO} to 1.2 V _D | 1 | ±5 % ±3 % | 1 kt (0.5 kt recommended) | Should be obtained from air data computer when installed. V _{SO} : stalling speed or minimum steady flight speed in the landing configuration V _D design diving speed |
| 4 | Heading | 360 degrees | 1 | ±2 degrees | 0.5 degrees | |
| 5 | Normal acceleration | -3 g to +6 g | 0.125 | 1 % of maximum range excluding a datum error of 5 % | 0.004 g | The recording resolution may be rounded from 0.004 g to 0.01 g provided that one sample is recorded at full resolution at least every 4 seconds. |
| 6 | Pitch attitude | ±75 degrees | 0.25 | ±2 degrees | 0.5 degrees | |
| 7 | Roll attitude | ±180 degrees | 0.5 | ±2 degrees | 0.5 degrees | |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|----|-----------------------------------------------------------------|------------------------------------------------------------------|------------------------------|--------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Manual radio transmission keying | Discrete | 1 | - | - | Preferably each crew member but one discrete acceptable for all transmissions provided that the replay of a recording made by any required recorder can be synchronised in time with any other required recording to within 1 second. |
| 9a | Propulsive thrust/power on each engine | Full range | Each engine each second | ±2 % | 0.2 % of full range | Sufficient parameters, e.g. EPR/N, or Torque/N _P as appropriate to the particular engine must be recorded to determine power in both normal and reverse thrust. A margin for possible overspeed should be provided. |
| 9b | Flight crew compartment thrust/power lever position | Full range | Each lever each second | ±2 % or sufficient to determine any gated position | 2 % of full range | Parameter 9b must be recorded for aeroplanes with non-mechanically linked cockpit-engine controls, otherwise recommended. |
| 10 | Trailing edge flap or flight crew compartment control selection | Full range or each discrete position | 2 | ±3° or as pilot's indicator and sufficient to determine each discrete position | 0.5 % of full range | Flap position and cockpit control may be sampled at 4-second intervals so as to give a data point each 2 seconds. |
| 11 | Leading edge flap or flight crew compartment control selection | Full range or each discrete position | 1 | ±3° or as pilot's indicator and sufficient to determine each discrete position | 0.5 % of full range | Left and right sides, or flap position and cockpit control may be sampled at 2-second intervals so as to give a data point each second. |
| 12 | Thrust reverser status | Turbo-jet: stowed, in transit and reverse Turbo-prop: reverse | Each reverser each second | - | - | Turbo-jet: 2 discretely enable the 3 states to be determined Turbo-prop: 1 discrete |
| 13 | Ground spoiler and/or speed brake selection | Full range or each discrete position | 0.5 | ±2° unless higher accuracy uniquely required | 0.2 % of full range | Sufficient to determine use of the cockpit selector and the activation and positions of the surfaces |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-----|------------------------------------------------------------------------|------------------------------------------|------------------------------|---------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 14 | Outside air temperatures or total air temperature | -50°C to +90°C or available sensor range | 2 | ±2°C | 0.3°C | |
| 15 | Autopilot/Autothrottle /AFCS mode and engagement status | A suitable combination of discretes | 1 | - | - | Discretes should show which systems are engaged and which primary modes are controlling the flight path and speed of the aircraft. |
| 16 | Longitudinal acceleration (Body axis) | ± 1 g | 0.25 | ±1.5 % of maximum range excluding a datum error of ±5 % | 0.004 g | The recording resolution may be rounded from 0.004 g to 0.01 g provided that one sample is recorded at full resolution at least every 4 seconds. |
| 17 | Lateral acceleration | ±1 g | 0.25 | ±1.5 % of maximum range excluding a datum error of ±5 % | 0.004 g | The recording resolution may be rounded from 0.004 g to 0.01 g provided that one sample is recorded at full resolution at least every 4 seconds. |
| 18 | Primary flight controls, control surface positions and/or* pilot input | Full range | 1 | ±2° unless higher accuracy uniquely required | 0.2 % of full range | *For aeroplanes that can demonstrate the capability of deriving either the control input or control movement (one from the other) for all modes of operation and flight regimes, the 'or' applies. For aeroplanes with non-mechanical control systems, the 'and' applies. Where the input controls for each pilot can be operated independently, both inputs will need to be recorded. For multiple or split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. |
| 18a | Pitch axis | | 0.25 | | | |
| 18b | Roll axis | | 0.25 | | | |
| 18c | Yaw axis | | 0.5 | | | |
| 19 | Pitch trim position | Full range | 1 | ±3 % unless higher accuracy uniquely required | 0.3 % of full range | Where dual surfaces are provided it is permissible to record each surface alternately. |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-----|----------------------------------|-------------------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 20 | Radio altitude | -20 ft to +2 500 ft | 1 | As installed ± 2 ft or ± 3 % whichever is greater below 500 ft and ± 5 % above 500 ft recommended. | 1 ft below 500 ft, 1 ft +0.5 % of full range above 500 ft | For auto-land/category III operations, each radio altimeter should be recorded, but arranged so that at least one is recorded each second. |
| 21 | Vertical beam deviation | | 1 | As installed ± 3 % recommended | 0.3 % of full range | Data from both the ILS and MLS systems need not to be recorded at the same time. The approach aid in use should be recorded. For auto-land/ category III operations, each radio altimeter should be recorded, but arranged so that at least one is recorded each second. |
| 21a | ILS glide path | ± 0.22 DDM or available sensor range as installed | | | | |
| 21b | MLS elevation | 0.9° to 30° | | | | |
| 22 | Horizontal beam deviation | Signal range | 1 | As installed ± 3 % recommended | 0.3 % of full range | See parameter 21 remarks. |
| 22a | ILS Localiser | ± 0.22 DDM or available sensor range as installed | | | | |
| 22b | MLS azimuth | $\pm 62^\circ$ | | | | |
| 23 | Marker beacon passage | Discrete | 1 | — | — | A single discrete is acceptable for all markers. |
| 24 | Warnings | Discretes | 1 | — | — | A discrete must be recorded for the master warning. Each 'red' warning (including lavatory smoke) should be recorded when the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 25 | Reserved | — | — | — | — | |
| 26 | Reserved | — | — | — | — | |
| 27 | Landing gear squat switch status | Discrete(s) | 1 (0.25 recommended for main gears) | — | — | Discretes should be recorded for the nose and main landing gears. |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-----|--------------------------------------------------|---------------------------------------|------------------------------|--------------------------------------------------------|-----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| 28 | Ground proximity warning system (GPWS) | Discrete | 1 | – | – | A suitable combination of discretes unless recorder capacity is limited in which case a single discrete for all modes is acceptable. |
| 29 | Angle of attack | As installed | 0.5 | As installed | 0.3 % of full range | If left and right sensors are available, each may be recorded at 1-second intervals so as to give a data point each half second. |
| 30 | Low pressure warning | Discrete(s) or available sensor range | 2 | - | 0.5 % of full range | Each essential system to be recorded. |
| 30a | Hydraulic power | | | | | |
| 30b | Pneumatic power | | | | | |
| 31 | Groundspeed | As installed | 1 | Data should be obtained from the most accurate system | 1 kt | |
| 32 | Landing gear or gear selector position | Discrete(s) | 4 | - | – | A suitable combination of discretes should be recorded. |
| 33 | Selected barometric setting (each pilot station) | As installed | 64 | As installed | 1 mb | Where practicable, a sampling interval of 4 seconds is recommended |
| 33a | Pilot | | | | | |
| 33b | Co-pilot | | | | | |
| 34 | Selected altitude | As installed | 1 | As installed | 100 ft | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 34a | Manual | | | | | |
| 34b | Automatic | | | | | |
| 35 | Selected speed | As installed | 1 | As installed | 1 kt | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 35a | Manual | | | | | |
| 35b | Automatic | | | | | |
| 36 | Selected Mach | As installed | 1 | As installed | 0.01 | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 36a | Manual | | | | | |
| 36b | Automatic | | | | | |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART D: INSTRUMENTS, DATA, EQUIPMENT

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-----|--------------------------------------------|--------------|------------------------------|--------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 37 | Selected vertical speed | As installed | 1 | As installed | 100 ft/min | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 37a | Manual | | | | | |
| 37b | Automatic | | | | | |
| 38 | Selected heading | 360 degrees | 1 | As installed | 1 degree | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 39 | Selected flight path | | 1 | As installed | | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 39a | Course/DSTRK | 360 degrees | | | | |
| 39b | Path Angle | As installed | | | | |
| 40 | Selected decision height | 0-500 ft | 64 | As installed | 1 ft | |
| 41 | EFIS display format | Discrete(s) | 4 | – | – | Discretes should show the display system status e.g. off, normal, fail, composite, sector, plan, rose, nav aids, wxr, range, copy. |
| 41a | Pilot | | | | | |
| 41b | Co-pilot | | | | | |
| 42 | Multifunction/Engine/Alerts display format | Discrete(s) | 4 | – | – | Discretes should show the display system status e.g. off, normal, fail, and the identity of display pages for emergency procedures and checklists. Information in checklists and procedures need not be recorded. |

AMC4 CAT.IDE.A.190 Flight data recorder

LIST OF PARAMETERS TO BE RECORDED FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JUNE 1990 UP TO AND INCLUDING 31 MARCH 1998

- (a) The FDR should, with reference to a timescale, record:
 - (1) the parameters listed in Table 1 below; and
 - (2) the additional parameters listed in Table 2 below for those aeroplanes with an MCTOM exceeding 27 000 kg.
- (b) The FDR of aeroplanes having an MCTOM of 27 000 kg or below does not need to record parameters 14 and 15b of Table 1 below if any of the following conditions are met:
 - (1) the sensor is not readily available;
 - (2) sufficient capacity is not available in the flight recorder system; or
 - (3) a change is required in the equipment that generates the data.
- (c) The FDR of aeroplanes having an MCTOM exceeding 27 000 kg does not need to record parameter 15b of Table 1 below, and parameters 23, 24, 25, 26, 27, 28, 29, 30 and 31 of Table 2 below, if any of the following conditions are met:
 - (1) the sensor is not readily available;
 - (2) sufficient capacity is not available in the FDR system;
 - (3) a change is required in the equipment that generates the data; or
 - (4) for navigational data (NAV frequency selection, DME distance, latitude, longitude, ground speed and drift), the signals are not available in digital form.
- (d) The FDR does not need to record individual parameters that can be derived by calculation from the other recorded parameters.
- (e) The parameters to be recorded should meet, as far as practicable, the performance specifications (range, sampling intervals, accuracy limits, and resolution in read-out) defined in Table 1 of **AMC5 CAT.IDE.A.190**.

Table 1

Flight data recorder — Aeroplanes with an MCTOM of more than 5 700 kg

| No | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying unless an alternate means to synchronise FDR and CVR recordings is provided |
| 9 | Power on each engine |
| 10 | Trailing edge flap or flight crew compartment control selection |
| 11 | Leading edge flap or flight crew compartment control selection |
| 12 | Thrust reverse position (for turbojet aeroplanes only) |
| 13 | Ground spoiler position and/or speed brake selection |
| 14 | Outside air temperature or total air temperature |
| 15a | Autopilot engagement status |
| 15b | Autopilot operating modes, autothrottle and AFCS systems engagement status and operating modes. |

Table 2

Flight data recorder — Additional parameters for aeroplanes with an MCTOM of more than 27 000 kg

| No | Parameter |
|----|---------------------------------------------------------------------------------------------|
| 16 | Longitudinal acceleration |
| 17 | Lateral acceleration |
| 18 | Primary flight controls — control surface position and/or pilot input (pitch, roll and yaw) |
| 19 | Pitch trim position |
| 20 | Radio altitude |
| 21 | Glide path deviation |
| 22 | Localiser deviation |
| 23 | Marker beacon passage |
| 24 | Master warning |
| 25 | NAV 1 and NAV 2 frequency selection |
| 26 | DME 1 and DME 2 distance |
| 27 | Landing gear squat switch status |
| 28 | Ground proximity warning system (GPWS) |
| 29 | Angle of attack |
| 30 | Hydraulics, each system (low pressure) |
| 31 | Navigation data |
| 32 | Landing gear or gear selector position |

AMC5 CAT.IDE.A.190 Flight data recorder

PERFORMANCE SPECIFICATIONS FOR THE PARAMETERS TO BE RECORDED FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL COFA UP TO AND INCLUDING 31 MARCH 1998

Table 1: Flight data recorder

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|----|-------------------------------------------|------------------------------------------------------------------|------------------------------|--------------------------------------------------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count | 24 hours | 4 | ±0.125 % per hour | 1 second | Coordinated universal time (UTC) preferred where available, otherwise elapsed time |
| 2 | Pressure altitude | -1 000 ft to maximum certificated altitude of aircraft +5 000 ft | 1 | ±100 ft to ±700 ft | 5 ft | For altitude record error see ETSO-C124a |
| 3 | Indicated airspeed or calibrated airspeed | 50 kt to max V_{SO} Max V_{SO} to 1.2 V_D | 1 | ±5 % ±3 % | 1 kt | V_{SO} stalling speed or minimum steady flight speed in the landing configuration V_D design diving speed |
| 4 | Heading | 360 degrees | 1 | ±2 degrees | 0.5 degrees | |
| 5 | Normal acceleration | -3 g to +6 g | 0.125 ± | ±1 % of maximum range excluding a datum error of ±5 % | 0.004 g | |
| 6 | Pitch attitude | ±75 degrees | 1 | ±2 degrees | 0.5 degrees | |
| 7 | Roll attitude | ±180 degrees | 1 | ±2 degrees | 0.5 degrees | |
| 8 | Manual radio transmission keying | Discrete | 1 | - | - | On-off (one discrete). An FDR/CVR time synchronisation signal complying with 4.2.1 of EUROCAE ED-55 is considered to be an acceptable alternative means of compliance. |
| 9 | Power on each engine | Full range | Each engine each second | ±2 % | 0.2 % of full range | Sufficient parameters e.g. EPR/N, or Torque/ N_P as appropriate to the particular engine should be recorded to determine power. |

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|-----|-------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------|---------------------------------------------------------|-----------------------------------|---------|
| 10 | Trailing edge flap or flight crew compartment control selection | Full range or each discrete position | 2 | ±5 % or as pilot's indicator | 0.5 % of full range | |
| 11 | Leading edge flap or flight crew compartment control selection | Full range or each discrete position | 2 | - | 0.5 % of full range | |
| 12 | Thrust reverser position | Stowed, in transit and reverse | Each reverser each second | ±2 % unless higher accuracy uniquely required | - | |
| 13 | Ground spoiler and/or speed brake selection | Full range or each discrete position | 1 | ±2 degrees | 0.2 % of full range | |
| 14 | Outside air temperatures or total air temperature | Sensor range | 2 | - | 0.3°C | |
| 15a | Autopilot engagement status | A suitable combination of discretes | 1 | | - | |
| 15b | Autopilot operating modes, auto-throttle and AFCS systems engagement status and operating modes | | | | | |
| 16 | Longitudinal acceleration | ± 1 g | 0.25 | ±1.5 % of maximum range excluding a datum error of ±5 % | 0.004 g | |
| 17 | Lateral acceleration | ±1 g | 0.25 | ±1.5 % of maximum range excluding a datum error of ±5 % | 0.004 g | |

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|----|------------------------------------------------------------------------------------------|---------------------|------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 18 | Primary flight controls, control surface positions and/or pilot input (pitch, roll, yaw) | Full range | 1 | ±2 degrees unless higher accuracy uniquely required | 0.2 % of full range | For aeroplanes with conventional control systems, 'or' applies. For aeroplanes with non-mechanical control systems, 'and' applies. For aeroplanes with split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. |
| 19 | Pitch trim position | Full range | 1 | ±3 % unless higher accuracy uniquely required | 0.3 % of full range | |
| 20 | Radio altitude | -20 ft to +2 500 ft | 1 | ±2 ft or ±3 % whichever is greater below 500 ft and ±5 % above 500 ft | 1 ft below 500 ft, 1 ft +5 % of full range above 500 ft | As installed. Accuracy limits are recommended |
| 21 | Glide path deviation | Signal range | 1 | ±3 % | 0.3 % of full range | As installed. Accuracy limits are recommended |
| 22 | Localiser deviation | Signal range | 1 | ±3 % | 0.3 % of full range | As installed. Accuracy limits are recommended. |
| 23 | Marker beacon passage | Discrete | 1 | – | – | A single discrete is acceptable for all markers. |
| 24 | Master warning | Discrete | 1 | – | – | |
| 25 | NAV 1 and 2 frequency selection | Full range | 4 | As installed | – | |
| 26 | DME 1 and 2 distance | 0-200 NM | 4 | As installed | – | Recording of latitude and longitude from INS or other navigation system is a preferred alternative. |
| 27 | Landing gear squat switch status | Discrete | 1 | – | – | |
| 28 | Ground proximity warning system (GPWS) | Discrete | 1 | – | – | |

| No | Parameter | Range | Sampling interval in seconds | Accuracy limits (sensor input compared to FDR readout) | Recommended resolution in readout | Remarks |
|----|----------------------------------------|--------------|------------------------------|--------------------------------------------------------|-----------------------------------|---------|
| 29 | Angle of attack | Full range | 0.5 | As installed | 0.3 % of full range | |
| 30 | Hydraulics | Discrete(s) | 2 | – | – | |
| 31 | Navigation data | As installed | 1 | As installed | – | |
| 32 | Landing gear or gear selector position | Discrete | 4 | As installed | – | |

* The number in the left hand column reflects the serial number depicted in EUROCAE Document ED-112.

AMC6 CAT.IDE.A.190 Flight data recorder

LIST OF PARAMETERS TO BE RECORDED FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA BEFORE 1 JUNE 1990

- (a) The FDR should, with reference to a timescale, record:
 - (1) the parameters listed in Table 1 below;
 - (2) the additional parameters 6 to 15b of Table 2 below, for aeroplanes with an MCTOM exceeding 5 700 kg but not exceeding 27 000 kg and first issued with an individual CofA on or after 1 January 1989, when the following conditions are met:
 - (i) sufficient capacity is available on a flight recorder system;
 - (ii) the sensor is readily available; and
 - (iii) a change is not required in the equipment that generates the data;
 - (3) the additional parameters from 6 to 15b of Table 2 below, for aeroplanes with a maximum certificated take-off mass exceeding 27 000 kg that are of a type first type certified after 30 September 1969; and
 - (4) the additional parameters listed in Table 2 below for aeroplanes with an MCTOM exceeding 27 000 kg and first issued with an individual CofA on or after 1 January 1987, when the following conditions are met:
 - (i) sufficient capacity is available on a flight recorder system;
 - (ii) the sensor is readily available; and
 - (iii) a change is not required in the equipment that generates the data.
- (b) The FDR of aeroplanes with an MCTOM exceeding 27 000 kg that are of a type first type certified after 30 September 1969 does not need to record the parameters 13, 14 and 15b in Table 2 below, when any of the following conditions are met:
 - (1) sufficient capacity is not available on a flight recorder system;
 - (2) the sensor is not readily available; and
 - (3) a change is required in the equipment that generates the data.
- (c) The parameters to be recorded should meet, as far as practicable, the performance specifications (range, sampling intervals, accuracy limits, and resolution in read-out) defined in Table 1 of **AMC5 CAT.IDE.A.190**.
- (d) When so determined by the CAA, the FDR does not need to record individual parameters that can be derived by calculation from the other recorded parameters.

Table 1

Flight data recorder — aeroplanes with an MCTOM exceeding 5 700 kg

| No | Parameter |
|----|-------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |

* The number in the left hand column reflects the serial number depicted in EUROCAE Document ED-112.

Table 2

Additional parameters for aeroplanes under conditions of AMC6 CAT.IDE.A.190, 1 & 2

| No | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------|
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying unless an alternate means to synchronise the FDR and CVR recordings is provided |
| 9 | Power on each engine |
| 10 | Trailing edge flap or flight crew compartment control selection |
| 11 | Leading edge flap or flight crew compartment control selection |
| 12 | Thrust reverse position (for turbojet aeroplanes only) |
| 13 | Ground spoiler position and/or speed brake selection |
| 14 | Outside air temperature (OAT) or total air temperature |
| 15a | Autopilot engagement status |
| 15b | Autopilot operating modes, autothrottle and AFCS, systems engagement status and operating modes. |
| 16 | Longitudinal acceleration |
| 17 | Lateral acceleration |
| 18 | Primary flight controls — control surface position and/or pilot input (pitch, roll and yaw) |
| 19 | Pitch trim position |
| 20 | Radio altitude |
| 21 | Glide path deviation |
| 22 | Localiser deviation |
| 23 | Marker beacon passage |
| 24 | Master warning |
| 25 | NAV 1 and NAV 2 frequency selection |
| 26 | DME 1 and DME 2 distance |
| 27 | Landing gear squat switch status |
| 28 | Ground proximity warning system (GPWS) |
| 29 | Angle of attack |
| 30 | Hydraulics, each system (low pressure) |
| 31 | Navigation data (latitude, longitude, ground speed and drift angle) |
| 32 | Landing gear or gear selector position |

* The number in the left hand column reflects the serial number depicted in EUROCAE Document ED-112.

GM1 CAT.IDE.A.190 Flight data recorder

GENERAL

- (a) The alleviation of **AMC2 CAT.IDE.A.190(d)** affects a small number of aeroplanes first issued with an individual CofA on or after 1 April 1998 that were either constructed prior to this date or to a specification in force just prior to this date. These aeroplanes may not comply fully with **AMC2 CAT.IDE.A.190(b)**, but are able to comply with **AMC4 CAT.IDE.A.190**. In addition, this alleviation applies only if compliance with **AMC2 CAT.IDE.A.190(b)** would imply significant modifications to the aeroplane with a severe re-certification effort.
- (b) Flight data recorder systems installed on board aeroplanes first issued with an individual CofA up to and including 31 March 1998, and for which the recorded parameters do not comply with the performance specifications of Table 1 of **AMC5 CAT.IDE.A.190** (i.e. range, sampling intervals, accuracy limits and recommended resolution readout) may be acceptable to the CAA.
- (c) The alleviations of **AMC4 CAT.IDE.A.190(b)** and (c), and **AMC6 CAT.IDE.A.190(b)**, are acceptable only if adding the recording of missing parameters to the existing flight data recorder system would require a major upgrade of the system itself. Account is taken of the following:
 - (1) The extent of the modification required;
 - (2) The downtime period; and
 - (3) Equipment software development.
- (d) For the purpose of **AMC4 CAT.IDE.A.190(b)** and (c), and **AMC6 CAT.IDE.A.190(a)** and (b), 'capacity available' refers to the space on both the flight data acquisition unit and the flight data recorder not allocated for recording the required parameters, or the parameters recorded for the purpose of the Flight Data Monitoring programme, as determined by the CAA.
- (e) For the purpose of **AMC4 CAT.IDE.A.190(b)** and (c), and **AMC6 CAT.IDE.A.190(a)** and (b), a sensor is considered 'readily available' when it is already available or can be easily incorporated.
- (f) For aeroplanes first issued with an individual CofA up to and including 31 March 1998, the recording of the following additional parameters may be considered:
 - (1) Remaining parameters in Table 2 of **AMC4 CAT.IDE.A.190** or Table 2 of **AMC6 CAT.IDE.A.190** as applicable;
 - (2) Any dedicated parameter relating to novel or unique design or operational characteristics of the aeroplane;
 - (3) operational information from electronic display systems, such as EFIS, ECAM or EICAS, with the following order of priority:
 - (i) parameters selected by the flight crew relating to the desired flight path, e.g. barometric pressure setting, selected altitude, selected airspeed, decision height, and autoflight system engagement and mode indications if not recorded from another source;
 - (ii) display system selection/status, e.g. SECTOR, PLAN, ROSE, NAV, WXR, COMPOSITE, COPY, etc.;
 - (iii) warning and alerts;
 - (iv) the identity of displayed pages from emergency procedures and checklists.

- (4) retardation information including brake application for use in the investigation of landing overruns or rejected take offs; and
- (5) additional engine parameters (EPR, N1, EGT, fuel flow, etc.).

AMC1 CAT.IDE.A.195 Data link recording

GENERAL

- (a) As a means of compliance with **CAT.IDE.A.195(a)**, the recorder on which the data link messages is recorded may be:
 - (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **CAT.IDE.A.200** is applicable; or
 - (4) a dedicated flight recorder. In that case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) As a means of compliance with **CAT.IDE.A.195(a)(2)**, the operator should enable correlation by providing information that allows an accident investigator to understand what data was provided to the aeroplane and, when the provider identification is contained in the message, by which provider.
- (c) The timing information associated with the data link communications messages required to be recorded by **CAT.IDE.A.195(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
 - (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (d) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.

- (e) The expression ‘taking into account the system architecture’, in **CAT.IDE.A.195(a)(3)**, means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
- (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- The intention is that new designs of source systems should include this functionality and support the full recording of the required information.
- (f) Data link communications messages that support the applications in Table 1 below should be recorded.
- (g) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems, dated November 1998).

Table 1

Applications

| Item No | Application Type | Application Description | Required Recording Content |
|---------|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM) respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and air traffic controllers. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. It also includes applications used for the exchange of oceanic (OCL) and departure clearances (DCL) as well as data link delivery of taxi clearances. | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific aeroplanes. This includes for example, digital automatic terminal information service (D-ATIS), data link operational terminal information service (D-OTIS), digital weather information services (D-METAR or TWIP), data link flight information service (D-FIS), and Notice to Airmen (electronic NOTAM) delivery. | C |
| 5 | Aircraft broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | Aeronautical operational control (AOC) data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process AAC messages, but there is no requirement to record AAC messages. | M* |

| Item No | Application Type | Application Description | Required Recording Content |
|---------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M* F1 |

GM1 CAT.IDE.A.195 Data link recording

DEFINITIONS AND ACRONYMS

- (a) The letters and expressions in Table 1 of **AMC1 CAT.IDE.A.195** have the following meaning:

C: complete contents recorded

M: information that enables correlation with any associated records stored separately from the aeroplane.

*: Applications that are to be recorded only as far as is practicable, given the architecture of the system.

F1: graphics applications may be considered as AOC messages when they are part of a data link communications application service run on an individual basis by the operator itself in the framework of the operational control.

F2: where parametric data sent by the aeroplane, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.

- (b) The definitions of the applications type in Table 1 of **AMC1 CAT.IDE.A.195** are described in Table 1 below.

Table 1

Definitions of applications type

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Only used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the aeroplane. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D-ATIS | ATIS messages | Refer to EUROCAE Document ED-89A dated December 2003. Data Link Application System Document (DLASD) for the 'ATIS' Data Link Service |

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9 | OCL | OCL messages | Refer to EUROCAE Document ED-106A dated March 2004. Data Link Application System Document (DLASD) for 'Oceanic Clearance' Data Link Service |
| 10 | DCL | DCL messages | Refer to EUROCAE Document ED-85A dated December 2003. Data Link Application System Document (DLASD) for 'Departure Clearance' Data Link Service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. Definition in EUROCAE Document ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked aircraft parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

| | |
|---------|----------------------------------------------|
| AAC | aeronautical administrative communications |
| ADS-B | automatic dependent surveillance — broadcast |
| ADS-C | automatic dependent surveillance — contract |
| AFN | aircraft flight notification |
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | digital ATIS |
| D-FIS | data link flight information service |
| D-METAR | data link meteorological airport report |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 CAT.IDE.A.195(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- If it is certain that the aeroplane cannot use data link communication messages for ATS communications corresponding to any application designated by **CAT.IDE.A.195(a)(1)**, then the data link recording requirement does not apply.
- Examples where the aeroplane cannot use data link communication messages for ATS communications include but are not limited to the cases where:

- (1) the aeroplane data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
- (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the aeroplane; and
- (3) the aeroplane's data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the aeroplane.

AMC1 CAT.IDE.A.200 Combination recorder

GENERAL

When two flight data and cockpit voice combination recorders are installed, one should be located near the flight crew compartment, in order to minimise the risk of data loss due to a failure of the wiring that gathers data to the recorder. The other should be located at the rear section of the aeroplane, in order to minimise the risk of data loss due to recorder damage in the case of a crash.

GM1 CAT.IDE.A.200 Combination recorder

GENERAL

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and aural environment required by **CAT.IDE.A.185** regarding CVRs; and
 - (2) all parameters required by **CAT.IDE.A.190** regarding FDRs, with the same specifications required by those paragraphs.
- (b) In addition, a flight data and cockpit voice combination recorder may record data link communication messages and related information required by **CAT.IDE.A.195**.

AMC1 CAT.IDE.A.205 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a 'supplementary loop belt' manufactured with the same techniques and the same materials as the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective aircraft seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD);
 - (2) CRDs approved by through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seats approved for use in motor vehicles on the basis of the technical standard specified in point (i) below. The child seat must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):

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- (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label; and
 - (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
- (4) Child seats approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seats approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and
 - (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seats approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (6) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The devices should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
- (1) Forward-facing child seats may be installed on both forward-and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on forward-facing passenger seats. A child seat should not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.
 - (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
 - (3) An infant/child in a CRD should not hinder evacuation for any passenger.
 - (4) An infant/child in a CRD should neither be located in the row (where rows are existing) leading to an emergency exit nor located in a row immediately forward or aft of an emergency exit. A window passenger seat is the preferred location. An aisle passenger seat or a cross aisle passenger seat that forms part of the evacuation route to exits is not recommended. Other locations may be acceptable provided the access of neighbour passengers to the nearest aisle is not obstructed by the CRD.
 - (5) In general, only one CRD per row segment is recommended. More than one CRD per row segment is allowed if the infants/children are from the same family or travelling group provided the infants/children are accompanied by a responsible adult sitting next to them in the same row segment.
 - (6) A row segment is one or more seats side-by-side separated from the next row segment by an aisle.
- (d) Installation

- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
 - (2) All safety and installation instructions should be followed carefully by the responsible adult accompanying the infant/child. Operators should prohibit the use of a CRD not installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.
 - (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.
 - (4) The buckle of the adult safety belt must be easily accessible for both opening and closing, and must be in line with the seat belt halves (not canted) after tightening.
 - (5) Forward-facing restraint devices with an integral harness must not be installed such that the adult safety belt is secured over the infant.
- (e) Operation
- (1) Each CRD should remain secured to a passenger seat during all phases of flight unless it is properly stowed when not in use.
 - (2) Where a child seat is adjustable in recline, it must be in an upright position for all occasions when passenger restraint devices are required.

AMC2 CAT.IDE.A.205 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

- (a) A restraint system, including a seat belt, two shoulder straps and additional straps is deemed to be compliant with the requirement for restraint systems with two shoulder straps.
- (b) An upper torso restraint system which restrains permanently the torso of the occupant is deemed to be compliant with the requirement for an upper torso restraint system incorporating a device that will automatically restrain the occupant's torso in the event of rapid deceleration.
- (c) The use of the upper torso restraint independently from the use of the seat belt is intended as an option for the comfort of the occupant of the seat in those phases of flight where only the seat belt is required to be fastened. A restraint system including a seat belt and an upper torso restraint that both remain permanently fastened is also acceptable.

SEAT BELT

- (d) A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC3 CAT.IDE.A.205 Seats, seat safety belts, restraint systems and child restraint devices

SEATS FOR MINIMUM REQUIRED CABIN CREW

- (a) Seats for the minimum required cabin crew members should be located near required floor level emergency exits, except if the emergency evacuation of passengers would be enhanced by seating cabin crew members elsewhere. In this case, other locations are acceptable.
- (b) Such seats should be forward-or rearward-facing within 15° of the longitudinal axis of the aeroplane.

GM1 CAT.IDE.A.205 Seats, seat safety belts, restraint systems and child restraint devices

EMERGENCY LANDING DYNAMIC CONDITIONS

Emergency landing dynamic conditions are defined in 23.562 of CS-23 or equivalent and in 25.562 of CS-25 or equivalent.

GM2 CAT.IDE.A.205 Seats, seat safety belts, restraint systems and child restraint devices

USE OF CHILD SEATS ON BOARD

Guidance on child restraint devices and facilitation of mutual acceptance of these devices can be found in ICAO Doc 10049 'Manual on the approval and use of child restraint systems'.

AMC1 CAT.IDE.A.220 First-aid kit

CONTENT OF FIRST-AID KITS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be complemented by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the first-aid kit:
 - (1) Equipment
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;
 - (ix) antiseptic wound cleaner;
 - (x) disposable resuscitation aid;

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- (xi) disposable gloves;
 - (xii) tweezers: splinter; and
 - (xiii) thermometers (non-mercury).
 - (2) Medications
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;
 - (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of aeroplanes carrying more than 9 passengers;
 - (v) anti-diarrhoeal medication, in the case of aeroplanes carrying more than 9 passengers; and
 - (vi) antihistamine.
 - (3) Other
 - (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook, current edition;
 - (iii) medical incident report form;
 - (iv) biohazard disposal bags.
 - (4) An eye irrigator, whilst not required to be carried in the first-aid kit, should, where possible, be available for use on the ground.

AMC2 CAT.IDE.A.220 First-aid kit

MAINTENANCE OF FIRST-AID KITS

To be kept up to date, first-aid kits should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 CAT.IDE.A.225 Emergency medical kit

CONTENT OF EMERGENCY MEDICAL KIT

- (a) Emergency medical kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be complemented by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the emergency medical kit:
 - (1) Equipment
 - (i) sphygmomanometer — non-mercury;

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- (ii) stethoscope;
 - (iii) syringes and needles;
 - (iv) intravenous cannulae (if intravenous fluids are carried in the first-aid kit, a sufficient supply of intravenous cannulae should be stored there as well);
 - (v) oropharyngeal airways (three sizes);
 - (vi) tourniquet;
 - (vii) disposable gloves;
 - (viii) needle disposal box;
 - (ix) one or more urinary catheter(s), appropriate for either sex, and anaesthetic gel;
 - (x) basic delivery kit;
 - (xi) bag-valve masks (masks two sizes: one for adults, one for children);
 - (xii) intubation set;
 - (xiii) aspirator;
 - (xiv) blood glucose testing equipment; and
 - (xv) scalpel.
- (2) Instructions: the instructions should contain a list of contents (medications in trade names and generic names) in at least two languages (English and one other). This should include information on the effects and side effects of medications carried. There should also be basic instructions for use of the medications in the kit and ACLS cards (summarising and depicting the current algorithm for advanced cardiac life support).
- (3) Medications
- (i) coronary vasodilator e.g. glyceriltrinitrate-oral;
 - (ii) antispasmodic
 - (iii) epinephrine/adrenaline 1:1 000 (if a cardiac monitor is carried);
 - (iv) adrenocorticoid — injectable;
 - (v) major analgesic;
 - (vi) diuretic — injectable;
 - (vii) antihistamine — oral and injectable;
 - (viii) sedative/anticonvulsant — injectable, rectal and oral sedative;
 - (ix) medication for hypoglycaemia (e.g. hypertonic glucose);
 - (x) antiemetic;
 - (xi) atropine — injectable;
 - (xii) bronchial dilator — injectable or inhaled;
 - (xiii) IV fluids in appropriate quantity e.g. sodiumchloride 0.9 % (minimum 250 ml);
 - (xiv) acetylsalicylic acid 300 mg — oral and/or injectable;
 - (xv) antiarrhythmic — if a cardiac monitor is carried;
 - (xvi) antihypertensive medication;

(xvii) beta-blocker — oral.

* Epinephrine/Adrenaline 1:10 000 can be a dilution of epinephrine 1:1 000

- (4) The carriage of an automated external defibrillator should be determined by the operator on the basis of a risk assessment taking into account the particular needs of the operation.
- (5) The automated external defibrillator should be carried on the aircraft, though not necessarily in the emergency medical kit.

AMC2 CAT.IDE.A.225 Emergency medical kit

CARRIAGE UNDER SECURE CONDITIONS

The emergency medical kit should be kept either in the flight crew compartment or in another secure location in the cabin that prevents unauthorised access to it.

AMC3 CAT.IDE.A.225 Emergency medical kit

ACCESS TO EMERGENCY MEDICAL KIT

- (a) When the actual situation on board so requires, the commander should limit access to the emergency medical kit.
- (b) Drugs should be administered by medical doctors, qualified nurses, paramedics or emergency medical technicians.
- (c) Medical students, student paramedics, student emergency medical technicians or nurses aids should only administer drugs if no person mentioned in (b) is on board the flight and appropriate advice has been received.
- (d) Oral drugs should not be denied in medical emergency situations where no medically qualified persons are on board the flight.

AMC4 CAT.IDE.A.225 Emergency medical kit

MAINTENANCE OF EMERGENCY MEDICAL KIT

To be kept up to date, the emergency medical kit should be:

- (a) inspected periodically to confirm, to the extent possible, that the contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use-in-flight at the first opportunity where replacement items are available.

GM1 CAT.IDE.A.225 Emergency medical kit

SECURE LOCATION

‘Secure location’ refers to a location in the cabin that is not intended for the use by passengers and preferably to which passengers do not have access.

GM1 CAT.IDE.A.230 First-aid oxygen

GENERAL

- (a) First-aid oxygen is intended for those passengers who still need to breath oxygen when the amount of supplemental oxygen required under **CAT.IDE.A.235** or **CAT.IDE.A.240** has been exhausted.
- (b) When calculating the amount of first-aid oxygen, the operator should take into account the fact that, following a cabin depressurisation, supplemental oxygen as calculated in accordance with Table 1 of **CAT.IDE.A.235** and Table 1 of **CAT.IDE.A.240** should be sufficient to cope with potential effects of hypoxia for:
 - (1) all passengers when the cabin altitude is above 15 000 ft;
 - (2) at least 30 % of the passengers, for any period when, in the event of loss of pressurisation and taking into account the circumstances of the flight, the pressure altitude in the passenger compartment will be between 14 000 ft and 15 000 ft; and
 - (3) at least 10 % of the passengers for any period in excess of 30 minutes when the pressure altitude in the passenger compartment will be between 10 000 ft and 14 000 ft.
- (c) For the above reasons, the amount of first-aid oxygen should be calculated for the part of the flight after cabin depressurisation during which the cabin altitude is between 8 000 ft and 15 000 ft, when supplemental oxygen may no longer be available.
- (d) Moreover, following cabin depressurisation, an emergency descent should be carried out to the lowest altitude compatible with the safety of the flight. In addition, in these circumstances, the aeroplane should land at the first available aerodrome at the earliest opportunity.
- (e) The conditions above may reduce the period of time during which the first-aid oxygen may be required and consequently may limit the amount of first-aid oxygen to be carried on board.
- (f) Means may be provided to decrease the flow to not less than 2 litres per minute, STPD, at any altitude.

AMC1 CAT.IDE.A.235 Supplemental oxygen – pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of the amount of supplemental oxygen required for the routes to be flown, it is assumed that the aeroplane will descend in accordance with the emergency procedures specified in the operations manual, without exceeding its operating limitations, to a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance, etc.).
- (b) The amount of supplemental oxygen should be determined on the basis of cabin pressure

altitude, flight duration and on the assumption that a cabin pressurisation failure will occur at the pressure altitude or point of flight that is most critical from the standpoint of oxygen need.

- (c) Following a cabin pressurisation failure, the cabin pressure altitude should be considered to be the same as the aeroplane pressure altitude unless it can be demonstrated to the CAA that no probable failure of the cabin or pressurisation system will result in a cabin pressure altitude equal to the aeroplane pressure altitude. Under these circumstances, the demonstrated maximum cabin pressure altitude may be used as a basis for determination of oxygen supply.

AMC2 CAT.IDE.A.235 Supplemental oxygen – pressurised aeroplanes

OXYGEN REQUIREMENTS FOR FLIGHT CREW COMPARTMENT SEAT OCCUPANTS AND CABIN CREW IN ADDITION TO THE REQUIRED MINIMUM NUMBER OF CABIN CREW

- (a) For the purpose of supplemental oxygen supply, flight crew compartment seat occupants who are:
 - (1) supplied with oxygen from the flight crew source of oxygen should be considered as flight crew members; and
 - (2) not supplied with oxygen by the flight crew source of oxygen should be considered as passengers.
- (b) Cabin crew members in addition to the minimum number of cabin crew and additional crew members should be considered as passengers for the purpose of supplemental oxygen supply.

GM1 CAT.IDE.A.235(b)(1) Supplemental oxygen – pressurised aeroplanes

QUICK DONNING MASKS

A quick donning mask is a type of mask that:

- (a) can be placed on the face from its ready position, properly secured, sealed and supplying oxygen upon demand, with one hand and within 5 seconds and will thereafter remain in position, both hands being free;
- (b) can be donned without disturbing eye glasses and without delaying the flight crew member from proceeding with assigned emergency duties;
- (c) once donned, does not prevent immediate communication between the flight crew members and other crew members over the aircraft intercommunication system; and
- (d) does not inhibit radio communications.

AMC1 CAT.IDE.A.235(c) Supplemental oxygen – pressurised aeroplanes

AEROPLANES WITHOUT AUTOMATIC DEPLOYABLE OXYGEN-DISPENSING UNITS

- (a) For operations approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012 with aeroplanes first issued with an individual certificate of airworthiness (CofA) after 8 November 1998, operated at pressure altitudes at or below 25 000 ft, and not fitted with automatic deployable oxygen-dispensing units, the flight crew should

manage the descent in case of a loss of power in order to ensure that the cabin pressure altitude is not higher than 13 000 ft for more than 4 min.

- (b) The operator should specify in the operations manual (OM) the aircraft capability in terms of cabin pressure leak rate in case of engine power loss, as well as the relevant procedures.

GM1 CAT.IDE.A.235(c) Supplemental oxygen – pressurised aeroplanes

AEROPLANES WITHOUT AUTOMATIC DEPLOYABLE OXYGEN-DISPENSING UNITS

For operations approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012, should a loss of engine power occur, it is required that sufficient supplemental oxygen for all occupants is available to allow descent from the maximum certified cruising altitude, performed at the best-range gliding speed and in the best gliding configuration, assuming the maximum cabin pressure leak rate, during the entire flying time when the cabin pressure altitude exceeds 13 000 ft.

In the case of pressurised aeroplanes first issued with an individual certificate of airworthiness (CofA) after 8 November 1998, with a maximum certified cruising altitude above 25 000 ft, and not fitted with automatically deployable oxygen-dispensing units, the amount of supplemental oxygen should be based on a cruising altitude of 25 000 ft as **CAT.IDE.A.235(c)** limits the operations of such aeroplanes to the aforementioned altitude.

For such single-engined turbine aeroplanes, with the energy source of the pressurisation system being lost (this is at least the case of pressurisation systems relying on bleed air inflow), the cabin pressure altitude increases at a rate dependent upon the pressurisation system design and the cabin pressure leak rate.

Therefore, following an engine failure during such operations, the cabin pressure altitude will remain below 13 000 ft for a certain duration, which should allow the flight crew to descend at the best gliding speed during this period.

The intent of the **CAT.IDE.A.235(c)** requirement is to ensure that this does not result in any unsafe conditions for the passengers, as the cabin pressure altitude might increase above 13 000 ft, as well as not jeopardise the safety of operations approved in accordance with Subpart L (SET-IMC) of Annex V (Part-SPA) to Regulation (EU) No 965/2012 by maximising the chances of reaching an appropriate landing site.

AMC1 CAT.IDE.A.235(e) Supplemental oxygen – pressurised aeroplanes

AEROPLANES NOT CERTIFIED TO FLY ABOVE 25 000 ft

- (a) With respect to **CAT.IDE.A.235(e)**, the maximum altitude up to which an aeroplane can operate without a passenger oxygen system being installed and capable of providing oxygen to each cabin occupant, should be established using an emergency descent profile that takes into account the following conditions:
 - (1) 17 seconds' time delay for pilot's recognition and reaction, including mask donning, for trouble shooting and configuring the aeroplane for the emergency descent (emergency descent data/charts established by the aeroplane manufacturer and published in the aircraft flight manual (AFM), and/or the AFM should be used to ensure uniform application of the option); and

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- (2) maximum operational speed (V_{MO}) or the airspeed approved in the AFM for emergency descent, (emergency descent data/charts established by the aeroplane manufacturer and published in the AFM, and/or AFM should be used to ensure uniform application of the option), whichever is the less;
 - (b) On routes where oxygen is necessary to be carried for 10 % of the passengers for the flight time between 10 000 ft and 13 000 ft, the oxygen should be provided either by:
 - (1) a plug-in or drop-out oxygen system with sufficient outlets and dispensing units uniformly distributed throughout the cabin so as to provide oxygen to each passenger at his/her own discretion when seated on his/her assigned seat; or
 - (2) portable bottles, when a cabin crew member is required on board such flight.

AMC1 CAT.IDE.A.240 Supplemental oxygen – non-pressurised aeroplanes

AMOUNT OF SUPPLEMENTAL OXYGEN

The amount of supplemental oxygen for sustenance for a particular operation should be determined on the basis of flight altitudes and flight duration, consistent with the operating procedures, including emergency procedures, established for each operation and the routes to be flown, as specified in the operations manual.

AMC1 CAT.IDE.A.245 Crew protective breathing equipment

PROTECTIVE BREATHING EQUIPMENT (PBE)

The supply for PBE for the flight crew members may be provided by the supplemental oxygen required in **CAT.IDE.A.235** or **CAT.IDE.A.240**.

AMC1 CAT.IDE.A.250 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the passenger compartments, the need to minimise the hazard of toxic gas concentrations and the location of lavatories, galleys, etc. These considerations may result in a number of fire extinguishers greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires. Additional hand fire extinguishers may be required for the protection of other compartments accessible to the crew in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.
- (c) Where only one hand fire extinguisher is required in the passenger compartments, it should be located near the cabin crew member's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the passenger compartments and their location is not otherwise dictated by consideration of **CAT.IDE.A.250(b)**, an extinguisher

should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.

- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 CAT.IDE.A.255 Crash axe and crowbar

STORAGE OF CRASH AXES AND CROWBARS

Crash axes and crowbars located in the passenger compartment should be stored in a position not visible to passengers.

AMC1 CAT.IDE.A.260 Marking of break-in points

MARKINGS — COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 CAT.IDE.A.270 Megaphones

LOCATION OF MEGAPHONES

- (a) Where one megaphone is required, it should be readily accessible at the assigned seat of a cabin crew member or crew members other than flight crew.
- (b) Where two or more megaphones are required, they should be suitably distributed in the passenger compartment(s) and readily accessible to crew members assigned to direct emergency evacuations.
- (c) This does not necessarily require megaphones to be positioned such that they can be physically reached by a crew member when strapped in a cabin crew member's seat.

AMC1 CAT.IDE.A.280 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs should be replaced (or recharged if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:
 - (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (3) The battery useful life (or useful life of charge) criteria in (1) and (2) do not apply to

batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.

- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 CAT.IDE.A.280 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
- (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid search and rescue (SAR) teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT, that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT(AP) does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic deployable (ELT(AD))an ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency, and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III communications systems and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

GM1 CAT.IDE.A.280 Emergency locator transmitter (ELT)

TERMINOLOGY

‘ELT’ is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.

AMC1 CAT.IDE.A.285 Flight over water

LIFE RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS

- (a) The following should be readily available with each life-raft:
- (1) means for maintaining buoyancy;
 - (2) a sea anchor;
 - (3) life-lines and means of attaching one life-raft to another;
 - (4) paddles for life-rafts with a capacity of six or less;
 - (5) means of protecting the occupants from the elements;
 - (6) a water-resistant torch;
 - (7) signalling equipment to make the pyrotechnic distress signals described in ICAO Annex 2, 'Rules of the Air';
 - (8) 100 g of glucose tablets for each four, or fraction of four, persons that the life-raft is designed to carry;
 - (9) at least 2 litres of drinkable water provided in durable containers or means of making sea water drinkable or a combination of both; and
 - (10) first-aid equipment.
- (b) As far as practicable, items listed in (a) should be contained in a pack.

AMC1 CAT.IDE.A.285(e)(4) & CAT.IDE.A.305(a)(2) Flight over water & Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

AMC1 CAT.IDE.A.285(a) Flight over water

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or restraint system fastened.

AMC2 CAT.IDE.A.285(a) Flight over water

ELECTRIC ILLUMINATION OF LIFE-JACKETS

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

GM1 CAT.IDE.A.285(a) Flight over water

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 CAT.IDE.A.285(f) Flight over water

LOW-FREQUENCY UNDERWATER LOCATING DEVICE

- (a) The underwater locating device should be compliant with ETSO-C200 or equivalent.
- (b) The underwater locating device should not be installed in wings or empennage.

GM1 CAT.IDE.A.285(f)(2) Flight over water

/R

ROBUST AND AUTOMATIC MEANS TO LOCATE THE POINT OF END OF FLIGHT AFTER AN ACCIDENT

CAT.IDE.A.285(f)(2) refers to means such as required by **CAT.GEN.MPA.210** 'Location of an aircraft in distress'. The adjective 'robust' in **CAT.IDE.A.285(f)(2)** indicates that this means is designed to provide the location of the point of end of flight in non-survivable accident scenarios as well as in survivable accident scenarios.

AMC1 CAT.IDE.A.305 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 2 litres of drinkable water for each 50, or fraction of 50, persons on board provided in durable containers;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
 - (1) a means for melting snow;
 - (2) one snow shovel and one ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all passengers on board; and
 - (4) one arctic/polar suit for each crew member.
- (c) If any item of equipment contained in the above list is already carried on board the aeroplane in accordance with another requirement, there is no need for this to be duplicated.

AMC1 CAT.IDE.A.285(e)(4) & CAT.IDE.A.305(a)(2) Flight over water & Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

AMC1 CAT.IDE.A.305(b)(2) Survival equipment

APPLICABLE AIRWORTHINESS STANDARD

The applicable airworthiness standard should be CS-25 or equivalent.

GM1 CAT.IDE.A.305 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 CAT.IDE.A.305 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

AMC1 CAT.IDE.A.325 Headset

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the aeroplane's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be sufficiently adjustable to fit the pilot's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the aeroplane.

GM1 CAT.IDE.A.325 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

AMC1 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

TWO INDEPENDENT MEANS OF COMMUNICATION

Whenever two independent means of communication are required, each system should have an independent antenna installation, except where rigidly supported non-wire antennae or other antenna installations of equivalent reliability are used.

AMC2 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

ACCEPTABLE NUMBER AND TYPE OF COMMUNICATION AND NAVIGATION EQUIPMENT

- (a) An acceptable number and type of communication and navigation equipment is:
 - (1) one VHF omnidirectional radio range (VOR) receiving system, one automatic direction finder (ADF) system, one distance measuring equipment (DME), except that an ADF system need not be installed provided that the use of ADF is not required in any phase of the planned flight;
 - (2) one instrument landing system (ILS) or microwave landing system (MLS) where ILS or MLS is required for approach navigation purposes;
 - (3) one marker beacon receiving system where a marker beacon is required for approach navigation purposes;
 - (4) area navigation equipment when area navigation is required for the route being flown (e.g. equipment required by Part-SPA);
 - (5) an additional DME system on any route, or part thereof, where navigation is based only on DME signals;
 - (6) an additional VOR receiving system on any route, or part thereof, where navigation is based only on VOR signals; and
 - (7) an additional ADF system on any route, or part thereof, where navigation is based only on non-directional beacon (NDB) signals.
- (b) Aeroplanes may be operated without the navigation equipment specified in (6) and (7) provided they are equipped with alternative equipment. The reliability and the accuracy of alternative equipment should allow safe navigation for the intended route.
- (c) The operator conducting extended range operations with two-engined aeroplanes (ETOPS)

should ensure that the aeroplanes have a communication means capable of communicating

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

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EQUIPMENT

with an appropriate ground station at normal and planned contingency altitudes. For ETOPS routes where voice communication facilities are available, voice communications should be provided. For all ETOPS operations beyond 180 minutes, reliable communication technology, either voice-based or data link, should be installed. Where voice communication facilities are not available and where voice communication is not possible or is of poor quality, communications using alternative systems should be ensured.

- (d) To perform IFR operations without an ADF system installed, the operator should consider the following guidelines on equipment carriage, operational procedures and training criteria.
 - (1) ADF equipment may only be removed from or not installed in an aeroplane intended to be used for IFR operations when it is not essential for navigation, and provided that alternative equipment giving equivalent or enhanced navigation capability is carried. This may be accomplished by the carriage of an additional VOR receiver or a GNSS receiver approved for IFR operations.
 - (2) For IFR operations without ADF, the operator should ensure that:
 - (i) route segments that rely solely on ADF for navigation are not flown;
 - (ii) ADF/NDB procedures are not flown;
 - (iii) the minimum equipment list (MEL) has been amended to take account of the non-carriage of ADF;
 - (iv) the operations manual does not refer to any procedures based on NDB signals for the aeroplanes concerned; and
 - (v) flight planning and dispatch procedures are consistent with the above mentioned criteria.
 - (3) The removal of ADF should be taken into account by the operator in the initial and recurrent training of flight crew.
- (e) VHF communication equipment, ILS localiser and VOR receivers installed on aeroplanes to be operated in IFR should comply with the following FM immunity performance standards:
 - (1) ICAO Annex 10, Volume I - Radio Navigation Aids, and Volume III, Part II - Voice Communications Systems; and
 - (2) acceptable equipment standards contained in EUROCAE Minimum Operational Performance Specifications, documents ED-22B for VOR receivers, ED-23B for VHF communication receivers and ED-46B for LOC receivers and the corresponding Radio Technical Commission for Aeronautics (RTCA) documents DO-186, DO-195 and DO-196.

AMC3 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

FAILURE OF A SINGLE UNIT

Required communication and navigation equipment should be installed such that the failure of any single unit required for either communication or navigation purposes, or both, will not result in the failure of another unit required for communications or navigation purposes.

AMC4 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

LONG RANGE COMMUNICATION SYSTEMS

- (a) The long range communication system should be either a high frequency/HF-system or another two-way communication system if allowed by the relevant airspace procedures.
- (b) When using one communication system only, the CAA may restrict the minimum navigation performance specifications (MNPS) approval to the use of the specific routes.

GM1 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

APPLICABLE AIRSPACE REQUIREMENTS

For aeroplanes being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

GM2 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto, and documents directly referenced in the AFM;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.

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- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
- (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
- (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
- (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.

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- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;

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- (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-041, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.
- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.
- (n) RNP 4
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.

¹ <http://ad.easa.europa.eu/ad/2014-04>

- (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
 - (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations:
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
 - (1) In all cases, the limitations in the AFM need to be checked; in particular, the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM3 CAT.IDE.A.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

GM1 CAT.IDE.A.345(c) Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

SHORT HAUL OPERATIONS

The term 'short haul operations' refers to operations not crossing the North Atlantic.

AMC1 CAT.IDE.A.350 Transponder

SSR TRANSPONDER

- (a) The secondary surveillance radar (SSR) transponders of aeroplanes being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 CAT.IDE.A.355 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 CAT.IDE.A.355 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATION

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 CAT.IDE.A.355 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 CAT.IDE.A.355 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SECTION 2 – HELICOPTERS

GM1 CAT.IDE.H.100(a) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH COMMISSION REGULATION (EU) NO 748/2012

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **CAT.IDE.H.100(a)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 CAT.IDE.H.100(b) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH COMMISSION REGULATION (EU) NO 748/2012, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with Commission Regulation (EU) No 748/2012. In this case, the installation should be approved as required in Commission Regulation (EU) No 748/2012 and should comply with the applicable Certification Specifications as required under that Regulation.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or the Certification Specifications as required under Commission Regulation (EU) No 748/2012 or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aircraft. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by flight crew or cabin crew; and
 - (3) non-installed passenger entertainment equipment.

GM1 CAT.IDE.H.100(d) Instruments and equipment — general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required to be installed in a helicopter operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

AMC1 CAT.IDE.H.125 & CAT.IDE.H.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments or by integrated flight systems or by a combination of parameters on electronic displays, provided that the information so available to each required pilot is not less than the required in the applicable operational requirements, and the equivalent safety of the installation has been shown during type certification approval of the helicopter for the intended type of operation.

- (b) The means of measuring and indicating slip, helicopter attitude and stabilised helicopter heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC1 CAT.IDE.H.125(a)(1)(i) & CAT.IDE.H.130(a)(1) Operations under VFR by day & Operations under IFR or at night — flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 CAT.IDE.H.125(a)(1)(ii) & CAT.IDE.H.130(a)(2) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

AMC1 CAT.IDE.H.125(a)(1)(iii) & CAT.IDE.H.130(b) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying pressure altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 CAT.IDE.H.125(a)(1)(iv) & CAT.IDE.H.130(a)(3) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

The instrument indicating airspeed should be calibrated in knots (kt).

AMC1 CAT.IDE.H.125(a)(1)(vii) & CAT.IDE.H.130(a)(8) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 CAT.IDE.H.125(b) & CAT.IDE.H.130(h) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments should include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 CAT.IDE.H.125(c)(2) & CAT.IDE.H.130(a)(7) Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

STABILISED HEADING

Stabilised heading should be achieved for VFR flights by a gyroscopic heading indicator, whereas for IFR flights, this should be achieved through a magnetic gyroscopic heading indicator.

AMC1 CAT.IDE.H.125(d) & CAT.IDE.H.130(d) Operations under VFR by day & Operations under IFR or at night operations – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

GM1 CAT.IDE.H.125 & CAT.IDE.H.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

SUMMARY TABLE

Table 1

Flight and navigational instruments and associated equipment

| SERIAL | | FLIGHTS UNDER VFR | | FLIGHTS UNDER IFR OR AT NIGHT | |
|------------|-------------------------------------------------|-------------------|------------------------|-------------------------------|------------------------|
| INSTRUMENT | | SINGLE-PILOT | TWO PILOTS REQUIRED | SINGLE-PILOT | TWO PILOTS REQUIRED |
| (a) | | (b) | (c) | (d) | (e) |
| 1 | Magnetic direction | 1 | 1 | 1 | 1 |
| 2 | time | 1 | 1 | 1 | 1 |
| 3 | Pressure altitude | 1 | 2 | 2 Note (1) | 2 |
| 4 | Indicated airspeed | 1 | 2 | 1 | 2 |
| 5 | Vertical speed | 1 | 2 | 1 | 2 |
| 6 | Slip | 1 | 2 | 1 | 2 |
| 7 | Attitude | 1 Note (2) | 2 Note(2) | 1 | 2 |
| 8 | Stabilised direction | 1 Note (2) | 2 Note(2) | 1 | 2 |
| 9 | Outside air temperature | 1 | 1 | 1 | 1 |
| 10 | Airspeed icing protection | 1 Note (3) | 2 Note (3) | 1 | 2 |
| 11 | Airspeed icing protection failure indicating | | | 1 Note (4) | 2 Note (4) |
| 12 | Static pressure source | | | 2 | 2 |
| 13 | Standby attitude | | | 1 Note (5) | 1 Note (5) |
| 14 | Chart holder | | | 1 Note (6) | 1 Note (6) |

Note (1) For single-pilot night operation under VFR, one means of measuring and displaying pressure altitude may be substituted by a means of measuring and displaying radio altitude.

Note (2) Applicable only to helicopters with a maximum certified take-off mass (MCTOM) of more than 3 175 kg; or helicopters operated over water when out of sight of land or when the visibility is less than 1 500 m.

Note (3) Applicable only to helicopters with an MCTOM of more than 3 175 kg, or with an MOPSC of more than 9.

Note (4) The pitot heater failure annunciation applies to any helicopter issued with an individual CofA on or after 1 August 1999. It also applies before that date when: the helicopter has a MCTOM of more than 3 175 kg and an MOPSC of more than 9.

Note (5) For helicopters with an MCTOM of more than 3 175 kg, CS 29.1303(g) may require either a gyroscopic rate-of-turn indicator combined with a slip-skid indicator (turn and bank indicator) or a standby attitude indicator satisfying the requirements. In any case, the original type certification standard should be referred to determine the exact requirement.

Note (6) Applicable only to helicopters operating under IFR.

GM1 CAT.IDE.H.130(a)(3) Operations under IFR — flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for helicopters operating above 10 000 ft.

AMC1 CAT.IDE.H.130(e) Operations under IFR or at night – flight and navigational instruments and associated equipment

MEANS OF INDICATING FAILURE OF THE AIRSPEED INDICATING SYSTEM'S MEANS OF PREVENTING MALFUNCTION DUE TO EITHER CONDENSATION OR ICING

A combined means of indicating failure of the airspeed indicating system's means of preventing malfunction due to either condensation or icing is acceptable provided that it is visible from each flight crew station and that there it is a means to identify the failed heater in systems with two or more sensors.

AMC1 CAT.IDE.H.130(f)(6) Operations under IFR or at night – flight and navigational instruments and associated equipment

ILLUMINATION OF STANDBY MEANS OF MEASURING AND DISPLAYING ATTITUDE

The standby means of measuring and displaying attitude should be illuminated so as to be clearly visible under all conditions of daylight and artificial lighting.

AMC1 CAT.IDE.H.130(i) Operations under IFR or at night – flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement is to display a pre-composed chart on an electronic flight bag (EFB).

GM1 CAT.IDE.H.125 & CAT.IDE.H.130 Operations under VFR by day & Operations under IFR or at night – flight and navigational instruments and associated equipment

SUMMARY TABLE

Table 1

Flight and navigational instruments and associated equipment

| SERIAL | | FLIGHTS UNDER VFR | | FLIGHTS UNDER IFR OR AT NIGHT | |
|------------|----------------------------------------------|-------------------|---------------------|-------------------------------|---------------------|
| INSTRUMENT | | SINGLE-PILOT | TWO PILOTS REQUIRED | SINGLE-PILOT | TWO PILOTS REQUIRED |
| (a) | | (b) | (c) | (d) | (e) |
| 1 | Magnetic direction | 1 | 1 | 1 | 1 |
| 2 | time | 1 | 1 | 1 | 1 |
| 3 | Pressure altitude | 1 | 2 | 2 Note (1) | 2 |
| 4 | Indicated airspeed | 1 | 2 | 1 | 2 |
| 5 | Vertical speed | 1 | 2 | 1 | 2 |
| 6 | Slip | 1 | 2 | 1 | 2 |
| 7 | Attitude | 1 Note (2) | 2 Note(2) | 1 | 2 |
| 8 | Stabilised direction | 1 Note (2) | 2 Note(2) | 1 | 2 |
| 9 | Outside air temperature | 1 | 1 | 1 | 1 |
| 10 | Airspeed icing protection | 1 Note (3) | 2 Note (3) | 1 | 2 |
| 11 | Airspeed icing protection failure indicating | | | 1 Note (4) | 2 Note (4) |
| 12 | Static pressure source | | | 2 | 2 |
| 13 | Standby attitude | | | 1 Note (5) | 1 Note (5) |
| 14 | Chart holder | | | 1 Note (6) | 1 Note (6) |

Note (1) For single-pilot night operation under VFR, one means of measuring and displaying pressure altitude may be substituted by a means of measuring and displaying radio altitude.

Note (2) Applicable only to helicopters with a maximum certified take-off mass (MCTOM) of more than 3 175 kg; or helicopters operated over water when out of sight of land or when the visibility is less than 1 500 m.

Note (3) Applicable only to helicopters with an MCTOM of more than 3 175 kg, or with an MOPSC of more than 9.

Note (4) The pitot heater failure annunciation applies to any helicopter issued with an individual CofA on or after 1 August 1999. It also applies before that date when: the helicopter has a MCTOM of more than 3 175 kg and an MOPSC of more than 9.

Note (5) For helicopters with an MCTOM of more than 3 175 kg, CS 29.1303(g) may require either a gyroscopic rate-of-turn indicator combined with a slip-skid indicator (turn and bank indicator) or a standby attitude indicator satisfying the requirements. In any case, the original type certification standard should be referred to determine the exact requirement.

Note (6) Applicable only to helicopters operating under IFR.

AMC1 CAT.IDE.H.145 Radio altimeters

AUDIO WARNING DEVICE

- (a) The audio warning should be a voice warning.
- (b) The audio warning may be provided by a helicopter terrain awareness and warning system (HTAWS).

AMC2 CAT.IDE.H.145 Radio altimeters

RADIO ALTIMETER DISPLAY

The radio altimeter should be of an analogue type display presentation that requires minimal interpretation for both an instantaneous impression of absolute height and rate of change of height.

GM1 CAT.IDE.H.145 Radio altimeters

AUDIO-VOICE-ALERTING DEVICE

- (a) To be effective, the voice warning alert should be distinguishable from other warnings and should contain a clear and concise voice message.
- (b) The warning format should meet the following conditions:
 - (1) the warning should be unique (i.e. voice);
 - (2) it should not be inhibited by any other audio warnings, except by higher priority alerts such as helicopter terrain awareness and warning system (HTAWS); and
 - (3) the urgency of the warning should be adequate to draw attention but not such as to cause undue annoyance during deliberate descents through the datum height.
- (c) The criteria above can be satisfactorily met if the warning format incorporates all of the following features:
 - (1) a unique tone should precede the voice message; a further tone after the voice may enhance uniqueness and attract more attention without causing undue annoyance;
 - (2) the perceived tone and voice should be moderately urgent;
 - (3) the message should be compact as opposed to lengthy provided that the meaning is not compromised, e.g. 'One fifty feet' as opposed to 'One hundred and fifty feet';
 - (4) an information message is preferable (e.g. 'One hundred feet'); messages such as 'Low height' do not convey the correct impression during deliberate descents through the datum height;
 - (5) command messages (e.g. 'Pull up, pull up') should not be used unless they relate specifically to height monitoring (e.g. 'Check height'); and
 - (6) the volume of the warning should be adequate and not variable below an acceptable minimum value.
- (d) Every effort should be made to prevent spurious warnings.
- (e) The height at which the audio warning is triggered by the radio altimeter should be such as to provide adequate warning for the pilot to take corrective action. It is envisaged that most installations will adopt a height in the range of 100–160 ft. The datum should not be adjustable

in flight.

- (f) The preset datum height should not be set in a way that it coincides with commonly used instrument approach minima (i.e. 200 ft). Once triggered, the message should sound within 0.5 sec.
- (g) The voice warning should be triggered only whilst descending through the preset datum height and be inhibited whilst ascending.

GM2 CAT.IDE.H.145 Radio altimeters

RADIO ALTIMETER DISPLAY

An analogue type display presentation may be, for example, a representation of a dial, ribbon or bar, but not a display that provides numbers only. An analogue type display may be embedded into an electronic flight instrument system (EFIS).

AMC1 CAT.IDE.H.160 Airborne weather detecting equipment

GENERAL

The airborne weather detecting equipment should be an airborne weather radar.

AMC1 CAT.IDE.H.170 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 CAT.IDE.H.175 Crew member interphone system

SPECIFICATIONS

The crew member interphone system should:

- (a) operate independently of the public address system except for handsets, headsets, microphones, selector switches and signalling devices;
- (b) in the case of helicopters where at least one cabin crew member is required, be readily accessible for use at required cabin crew stations close to each separate or pair of floor level emergency exits;
- (c) in the case of helicopters where at least one cabin crew member is required, have an alerting system incorporating aural or visual signals for use by flight and cabin crew;
- (d) have a means for the recipient of a call to determine whether it is a normal call or an emergency call that uses one or a combination of the following:
 - (1) lights of different colours;
 - (2) codes defined by the operator (e.g. different number of rings for normal and emergency calls); or
 - (3) any other indicating signal specified in the operations manual;
- (e) provide a means of two-way communication between the flight crew compartment and each crew member station; and
- (f) be readily accessible for use from each required flight crew station in the flight crew compartment.

AMC1 CAT.IDE.H.180 Public address system

SPECIFICATIONS

The public address system should:

- (a) operate independently of the interphone systems except for handsets, headsets, microphones, selector switches and signalling devices;
- (b) be readily accessible for immediate use from each required flight crew station;
- (c) have, for each floor level passenger emergency exit that has an adjacent cabin crew seat, a microphone operable by the seated cabin crew member, except that one microphone may serve more than one exit, provided the proximity of exits allows unassisted verbal communication between seated cabin crew members;
- (d) be operable within ten seconds by a cabin crew member at each of those stations;
- (e) be audible at all passenger seats, lavatories, cabin crew seats and work stations and any other location or compartment that may be occupied by persons; and
- (f) following a total failure of the normal electrical generating system, provide reliable operation for a minimum of ten minutes.

AMC1 CAT.IDE.H.185 Cockpit voice recorder

OPERATIONAL PERFORMANCE REQUIREMENTS

- (a) For helicopters first issued with an individual CofA on or after 1 January 2016, the operational performance requirements for cockpit voice recorders (CVRs) should be those laid down in EUROCAE Document ED-112 Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems dated March 2003, including Amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE; and
- (b) the operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.

AMC1.1 CAT.IDE.H.190 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the parameters listed in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by helicopter systems or is available on the instrument panel for

use by the flight crew to operate the helicopter; and

- (3) any dedicated parameters related to novel or unique design or operational characteristics of the helicopter as determined by the CAA.
- (c) The FDR parameters should meet, as far as practicable, the performance specifications (range, sampling intervals, accuracy limits and minimum resolution in read-out) defined in the operational performance requirements and specifications of EUROCAE Document 112, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (d) FDR systems for which some recorded parameters do not meet the performance specifications of EUROCAE Document ED-112 may be acceptable to the CAA.

Table 1

FDR — all helicopters

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |
| 9 | Power on each engine |
| 9a | Free power turbine speed (N_F) |
| 9b | Engine torque |
| 9c | Engine gas generator speed (N_G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — Pilot input and/or control output position (if applicable) |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabiliser (if applicable) |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure (each system should be recorded) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — a discrete should be recorded for the master warning, gearbox low oil pressure and stability augmentation system failure. Other 'red' warnings should be recorded where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left hand column reflects the serial numbers depicted in EUROCAE Document ED-112

Table 2

Helicopters for which the data source for the parameter is either used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter

| No* | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |
| 17 | Gear box oil temperature Main |
| 17a | gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded. |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |
| 24 | Horizontal deviation — the approach aid in use should be recorded. |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| 29 | Navigation data |
| 29a | Drift angle |
| 29b | Wind speed |
| 29c | Wind direction |
| 29d | Latitude |
| 29e | Longitude |
| 29f | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T ₄) |
| 32 | Turbine inlet temperature (TIT/ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) — only necessary when available from cockpit instruments |
| 35 | Ice detection |
| 36 | Helicopter health and usage monitor system (HUMS) |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |

| No* | Parameter |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 46 | EFIS display format |
| 47 | Multi-function/engine/alerts display format |
| 48 | Event marker |

* The number in the left hand column reflects the serial numbers depicted in EUROCAE Document ED-112

AMC1.2 CAT.IDE.H.190 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CoFA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for FDRs should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the helicopter as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — All helicopters

| No* | Parameter |
|-----|--------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9 | Power on each engine: |
| 9a | Free power turbine speed (N_F) |
| 9b | Engine torque |
| 9c | Engine gas generator speed (N_G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — pilot input or control output position if it is possible to derive either the control input or the control movement (one from the other) for all modes of operation and flight regimes. Otherwise, pilot input and control output position: |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabilator (if applicable) |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure (each system should be recorded) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — including master warning, gearbox low oil pressure and stability augmentation system failure, and other 'red' warnings where the warning condition cannot be determined from other parameters or from the cockpit voice recorder |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

Table 2: FDR - Helicopters for which the data source for the parameter is either used by the helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path) |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |
| 17 | Gear box oil temperature: |
| 17a | Main gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals are readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded: |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |

| No* | Parameter |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| 24 | Horizontal deviation — the approach aid in use should be recorded: |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| 29 | Navigation data: |
| 29a | Drift angle |
| 29b | Wind speed |
| 29c | Wind direction |
| 29d | Latitude |
| 29e | Longitude |
| 29f | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T ₄) |
| 32 | Turbine inlet temperature (TIT)/interstage turbine temperature ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) — only necessary when available from cockpit instruments |
| 35 | Ice detection |
| 36 | Helicopter health and usage monitor system (HUMS): |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically: |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 46 | EFIS display format (showing the display system status): |
| 46a | Pilot |
| 46b | First officer |
| 47 | Multi-function/engine/alerts display format (showing the display system status) |
| 48 | Event marker |

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 49 | Status of ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS): |
| 49a | Selection of terrain display mode including pop-up display status — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 49b | Terrain alerts, both cautions and warnings, and advisories — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 49c | On/off switch position — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS): |
| 50a | Combined control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50b | Vertical control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50c | Up advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50d | Down advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50e | Sensitivity level — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 51 | Primary flight controls — pilot input forces: |
| 51a | Collective pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 51b | Longitudinal cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 51c | Lateral cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 51d | Tail rotor pedal — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 52 | Computed centre of gravity — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 53 | Helicopter computed weight — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

AMC2 CAT.IDE.H.190 Flight data recorder

LIST OF PARAMETERS TO BE RECORDED FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL COFA ON OR AFTER 1 AUGUST 1999 AND BEFORE 1 JANUARY 2016 AND HELICOPTERS HAVING AN MCTOM OF MORE THAN 7 000 KG OR AN MOPSC OF MORE THAN 9 AND FIRST ISSUED WITH AN INDIVIDUAL COFA ON OR AFTER 1 JANUARY 1989 AND BEFORE 1 AUGUST 1999

- (a) The FDR should, with reference to a timescale, record:
- (1) for helicopters with an MCTOM between 3 175 kg and 7 000 kg the parameters listed in Table 1 below;
 - (2) for helicopters with an MCTOM of more than 7 000 kg the parameters listed in Table 2 below;
 - (3) for helicopters equipped with electronic display systems, the additional parameters listed in Table 3 below; and

- (4) any dedicated parameters relating to novel or unique design or operational characteristics of the helicopter.
- (b) The FDR of helicopters with an MCTOM of more than 7 000 kg does not need to record parameter 19 of Table 2 below, if any of the following conditions are met:
- (1) the sensor is not readily available; or
 - (2) a change is required in the equipment that generates the data.
- (c) Individual parameters that can be derived by calculation from the other recorded parameters need not to be recorded, if agreed by the CAA.
- (d) The parameters should meet, as far as practicable, the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) defined in **AMC3 CAT.IDE.H.190**.
- (e) If recording capacity is available, as many of the additional parameters as possible specified in table II-A.2 of EUROCAE Document ED 112 dated March 2003 should be recorded.
- (f) For the purpose of this AMC, a sensor is considered 'readily available' when it is already available or can be easily incorporated.

Table 1

Helicopters with an MCTOM of 7 000 kg or less

| No | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying |
| 9 | Power on each engine (free power turbine speed and engine torque)/cockpit power control position (if applicable) |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — pilot input and control output position (if applicable) |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabiliser |
| 11f | Hydraulic selection |
| 13 | Outside air temperature |
| 14 | Autopilot engagement status |
| 15 | Stability augmentation system engagement |
| 26 | Warnings |

Table 2

Helicopters with an MCTOM of more than 7 000 kg

| No | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying |
| 9 | Power on each engine (free power turbine speed and engine torque)/cockpit power control position (if applicable) |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — pilot input and control output position (if applicable) |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabiliser |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure |
| 13 | Outside air temperature |
| 14 | AFCs mode and engagement status |
| 15 | Stability augmentation system engagement |
| 16 | Main gear box oil pressure |
| 17 | Main gear box oil temperature |
| 18 | Yaw rate or yaw acceleration |
| 19 | Indicated sling load force (if installed) |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 22 | Radio altitude |
| 23 | Vertical beam deviation (ILS glide path or MLS elevation) |
| 24 | Horizontal beam deviation (ILS localiser or MLS azimuth) |
| 25 | Marker beacon passage |
| 26 | Warnings |
| 27 | Reserved (navigation receiver frequency selection is recommended) |
| 28 | Reserved (DME distance is recommended) |
| 29 | Reserved (navigation data are recommended) |
| 30 | Landing gear or gear selector position |

Table 3

Helicopters equipped with electronic display systems

| No | Parameter |
|----|--------------------------------------------------|
| 38 | Selected barometric setting (each pilot station) |
| 39 | Selected altitude |
| 40 | Selected speed |
| 41 | Selected Mach |
| 42 | Selected vertical speed |
| 43 | Selected heading |
| 44 | Selected flight path |
| 45 | Selected decision height |
| 46 | EFIS display format |
| 47 | Multi-function/engine/alerts display format |

AMC3 CAT.IDE.H.190 Flight data recorder

PERFORMANCE SPECIFICATIONS FOR THE PARAMETERS TO BE RECORDED FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL COFA ON OR AFTER 1 AUGUST 1999 AND BEFORE 1 JANUARY 2016 AND HELICOPTERS HAVING AN MCTOM OF MORE THAN 7 000 KG OR AN MOPSC OF MORE THAN 9 AND FIRST ISSUED WITH AN INDIVIDUAL COFA ON OR AFTER 1 JANUARY 1989 AND BEFORE 1 AUGUST 1999

Table 1

Helicopters with an MCTOM of 7 000 kg or less

| No | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-------|-------------------------------------------|------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count | | | | | |
| 1a or | Time | 24 hours | 4 | ± 0.125 % per hour | 1 second | (a) UTC time preferred where available. |
| 1b | Relative Time Count | 0 to 4 095 | 4 | ± 0.125 % per hour | | (b) Counter increments every 4 seconds of system operation. |
| 2 | Pressure altitude | -1 000 ft to 20 000 ft | 1 | ±100 ft to ±700 ft Refer to table II.A-2 of EUROCAE Document ED-112 | 25 ft | |
| 3 | Indicated airspeed or calibrated airspeed | As the installed measuring system | 1 | ± 5 % or ± 10 kt, whichever is greater | 1 kt | |
| 4 | Heading | 360 ° | 1 | ± 5° | 1° | |
| 5 | Normal acceleration | - 3 g to + 6 g | 0.125 | ± 0.2 g in addition to a maximum offset of ± 0.3 g | 0.01 g | The resolution may be rounded from 0.01 g to 0.05 g, provided that one sample is recorded at full resolution at least every 4 seconds. |
| 6 | Pitch attitude | 100 % of usable range | 0.5 | ± 2 degrees | 0.8 degree | |
| 7 | Roll attitude | ± 60 ° or 100 % of usable range from installed system if greater | 0.5 | ± 2 degrees | 0.8 degree | . |

| No | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|-----------------------------------------------------------------------|---------------------------------------|------------------------------|---------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8 | Manual radio transmission keying | Discrete(s) | 1 | - | - | Preferably each crew member but one discrete acceptable for all transmissions. |
| 9 | Power on each engine | Full range | Each engine each second | ± 5 % | 1 % of full range | Sufficient parameters, e.g. Power Turbine Speed and Engine Torque should be recorded to enable engine power to be determined. A margin for possible overspeed should be provided. Data may be obtained from cockpit indicators used for aircraft certification. Parameter 9c is required for helicopters with non-mechanically linked cockpit-engine controls |
| 9a | Power turbine speed | Maximum range | | | | |
| 9b | Engine torque | Maximum range | | | | |
| 9c | Cockpit power control position | Full range or each discrete position | Each control each second | ±2 % or sufficient to determine any gated position | 2 % of full range | |
| 10 | Rotor | | | | | |
| 10a | Main rotor speed | Maximum range | 1 | ± 5 % | 1 % of full range | |
| 10b | Rotor brake | Discrete | 1 | - | | Where available |
| 11 | Primary flight controls - Pilot input and/or* control output position | | | | | * For helicopters that can demonstrate the capability of deriving either the control input or control movement (one from the other) for all modes of operation and flight regimes, the ‘or’ applies. For helicopters with non-mechanical control systems the ‘and’ applies. Where the input controls for each pilot can be operated independently, both inputs will need to be recorded. |
| 11a | Collective pitch | Full range | 0.5 | ± 3 % | 1 % of full range | |
| 11b | Longitudinal cyclic pitch | | 0.5 | | | |
| 11c | Lateral cyclic pitch | | 0.5 | | | |
| 11d | Tail rotor pedal | | 0.5 | | | |
| 11e | Controllable stabiliser | | 0.5 | | | |
| 11f | Hydraulic selection | Discretes | 1 | - | - | |
| 12 | Outside air temperature | Available range from installed system | 2 | ± 2 °C | 0.3°C | |
| 13 | Autopilot engagement status | Discrete(s) | 1 | | | Where practicable, discretes should show which primary modes are controlling the flight path of the helicopter |

| No | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|----|------------------------------------------|-------------|------------------------------|---------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 14 | Stability augmentation system engagement | Discrete(s) | 1 | | | |
| 15 | Warnings | Discrete(s) | 1 | - | - | A discrete should be recorded for the master warning, low hydraulic pressure (each system) gearbox low oil pressure and SAS fault status. Other 'red' warnings should be recorded where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |

Table 2

Helicopters with an MCTOM of more than 7 000 kg

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-------|-------------------------------------------|------------------------------------------------------------------|------------------------------|--------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------|
| 1 | Time or relative time count | | | | | |
| 1a or | Time | 24 hours | 4 | ± 0.125 % per hour | 1 second | (a) UTC time preferred where available. |
| 1b | Relative time count | 0 to 4095 | 4 | ± 0.125 % per hour | | (b) Counter increments every 4 seconds of system operation. |
| 2 | Pressure altitude | -1 000 ft to maximum certificated altitude of aircraft +5 000 ft | 1 | ± 100 ft to ± 700 ft Refer to table II-A.3 EUROCAE Document ED-112 | 5 ft | Should be obtained from the air data computer when installed. |
| 3 | Indicated airspeed or calibrated airspeed | As the installed measuring system | 1 | ± 3 % | 1 kt | Should be obtained from the air data computer when installed. |
| 4 | Heading | 360 degrees | 1 | ± 2 degrees | 0.5 degree | |
| 5 | Normal acceleration | -3 g to +6 g | 0.125 | 1 % of range excluding a datum error of 5 % | 0.004 g | The recording resolution may be rounded from 0.004 g to 0.01 g provided that one |

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|------------------------------------------------------------------------|--------------------------------------|------------------------------|---------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | sample is recorded at full resolution at least every 4 seconds. |
| 6 | Pitch attitude | ± 75 degrees | 0.5 | ± 2 degrees | 0.5 degree | |
| 7 | Roll attitude | ± 180 degrees | 0.5 | ± 2 degrees | 0.5 degree | . |
| 8 | Manual radio transmission Keying and CVR/FDR synchronisation reference | Discrete(s) | 1 | - | - | Preferably each crew member but one discrete acceptable for all transmissions provided that the replay of a recording made by any required recorder can be synchronised in time with any other required recording to within 1 second. |
| 9 | Power on each engine | Full range | Each engine each second | ± 2 % | 0.2 % of full range | Sufficient parameters e.g. Power Turbine Speed and engine torque should be recorded to enable engine power to be determined. A margin for possible overspeed should be provided. |
| 9a | Free power turbine speed (NF) | 0-130 % | | | | |
| 9b | Engine torque | Full range | | | | |
| 9c | Cockpit power control position | Full range or each discrete position | Each control each second | ± 2 % or sufficient to determine any gated position | 2 % of full range | Parameter 9c is required for helicopters with non-mechanically linked cockpit-engine controls |
| 10 | Rotor | | | | | |
| 10a | Main rotor speed | 50 to 130 % | 0.5 | 2 % | 0.3 % of full range | . |
| 10b | Rotor brake | Discrete | 1 | | | Where available |
| 11 | Primary flight controls - Pilot input and/or* control output position | | | | | * For helicopters that can demonstrate the capability of deriving either the control input or control movement (one from the other) |
| 11a | Collective pitch | Full range | 0.5 | ± 3 % unless higher accuracy is uniquely required | 0.5 % of operating range | for all modes of operation and flight regimes, the 'or' applies. For helicopters with non-mechanical control systems, the 'and' applies. |
| 11b | Longitudinal cyclic pitch | | 0.5 | | | |
| 11c | Lateral cyclic pitch | | 0.5 | | | |
| 11d | Tail rotor pedal | | 0.5 | | | |

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|------------------------------------------|-----------------------------------------|------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| 11e | Controllable stabiliser | | 0.5 | | | Where the input controls for each pilot can be operated independently, both inputs will need to be recorded. |
| 11f | Hydraulic selection | Discrete(s) | 1 | - | - | |
| 12 | Hydraulics low pressure | Discrete(s) | 1 | - | - | Each essential system should be recorded. |
| 13 | Outside air temperature | -50° to +90°C or available sensor range | 2 | ± 2°C | 0.3°C | |
| 14 | AFCS mode and engagement status | A suitable combination of discretes | 1 | - | - | Discretes should show which systems are engaged and which primary modes are controlling the flight path of the helicopter. |
| 15 | Stability augmentation system engagement | Discrete | 1 | - | - | |
| 16 | Main gearbox oil pressure | As installed | 1 | As installed | 6.895 kN/m ² (1 psi) | |
| 17 | Main gearbox oil temperature | As installed | 2 | As installed | 1°C | |
| 18 | Yaw rate | ± 400 degrees/second | 0.25 | ± 1 % | 2 degrees per second | An equivalent yaw acceleration is an acceptable alternative. |
| 19 | Indicated sling load force | 0 to 200 % of maximum certified load | 0.5 | ± 3 % of maximum certified load | 0.5 % for maximum certified load | With reasonable practicability if sling load indicator is installed. |
| 20 | Longitudinal acceleration (body axis) | ± 1 g | 0.25 | ±1.5 % of range excluding a datum error of ±5 % | 0.004 g | See comment to parameter 5. |
| 21 | Lateral acceleration | ± 1 g | 0.25 | ±1.5 % of range excluding a datum error of ±5 % | 0.004 g | See comment to parameter 5. |
| 22 | Radio altitude | -20 ft to +2 500 ft | 1 | As installed. ± 2 ft or ± 3 % whichever is greater below 500 ft and ± 5 % above 500 ft recommended | 1 ft below 500 ft, 1 ft + 0.5 % of full range above 500 ft | |

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|----------------------------------------|---------------------------------------------------|------------------------------|---------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 23 | Vertical beam deviation | | 1 | As installed ± 3 % recommended | 0.3 % of full range | Data from both the ILS and MLS systems need not to be recorded at the same time. The approach aid in use should be recorded. |
| 23a | ILS glide path | ± 0.22 DDM or available sensor range as installed | | | | |
| 23b | MLS elevation | +0.9 to +30 degrees | | | | |
| 24 | Horizontal beam deviation | | 1 | As installed. ± 3 % recommended | 0.3 % of full range | See comment to parameter 23 |
| 24a | ILS localiser | ± 0.22 DDM or available sensor range as installed | | | | |
| 24b | MLS azimuth | ± 62 degrees | | | | |
| 25 | Marker beacon passage | Discrete | 1 | - | - | One discrete is acceptable for all markers. |
| 26 | Warnings | Discretes | 1 | - | - | A discrete should be recorded for the master warning, gearbox low oil pressure and SAS failure. Other 'red' warnings should be recorded where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 27 | Reserved | | | | | |
| 28 | Reserved | | | | | |
| 29 | Reserved | | | | | |
| 30 | Landing gear or gear selector position | Discrete(s) | 4 | - | - | Where installed. |

Table 3

Helicopters equipped with electronic display systems

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|--------------------------------------------------|--------------|------------------------------|---------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------|
| 38 | Selected barometric setting (each pilot station) | As installed | 64 | As installed | 1 mb | Where practicable, a sampling interval of 4 seconds is recommended. |
| 38a | Pilot | | | | | |
| 38b | Co-pilot | | | | | |
| 39 | Selected altitude | As installed | 1 | As installed | 100 ft | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 39a | Manual | | | | | |
| 39b | Automatic | | | | | |
| 40 | Selected speed | As installed | 1 | As installed | 1 kt | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 40a | Manual | | | | | |
| 40b | Automatic | | | | | |
| 41 | Selected Mach | As installed | 1 | As installed | 0.01 | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 41a | Manual | | | | | |
| 41b | Automatic | | | | | |
| 42 | Selected vertical speed | As installed | 1 | As installed | 100 ft/min | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 42a | Manual | | | | | |
| 42b | Automatic | | | | | |
| 43 | Selected heading | 360 degrees | 1 | As installed | 100 ft /min | Where capacity is limited, a sampling interval of 64 seconds is permissible. |
| 44 | Selected flight path | | 1 | As installed | | |
| 44a | Course/DSTRK | | | | 1 degree | |
| 44b | Path angle | | | | 0.1 degree | |

| N° | Parameter | Range | Sampling interval in seconds | Accuracy Limits (sensor input compared to FDR read out) | Minimum Resolution in read out | Remarks |
|-----|---------------------------------------------|-------------|------------------------------|---------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 45 | Selected decision height | 0-500 ft | 64 | As installed | 1ft | |
| 46 | EFIS display format | Discrete(s) | 4 | - | - | Discretes should show the display system status e.g. normal, fail, composite, sector, plan, rose, nav aids, wxr, range, copy |
| 46a | Pilot | | | | | |
| 46b | Co-pilot | | | | | |
| 47 | Multi-function/engine/alerts display format | Discrete(s) | 4 | - | - | Discretes should show the display system status, e.g. normal, fail, and the identity of the display pages for the emergency procedures and checklists. Information in checklists and procedures need not be recorded. |

The term 'where practicable' used in the remarks column of Table 3 means that account should be taken of the following:

- (a) if the sensor is already available or can be easily incorporated;
- (b) sufficient capacity is available in the flight recorder system;
- (c) for navigational data (nav frequency selection, DME distance, latitude, longitude, groundspeed and drift) the signals are available in digital form;
- (d) the extent of modification required;
- (e) the down-time period; and
- (f) equipment software development.

GM1 CAT.IDE.H.190 Flight data recorder

GENERAL

For the purpose of **AMC2 CAT.IDE.H.190(b)**, a sensor is considered 'readily available' when it is already available or can be easily incorporated.

AMC1 CAT.IDE.H.195 Data link recording

GENERAL

- (a) The helicopter should be capable of recording the messages as specified in this AMC.
- (b) As a means of compliance with **CAT.IDE.H.195(a)**, the recorder on which the data link messages are recorded may be:
 - (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **CAT.IDE.H.200** is applicable; or
 - (4) a dedicated flight recorder. In that case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (c) As a means of compliance with **CAT.IDE.H.195(a)(2)**, the operator should enable correlation by providing information that allows an accident investigator to understand what data were provided to the helicopter and, when the provider identification is contained in the message, by which provider.
- (d) The timing information associated with the data link communications messages required to be recorded by **CAT.IDE.H.195(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
 - (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (e) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.
- (f) The expression 'taking into account the system architecture', in **CAT.IDE.H.195(a)(3)** means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
 - (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- (g) The intention is that new designs of source systems should include this functionality and support the full recording of the required information.

- (h) Data link communications messages that support the applications in Table 1 below should be recorded.
- (i) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems, dated November 1998).

Table 1

Applications

| Item No | Application Type | Application Description | Required Recording Content |
|---------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM), respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and air traffic controllers. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. CPDLC includes the exchange of oceanic clearances (OCLs) and departure clearances (DCLs). | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific aeroplanes. This includes for example, data link-automatic terminal information service (D-ATIS), data link-operational terminal information service (D-OTIS), digital weather information services (D-METAR or TWIP), data link flight information service (D-FIS) and Notice to Airmen (D-NOTAM) delivery. | C |
| 5 | Aircraft broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | Airlines operations centre (AOC) data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process AAC messages, but there is no requirement to record AAC messages | M* |
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M*, F1 |

GM1 CAT.IDE.H.195 Data link recording

DEFINITIONS AND ACRONYMS

- (a) The letters and expressions in Table 1 of **AMC1 CAT.IDE.H.195** have the following meaning:

C: Complete contents recorded

M: Information that enables correlation with any associated records stored separately from the helicopter.

*: Applications that are to be recorded only as far as is practicable, given the architecture of the system.

F1: Graphics applications may be considered as AOC data when they are part of a data link communications application service run on an individual basis by the operator itself in the framework of the operational control.

F2: Where parametric data sent by the helicopter, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.

- (b) The definitions of the applications type in Table 1 of **AMC1 CAT.IDE.H.195** are described in Table 1 below.

Table 1

Descriptions of the applications type

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Only used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the helicopter. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D-ATIS | ATIS messages | Refer to EUROCAE Document ED-89A dated December 2003. Data Link Application System Document (DLASD) for the 'ATIS' Data Link Service |
| 9 | OCL | OCL messages | Refer to EUROCAE Document ED-106A dated March 2004. Data Link Application System Document (DLASD) for 'Oceanic Clearance' Data Link Service |
| 10 | DCL | DCL messages | Refer to EUROCAE Document ED-85A dated December 2003. Data Link Application System Document (DLASD) for 'Departure Clearance' Data Link Service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the helicopter. Definition in EUROCAE Document ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked aircraft parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

| | |
|--------|----------------------------------------------|
| AAC | aeronautical administrative communications |
| ADS-B | automatic dependent surveillance — broadcast |
| ADS-C | automatic dependent surveillance — contract |
| AFN | aircraft flight notification |
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | data link ATIS |
| D-FIS | data link flight information service |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 CAT.IDE.H.195(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- (a) If it is certain that the helicopter cannot use data link communication messages for ATS communications corresponding to any application designated by **CAT.IDE.H.195(a)(1)** then the data link recording requirement does not apply.
- (b) Examples where the helicopter cannot use data link communication messages for ATS communications include but are not limited to the cases where:
 - (1) the helicopter data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
 - (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the helicopter; and
 - (3) the helicopter data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the helicopter.

AMC1 CAT.IDE.H.200 Flight data and cockpit voice combination recorder

GENERAL

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and the aural environment required by **CAT.IDE.H.185** regarding CVRs; and
 - (2) all parameters required by **CAT.IDE.H.190** regarding FDRs, with the same specifications required by those paragraphs.
- (b) In addition, a flight data and cockpit voice combination recorder may record data link communication messages and related information required by **CAT.IDE.H.195**.

AMC1 CAT.IDE.H.205 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a 'supplementary loop belt' manufactured with the same techniques and the same materials of the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective helicopter seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD);
 - (2) CRDs approved through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seats approved for use in motor vehicles on the basis of the technical standard specified in point (i) below. The child seats must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):
 - (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label; and
 - (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV Doc.: TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
 - (4) Child seats approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seats approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and
 - (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seat approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (7) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The device should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
 - (1) Forward-facing child seats may be installed on both forward-and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on

forward-facing passenger seats. A child seat should not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.

- (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
- (3) An infant/child in a CRD should not hinder evacuation for any passenger.
- (4) An infant/child in a CRD should neither be located in the row (where rows are existing) leading to an emergency exit nor located in a row immediately forward or aft of an emergency exit. A window passenger seat is the preferred location. An aisle passenger seat or a cross aisle passenger seat that forms part of the evacuation route to exits is not recommended. Other locations may be acceptable provided the access of neighbour passengers to the nearest aisle is not obstructed by the CRD.
- (5) In general, only one CRD per row segment is recommended. More than one CRD per row segment is allowed if the infants/children are from the same family or travelling group provided the infants/children are accompanied by a responsible adult sitting next to them in the same row segment.
- (6) A row segment is one or more seats side-by-side separated from the next row segment by an aisle.

(d) Installation

- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
- (2) All safety and installation instructions must be followed carefully by the responsible person accompanying the infant/child. Operators should prohibit the use of a CRD not installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.
- (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.
- (4) The buckle of the adult safety belt must be easily accessible for both opening and closing, and must be in line with the seat belt halves (not canted) after tightening.
- (5) Forward facing restraint devices with an integral harness must not be installed such that the adult safety belt is secured over the infant.

(e) Operation

- (1) Each CRD should remain secured to a passenger seat during all phases of flight unless it is properly stowed when not in use.
- (2) Where a child seat is adjustable in recline, it must be in an upright position for all occasions when passenger restraint devices are required.

AMC2 CAT.IDE.H.205 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

An upper torso restraint system having two shoulder straps and additional straps is deemed to be compliant with the requirement for restraint systems with two shoulder straps.

SEAT BELT

A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC3 CAT.IDE.H.205 Seats, seat safety belts, restraint systems and child restraint devices

SEATS FOR MINIMUM REQUIRED CABIN CREW

- (a) Seats for the minimum required cabin crew members should be located near required floor level emergency exits, except if the emergency evacuation of passengers would be enhanced by seating the cabin crew members elsewhere. In this case, other locations are acceptable. This criterion should also apply if the number of required cabin crew members exceeds the number of floor level emergency exits.
- (b) Seats for cabin crew member(s) should be forward or rearward facing within 15° of the longitudinal axis of the helicopter.

AMC1 CAT.IDE.H.220 First-aid kits

CONTENT OF FIRST-AID KITS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be complemented by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the first-aid kit:
 - (1) Equipment
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;
 - (ix) antiseptic wound cleaner;
 - (x) disposable resuscitation aid;

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- (xi) disposable gloves;
 - (xii) tweezers: splinter; and
 - (xiii) thermometers (non-mercury).
 - (2) Medications
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;
 - (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of helicopters carrying more than 9 passengers;
 - (v) anti-diarrhoeal medication in the case of helicopters carrying more than 9 passengers; and
 - (vi) antihistamine.
 - (3) Other
 - (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook, current edition;
 - (iii) medical incident report form;
 - (iv) biohazard disposal bags.
 - (4) An eye irrigator, whilst not required to be carried in the first-aid kit, should, where possible, be available for use on the ground.

AMC2 CAT.IDE.H.220 First-aid kits

MAINTENANCE OF FIRST-AID KITS

To be kept up to date, first-aid kits should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use-in-flight at the first opportunity where replacement items are available.

AMC1 CAT.IDE.H.240 Supplemental oxygen – non-pressurised helicopters

DETERMINATION OF OXYGEN

The amount of supplemental oxygen for sustenance for a particular operation should be determined on the basis of flight altitudes and flight duration, consistent with the operating procedures, including emergency, procedures, established for each operation and the routes to be flown as specified in the operations manual.

AMC1 CAT.IDE.H.250 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the passenger compartments, the need to minimise the hazard of toxic gas concentrations and the location of lavatories, galleys, etc. These considerations may result in a number of fire extinguishers greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires. Additional hand fire extinguishers may be required for the protection of other compartments accessible to the crew in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.
- (c) Where only one hand fire extinguisher is required in the passenger compartments, it should be located near the cabin crew member's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the passenger compartments and their location is not otherwise dictated by consideration of (a), an extinguisher should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.
- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 CAT.IDE.H.260 Marking of break-in points

MARKINGS — COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 CAT.IDE.H.270 Megaphones

LOCATION OF MEGAPHONES

- (a) The megaphone should be readily accessible at the assigned seat of a cabin crew member or crew members other than flight crew.
- (b) This does not necessarily require megaphones to be positioned such that they can be physically reached by a crew member when strapped in a cabin crew member's seat.

AMC1 CAT.IDE.H.280 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs should be replaced (or recharged if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:

- (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (3) The battery useful life (or useful life of charge) criteria in (1) and (2) do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.
- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 CAT.IDE.H.280 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
- (1) Automatic Fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid search and rescue (SAR) teams in locating the crash site.
 - (2) Automatic Portable (ELT(AP)). An automatically activated ELT, which is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored in the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic Deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency, and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III Communications Systems and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

GM1 CAT.IDE.H.280 Emergency locator transmitter (ELT)

TERMINOLOGY

‘ELT’ is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.

AMC1 CAT.IDE.H.290 Life-jackets

ACCESSIBILITY

The life-jacket should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or harness fastened.

AMC2 CAT.IDE.H.290(b) Life-jackets

ELECTRIC ILLUMINATION

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

GM1 CAT.IDE.H.290 Life-jackets

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

GM1 CAT.IDE.H.295 Crew survival suits

ESTIMATING SURVIVAL TIME

(a) Introduction

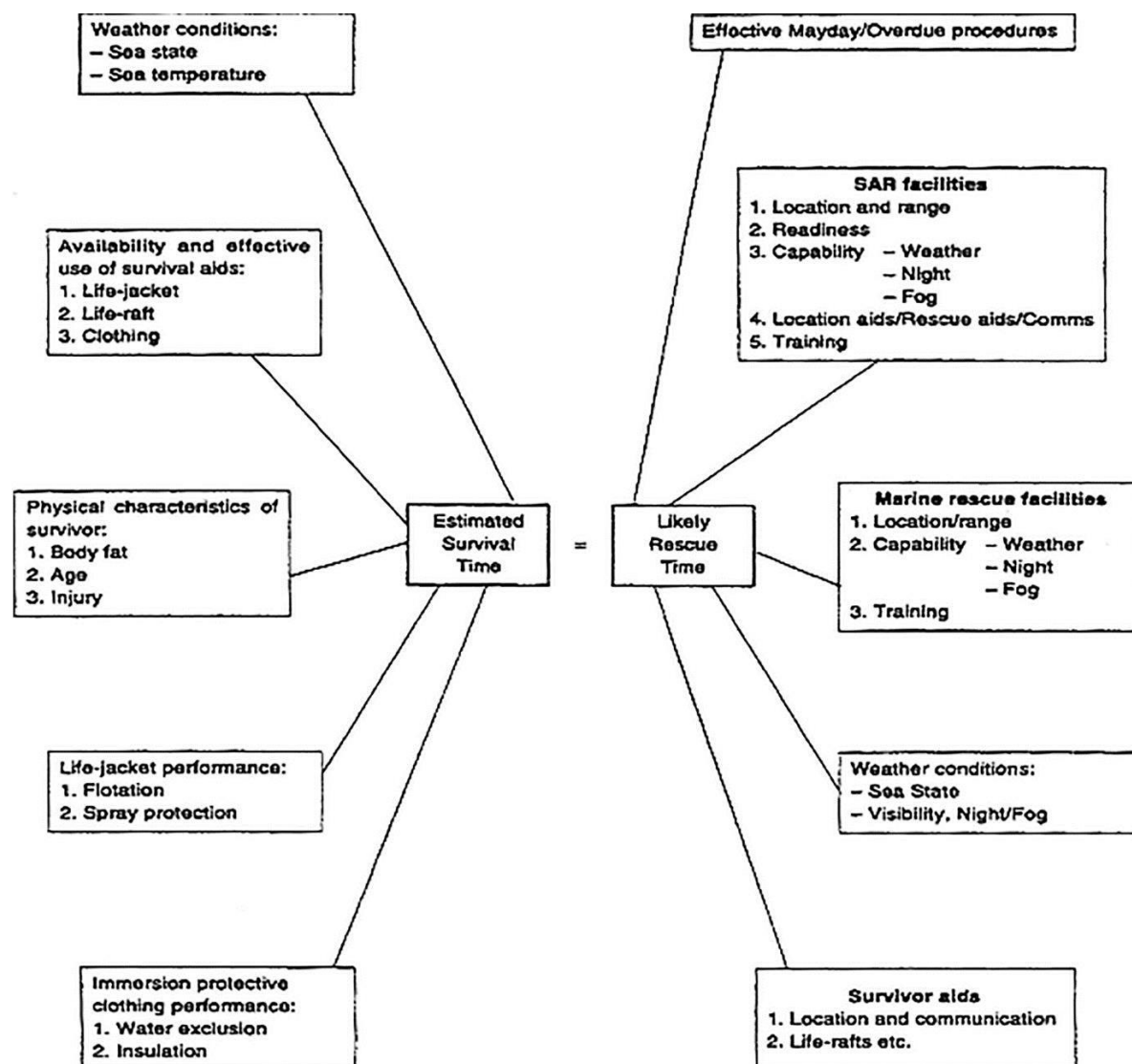
- (1) A person accidentally immersed in cold seas (typically offshore Northern Europe) will have a better chance of survival if he/she is wearing an effective survival suit in addition to a life-jacket. By wearing the survival suit, he/she can slow down the rate which his/her body temperature falls and, consequently, protect himself/herself from the greater risk of drowning brought about by incapacitation due to hypothermia.
- (2) The complete survival suit system – suit, life-jacket and clothes worn under the suit – should be able to keep the wearer alive long enough for the rescue services to find and recover him/her. In practice the limit is about 3 hours. If a group of persons in the water cannot be rescued within this time they are likely to have become so scattered and separated that location will be extremely difficult, especially in the rough water typical of Northern European sea areas. If it is expected that in water protection could be required for periods greater than 3 hours, improvements should, rather, be sought in the search and rescue procedures than in the immersion suit protection.

(b) Survival times

- (1) The aim should be to ensure that a person in the water can survive long enough to be rescued, i.e. the survival time must be greater than the likely rescue time. The factors affecting both times are shown in Figure 1 below. The figure emphasises that survival time is influenced by many factors, physical and human. Some of the factors are relevant to survival in cold water and some are relevant to survival in water at any temperature.

Figure 1

The survival equation



- (2) Broad estimates of likely survival times for the thin individual offshore are given in Table 1 below. As survival time is significantly affected by the prevailing weather conditions at the time of immersion, the Beaufort wind scale has been used as an indicator of these surface conditions.

Table 1

Timescale within which the most vulnerable individuals are likely to succumb to the prevailing conditions.

| Clothing assembly | Beaufort wind force | Times within which the most vulnerable individuals are likely to drown | |
|---------------------------------------------------------------------|---------------------|------------------------------------------------------------------------|--------------------------------|
| | | (water temp 5°C) | (water temp 13°C) |
| Working clothes (no immersion suit) | 0 – 2 | Within ¾ hour | Within 1 ¼ hours |
| | 3 – 4 | Within ½ hour | Within ½ hour |
| | 5 and above | Significantly less than ½ hour | Significantly less than ½ hour |
| Immersion suit worn over working clothes (with leakage inside suit) | 0 -2 | May well exceed 3 hours | May well exceed 3 hours |
| | 3 – 4 | Within 2 ¾ hours | May well exceed 3 hours |
| | 5 and above | Significantly less than 2 ¾ hours. May well exceed 1 hour | May well exceed 3 hours |

- (3) Consideration should also be given to escaping from the helicopter itself should it submerge or invert in the water. In this case, escape time is limited to the length of time the occupants can hold their breath. The breath holding time can be greatly reduced by the effect of cold shock. Cold shock is caused by the sudden drop in skin temperature on immersion, and is characterised by a gasp reflex and uncontrolled breathing. The urge to breathe rapidly becomes overwhelming and, if still submerged, the individual will inhale water resulting in drowning. Delaying the onset of cold shock by wearing an immersion suit will extend the available escape time from a submerged helicopter.
- (4) The effects of water leakage and hydrostatic compression on the insulation quality of clothing are well recognised. In a nominally dry system, the insulation is provided by still air trapped within the clothing fibres and between the layers of suit and clothes. It has been observed that many systems lose some of their insulative capacity either because the clothes under the 'waterproof' survival suit get wet to some extent or because of hydrostatic compression of the whole assembly. As a result of water leakage and compression, survival times will be shortened. The wearing of warm clothing under the suit is recommended.
- (5) Whatever type of survival suit and other clothing is provided, it should not be forgotten that significant heat loss can occur from the head.

AMC1 CAT.IDE.H.300 Life-rafts, survival ELTs and survival equipment on extended overwater flights

LIFE-RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS – HELICOPTERS

- (a) Each required life-raft should conform to the following specifications:
 - (1) be of an approved design and stowed so as to facilitate their ready use in an emergency;
 - (2) be radar conspicuous to standard airborne radar equipment;
 - (3) when carrying more than one life-raft on board, at least 50 % should be able to be deployed by the crew while seated at their normal station, where necessary by remote control; and
 - (4) life-rafts that are not deployable by remote control or by the crew should be of such weight as to permit handling by one person. 40 kg should be considered a maximum weight.
- (b) Each required life-raft should contain at least the following:
 - (1) one approved survivor locator light;
 - (2) one approved visual signalling device;
 - (3) one canopy (for use as a sail, sunshade or rain catcher) or other mean to protect occupants from the elements;
 - (4) one radar reflector;
 - (5) one 20-m retaining line designed to hold the life-raft near the helicopter but to release it if the helicopter becomes totally submerged;
 - (6) one sea anchor;
 - (7) one survival kit, appropriately equipped for the route to be flown, which should contain

at least the following:

- (i) one life-raft repair kit;
- (ii) one bailing bucket;
- (iii) one signalling mirror;
- (iv) one police whistle;
- (v) one buoyant raft knife;
- (vi) one supplementary means of inflation;
- (vii) sea sickness tablets;
- (viii) one first-aid kit;
- (ix) one portable means of illumination;
- (x) 500 ml of pure water and one sea water desalting kit; and
- (xi) one comprehensive illustrated survival booklet in an appropriate language.

AMC1 CAT.IDE.H.300(b)(3) & CAT.IDE.H.305(b) Flight over water & Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

AMC1 CAT.IDE.H.305 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 500 ml of water for each 4, or fraction of 4, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
 - (1) a means for melting snow;
 - (2) one snow shovel and 1 ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all passengers on board; and
 - (4) one arctic/polar suit for each crew member.
- (c) If any item of equipment contained in the above list is already carried on board the helicopter in accordance with another requirement, there is no need for this to be duplicated.

AMC1 CAT.IDE.H.300(b)(3) & CAT.IDE.H.305(b) Flight over water & Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

GM1 CAT.IDE.H.305 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 CAT.IDE.H.305 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

GM1 CAT.IDE.H.315 Helicopters certificated for operating on water – Miscellaneous equipment

INTERNATIONAL REGULATIONS FOR PREVENTING COLLISIONS AT SEA

International Regulations for Preventing Collisions at Sea are those that were published by the International Maritime Organisation (IMO) in 1972.

GM1 CAT.IDE.H.320 Landing on water

DESIGN FOR LANDING ON WATER

A helicopter is designed for landing on water if safety provisions at least equivalent to those for ditching (CS 27.801/CS 29.801) are met.

AMC1 CAT.IDE.H.320(b) All helicopters on flight over water – ditching

GENERAL

The same considerations of **AMC1 SPA.HOFO.165(d)** should apply in respect of emergency flotation equipment.

AMC1 CAT.IDE.H.325 Headset

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the helicopter's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the cockpit environment. The headset should be adequately adjustable in order to fit the pilot's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the helicopter.

GM1 CAT.IDE.H.325 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

AMC1 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

TWO INDEPENDENT MEANS OF COMMUNICATION

Whenever two independent means of communication are required, each system should have an independent antenna installation, except where rigidly supported non-wire antennae or other antenna installations of equivalent reliability are used.

AMC2 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

ACCEPTABLE NUMBER AND TYPE OF COMMUNICATION AND NAVIGATION EQUIPMENT

- (a) An acceptable number and type of communication and navigation equipment is:
 - (1) two VHF omnidirectional radio range (VOR) receiving systems on any route, or part thereof, where navigation is based only on VOR signals;
 - (2) two automatic direction finder (ADF) systems on any route, or part thereof, where navigation is based only on non-directional beacon (NDB) signals; and
 - (3) area navigation equipment when area navigation is required for the route being flown (e.g. equipment required by Part-SPA).
- (b) The helicopter may be operated without the navigation equipment specified in (a)(1) and (a)(2) provided it is equipped with alternative equipment. The reliability and the accuracy of alternative equipment should allow safe navigation for the intended route.

- (c) VHF communication equipment, instrument landing system (ILS) localiser and VOR receivers installed on helicopters to be operated under IFR should comply with the following FM immunity performance standards:
- (1) ICAO Annex 10, Volume I – Radio Navigation Aids, and Volume III, Part II – Voice Communications Systems; and
 - (2) acceptable equipment standards contained in EUROCAE Minimum Operational Performance Specifications, documents ED-22B for VOR receivers, ED-23B for VHF communication receivers and ED-46B for LOC receivers and the corresponding Radio Technical Commission for Aeronautics (RTCA) documents DO-186, DO-195 and DO-196.

AMC3 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

FAILURE OF A SINGLE UNIT

Required communication and navigation equipment should be installed such that the failure of any single unit required for either communication or navigation purposes, or both, will not result in the failure of another unit required for communications or navigation purposes.

GM1 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

APPLICABLE AIRSPACE REQUIREMENTS

For helicopters being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

GM2 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto, and documents directly referenced in the AFM;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN

specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and

- (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.

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- (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
- (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
- (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
- (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
- (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
- (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
- (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;

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- (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-04¹, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.
- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.
- (n) RNP 4
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.

¹ <http://ad.easa.europa.eu/ad/2014-04>

- (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
 - (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations:
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
 - (1) In all cases, the limitations in the AFM need to be checked; in particular, the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM3 CAT.IDE.H.345 Communication and navigation equipment for operations under IFR or under VFR over routes not navigated by reference to visual landmarks

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 CAT.IDE.H.350 Transponder

SSR TRANSPONDER

- (a) The secondary surveillance radar (SSR) transponders of aircraft being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 CAT.IDE.H.355 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 CAT.IDE.H.355 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 CAT.IDE.H.355 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 CAT.IDE.H.355 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

ANNEX V (PART-SPA)

SUBPART A: GENERAL REQUIREMENTS

AMC1 SPA.GEN.105(a) Application for a specific approval

DOCUMENTATION

- (a) Operating procedures should be documented in the operations manual.
- (b) If an operations manual is not required, operating procedures may be described in a manual specifying procedures (procedures manual). If the aircraft flight manual (AFM) or the pilot operating handbook (POH) contains such procedures, they should be considered as acceptable means to document the procedures.

SUBPART B: PERFORMANCE-BASED NAVIGATION (PBN) OPERATIONS

GM1 SPA.PBN.100 PBN operations

GENERAL

- (a) PBN operations are based on performance requirements, which are expressed in navigation specifications (RNAV specification and RNP specification) in terms of accuracy, integrity, continuity, availability and functionality needed for the proposed operation in the context of a particular airspace concept.


Table 1 provides a simplified overview of:

- (1) PBN specifications and their applicability for different phases of flight; and
 - (2) PBN specifications requiring a specific approval.
- (b) More detailed guidance material for the operational use of PBN applications can be found in ICAO Doc 9613 Performance-Based Navigation (PBN) Manual.
- (c) Guidance material for the design of RNP AR APCH procedures can be found in ICAO Doc 9905 RNP AR Procedure Design Manual.
- (d) Guidance material for the operational approval of PBN operations can be found in ICAO Doc 9997 Performance-Based Navigation (PBN) Operational Approval Manual.

Table 1: Overview of PBN specifications

| | FLIGHT PHASE | | | | | | | |
|----------------------|--------------|-------------|---------|----------|--------------|---------|--------|-----------|
| | En-route | | Arrival | Approach | | | | Departure |
| | Oceanic | Continental | | Initial | Intermediate | Final | Missed | |
| RNAV 10 | 10 | | | | | | | |
| RNAV 5 | | 5 | 5 | | | | | |
| RNAV 2 | | 2 | 2 | | | | | 2 |
| RNAV 1 | | 1 | 1 | 1 | 1 | | 1 | 1 |
| RNP 4 | 4 | | | | | | | |
| RNP 2 | 2 | 2 | | | | | | |
| RNP 1 | | | 1 | 1 | 1 | | 1 | 1 |
| A-RNP | 2 | 2 or 1 | 1-0.3 | 1-0.3 | 1-0.3 | 0.3 | 1-0.3 | 1-0.3 |
| RNP APCH (LNAV) | | | | 1 | 1 | 0.3 | 1 | |
| RNP APCH (LNAV/VNAV) | | | | 1 | 1 | 0.3 | 1 | |
| RNP APCH (LP) | | | | 1 | 1 | | 1 | |
| RNP APCH (LPV) | | | | 1 | 1 | | 1 | |
| RNP AR APCH | | | | 1-0.1 | 1-0.1 | 0.3-0.1 | 1-0.1 | |
| RNP 0.3 (H) | | 0.3 | 0.3 | 0.3 | 0.3 | | 0.3 | 0.3 |

Numbers specify the accuracy level

 no specific approval required

 specific approval required

AMC1 SPA.PBN.105(b) PBN operational approval

FLIGHT CREW TRAINING AND QUALIFICATIONS — GENERAL PROVISIONS

- (a) The operator should ensure that flight crew members training programmes for RNP AR APCH include structured courses of ground and FSTD training.
- (1) Flight crew members with no RNP AR APCH experience should complete the full training programme prescribed in (b), (c), and (d) below.
- (2) Flight crew members with RNP AR APCH experience with another EU operator may undertake an:
- (i) abbreviated ground training course if operating a different type or class from that on which the previous RNP AR experience was gained;
 - (ii) abbreviated ground and FSTD training course if operating the same type or class and variant of the same type or class on which the previous RNP. AR experience was gained.
 - (iii) the abbreviated course should include at least the provisions of (d)(1), (c)(1) and (c)(2)(x) as appropriate.
 - (iv) The operator may reduce the number of approaches/landings required by (c)(2)(xii) if the type/class or the variant of the type or class has the same or similar:
 - (A) level of technology (flight guidance system (FGS));
 - (B) operating procedures for navigation performance monitoring; and
 - (C) handling characteristicsas the previously operated type or class.
- (3) Flight crew members with RNP AR APCH experience with the operator may undertake an abbreviated ground and FSTD training course:
- (i) when changing aircraft type or class, the abbreviated course should include at least the provisions of (d)(1), (c)(1), (c)(2);
 - (ii) when changing to a different variant of aircraft within the same type or class rating that has the same or similar of all of the following:
 - (A) level of technology (flight guidance system (FGS));
 - (B) operating procedures for navigation performance monitoring; and
 - (C) handling characteristicsas the previously operated type or class.

A difference course or familiarisation appropriate to the change of variant should fulfil the abbreviated course provisions.
 - (iii) when changing to a different variant of aircraft within the same type or class rating that has significantly different at least one of the following:
 - (A) level of technology (FGS);
 - (B) operating procedures for navigation performance monitoring; and
 - (C) handling characteristics,the provisions of (c)(1) and (c)(2) should be fulfilled.

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- (4) The operator should ensure when undertaking RNP AR APCH operations with different variant(s) of aircraft within the same type or class rating, that the differences and/or similarities of the aircraft concerned justify such operations, taking into account at least the following:
- (i) the level of technology, including the:
 - (A) FGS and associated displays and controls;
 - (B) FMS and its integration or not with the FGS; and
 - (C) on-board performance monitoring and alerting (OBPMA) system;
 - (ii) operating procedures, including:
 - (A) navigation performance monitoring;
 - (B) approach interruption and missed approach including while in turn along an RF leg;
 - (C) abnormal procedures in case of loss of system redundancy affecting the guidance or the navigation; and
 - (D) abnormal and contingency procedures in case of total loss of RNP capability; and
 - (iii) handling characteristics, including:
 - (A) manual approach with RF leg;
 - (B) manual landing from automatic guided approach; and
 - (C) manual missed approach procedure from automatic approach.
- (b) Ground training
- (1) Ground training for RNP AR APCH should address the following subjects during the initial introduction of a flight crew member to RNP AR APCH systems and operations. For recurrent programmes, the curriculum need only review initial curriculum items and address new, revised, or emphasised items.
 - (2) General concepts of RNP AR APCH operation
 - (i) RNP AR APCH training should cover RNP AR APCH systems theory to the extent appropriate to ensure proper operational use. Flight crew members should understand basic concepts of RNP AR APCH systems, operation, classifications, and limitations.
 - (ii) The training should include general knowledge and operational application of RNP AR APCH instrument approach procedures. This training module should in particular address the following specific elements:
 - (A) the definitions of RNAV, RNP, RNP APCH, RNP AR APCH, RAIM, and containment areas;
 - (B) the differences between RNP AR APCH and RNP APCH;
 - (C) the types of RNP AR APCH procedures and familiarity with the charting of these procedures;
 - (D) the programming and display of RNP and aircraft specific displays, e.g. actual navigation performance;
 - (E) the methods to enable and disable the navigation updating modes related

to RNP;

- (F) the RNP values appropriate for different phases of flight and RNP AR APCH instrument procedures and how to select, if necessary;
- (G) the use of GNSS RAIM (or equivalent) forecasts and the effects of RAIM 'holes' on RNP AR APCH procedures availability;
- (H) when and how to terminate RNP navigation and transfer to conventional navigation due to loss of RNP and/or required equipment;
- (I) the method to determine if the navigation database is current and contains required navigational data;
- (J) the explanation of the different components that contribute to the total system error and their characteristics, e.g. drift characteristics when using IRU with no radio updating, QNH mistakes;
- (K) the temperature compensation: Flight crew members operating avionics systems with compensation for altimetry errors introduced by deviations from ISA may disregard the temperature limits on RNP AR APCH procedures if flight crew training on use of the temperature compensation function is provided by the operator and the compensation function is utilised by the crew. However, the training should also recognise if the temperature compensation by the system is applicable to the VNAV guidance and is not a substitute for the flight crew compensating for the temperature effects on minimum altitudes or the DA/H;
- (L) the effect of wind on aircraft performance during RNP AR APCH operations and the need to positively remain within RNP containment area, including any operational wind limitation and aircraft configuration essential to safely complete an RNP AR APCH operation;
- (M) the effect of groundspeed on compliance with RNP AR APCH procedures and bank angle restrictions that may impact on the ability to remain on the course centreline. For RNP procedures, aircraft are expected to maintain the standard speeds associated with the applicable category unless more stringent constraints are published;
- (N) the relationship between RNP and the appropriate approach minima line on an approved published RNP AR APCH procedure and any operational limitations if the available RNP degrades or is not available prior to an approach (this should include flight crew operating procedures outside the FAF versus inside the FAF);
- (O) understanding alerts that may occur from the loading and use of improper RNP values for a desired segment of an RNP AR APCH procedure;
- (P) understanding the performance requirement to couple the autopilot/flight director to the navigation system's lateral guidance on RNP AR APCH procedures requiring an RNP of less than RNP 0.3;
- (Q) the events that trigger a missed approach when using the aircraft's RNP capability to complete an RNP AR APCH procedure;
- (R) any bank angle restrictions or limitations on RNP AR APCH procedures;
- (S) ensuring flight crew members understand the performance issues associated with reversion to radio updating, know any limitations on the use

of DME and VOR updating; and

- (T) the familiarisation with the terrain and obstacles representations on navigation displays and approach charts.

(3) ATC communication and coordination for use of RNP AR APCH

- (i) Ground training should instruct flight crew members on proper flight plan classifications and any ATC procedures applicable to RNP AR APCH operations.
- (ii) Flight crew members should receive instruction on the need to advise ATC immediately when the performance of the aircraft's navigation system is no longer adequate to support continuation of an RNP AR APCH operation.

(4) RNP AR APCH equipment components, controls, displays, and alerts

- (i) Theoretical training should include discussion of RNP terminology, symbology, operation, optional controls, and display features, including any items unique to an operator's implementation or systems. The training should address applicable failure alerts and limitations.
- (ii) Flight crew members should achieve a thorough understanding of the equipment used in RNP operations and any limitations on the use of the equipment during those operations.
- (iii) Flight crew members should also know what navigation sensors form the basis for their RNP AR APCH compliance, and they should be able to assess the impact of failure of any avionics or a known loss of ground systems on the remainder of the flight plan.

(5) AFM information and operating procedures

- (i) Based on the AFM or other aircraft eligibility evidence, the flight crew should address normal and abnormal operating procedures, responses to failure alerts, and any limitations, including related information on RNP modes of operation.
- (ii) Training should also address contingency procedures for loss or degradation of the RNP AR APCH capability.
- (iii) The manuals used by the flight should contain this information.

(6) MEL operating provisions

- (i) Flight crew members should have a thorough understanding of the MEL entries supporting RNP AR APCH operations.

(c) Initial FSTD training

(1) In addition to ground training, flight crew members should receive appropriate practical skill training in an FSTD.

- (i) Training programmes should cover the proper execution of RNP AR APCH operations in compliance with the manufacturer's documentation.
- (ii) The training should include:
 - (A) RNP AR APCH procedures and limitations;
 - (B) standardisation of the set-up of the cockpit's electronic displays during an RNP AR APCH operation;
 - (C) recognition of the aural advisories, alerts and other annunciations that can impact on compliance with an RNP AR APCH procedure; and

-
- (D) the timely and correct responses to loss of RNP AR APCH capability in a variety of scenarios embracing the breadth of the RNP AR APCH procedures the operator plans to complete.
- (2) FSTD training should address the following specific elements:
- (i) procedures for verifying that each flight crew member's altimeter has the current setting before commencing the final approach of an RNP AR APCH operation, including any operational limitations associated with the source(s) for the altimeter setting and the latency of checking and setting the altimeters for landing;
 - (ii) use of aircraft RADAR, TAWS or other avionics systems to support the flight crew's track monitoring and weather and obstacle avoidance;
 - (iii) concise and complete flight crew briefings for all RNP AR APCH procedures and the important role crew resource management (CRM) plays in successfully completing an RNP AR APCH operation;
 - (iv) the importance of aircraft configuration to ensure the aircraft maintains any mandated speeds during RNP AR APCH operations;
 - (v) the potentially detrimental effect of reducing the flap setting, reducing the bank angle or increasing airspeeds may have on the ability to comply with an RNP AR APCH operation;
 - (vi) flight crew members understand and are capable of programming and/or operating the FMC, autopilot, autothrottles, RADAR, GNSS, INS, EFIS (including the moving map), and TAWS in support of RNP AR APCH operations;
 - (vii) handling of TOGA to LNAV transition as applicable, particularly while in turn;
 - (viii) monitoring of flight technical error (FTE) and related go-around operation;
 - (ix) handling of loss of GNSS signals during a procedure;
 - (x) handling of engine failure during the approach operation;
 - (xi) applying contingency procedures for a loss of RNP capability during a missed approach. Due to the lack of navigation guidance, the training should emphasise the flight crew contingency actions that achieve separation from terrain and obstacles. The operator should tailor these contingency procedures to their specific RNP AR APCH procedures; and
 - (xii) as a minimum, each flight crew member should complete two RNP approach procedures for each duty position (pilot flying and pilot monitoring) that employ the unique RNP AR APCH characteristics of the operator's RNP AR APCH procedures (e.g. RF legs, missed approach). One procedure should culminate in a transition to landing and one procedure should culminate in execution of an RNP missed approach procedure.

FLIGHT CREW TRAINING AND QUALIFICATIONS — CONVERSION TRAINING

- (d) Flight crew members should complete the following RNP AR APCH training if converting to a new type or class or variant of aircraft in which RNP AR operations will be conducted. For abbreviated courses, the provisions prescribed in (a)(2), (a)(3) and (a)(4) should apply.
- (1) Ground training

Taking into account the flight crew member's RNP AR APCH previous training and experience, flight crew members should undertake an abbreviated ground training that should include at least the provisions of (b)(2)(D) to (I), (b)(2)(N) to (R), (b)(2)(S), and

(b)(3) to (6).

(2) FSTD training

The provisions prescribed in (a) should apply, taking into account the flight crew member's RNP AR APCH training and experience.

FLIGHT CREW TRAINING AND QUALIFICATIONS — RNP AR APCH PROCEDURES REQUIRING A PROCEDURE-SPECIFIC APPROVAL

(e) Before starting an RNP AR APCH procedure for which a procedure-specific approval is required, flight crew members should undertake additional ground training and FSTD training, as appropriate.

(1) The operator should ensure that the additional training programmes for such procedures include as at least all of the following:

(i) the provisions of (c)(1), (c)(2)(x) as appropriate and customised to the intended operation;

(ii) the crew training recommendations and mitigations stated in the procedure flight operational safety assessment (FOSA); and

(iii) specific training and operational provision published in the AIP, where applicable.

(2) Flight crew members with prior experience of RNP AR APCH procedures for which a procedure-specific approval is required may receive credit for all or part of these provisions provided the current operator's RNP AR APCH procedures are similar and require no new pilot skills to be trained in an FSTD.

(3) Training and checking may be combined and conducted by the same person with regard to (f)(2).

(4) In case of a first RNP AR APCH application targeting directly RNP AR APCH procedures requiring procedure-specific approvals, a combined initial and additional training and checking, as appropriate, should be acceptable provided the training and checking includes all provisions prescribed by (a), (b), (c), (d) as appropriate, (e) and (f).

FLIGHT CREW TRAINING AND QUALIFICATIONS — CHECKING OF RNP AR APCH KNOWLEDGE

(f) Initial checking of RNP AR APCH knowledge and procedures

(1) The operator should check flight crew members' knowledge of RNP AR APCH procedures prior to employing RNP AR APCH operations. As a minimum, the check should include a thorough review of flight crew procedures and specific aircraft performance requirements for RNP AR APCH operations.

(2) The initial check should include one of the following:

(i) A check by an examiner using an FSTD.

(ii) A check by a TRE, CRE, SFE or a commander nominated by the operator during LPCs, OPCs or line flights that incorporate RNP AR APCH operations that employ the unique RNP AR APCH characteristics of the operator's RNP AR APCH procedures.

(iii) Line-oriented flight training (LOFT)/line-oriented evaluation (LOE). LOFT/LOE programmes using an FSTD that incorporates RNP AR APCH operations that employ the unique RNP AR APCH characteristics (i.e. RF legs, RNP missed approach) of the operator's RNP AR APCH procedures.

(3) Specific elements that should be addressed are:

(i) demonstration of the use of any RNP AR APCH limits/minimums that may impact

various RNP AR APCH operations;

- (ii) demonstration of the application of radio-updating procedures, such as enabling and disabling ground-based radio updating of the FMC (e.g. DME/DME and VOR/DME updating) and knowledge of when to use this feature;
- (iii) demonstration of the ability to monitor the actual lateral and vertical flight paths relative to programmed flight path and complete the appropriate flight crew procedures when exceeding a lateral or vertical FTE limit;
- (iv) demonstration of the ability to read and adapt to a RAIM (or equivalent) forecast, including forecasts predicting a lack of RAIM availability;
- (v) demonstration of the proper set-up of the FMC, the weather RADAR, TAWS, and moving map for the various RNP AR APCH operations and scenarios the operator plans to implement;
- (vi) demonstration of the use of flight crew briefings and checklists for RNP AR APCH operations with emphasis on CRM;
- (vii) demonstration of knowledge of and ability to perform an RNP AR APCH missed approach procedure in a variety of operational scenarios (i.e. loss of navigation or failure to acquire visual conditions);
- (viii) demonstration of speed control during segments requiring speed restrictions to ensure compliance with an RNP AR APCH procedure;
- (ix) demonstration of competent use of RNP AR APCH plates, briefing cards, and checklists;
- (x) demonstration of the ability to complete a stable RNP AR APCH operation: bank angle, speed control, and remaining on the procedure's centreline; and
- (xi) knowledge of the operational limit for deviation from the desired flight path and of how to accurately monitor the aircraft's position relative to vertical flight path.

FLIGHT CREW TRAINING AND QUALIFICATIONS — RECURRENT TRAINING

- (g) The operator should incorporate recurrent training that employs the unique RNP AR APCH characteristics of the operator's RNP AR APCH procedures as part of the overall training programme.
 - (1) A minimum of two RNP AR APCH should be flown by each flight crew member, one for each duty position (pilot flying and pilot monitoring), with one culminating in a landing and one culminating in a missed approach, and may be substituted for any required 3D approach operation.
 - (2) In case of several procedure-specific RNP AR APCH approvals, the recurrent training should focus on the most demanding RNP AR APCH procedures giving credit on the less demanding ones.

TRAINING FOR PERSONNEL INVOLVED IN THE FLIGHT PREPARATION

- (h) The operator should ensure that training for flight operation officers/dispatchers should include:
 - (1) the different types of RNP AR APCH procedures;
 - (2) the importance of specific navigation equipment and other equipment during RNP AR APCH operations and related RNP AR APCH requirements and operating procedures;
 - (3) the operator's RNP AR APCH approvals;

- (4) MEL requirements;
- (5) aircraft performance, and navigation signal availability, e.g. GNSS RAIM/predictive RNP capability tool, for destination and alternate aerodromes.

AMC1 SPA.PBN.105(c) PBN operational approval

FLIGHT OPERATIONAL SAFETY ASSESSMENT (FOSA)

- (a) For each RNP AR APCH procedure, the operator should conduct a flight operational safety assessment (FOSA) proportionate to the complexity of the procedure.
- (b) The FOSA should be based on:
 - (1) restrictions and recommendations published in AIPs;
 - (2) the flyability check;
 - (3) an assessment of the operational environment;
 - (4) the demonstrated navigation performance of the aircraft; and
 - (5) the operational aircraft performance.
- (c) The operator may take credit from key elements from the safety assessment carried out by the ANSP or the aerodrome operator.

GM1 SPA.PBN.105(c) PBN operational approval

FLIGHT OPERATIONAL SAFETY ASSESSMENT (FOSA)

- (a) Traditionally, operational safety has been defined by a target level of safety (TLS) and specified as a risk of collision of 10^{-7} per approach operation. For RNP AR APCH operations, conducting the FOSA methodology contributes to achieving the TLS. The FOSA is intended to provide a level of flight safety that is equivalent to the traditional TLS, but using methodology oriented to performance-based flight operations. Using the FOSA, the operational safety objective is met by considering more than the aircraft navigation system alone. The FOSA blends quantitative and qualitative analyses and assessments by considering navigation systems, aircraft performance, operating procedures, human factor aspects and the operational environment. During these assessments conducted under normal and failure conditions, hazards, risks and the associated mitigations are identified. The FOSA relies on the detailed criteria for the aircraft capabilities and instrument procedure design to address the majority of general technical, procedure and process factors. Additionally, technical and operational expertise and prior operator experience with RNP AR APCH operations are essential elements to be considered in the conduct and conclusion of the FOSA.
- (b) The following aspects need to be considered during FOSA, in order to identify hazards, risks and mitigations relevant to RNP AR APCH operations:
 - (1) Normal performance: lateral and vertical accuracy are addressed in the aircraft airworthiness standards, aircraft and systems operate normally in standard configurations and operating modes, and individual error components are monitored/truncated through system design or flight crew procedure.
 - (2) Performance under failure conditions: lateral and vertical accuracy are evaluated for aircraft failures as part of the aircraft certification. Additionally, other rare-normal and abnormal failures and conditions for ATC operations, flight crew procedures, infrastructure and operating environment are assessed. Where the failure or condition

results are not acceptable for continued operation, mitigations are developed or limitations established for the aircraft, flight crew and/or operation.

(3) Aircraft failures

- (i) System failure: Failure of a navigation system, flight guidance system, flight instrument system for the approach, or missed approach (e.g. loss of GNSS updating, receiver failure, autopilot disconnect, FMS failure, etc.). Depending on the aircraft, this may be addressed through aircraft design or operating procedure to cross-check guidance (e.g. dual equipage for lateral errors, use of terrain awareness and warning system).
- (ii) Malfunction of air data system or altimetry: flight crew procedure cross-check between two independent systems may mitigate this risk.

(4) Aircraft performance

- (i) Inadequate performance to conduct the approach operation: the aircraft capabilities and operating procedures ensure that the performance is adequate on each approach, as part of flight planning and in order to begin or continue the approach. Consideration should be given to aircraft configuration during approach and any configuration changes associated with a missed approach operation (e.g. engine failure, flap retraction, re-engagement of autopilot in LNAV mode).
- (ii) Loss of engine: loss of an engine while on an RNP AR APCH operation is a rare occurrence due to high engine reliability and the short exposure time. The operator needs to take appropriate action to mitigate the effects of loss of engine, initiating a go-around and manually taking control of the aircraft if necessary.

(5) Navigation services

- (i) Use of a navigation aid outside of designated coverage or in test mode: aircraft airworthiness standards and operating procedures have been developed to address this risk.
- (ii) Navigation database errors: instrument approach procedures are validated through flight validation specific to the operator and aircraft, and the operator should have a process defined to maintain validated data through updates to the navigation database.

(6) ATC operations

- (i) Procedure assigned to non-approved aircraft: flight crew are responsible for rejecting the clearance.
- (ii) ATC provides 'direct to' clearance to or vectors aircraft onto approach such that performance cannot be achieved.
- (iii) Inconsistent ATC phraseology between controller and flight crew.

(7) Flight crew operations

- (i) Erroneous barometric altimeter setting: flight crew entry and cross-check procedures may mitigate this risk.
- (ii) Incorrect procedure selection or loading: flight crew procedures should be available to verify that the loaded procedure matches the published procedure, line of minima and aircraft airworthiness qualification.
- (iii) Incorrect flight control mode selected: training on importance of flight control mode, flight crew procedure to verify selection of correct flight control mode.

- (iv) Incorrect RNP entry: flight crew procedure to verify RNP loaded in system matches the published value.
- (v) Missed approach: bailed landing or rejected landing at or below DA/H.
- (vi) Poor meteorological conditions: loss or significant reduction of visual reference that may result in a go-around.
- (8) Infrastructure
 - (i) GNSS satellite failure: this condition is evaluated during aircraft qualification to ensure obstacle clearance can be maintained, considering the low likelihood of this failure occurring.
 - (ii) Loss of GNSS signals: relevant independent equipage, e.g. IRS/INS, is mandated for RNP AR APCH procedures with RF legs and approaches where the accuracy for the missed approach is less than 1 NM. For other approaches, operating procedures are used to approximate the published track and climb above obstacles.
 - (iii) Testing of ground navigation aids in the vicinity of the approach: aircraft and operating procedures should detect and mitigate this event.
- (9) Operating conditions
 - (i) Tailwind conditions: excessive speed on RF legs may result in inability to maintain track. This is addressed through aircraft airworthiness standards on the limits of command guidance, inclusion of 5 degrees of bank manoeuvrability margin, consideration of speed effect and flight crew procedure to maintain speeds below the maximum authorised for the RNP AR APCH procedure.
 - (ii) Wind conditions and effect on FTE: nominal FTE is evaluated under a variety of wind conditions, and flight crew procedures to monitor and limit deviations to ensure safe operation.
 - (iii) Extreme temperature effects of barometric altitude (e.g. extreme cold temperatures, known local atmospheric or weather phenomena, high winds, severe turbulence, etc.): the effect of this error on the vertical path is mitigated through the procedure design and flight crew procedures, with an allowance for aircraft that compensate for this effect to conduct procedures regardless of the published temperature limit. The effect of this error on minimum segment altitudes and the DA/H are addressed in an equivalent manner to all other approach operations.

AMC1 SPA.PBN.105(d) PBN operational approval

OPERATIONAL CONSIDERATIONS FOR RNP AR APCH

- (a) MEL
 - (1) The operator's MEL should be developed/revised to address the equipment provisions for RNP AR APCH operations.
 - (2) An operational TAWS Class A should be available for all RNP AR APCH operations. The TAWS should use altitude values that are compensated for local pressure and temperature effects (e.g. corrected barometric and GNSS altitude), and include significant terrain and obstacle data.
- (b) Autopilot and flight director
 - (1) For RNP AR APCH operations with RNP values less than RNP 0.3 or with RF legs, the autopilot or flight director driven by the area navigation system should be used. Thus, the

flight crew should check that the autopilot/flight director is installed and operational.

(c) Preflight RNP assessment

- (1) The operator should have a predictive performance capability, which can determine if the specified RNP will be available at the time and location of a desired RNP operation. This capability can be a ground service and need not be resident in the aircraft's avionics equipment. The operator should establish procedures requiring use of this capability as both a preflight preparation tool and as a flight-following tool in the event of reported failures.
- (2) This predictive capability should account for known and predicted outages of GNSS satellites or other impacts on the navigation system's sensors. The prediction programme should not use a mask angle below 5 degrees, as operational experience indicates that satellite signals at low elevations are not reliable. The prediction should use the actual GNSS constellation with the RAIM (or equivalent) algorithm identical to or more conservative than that used in the actual equipment.
- (3) The RNP assessment should consider the specific combination of the aircraft capability (sensors and integration), as well as their availability.

(d) NAVAID exclusion

- (1) The operator should establish procedures to exclude NAVAID facilities in accordance with NOTAMs (e.g. DMEs, VORs, localisers). Internal avionics reasonableness checks may not be adequate for RNP operations.

(e) Navigation database currency

- (1) During system initialisation, the flight crew should confirm that the navigation database is current. Navigation databases should be current for the duration of the flight. If the AIRAC cycle is due to change during flight, the flight crew should follow procedures established by the operator to ensure the accuracy of navigation data.
- (2) The operator should not allow the flight crew to use an expired database.

AMC2 SPA.PBN.105(d) PBN operational approval

FLIGHT CONSIDERATIONS

(a) Modification of flight plan

The flight crew should not be authorised to fly a published RNP AR APCH procedure unless it is retrievable by the procedure name from the aircraft navigation database and conforms to the charted procedure. The lateral path should not be modified; with the exception of accepting a clearance to go direct to a fix in the approach procedure that is before the FAF and that does not immediately precede an RF leg. The only other acceptable modification to the loaded procedure is to change altitude and/or airspeed waypoint constraints on the initial, intermediate, or missed approach segments flight plan fixes (e.g. to apply temperature corrections or comply with an ATC clearance/instruction).

(b) Mandatory equipment

The flight crew should have either a mandatory list of equipment for conducting RNP AR APCH operations or alternate methods to address in-flight equipment failures that would prohibit RNP AR APCH operations (e.g. crew warning systems, quick reference handbook).

(c) RNP management

Operating procedures should ensure that the navigation system uses the appropriate RNP values throughout the approach operation. If the navigation system does not extract and set the navigation accuracy from the on-board navigation database for each segment of the procedure, then operating procedures should ensure that the smallest navigation accuracy required to complete the approach or the missed approach is selected before initiating the approach operation (e.g. before the IAF). Different IAFs may have different navigation accuracy, which are annotated on the approach chart.

(d) Loss of RNP

The flight crew should ensure that no loss of RNP annunciation is received prior to commencing the RNP AR APCH operation. During the approach operation, if at any time a loss of RNP annunciation is received, the flight crew should abandon the RNP AR APCH operation unless the pilot has in sight the visual references required to continue the approach operation.

(e) Radio updating

Initiation of all RNP AR APCH procedures is based on GNSS updating. The flight crew should comply with the operator's procedures for inhibiting specific facilities.

(f) Approach procedure confirmation

The flight crew should confirm that the correct procedure has been selected. This process includes confirmation of the waypoint sequence, reasonableness of track angles and distances, and any other parameters that can be altered by the flight crew, such as altitude or speed constraints. A navigation system textual display or navigation map display should be used.

(g) Track deviation monitoring

- (1) The flight crew should use a lateral deviation indicator, flight director and/or autopilot in lateral navigation mode on RNP AR APCH operations. The flight crew of an aircraft with a lateral deviation indicator should ensure that lateral deviation indicator scaling (full-scale deflection) is suitable for the navigation accuracy associated with the various segments of the RNP AR APCH procedure. The flight crew is expected to maintain procedure centrelines, as depicted by on-board lateral deviation indicators and/or flight guidance during the entire RNP AR APCH operations unless authorised to deviate by ATC or demanded under emergency conditions. For normal operations, cross-track error/deviation (the difference between the area-navigation-system-computed path and the aircraft position relative to the path) should be limited to the navigation accuracy (RNP) associated with the procedure segment.
- (2) Vertical deviation should be monitored above and below the glide-path; the vertical deviation should be within ± 75 ft of the glide-path during the final approach segment.
- (3) Flight crew should execute a missed approach operation if:
 - (i) the lateral deviation exceeds one time the RNP value; or
 - (ii) the deviation below the vertical path exceeds 75 ft or half-scale deflection where angular deviation is indicated, at any time; or

- (iii) the deviation above the vertical path exceeds 75 ft or half-scale deflection where angular deviation is indicated; at or below 1 000 ft above aerodrome level;
unless the pilot has in sight the visual references required to continue the approach operation.
 - (4) Where a moving map, low-resolution vertical deviation indicator (VDI), or numeric display of deviations are to be used, flight crew training and procedures should ensure the effectiveness of these displays. Typically, this involves demonstration of the procedure with a number of trained flight crew members and inclusion of this monitoring procedure in the recurrent RNP AR APCH training programme.
 - (5) For installations that use a CDI for lateral path tracking, the AFM should state which navigation accuracy and operations the aircraft supports and the operational effects on the CDI scale. The flight crew should know the CDI full-scale deflection value. The avionics may automatically set the CDI scale (dependent on phase of flight) or the flight crew may manually set the scale. If the flight crew manually selects the CDI scale, the operator should have procedures and training in place to assure the selected CDI scale is appropriate for the intended RNP operation. The deviation limit should be readily apparent given the scale (e.g. full-scale deflection).
- (h) System cross-check
- (1) The flight crew should ensure the lateral and vertical guidance provided by the navigation system is consistent.
- (i) Procedures with RF legs
- (1) When initiating a missed approach operation during or shortly after the RF leg, the flight crew should be aware of the importance of maintaining the published path as closely as possible. Operating procedures should be provided for aircraft that do not stay in LNAV when a missed approach is initiated to ensure the RNP AR APCH ground track is maintained.
 - (2) The flight crew should not exceed the maximum airspeed values shown in Table 1 throughout the RF leg. For example, a Category C A320 should slow to 160 KIAS at the FAF or may fly as fast as 185 KIAS if using Category D minima. A missed approach operation prior to DA/H may require compliance with speed limitation for that segment.

Table 1: Maximum airspeed by segment and category

| Segment | Indicated airspeed (Knots) | | | | |
|-------------------------------------|-----------------------------------------|-------|-------|-------|--------------|
| | Indicated airspeed by aircraft category | | | | |
| | Cat A | Cat B | Cat C | Cat D | Cat E |
| Initial & intermediate (IAF to FAF) | 150 | 180 | 240 | 250 | 250 |
| Final (FAF to DA) | 100 | 130 | 160 | 185 | as specified |
| Missed approach (DA/H to MAHP) | 110 | 150 | 240 | 265 | as specified |
| Airspeed restriction* | as specified | | | | |

* Airspeed restrictions may be used to reduce turn radius regardless of aircraft category.

- (j) Temperature compensation

For aircraft with temperature compensation capabilities, the flight crew may disregard the temperature limits on RNP procedures if the operator provides pilot training on the use of the temperature compensation function. It should be noted that a temperature compensation by

the system is applicable to the VNAV guidance and is not a substitute for the flight crew compensating for temperature effects on minimum altitudes or DA/H. The flight crew should be familiar with the effects of the temperature compensation on intercepting the compensated path as described in EUROCAE ED-75C/RTCA DO-236C Appendix H.

(k) Altimeter setting

Due to the performance-based obstruction clearance inherent in RNP instrument procedures, the flight crew should verify that the most current aerodrome altimeter is set prior to the FAF. The operator should take precautions to switch altimeter settings at appropriate times or locations and request a current altimeter setting if the reported setting may not be recent, particularly at times when pressure is reported or expected to be rapidly decreasing. Execution of an RNP operation necessitates the current altimeter setting for the aerodrome of intended landing. Remote altimeter settings should not be allowed.

(l) Altimeter cross-check

- (1) The flight crew should complete an altimetry cross-check ensuring both pilots' altimeters agree within ± 100 ft prior to the FAF but no earlier than when the altimeters are set for the aerodrome of intended landing. If the altimetry cross-check fails, then the approach operation should not be continued.
- (2) This operational cross-check should not be necessary if the aircraft systems automatically compare the altitudes to within 75 ft.

(m) Missed approach operation

Where possible, the missed approach operation should necessitate RNP 1.0. The missed approach portion of these procedures should be similar to a missed approach of an RNP APCH procedure. Where necessary, navigation accuracy less than RNP 1.0 may be used in the missed approach segment.

- (1) In many aircraft, executing a missed approach activating take-off/go-around (TOGA) may cause a change in lateral navigation. In many aircraft, activating TOGA disengages the autopilot and flight director from LNAV guidance, and the flight director reverts to track-hold derived from the inertial system. LNAV guidance to the autopilot and flight director should be re-engaged as quickly as possible.
- (2) Flight crew procedures and training should address the impact on navigation capability and flight guidance if the pilot initiates a missed approach while the aircraft is in a turn. When initiating an early missed approach operation, the flight crew should follow the rest of the approach track and missed approach track unless a different clearance has been issued by ATC. The flight crew should also be aware that RF legs are designed based on the maximum true airspeed at normal altitudes, and initiating an early missed approach operation will reduce the manoeuvrability margin and potentially even make holding the turn impractical at missed approach speeds.

(n) Contingency procedures

(1) Failure while en route

The flight crew should be able to assess the impact of GNSS equipment failure on the anticipated RNP AR APCH operation and take appropriate action.

(2) Failure on approach

The operator's contingency procedures should address at least the following conditions:

- (i) failure of the area navigation system components, including those affecting lateral and vertical deviation performance (e.g. failures of a GPS sensor, the flight director or autopilot);
- (ii) loss of navigation signal-in-space (loss or degradation of external signal).

AMC3 SPA.PBN.105(d) PBN operational approval

NAVIGATION DATABASE MANAGEMENT

- (a) The operator should validate every RNP AR APCH procedure before using the procedure in instrument meteorological conditions (IMC) to ensure compatibility with their aircraft and to ensure the resulting path matches the published procedure. As a minimum, the operator should:
 - (1) compare the navigation data for the procedure(s) to be loaded into the FMS with the published procedure.
 - (2) validate the loaded navigation data for the procedure, either in an FSTD or in the actual aircraft in VMC. The depicted procedure on the map display should be compared to the published procedure. The entire procedure should be flown to ensure the path is flyable, does not have any apparent lateral or vertical path disconnects and is consistent with the published procedure.
 - (3) Once the procedure is validated, a copy of the validated navigation data should be retained for comparison with subsequent data updates.
 - (4) For published procedures, where FOSA demonstrated that the procedure is not in a challenging operational environment, the flight or FSTD validation may be credited from already validated equivalent RNP AR APCH procedures.
- (b) If an aircraft system required for RNP AR APCH operations is modified, the operator should assess the need for a validation of the RNP AR APCH procedures with the navigation database and the modified system. This may be accomplished without any direct evaluation if the manufacturer verifies that the modification has no effect on the navigation database or path computation. If no such assurance from the manufacturer is available, the operator should conduct initial data validation with the modified system.
- (c) The operator should implement procedures that ensure timely distribution and insertion of current and unaltered electronic navigation data to all aircraft that require it.

AMC1 SPA.PBN.105(e) PBN operational approval

REPORTABLE EVENTS

The operator should report events which are listed in **AMC2 ORO.GEN.160**.

AMC1 SPA.PBN.105(f) PBN operational approval

RNP MONITORING PROGRAMME

- (a) The operator approved to conduct RNP AR APCH operations, should have an RNP monitoring programme to ensure continued compliance with applicable rules and to identify any negative trends in performance.
- (b) During an interim approval period, which should be at least 90 days, the operator should at least submit the following information every 30 days to the CAA.
 - (1) Total number of RNP AR APCH operations conducted;
 - (2) Number of approach operations by aircraft/system which were completed as planned without any navigation or guidance system anomalies;
 - (3) Reasons for unsatisfactory approaches, such as:
 - (i) UNABLE REQ NAV PERF, NAV ACCUR DOWNGRAD, or other RNP messages during approaches;
 - (ii) excessive lateral or vertical deviation;
 - (iii) TAWS warning;
 - (iv) autopilot system disconnect;
 - (v) navigation data errors; or
 - (vi) flight crew reports of any anomaly;
 - (4) Flight crew comments.
- (c) Thereafter, the operator should continue to collect and periodically review this data to identify potential safety concerns, and maintain summaries of this data.

SUBPART C: OPERATIONS WITH SPECIFIED MINIMUM NAVIGATION PERFORMANCE (MNPS)

GM1 SPA.MNPS.100 MNPS operations

DOCUMENTATION

MNPS and the procedures governing their application are published in the Regional Supplementary Procedures, ICAO Doc 7030, as well as in national AIPs.

AMC1 SPA.MNPS.105 MNPS operational approval

LONG RANGE NAVIGATION SYSTEM (LRNS)

- (a) For unrestricted operation in MNPS airspace an aircraft should be equipped with two independent LRNSs.
- (b) An LRNS may be one of the following:
 - (1) one inertial navigation system (INS);
 - (2) one global navigation satellite system (GNSS); or
 - (3) one navigation system using the inputs from one or more inertial reference system (IRS) or any other sensor system complying with the MNPS requirement.
- (c) In case of the GNSS is used as a stand-alone system for LRNS, an integrity check should be carried out.
- (d) For operation in MNPS airspace along notified special routes the aeroplane should be equipped with one LRNS.

SUBPART D: OPERATIONS IN AIRSPACE WITH REDUCED VERTICAL SEPARATION MINIMA (RVSM)

AMC1 SPA.RVSM.105 RVSM operational approval

CONTENT OF OPERATOR RVSM APPLICATION

The following material should be made available to the CAA, in sufficient time to permit evaluation, before the intended start of RVSM operations:

- (a) **Airworthiness documents**
Documentation that shows that the aircraft has RVSM airworthiness approval. This should include an aircraft flight manual (AFM) amendment or supplement.
- (b) **Description of aircraft equipment**
A description of the aircraft appropriate to operations in an RVSM environment.
- (c) **Training programmes, operating practices and procedures**
The operator should submit training syllabi for initial and recurrent training programmes together with other relevant material. The material should show that the operating practices, procedures and training items, related to RVSM operations in airspace that requires State operational approval, are incorporated.
- (d) **Manuals and checklists**
The appropriate manuals and checklists should be revised to include information/guidance on standard operating procedures. Manuals should contain a statement of the airspeeds, altitudes and weights considered in RVSM aircraft approval, including identification of any operating limitations or conditions established for that aircraft type. Manuals and checklists may need to be submitted for review by the CAA as part of the application process.
- (e) **Past performance**
Relevant operating history, where available, should be included in the application. The applicant should show that any required changes have been made in training, operating or maintenance practices to improve poor height-keeping performance.
- (f) **Minimum equipment list**
Where applicable, a minimum equipment list (MEL), adapted from the master minimum equipment list (MMEL), should include items pertinent to operating in RVSM airspace.
- (g) **Plan for participation in verification/monitoring programmes**
The operator should establish a plan for participation in any applicable verification/monitoring programme acceptable to the CAA. This plan should include, as a minimum, a check on a sample of the operator's fleet by an regional monitoring agency (RMA)'s independent height-monitoring system.
- (h) **Continuing airworthiness**
Aircraft maintenance programme and continuing airworthiness procedures in support of the RVSM operations.

AMC2 SPA.RVSM.105 RVSM operational approval

OPERATING PROCEDURES

- (a) Flight planning
 - (1) During flight planning the flight crew should pay particular attention to conditions that may affect operation in RVSM airspace. These include, but may not be limited to:
 - (i) verifying that the airframe is approved for RVSM operations;
 - (ii) reported and forecast weather on the route of flight;
 - (iii) minimum equipment requirements pertaining to height-keeping and alerting systems; and
 - (iv) any airframe or operating restriction related to RVSM operations.
- (b) Pre-flight procedures
 - (1) The following actions should be accomplished during the pre-flight procedure:
 - (i) Review technical logs and forms to determine the condition of equipment required for flight in the RVSM airspace. Ensure that maintenance action has been taken to correct defects to required equipment.
 - (ii) During the external inspection of aircraft, particular attention should be paid to the condition of static sources and the condition of the fuselage skin near each static source and any other component that affects altimetry system accuracy. This check may be accomplished by a qualified and authorised person other than the pilot (e.g. a flight engineer or ground engineer).
 - (iii) Before take-off, the aircraft altimeters should be set to the QNH (atmospheric pressure at nautical height) of the airfield and should display a known altitude, within the limits specified in the aircraft operating manuals. The two primary altimeters should also agree within limits specified by the aircraft operating manual. An alternative procedure using QFE (atmospheric pressure at aerodrome elevation/runway threshold) may also be used. The maximum value of acceptable altimeter differences for these checks should not exceed 23 m (75 ft). Any required functioning checks of altitude indicating systems should be performed.
 - (iv) Before take-off, equipment required for flight in RVSM airspace should be operative and any indications of malfunction should be resolved.
- (c) Prior to RVSM airspace entry
 - (1) The following equipment should be operating normally at entry into RVSM airspace:
 - (i) two primary altitude measurement systems. A cross-check between the primary altimeters should be made. A minimum of two will need to agree within ± 60 m (± 200 ft). Failure to meet this condition will require that the altimetry system be reported as defective and air traffic control (ATC) notified;
 - (ii) one automatic altitude-control system;
 - (iii) one altitude-alerting device; and
 - (iv) operating transponder.
 - (2) Should any of the required equipment fail prior to the aircraft entering RVSM airspace, the pilot should request a new clearance to avoid entering this airspace.

(d) In-flight procedures

- (1) The following practices should be incorporated into flight crew training and procedures:
 - (i) Flight crew should comply with any aircraft operating restrictions, if required for the specific aircraft type, e.g. limits on indicated Mach number, given in the RVSM airworthiness approval.
 - (ii) Emphasis should be placed on promptly setting the sub-scale on all primary and standby altimeters to 1013.2 hPa / 29.92 in Hg when passing the transition altitude, and rechecking for proper altimeter setting when reaching the initial cleared flight level.
 - (iii) In level cruise it is essential that the aircraft is flown at the cleared flight level. This requires that particular care is taken to ensure that ATC clearances are fully understood and followed. The aircraft should not intentionally depart from cleared flight level without a positive clearance from ATC unless the crew are conducting contingency or emergency manoeuvres.
 - (iv) When changing levels, the aircraft should not be allowed to overshoot or undershoot the cleared flight level by more than 45 m (150 ft). If installed, the level off should be accomplished using the altitude capture feature of the automatic altitude-control system.
 - (v) An automatic altitude-control system should be operative and engaged during level cruise, except when circumstances such as the need to re-trim the aircraft or turbulence require disengagement. In any event, adherence to cruise altitude should be done by reference to one of the two primary altimeters. Following loss of the automatic height-keeping function, any consequential restrictions will need to be observed.
 - (vi) Ensure that the altitude-alerting system is operative.
 - (vii) At intervals of approximately 1 hour, cross-checks between the primary altimeters should be made. A minimum of two will need to agree within ± 60 m (± 200 ft). Failure to meet this condition will require that the altimetry system be reported as defective and ATC notified.

The usual scan of flight deck instruments should suffice for altimeter cross-checking on most flights.
 - (viii) In normal operations, the altimetry system being used to control the aircraft should be selected for the input to the altitude reporting transponder transmitting information to ATC.
 - (ix) If the pilot is notified by ATC of a deviation from an assigned altitude exceeding ± 90 m (± 300 ft) then the pilot should take action to return to cleared flight level as quickly as possible.
- (2) Contingency procedures after entering RVSM airspace are as follows:
 - (i) The pilot should notify ATC of contingencies (equipment failures, weather) that affect the ability to maintain the cleared flight level and coordinate a plan of action appropriate to the airspace concerned. The pilot should obtain to the guidance on contingency procedures is contained in the relevant publications dealing with the airspace.
 - (ii) Examples of equipment failures that should be notified to ATC are:

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- (A) failure of all automatic altitude-control systems aboard the aircraft;
 - (B) loss of redundancy of altimetry systems;
 - (C) loss of thrust on an engine necessitating descent; or
 - (D) any other equipment failure affecting the ability to maintain cleared flight level.
 - (iii) The pilot should notify ATC when encountering greater than moderate turbulence.
 - (iv) If unable to notify ATC and obtain an ATC clearance prior to deviating from the cleared flight level, the pilot should follow any established contingency procedures for the region of operation and obtain ATC clearance as soon as possible.
 - (e) Post-flight procedures
 - (1) In making technical log entries against malfunctions in height-keeping systems, the pilot should provide sufficient detail to enable maintenance to effectively troubleshoot and repair the system. The pilot should detail the actual defect and the crew action taken to try to isolate and rectify the fault.
 - (2) The following information should be recorded when appropriate:
 - (i) primary and standby altimeter readings;
 - (ii) altitude selector setting;
 - (iii) subscale setting on altimeter;
 - (iv) autopilot used to control the aircraft and any differences when an alternative autopilot system was selected;
 - (v) differences in altimeter readings, if alternate static ports selected;
 - (vi) use of air data computer selector for fault diagnosis procedure; and
 - (vii) the transponder selected to provide altitude information to ATC and any difference noted when an alternative transponder was selected.
 - (f) Crew training
 - (1) The following items should also be included in flight crew training programmes:
 - (i) knowledge and understanding of standard ATC phraseology used in each area of operations;
 - (ii) importance of crew members cross-checking to ensure that ATC clearances are promptly and correctly complied with;
 - (iii) use and limitations in terms of accuracy of standby altimeters in contingencies. Where applicable, the pilot should review the application of static source error correction/position error correction through the use of correction cards; such correction data should be available on the flight deck;
 - (iv) problems of visual perception of other aircraft at 300 m (1 000 ft) planned separation during darkness, when encountering local phenomena such as northern lights, for opposite and same direction traffic, and during turns;
 - (v) characteristics of aircraft altitude capture systems that may lead to overshoots;
 - (vi) relationship between the aircraft's altimetry, automatic altitude control and transponder systems in normal and abnormal conditions; and

- (vii) any airframe operating restrictions, if required for the specific aircraft group, related to RVSM airworthiness approval.

AMC3 SPA.RVSM.105 RVSM operational approval

CONTINUING AIRWORTHINESS

(a) Maintenance programme

The aircraft maintenance programme should include the instructions for continuing airworthiness issued by the type certificate holder in relation to the RVSM operations certification in accordance with AMC1 ACNS.A.GEN.010.

(b) Continuing airworthiness procedures

The continuing airworthiness procedures should establish a process to:

- (1) assess any modification or design change which in any way affects the RVSM approval;
- (2) evaluate any repairs that may affect the integrity of the continuing RVSM approval, e.g. those affecting the alignment of pitot/static probes, repairs to dents, or deformation around static plates;
- (3) ensure the proper maintenance of airframe geometry for proper surface contours and the mitigation of altimetry system error, surface measurements or skin waviness as specified in the instructions for continued airworthiness (ICA), to ensure adherence to RVSM tolerances. These checks should be performed following repairs or alterations having an effect on airframe surface and airflow.

(c) Additional training may be necessary for continuing airworthiness and maintenance staff to support RVSM approval. Areas that may need to be highlighted for the initial and recurrent training of relevant personnel are:

- (1) Aircraft geometric inspection techniques;
 - (2) Test equipment calibration and use of that equipment; and
 - (3) Any special instructions or procedures introduced for RVSM approval.
- (d) Test equipment

The operator should ensure that maintenance organisations use test equipment adequate for maintenance of the RVSM systems. The adequacy of the test equipment should be established in accordance with the type certificate holder recommendations and taking into consideration the required test equipment accuracy and the test equipment calibration.

GM1 SPA.RVSM.105(d)(9) RVSM operational approval

SPECIFIC REGIONAL PROCEDURES

- (a) The areas of applicability (by Flight Information Region) of RVSM airspace in identified ICAO regions is contained in the relevant sections of ICAO Document 7030/4. In addition, these sections contain operating and contingency procedures unique to the regional airspace concerned, specific flight planning requirements and the approval requirements for aircraft in the designated region.

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- (b) Comprehensive guidance on operational matters for European RVSM airspace is contained in ICAO EUR Doc 009 entitled 'Guidance material on the implementation of a 300 m (1 000 ft) vertical separation minimum in the European RVSM airspace' with further material included in the relevant State aeronautical publications.

AMC1 SPA.RVSM.110(a) RVSM equipment requirements

TWO INDEPENDENT ALTITUDE MEASUREMENT SYSTEMS

Each system should be composed of the following components:

- (a) cross-coupled static source/system, with ice protection if located in areas subject to ice accretion;
- (b) equipment for measuring static pressure sensed by the static source, converting it to pressure altitude and displaying the pressure altitude to the flight crew;
- (c) equipment for providing a digitally encoded signal corresponding to the displayed pressure altitude, for automatic altitude reporting purposes;
- (d) static source error correction (SSEC), if needed to meet the performance criteria for RVSM flight envelopes; and
- (e) signals referenced to a flight crew selected altitude for automatic control and alerting. These signals will need to be derived from an altitude measurement system meeting the performance criteria for RVSM flight envelopes.

SUBPART E: LOW VISIBILITY OPERATIONS (LVO)

AMC1 SPA.LVO.100 Low visibility operations

LVTO OPERATIONS – AEROPLANES

For a low visibility take-off (LVTO) with an aeroplane the following provisions should apply:

- (a) for an LVTO with a runway visual range (RVR) below 400 m the criteria specified in Table 1.A;
- (b) for an LVTO with an RVR below 150 m but not less than 125 m:
 - (1) high intensity runway centre line lights spaced 15 m or less apart and high intensity edge lights spaced 60 m or less apart that are in operation;
 - (2) a 90 m visual segment that is available from the flight crew compartment at the start of the take-off run; and
 - (3) the required RVR value is achieved for all of the relevant RVR reporting points;
- (c) for an LVTO with an RVR below 125 m but not less than 75 m:
 - (1) runway protection and facilities equivalent to CAT III landing operations are available; and
 - (2) the aircraft is equipped with an approved lateral guidance system.

Table 1.A: LVTO – aeroplanes

RVR vs. facilities

| Facilities | RVR (m) *, ** |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Day: runway edge lights and runway centre line markings Night: runway edge lights and runway end lights or runway centre line lights and runway end lights | 300 |
| Runway edge lights and runway centre line lights | 200 |
| Runway edge lights and runway centre line lights | TDZ, MID, rollout 150*** |
| High intensity runway centre line lights spaced 15 m or less and high intensity edge lights spaced 60 m or less are in operation | TDZ, MID, rollout 125*** |
| Runway protection and facilities equivalent to CAT III landing operations are available and the aircraft is equipped either with an approved lateral guidance system or an approved HUD / HUDLS for take-off. | TDZ, MID, rollout 75 |

*: The reported RVR value representative of the initial part of the take-off run can be replaced by pilot assessment.

**: Multi-engined aeroplanes that in the event of an engine failure at any point during take-off can either stop or continue the take-off to a height of 1 500 ft above the aerodrome while clearing obstacles by the required margins.

***: The required RVR value to be achieved for all relevant RVRs

TDZ: touchdown zone, equivalent to the initial part of the take-off run

MID: midpoint

AMC2 SPA.LVO.100 Low visibility operations

LVTO OPERATIONS – HELICOPTERS

For LVTOs with helicopters the provisions specified in Table 1.H should apply.

Table 1.H: LVTO – helicopters

RVR vs. facilities

| Facilities | RVR (m) |
|--------------------------------------------------------------------------|-----------------------------------------------------------------|
| Onshore aerodromes with IFR departure procedures | |
| No light and no markings (day only) | 250 or the rejected take-off distance, whichever is the greater |
| No markings (night) | 800 |
| Runway edge/FATO light and centre line marking | 200 |
| Runway edge/FATO light, centre line marking and relevant RVR information | 150 |
| Offshore helideck * | |
| Two-pilot operations | 250 |
| Single-pilot operations | 500 |

*: The take-off flight path to be free of obstacles

FATO: final approach and take-off area

AMC3 SPA.LVO.100 Low visibility operations

LTS CAT I OPERATIONS

(a) For lower than Standard Category I (LTS CAT I) operations the following provisions should apply:

- (1) The decision height (DH) of an LTS CAT I operation should not be lower than the highest of:
 - (i) the minimum DH specified in the AFM, if stated;
 - (ii) the minimum height to which the precision approach aid can be used without the specified visual reference;
 - (iii) the applicable obstacle clearance height (OCH) for the category of aeroplane;
 - (iv) the DH to which the flight crew is qualified to operate; or
 - (v) 200 ft.
- (2) An instrument landing system / microwave landing system (ILS/MLS) that supports an LTS CAT I operation should be an unrestricted facility with a straight-in course, $\leq 3^\circ$ offset, and the ILS should be certified to:
 - (i) class I/T/1 for operations to a minimum of 450 m RVR; or
 - (ii) class II/D/2 for operations to less than 450 m RVR.

Single ILS facilities are only acceptable if level 2 performance is provided.

- (3) The following visual aids should be available:
 - (i) standard runway day markings, approach lights, runway edge lights, threshold

lights and runway end lights;

- (ii) for operations with an RVR below 450 m, additionally touch-down zone and/or runway centre line lights.
- (4) The lowest RVR / converted meteorological visibility (CMV) minima to be used are specified in Table 2.

Table 2: LTS CAT I operation minima

RVR/CMV vs. approach lighting system

| DH (ft) | Class of light facility * | | | |
|-----------|---------------------------|------|------|-------|
| | FALS | IALS | BALS | NALS |
| | RVR/CMV (m) | | | |
| 200 – 210 | 400 | 500 | 600 | 750 |
| 211 – 220 | 450 | 550 | 650 | 800 |
| 221 – 230 | 500 | 600 | 700 | 900 |
| 231 – 240 | 500 | 650 | 750 | 1 000 |
| 241 – 249 | 550 | 700 | 800 | 1 100 |

*: FALS: full approach lighting system

IALS: intermediate approach lighting system

BALS: basic approach lighting system

NALS: no approach lighting system

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CAT II AND OTS CAT II OPERATIONS

- (a) For CAT II and other than Standard Category II (OTS CAT II) operations the following provisions should apply:

- (1) The ILS / MLS that supports OTS CAT II operation should be an unrestricted facility with a straight in course ($\leq 3^\circ$ offset) and the ILS should be certified to class II/D/2.

Single ILS facilities are only acceptable if level 2 performance is provided.

- (2) The DH for CAT II and OTS CAT II operation should not be lower than the highest of:
 - (i) the minimum DH specified in the AFM, if stated;
 - (ii) the minimum height to which the precision approach aid can be used without the specified visual reference;
 - (iii) the applicable OCH for the category of aeroplane;
 - (iv) the DH to which the flight crew is qualified to operate; or
 - (v) 100 ft.
- (3) The following visual aids should be available:
 - (i) standard runway day markings and approach and the following runway lights: runway edge lights, threshold lights and runway end lights;
 - (ii) for operations in RVR below 450 m, additionally touch-down zone and/or runway centre line lights;
 - (iii) for operations with an RVR of 400 m or less, additionally centre line lights.

- (4) The lowest RVR minima to be used are specified:
- (i) for CAT II operations in Table 3; and
 - (ii) for OTS CAT II operations in Table 4.
- (b) For OTS CAT II operations, the terrain ahead of the runway threshold should have been surveyed.

Table 3: CAT II operation minima RVR vs. DH

| DH (ft) | Auto-coupled or approved HUDLS to below DH * | |
|-----------|----------------------------------------------|--------------------------------|
| | Aircraft categories A, B, C RVR (m) | Aircraft category D RVR (m) |
| 100 – 120 | 300 | 300/350** |
| 121 – 140 | 400 | 400 |
| 141 – 199 | 450 | 450 |

*: This means continued use of the automatic flight control system or the HUDLS down to a height of 80 % of the DH.

**: An RVR of 300 m may be used for a category D aircraft conducting an auto-land.

Table 4: OTS CAT II operation minima

RVR vs. approach lighting system

| DH (ft) | Auto-land or approved HUDLS utilised to touchdown | | | | |
|-----------|---------------------------------------------------|---------------------|---------------------------|---------------------------|---------------------------|
| | Class of light facility | | | | |
| | FALS | | IALS | BALS | NALS |
| | Aircraft categories A – C | Aircraft category D | Aircraft categories A – D | Aircraft categories A – D | Aircraft categories A – D |
| | RVR (m) | | | | |
| 100 - 120 | 350 | 400 | 450 | 600 | 700 |
| 121 - 140 | 400 | 450 | 500 | 600 | 700 |
| 141 - 160 | 400 | 500 | 500 | 600 | 750 |
| 161 - 199 | 400 | 500 | 550 | 650 | 750 |

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CAT III OPERATIONS

The following provisions should apply to CAT III operations:

- (a) Where the DH and RVR do not fall within the same category, the RVR should determine in which category the operation is to be considered.
- (b) For operations in which a DH is used, the DH should not be lower than:
 - (1) the minimum DH specified in the AFM, if stated;
 - (2) the minimum height to which the precision approach aid can be used without the specified visual reference; or
 - (3) the DH to which the flight crew is qualified to operate.
- (c) Operations with no DH should only be conducted if:
 - (1) the operation with no DH is specified in the AFM;
 - (2) the approach aid and the aerodrome facilities can support operations with no DH; and

- (3) the flight crew is qualified to operate with no DH.
- (d) The lowest RVR minima to be used are specified in Table 5.

Table 5: CAT III operations minima

RVR vs. DH and rollout control/guidance system

| CAT | DH (ft) * | Rollout control/guidance system | RVR (m) |
|------|--------------------------|---------------------------------|---------|
| IIIA | Less than 100 | Not required | 200 |
| IIIB | Less than 100 | Fail-passive | 150** |
| IIIB | Less than 50 | Fail-passive | 125 |
| IIIB | Less than 50 or no DH | Fail-operational *** | 75 |

*: Flight control system redundancy is determined under CS-AWO by the minimum certified DH.

**: For aeroplanes certified in accordance with CS-AWO 321(b)(3) or equivalent.

***: The fail-operational system referred to may consist of a fail-operational hybrid system.

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OPERATIONS UTILISING EVS

The pilot using a certified enhanced vision system (EVS) in accordance with the procedures and limitations of the AFM:

- (a) may reduce the RVR/CMV value in column 1 to the value in column 2 of Table 6 for CAT I operations, APV operations and NPA operations flown with the CDFA technique;
- (b) for CAT I operations:
 - (1) may continue an approach below DH to 100 ft above the runway threshold elevation provided that a visual reference is displayed and identifiable on the EVS image; and
 - (2) should only continue an approach below 100 ft above the runway threshold elevation provided that a visual reference is distinctly visible and identifiable to the pilot without reliance on the EVS;
- (c) for APV operations and NPA operations flown with the CDFA technique:
 - (1) may continue an approach below DH/MDH to 200 ft above the runway threshold elevation provided that a visual reference is displayed and identifiable on the EVS image; and
 - (2) should only continue an approach below 200 ft above the runway threshold elevation provided that a visual reference is distinctly visible and identifiable to the pilot without reliance on the EVS.

Table 6: Operations utilising EVS

RVR/CMV reduction vs. normal RVR/CMV

| RVR/CMV (m) normally required | RVR/CMV (m) utilising EVS |
|-------------------------------|---------------------------|
| 550 | 350 |
| 600 | 400 |
| 650 | 450 |
| 700 | 450 |
| 750 | 500 |
| 800 | 550 |
| 900 | 600 |
| 1 000 | 650 |
| 1 100 | 750 |
| 1 200 | 800 |
| 1 300 | 900 |
| 1 400 | 900 |
| 1 500 | 1 000 |
| 1 600 | 1 100 |
| 1 700 | 1 100 |
| 1 800 | 1 200 |
| 1 900 | 1 300 |
| 2 000 | 1 300 |
| 2 100 | 1 400 |
| 2 200 | 1 500 |

| RVR/CMV (m) normally required | RVR/CMV (m) utilising EVS |
|-------------------------------|---------------------------|
| 2 300 | 1 500 |
| 2 400 | 1 600 |
| 2 500 | 1 700 |
| 2 600 | 1 700 |
| 2 700 | 1 800 |
| 2 800 | 1 900 |
| 2 900 | 1 900 |
| 3 000 | 2 000 |
| 3 100 | 2 000 |
| 3 200 | 2 100 |
| 3 300 | 2 200 |
| 3 400 | 2 200 |
| 3 500 | 2 300 |
| 3 600 | 2 400 |
| 3 700 | 2 400 |
| 3 800 | 2 500 |
| 3 900 | 2 600 |
| 4 000 | 2 600 |
| 4 100 | 2 700 |
| 4 200 | 2 800 |
| 4 300 | 2 800 |
| 4 400 | 2 900 |
| 4 500 | 3 000 |
| 4 600 | 3 000 |
| 4 700 | 3 100 |
| 4 800 | 3 200 |
| 4 900 | 3 200 |
| 5 000 | 3 300 |

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EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED EQUIPMENT

(a) General

These instructions are intended for use both pre-flight and in-flight. It is however not expected that the pilot-in-command/commander would consult such instructions after passing 1 000 ft above the aerodrome. If failures of ground aids are announced at such a late stage, the approach could be continued at the pilot-in-command/commander's discretion. If failures are announced before such a late stage in the approach, their effect on the approach should be considered as described in Table 7, and the approach may have to be abandoned.

(b) The following conditions should be applicable to the tables below:

- (1) multiple failures of runway/FATO lights other than indicated in Table 7 are not acceptable;

- (2) deficiencies of approach and runway/FATO lights are treated separately; *ANNEX V (Part-SPA)*
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-

- (3) for CAT II and CAT III operations, a combination of deficiencies in runway/FATO lights and RVR assessment equipment are not permitted; and
- (4) failures other than ILS and MLS affect RVR only and not DH.

Table 7: Failed or downgraded equipment – affect on landing minima

Operations with an LVO approval

| Failed or downgraded equipment | Effect on landing minima | | | |
|-----------------------------------------------------|---------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------|--------------------------------------------------|
| | CAT IIIB (no DH) | CAT IIIB | CAT IIIA | CAT II |
| ILS/MLS stand-by transmitter | Not allowed | RVR 200 m | No effect | |
| Outer marker | No effect if replaced by height check at 1 000 ft | | | |
| Middle marker | No effect | | | |
| RVR assessment systems | At least one RVR value to be available on the aerodrome | On runways equipped with two or more RVR assessment units, one may be inoperative | | |
| Approach lights | No effect | Not allowed for operations with DH >50 ft | | Not allowed |
| Approach lights except the last 210 m | No effect | | | Not allowed |
| Approach lights except the last 420 m | No effect | | | |
| Standby power for approach lights | No effect | | | |
| Edge lights, threshold lights and runway end lights | No effect | | Day: no effect | Day: no effect |
| | | | Night: RVR 550 m | Night: not allowed |
| Centre line lights | Day: RVR 200 m | Not allowed | Day: RVR 300 m | Day: RVR 350 m |
| | Night: not allowed | | Night: RVR 400 m | Night: RVR 550 m (400 m with HUDLS or auto-land) |
| Centre line lights spacing increased to 30 m | RVR 150 m | | No effect | |
| Touchdown zone lights | No effect | Day: RVR 200 m | Day: RVR 300 m | |
| | | Night: RVR 300 m | Night: RVR 550 m, 350 m with HUDLS or auto-land | |
| Taxiway light system | No effect | | | |

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DOCUMENTS CONTAINING INFORMATION RELATED TO LOW VISIBILITY OPERATIONS

The following documents provide further information to low visibility operations (LVO):

- (a) ICAO Annex 2 Rules of the Air;
- (b) ICAO Annex 6 Operation of Aircraft;
- (c) ICAO Annex 10 Telecommunications Vol. 1;

- (d) ICAO Annex 14 Aerodromes Vol. 1;
- (e) ICAO Doc 8168 PANS - OPS Aircraft Operations;
- (f) ICAO Doc 9365 AWO Manual;
- (g) ICAO Doc 9476 Manual of surface movement guidance and control systems (SMGCS);
- (h) ICAO Doc 9157 Aerodrome Design Manual;
- (i) ICAO Doc 9328 Manual of RVR Observing and Reporting Practices;
- (j) ICAO EUR Doc 013: European Guidance Material on Aerodrome Operations under Limited Visibility Conditions;
- (k) ECAC Doc 17, Issue 3; and
- (l) CS-AWO All weather operations.

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ILS CLASSIFICATION

The ILS classification system is specified in ICAO Annex 10.

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ESTABLISHMENT OF MINIMUM RVR FOR CAT II AND CAT III OPERATIONS

- (a) General
 - (1) When establishing minimum RVR for CAT II and CAT III operations, operators should pay attention to the following information that originates in ECAC Doc 17 3rd Edition, Subpart A. It is retained as background information and, to some extent, for historical purposes although there may be some conflict with current practices.
 - (2) Since the inception of precision approach and landing operations various methods have been devised for the calculation of aerodrome operating minima in terms of DH and RVR. It is a comparatively straightforward matter to establish the DH for an operation but establishing the minimum RVR to be associated with that DH so as to provide a high probability that the required visual reference will be available at that DH has been more of a problem.
 - (3) The methods adopted by various States to resolve the DH/RVR relationship in respect of CAT II and CAT III operations have varied considerably. In one instance there has been a simple approach that entailed the application of empirical data based on actual operating experience in a particular environment. This has given satisfactory results for application within the environment for which it was developed. In another instance a more sophisticated method was employed which utilised a fairly complex computer programme to take account of a wide range of variables. However, in the latter case, it has been found that with the improvement in the performance of visual aids, and the increased use of automatic equipment in the many different types of new aircraft, most of the variables cancel each other out and a simple tabulation can be constructed that is applicable to a wide range of aircraft. The basic principles that are observed in establishing the values in such a table are that the scale of visual reference required by a pilot at and below DH depends on the task that he/she has to carry out, and that the

degree to which his/her vision is obscured depends on the obscuring medium, the general rule in fog being that it becomes more dense with increase in height. Research using flight simulation training devices (FSTDs) coupled with flight trials has shown the following:

- (i) most pilots require visual contact to be established about 3 seconds above DH though it has been observed that this reduces to about 1 second when a fail-operational automatic landing system is being used;
- (ii) to establish lateral position and cross-track velocity most pilots need to see not less than a three light segment of the centre line of the approach lights, or runway centre line, or runway edge lights;
- (iii) for roll guidance most pilots need to see a lateral element of the ground pattern, i.e. an approach light cross bar, the landing threshold, or a barrette of the touchdown zone light; and
- (iv) to make an accurate adjustment to the flight path in the vertical plane, such as a flare, using purely visual cues, most pilots need to see a point on the ground which has a low or zero rate of apparent movement relative to the aircraft.
- (v) With regard to fog structure, data gathered in the United Kingdom over a 20 year period have shown that in deep stable fog there is a 90 % probability that the slant visual range from eye heights higher than 15 ft above the ground will be less than the horizontal visibility at ground level, i.e. RVR. There are at present no data available to show what the relationship is between the slant visual range and RVR in other low visibility conditions such as blowing snow, dust or heavy rain, but there is some evidence in pilot reports that the lack of contrast between visual aids and the background in such conditions can produce a relationship similar to that observed in fog.

(b) CAT II operations

The selection of the dimensions of the required visual segments that are used for CAT II operations is based on the following visual provisions:

- (1) a visual segment of not less than 90 m will need to be in view at and below DH for pilot to be able to monitor an automatic system;
- (2) a visual segment of not less than 120 m will need to be in view for a pilot to be able to maintain the roll attitude manually at and below DH; and
- (3) for a manual landing using only external visual cues, a visual segment of 225 m will be required at the height at which flare initiation starts in order to provide the pilot with sight of a point of low relative movement on the ground.

Before using a CAT II ILS for landing, the quality of the localiser between 50 ft and touchdown should be verified.

(c) CAT III fail-passive operations

- (1) CAT III operations utilising fail-passive automatic landing equipment were introduced in the late 1960s and it is desirable that the principles governing the establishment of the minimum RVR for such operations be dealt with in some detail.
- (2) During an automatic landing the pilot needs to monitor the performance of the aircraft system, not in order to detect a failure that is better done by the monitoring devices built into the system, but so as to know precisely the flight situation. In the final stages the pilot should establish visual contact and, by the time the pilot reaches DH, the pilot should

have checked the aircraft position relative to the approach or runway centre line lights. For this the pilot will need sight of horizontal elements (for roll reference) and part of the touchdown area. The pilot should check for lateral position and cross-track velocity and, if not within the pre-stated lateral limits, the pilot should carry out a missed approach procedure. The pilot should also check longitudinal progress and sight of the landing threshold is useful for this purpose, as is sight of the touchdown zone lights.

- (3) In the event of a failure of the automatic flight guidance system below DH, there are two possible courses of action; the first is a procedure that allows the pilot to complete the landing manually if there is adequate visual reference for him/her to do so, or to initiate a missed approach procedure if there is not; the second is to make a missed approach procedure mandatory if there is a system disconnect regardless of the pilot's assessment of the visual reference available:
 - (i) If the first option is selected then the overriding rule in the determination of a minimum RVR is for sufficient visual cues to be available at and below DH for the pilot to be able to carry out a manual landing. Data presented in ECAC Doc 17 showed that a minimum value of 300 m would give a high probability that the cues needed by the pilot to assess the aircraft in pitch and roll will be available and this should be the minimum RVR for this procedure.
 - (ii) The second option, to require a missed approach procedure to be carried out should the automatic flight-guidance system fail below DH, will permit a lower minimum RVR because the visual reference provision will be less if there is no need to provide for the possibility of a manual landing. However, this option is only acceptable if it can be shown that the probability of a system failure below DH is acceptably low. It should be recognised that the inclination of a pilot who experiences such a failure would be to continue the landing manually but the results of flight trials in actual conditions and of simulator experiments show that pilots do not always recognise that the visual cues are inadequate in such situations and present recorded data reveal that pilots' landing performance reduces progressively as the RVR is reduced below 300 m. It should further be recognised that there is some risk in carrying out a manual missed approach procedure from below 50 ft in very low visibility and it should therefore be accepted that if an RVR lower than 300 m is to be approved, the flight deck procedure should not normally allow the pilot to continue the landing manually in such conditions and the aircraft system should be sufficiently reliable for the missed approach procedure rate to be low.
 - (4) These criteria may be relaxed in the case of an aircraft with a fail-passive automatic landing system that is supplemented by a head-up display that does not qualify as a fail-operational system but that gives guidance that will enable the pilot to complete a landing in the event of a failure of the automatic landing system. In this case it is not necessary to make a missed approach procedure mandatory in the event of a failure of the automatic landing system when the RVR is less than 300 m.
- (d) CAT III fail-operational operations - with a DH
- (1) For CAT III operations utilising a fail-operational landing system with a DH, a pilot should be able to see at least one centre line light.
 - (2) For CAT III operations utilising a fail-operational hybrid landing system with a DH, a pilot should have a visual reference containing a segment of at least three consecutive lights of the runway centre line lights.

- (e) CAT III fail operational operations - with no DH
 - (1) For CAT III operations with no DH the pilot is not required to see the runway prior to touchdown. The permitted RVR is dependent on the level of aircraft equipment.
 - (2) A CAT III runway may be assumed to support operations with no DH unless specifically restricted as published in the AIP or NOTAM.

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CREW ACTIONS IN CASE OF AUTOPILOT FAILURE AT OR BELOW DH IN FAIL-PASSIVE CAT III OPERATIONS

For operations to actual RVR values less than 300 m, a missed approach procedure is assumed in the event of an autopilot failure at or below DH. This means that a missed approach procedure is the normal action. However, the wording recognises that there may be circumstances where the safest action is to continue the landing. Such circumstances include the height at which the failure occurs, the actual visual references, and other malfunctions. This would typically apply to the late stages of the flare. In conclusion, it is not forbidden to continue the approach and complete the landing when the pilot-in-command/commander determines that this is the safest course of action. The operator's policy and the operational instructions should reflect this information.

GM1 SPA.LVO.100(f) Low visibility operations

OPERATIONS UTILISING EVS

- (a) Introduction
 - (1) Enhanced vision systems use sensing technology to improve a pilot's ability to detect objects, such as runway lights or terrain, which may otherwise not be visible. The image produced from the sensor and/or image processor can be displayed to the pilot in a number of ways including use of a HUD. The systems can be used in all phases of flight and can improve situational awareness. In particular, infra-red systems can display terrain during operations at night, improve situational awareness during night and low-visibility taxiing, and may allow earlier acquisition of visual references during instrument approaches.
- (b) Background to EVS provisions
 - (1) The provisions for EVS were developed after an operational evaluation of two different EVS systems, along with data and support provided by the FAA. Approaches using EVS were flown in a variety of conditions including fog, rain and snow showers, as well as at night to aerodromes located in mountainous terrain. The infra-red EVS performance can vary depending on the weather conditions encountered. Therefore, the provisions take a conservative approach to cater for the wide variety of conditions which may be encountered. It may be necessary to amend the provisions in the future to take account of greater operational experience.
 - (2) Provisions for the use of EVS during take-off have not been developed. The systems evaluated did not perform well when the RVR was below 300 m. There may be some benefit for use of EVS during take-off with greater visibility and reduced light; however, such operations would need to be evaluated.

- (3) Provisions have been developed to cover use of infra-red systems only. Other sensing technologies are not intended to be excluded; however, their use will need to be evaluated to determine the appropriateness of this, or any other provision. During the development, it was envisaged what minimum equipment should be fitted to the aircraft. Given the present state of technological development, it is considered that a HUD is an essential element of the EVS equipment.
 - (4) In order to avoid the need for tailored charts for approaches utilising EVS, it is envisaged that the operator will use AMC6 SPA.LVO.110 Table 6 Operations utilising EVS RVR/CMV reduction vs. normal RVR/CMV to determine the applicable RVR at the commencement of the approach.
- (c) Additional operational considerations
- (1) EVS equipment should have:
 - (i) a head-up display system (capable of displaying, airspeed, vertical speed, aircraft attitude, heading, altitude, command guidance as appropriate for the approach to be flown, path deviation indications, flight path vector and flight path angle reference cue and the EVS imagery);
 - (ii) a head-down view of the EVS image, or other means of displaying the EVS-derived information easily to the pilot monitoring the progress of the approach; and
 - (iii) means to ensure that the pilot monitoring is kept in the 'loop' and crew resource management (CRM) does not break down.

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OPERATIONAL DEMONSTRATION – AEROPLANES

- (a) General
- (1) The purpose of the operational demonstration should be to determine or validate the use and effectiveness of the applicable aircraft flight guidance systems, including HUDLS if appropriate, training, flight crew procedures, maintenance programme, and manuals applicable to the CAT II/III programme being approved.
 - (i) At least 30 approaches and landings should be accomplished in operations using the CAT II/III systems installed in each aircraft type if the requested DH is 50 ft or higher. If the DH is less than 50 ft, at least 100 approaches and landings should be accomplished.
 - (ii) If the operator has different variants of the same type of aircraft utilising the same basic flight control and display systems, or different basic flight control and display systems on the same type of aircraft, the operator should show that the various variants have satisfactory performance, but need not conduct a full operational demonstration for each variant. The number of approaches and landings may be based on credit given for the experience gained by another operator, using the same aeroplane type or variant and procedures.
 - (iii) If the number of unsuccessful approaches exceeds 5 % of the total, e.g. unsatisfactory landings, system disconnects, the evaluation programme should be extended in steps of at least 10 approaches and landings until the overall failure rate does not exceed 5 %.
 - (2) The operator should establish a data collection method to record approach and landing

performance. The resulting data and a summary of the demonstration data should be made available to the CAA for evaluation.

- (3) Unsatisfactory approaches and/or automatic landings should be documented and analysed.

(b) Demonstrations

- (1) Demonstrations may be conducted in line operations or any other flight where the operator's procedures are being used.
- (2) In unique situations where the completion of 100 successful landings could take an unreasonably long period of time and equivalent reliability assurance can be achieved, a reduction in the required number of landings may be considered on a case-by-case basis. Reduction of the number of landings to be demonstrated requires a justification for the reduction. This justification should take into account factors such as a small number of aircraft in the fleet, limited opportunity to use runways having CAT II/III procedures or the inability to obtain ATS sensitive area protection during good weather conditions. However, at the operator's option, demonstrations may be made on other runways and facilities. Sufficient information should be collected to determine the cause of any unsatisfactory performance (e.g. sensitive area was not protected).
- (3) If the operator has different variants of the same type of aircraft utilising the same basic flight control and display systems, or different basic flight control and display systems on the same type or class of aircraft, the operator should show that the various variants have satisfactory performance, but need not conduct a full operational demonstration for each variant.
- (4) Not more than 30 % of the demonstration flights should be made on the same runway.

(c) Data collection for operational demonstrations

- (1) Data should be collected whenever an approach and landing is attempted utilising the CAT II/III system, regardless of whether the approach is abandoned, unsatisfactory, or is concluded successfully.
- (2) The data should, as a minimum, include the following information:
 - (i) Inability to initiate an approach. Identify deficiencies related to airborne equipment that preclude initiation of a CAT II/III approach.
 - (ii) Abandoned approaches. Give the reasons and altitude above the runway at which approach was discontinued or the automatic landing system was disengaged.
 - (iii) Touchdown or touchdown and rollout performance. Describe whether or not the aircraft landed satisfactorily within the desired touchdown area with lateral velocity or cross track error that could be corrected by the pilot or automatic system so as to remain within the lateral confines of the runway without unusual pilot skill or technique. The approximate lateral and longitudinal position of the actual touchdown point in relation to the runway centre line and the runway threshold, respectively, should be indicated in the report. This report should also include any CAT II/III system abnormalities that required manual intervention by the pilot to ensure a safe touchdown or touchdown and rollout, as appropriate.

(d) Data analysis

Unsuccessful approaches due to the following factors may be excluded from the analysis:

- (1) ATS factors. Examples include situations in which a flight is vectored too close to the final approach fix/point for adequate localiser and glide slope capture, lack of protection of ILS

sensitive areas, or ATS requests the flight to discontinue the approach.

- (2) Faulty navaid signals. Navaid (e.g. ILS localiser) irregularities, such as those caused by other aircraft taxiing, over-flying the navaid (antenna).
- (3) Other factors. Any other specific factors that could affect the success of CAT II/III operations that are clearly discernible to the flight crew should be reported.

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OPERATIONAL DEMONSTRATION – HELICOPTERS

- (a) The operator should comply with the provisions prescribed below when introducing into CAT II or III service a helicopter type that is new to the EU.
 - (1) Operational reliability

The CAT II and III success rate should not be less than that required by CS-AWO or equivalent.
 - (2) Criteria for a successful approach

An approach is regarded as successful if:

 - (i) the criteria as specified in CS-AWO or equivalent are met; and
 - (ii) no relevant helicopter system failure occurs.

For helicopter types already used for CAT II or III operations in another State, the in-service proving programme in (e) should be used instead.
- (b) Data collection during airborne system demonstration - general
 - (1) The operator should establish a reporting system to enable checks and periodic reviews to be made during the operational evaluation period before the operator is approved to conduct CAT II or III operations. The reporting system should cover all successful and unsuccessful approaches, with reasons for the latter, and include a record of system component failures. This reporting system should be based upon flight crew reports and automatic recordings as prescribed in (c) and (d) below.
 - (2) The recordings of approaches may be made during normal line flights or during other flights performed by the operator.
- (c) Data collection during airborne system demonstration – operations with DH not less than 50 ft
 - (1) For operations with DH not less than 50 ft, data should be recorded and evaluated by the operator and evaluated by the CAA when necessary.
 - (2) It is sufficient for the following data to be recorded by the flight crew:
 - (i) FATO and runway used;
 - (ii) weather conditions;
 - (iii) time;
 - (iv) reason for failure leading to an aborted approach;
 - (v) adequacy of speed control;
 - (vi) trim at time of automatic flight control system disengagement;
 - (vii) compatibility of automatic flight control system, flight director and raw data;

- (viii) an indication of the position of the helicopter relative to the ILS, MLS centre line when descending through 30 m (100 ft); and
- (ix) touchdown position.
- (3) The number of approaches made during the initial evaluation should be sufficient to demonstrate that the performance of the system in actual airline service is such that a 90 % confidence and a 95 % approach success will result.
- (d) Data collection during airborne system demonstration – operations with DH less than 50 ft or no DH
 - (1) For operations with DH less than 50 ft or no DH, a flight data recorder (FDR), or other equipment giving the appropriate information, should be used in addition to the flight crew reports to confirm that the system performs as designed in actual airline service. The following data should be recorded:
 - (i) distribution of ILS, MLS deviations at 30 m (100 ft), at touchdown and, if appropriate, at disconnection of the rollout control system and the maximum values of the deviations between those points; and
 - (ii) sink rate at touchdown.
 - (2) Any landing irregularity should be fully investigated using all available data to determine its cause.
- (e) In-service proving

The operator fulfilling the provisions of (f) above should be deemed to have met the in-service proving contained in this subparagraph.

 - (1) The system should demonstrate reliability and performance in line operations consistent with the operational concepts. A sufficient number of successful landings should be accomplished in line operations, including training flights, using the auto-land and rollout system installed in each helicopter type.
 - (2) The demonstration should be accomplished using a CAT II or CAT III ILS. Demonstrations may be made on other ILS or MLS facilities if sufficient data are recorded to determine the cause of unsatisfactory performance.
 - (3) If the operator has different variants of the same type of helicopter utilising the same basic flight control and display systems, or different basic flight control and display systems on the same type of helicopter, the operator should show that the variants comply with the basic system performance criteria, but the operator need not conduct a full operational demonstration for each variant.

AMC3 SPA.LVO.105 LVO approval

CONTINUOUS MONITORING – ALL AIRCRAFT

- (a) After obtaining the initial approval, the operations should be continuously monitored by the operator to detect any undesirable trends before they become hazardous. Flight crew reports may be used to achieve this.
- (b) The following information should be retained for a period of 12 months:
 - (1) the total number of approaches, by aircraft type, where the airborne CAT II or III equipment was utilised to make satisfactory, actual or practice, approaches to the applicable CAT II or III minima; and

- (2) reports of unsatisfactory approaches and/or automatic landings, by aerodrome and aircraft registration, in the following categories:
 - (i) airborne equipment faults;
 - (ii) ground facility difficulties;
 - (iii) missed approaches because of ATC instructions; or
 - (iv) other reasons.
- (c) The operator should establish a procedure to monitor the performance of the automatic landing system or HUDLS to touchdown performance, as appropriate, of each aircraft.

AMC4 SPA.LVO.105 LVO approval

TRANSITIONAL PERIODS FOR CAT II AND CAT III OPERATIONS

- (a) Operators with no previous CAT II or CAT III experience
 - (1) The operator without previous CAT II or III operational experience, applying for a CAT II or CAT IIIA operational approval, should demonstrate to the CAA that it has gained a minimum experience of 6 months of CAT I operations on the aircraft type.
 - (2) The operator applying for a CAT IIIB operational approval should demonstrate to the CAA that it has already completed 6 months of CAT II or IIIA operations on the aircraft type.
- (b) Operators with previous CAT II or III experience
 - (1) The operator with previous CAT II or CAT III experience, applying for a CAT II or CAT III operational approval with reduced transition periods as set out in (a), should demonstrate to the CAA that it has maintained the experience previously gained on the aircraft type.
 - (2) The operator approved for CAT II or III operations using auto-coupled approach procedures, with or without auto-land, and subsequently introducing manually flown CAT II or III operations using a HUDLS should provide the operational demonstrations set out in **AMC1 SPA.LVO.105** and **AMC2 SPA.LVO.105** as if it would be a new applicant for a CAT II or CAT III approval.

AMC5 SPA.LVO.105 LVO approval

MAINTENANCE OF CAT II, CAT III AND LVTO EQUIPMENT

Maintenance instructions for the on-board guidance systems should be established by the operator, in liaison with the manufacturer, and included in the operator's aircraft maintenance programme in accordance with **Annex I** to Regulation (EU) No 1321/2014.

AMC6 SPA.LVO.105 LVO approval

ELIGIBLE AERODROMES AND RUNWAYS

- (a) Each aircraft type/runway combination should be verified by the successful completion of at least one approach and landing in CAT II or better conditions, prior to commencing CAT III operations.
- (b) For runways with irregular pre-threshold terrain or other foreseeable or known deficiencies, each aircraft type/runway combination should be verified by operations in CAT I or better conditions, prior to commencing LTS CAT I, CAT II, OTS CAT II or CAT III operations.
- (c) If the operator has different variants of the same type of aircraft in accordance with (d), utilising the same basic flight control and display systems, or different basic flight control and display systems on the same type of aircraft in accordance with (d), the operator should show that the variants have satisfactory operational performance, but need not conduct a full operational demonstration for each variant/runway combination.
- (d) For the purpose of this AMC, an aircraft type or variant of an aircraft type should be deemed to be the same type/variant of aircraft if that type/variant has the same or similar:
 - (1) level of technology, including the following:
 - (i) flight control/guidance system (FGS) and associated displays and controls;
 - (ii) FMS and level of integration with the FGS; and
 - (iii) use of HUDLS;
 - (2) operational procedures, including:
 - (i) alert height;
 - (ii) manual landing /automatic landing;
 - (iii) no DH operations; and
 - (iv) use of HUD/HUDLS in hybrid operations;
 - (3) handling characteristics, including:
 - (i) manual landing from automatic or HUDLS guided approach;
 - (ii) manual missed approach procedure from automatic approach; and
 - (iii) automatic/manual rollout.
- (e) Operators using the same aircraft type/class or variant of a type in accordance with (d) above may take credit from each other's experience and records in complying with this subparagraph.
- (f) Where an approval is sought for OTS CAT II, the same provisions as set out for CAT II should be applied.

GM1 SPA.LVO.105 LVO approval

CRITERIA FOR A SUCCESSFUL CAT II, OTS CAT II, CAT III APPROACH AND AUTOMATIC LANDING

- (a) The purpose of this GM is to provide operators with supplemental information regarding the criteria for a successful approach and landing to facilitate fulfilling the requirements prescribed in **SPA.LVO.105**.
- (b) An approach may be considered to be successful if:

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- (1) from 500 ft to start of flare:
 - (i) speed is maintained as specified in AMC-AWO 231, paragraph 2 'Speed Control'; and
 - (ii) no relevant system failure occurs;
 and
 - (2) from 300 ft to DH:
 - (i) no excess deviation occurs; and
 - (ii) no centralised warning gives a missed approach procedure command (if installed).
 - (c) An automatic landing may be considered to be successful if:
 - (1) no relevant system failure occurs;
 - (2) no flare failure occurs;
 - (3) no de-crab failure occurs (if installed);
 - (4) longitudinal touchdown is beyond a point on the runway 60 m after the threshold and before the end of the touchdown zone light (900 m from the threshold);
 - (5) lateral touchdown with the outboard landing gear is not outside the touchdown zone light edge;
 - (6) sink rate is not excessive;
 - (7) bank angle does not exceed a bank angle limit; and
 - (8) no rollout failure or deviation (if installed) occurs.
 - (d) More details can be found in CS-AWO 131, CS-AWO 231 and AMC-AWO 231.

GM1 SPA.LVO.110(c)(4)(i) General operating requirements

APPROVED VERTICAL FLIGHT PATH GUIDANCE MODE

The term 'approved' means that the vertical flight path guidance mode has been certified by the CAA as part of the avionics product.

AMC1 SPA.LVO.120 Flight crew training and qualifications

GENERAL PROVISIONS

- (a) The operator should ensure that flight crew member training programmes for LVO include structured courses of ground, FSTD and/or flight training.
 - (1) Flight crew members with no CAT II or CAT III experience should complete the full training programme prescribed in (b), (c), and (d) below.
 - (2) Flight crew members with CAT II or CAT III experience with a similar type of operation (auto-coupled/auto-land, HUDLS/hybrid HUDLS or EVS) or CAT II with manual land, if appropriate, with another EU operator may undertake an:
 - (i) abbreviated ground training course if operating a different type or class from that on which the previous CAT II or CAT III experience was gained;
 - (ii) abbreviated ground, FSTD and/or flight training course if operating the same type

or class and variant of the same type or class on which the previous CAT II or CAT III experience was gained. The abbreviated course should include at least the provisions of (d)(1), (d)(2)(i) or (d)(2)(ii) as appropriate and (d)(3)(i). The operator may reduce the number of approaches/landings required by (d)(2)(i) if the type/class or the variant of the type or class has the same or similar:

- (A) level of technology - flight control/guidance system (FGS);
- (B) operating procedures;
- (C) handling characteristics;
- (D) use of HUDLS/hybrid HUDLS; and
- (E) use of EVS,

as the previously operated type or class, otherwise the provisions of (d)(2)(i) should be met.

- (3) Flight crew members with CAT II or CAT III experience with the operator may undertake an abbreviated ground, FSTD and/or flight training course.

- (i) When changing aircraft type or class, the abbreviated course should include at least the provisions of (d)(1), (d)(2)(i) or (d)(2)(ii) as appropriate and (d)(3)(i).

- (ii) When changing to a different variant of aircraft within the same type or class rating that has the same or similar:

- (A) level of technology - FGS;
- (B) operating procedures - integrity;
- (C) handling characteristics;
- (D) use of HUDLS/Hybrid HUDLS; and
- (E) use of EVS,

as the previously operated type or class, a difference course or familiarisation appropriate to the change of variant should fulfil the abbreviated course provisions.

- (iii) When changing to a different variant of aircraft within the same type or class rating that has a significantly different:

- (A) level of technology - FGS;
- (B) operating procedures - integrity;
- (C) handling characteristics;
- (D) use of HUDLS/Hybrid HUDLS; or
- (E) use of EVS,

the provisions of (d)(1), (d)(2)(i) or (d)(2)(ii) as appropriate and (d)(3)(i) should be fulfilled.

- (4) The operator should ensure when undertaking CAT II or CAT III operations with different variant(s) of aircraft within the same type or class rating that the differences and/or similarities of the aircraft concerned justify such operations, taking into account at least the following:

- (i) the level of technology, including the:

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- (A) FGS and associated displays and controls;
 - (B) FMS and its integration or not with the FGS; and
 - (C) use of HUD/HUDLS with hybrid systems and/or EVS;
 - (ii) operating procedures, including:
 - (A) fail-passive / fail-operational, alert height;
 - (B) manual landing / automatic landing;
 - (C) no DH operations; and
 - (D) use of HUD/HUDLS with hybrid systems;
 - (iii) handling characteristics, including:
 - (A) manual landing from automatic HUDLS and/or EVS guided approach;
 - (B) manual missed approach procedure from automatic approach; and
 - (C) automatic/manual rollout.

GROUND TRAINING

- (b) The initial ground training course for LVO should include at least the following:
 - (1) characteristics and limitations of the ILS and/or MLS;
 - (2) characteristics of the visual aids;
 - (3) characteristics of fog;
 - (4) operational capabilities and limitations of the particular airborne system to include HUD symbology and EVS characteristics, if appropriate;
 - (5) effects of precipitation, ice accretion, low level wind shear and turbulence;
 - (6) effect of specific aircraft/system malfunctions;
 - (7) use and limitations of RVR assessment systems;
 - (8) principles of obstacle clearance requirements;
 - (9) recognition of and action to be taken in the event of failure of ground equipment;
 - (10) procedures and precautions to be followed with regard to surface movement during operations when the RVR is 400 m or less and any additional procedures required for take-off in conditions below 150 m;
 - (11) significance of DHs based upon radio altimeters and the effect of terrain profile in the approach area on radio altimeter readings and on the automatic approach/landing systems;
 - (12) importance and significance of alert height, if applicable, and the action in the event of any failure above and below the alert height;
 - (13) qualification requirements for pilots to obtain and retain approval to conduct LVOs; and
 - (14) importance of correct seating and eye position.

FSTD TRAINING AND/OR FLIGHT TRAINING

- (c) FSTD training and/or flight training
 - (1) FSTD and/or flight training for LVO should include at least:
 - (i) checks of satisfactory functioning of equipment, both on the ground and in flight;

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- (ii) effect on minima caused by changes in the status of ground installations;
 - (iii) monitoring of:
 - (A) automatic flight control systems and auto-land status annunciators with emphasis on the action to be taken in the event of failures of such systems; and
 - (B) HUD/HUDLS/EVS guidance status and annunciators as appropriate, to include head-down displays;
 - (iv) actions to be taken in the event of failures such as engines, electrical systems, hydraulics or flight control systems;
 - (v) the effect of known unserviceabilities and use of MELs;
 - (vi) operating limitations resulting from airworthiness certification;
 - (vii) guidance on the visual cues required at DH together with information on maximum deviation allowed from glide path or localiser; and
 - (viii) the importance and significance of alert height if applicable and the action in the event of any failure above and below the alert height.
- (2) Flight crew members should be trained to carry out their duties and instructed on the coordination required with other crew members. Maximum use should be made of suitably equipped FSTDs for this purpose.
 - (3) Training should be divided into phases covering normal operation with no aircraft or equipment failures but including all weather conditions that may be encountered and detailed scenarios of aircraft and equipment failure that could affect CAT II or III operations. If the aircraft system involves the use of hybrid or other special systems, such as HUD/HUDLS or enhanced vision equipment, then flight crew members should practise the use of these systems in normal and abnormal modes during the FSTD phase of training.
 - (4) Incapacitation procedures appropriate to LVTO, CAT II and CAT III operations should be practised.
 - (5) For aircraft with no FSTD available to represent that specific aircraft, operators should ensure that the flight training phase specific to the visual scenarios of CAT II operations is conducted in a specifically approved FSTD. Such training should include a minimum of four approaches. Thereafter, the training and procedures that are type specific should be practised in the aircraft.
 - (6) Initial CAT II and III training should include at least the following exercises:
 - (i) approach using the appropriate flight guidance, autopilots and control systems installed in the aircraft, to the appropriate DH and to include transition to visual flight and landing;
 - (ii) approach with all engines operating using the appropriate flight guidance systems, autopilots, HUDLS and/or EVS and control systems installed in the aircraft down to the appropriate DH followed by missed approach - all without external visual reference;
 - (iii) where appropriate, approaches utilising automatic flight systems to provide automatic flare, hover, landing and rollout; and
 - (iv) normal operation of the applicable system both with and without acquisition of visual cues at DH.

- (7) Subsequent phases of training should include at least:
 - (i) approaches with engine failure at various stages on the approach;
 - (ii) approaches with critical equipment failures, such as electrical systems, auto flight systems, ground and/or airborne ILS, MLS systems and status monitors;
 - (iii) approaches where failures of auto flight equipment and/or HUD/HUDLS/EVS at low level require either:
 - (A) reversion to manual flight to control flare, hover, landing and rollout or missed approach; or
 - (B) reversion to manual flight or a downgraded automatic mode to control missed approaches from, at or below DH including those which may result in a touchdown on the runway;
 - (iv) failures of the systems that will result in excessive localiser and/or glideslope deviation, both above and below DH, in the minimum visual conditions specified for the operation. In addition, a continuation to a manual landing should be practised if a head-up display forms a downgraded mode of the automatic system or the head-up display forms the only flare mode; and
 - (v) failures and procedures specific to aircraft type or variant.
- (8) The training programme should provide practice in handling faults which require a reversion to higher minima.
- (9) The training programme should include the handling of the aircraft when, during a fail-passive CAT III approach, the fault causes the autopilot to disconnect at or below DH when the last reported RVR is 300 m or less.
- (10) Where take-offs are conducted in RVRs of 400 m and below, training should be established to cover systems failures and engine failure resulting in continued as well as rejected take-offs.
- (11) The training programme should include, where appropriate, approaches where failures of the HUDLS and/or EVS equipment at low level require either:
 - (i) reversion to head down displays to control missed approach; or
 - (ii) reversion to flight with no, or downgraded, HUDLS guidance to control missed approaches from DH or below, including those which may result in a touchdown on the runway.
- (12) When undertaking LVTO, LTS CAT I, OTS CAT II, CAT II and CAT III operations utilising a HUD/HUDLS, hybrid HUD/HUDLS or an EVS, the training and checking programme should include, where appropriate, the use of the HUD/HUDLS in normal operations during all phases of flight.

CONVERSION TRAINING

- (d) Flight crew members should complete the following low visibility procedures (LVPs) training if converting to a new type or class or variant of aircraft in which LVTO, LTS CAT I, OTS CAT II, approach operations utilising EVS with an RVR of 800 m or less and CAT II and CAT III operations will be conducted. Conditions for abbreviated courses are prescribed in (a)(2), (a)(3) and (a)(4).

(1) Ground training

The appropriate provisions are as prescribed in (b), taking into account the flight crew member's CAT II and CAT III training and experience.

(2) FSTD training and/or flight training

- (i) A minimum of six, respectively eight for HUDLS with or without EVS, approaches and/or landings in an FSTD. The provisions for eight HUDLS approaches may be reduced to six when conducting hybrid HUDLS operations.
- (ii) Where no FSTD is available to represent that specific aircraft, a minimum of three, respectively five for HUDLS and/or EVS, approaches including at least one missed approach procedure is required on the aircraft. For hybrid HUDLS operations a minimum of three approaches is required, including at least one missed approach procedure.
- (iii) Appropriate additional training if any special equipment is required such as head-up displays or enhanced vision equipment. When approach operations utilising EVS are conducted with an RVR of less than 800 m, a minimum of five approaches, including at least one missed approach procedure are required on the aircraft.

(3) Flight crew qualification

The flight crew qualification provisions are specific to the operator and the type of aircraft operated.

- (i) The operator should ensure that each flight crew member completes a check before conducting CAT II or III operations.
- (ii) The check specified in (d)(3)(i) may be replaced by successful completion of the FSTD and/or flight training specified in (d)(2).

(4) Line flying under supervision

Flight crew member should undergo the following line flying under supervision (LIFUS):

- (i) For CAT II when a manual landing or a HUDLS approach to touchdown is required, a minimum of:
 - (A) three landings from autopilot disconnect; and
 - (B) four landings with HUDLS used to touchdown,except that only one manual landing, respectively two using HUDLS, to touchdown is required when the training required in (d)(2) has been carried out in an FSTD qualified for zero flight time conversion.
- (ii) For CAT III, a minimum of two auto-lands, except that:
 - (A) only one auto-land is required when the training required in (d)(2) has been carried out in an FSTD qualified for zero flight time conversion;
 - (B) no auto-land is required during LIFUS when the training required in (d)(2) has been carried out in an FSTD qualified for zero flight time (ZFT) conversion and the flight crew member successfully completed the ZFT type rating conversion course; and

- (C) the flight crew member, trained and qualified in accordance with (B), is qualified to operate during the conduct of LIFUS to the lowest approved DA/H and RVR as stipulated in the operations manual.

- (iii) For CAT III approaches using HUDLS to touchdown, a minimum of four approaches.

TYPE AND COMMAND EXPERIENCE

(e) Type and command experience

- (1) Before commencing CAT II operations, the following additional provisions should be applicable to pilots-in-command/commanders, or pilots to whom conduct of the flight may be delegated, who are new to the aircraft type or class:
 - (i) 50 hours or 20 sectors on the type, including LIFUS; and
 - (ii) 100 m should be added to the applicable CAT II RVR minima when the operation requires a CAT II manual landing or use of HUDLS to touchdown until:
 - (A) a total of 100 hours or 40 sectors, including LIFUS, has been achieved on the type; or
 - (B) a total of 50 hours or 20 sectors, including LIFUS, has been achieved on the type where the flight crew member has been previously qualified for CAT II manual landing operations with an EU operator;
 - (C) for HUDLS operations the sector provisions in (e)(1) and (e)(2)(i) should always be applicable; the hours on type or class do not fulfil the provisions.
- (2) Before commencing CAT III operations, the following additional provisions should be applicable to pilots-in-command/commanders, or pilots to whom conduct of the flight may be delegated, who are new to the aircraft type:
 - (i) 50 hours or 20 sectors on the type, including LIFUS; and
 - (ii) 100 m should be added to the applicable CAT II or CAT III RVR minima unless he/she has previously qualified for CAT II or III operations with an EU operator, until a total of 100 hours or 40 sectors, including LIFUS, has been achieved on the type.

RECURRENT TRAINING AND CHECKING

(f) Recurrent training and checking – LVO

- (1) The operator should ensure that, in conjunction with the normal recurrent training and operator's proficiency checks, the pilot's knowledge and ability to perform the tasks associated with the particular category of operation, for which the pilot is authorised by the operator, are checked. The required number of approaches to be undertaken in the FSTD within the validity period of the operator's proficiency check should be a minimum of two, respectively four when HUDLS and/or EVS is utilised to touchdown, one of which should be a landing at the lowest approved RVR. In addition one, respectively two for HUDLS and/or operations utilising EVS, of these approaches may be substituted by an approach and landing in the aircraft using approved CAT II and CAT III procedures. One missed approach should be flown during the conduct of an operator proficiency check. If the operator is approved to conduct take-off with RVR less than 150 m, at least one LVTO to the lowest applicable minima should be flown during the conduct of the operator's proficiency check.
- (2) For CAT III operations the operator should use an FSTD approved for this purpose.

- (3) For CAT III operations on aircraft with a fail-passive flight control system, including HUDLS, a missed approach should be completed by each flight crew member at least once over the period of three consecutive operator proficiency checks as the result of an autopilot failure at or below DH when the last reported RVR was 300 m or less.

LVTO OPERATIONS

- (g) LVTO with RVR less than 400 m
 - (1) Prior to conducting take-offs in RVRs below 400 m, the flight crew should undergo the following training:
 - (i) normal take-off in minimum approved RVR conditions;
 - (ii) take-off in minimum approved RVR conditions with an engine failure:
 - (A) for aeroplanes between V_1 and V_2 (take-off safety speed), or as soon as safety considerations permit;
 - (B) for helicopters at or after take-off decision point (TDP); and
 - (iii) take-off in minimum approved RVR conditions with an engine failure:
 - (A) for aeroplanes before V_1 resulting in a rejected take-off; and
 - (B) for helicopters before the TDP.
 - (2) The operator approved for LVTOs with an RVR below 150 m should ensure that the training specified by (g)(1) is carried out in an FSTD. This training should include the use of any special procedures and equipment.
 - (3) The operator should ensure that a flight crew member has completed a check before conducting LVTO in RVRs of less than 150 m. The check may be replaced by successful completion of the FSTD and/or flight training prescribed in (g)(1) on conversion to an aircraft type.

LTS CAT I, OTS CAT II, OPERATIONS UTILISING EVS

- (h) Additional training provisions
 - (1) General

Operators conducting LTS CAT I operations, OTS CAT II operations and operations utilising EVS with RVR of 800 m or less should comply with the provisions applicable to CAT II operations and include the provisions applicable to HUDLS, if appropriate. The operator may combine these additional provisions where appropriate provided that the operational procedures are compatible.
 - (2) LTS CAT I

During conversion training the total number of approaches should not be additional to the requirements of Subpart FC of Annex III (ORO.FC) provided the training is conducted utilising the lowest applicable RVR. During recurrent training and checking the operator may also combine the separate requirements provided the above operational procedure provision is met and at least one approach using LTS CAT I minima is conducted at least once every 18 months.
 - (3) OTS CAT II

During conversion training the total number of approaches should not be less than those to complete CAT II training utilising a HUD/HUDLS. During recurrent training and checking

the operator may also combine the separate provisions provided the above operational procedure provision is met and at least one approach using OTS CAT II minima is conducted at least once every 18 months.

- (4) Operations utilising EVS with RVR of 800 m or less

During conversion training the total number of approaches required should not be less than that required to complete CAT II training utilising a HUD. During recurrent training and checking the operator may also combine the separate provisions provided the above operational procedure provision is met and at least one approach utilising EVS is conducted at least once every 12 months.

GM1 SPA.LVO.120 Flight crew training and qualifications

FLIGHT CREW TRAINING

The number of approaches referred to in **AMC1 SPA.LVO.120(g)(1)** includes one approach and landing that may be conducted in the aircraft using approved CAT II/III procedures. This approach and landing may be conducted in normal line operation or as a training flight.

AMC1 SPA.LVO.125 Operating procedures

GENERAL

- (a) LVOs should include the following:
- (1) manual take-off, with or without electronic guidance systems or HUDLS/hybrid HUD/HUDLS;
 - (2) approach flown with the use of a HUDLS/hybrid HUD/HUDLS and/or EVS;
 - (3) auto-coupled approach to below DH, with manual flare, hover, landing and rollout;
 - (4) auto-coupled approach followed by auto-flare, hover, auto-landing and manual rollout; and
 - (5) auto-coupled approach followed by auto-flare, hover, auto-landing and auto-rollout, when the applicable RVR is less than 400 m.

PROCEDURES AND INSTRUCTIONS

- (b) The operator should specify detailed operating procedures and instructions in the operations manual or procedures manual.
- (1) The precise nature and scope of procedures and instructions given should depend upon the airborne equipment used and the flight deck procedures followed. The operator should clearly define flight crew member duties during take-off, approach, flare, hover, rollout and missed approach in the operations manual or procedures manual. Particular emphasis should be placed on flight crew responsibilities during transition from non-visual conditions to visual conditions, and on the procedures to be used in deteriorating visibility or when failures occur. Special attention should be paid to the distribution of flight deck duties so as to ensure that the workload of the pilot making the decision to land or execute a missed approach enables him/her to devote himself/herself to supervision and the decision making process.
 - (2) The instructions should be compatible with the limitations and mandatory procedures

contained in the AFM and cover the following items in particular:

- (i) checks for the satisfactory functioning of the aircraft equipment, both before departure and in flight;
- (ii) effect on minima caused by changes in the status of the ground installations and airborne equipment;
- (iii) procedures for the take-off, approach, flare, hover, landing, rollout and missed approach;
- (iv) procedures to be followed in the event of failures, warnings to include HUD/HUDLS/EVS and other non-normal situations;
- (v) the minimum visual reference required;
- (vi) the importance of correct seating and eye position;
- (vii) action that may be necessary arising from a deterioration of the visual reference;
- (viii) allocation of crew duties in the carrying out of the procedures according to (b)(2)(i) to (iv) and (vi), to allow the pilot-in-command/commander to devote himself/herself mainly to supervision and decision making;
- (ix) the rule for all height calls below 200 ft to be based on the radio altimeter and for one pilot to continue to monitor the aircraft instruments until the landing is completed;
- (x) the rule for the localiser sensitive area to be protected;
- (xi) the use of information relating to wind velocity, wind shear, turbulence, runway contamination and use of multiple RVR assessments;
- (xii) procedures to be used for:
 - (A) LTS CAT I;
 - (B) OTS CAT II;
 - (C) approach operations utilising EVS; and
 - (D) practice approaches and landing on runways at which the full CAT II or CAT III aerodrome procedures are not in force;
- (xiii) operating limitations resulting from airworthiness certification; and
- (xiv) information on the maximum deviation allowed from the ILS glide path and/or localiser.

SUBPART F: EXTENDED RANGE OPERATIONS WITH TWO-ENGINE AEROPLANES (ETOPS)

GM1 SPA.ETOPS.105 ETOPS operational approval

AMC 20-6

AMC 20-6 provides further criteria for the operational approval of ETOPS.

SUBPART G: TRANSPORT OF DANGEROUS GOODS

AMC1 SPA.DG.105(a) Approval to transport dangerous goods

TRAINING PROGRAMME

- (a) The operator should indicate for the approval of the training programme how the training will be carried out. For formal training courses, the course objectives, the training programme syllabus/curricula and examples of the written examination to be undertaken should be included.
- (b) Instructors should have knowledge of training techniques as well as in the field of transport of dangerous goods by air so that the subject is covered fully and questions can be adequately answered.
- (c) Training intended to give general information and guidance may be by any means including handouts, leaflets, circulars, slide presentations, videos, computer-based training, etc., and may take place on-the-job or off-the-job. The person being trained should receive an overall awareness of the subject. This training should include a written, oral or computer-based examination covering all areas of the training programme, showing that a required minimum level of knowledge has been acquired.
- (d) Training intended to give an in-depth and detailed appreciation of the whole subject or particular aspects of it should be by formal training courses, which should include a written examination, the successful passing of which will result in the issue of the proof of qualification. The course may be by means of tuition, as a self-study programme, or a mixture of both. The person being trained should gain sufficient knowledge so as to be able to apply the detailed rules of the Technical Instructions.
- (e) Training in emergency procedures should include as a minimum:
 - (1) for personnel other than crew members:
 - (i) dealing with damaged or leaking packages; and
 - (ii) other actions in the event of ground emergencies arising from dangerous goods;
 - (2) for flight crew members:
 - (i) actions in the event of emergencies in flight occurring in the passenger compartment or in the cargo compartments; and
 - (ii) the notification to ATS should an in-flight emergency occur;
 - (3) for crew members other than flight crew members:
 - (i) dealing with incidents arising from dangerous goods carried by passengers; or
 - (ii) dealing with damaged or leaking packages in flight.
- (f) Training should be conducted at intervals of no longer than 2 years. If the recurrent training is undertaken within the last 3 calendar months of the validity period, the new validity period should be counted from the original expiry date.

AMC1 SPA.DG.105(b) Approval to transport dangerous goods

PROVISION OF INFORMATION IN THE EVENT OF AN IN-FLIGHT EMERGENCY

If an in-flight emergency occurs the pilot-in-command/commander should, as soon as the situation permits, inform the appropriate ATS unit of any dangerous goods carried as cargo on board the aircraft, as specified in the Technical Instructions.

GM1 SPA.DG.105(b)(6) Approval to transport dangerous goods

PERSONNEL

Personnel include all persons involved in the transport of dangerous goods, whether they are employees of the operator or not.

AMC1 SPA.DG.110(a) Dangerous goods information and documentation

INFORMATION TO THE PILOT-IN-COMMAND/COMMANDER

If the volume of information provided to the pilot-in-command/commander by the operator is such that it would be impracticable to transmit it in the event of an in-flight emergency, an additional summary of the information should also be provided, containing at least the quantities and class or division of the dangerous goods in each cargo compartment.

AMC1 SPA.DG.110(b) Dangerous goods information and documentation

ACCEPTANCE OF DANGEROUS GOODS

- (a) The operator should not accept dangerous goods unless:
 - (1) the package, overpack or freight container has been inspected in accordance with the acceptance procedures in the Technical Instructions;
 - (2) they are accompanied by two copies of a dangerous goods transport document or the information applicable to the consignment is provided in electronic form, except when otherwise specified in the Technical Instructions; and
 - (3) the English language is used for:
 - (i) package marking and labelling; and
 - (ii) the dangerous goods transport document,in addition to any other language provision.
- (b) The operator or his/her handling agent should use an acceptance checklist which allows for:
 - (1) all relevant details to be checked; and
 - (2) the recording of the results of the acceptance check by manual, mechanical or computerised means.

SUBPART H: HELICOPTER OPERATIONS WITH NIGHT VISION IMAGING SYSTEMS

AMC1 SPA.NVIS.110(b) Equipment requirements for NVIS operations

RADIO ALTIMETER

- (a) The radio altimeter should:
 - (1) be of an analogue type display presentation that requires minimal interpretation for both an instantaneous impression of absolute height and rate of change of height;
 - (2) be positioned to be instantly visible and discernable from each cockpit crew station;
 - (3) have an integral audio and visual low height warning that operates at a height selectable by the pilot; and
 - (4) provide unambiguous warning to the crew of radio altimeter failure.
- (b) The visual warning should provide:
 - (1) clear visual warning at each cockpit crew station of height below the pilot-selectable height; and
 - (2) adequate attention-getting-capability for typical NVIS operations.
- (c) The audio warning should:
 - (1) be unambiguous and readily cancellable;
 - (2) not extinguish any visual low height warnings when cancelled; and
 - (3) operate at the same pilot-selectable height as the visual warning.

GM1 SPA.NVIS.110(b) Equipment requirements for NVIS operations

RADIO ALTIMETER

An analogue type display presentation may be, for example, a representation of a dial, ribbon or bar, but not a display that provides numbers only. An analogue type display may be embedded into an electronic flight instrumentation system (EFIS).

GM1 SPA.NVIS.110(f) Equipment requirements for NVIS operations

MODIFICATION OR MAINTENANCE TO THE HELICOPTER

It is important that the operator reviews and considers all modifications or maintenance to the helicopter with regard to the NVIS airworthiness approval. Special emphasis needs to be paid to modification and maintenance of equipment such as light emitting or reflecting devices, transparencies and avionics equipment, as the function of this equipment may interfere with the NVGs.

GM1 SPA.NVIS.130(e) Crew requirements for NVIS operations

UNDERLYING ACTIVITY

Examples of an underlying activity are:

- (a) commercial air transport (CAT);
- (b) helicopter emergency medical service (HEMS); and
- (c) helicopter hoist operation (HHO).

GM2 SPA.NVIS.130(e) Crew requirements for NVIS operations

OPERATIONAL APPROVAL

- (a) When determining the composition of the minimum crew, the CAA should take account of the type of operation that is to be conducted. The minimum crew should be part of the operational approval.
- (b) If the operational use of NVIS is limited to the en-route phase of a CAT flight, a single-pilot operation may be approved.
- (c) Where operations to/from a HEMS operating site are to be conducted, a crew of at least one pilot and one NVIS technical crew member would be necessary (this may be the suitably qualified HEMS technical crew member).
- (d) A similar assessment may be made for night HHO, when operating to unprepared sites.

AMC1 SPA.NVIS.130(f)(1) Crew requirements for NVIS operations

TRAINING AND CHECKING SYLLABUS

- (a) The flight crew training syllabus should include the following items:
 - (1) NVIS working principles, eye physiology, vision at night, limitations and techniques to overcome these limitations;
 - (2) preparation and testing of NVIS equipment;
 - (3) preparation of the helicopter for NVIS operations;
 - (4) normal and emergency procedures including all NVIS failure modes;
 - (5) maintenance of unaided night flying;
 - (6) crew coordination concept specific to NVIS operations;
 - (7) practice of the transition to and from NVG procedures;
 - (8) awareness of specific dangers relating to the operating environment; and
 - (9) risk analysis, mitigation and management.
- (b) The flight crew checking syllabus should include:
 - (1) night proficiency checks, including emergency procedures to be used on NVIS operations; and
 - (2) line checks with special emphasis on the following:
 - (i) local area meteorology;

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- (ii) NVIS flight planning;
 - (iii) NVIS in-flight procedures;
 - (iv) transitions to and from night vision goggles (NVG);
 - (v) normal NVIS procedures; and
 - (vi) crew coordination specific to NVIS operations.
- (c) Whenever the crew is required to also consist of an NVIS technical crew member, he/she should be trained and checked in the following items:
- (1) NVIS working principles, eye physiology, vision at night, limitations, and techniques to overcome these limitations;
 - (2) duties in the NVIS role, with and without NVGs;
 - (3) the NVIS installation;
 - (4) operation and use of the NVIS equipment;
 - (5) preparing the helicopter and specialist equipment for NVIS operations;
 - (6) normal and emergency procedures;
 - (7) crew coordination concepts specific to NVIS operations;
 - (8) awareness of specific dangers relating to the operating environment; and
 - (9) risk analysis, mitigation and management.

AMC1 SPA.NVIS.130(f) Crew requirements

CHECKING OF NVIS CREW MEMBERS

The checks required in **SPA.NVIS.130** (f) may be combined with those checks required for the underlying activity.

GM1 SPA.NVIS.130(f) Crew requirements

TRAINING GUIDELINES AND CONSIDERATIONS

(a) Purpose

The purpose of this GM is to recommend the minimum training guidelines and any associated considerations necessary for the safe operation of a helicopter while operating with night vision imaging systems (NVISs).

To provide an appropriate level of safety, training procedures should accommodate the capabilities and limitations of the NVIS and associated systems as well as the restraints of the operational environment.

(b) Assumptions

The following assumptions were used in the creation of this material:

- (1) Most civilian operators may not have the benefit of formal NVIS training, similar to that offered by the military. Therefore, the stated considerations are predicated on that individual who has no prior knowledge of NVIS or how to use them in flight. The degree to which other applicants who have had previous formal training should be exempted from this training will be dependent on their prior NVIS experience.
 - (2) While NVIS are principally an aid to flying under VFR at night, the two-dimensional nature of the NVG image necessitates frequent reference to the flight instruments for spatial and situational awareness information. The reduction of peripheral vision and increased reliance on focal vision exacerbates this requirement to monitor flight instruments. Therefore, any basic NVIS training syllabus should include some instruction on basic instrument flight.
- (c) Two-tiered approach: basic and advance training
- To be effective, the NVIS training philosophy would be based on a two-tiered approach: basic and advanced NVIS training. The basic NVIS training would serve as the baseline standard for all individuals seeking an NVIS endorsement. The content of this initial training would not be dependent on any operational requirements. The training required for any individual pilot should take into account the previous NVIS flight experience. The advanced training would build on the basic training by focusing on developing specialised skills required to operate a helicopter during NVIS operations in a particular operational environment. Furthermore, while there is a need to stipulate minimum flight hour requirements for an NVIS endorsement, the training should also be event-based. This necessitates that operators be exposed to all of the relevant aspects, or events, of NVIS flight in addition to acquiring a minimum number of flight hours. NVIS training should include flight in a variety of actual ambient light and weather conditions.
- (d) Training requirements
- (1) Flight crew ground training

The ground training necessary to initially qualify a pilot to act as the pilot of a helicopter using NVGs should include at least the following subjects:

 - (i) applicable aviation regulations that relate to NVIS limitations and flight operations;
 - (ii) aero-medical factors relating to the use of NVGs to include how to protect night vision, how the eyes adapt to operate at night, self-imposed stresses that affect night vision, effects of lighting (internal and external) on night vision, cues utilized to estimate distance and depth perception at night, and visual illusions;
 - (iii) NVG performance and scene interpretation;
 - (iv) normal, abnormal, and emergency operations of NVGs; and
 - (v) NVIS operations flight planning to include night terrain interpretation and factors affecting terrain interpretation.

The ground training should be the same for flight crew and crew members other than flight crew. An example of a ground training syllabus is presented in Table 1 of **GM2 SPA.NVIS.130(f)**.
 - (2) Flight crew flight training

The flight training necessary to initially qualify a pilot to act as the pilot of a helicopter using NVGs may be performed in a helicopter or FSTD approved for the purpose, and should include at least the following subjects:

- (i) preparation and use of internal and external helicopter lighting systems for NVIS operations;
- (ii) pre-flight preparation of NVGs for NVIS operations;
- (iii) proper piloting techniques (during normal, abnormal, and emergency helicopter operations) when using NVGs during the take-off, climb, en-route, descent, and landing phases of flight that includes unaided flight and aided flight; and
- (iv) normal, abnormal, and emergency operations of the NVIS during flight.

Crew members other than flight crew should be involved in relevant parts of the flight training. An example of a flight training syllabus is presented in Table 1 of **GM3 SPA.NVIS.130(f)**.

(3) Training crew members other than flight crew

Crew members other than flight crew (including the technical crew member) should be trained to operate around helicopters employing NVIS. These individuals should complete all phases of NVIS ground training that is given to flight crew. Due to the importance of crew coordination, it is imperative that all crew members are familiar with all aspects of NVIS flight. Furthermore, these crew members may have task qualifications specific to their position in the helicopter or areas of responsibility. To this end, they should demonstrate competency in those areas, both on the ground and in flight.

(4) Ground personnel training

Non-flying personnel who support NVIS operations should also receive adequate training in their areas of expertise. The purpose is to ensure, for example, that correct light discipline is used when helicopters are landing in a remote area.

(5) Instructor qualifications

An NVIS flight instructor should at least have the following licences and qualifications:

- (i) at least flight instructor (FI(H)) or type rating instructor (TRI(H)) with the applicable type rating on which NVIS training will be given; and
- (ii) logged at least 100 NVIS flights or 30 hours' flight time under NVIS as pilot-in-command/commander.

(6) NVIS equipment minimum requirements (training)

While minimum equipment lists and standard NVIS equipment requirements may be stipulated elsewhere, the following procedures and minimum equipment requirements should also be considered:

- (i) NVIS: the following is recommended for minimum NVIS equipment and procedural requirements:
 - (A) back-up power supply;
 - (B) NVIS adjustment kit or eye lane;
 - (C) use of helmet with the appropriate NVG attachment; and
 - (D) both the instructor and student should wear the same NVG type, generation and model.

- (ii) Helicopter NVIS compatible lighting, flight instruments and equipment: given the limited peripheral vision cues and the need to enhance situational awareness, the following is recommended for minimum compatible lighting requirements:
- (A) NVIS compatible instrument panel flood lighting that can illuminate all essential flight instruments;
 - (B) NVIS compatible hand-held utility lights;
 - (C) portable NVIS compatible flashlight;
 - (D) a means for removing or extinguishing internal NVIS non-compatible lights;
 - (E) NVIS pre-flight briefing/checklist (an example of an NVIS pre-flight briefing/checklist is in Table 1 of **GM4 SPA.NVIS.130(f)**);
 - (F) training references:
- a number of training references are available, some of which are listed below:
- DO 295 US CONOPS civil operator training guidelines for integrated NVIS equipment
 - United States Marine Corp MAWTS-1 Night Vision Device (NVD) Manual;
 - U.S. Army Night Flight (TC 1-204);
 - U.S. Army NVIS Operations, Exportable Training Package;
 - U.S. Army TM 11-5855-263-10;
 - Air Force TO 12S10-2AVS6-1;
 - Navy NAVAIR 16-35AVS-7; and
 - U.S. Border Patrol, Helicopter NVIS Ground and Flight Training Syllabus.
- There may also be further documents available from European civil or military sources.

GM2 SPA.NVIS.130(f) Crew requirements

INSTRUCTION – GROUND TRAINING AREAS OF INSTRUCTION

A detailed example of possible subjects to be instructed in an NVIS ground instruction is included below. (The exact details may not always be applicable, e.g. due to goggle configuration differences.)

Table 1

Ground training areas of instruction

| Item | Subject Area | Subject Details | Recommended Time |
|------|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| 1 | General anatomy and characteristics of the eye | Anatomy: <ul style="list-style-type: none"> — Overall structure of the eye — Cones — Rods Visual deficiencies: <ul style="list-style-type: none"> — myopia | 1 hour |

| Item | Subject Area | Subject Details | Recommended Time |
|------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| | | <ul style="list-style-type: none"> — hyperopia — astigmatism — presbyopia <p>Effects of light on night vision & NV protection physiology:</p> <ul style="list-style-type: none"> — Light levels <ul style="list-style-type: none"> — illumination — luminance — reflectance — contrast — Types of vision: <ul style="list-style-type: none"> — photopic — mesopic — scotopic — Day versus night vision — Dark adaptation process: <ul style="list-style-type: none"> — dark adaptation — pre-adaptive state — Purkinje shift — Ocular chromatic aberration — Photochromatic interval | |
| 2 | Night vision human factors | <ul style="list-style-type: none"> — Night blind spot (as compared to day blind spot) — Field of view and peripheral vision — Distance estimation and depth perception: <ul style="list-style-type: none"> — monocular cues — motion parallax — geometric perspective — size constancy — overlapping contours or interposition of objects — Aerial perspective: <ul style="list-style-type: none"> — variations in colour or shade — loss of detail or texture — position of light source — direction of shadows — Binocular cues — Night vision techniques: <ul style="list-style-type: none"> — off-centre vision — scanning — shapes and silhouettes | 1 hour |
| | | <ul style="list-style-type: none"> — Vestibular illusions — Somatogyral illusions: <ul style="list-style-type: none"> — leans — graveyard spin — coriolis illusion — Somatogravic illusions: <ul style="list-style-type: none"> — oculographic illusions — elevator illusion — oculoagravic illusions — Proprioceptive illusions — Dealing with spatial disorientation — Visual illusions: <ul style="list-style-type: none"> — auto kinetic illusion | |

| Item | Subject Area | Subject Details | Recommended Time |
|------|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| | | <ul style="list-style-type: none"> — confusion with ground lights — relative motion — reversible perspective illusion — false vertical and horizontal cues — altered planes of reference — height /depth perception illusion — flicker vertigo — fascination (fixation) — structural illusions — size-distance illusion — Helicopter design limitations: <ul style="list-style-type: none"> — windscreen condition — helicopter instrument design — helicopter structural obstruction — interior lights — exterior lights — Self-imposed stresses: <ul style="list-style-type: none"> — drugs — exhaustion — alcohol — tobacco — hypoglycaemia — injuries — physical fitness — Stress & fatigue: <ul style="list-style-type: none"> — acute vs. chronic — prevention — Hypoxia issues and night vision — Weather/environmental conditions: <ul style="list-style-type: none"> — snow (white-out) — dust (brown-out) — haze — fog — rain — light level — Astronomical lights (moon, star, northern lights) — Effects of cloud cover | |
| 3 | NVIS general characteristics | <ul style="list-style-type: none"> — Definitions and types of NVIS: <ul style="list-style-type: none"> — light spectrum — types of NVIS — Thermal-imaging devices — Image-intensifier devices — Image-intensifier operational theory — Types of image intensifier systems: <ul style="list-style-type: none"> — generation 1 — generation 2 — generation 3 — generation 4 — type I / II — class A & B minus blue filter — NVIS equipment <ul style="list-style-type: none"> — shipping and storage case — carrying case | 1 hour |

| Item | Subject Area | Subject Details | Recommended Time |
|------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| | | <ul style="list-style-type: none"> — binocular assembly — lens caps — lens paper — operators manual — power pack (dual battery) — batteries — Characteristics of NVIS: <ul style="list-style-type: none"> — light amplification — light intensification — frequency sensitivity — visual range acuity — unaided peripheral vision — weight — flip-up device — break-away feature — neck cord — maintenance issues — human factor issues — Description and functions of NVIS components: <ul style="list-style-type: none"> — helmet visor cover and extension strap — helmet NVIS mount and attachment points — different mount options for various helmets — lock release button — vertical adjustment knob — low battery indicator — binocular assembly — monocular tubes — fore and aft adjustment knob — eye span knob — tilt adjustment lever — objective focus rings — eyepiece focus rings — battery pack | |
| 4 | NVIS care & cleaning | <ul style="list-style-type: none"> — Handling procedures — NVIS operating instructions: <ul style="list-style-type: none"> — pre-mounting inspection — mounting procedures — focusing procedures — faults — Post-flight procedures; — Deficiencies: type and recognition of faults: <ul style="list-style-type: none"> — acceptable faults — black spots — chicken wire — fixed pattern noise (honeycomb effect) — output brightness variation — bright spots — image disparity — image distortion — emission points — unacceptable faults: | 1 hour |

| Item | Subject Area | Subject Details | Recommended Time |
|------|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| | | <ul style="list-style-type: none"> — shading — edge glow — flashing, flickering or intermittent operation — Cleaning procedures — Care of batteries — Hazardous material considerations; | |
| 5 | Pre- & post-flight procedures | <ul style="list-style-type: none"> — Inspect NVIS — Carrying case condition — Nitrogen purge due date — Collimation test due date — Screens diagram(s) of any faults — NVIS kit: complete — NVIS binocular assembly condition — Battery pack and quick disconnect condition — Batteries life expended so far — Mount battery pack onto helmet: <ul style="list-style-type: none"> — verify no LED showing (good battery) — fail battery by opening cap and LED illuminates (both compartments) — Mount NVIS onto helmet — Adjust and focus NVIS — Eye-span to known inter-pupillary distance — Eye piece focus ring to zero — Adjustments: <ul style="list-style-type: none"> — vertical — fore and aft — tilt — eye-span (fine-tuning) — Focus (one eye at a time at 20 ft, then at 30 ft from an eye chart) <ul style="list-style-type: none"> — objective focus ring — eye piece focus ring — verify both images are harmonised — read eye-chart 20/40 line from 20 ft — NVIS mission planning — NVIS light level planning — NVIS risk assessment | 1 hour |
| 6 | NVIS terrain interpretation and environmental factors | <ul style="list-style-type: none"> — Night terrain interpretation — Light sources: <ul style="list-style-type: none"> — natural — lunar — solar — starlight — northern lights — artificial — cultural — infra-red — Meteorological conditions: <ul style="list-style-type: none"> — clouds/fog — indications of restriction to visibility: <ul style="list-style-type: none"> — loss of celestial lights — loss of ground lights | 1 hour |

| Item | Subject Area | Subject Details | Recommended Time |
|------|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| | | <ul style="list-style-type: none"> — reduced ambient light levels — reduced visual acuity — increase in video noise — increase in halo effect — Cues for visual recognition: <ul style="list-style-type: none"> — object size — object shape — contrast — ambient light — colour — texture — background — reflectivity — Factors affecting terrain interpretation: <ul style="list-style-type: none"> — ambient light — flight altitudes — terrain type — Seasons — Night navigation cues: <ul style="list-style-type: none"> — terrain relief — vegetation — hydrographical features — cultural features | |
| 7 | NVIS training & equipment requirements | <p>Cover the relevant regulations and guidelines that pertain to night and NVIS flight to include as a minimum:</p> <ul style="list-style-type: none"> — Crew experience requirements; — Crew training requirements; — Airspace requirements; — Night / NVIS MEL; — NVIS / night weather limits; — NVIS equipment minimum standard requirements. | 1 hour |
| 8 | NVIS emergency procedures | <p>Cover relevant emergency procedures:</p> <ul style="list-style-type: none"> — Inadvertent IMC procedures — NVIS goggle failure — Helicopter emergencies: <ul style="list-style-type: none"> — with goggles — transition from goggles | 1 hour |
| 9 | NVIS flight techniques | Respective flight techniques for each phase of flight for the type and class of helicopter used for NVIS training | 1 hour |
| 10 | Basic instrument techniques | <p>Present and confirm understanding of basic instrument flight techniques:</p> <ul style="list-style-type: none"> — Instrument scan — Role of instruments in NVIS flight — Unusual attitude recovery procedures | 1 hour |
| 11 | Blind cockpit drills | <p>Perform blind cockpit drills:</p> <ul style="list-style-type: none"> — Switches — Circuit breakers — Exit mechanisms — External / internal lighting — Avionics | 1 hour |

GM3 SPA.NVIS.130(f) Crew requirements

FLIGHT TRAINING – AREAS OF INSTRUCTION

A detailed example of possible subjects to be instructed in a NVIS flight instruction is included below.

Table 1

Flight training areas of instruction

| Item | Subject Area | Subject Details | Recommended Time |
|------|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| 1 | Ground operations | <ul style="list-style-type: none"> — NVIS equipment assembly — Pre-flight inspection of NVISs — Helicopter pre-flight — NVIS flight planning: <ul style="list-style-type: none"> — light level planning — meteorology — obstacles and known hazards — risk analysis matrix — CRM concerns — NVIS emergency procedures review — Start-up/shut down — Goggling and degoggling | 1 hour |
| 2 | General handling | <ul style="list-style-type: none"> — Level turns, climbs, and descents — For helicopters, confined areas and sloped landings — Operation specific flight tasks — Transition from aided to unaided flight — Demonstration of NVIS related ambient and cultural effects | 1 hour |
| 3 | Take-offs & landings | <ul style="list-style-type: none"> — At both improved illuminated areas such as airports/airfields and unimproved unlit areas such as open fields — Traffic pattern — Low speed manoeuvres for helicopters | 1 hour |
| 4 | Navigation | <ul style="list-style-type: none"> — Navigation over variety of terrain and under different cultural lighting conditions | 1 hour |
| 5 | Emergency procedures | <ul style="list-style-type: none"> — Goggle failure — Helicopter emergencies — Inadvertent IMC — Unusual attitude recovery | 1 hour |

GM4 SPA.NVIS.130(f) Crew requirements

NVIS PRE-FLIGHT BRIEFING/CHECKLIST

A detailed example of a pre-flight briefing/checklist is included below.

Table 1

NVIS pre-flight briefing/checklist

| Item | Subject |
|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Weather: — METAR/forecast — Cloud cover/dew point spread/precipitation |
| 2 | OPS items: — NOTAMs — IFR publications backup/maps — Goggles adjusted using test set (RTCA Document DO-275 [NVIS MOPS], Appendices G & H give suggested NVG pre-flight and adjustment procedures and a ground test checklist) |
| 3 | Ambient light: — Moon rise/set/phase/position/elevation — % illumination and millilux (MLX) for duration of flight — Recommended minimum MLX: 1.5 |
| 4 | Mission: — Mission outline — Terrain appreciation — Detailed manoeuvres — Flight timings — Start/airborne/debrief — Airspace coordination for NVIS — Obstacles/minimum safe altitude — NVIS goggle up/degoggle location/procedure — Instrument IFR checks |
| 5 | Crew: — Crew day/experience — Crew position — Equipment: NVIS, case, video, flashlights — Lookout duties: left hand seat (LHS) – from 90° left to 45° right, RHS – from 90° right to 45° left; — Calling of hazards/movements landing light — Transfer of control terminology — Below 100 ft AGL – pilot monitoring (PM) ready to assume control |
| 6 | Helicopter: — Helicopter configuration — Fuel and CG |
| 7 | Emergencies: — NVIS failure: cruise and low level flight — Inadvertent IMC/IFR recovery — Helicopter emergency: critical & non-critical |

AMC1 SPA.NVIS.140 Information and documentation

OPERATIONS MANUAL

The operations manual should include:

- equipment to be carried and its limitations;
- the minimum equipment list (MEL) entry covering the equipment specified;
- risk analysis, mitigation and management;

- (d) pre- and post-flight procedures and documentation;
- (e) selection and composition of crew;
- (f) crew coordination procedures, including:
 - (1) flight briefing;
 - (2) procedures when one crew member is wearing NVG and/or procedures when two or more crew members are wearing NVGs;
 - (3) procedures for the transition to and from NVIS flight;
 - (4) use of the radio altimeter on an NVIS flight; and
 - (5) inadvertent instrument meteorological conditions (IMC) and helicopter recovery procedures, including unusual attitude recovery procedures;
- (g) the NVIS training syllabus;
- (h) in-flight procedures for assessing visibility, to ensure that operations are not conducted below the minima stipulated for non-assisted night VFR operations;
- (i) weather minima, taking the underlying activity into account; and
- (j) the minimum transition heights to/from an NVIS flight.

GM1 SPA.NVIS.140 Information and documentation

CONCEPT OF OPERATIONS

Night Vision Imaging System for Civil Operators

Foreword

This document, initially incorporated in JAA TGL-34, prepared by a Sub-Group of EUROCAE Working Group 57 “Night Vision Imaging System (NVIS) Standardisation” is an abbreviated and modified version of the RTCA Report DO-268 “Concept Of Operations – Night Vision Imaging Systems For Civil Operators” which was prepared in the USA by RTCA Special Committee 196 (SC-196) and approved by the RTCA Technical Management Committee in March 2001.

The EUROCAE Working Group 57 (WG-57) Terms of Reference included a task to prepare a Concept of Operations (CONOPS) document describing the use of NVIS in Europe. To complete this task, a Sub-Group of WG-57 reviewed the RTCA SC-196 CONOPS (DO-268) to assess its applicability for use in Europe. Whilst the RTCA document was considered generally applicable, some of its content, such as crew eligibility and qualifications and the detail of the training requirements, was considered to be material more appropriately addressed in Europe by at that time other Joint Aviation Requirements (JAR) documents such as JAR-OPS and JAR-FCL. Consequently, WG-57 condensed the RTCA CONOPS document by removing this material which is either already addressed by other JAR documents or will be covered by the CAA’s documents in the future.

In addition, many of the technical standards already covered in the Minimum Operational Performance Standards (MOPS) for Integrated Night Vision Imaging System Equipment (DO-275) have been deleted in this European CONOPS.

Executive summary

The hours of darkness add to a pilot’s workload by decreasing those visual cues commonly used during daylight operations. The decreased ability of a pilot to see and avoid obstructions at night has been a subject of discussion since aviators first attempted to operate at night. Technology advancements in the late 1960s and early 1970s provided military aviators some limited ability to see at night and

therein changed the scope of military night operations. Continuing technological improvements have advanced the capability and reliability of night vision imaging systems to the point that they are receiving increasing scrutiny are generally accepted by the public and are viewed by many as a tool for night flight.

Simply stated, night vision imaging systems are an aid to night VFR flight. Currently, such systems consist of a set of night vision goggles and normally a complimentary array of cockpit lighting modifications. The specifications of these two sub-system elements are interdependent and, as technology advances, the characteristics associated with each element are expected to evolve. The complete description and performance standards of the night vision goggles and cockpit lighting modifications appropriate to civil aviation are contained in the Minimum Operational Performance Standards for Integrated Night Vision Imaging System Equipment.

An increasing interest on the part of civil operators to conduct night operations has brought a corresponding increased level of interest in employing night vision imaging systems. However, the night vision imaging systems do have performance limitations. Therefore, it is incumbent on the operator to employ proper training methods and operating procedures to minimise these limitations to ensure safe operations. In turn, operators employing night vision imaging systems must have the guidance and support of their regulatory agency in order to safely train and operate with these systems.

The role of the regulatory agencies in this matter is to develop the technical standard orders for the hardware as well as the advisory material and inspector handbook materials for the operations and training aspect. In addition, those agencies charged with providing flight weather information should modify their products to include the night vision imaging systems flight data elements not currently provided.

An FAA study (DOT/FAA/RD-94/21, 1994) best summarised the need for night vision imaging systems by stating, “When properly used, NVGs can increase safety, enhance situational awareness, and reduce pilot workload and stress that are typically associated with night operations.”

2. TERMINOLOGY

2.1 Night vision goggles

An NVG is a binocular appliance that amplifies ambient light and is worn by a pilot. The NVG enhances the wearer’s ability to maintain visual surface reference at night.

2.1.1 Type

Type refers to the design of the NVG with regards to the manner in which the image is relayed to the pilot. A Type 1 NVG is one in which the image is viewed directly in-line with the image intensification process. A Type 1 NVG is also referred to as “direct view” goggle. A Type 2 NVG is one in which the image intensifier is not in-line with the image viewed by the pilot. In this design, the image may be reflected several times before being projected onto a combiner in front of the pilot’s eyes. A Type 2 NVG is also referred to as an “indirect view” goggle.

2.1.2 Class

Class is a terminology used to describe the filter present on the NVG objective lens. The filter restricts the transmission of light below a determined frequency. This allows the cockpit lighting to be designed and installed in a manner that does not adversely affect NVG performance.

2.1.2.1 Class A

Class A or “minus blue” NVGs incorporate a filter, which generally imposes a 625 nanometer cutoff. Thus, the use of colours in the cockpit (e.g., colour displays, colour

warning lights, etc.) may be limited. The blue green region of the light spectrum is allowed through the filter.

2.1.2.2 Class B

Class B NVGs incorporate a filter that generally imposes a 665 nanometer cutoff. Thus, the cockpit lighting design may incorporate more colours since the filter eliminates some yellows and oranges from entering the intensification process.

2.1.2.3 Modified class B

Modified Class B NVGs incorporate a variation of a Class B filter but also incorporate a notch filter in the green spectrum that allows a small percentage of light into the image intensification process. Therefore, a Modified Class B NVG allows pilots to view fixed head-up display (HUD) symbology through the NVG without the HUD energy adversely affecting NVG performance.

2.1.3 Generation

Generation refers to the technological design of an image intensifier. Systems incorporating these light-amplifying image intensifiers were first used during WWII and were operationally fielded by the US military during the Vietnam era. These systems were large, heavy and poorly performing devices that were unsuitable for aviation use, and were termed Generation I (Gen I). Gen II devices represented a significant technological advancement and provided a system that could be head-mounted for use in ground vehicles. Gen III devices represented another significant technological advancement in image intensification, and provided a system that was designed for aviation use. Although not yet fielded, there are prototype NVGs that include technological advances that may necessitate a Gen IV designation if placed into production. Because of the variations in interpretations as to generation, NVGs will not be referred to by the generation designation.

2.1.4 OMNIBUS

The term OMNIBUS refers to a US Army contract vehicle that has been used over the years to procure NVGs. Each successive OMNIBUS contract included NVGs that demonstrated improved performance. There have been five contracts since the mid 1980s, the most current being OMNIBUS V. There may be several variations of NVGs within a single OMNIBUS purchase, and some NVGs from previous OMNIBUS contracts have been upgraded in performance to match the performance of goggles from later contracts. Because of these variations, NVGs will not be referred to by the OMNIBUS designation.

2.1.5 Resolution and visual acuity

Resolution refers to the capability of the NVG to present an image that makes clear and distinguishable the separate components of a scene or object.

Visual acuity is the relative ability of the human eye to resolve detail and interpret an image.

2.2 Aviation night vision imaging system (NVIS)

The Night Vision Imaging System is the integration of all elements required to successfully and safely operate an aircraft with night vision goggles. The system includes at a minimum NVGs, NVIS lighting, other aircraft components, training, and continuing airworthiness.

2.2.1 Look under (under view)

Look under is the ability of pilots to look under or around the NVG to view inside and outside the aircraft.

2.3 NVIS lighting

An aircraft lighting system that has been modified or designed for use with NVGs and which does not degrade the performance of the NVG beyond acceptable standards, is designated as NVIS lighting. This can apply to both interior and exterior lighting.

2.3.1 Design considerations

As the choice of NVG filter drives the cockpit lighting design, it is important to know which goggle will be used in which cockpit. Since the filter in a Class A NVG allows wavelengths above 625 nanometers into the intensification process, it should not be used in a cockpit designed for Class B or Modified Class B NVGs. However, since the filter in a Class B and Modified Class B NVGs is more restrictive than that in a Class A NVG, the Class B or Modified Class B NVG can be used with either Class A or Class B cockpit lighting designs.

2.3.2 Compatible

Compatibility, with respect to an NVIS system, includes a number of different factors: compatibility of internal and external lighting with the NVG, compatibility of the NVG with the crew station design (e.g., proximity of the canopy or windows, proximity of overhead panels, operability of controls, etc.), compatibility of crew equipment with the NVG and compatibility with respect to colour discrimination and identification (e.g., caution and warning lights still maintain amber and red colours). The purpose of this paragraph is to discuss compatibility with respect to aircraft lighting. An NVIS lighting system, internal and external, is considered compatible if it adheres to the following requirements:

1. the internal and external lighting does not adversely affect the operation of the NVG during any phase of the NVIS operation;
2. the internal lighting provides adequate illumination of aircraft cockpit instruments, displays and controls for unaided operations and for “look-under” viewing during aided operations; and
3. The external lighting aids in the detection and separation by other aircraft.

NVIS lighting compatibility can be achieved in a variety of ways that can include, but is not limited to, modification of light sources, light filters or by virtue of location. Once aircraft lighting is modified for using NVGs, it is important to keep in mind that changes in the crew station (e.g., addition of new display) must be assessed relative to the effect on NVIS compatibility.

2.4. NVIS operation

A night flight wherein the pilot maintains visual surface reference using NVGs in an aircraft that is NVIS approved

2.4.1 Aided

Aided flight is flight with NVGs in an operational position.

2.4.2 Unaided

Unaided flight is a flight without NVGs or a flight with NVGs in a non-operational position.

3. SYSTEM DESCRIPTION

3.1 NVIS capabilities

NVIS generally provides the pilot an image of the outside scene that is enhanced compared to that provided by the unaided, dark-adapted eye. However, NVIS may not provide the user an image equal to that observed during daylight. Since the user has an enhanced visual capability, situational awareness is generally improved.

3.1.1 Critical elements

The following critical elements are the underlying assumptions in the system description for NVIS:

1. aircraft internal lighting has been modified or initially designed to be compatible;
2. environmental conditions are adequate for the use of NVIS (e.g. enough illumination is present, weather conditions are favourable, etc.);
3. the NVIS has been properly maintained in accordance with the minimum operational performance standards;
4. a proper pre-flight has been performed on the NVIS confirming operation in accordance with the continued airworthiness standards and training guidelines; and
5. the pilot(s) has been properly trained and meets recency of experience requirements.

Even when insuring that these conditions are met, there still are many variables that can adversely affect the safe and effective use of NVIS (e.g., flying towards a low angle moon, flying in a shadowed area, flying near extensive cultural lighting, flying over low contrast terrain, etc.). It is important to understand these assumptions and limitations when discussing the capabilities provided by the use of NVIS.

3.1.2 Situation awareness

Situation awareness, being defined as the degree of perceptual accuracy achieved in the comprehension of all factors affecting an aircraft and crew at a given time, is improved at night when using NVG during NVIS operations. This is achieved by providing the pilot with more visual cues than is normally available under most conditions when operating an aircraft unaided at night. However, it is but one source of the factors necessary for maintaining an acceptable level of situational awareness.

3.1.2.1 Environment detection and identification

An advantage of using NVIS is the enhanced ability to detect, identify, and avoid terrain and/or obstacles that present a hazard to night operations. Correspondingly, NVIS aid in night navigation by allowing the aircrew to view waypoints and features.

Being able to visually locate and then (in some cases) identify objects or areas critical to operational success will also enhance operational effectiveness. Finally, use of NVIS may allow pilots to detect other aircraft more easily.

3.1.3 Emergency situations

NVIS generally improve situational awareness, facilitating the pilot's workload during emergencies. Should an emergency arise that requires an immediate landing, NVIS may provide the pilot with a means of locating a suitable landing area and conducting a landing. The pilot must determine if the use of NVIS during emergencies is appropriate. In certain instances, it may be more advantageous for the pilot to remove the NVG during the performance of an emergency procedure.

3.2.1 NVG design characteristics

There are limitations inherent in the current NVG design.

3.2.1.1 Visual acuity

The pilot's visual acuity with NVGs is less than normal daytime visual acuity.

3.2.1.2 Field of view

Unaided field of view (FOV) covers an elliptical area that is approximately 120° lateral by

80° vertical, whereas the field of view of current Type I NVG systems is nominally 40° and is circular. Both the reduced field of view of the image and the resultant decrease in peripheral vision can increase the pilot's susceptibility to misperceptions and illusions. Proper scanning techniques must be employed to reduce the susceptibility to misperception and illusions.

3.2.1.3 Field of regard

The NVG has a limited FOV but, because it is head-mounted, that FOV can be scanned when viewing the outside scene. The total area that the FOV can be scanned is called the field of regard (FOR). The FOR will vary depending on several factors: physiological limit of head movement, NVG design (e.g., protrusion of the binocular assembly, etc.) and cockpit design issues (e.g., proximity of canopy or window, seat location, canopy bow, etc.).

3.2.1.4 NVG weight & centre of gravity

The increased weight and forward CG projection of head supported devices may have detrimental effects on pilot performance due to neck muscle strain and fatigue. There also maybe an increased risk of neck injury in crashes.

3.2.1.5 Monochromatic image

The NVG image currently appears in shades of green. Since there is only one colour, the image is said to be "monochromatic". This colour was chosen mostly because the human eye can see more detail at lower brightness levels when viewing shades of green. Colour differences between components in a scene helps one discriminate between objects and aids in object recognition, depth perception and distance estimation. The lack of colour variation in the NVG image will degrade these capabilities to varying degrees.

3.2.1.6 Ambient or artificial light

The NVG requires some degree of light (energy) in order to function. Low light levels, non-compatible aircraft lighting and poor windshield/window light transmissibility, diminish the performance capability of the NVG. It is the pilot's responsibility to determine when to transition from aided to unaided due to unacceptable NVG performance.

3.2.2 Physiological and other conditions

3.2.2.1 Cockpit resource management

Due to the inherent limitations of NVIS operations, there is a requirement to place emphasis on NVIS related cockpit resource management (CRM). This applies to both single and multi-pilot cockpit environments. Consequently, NVIS flight requires effective CRM between the pilot(s), controlling agencies and other supporting personnel. An appropriate venue for addressing this issue is the pre-flight NVIS mission brief.

3.2.2.2 Fatigue

Physiological limitations that are prevalent during the hours of darkness along with the limitations associated with NVGs, may have a significant impact on NVIS operations. Some of these limitations are the effects of fatigue (both acute and chronic), stress, eyestrain, working outside the pilot's normal circadian rhythm envelope, increased helmet weight, aggressive scanning techniques associated with NVIS, and various human factors engineering concerns that may have a direct influence on how the pilot works in the aircraft while wearing NVGs. These limitations may be mitigated through proper training and recognition, experience, adaptation, rest, risk management, and proper crew rest/duty cycles.

3.2.2.3 Over-confidence

Compared to other types of flight operations, there may be an increased tendency by the pilot to over-estimate the capabilities of the NVIS.

3.2.2.4 Spatial orientation

There are two types of vision used in maintaining spatial orientation: central (focal) vision and peripheral (ambient) vision. Focal vision requires conscious processing and is slow, whereas peripheral information is processed subconsciously at a very fast rate. During daytime, spatial orientation is maintained by inputs from both focal vision and peripheral vision, with peripheral vision providing the great majority of the information. When using NVGs, peripheral vision can be significantly degraded if not completely absent. In this case, the pilot must rely on focal vision to interpret the NVG image as well as the information from flight instruments in order to maintain spatial orientation and situation awareness. Even though maintaining spatial orientation requires more effort when using NVGs than during daytime, it is much improved over night unaided operations where the only information is obtained through flight instruments. However, anything that degrades the NVG image to a point where the horizon is not visualised and/or ground reference is lost or significantly degraded will necessitate a reversion to flight on instruments until adequate external visual references can be established. Making this transition quickly and effectively is vital in order to avoid spatial disorientation. Additionally, added focal task loading during the operation (e.g., communications, looking at displays, processing navigational information, etc.) will compete with the focal requirement for interpreting the NVG image and flight instruments. Spatial disorientation can result when the task loading increases to a point where the outside scene and/or the flight instruments are not properly scanned. This potential can be mitigated to some extent through effective training and experience.

3.2.2.5 Depth perception & distance estimation

When flying, it is important for pilots to be able to accurately employ depth perception and distance estimation techniques. To accomplish this, pilots use both binocular and monocular vision. Binocular vision requires the use of both eyes working together, and, practically speaking, is useful only out to approximately 100 ft.

Binocular vision is particularly useful when flying close to the ground and/or near objects (e.g. landing a helicopter in a small landing zone). Monocular vision can be accomplished with either eye alone, and is the type of vision used for depth perception and distance estimation when viewing beyond approximately 100 ft. Monocular vision is the predominant type of vision used when flying fixed wing aircraft, and also when flying helicopters and using cues beyond 100 ft. When viewing an NVG image, the two eyes can no longer provide accurate binocular information, even though the NVG used when flying is a binocular system. This has to do with the way the eyes function physiologically (e.g. accommodation, stereopsis, etc.) and the design of the NVG (i.e. a binocular system with a fixed channel for each eye). Therefore, binocular depth perception and distance estimation tasking when viewing terrain or objects with an NVG within 100 ft is significantly degraded. Since monocular vision does not require both eyes working together, the adverse impact on depth perception and distance estimation is much less, and is mostly dependent on the quality of the NVG image. If the image is very good and there are objects in the scene to use for monocular cueing (especially objects with which the pilot is familiar), then distance estimation and depth perception tasking will remain accurate. However, if the image is degraded (e.g., low illumination, airborne obscurants, etc.) and/or there are few or unfamiliar objects in the scene, depth perception and distance estimation will be degraded to some extent. In summary, pilots using NVG will

maintain the ability to accurately perceive depth and estimate distances, but it will depend on the distances used and the quality of the NVG image.

Pilots maintain some ability to perceive depth and distance when using NVGs by employing monocular cues. However, these capabilities may be degraded to varying degrees.

3.2.2.6 Instrument lighting brightness considerations

When viewing the NVG image, the brightness of the image will affect the amount of time it takes to adapt to the brightness level of the instrument lighting, thereby affecting the time it takes to interpret information provided by the instruments. For example, if the instrument lighting is fairly bright, the time it takes to interpret information provided by the instruments may be instantaneous. However, if the brightness of the lighting is set to a very low level, it may take several seconds to interpret the information, thus increasing the heads-down time and increasing the risk of spatial disorientation. It is important to ensure that instrument lighting is kept at a brightness level that makes it easy to rapidly interpret the information. This will likely be brighter than one is used to during unaided operations.

3.2.2.7 Dark adaptation time from NVG to unaided operations

When viewing an NVG image, both rods and cones are being stimulated (i.e., mesopic vision), but the brightness of the image is reducing the effectiveness of rod cells. If the outside scene is bright enough (e.g., urban area, bright landing pad, etc.), both rods and cones will continue to be stimulated. In this case there will be no improvement in acuity over time and the best acuity is essentially instantaneous. In some cases (e.g., rural area with scattered cultural lights), the outside scene will not be bright enough to stimulate the cones and some amount of time will be required for the rods to fully adapt. In this case it may take the rods one to two minutes to fully adapt for the best acuity to be realised. If the outside scene is very dark (e.g., no cultural lights and no moon), it may take up to five minutes to fully adapt to the outside scene after removing the NVGs. The preceding are general guidelines and the time required to fully adapt to the outside scene once removing the NVG depends on many variables: the length of time the NVG has been used, whether or not the pilot was dark adapted prior to flight, the brightness of the outside scene, the brightness of cockpit lighting, and variability in visual function among the population. It is important to understand the concept and to note the time requirements for the given operation.

3.2.2.8 Complacency

Pilots must understand the importance of avoiding complacency during NVG flights. Similar to other specialised flight operations, complacency may lead to an acceptance of situations that would normally not be permitted. Attention span and vigilance are reduced, important elements in a task series are overlooked, and scanning patterns, which are essential for situational awareness, break down (usually due to fixation on a single instrument, object or task). Critical but routine tasks are often skipped.

3.2.2.9 Experience

High levels of NVIS proficiency, along with a well-balanced NVIS experience base, will help to offset many of the visual performance degradations associated with night operations. NVIS experience is a result of proper training coupled with numerous NVIS operations. An experienced NVIS pilot is acutely aware of the NVIS operational envelope and its correlation to various operational effects, visual illusions and performance limitations. This experience base is gained (and maintained) over time through a continual, holistic

NVIS training programme that exposes the pilot to NVIS operations conducted under various moon angles, percentage of available illumination, contrast levels, visibility levels, and varying degrees of cloud coverage. A pilot should be exposed to as many of these variations as practicable during the initial NVIS qualification programme. Continued exposure during the NVIS recurrent training will help strengthen and solidify this experience base.

4. OPERATIONS

Operations procedures should accommodate the capabilities and limitations of the systems described in Section 3 of this GM as well as the restraints of the operational environment.

All NVG operations should fulfil all applicable requirements in accordance with Regulation (EC) No 216/2008.

4.1 Pilot eligibility

About 54% of the civil pilot population wears some sort of ophthalmic device to correct vision necessary to safely operate an aircraft. The use of inappropriate ophthalmic devices with NVGs may result in vision performance decrement, fatigue, and other human factor problems, which could result in increased risk for aviation accidents and incidents.

4.2 Operating environment considerations

4.2.1 Weather and atmospheric obscurants

Any atmospheric condition, which absorbs, scatters, or refracts illumination, either before or after it strikes terrain, may reduce the usable energy available to the NVG.

4.2.1.1 Weather

During NVIS operations, pilots can see areas of moisture that are dense (e.g., clouds, thick fog, etc.) but may not see areas that are less dense (e.g., thin fog, light rain showers, etc.). The inability to see some areas of moisture may lead to hazardous flight conditions during NVIS operations and will be discussed separately in the next section.

The different types of moisture will have varying effects and it is important to understand these effects and how they apply to NVIS operations. For example:

1. It is important to know when and where fog may form in the flying area. Typically, coastal, low-lying river, and mountainous areas are most susceptible.
2. Light rain or mist may not be observed with NVIS but will affect contrast, distance estimation, and depth perception. Heavy rain is more easily perceived due to large droplet size and energy attenuation.
3. Snow occurs in a wide range of particle sizes, shapes, and densities. As with clouds, rain, and fog, the denser the airborne snow, the greater the effect on NVG performance. On the ground, snow has mixed effect depending on terrain type and the illumination level. In mountainous terrain, snow may add contrast, especially if trees and rocks protrude through the snow. In flatter terrain, snow may cover high contrast areas, reducing them to areas of low contrast. On low illumination nights, snow may reflect the available energy better than the terrain it covers and thus increase the level of illumination.

All atmospheric conditions reduce the illumination level to some degree and recognition of this reduction with NVGs can be difficult. Thus, a good weather briefing, familiarity with the local weather patterns and understanding the effects on NVG performance are

important for a successful NVIS flight.

4.2.1.2 Deteriorating weather

It is important to remain cognizant of changes in the weather when using NVGs. It is possible to “see through” areas of light moisture when using NVGs, thus increasing the risk of inadvertently entering IMC. Some ways to help reduce this possibility include the following:

1. Be attentive to changes in the NVG image. Halos may become larger and more diffuse due to diffraction of light in moisture. Scintillation in the image may increase due to a lowering of the illumination level caused by the increased atmospheric moisture. Loss of scene detail may be secondary to the lowering illumination caused by the changing moisture conditions.
2. Obtain a thorough weather brief with emphasis on NVG effects prior to flight.
3. Be familiar with weather patterns in the flying area.
4. Occasionally scan the outside scene. The unaided eye may detect weather conditions that are not detectable to the NVG.

Despite the many methods of inadvertent instrument meteorological conditions (IMC) prevention, one should have established IMC recovery procedures and be familiar with them.

4.2.1.3 Airborne obscurants

In addition to weather, there may be other obscurants in the atmosphere that could block energy from reaching the NVG, such as haze, dust, sand, or smoke. As with moisture, the size and concentration of the particles will determine the degree of impact. Examples of these effects include the following:

1. high winds during the day can place a lot of dust in the air that will still be present at night when the wind may have reduced in intensity;
2. forest fires produce heavy volumes of smoke that may cover areas well away from the fire itself;
3. the effects of rotor wash may be more pronounced when using NVGs depending on the material (e.g. sand, snow, dust, etc.); and
4. pollution in and around major cultural areas may have an adverse effect on NVG performance.

4.2.1.4 Winter operations

Using NVGs during winter conditions provide unique issues and challenges to pilots.

4.2.1.4.1 Snow

Due to the reflective nature of snow, it presents pilots with significant visual challenges both en-route and in the terminal area. During the en-route phase of a flight the snow may cause distractions to the flying pilot if any aircraft external lights (e.g., anti-collision beacons/strobes, position lights, landing lights, etc.) are not compatible with NVGs. In the terminal area, whiteout landings can create the greatest hazard to unaided night operations. With NVGs the hazard is not lessened, and can be more disorienting due to lights reflecting from the snow that is swirling around the aircraft during the landing phase. Any emergency vehicle lighting or other airport lighting in the terminal area may exaggerate the effects.

4.2.1.4.2 Ice fog

Ice fog presents the pilot with hazards normally associated with IMC in addition to problems associated with snow operations. The highly reflective nature of ice fog will further aggravate any lighting problems. Ice fog conditions can be generated by aircraft operations under extremely cold temperatures and the right environmental conditions.

4.2.1.4.3 Icing

Airframe ice is difficult to detect while looking through NVGs. The pilot will need to develop a proper crosscheck to ensure airframe icing does not exceed operating limits for that aircraft. Pilots should already be aware of icing indicator points on their aircraft. These areas require consistent oversight to properly determine environmental conditions.

4.2.1.4.4 Low ambient temperatures

Depending on the cockpit heating system, fogging of the NVGs can be a problem and this will significantly reduce the goggle effectiveness. Another issue with cockpit temperatures is the reduced battery duration. Operations in a cold environment may require additional battery resources.

4.2.2 Illumination

NVGs require illumination, either natural or artificial, to produce an image. Although current NVG technology has significantly improved low light level performance, some illumination, whether natural or artificial, is still required to provide the best possible image.

4.2.2.1 Natural illumination

The main sources of natural illumination include the moon and stars. Other sources can include sky glow, the aurora borealis, and ionisation processes that take place in the upper atmosphere.

4.2.2.1.1 Moon phase

The moon provides the greatest source of natural illumination during night time. Moon phase and elevation determines how much moonlight will be available, while moonrise and moonset times determine when it will be available. Lunar illumination is reported in terms of percent illumination, 100% illumination being full moon. It should be noted that this is different from the moon phase (e.g., 25% illumination does not mean the same thing as a quarter moon). Currently, percent lunar illumination can only be obtained from sources on the Internet, military weather facilities and some publications (e.g. Farmers Almanac).

4.2.2.1.2 Lunar azimuth and elevation

The moon can have a detrimental effect on night operations depending on its relationship to the flight path. When the moon is on the same azimuth as the flight path, and low enough to be within or near the NVG field of view, the effect on NVG performance will be similar to that caused by the sun on the unaided eye during daytime. The brightness of the moon drives the NVG gain down, thus reducing image detail. This can also occur with the moon at relatively high elevations. For example, it is possible to bring the moon near the NVG field of view when climbing to cross a ridgeline or other obstacle, even when the moon is at a relatively high elevation. It is important to consider lunar azimuth and elevation during pre-flight planning. Shadowing, another effect of lunar azimuth and elevation, will be discussed separately.

4.2.2.1.3 Shadowing

Moonlight creates shadows during night time just as sunlight creates shadows during daytime. However, night time shadows contain very little energy for the NVG to use in forming an image. Consequently, image quality within a shadow will be degraded relative to that obtained outside the shadowed area. Shadows can be beneficial or can be a disadvantage to operations depending on the situation.

4.2.2.1.3.1 Benefits of shadows

Shadows alert aircrew to subtle terrain features that may not otherwise be noted due to the reduced resolution in the NVG image. This may be particularly important in areas where there is little contrast differentiation; such as flat featureless deserts, where large dry washes and high sand dunes may go unnoticed if there is no contrast to note their presence. The contrast provided by shadows helps make the NVG scene appear more natural.

4.2.2.1.3.2 Disadvantages due to shadows

When within a shadow, terrain detail can be significantly degraded, and objects can be regarding flight in or around shadowed areas is the pilot's response to loss of terrain detail. During flight under good illumination conditions, a pilot expects to see a certain level of detail. If flight into a shadow occurs while the pilot is preoccupied with other matters (e.g., communication, radar, etc.), it is possible that the loss in terrain detail may not have been immediately noted. Once looking outside again, the pilot may think the reduced detail is due to an increase in flight altitude and thus begin a descent - even though already at a low altitude. Consideration should be given during mission planning to such factors as lunar azimuth and elevation, terrain type (e.g., mountainous, flat, etc.), and the location of items significant to operation success (e.g., ridgelines, pylons, targets, waypoints, etc.). Consideration of these factors will help predict the location of shadows and the potential adverse effects.

4.2.2.1.4 Sky glow

Sky glow is an effect caused by solar light and continues until the sun is approximately 18 degrees below the horizon. When viewing in the direction of sky glow there may be enough energy present to adversely affect the NVG image (i.e., reduce image quality). For the middle latitudes the effect on NVG performance may last up to an hour after official sunset. For more northern and southern latitudes the effect may last for extended periods of times (e.g., days to weeks) during seasons when the sun does not travel far below the horizon. This is an important point to remember if planning NVG operations in those areas. Unlike sky glow after sunset, the sky glow associated with sunrise does not have an obvious effect on NVG performance until fairly close to official sunrise. The difference has to do with the length of time the atmosphere is exposed to the sun's irradiation, which causes ionisation processes that release near-IR energy. It is important to know the difference in these effects for planning purposes.

4.2.2.2 Artificial illumination

Since the NVGs are sensitive to any source of energy in the visible and near infrared spectrums, there are also many types of artificial illumination sources (e.g., flares, IR searchlights, cultural lighting, etc). As with any illumination source, these can have both positive and detrimental effects on NVG utilisation. For example, viewing a scene indirectly illuminated by a searchlight can enable the pilot to more clearly view the scene;

conversely, viewing the same scene with the searchlight near or within the NVG field of view will reduce the available visual cues. It is important to be familiar with the effects of cultural lighting in the flying area in order to be able to avoid the associated problems and to be able to use the advantages provided. Also, it is important to know how to properly use artificial light sources (e.g., aircraft IR spotlight). It should be noted that artificial light sources may not always be available or dependable, and this should be taken into consideration during flight planning.

4.2.3 Terrain contrast

Contrast is one of the more important influences on the ability to correctly interpret the NVG image, particularly in areas where there are few cultural features. Any terrain that contains varying albedos (e.g., forests, cultivated fields, etc.) will likely increase the level of contrast in a NVG image, thus enhancing detail. The more detail in the image, the more visual information aircrews have for manoeuvring and navigating. Low contrast terrain (e.g., flat featureless desert, snow-covered fields, water, etc.) contains few albedo variations, thus the NVG image will contain fewer levels of contrast and less detail.

4.3 Aircraft considerations

4.3.1 Lighting

Factors such as aircraft internal and external lighting have the potential to adversely impact NVG gain and thus image quality. How well the windshield, canopy, or window panels transmit near infrared energy can also affect the image. Cleanliness of the windshield directly impacts this issue.

4.3.2 Cockpit ergonomics

While wearing NVGs, the pilot may have limited range of head movement in the aircraft. For example, switches on the overhead console may be difficult to read while wearing NVGs. Instruments, controls, and switches that are ordinarily accessible, may now be more difficult to access due to the extended mass (fore/aft) associated with NVGs.

In addition, scanning may require a more concentrated effort due to limited field of view. Lateral viewing motion can be hindered by cockpit obstructions (i.e. door post or seat back design).

4.3.3 Windshield reflectivity

Consideration within the cockpit and cabin should be given to the reflectivity of materials and equipment upon the windshield. Light that is reflected may interfere with a clear and unobstructed view. Items such as flight suits, helmets, and charts, if of a light colour such as white, yellow, and orange, can produce significant reflections. Colours that impart the least reflection are black, purple, and blue. This phenomenon is not limited to windshields but may include side windows, chin bubbles, canopies, etc.

4.4 Generic operating considerations

This section lists operating topics and procedures, which should be considered when employing NVIS. The list and associated comments are not to be considered all inclusive. NVIS operations vary in scope widely and this section is not intended to instruct a prospective operator on how to implement an NVIS programme.

4.4.1 Normal procedures

4.4.1.1 Scanning

When using NVGs there are three different scan patterns to consider and each is used for different reasons: instrument scan, aided scan outside, and unaided scan outside. Normally, all three are integrated and there is a continuous transition from one to the

other depending on the mission, environmental conditions, immediate tasking, flight altitude and many other variables. For example, scanning with the NVG will allow early detection of external lights. However, the bloom caused by the lights will mask the aircraft until fairly close or until the lighting scheme is changed. Once close to the aircraft (e.g., approximately one-half mile for smaller aircraft), visual acquisition can possibly be made unaided or with the NVG. Whether to use the NVG or unaided vision depends on many variables (e.g., external lighting configuration, distance to aircraft, size of aircraft, environmental conditions, etc.). The points to be made are that a proper scan depends on the situation and variables present, and that scanning outside is critical when close to another aircraft. Additionally, for a multi-crew environment, coordination of scan responsibilities is vital.

4.4.1.1.1 Instrument crosscheck scan

In order to effect a proper and effective instrument scan, it is important to predict when it will be important. A start can be made during pre-flight planning when critical phases of flight can be identified and prepared for. For example, it may be possible when flying over water or featureless terrain to employ a good instrument crosscheck. However, the most important task is to make the appropriate decision during flight as conditions and events change. In this case, experience, training and constant attention to the situation are vital contributors to the pilot's assessment of the situation.

4.4.1.1.2 NVG scan

To counteract the limited field of view, pilots should continually scan throughout the field of regard. This allows aircrew to build a mental image of the surrounding environment. How quickly the outside scene is scanned to update the mental image is determined by many variables. For example, when flying over flat terrain where the highest obstacle is below the flight path, the scan may be fairly slow. However, if flying low altitude in mountainous terrain, the scan will be more aggressive and rapid due to the presence of more information and the increased risk. How much of the field of regard to scan is also determined by many variables. For example, if a pilot is anticipating a turn, more attention may be placed in the area around the turn point, or in the direction of the new heading. In this situation, the scan will be limited briefly to only a portion of the field of regard.

As with the instrument scan, it is very important to plan ahead. It may, for example, be possible to determine when the scan may be interrupted due to other tasks, when it may be possible to become fixated on a specific task, or when it is important to maximise the outside scan. An important lesson to learn regarding the NVG scan is when not to rely on visual information. It is easy to overestimate how well one can see with NVGs, especially on high illumination nights, and it is vital to maintain a constant awareness regarding their limitations. This should be pointed out often during training and, as a reminder, should be included as a briefing item for NVG flights.

4.4.1.1.3 Unaided scan

Under certain conditions, this scan can be as important as the others can. For example, it may be possible to detect distance and/or closure to another aircraft more easily using unaided vision, especially if the halo caused by the external lights is masking aircraft detail on the NVG image. Additionally, there are other times when unaided information can be used in lieu of or can augment NVG and instrument information.

4.4.1.1.4 Scan patterns

Environmental factors will influence scan by limiting what may be seen in specific directions or by degrading the overall image. If the image is degraded, aircrew may scan more aggressively in a subconscious attempt to obtain more information, or to avoid the chance of missing information that suddenly appears and/or disappears. The operation itself may influence the scan pattern. For example, looking for another aircraft, landing zone, or airport may require focusing the scan in a particular direction. In some cases, the operation may require aircrew in a multi place aircraft to assign particular pilots responsibility for scanning specific sectors.

The restrictions to scan and the variables affecting the scan pattern are not specific to night operations or the use of NVGs, but, due to the NVG's limited field of view, the degree of impact is magnified.

4.4.1.2 Pre-flight planning

4.4.1.2.1 Illumination criteria

The pilot should provide a means for forecasting the illumination levels in the operational area. The pilot should make the effort to request at least the following information in addition to that normally requested for night VFR: cloud cover and visibility during all phases of flight, sunset, civil and nautical twilight, moon phase, moonrise and moonset, and moon and/or lux illumination levels, and unlit tower NOTAMS.

4.4.1.2.2 NVIS operations

An inspection of the power pack, visor, mount, power cable and the binocular assembly should be performed in accordance with the operations manual.

To ensure maximum performance of the NVGs, proper alignment and focus must be accomplished following the equipment inspection. Improper alignment and focus may degrade NVIS performance.

4.4.1.2.3 Aircraft pre-flight

A normal pre-flight inspection should be conducted prior to an NVIS flight with emphasis on proper operation of the NVIS lighting. The aircraft windshield must also be clean and free of major defects, which might degrade NVIS performance.

4.4.1.2.4 Equipment

The basic equipment required for NVIS operations should be those instruments and equipment specified within the current applicable regulations for VFR night operations. Additional equipment required for NVIS operations, e.g. NVIS lighting system and a radio altimeter must be installed and operational. All NVIS equipment, including any subsequent modifications, shall be approved.

4.4.1.2.5 Risk assessment

A risk assessment is suggested prior to any NVIS operation. The risk assessment should include as a minimum:

1. illumination level
2. weather
3. pilot recency of experience

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4. pilot experience with NVG operations
 5. pilot vision
 6. pilot rest condition and health
 7. windshield/window condition
 8. NVG tube performance
 9. NVG battery condition
 10. types of operations allowed
 11. external lighting environment.

4.4.1.3 Flight operations

4.4.1.3.1 Elevated terrain

Safety may be enhanced by NVGs during operations near elevated terrain at night. The obscuration of elevated terrain is more easily detected with NVGs thereby allowing the pilot to make alternate flight path decisions.

4.4.1.3.2 Over-water

Flying over large bodies of water with NVGs is difficult because of the lack of contrast in terrain features. Reflections of the moon or starlight may cause disorientation with the natural horizon. The radio altimeter must be used as a reference to maintain altitude.

4.4.1.4 Remote area considerations

A remote area is a site that does not qualify as an aerodrome as defined by the applicable regulations. Remote area landing sites do not have the same features as an aerodrome, so extra care must be given to locating any obstacles that may be in the approach/departure path.

A reconnaissance must be made prior to descending at an unlighted remote site. Some features or objects may be easy to detect and interpret with the unaided eye. Other objects will be invisible to the unaided eye, yet easily detected and evaluated with NVGs.

4.4.1.5 Reconnaissance

The reconnaissance phase should involve the coordinated use of NVGs and white lights. The aircraft's external white lights such as landing lights, searchlights, and floodlights, should be used during this phase of flight. The pilot should select and evaluate approach and departure paths to the site considering wind speed and direction, and obstacles or signs of obstacles.

4.4.1.6 Sources of high illumination

Sources of direct high illumination may have the potential to reduce the effectiveness of the NVGs. In addition, certain colour lights, such as red, will appear brighter, closer and may display large halos.

4.4.2 Emergency procedures

No modification for NVG operations is necessary to the aircraft emergency procedures as approved in the operations manual or approved checklist. Special training may be required to accomplish the appropriate procedures.

4.4.3 Inadvertent IMC

Some ways to help reduce the potential for inadvertent flight into IMC conditions are:

1. obtaining a thorough weather brief (including pilot reports);
2. being familiar with weather patterns in the local flying area; and
3. by looking beneath the NVG at the outside scene.

However, even with thorough planning a risk still exists. To help mitigate this risk it is important to know how to recognise subtle changes to the NVG image that occur during entry into IMC conditions. Some of these include the onset of scintillation, loss of scene detail, and changes in the appearance of halos.

5. TRAINING

To provide an appropriate level of safety, training procedures must accommodate the capabilities and limitations of the systems described in Section 3 of this GM as well as the restraints of the operational environment.

To be effective, the NVIS training philosophy would be based on a two-tiered approach: basic and advanced NVIS training. The basic NVIS training would serve as the baseline standard for all individuals seeking an NVIS endorsement. The content of this initial training would not be dependent on any operational requirements. The advanced training would build on the basic training by focusing on developing specialised skills required to operate an aircraft during NVIS operations in a particular operational environment. Furthermore, while there is a need to stipulate minimum flight hour requirements for an NVIS endorsement, the training must also be event based. This necessitates that pilots be exposed to all of the relevant aspects, or events, of NVIS flight in addition to acquiring a minimum number of flight hours.

6. CONTINUING AIRWORTHINESS

The reliability of the NVIS and safety of operations are dependent on the pilots adhering to the instructions for continuing airworthiness. Personnel who conduct the maintenance and inspection on the NVIS must be qualified and possess the appropriate tools and facilities to perform the maintenance.

Acronyms used in this GM

| | |
|--------|-------------------------------------------|
| AC | Advisory Circular |
| AGL | above ground level |
| ATC | air traffic control |
| CONOPs | concept of operations |
| CG | centre of gravity |
| CRM | cockpit resource management |
| DOD | Department of Defence |
| DOT | Department of Transportation |
| EFIS | electronic flight instrumentation systems |
| EMS | emergency medical service |
| FAA | Federal Aviation Administration |

| | |
|--------|------------------------------------------|
| FLIR | forward looking infrared radar |
| FOR | field of regard |
| FOV | field of view |
| GEN | generation |
| HUD | head-up display |
| IFR | instrument flight rules |
| IMC | instrument meteorological conditions |
| IR | infrared |
| JAA | Joint Aviation Authorities |
| MOPS | Minimum Operational Performance Standard |
| NAS | national airspace system |
| NOTAMS | Notices to Airmen |
| NVD | night vision device |
| NVED | night vision enhancement device |
| NVG | night vision goggles |
| NVIS | night vision imaging system |
| SC | special committee |
| TFR | temporary flight restrictions |
| VA | visual acuity |
| VFR | visual flight rules |
| VMC | visual meteorological conditions |

Glossary of terms used in this GM

1. 'Absorptance': the ratio of the radiant energy absorbed by a body to that incident upon it.
2. 'Albedo': the ratio of the amount of light reflected from a surface to the amount of incident light.
3. 'Automatic brightness control (ABC)': one of the automatic gain control circuits found in second and third generation NVG devices. It attempts to provide consistent image output brightness by automatic control of the micro channel plate voltage.
4. 'Automatic gain control (AGC)': comprised of the automatic brightness control and bright source protection circuits. Is designed to maintain image brightness and protect the user and the image tube from excessive light levels. This is accomplished by controlling the gain of the intensifier tube.
5. 'Blackbody': an ideal body of surface that completely absorbs all radiant energy falling upon with no reflection.
6. 'Blooming': common term used to denote the "washing out" of all or part of the NVG image due to de-gaining of the image intensifier tube when a bright light source is in or near the NVG field of view.

7. 'Bright source protection (BSP)': protective feature associated with second and third generation NVGs that protects the intensifier tube and the user by controlling the voltage at the photo cathode.
8. 'Brownout': condition created by blowing sand, dust, etc., which can cause the pilots to lose sight of the ground. This is most commonly associated with landings in the desert or in dusty LZs.
9. 'Civil nautical twilight': the time when the true altitude of the centre of the sun is six degrees below the horizon. Illuminance level is approximately 3.40 lux and is above the usable level for NVG operations.
10. 'Diopter': a measure of the refractive (light bending) power of a lens.
11. 'Electro-optics (EO)': the term used to describe the interaction between optics and electronics, leading to transformation of electrical energy into light or vice versa.
12. 'Electroluminescent (EL)': referring to light emission that occurs from application of an alternating current to a layer of phosphor.
13. 'Foot-candle': a measure of illuminance; specifically, the illuminance of a surface upon which one lumen is falling per square foot.
14. 'Foot-Lambert': a measure of luminance; specifically the luminance of a surface that is receiving an illuminance of one foot-candle.
15. 'Gain': when referring to an image intensification tube, the ratio of the brightness of the output in units of foot-lambert, compared to the illumination of the input in foot-candles. A typical value for a GEN III tube is 25,000 to 30,000 FI/fc. A "tube gain" of 30,000 FI/fc provides an approximate "system gain" of 3,000. This means that the intensified NVG image is 3,000 times brighter to the aided eye than that of the unaided eye.
16. 'Illuminance': also referred to as illumination. The amount, ratio or density of light that strikes a surface at any given point.
17. 'Image intensifier': an electro-optic device used to detect and intensify optical images in the visible and near infrared region of the electromagnetic spectrum for the purpose of providing visible images. The component that actually performs the intensification process in a NVG. This component is composed of the photo cathode, MCP, screen optic, and power supply. It does not include the objective and eyepiece lenses.
18. 'Incandescent': refers to a source that emits light based on thermal excitation, i.e., heating by an electrical current, resulting in a very broad spectrum of energy that is dependent primarily on the temperature of the filament.
19. 'Infrared': that portion of the electromagnetic spectrum in which wavelengths range from 0.7 microns to 1 mm. This segment is further divided into near infrared (0.7-3.0 microns), mid infrared (3.0-6.0 microns), far infrared (6.0-15 microns), and extreme infrared (15 microns-1 mm). A NVG is sensitive to near infrared wavelengths approaching 0.9 microns.
20. 'Irradiance': the radiant flux density incident on a surface. For the purpose of this document the terms irradiance and illuminance shall be interchangeable.
21. 'Lumen': a measurement of luminous flux equal to the light emitted in a unit solid angle by a uniform point source of one candle intensity.
22. 'Luminance': the luminous intensity (reflected light) of a surface in a given direction per unit of projected area. This is the energy used by NVGs.

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23. 'Lux': a unit measurement of illumination. The illuminance produced on a surface that is one-meter square, from a uniform point source of one candle intensity, or one lumen per square meter.
 24. 'Microchannel plate': a wafer containing between 3 and 6 million specially treated microscopic glass tubes designed to multiply electrons passing from the photo cathode to the phosphor screen in second and third generation intensifier tubes.
 25. 'Micron': a unit of measure commonly used to express wavelength in the infrared region; equal to one millionth of a meter.
 26. 'Nanometer (nm)': a unit of measure commonly used to express wavelength in the visible and near infrared region; equal to one billionth of a meter.
 27. 'Night vision device (NVD)': an electro-optical device used to provide a visible image using the electromagnetic energy available at night.
 28. 'Photon': a quantum (basic unit) of radiant energy (light).
 29. 'Photopic vision': vision produced as a result of the response of the cones in the retina as the eye achieves a light adapted state (commonly referred to as day vision).
 30. 'Radiance': the flux density of radiant energy reflected from a surface. For the purposes of this manual the terms radiance and luminance shall be interchangeable.
 31. 'Reflectivity': the fraction of energy reflected from a surface.
 32. 'Scotopic vision': that vision produced as a result of the response of the rods in the retina as the eye achieves a dark-adapted state (commonly referred to as night vision).
 33. 'Situational awareness (SA)': degree of perceptual accuracy achieved in the comprehension of all factors affecting an aircraft and crew at a given time.
 34. 'Starlight': the illuminance provided by the available (observable) stars in a subject hemisphere. The stars provide approximately 0.00022 lux ground illuminance on a clear night. This illuminance is equivalent to about one-quarter of the actual light from the night sky with no moon.
 35. 'Stereopsis': visual system binocular cues that are used for distance estimation and depth perception. Three dimensional visual perception of objects. The use of NVGs seriously degrades this aspect of near-depth perception.
 36. 'Transmittance': the fraction of radiant energy that is transmitted through a layer of absorbing material placed in its path.
 37. 'Ultraviolet': that portion of the electromagnetic spectrum in which wavelengths range between 0.1 and 0.4 microns.
 38. 'Wavelength': the distance in the line of advance of a wave from any one point to the next point of corresponding phase; is used to express electromagnetic energy including IR and visible light.
 39. 'Whiteout': a condition similar to brownout but caused by blowing snow.

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SUBPART I: HELICOPTER HOIST OPERATIONS

AMC1 SPA.HHO.110(a) Equipment requirements for HHO

AIRWORTHINESS APPROVAL FOR HUMAN EXTERNAL CARGO

- (a) Hoist installations that have been certificated according to any of the following standards should be considered to satisfy the airworthiness criteria for human external cargo (HEC) operations:
 - (1) CS 27.865 or CS 29.865;
 - (2) JAR 27 Amendment 2 (27.865) or JAR 29 Amendment 2 (29.865) or later;
 - (3) FAR 27 Amendment 36 (27.865) or later - including compliance with CS 27.865(c)(6); or
 - (4) FAR 29 Amendment 43 (29.865) or later.
- (b) Hoist installations that have been certified prior to the issuance of the airworthiness criteria for HEC as defined in (a) may be considered as eligible for HHO provided that following a risk assessment either:
 - (1) the service history of the hoist installation is found satisfactory to the CAA; or
 - (2) for hoist installations with an unsatisfactory service history, additional substantiation to allow acceptance by the CAA should be provided by the hoist installation certificate holder (type certificate (TC) or supplemental type certificate (STC)) on the basis of the following requirements:
 - (i) The hoist installation should withstand a force equal to a limit static load factor of 3.5, or some lower load factor, not less than 2.5, demonstrated to be the maximum load factor expected during hoist operations, multiplied by the maximum authorised external load.
 - (ii) The reliability of the primary and back-up quick release systems at helicopter level should be established and failure mode and effect analysis at equipment level should be available. The assessment of the design of the primary and back-up quick release systems should consider any failure that could be induced by a failure mode of any other electrical or mechanical rotorcraft system.
 - (iii) The operations or flight manual contains one-engine-inoperative (OEI) hover performance data and procedures for the weights, altitudes, and temperatures throughout the flight envelope for which hoist operations are accepted.
 - (iv) Information concerning the inspection intervals and retirement life of the hoist cable should be provided in the instructions for continued airworthiness.
 - (v) Any airworthiness issue reported from incidents or accidents and not addressed by (i), (ii), (iii) and (iv) should be addressed.

AMC1 SPA.HHO.130(b)(2)(ii) Crew requirements for HHO

RELEVANT EXPERIENCE

The experience considered should take into account the geographical characteristics (sea, mountain, big cities with heavy traffic, etc.).

AMC1 SPA.HHO.130(e) Crew requirements for HHO

CRITERIA FOR TWO PILOT HHO

A crew of two pilots should be used when:

- (a) the weather conditions are below VFR minima at the offshore vessel or structure;
- (b) there are adverse weather conditions at the HHO site (i.e. turbulence, vessel movement, visibility); and
- (c) the type of helicopter requires a second pilot to be carried because of:
 - (1) cockpit visibility;
 - (2) handling characteristics; or
 - (3) lack of automatic flight control systems.

AMC1 SPA.HHO.130(f)(1) Crew requirements for HHO

TRAINING AND CHECKING SYLLABUS

- (a) The flight crew training syllabus should include the following items:
 - (1) fitting and use of the hoist;
 - (2) preparing the helicopter and hoist equipment for HHO;
 - (3) normal and emergency hoist procedures by day and, when required, by night;
 - (4) crew coordination concepts specific to HHO;
 - (5) practice of HHO procedures; and
 - (6) the dangers of static electricity discharge.
- (b) The flight crew checking syllabus should include:
 - (1) proficiency checks, which should include procedures likely to be used at HHO sites with special emphasis on:
 - (i) local area meteorology;
 - (ii) HHO flight planning;
 - (iii) HHO departures;
 - (iv) a transition to and from the hover at the HHO site;
 - (v) normal and simulated emergency HHO procedures; and
 - (vi) crew coordination.
- (c) HHO technical crew members should be trained and checked in the following items:

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- (1) duties in the HHO role;
 - (2) fitting and use of the hoist;
 - (3) operation of hoist equipment;
 - (4) preparing the helicopter and specialist equipment for HHO;
 - (5) normal and emergency procedures;
 - (6) crew coordination concepts specific to HHO;
 - (7) operation of inter-communication and radio equipment;
 - (8) knowledge of emergency hoist equipment;
 - (9) techniques for handling HHO passengers;
 - (10) effect of the movement of personnel on the centre of gravity and mass during HHO;
 - (11) effect of the movement of personnel on performance during normal and emergency flight conditions;
 - (12) techniques for guiding pilots over HHO sites;
 - (13) awareness of specific dangers relating to the operating environment; and
 - (14) the dangers of static electricity discharge.

AMC1 SPA.HHO.140 Information and documentation

OPERATIONS MANUAL

The operations manual should include:

- (a) performance criteria;
- (b) if applicable, the conditions under which offshore HHO transfer may be conducted including the relevant limitations on vessel movement and wind speed;
- (c) the weather limitations for HHO;
- (d) the criteria for determining the minimum size of the HHO site, appropriate to the task;
- (e) the procedures for determining minimum crew; and
- (f) the method by which crew members record hoist cycles.

SUBPART J: HELICOPTER EMERGENCY MEDICAL SERVICE OPERATIONS

GM1 SPA.HERMS.100(a) Helicopter emergency medical service (HERMS) operations

THE HERMS PHILOSOPHY

(a) Introduction

This GM outlines the HERMS philosophy. Starting with a description of acceptable risk and introducing a taxonomy used in other industries, it describes how risk has been addressed in this Subpart to provide a system of safety to the appropriate standard. It discusses the difference between HERMS and air ambulance - in regulatory terms. It also discusses the application of operations to public interest sites in the HERMS context.

(b) Acceptable risk

The broad aim of any aviation legislation is to permit the widest spectrum of operations with the minimum risk. In fact it may be worth considering who/what is at risk and who/what is being protected. In this view three groups are being protected:

- (1) third parties (including property) - highest protection;
- (2) passengers (including patients); and
- (3) crew members (including technical crew members) – lowest.

It is for the Legislator to facilitate a method for the assessment of risk - or as it is more commonly known, safety management (refer to Part-ORO).

(c) Risk management

Safety management textbooks¹ describe four different approaches to the management of risk. All but the first have been used in the production of this section and, if it is considered that the engine failure accountability of performance class 1 equates to zero risk, then all four are used (this of course is not strictly true as there are a number of helicopter parts - such as the tail rotor which, due to a lack of redundancy, cannot satisfy the criteria):

¹ Reason, J., 1997. Managing the Risks of Organizational Accidents. Ashgate, Farnham.

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- (1) Applying the taxonomy to HEMS gives:
- (i) zero risk; no risk of accident with a harmful consequence – performance class 1 (within the qualification stated above) - the HEMS operating base;
 - (ii) de minimis; minimised to an acceptable safety target - for example the exposure time concept where the target is less than 5×10^{-8} (in the case of elevated final approach and take-off areas (elevated FATOs) at hospitals in a congested hostile environment the risk is contained to the deck edge strike case - and so in effect minimised to an exposure of seconds);
 - (iii) comparative risk; comparison to other exposure - the carriage of a patient with a spinal injury in an ambulance that is subject to ground effect compared to the risk of a HEMS flight (consequential and comparative risk);
 - (iv) as low as reasonably practicable; where additional controls are not economically or reasonably practicable - operations at the HEMS operating site (the accident site).
- (2) HEMS operations are conducted in accordance with the requirements contained in Annex IV (Part-CAT) and Annex III (Part-ORO), except for the variations contained in SPA.HEMS, for which a specific approval is required. In simple terms there are three areas in HEMS operations where risk, beyond that allowed in Part-CAT and Part-ORO, are identified and related risks accepted:
- (i) in the en-route phase, where alleviation is given from height and visibility rules;
 - (ii) at the accident site, where alleviation is given from the performance and size requirement; and
 - (iii) at an elevated hospital site in a congested hostile environment, where alleviation is given from the deck edge strike - providing elements of the **CAT.POL.H.305** are satisfied.
- In mitigation against these additional and considered risks, experience levels are set, specialist training is required (such as instrument training to compensate for the increased risk of inadvertent entry into cloud) and operation with two crew (two pilots, or one pilot and a HEMS technical crew member) is mandated. (HEMS crews and medical passengers are also expected to operate in accordance with good crew resource management (CRM) principles.)
- (d) Air ambulance
- In regulatory terms, air ambulance is considered to be a normal transport task where the risk is no higher than for operations to the full OPS.CAT and Part-ORO compliance. This is not intended to contradict/complement medical terminology but is simply a statement of policy; none of the risk elements of HEMS should be extant and therefore none of the additional requirements of HEMS need be applied.
- To provide a road ambulance analogy:
- (1) if called to an emergency: an ambulance would proceed at great speed, sounding its siren and proceeding against traffic lights - thus matching the risk of operation to the risk of a potential death (= HEMS operations);
 - (2) for a transfer of a patient (or equipment) where life and death (or consequential injury of ground transport) is not an issue: the journey would be conducted without sirens and

within normal rules of motoring - once again matching the risk to the task (= air ambulance operations).

The underlying principle is that the aviation risk should be proportionate to the task.

It is for the medical professional to decide between HEMS or air ambulance - not the pilot. For that reason, medical staff who undertake to task medical sorties should be fully aware of the additional risks that are (potentially) present under HEMS operations (and the pre-requisite for the operator to hold a HEMS approval). (For example in some countries, hospitals have principal and alternative sites. The patient may be landed at the safer alternative site (usually in the grounds of the hospital) thus eliminating risk - against the small inconvenience of a short ambulance transfer from the site to the hospital.)

Once the decision between HEMS or air ambulance has been taken by the medical professional, the commander makes an operational judgement over the conduct of the flight.

Simplistically, the above type of air ambulance operations could be conducted by any operator holding an Air Operator Certificate (AOC) (HEMS operators hold an AOC) - and usually are when the carriage of medical supplies (equipment, blood, organs, drugs etc.) is undertaken and when urgency is not an issue.

(e) Operating under a HEMS approval

There are only two possibilities: transportation as passengers or cargo under the full auspices of OPS.CAT and Part-ORO (this does not permit any of the alleviations of SPA.HEMS - landing and take-off performance should be in compliance with the performance Subparts of Part-CAT), or operations under a HEMS approval as contained in this Subpart.

(f) HEMS operational sites

The HEMS philosophy attributes the appropriate levels of risk for each operational site; this is derived from practical considerations and in consideration of the probability of use. The risk is expected to be inversely proportional to the amount of use of the site. The types of site are as follows:

- (1) HEMS operating base: from which all operations will start and finish. There is a high probability of a large number of take-offs and landings at this HEMS operating base and for that reason no alleviation from operating procedures or performance rules are contained in this Subpart.
- (2) HEMS operating site: because this is the primary pick-up site related to an incident or accident, its use can never be pre-planned and therefore attracts alleviations from operating procedures and performance rules, when appropriate.
- (3) The hospital site: is usually at ground level in hospital grounds or, if elevated, on a hospital building. It may have been established during a period when performance criteria were not a consideration. The amount of use of such sites depends on their location and their facilities; normally, it will be greater than that of the HEMS operating site but less than for a HEMS operating base. Such sites attract some alleviation under this Subpart.

(g) Problems with hospital sites

During implementation of the original HEMS rules contained in JAR-OPS 3, it was established that a number of States had encountered problems with the impact of performance rules where helicopters were operated for HEMS. Although States accept that progress should be made towards operations where risks associated with a critical engine failure are eliminated, or

limited by the exposure time concept, a number of landing sites exist that do not (or never can) allow operations to performance class 1 or 2 requirements.

These sites are generally found in a congested hostile environment:

- (1) in the grounds of hospitals; or
- (2) on hospital buildings.

The problem of hospital sites is mainly historical and, whilst the authority could insist that such sites are not used – or used at such a low weight that critical engine failure performance is assured – it would seriously curtail a number of existing operations.

Even though the rule for the use of such sites in hospital grounds for HEMS operations attracts alleviation, it is only partial and will still impact upon present operations.

Because such operations are performed in the public interest, it was felt that the authority should be able to exercise its discretion so as to allow continued use of such sites provided that it is satisfied that an adequate level of safety can be maintained - notwithstanding that the site does not allow operations to performance class 1 or 2 standards. However, it is in the interest of continuing improvements in safety that the alleviation of such operations be constrained to existing sites, and for a limited period.

It is felt that the use of public interest sites should be controlled. This will require that a State directory of sites be kept and approval given only when the operator has an entry in the route manual section of the operations manual.

The directory (and the entry in the operations manual) should contain for each approved site:

- (i) the dimensions;
- (ii) any non-conformance with ICAO Annex 14;
- (iii) the main risks; and
- (iv) the contingency plan should an incident occur.

Each entry should also contain a diagram (or annotated photograph) showing the main aspects of the site.

(h) Summary

In summary, the following points are considered to be pertinent to the HEMS philosophy and HEMS regulations:

- (1) absolute levels of safety are conditioned by society;
- (2) potential risk must only be to a level proportionate to the task;
- (3) protection is afforded at levels appropriate to the occupants;
- (4) this Subpart addresses a number of risk areas and mitigation is built in;
- (5) only HEMS operations are dealt with by this Subpart;
- (6) there are three main categories of HEMS sites and each is addressed appropriately; and
- (7) State alleviation from the requirement at a hospital site is available but such alleviations should be strictly controlled by a system of registration.

GM1 SPA.HERMS.120 HERMS operating minima

REDUCED VISIBILITY

- (a) In the rule the ability to reduce the visibility for short periods has been included. This will allow the commander to assess the risk of flying temporarily into reduced visibility against the need to provide emergency medical service, taking into account the advisory speeds included in Table 1. Since every situation is different it was not felt appropriate to define the short period in terms of absolute figures. It is for the commander to assess the aviation risk to third parties, the crew and the aircraft such that it is proportionate to the task, using the principles of **GM1 SPA.HERMS.100(a)**.
- (b) When flight with a visibility of less than 5 km is permitted, the forward visibility should not be less than the distance travelled by the helicopter in 30 seconds so as to allow adequate opportunity to see and avoid obstacles (see table below).

Table 1

Operating minima – reduced visibility

| Visibility (m) | Advisory speed (kt) |
|----------------|---------------------|
| 800 | 50 |
| 1 500 | 100 |
| 2 000 | 120 |

GM1 SPA.HERMS.125(b)(3) Performance requirements for HERMS operations

PERFORMANCE CLASS 2 OPERATIONS AT A HERMS OPERATING SITE

As the risk profile at a HERMS operating site is already well known, operations without an assured safe forced landing capability do not need a separate approval and the requirements does not call for the additional risk assessment that is specified in **CAT.POL.H.305(b)(1)**.

AMC1 SPA.HERMS.125(b)(4) Performance requirements for HERMS operations

HERMS OPERATING SITE DIMENSIONS

- (a) When selecting a HERMS operating site it should have a minimum dimension of at least 2 x D (the largest dimensions of the helicopter when the rotors are turning). For night operations, unsurveyed HERMS operating sites should have dimensions of at least 4 x D in length and 2 x D in width.
- (b) For night operations, the illumination may be either from the ground or from the helicopter.

AMC1 SPA.HERMS.130(b)(2) Crew requirements

EXPERIENCE

The minimum experience level for a commander conducting HERMS flights should take into account the geographical characteristics of the operation (sea, mountain, big cities with heavy traffic, etc.).

AMC1 SPA.HERMS.130(d) Crew requirements

REGENCY

This recency may be obtained in a visual flight rules (VFR) helicopter using vision limiting devices such as goggles or screens, or in an FSTD.

AMC1 SPA.HERMS.130(e) Crew requirements

HERMS TECHNICAL CREW MEMBER

- (a) When the crew is composed of one pilot and one HERMS technical crew member, the latter should be seated in the front seat (co-pilot seat) during the flight, so as to be able to carry out his/her primary task of assisting the commander in:
 - (1) collision avoidance;
 - (2) the selection of the landing site; and
 - (3) the detection of obstacles during approach and take-off phases.
- (b) The commander may delegate other aviation tasks to the HERMS technical crew member, as necessary:
 - (1) assistance in navigation;
 - (2) assistance in radio communication/radio navigation means selection;
 - (3) reading of checklists; and
 - (4) monitoring of parameters.
- (c) The commander may also delegate to the HERMS technical crew member tasks on the ground:
 - (1) assistance in preparing the helicopter and dedicated medical specialist equipment for subsequent HERMS departure; or
 - (2) assistance in the application of safety measures during ground operations with rotors turning (including: crowd control, embarking and disembarking of passengers, refuelling etc.).
- (d) There may be exceptional circumstances when it is not possible for the HERMS technical crew member to carry out his/her primary task as defined under (a).

This is to be regarded as exceptional and is only to be conducted at the discretion of the commander, taking into account the dimensions and environment of the HERMS operating site.)
- (e) When two pilots are carried, there is no requirement for a HERMS technical crew member, provided that the pilot monitoring performs the aviation tasks of a technical crew member.

GM1 SPA.HERMS.130(e)(2)(ii) Crew requirements

SPECIFIC GEOGRAPHICAL AREAS

In defining those specific geographical areas, the operator should take account of the cultural lighting and topography. In those areas where the cultural lighting and topography make it unlikely that the visual cues would degrade sufficiently to make flying of the aircraft problematical, the HERMS technical crew member is assumed to be able to sufficiently assist the pilot, since under such circumstances instrument and control monitoring would not be required. In those cases where instrument and control monitoring would be required the operations should be conducted with two pilots.

AMC1 SPA.HERMS.130(e)(2)(ii)(B) Crew requirements

FLIGHT FOLLOWING SYSTEM

A flight following system is a system providing contact with the helicopter throughout its operational area.

AMC1 SPA.HERMS.130(f)(1) Crew requirements

TRAINING AND CHECKING SYLLABUS

- (a) The flight crew training syllabus should include the following items:
 - (1) meteorological training concentrating on the understanding and interpretation of available weather information;
 - (2) preparing the helicopter and specialist medical equipment for subsequent HERMS departure;
 - (3) practice of HERMS departures;
 - (4) the assessment from the air of the suitability of HERMS operating sites; and
 - (5) the medical effects air transport may have on the patient.
- (b) The flight crew checking syllabus should include:
 - (1) proficiency checks, which should include landing and take-off profiles likely to be used at HERMS operating sites; and
 - (2) line checks, with special emphasis on the following:
 - (i) local area meteorology;
 - (ii) HERMS flight planning;
 - (iii) HERMS departures;
 - (iv) the selection from the air of HERMS operating sites;
 - (v) low level flight in poor weather; and
 - (vi) familiarity with established HERMS operating sites in the operator's local area register.
- (c) HERMS technical crew members should be trained and checked in the following items:
 - (1) duties in the HERMS role;
 - (2) map reading, navigation aid principles and use;
 - (3) operation of radio equipment;
 - (4) use of on-board medical equipment;
 - (5) preparing the helicopter and specialist medical equipment for subsequent HERMS departure;
 - (6) instrument reading, warnings, use of normal and emergency checklists in assistance of the pilot as required;
 - (7) basic understanding of the helicopter type in terms of location and design of normal and emergency systems and equipment;

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- (8) crew coordination;
 - (9) practice of response to HEMS call out;
 - (10) conducting refuelling and rotors running refuelling;
 - (11) HEMS operating site selection and use;
 - (12) techniques for handling patients, the medical consequences of air transport and some knowledge of hospital casualty reception;
 - (13) marshalling signals;
 - (14) underslung load operations as appropriate;
 - (15) winch operations as appropriate;
 - (16) the dangers to self and others of rotor running helicopters including loading of patients; and
 - (17) the use of the helicopter inter-communications system.

AMC1 SPA.HEMS.130(f)(2)(ii)(B) Crew requirements

LINE CHECKS

Where due to the size, the configuration, or the performance of the helicopter, the line check cannot be conducted on an operational flight, it may be conducted on a specially arranged representative flight. This flight may be immediately adjacent to, but not simultaneous with, one of the biannual proficiency checks.

AMC1 SPA.HEMS.135(a) HEMS medical passenger and other personnel briefing

HEMS MEDICAL PASSENGER BRIEFING

The briefing should ensure that the medical passenger understands his/her role in the operation, which includes:

- (a) familiarisation with the helicopter type(s) operated;
- (b) entry and exit under normal and emergency conditions both for self and patients;
- (c) use of the relevant on-board specialist medical equipment;
- (d) the need for the commander's approval prior to use of specialised equipment;
- (e) method of supervision of other medical staff;
- (f) the use of helicopter inter-communication systems;
- (g) location and use of on board fire extinguishers; and
- (h) the operator's crew coordination concept including relevant elements of crew resource management.

AMC1.1 SPA.HEMS.135(a) HEMS medical passenger and other personnel briefing

HEMS MEDICAL PASSENGER BRIEFING

Another means of complying with the rule as compared to that contained in **AMC1 SPA.HEMS.135(a)** is to make use of a training programme as mentioned in **AMC1.1 CAT.OP.MPA.170**.

AMC1 SPA.HEMS.135(b) HEMS medical passenger and other personnel briefing

GROUND EMERGENCY SERVICE PERSONNEL

- (a) The task of training large numbers of emergency service personnel is formidable. Wherever possible, helicopter operators should afford every assistance to those persons responsible for training emergency service personnel in HEMS support. This can be achieved by various means, such as, but not limited to, the production of flyers, publication of relevant information on the operator's web site and provision of extracts from the operations manual.
- (b) The elements that should be covered include:
 - (1) two-way radio communication procedures with helicopters;
 - (2) the selection of suitable HEMS operating sites for HEMS flights;
 - (3) the physical danger areas of helicopters;
 - (4) crowd control in respect of helicopter operations; and
 - (5) the evacuation of helicopter occupants following an on-site helicopter accident.

AMC1 SPA.HEMS.140 Information and documentation

OPERATIONS MANUAL

The operations manual should include:

- (a) the use of portable equipment on board;
- (b) guidance on take-off and landing procedures at previously unsurveyed HEMS operating sites;
- (c) the final reserve fuel, in accordance with **SPA.HEMS.150**;
- (d) operating minima;
- (e) recommended routes for regular flights to surveyed sites, including the minimum flight altitude;
- (f) guidance for the selection of the HEMS operating site in case of a flight to an unsurveyed site;
- (g) the safety altitude for the area overflown; and
- (h) procedures to be followed in case of inadvertent entry into cloud.

SUBPART K: HELICOPTER OFFSHORE OPERATIONS

AMC1 SPA.HOFO.110(a) Operating procedures

RISK ASSESSMENT

The operator's risk assessment should include, but not be limited to, the following hazards:

- (a) collision with offshore installations, vessels and floating structures;
- (b) collision with wind turbines;
- (c) collision with skysails;
- (d) collision during low-level instrument meteorological conditions (IMC) operations;
- (e) collision with obstacles adjacent to helidecks;
- (f) collision with surface/water;
- (g) IMC or night offshore approaches;
- (h) loss of control during operations to small or moving offshore locations;
- (i) operations to unattended helidecks; and
- (j) weather and/or sea conditions that could either cause an accident or exacerbate its consequences.

AMC1 SPA.HOFO.110(b)(1) Operating procedures

OPERATIONAL FLIGHT PLAN

The operational flight plan should contain at least the items listed in **AMC1 CAT.OP.MPA.175(a)** Flight preparation.

AMC1 SPA.HOFO.110(b)(2) Operating procedures

PASSENGER BRIEFING

The following aspects applicable to the helicopter used should be presented and demonstrated to the passengers by audio-visual electronic means (video, DVD or similar), or the passengers should be informed about them by a crew member prior to boarding the aircraft:

- (a) the use of the life jackets and where they are stowed if not in use;
- (b) the proper use of survival suits, including briefing on the need to have suits fully zipped with, if applicable, hoods and gloves on, during take-off and landing or when otherwise advised by the pilot-in-command/commander;
- (c) the proper use of emergency breathing equipment;
- (d) the location and operation of the emergency exits;
- (e) life raft deployment and boarding;
- (f) deployment of all survival equipment; and
- (g) boarding and disembarkation instructions.

When operating in a non-hostile environment, the operator may omit items related to equipment that is not required.

AMC1.1 SPA.HOFO.110(b)(2) Operating procedures

PASSENGER BRIEFING

This AMC is applicable to passengers who require more knowledge of the operational concept, such as sea pilots and support personnel for offshore wind turbines.

The operator may replace the passenger briefing as set out in **AMC1 SPA.HOFO.110(b)(2)** with a passenger training and checking programme provided that:

- the operator ensures that the passenger is appropriately trained and qualified on the helicopter types on which they are to be carried;
- the operator defines the training and checking programme for each helicopter type, covering all safety and emergency procedures for a given helicopter type, and including practical training;
- the passenger has received the above training within the last 12 calendar months; and
- the passenger has flown on the helicopter type within the last 90 days.

AMC1 SPA.HOFO.110(b)(5) Operating procedures

AUTOMATIC FLIGHT CONTROL SYSTEM (AFCS)

To ensure competence in manual handling of the helicopter, the operator should provide instructions to the flight crew in the operations manual (OM) under which circumstances the helicopter may be operated in lower modes of automation. Particular emphasis should be given to flight in instrument meteorological conditions (IMC) and instrument approaches.

GM1 SPA.HOFO.110(b)(9) Operating Procedures

Emergency flotation systems (EFSs) cannot always be armed safely before the approach when a speed limitation needs to be complied with. In such case, the EFS should be armed as soon as safe to do so.

AMC1 SPA.HOFO.115 Use of offshore locations

GENERAL

- (a) The operations manual (OM) relating to the specific usage of offshore helicopter landing areas (Part C for CAT operators) should contain, or make reference to, a directory of helidecks (helideck directory (HD)) intended to be used by the operator. The directory should provide details of helideck limitations and a pictorial representation of each offshore location and its helicopter landing area, recording all necessary information of a permanent nature and using a standardised template. The HD entries should show, and be amended as necessary, the most recent status of each helideck concerning non-compliance with applicable national standards, limitations, warnings, cautions or other comments of operational importance. An example of a typical template is shown in Figure 1 of **GM1 SPA.HOFO.115** below.
- (b) In order to ensure that the safety of flights is not compromised, the operator should obtain relevant information and details in order to compile the HD, as well as the pictorial representation from the owner/operator of the offshore helicopter landing area.

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- (c) If more than one name for the offshore location exists, the common name painted on the surface of the landing area should be listed, but other names should also be included in the HD (e.g. radio call sign, if different). After renaming an offshore location, the old name should also be included in the HD for the following 6 months.
- (d) Any limitations associated with an offshore location should be included in the HD. With complex installation arrangements, including combinations of installations/vessels (e.g. combined operations), a separate listing in the HD, accompanied by diagrams/pictures, where necessary, may be required.
- (e) Each offshore helicopter landing area should be inspected and assessed based on limitations, warnings, instructions and restrictions, in order to determine its acceptability with respect to the following as a minimum:
- (1) The physical characteristics of the landing area, including size, load-bearing capability and the appropriate 'D' and 't' values.

 Note 1: 'D' is the overall length of the helicopter from the most forward position of the main rotor tip to the most rearward position of the tail rotor tip plane path, or rearmost extension of the fuselage in the case of 'Fenestron' or 'NOTAR' tails.

 Note 2: 't' is the maximum allowable mass in tonnes.
 - (2) The preservation of obstacle-protected surfaces (an essential safeguard for all flights). These surfaces are:
 - (i) the minimum 210° obstacle-free surface (OFS) above helideck level;
 - (ii) the 150° limited-obstacle surface (LOS) above helideck level; and
 - (iii) the minimum 180° falling '5:1' gradient with respect to significant obstacles below helideck level.

If these sectors/surfaces are infringed, even on a temporary basis, and/or if an adjacent installation or vessel infringes the obstacle-protected surfaces related to the landing area, an assessment should be made to determine whether it is necessary to impose operating limitations and/or restrictions to mitigate any non-compliance with the criteria.
 - (3) Marking and lighting:
 - (i) for operations at night, adequate illumination of the perimeter of the landing area, using perimeter lighting that meets national requirements;
 - (ii) for operations at night, adequate illumination of the location of the touchdown marking by use of a lit touchdown/positioning marking and lit helideck identification marking that meet national requirements;
 - (iii) status lights (for night and day operations, indicating the status of the helicopter landing area, e.g. a red flashing light indicates 'landing area unsafe: do not land') meeting national requirements;
 - (iv) dominant-obstacle paint schemes and lighting;
 - (v) condition of helideck markings; and
 - (vi) adequacy of general installation and structure lighting.

Any limitations with respect to non-compliance of lighting arrangements may require the HD to be annotated 'daylight only operations'.
 - (4) Deck surface:
 - (i) assessment of surface friction;

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- (ii) adequacy and condition of helideck net (where provided);
 - (iii) 'fit for purpose' drainage system;
 - (iv) deck edge safety netting or shelving;
 - (v) a system of tie-down points that is adequate for the range of helicopters in use; and
 - (vi) procedures to ensure that the surface is kept clean of all contaminants, e.g. bird guano, sea spray, snow and ice.
- (5) Environment:
- (i) foreign-object damage;
 - (ii) an assessment of physical turbulence generators, e.g. structure-induced turbulence due to clad derrick;
 - (iii) bird control measures;
 - (iv) air flow degradation due to gas turbine exhaust emissions (turbulence and thermal effects), flares (thermal effects) or cold gas vents (unburned flammable gas); and
 - (v) adjacent offshore installations may need to be included in the environmental assessment.
- To assess for potential adverse environmental effects, as described in (ii), (iv) and (v) above, an offshore location should be subject to appropriate studies, e.g. wind tunnel testing and/or computational fluid dynamics (CFD) analysis.
- (6) Rescue and firefighting:
- (i) systems for delivery of firefighting media to the landing area, e.g. deck integrated firefighting system (DIFFS);
 - (ii) delivery of primary media types, assumed critical area, application rate and duration;
 - (iii) deliveries of complementary agent(s) and media types, capacity and discharge;
 - (iv) personal protective equipment (PPE); and
 - (v) rescue equipment and crash box/cabinet.
- (7) Communication and navigation (Com/Nav):
- (i) aeronautical radio(s);
 - (ii) radio-telephone (R/T) call sign to match the offshore location name with the side identification that should be simple and unique; and
 - (iii) radio log.
- (8) Fuelling facilities:
- in accordance with the relevant national guidance and legislation.
- (9) Additional operational and handling equipment:
- (i) windsock;
 - (ii) meteorological information, including wind, pressure, air temperature, and dew point temperature, and equipment recording and displaying mean wind (10-min wind) and gusts;

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- (iii) helideck motion recording and reporting system, where applicable;
 - (iv) passenger briefing system;
 - (v) chocks;
 - (vi) tie-down strops/ropes;
 - (vii) weighing scales;
 - (viii) a suitable power source for starting helicopters (e.g. ground power unit (GPU)), where applicable; and
 - (ix) equipment for clearing the landing area of snow, ice and other contaminants.
- (10) Personnel:
- trained helicopter-landing-area staff (e.g. helicopter landing officer/helicopter deck assistant and firefighters, etc.); persons required to assess local weather conditions or communicate with the helicopter by radio-telephony should be appropriately qualified.
- (f) The HD entry for each offshore location should be completed and kept up to date, using the template and reflecting the information and details described in (e) above. The template should contain at least the following (**GM1 SPA.HOFO.115** below is provided as an example):
- (1) details:
- (i) name of offshore location;
 - (ii) R/T call sign;
 - (iii) helicopter landing area identification marking;
 - (iv) side panel identification marking;
 - (v) landing area elevation;
 - (vi) maximum installation/vessel height;
 - (vii) helideck size and/or 'D' value;
 - (viii) type of offshore location:
 - (A) fixed, permanently manned installation;
 - (B) fixed, normally unattended installation;
 - (C) vessel type (e.g. diving support vessel, tanker, etc.);
 - (D) semi-submersible, mobile, offshore drilling unit;
 - (E) jack-up, mobile, offshore drilling unit;
 - (F) floating production, storage and offloading (FPSO);
 - (ix) name of owner/operator;
 - (x) geographical position, where appropriate;
 - (xi) Com/Nav frequencies and identification;
 - (xii) general drawing of the offshore location that shows the helicopter landing area with annotations indicating location of derrick, masts, cranes, flare stack, turbine and gas exhausts, side identification panels, windsock, etc.;
 - (xiii) plan view drawing, and chart orientation from the general drawing to show the above; the plan view should also show the 210-degree sector orientation in degrees true;

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- (xiv) type of fuelling:
 - (A) pressure and gravity;
 - (B) pressure only;
 - (C) gravity only; and
 - (D) none;
 - (xv) type and nature of firefighting equipment;
 - (xvi) availability of GPU;
 - (xvii) deck heading;
 - (xviii) 't' value ;
 - (xix) status light system (Yes/No); and
 - (xx) revision publication date or number; and
 - (2) one or more diagrams/photographs, and any other suitable guidance to assist pilots.
 - (g) For offshore locations for which there is incomplete information, 'restricted' usage based on the information available may be considered by the operator, subject to risk assessment prior to the first helicopter visit. During subsequent operations, and before any restriction on usage is lifted, information should be gathered and the following should apply:
 - (1) pictorial (static) representation:
 - (i) template blanks (**GM1 SPA.HOFO.115** is provided as an example) should be available to be filled in during flight preparation on the basis of the information given by the offshore location owner/operator and of flight crew observations;
 - (ii) where possible, suitably annotated photographs may be used until the HD entry and template have been completed;
 - (iii) until the HD entry and template have been completed, conservative operational restrictions (e.g. performance, routing, etc.) may be applied;
 - (iv) any previous inspection reports should be obtained and reviewed by the operator; and
 - (v) an inspection of the offshore helicopter landing area should be carried out to verify the content of the completed HD entry and template; once found suitable, the landing area may be considered authorised for use by the operator; and
 - (2) with reference to the above, the HD entry should contain at least the following:
 - (i) HD revision date or number;
 - (ii) generic list of helideck motion limitations;
 - (iii) name of offshore location;
 - (iv) helideck size and/or 'D' value and 't' value; and
 - (v) limitations, warnings, instructions and restrictions.

GM1 SPA.HOFO.115 Use of offshore locations

Figure 1 — Example of a helicopter landing area template

| | | |
|----------|------|---------------|
| Operator | 10-1 | Revision date |
|----------|------|---------------|

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART K: HELICOPTER OFFSHORE
OPERATIONS

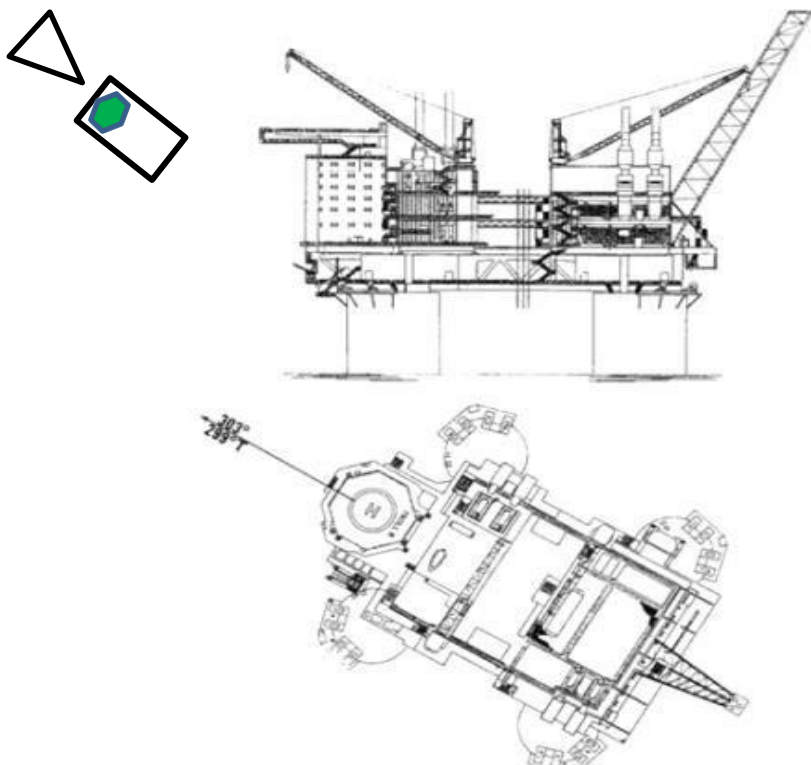
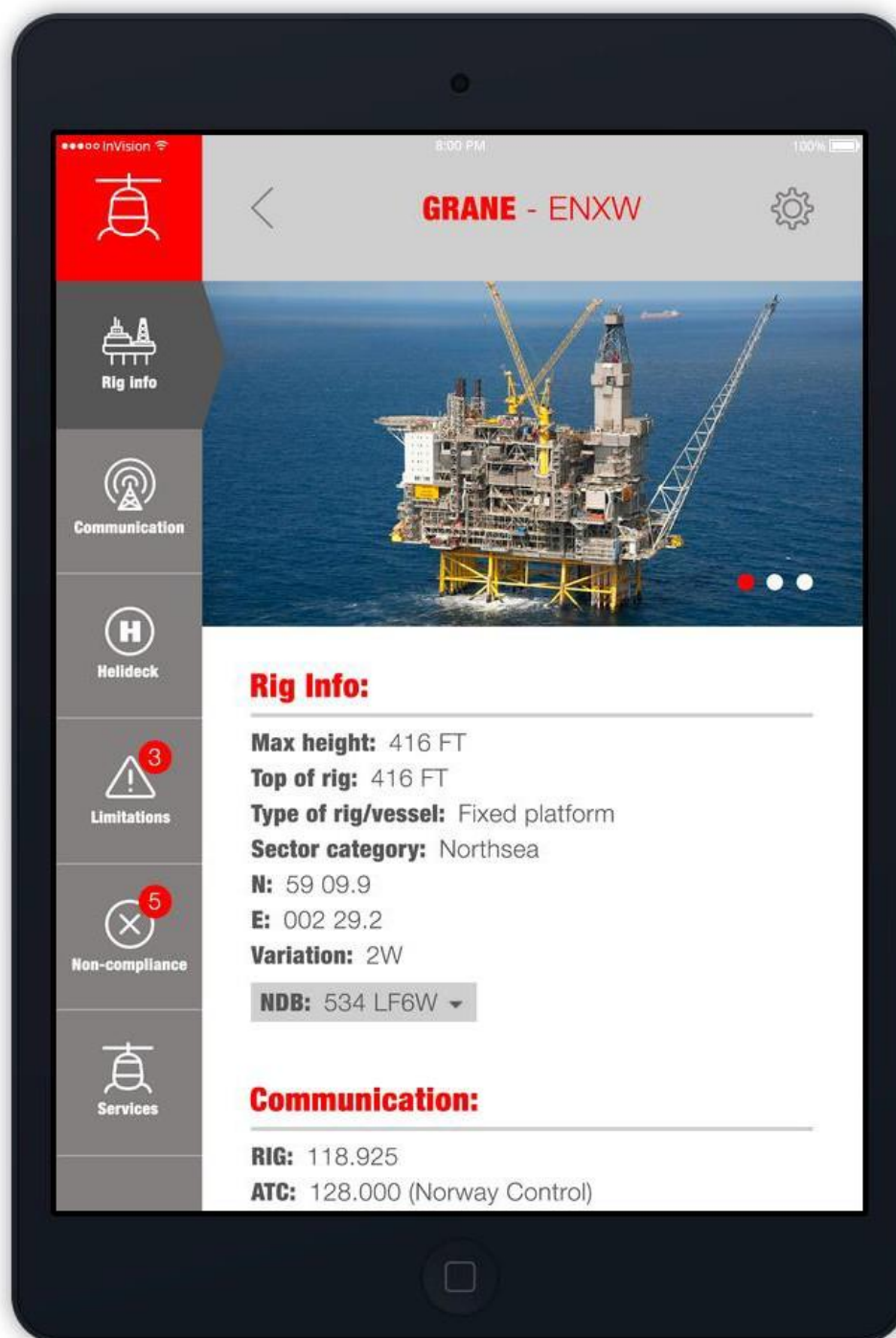
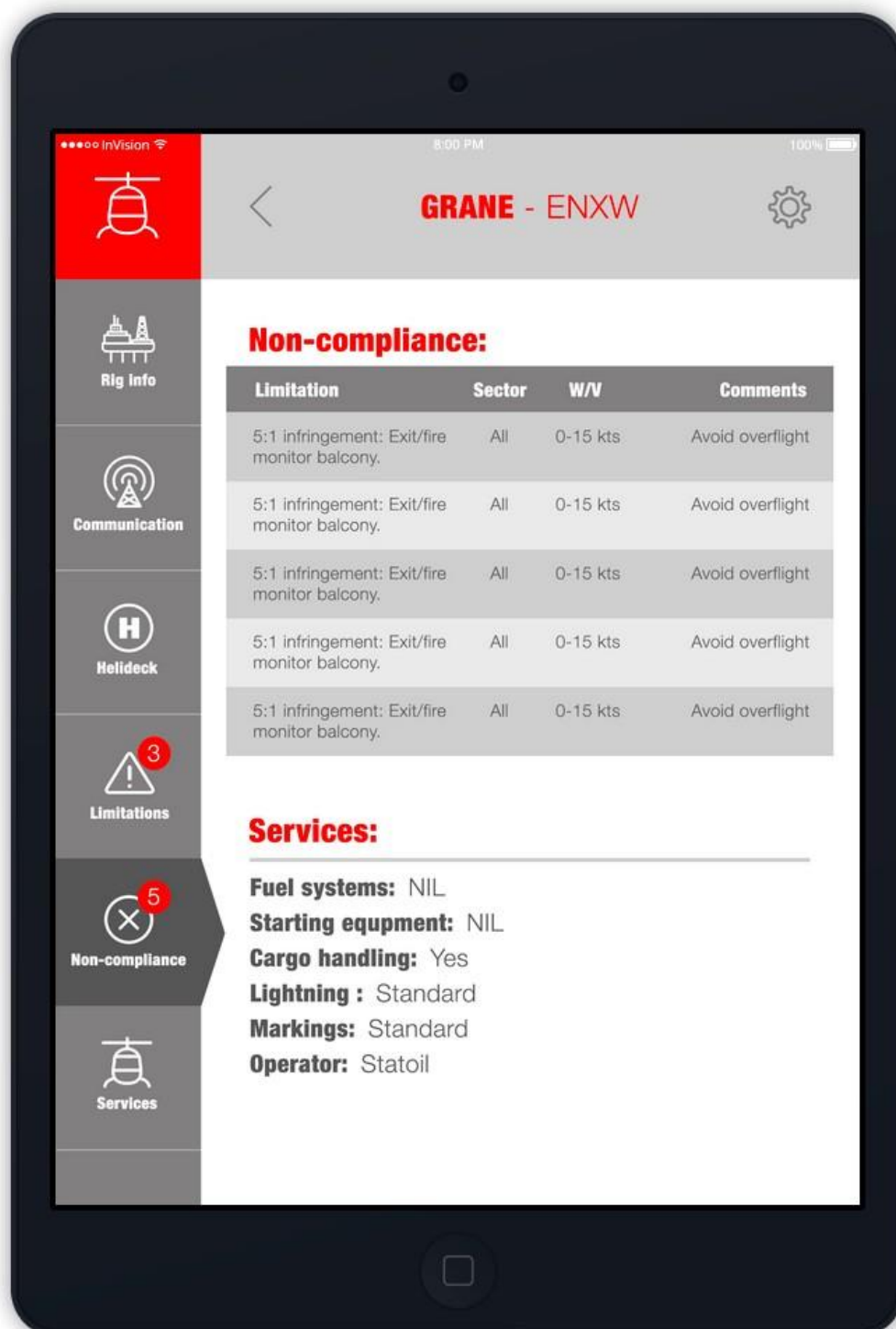
| | | | | |
|-------------------------------------------------------------------------------------|---------------------|-----------------------------------|-------------------|----------------------------------------------|
| Installation/vessel name | | Position | (N/S XXX) | (E/W XXX) |
| Deck height | Installation height | Highest obstacle within 5 nm | Deck heading | Deck ident |
| (XXX ft) | (XXX ft) | | | |
| AIMS/ICAO code | Radio | Radio | Deck category | Side ident |
| | | | (1/2/3) | |
| Deck size (m) | T value (XXX kg) | Cleared for (above D or t values) | Installation type | Operator |
| | | (Helicopter type xxx) | (Fixed/semi/etc.) | |
| Fuel | Ground power | Inspection date | Inspected by | Next due |
| (Press/gravity/no) | (AC/DC/no) | | | |
|  | | | | |
| Wind direction | | Wind speed | | Limitations |
| (All) (000–050) | | (All) (> 30) | | (Performance requirements) (Table 2 etc.) |
| 5:1 non-compliant obstacles | | | | |
| Additional information | | | | |

Figure 2 — Example of a helicopter landing area template





GM2 SPA.HOFO.115 Use of offshore locations

Operators should use available standards and regulations provided for operations to offshore locations such as those contained in United Kingdom Civil Aviation Authority (UK CAA) CAP 437 'Standards for Offshore Helicopter Landing Areas', Norwegian Civil Aviation Regulation BSL D 5-1 or similar national documentation, or ICAO Annex 14, Vol II 'Heliports'.

AMC1 SPA.HOFO.120 Selection of aerodromes and operating sites

COASTAL AERODROME

- (a) Any alleviation from the requirement to select an alternate aerodrome for a flight to a coastal aerodrome under instrument flight rules (IFR) routing from offshore should be based on an individual safety risk assessment.
- (b) The following should be taken into account:
 - (1) suitability of the weather based on the landing forecast for the destination;
 - (2) the fuel required to meet the IFR requirements of **CAT.OP.MPA.150**, **NCC.OP.131** or **SPO.OP.131** except for the alternate fuel;
 - (3) where the destination coastal aerodrome is not directly on the coast, it should be:
 - (i) within a distance that with the fuel specified in (b)(2), the helicopter is able, at any time after crossing the coastline, to return to the coast, descend safely, carry out an approach under visual flight rules (VFR) and land, with the VFR fuel reserves intact;
 - (ii) within 5 nm of the coastline; and
 - (iii) geographically sited so that the helicopter is able, within the rules of the air and within the landing forecast:
 - (A) to proceed inbound from the coast at 500-ft above ground level (AGL), and carry out an approach and landing under VFR; or
 - (B) to proceed inbound from the coast on an agreed route, and carry out an approach and landing under VFR;
 - (4) procedures for coastal aerodromes should be based on a landing forecast no worse than:
 - (i) by day, a cloud base of ≥ 400 ft above descent height (DH)/minimum descent height (MDH), and a visibility of 4 km, or, if descent over the sea is intended, a cloud base of 600 ft and a visibility of 4 km; or
 - (ii) by night, a cloud base of 1 000 ft and a visibility of 5 km;
 - (5) the descent to establish visual contact with the surface should take place over the sea or as part of the instrument approach;
 - (6) routings and procedures for coastal aerodromes nominated as such should be included in the operations manual (OM) (Part C for CAT operators);
 - (7) the minimum equipment list (MEL) should reflect the requirement for airborne radar and radio altimeter for this type of operation; and
 - (8) operational limitations for each coastal aerodrome should be specified in the OM.

AMC2 SPA.HOFO.120 Selection of aerodromes and operating sites

OFFSHORE DESTINATION ALTERNATE AERODROME

‘Aerodrome’ is referred to as ‘helideck’ in this AMC.

(a) Offshore destination alternate helideck landing environment

The landing environment at an offshore location proposed for use as an offshore destination alternate helideck should be pre-surveyed, together with the physical characteristics, such as the effect of wind direction and strength, as well as of turbulence established. This information, which should be available to the pilot-in-command/commander both at the planning stage and in-flight, should be published in an appropriate form in the operations manual (OM) (including the orientation of the helideck) so that the suitability of the alternate helideck can be assessed. This helideck should meet the criteria for size and obstacle clearance appropriate to the performance requirements of the type of helicopter concerned.

(b) Performance considerations

The use of an offshore destination alternate helideck should be restricted to helicopters that can achieve one engine inoperative (OEI) in ground effect (IGE) hover at an appropriate power rating above the helideck at the offshore location. Where the surface of the helideck or prevailing conditions (especially wind velocity) precludes an OEI IGE, OEI out-of-ground effect (OGE) hover performance at an appropriate power rating should be used to compute the landing mass. The landing mass should be calculated based on graphs provided in the operations manual (OM) (Part B for CAT operators). When this landing mass is computed, due account should be taken of helicopter configuration, environmental conditions and the operation of systems that have an adverse effect on performance. The planned landing mass of the helicopter, including crew, passengers, baggage, cargo plus 30-min final reserve fuel (FRF), should not exceed the OEI landing mass of the helicopter at the time of approach to the offshore destination alternate.

(c) Weather considerations

(1) Meteorological observations

When the use of an offshore destination alternate helideck is planned, the meteorological observations, both at the offshore destination and the alternate helideck, should be made by an observer acceptable to the authority responsible for the provision of meteorological services. Automatic meteorological-observation stations may be used.

(2) Weather minima

When the use of an offshore destination alternate helideck is planned, the operator should neither select an offshore location as destination nor as alternate helideck unless the weather forecasts for the two offshore locations indicate that during a period commencing 1 h before and ending 1 h after the expected time of arrival at the destination and the alternate helideck, the weather conditions will be at or above the planning minima shown in the following table:

Table 1 — Planning minima

| Planning minima | | |
|-----------------|--------|--------|
| | Day | Night |
| Cloud base | 600 ft | 800 ft |
| Visibility | 4 km | 5 km |

(3) Conditions of fog

To use an offshore destination alternate helideck, it should be ensured that fog is not forecast or present within 60 nm of the destination helideck and alternate helideck during the period commencing 1 h before and ending 1 h after the expected time of arrival at the offshore destination or alternate helideck.

(d) Actions at point of no return

Before passing the point of no return, which should not be more than 30 min from the destination, the following actions should have been completed:

- (1) confirmation that navigation to the offshore destination and offshore destination alternate helideck can be assured;
- (2) radio contact with the offshore destination and offshore destination alternate helideck (or master station) has been established;
- (3) the landing forecast at the offshore destination and offshore destination alternate helideck have been obtained and confirmed to be at or above the required minima;
- (4) the requirements for OEI landing (see (b) above) have been checked in the light of the latest reported weather conditions to ensure that they can be met; and
- (5) to the extent possible, having regard to information on the current and forecast use of the offshore alternate helideck and on prevailing conditions, the availability of the helideck on the offshore location intended as destination alternate helideck should be guaranteed by the duty holder (the rig operator in the case of fixed installations, and the owner in the case of mobile ones) until the landing at the destination, or the offshore destination alternate helideck, has been achieved or until offshore shuttling has been completed.

AMC1 SPA.HOFO.125 Airborne radar approach (ARA) to offshore locations

Note: alternative approach procedures using original equipment manufacturer (OEM)-certified approach systems are not covered by this AMC.

GENERAL

- (a) Before commencing the final approach, the pilot-in-command/commander should ensure that a clear path exists on the radar screen for the final and missed approach segments. If lateral clearance from any obstacle will be less than 1 nm, the pilot-in-command/commander should:
 - (1) approach to a nearby target structure and thereafter proceed visually to the destination structure; or
 - (2) make the approach from another direction leading to a circling manoeuvre.
- (b) The cloud ceiling should be sufficiently clear above the helideck to permit a safe landing.
- (c) Minimum descent height (MDH) should not be less than 50 ft above the elevation of the helideck:
 - (1) the MDH for an airborne radar approach should not be lower than:
 - (i) 200 ft by day; or
 - (ii) 300 ft by night; and

- (2) the MDH for an approach leading to a circling manoeuvre should not be lower than:
 - (i) 300 ft by day; or
 - (ii) 500 ft by night.
- (d) Minimum descent altitude (MDA) may only be used if the radio altimeter is unserviceable. The MDA should be a minimum of the MDH + 200 ft, and be based on a calibrated barometer at the destination or on the lowest forecast barometric pressure adjusted to sea level (QNH) for the region.
- (e) The decision range should not be less than 0.75 nm.
- (f) The MDA/MDH for a single-pilot ARA should be 100 ft higher than that calculated in accordance with (c) and (d) above. The decision range should not be less than 1 nm.
- (g) For approaches to non-moving offshore locations, the maximum range discrepancy between the global navigation satellite system (GNSS) and the weather radar display should not be greater than 0.3 nm at any point between the final approach fix (FAF) at 4 nm from the offshore location and the offset initiation point (OIP) at 1.5 nm from the offshore location.
- (h) For approaches to non-moving offshore locations, the maximum bearing discrepancy between the GNSS and the weather radar display should not be greater than 10° at the FAF at 4 nm from the offshore location.

GM1 SPA.HOFO.125 Airborne radar approach (ARA) to offshore locations

GENERAL

- (a) General
 - (1) The helicopter ARA procedure may have as many as five separate segments: the arrival, initial, intermediate, final approach, and missed approach segment. In addition, the specifications of the circling manoeuvre to a landing under visual conditions should be considered. The individual approach segments can begin and end at designated fixes. However, the segments of an ARA may often begin at specified points where no fixes are available.
 - (2) The fixes, or points, are named to coincide with the beginning of the associated segment. For example, the intermediate segment begins at the intermediate fix (IF) and ends at the final approach fix (FAF). Where no fix is available or appropriate, the segments begin and end at specified points; for example, at the intermediate point (IP) and final approach point (FAP). The order in which the segments are discussed in this GM is the order in which the pilot would fly them in a complete procedure: that is, from the arrival through the initial and intermediate to the final approach and, if necessary, to the missed approach.
 - (3) Only those segments that are required by local conditions applying at the time of the approach need to be included in a procedure. In constructing the procedure, the final approach track, which should be orientated so as to be substantially into the wind, should be identified first as it is the least flexible and most critical of all the segments. When the origin and the orientation of the final approach have been determined, the other necessary segments should be integrated with it to produce an orderly manoeuvring pattern that does not generate an unacceptably high workload for the flight crew.
 - (4) Where an ARA is conducted to a non-moving offshore location (i.e. fixed installation or

moored vessel), and a reliable global navigation satellite system (GNSS) position for the location is available, the GNSS/area navigation system should be used to enhance the safety of the ARA. This is achieved by using the GNSS/area navigation system to navigate the helicopter onto, and maintain, the final approach track, and by using the GNSS range and bearing information to cross-check the position of the offshore location on the weather radar display.

- (5) Examples of ARA procedures, as well as vertical profile and missed approach procedures, are contained in Figures 1 and 2 below.

(b) Obstacle environment

- (1) Each segment of the ARA is located in an overwater area that has a flat surface at sea level. However, due to the passage of large vessels which are not required to notify their presence, the exact obstacle environment cannot be determined. As the largest vessels and structures are known to reach elevations exceeding 500 ft above mean sea level (AMSL), the uncontrolled offshore obstacle environment applying to the arrival, initial and intermediate approach segments can reasonably be assumed to be capable of reaching to at least 500 ft AMSL. Nevertheless, in the case of the final approach and missed approach segments, specific areas are involved within which no radar returns are allowed. In these areas, the height of wave crests, and the possibility that small obstacles may be present that are not visible on radar, results in an uncontrolled surface environment that extends to an elevation of 50 ft AMSL.
- (2) Information about movable obstacles should be requested from the arrival destination or adjacent installations.
- (3) Under normal circumstances, the relationship between the approach procedure and the obstacle environment is governed by the concept that vertical separation is very easy to apply during the arrival, initial and intermediate segments, while horizontal separation, which is much more difficult to guarantee in an uncontrolled environment, is applied only in the final and missed approach segments.

(c) Arrival segment

The arrival segment commences at the last en-route navigation fix, where the aircraft leaves the helicopter route, and it ends either at the initial approach fix (IAF) or, if no course reversal or similar manoeuvre is required, it ends at the IF. Standard en-route obstacle clearance criteria should be applied to the arrival segment.

(d) Initial approach segment

The initial approach segment is only required if the intermediate approach track cannot be joined directly. Most approaches will be flown direct to a point close to the IF, and then on to the final approach track, using GNSS/area navigation guidance. The segment commences at the IAF, and on completion of the manoeuvre, it ends at the IP. The minimum obstacle clearance (MOC) assigned to the initial approach segment is 1 000 ft.

(e) Intermediate approach segment

The intermediate approach segment commences at the IP, or in the case of straight-in approaches, where there is no initial approach segment, it commences at the IF. The segment ends at the FAP and should not be less than 2 nm in length. The purpose of the intermediate segment is to align the helicopter with the final approach track and prepare it for the final approach. During the intermediate segment, the helicopter should be lined up with the final approach track, the speed should be stabilised, the destination should be identified on the radar, and the final approach and missed approach areas should be identified and verified to be clear of radar returns. The MOC assigned to the intermediate segment is 500 ft.

(f) Final approach segment

- (1) The final approach segment commences at the FAP and ends at the missed approach point (MAPt). The final approach area, which should be identified on radar, takes the form of a corridor between the FAP and the radar return of the destination. This corridor should not be less than 2 nm wide so that the projected track of the helicopter does not pass closer than 1 nm to the obstacles lying outside the area.
- (2) On passing the FAP, the helicopter will descend below the intermediate approach altitude and follow a descent gradient which should not be steeper than 6.5 %. At this stage, vertical separation from the offshore obstacle environment will be lost. However, within the final approach area, the MDA/MDH will provide separation from the surface environment. Descent from 1 000 ft AMSL to 200 ft AMSL at a constant 6.5 % gradient will involve a horizontal distance of 2 nm. In order to follow the guideline that the procedure should not generate an unacceptably high workload for the flight crew, the required actions of levelling off at MDH, changing heading at the offset initiation point (OIP), and turning away at the MAPt, should not be planned to occur at the same time from the destination.
- (3) During the final approach, compensation for drift should be applied, and the heading which, if maintained, would take the helicopter directly to the destination should be identified. It follows that at an OIP located at a range of 1.5 nm, a heading change of 10° is likely to result in a track offset of 15° at 1 nm, and the extended centre line of the new track can be expected to have a mean position approximately 300–400 m to one side of the destination structure. The safety margin built into the 0.75-nm decision range (DR) is dependent upon the rate of closure with the destination. Although the airspeed should be in the range of 60–90 KIAS during the final approach, the ground speed, after due allowance for wind velocity, should not be greater than 70 kt.

(g) Missed approach segment

- (1) The missed approach segment commences at the MAPt and ends when the helicopter reaches the minimum en route altitude. The missed approach manoeuvre is a 'turning missed approach' which should be of not less than 30° and should not, normally, be greater than 45°. A turn away of more than 45° does not reduce the collision risk factor any further nor does it permit a closer DR. However, turns of more than 45° may increase the risk of pilot disorientation, and by inhibiting the rate of climb (especially in the case of an OEI missed approach procedure), may keep the helicopter at an extremely low level for longer than it is desirable.
- (2) The missed approach area to be used should be identified and verified as a clear area on the radar screen during the intermediate approach segment. The base of the missed approach area is a sloping surface at 2.5 % gradient starting from MDH at the MAPt. The concept is that a helicopter executing a turning missed approach will be protected by the horizontal boundaries of the missed approach area until vertical separation of more than 130 ft is achieved between the base of the area and the offshore obstacle environment of 500 ft AMSL that prevails outside the area.
- (3) A missed approach area, taking the form of a 45° sector orientated left or right of the final approach track, originating from a point 5 nm short of the destination, and terminating on an arc 3 nm beyond the destination, should normally satisfy the specifications of a 30° turning missed approach.

(h) Required visual reference

The visual reference required is that the destination should be in view in order to be able to carry out a safe landing.

(i) Radar equipment

During the ARA procedure, colour mapping radar equipment with a 120° sector scan and a 2.5-nm range scale selected may result in dynamic errors of the following order:

- (1) bearing/tracking error of $\pm 4.5^\circ$ with 95 % accuracy;
- (2) mean ranging error of 250 m; or
- (3) random ranging error of ± 250 m with 95 % accuracy.

Figure 1 — Horizontal profile

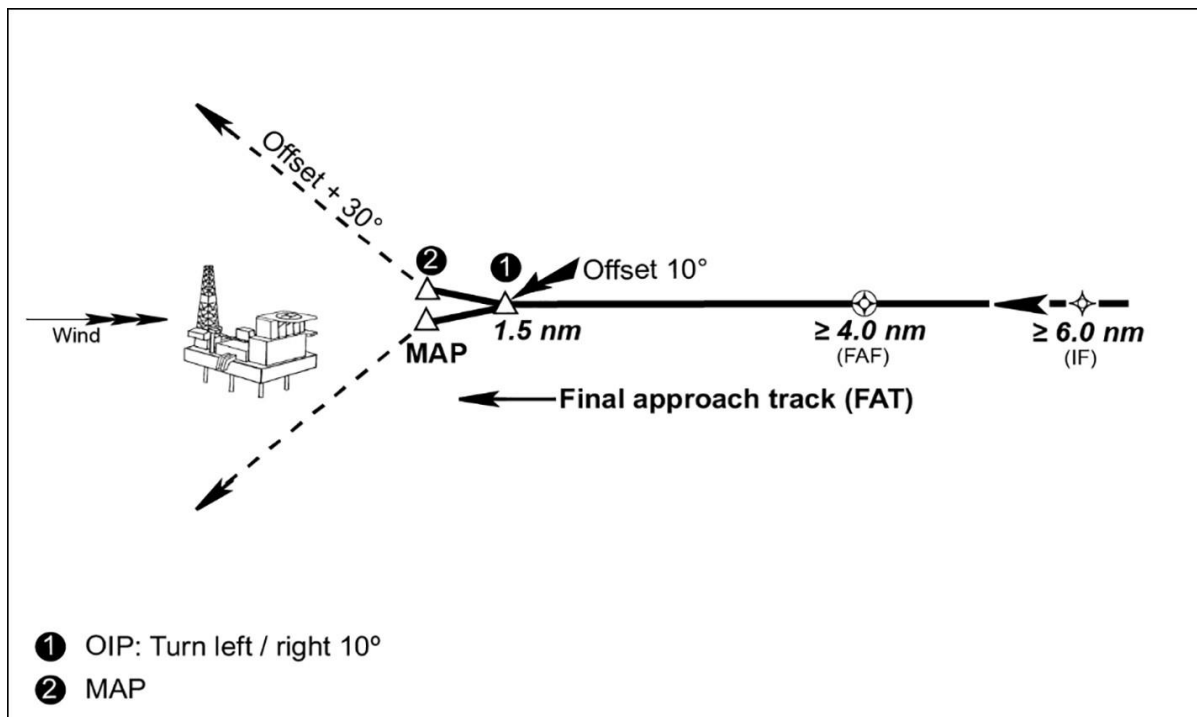
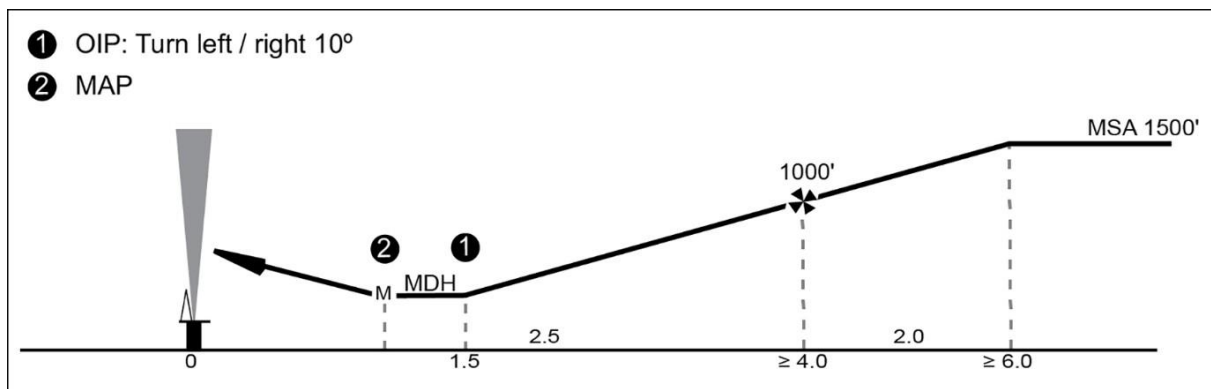


Figure 2 — Vertical profile



GM2 SPA.HOFO.125 Airborne radar approach (ARA) to offshore locations

GLOBAL NAVIGATION SATELLITE SYSTEM (GNSS)/AREA NAVIGATION SYSTEM

Where an ARA is conducted to a non-moving offshore location (i.e. fixed installation or moored vessel), and the GNSS/area navigation system is used to enhance the safety of the ARA, the following procedure or equivalent should be applied:

- (a) selection from the area navigation system database or manual entry of the offshore location;
- (b) manual entry of the final approach fix (FAF) or intermediate fix (IF), as a range of and bearing from the offshore location;
- (c) operation of the GNSS equipment in terminal mode;
- (d) comparison of weather radar and GNSS range and bearing data to cross-check the position of the offshore location;
- (e) use of GNSS guidance to guide the aircraft onto the final approach track during the initial or intermediate approach segments;
- (f) use of GNSS guidance from the FAF towards the offset initiation point (OIP) during the final approach segment to establish the helicopter on the correct approach track and, hence, heading;
- (g) transition from GNSS guidance to navigation based on headings once the track is stabilised and before reaching OIP;
- (h) use of GNSS range of and bearing to the offshore location during the intermediate and final approach segments to cross-check weather radar information (for correct 'painting' of the destination and, hence, of other obstacles);
- (i) use of GNSS range of the offshore location to enhance confidence in the weather radar determination of arrival at the OIP and MAPt; and
- (j) use of GNSS range of and bearing to the destination to monitor separation from the offshore location.

AMC1 SPA.HOFO.140 Performance requirements – take-off and landing at offshore locations

FACTORS

To ensure that the necessary factors are taken into account, operators not conducting CAT operations should use take-off and landing procedures that are appropriate to the circumstances and have been developed in accordance with **ORO.MLR.100** in order to minimise the risks of collision with obstacles at the individual offshore location under the prevailing conditions.

AMC1 SPA.HOFO.145 Flight data monitoring (FDM) programme

FDM PROGRAMME

Refer to **AMC1 ORO.AOC.130**.

Note: **Appendix 1 to AMC1 ORO.AOC.130** is not valid for helicopters.

GM1 SPA.HOFO.145 Flight data monitoring (FDM) programme

DEFINITION OF AN FDM PROGRAMME

Refer to **GM1 ORO.AOC.130**, except for the examples that are specific to aeroplane operation.

GM2 SPA.HOFO.145 Flight data monitoring (FDM) programme

FDM

Additional guidance material for the establishment of a FDM programme is found in:

- (a) International Civil Aviation Organization (ICAO) Doc 10000 — Manual on Flight Data Analysis Programmes (FDAP); and
- (b) United Kingdom Civil Aviation Authority (UK CAA) CAP 739 — Flight Data Monitoring.

The following table provides examples of FDM events that may be further developed using operator- and helicopter-specific limits. The table is considered illustrative and non-exhaustive.

Table 1 — Examples of FDM events

| Event title/description | Parameters required | Comments |
|----------------------------------------------------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| Ground | | |
| Outside air temperature (OAT) high — Operating limits | OAT | To identify when the helicopter is operated at the limits of OAT. |
| Sloping-ground high-pitch attitude | Pitch attitude, ground switch (similar) | To identify when the helicopter is operated at the slope limits. |
| Sloping-ground high-roll attitude | Roll attitude, ground switch (similar) | To identify when the helicopter is operated at the slope limits. |
| Rotor brake on at an excessive number of rotations (main rotor speed) (NR) | Rotor brake discreet, NR | To identify when the rotor brake is applied at too high NR. |
| Ground taxiing speed — max | Ground speed (GS), ground switch (similar) | To identify when the helicopter is ground taxied at high speed (wheeled helicopters only). |
| Air taxiing speed — max | GS, ground switch (similar), radio altitude (Rad Alt) | To identify when the helicopter is air taxied at high speed. |
| Excessive power during ground taxiing | Total torque (Tq), ground switch (similar), GS | To identify when excessive power is used during ground taxiing. |
| Pedal — max left-hand (LH) and right-hand (RH) taxiing | Pedal position, ground switch (similar), GS or NR | To identify when the helicopter flight controls (pedals) are used to excess on the ground. GS or NR to exclude control test prior to rotor start. |
| Excessive yaw rate on ground during taxiing | Yaw rate, ground switch (similar), or Rad Alt | To identify when the helicopter yaws at a high rate when on the ground. |
| Yaw rate in hover or on ground | Yaw rate, GS, ground switch (similar) | To identify when the helicopter yaws at a high rate when in a hover. |
| High lateral acceleration (rapid cornering) | Lateral acceleration, ground switch (similar) | To identify high levels of lateral acceleration, when ground taxiing, that indicate high cornering speed. |

| Event title/description | Parameters required | Comments |
|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| High longitudinal acceleration (rapid braking) | Longitudinal acceleration, ground switch (similar) | To identify high levels of longitudinal acceleration, when ground taxiing, that indicate excessive braking. |
| Cyclic-movement limits during taxiing (pitch or roll) | Cyclic stick position, ground switch (similar), Rad Alt, NR or GS | To identify excessive movement of the rotor disc when running on ground. GS or NR to exclude control test prior to rotor start. |
| Excessive longitudinal and lateral cyclic rate of movement on ground | Longitudinal cyclic pitch rate, lateral cyclic pitch rate, NR | To detect an excessive rate of movement of cyclic control when on the ground with rotors running. |
| Lateral cyclic movement — closest to LH and RH rollover | Lateral cyclic position, pedal position, roll attitude, elapsed time, ground switch (similar) | To detect the risk of a helicopter rollover due to an incorrect combination of tail rotor pedal position and lateral cyclic control position when on ground. |
| Excessive cyclic control with insufficient collective pitch on ground | Collective pitch, longitudinal cyclic pitch, lateral cyclic pitch | To detect an incorrect taxiing technique likely to cause rotor head damage. |
| Inadvertent lift-off | Ground switch (similar), autopilot discreet | To detect inadvertent lifting into hover. |
| Flight — Take-off and landing | | |
| Day or night landing or take-off | Latitude and Longitude (Lat & Long), local time or UTC | To provide day/night relevance to detected events. |
| Specific location of landing or take-off | Lat & Long, ground switch (similar), Rad Alt, total Tq | To give contextual information concerning departures and destinations. |
| Gear extension and retraction — airspeed limit | Indicated airspeed (IAS), gear position | To identify when undercarriage airspeed limitations are breached. |
| Gear extension & retraction — height limit | Gear position, Rad Alt | To identify when undercarriage altitude limitations are breached. |
| Heavy landing | Normal/vertical acceleration, ground switch (similar) | To identify when hard/heavy landings take place. |
| Cabin heater on (take-off and landing) | Cabin heater discreet, ground switch (similar) | To identify use of engine bleed air during periods of high power demand. |
| High GS prior to touchdown (TD) | GS, Rad Alt, ground switch (similar), elapsed time, latitude, longitude | To assist in the identification of 'quick stop' approaches. |
| Flight — Speed | | |
| High airspeed — with power | IAS, Tq 1, Tq 2, pressure altitude (Palt), OAT | To identify excessive airspeed in flight. |
| High airspeed — low altitude | IAS, Rad Alt | To identify excessive airspeed in low-level flight. |
| Low airspeed at altitude | IAS, Rad Alt | To identify a 'hover out of ground' effect. |
| Airspeed on departure (< 300 ft) | IAS, ground switch (similar), Rad Alt | To identify shallow departure. |
| High airspeed — power off | IAS, Tq 1, Tq 2 or one engine inoperative (OEI) discreet, Palt, OAT | To identify limitation exceedance of power-off airspeed. |
| Downwind flight within 60 sec of take-off | IAS, GS, elapsed time | To detect early downwind turn after take-off. |
| Downwind flight within 60 sec of landing | IAS, GS, elapsed time | To detect late turn to final shortly before landing. |
| Flight — Height | | |
| Altitude — max | Palt | To detect flight outside of the published flight envelope. |

| Event title/description | Parameters required | Comments |
|------------------------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Climb rate — max | Vertical speed (V/S), or Palt, or Rad Alt, Elapsed time | Identification of excessive rates of climb (RoC) can be determined from an indication/rate of change of Palt or Rad Alt. |
| High rate of descent | V/S | To identify excessive rates of descent (RoD). |
| High rate of descent (speed or height limit) | V/S, IAS or Rad Alt or elevation | To identify RoD at low level or low speed. |
| Settling with power (vortex ring) | V/S, IAS, GS, Tq | To detect high-power settling with low speed and with excessive rate of descent. |
| Minimum altitude in autorotation | NR, total Tq, Rad Alt | To detect late recovery from autorotation. |
| Low cruising (inertial systems) | GS, V/S, elevation, Lat & Long | To detect an extended low-level flight. Ground speed is less accurate with more false alarms. Lat & Long used for geographical boundaries. |
| Low cruising (integrated systems) | Rad Alt, elapsed time, Lat & Long, ground switch (similar) | To detect an extended low-level flight. |
| Flight — Attitude and controls | | |
| Excessive pitch (height related — turnover (T/O), cruising or landing) | Pitch attitude, Rad Alt elevation, Lat & Long | To identify inappropriate use of excessive pitch attitude during flight. Height limits may be used (i.e. on take-off and landing or < 500 ft) — Lat & Long required for specific-location-related limits. Elevation less accurate than Rad Alt. Elevation can be used to identify the landing phase in a specific location. |
| Excessive pitch (speed related — T/O, cruising or landing) | Pitch attitude, IAS, GS, Lat & Long | To identify inappropriate use of excessive pitch attitude during flight. Speed limits may be used (i.e. on take-off and landing or in cruising) — Lat & Long required for specific-location-related limits. GS less accurate than IAS. |
| Excessive pitch rate | Pitch rate, Rad Alt, IAS, ground switch (similar), Lat & Long | To identify inappropriate use of excessive rate of pitch change during flight. Height limits may be used (i.e. on take-off and landing). IAS only for IAS limit, ground switch (similar) and Lat & Long required for specific-location-related limits. |
| Excessive roll/bank attitude (speed or height related) | Roll attitude, Rad Alt, IAS/GS | To identify excessive use of roll attitude. Rad Alt may be used for height limits, IAS/GS may be used for speed limits. |
| Excessive roll rate | Roll rate, Rad Alt, Lat & Long, Ground switch (similar) | Rad Alt may be used for height limits, Lat & Long and ground switch (similar) required for specific-location-related and air/ground limits. |
| Excessive yaw rate | Yaw rate | To detect excessive yaw rates in flight. |
| Excessive lateral cyclic control | Lateral cyclic position, ground switch (similar) | To detect movement of the lateral cyclic control to extreme left or right positions. Ground switch (similar) required for pre or post T/O. |
| Excessive longitudinal cyclic control | Longitudinal cyclic position, ground switch (similar) | To detect movement of the longitudinal cyclic control to extreme forward or aft positions. Ground switch (similar) required for pre or post T/O. |

| Event title/description | Parameters required | Comments |
|-----------------------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Excessive collective pitch control | Collective position, ground switch (similar) | To detect exceedances of the aircraft flight manual (AFM) collective pitch limit. Ground switch (similar) required for pre or post T/O. |
| Excessive tail rotor control | Pedal position, ground switch (similar) | To detect movement of the tail rotor pedals to extreme left and right positions. Ground switch (similar) required for pre or post T/O. |
| Manoeuvre G loading or turbulence | Lat & Long, normal accelerations, ground switch (similar) or Rad Alt | To identify excessive G loading of the rotor disc, both positive and negative. Ground switch (similar) required to determine air/ground. Rad Alt required if height limit required. |
| Pilot workload/turbulence | Collective and/or cyclic and/or tail rotor pedal position and change rate (Lat & Long) | To detect high workload and/or turbulence encountered during take-off and landing phases. Lat & Long required for specific landing sites. A specific and complicated algorithm for this event is required. See United Kingdom Civil Aviation Authority (UK CAA) Paper 2002/02. |
| Cross controlling | Roll rate, yaw rate, pitch rate, GS, accelerations | To detect an 'out of balance' flight. Airspeed could be used instead of GS. |
| Quick stop | GS (min and max), V/S, pitch | To identify inappropriate flight characteristics. Airspeed could be used instead of GS. |
| Flight — General | | |
| OEI — Air | OEI discreet, ground switch (similar) | To detect OEI conditions in flight. |
| Single engine flight | No 1 engine Tq, No 2 engine Tq | To detect single-engine flight. |
| Torque split | No 1 engine Tq, No 2 engine Tq | To identify engine-related issues. |
| Pilot event | Pilot event discreet | To identify when flight crews have depressed the pilot event button. |
| Traffic collision avoidance system (TCAS) traffic advisory (TA) | TCAS TA discreet | To identify TCAS alerts. |
| Training computer active | Training computer mode active or discreet | To identify when helicopter have been on training flights. |
| High/low rotor speed — power on | NR, Tq (ground switch (similar), IAS, GS) | To identify mishandling of NR. Ground switch (similar), IAS or ground speed required to determine whether helicopter is airborne. |
| High/low rotor speed — power off | NR, Tq (ground switch (similar), IAS, GS) | To identify mishandling of NR. Ground switch (similar), IAS or ground speed to determine whether helicopter is airborne. |
| Fuel content low | Fuel contents | To identify low-fuel alerts. |
| Helicopter terrain awareness and warning system (HTAWS) alert | HTAWS alerts discreet | To identify when HTAWS alerts have been activated. |
| Automatic voice alert device (AVAD) alert | AVAD discreet | To identify when AVAD alerts have been activated. |
| Bleed air system use during take-off (e.g. heating) | Bleed air system discreet, ground switch (similar), IAS | To identify use of engine bleed air during periods of high power demand. |

| Event title/description | Parameters required | Comments |
|----------------------------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| Rotors' running duration | NR, elapsed time | To identify rotors' running time for billing purposes. |
| Flight — Approach | | |
| Stable approach heading change | Magnetic heading, Rad Alt, ground switch (similar), gear position, elapsed time | To identify unstable approaches. |
| Stable approach pitch attitude | Pitch attitude, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Stable approach rod GS | Altitude rate, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Stable approach track change | Track, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Stable approach angle of bank | Roll attitude, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Stable approach — rod at specified height | Altitude rate, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Stable approach — IAS at specified height | IAS, Rad Alt, ground switch (similar), gear position | To identify unstable approaches. |
| Glideslope deviation above or below | Glideslope deviation | To identify inaccurately flown instrument landing system (ILS) approaches. |
| Localiser deviation left and right | Localiser deviation | To identify inaccurately flown ILS approaches. |
| Low turn to final | Elevation, GS, V/S, heading change | Airspeed could be used instead of GS. |
| Premature turn to final | Elevation, GS, V/S, heading change | Airspeed could be used instead of GS. |
| Stable approach — climb | IAS (min & max), V/S (min & max), elevation | To identify unstable approaches. |
| Stable approach — descent | IAS (min & max), V/S, elevation | To identify unstable approaches. |
| Stable approach — bank | IAS (min & max), V/S, elevation, roll | To identify unstable approaches. |
| Stable approach — late turn | Heading change, elevation, GS | To identify unstable approaches. |
| Go-around | Gear select (Rad Alt) | To identify missed approaches. Rad Alt for height limit. |
| Rate of descent on approach | Altitude rate, Rad Alt, Lat & Long, ground switch (similar) | To identify high rates of descent when at low level on approach. Rad Alt if below specified height, Lat & Long for specified location required. |
| Flight — Autopilot | | |
| Condition of autopilot in flight | Autopilot discreet | To detect flight without autopilot engaged; per channel for multichannel autopilots. |
| Autopilot engaged within 10 sec after take-off | Autopilot engaged discreet, elapsed time, ground switch (similar), total Tq, Rad Alt | To identify inadvertent lift-off without autopilot engaged. |
| Autopilot engaged on ground (postflight or preflight) | Autopilot engaged discreet, elapsed time, ground switch (similar), total Tq, Rad Alt | To identify inappropriate use of autopilot when on ground. Elapsed time required to allow for permissible short periods. |
| Excessive pitch attitude with autopilot engaged on ground (offshore) | Pitch attitude, autopilot discreet, ground switch (similar), Lat & Long | To identify potential for low NR when helicopter pitches on floating helideck. |

| Event title/description | Parameters required | Comments |
|---------------------------------------------------------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Airspeed hold engaged — airspeed (departure or non-departure) | Autopilot modes discreet, IAS, (ground switch (similar), total Tq, Rad Alt) | To detect early engagement of autopilot higher modes. Ground switch (similar), total Tq and Rad Alt to determine if the flight profile is 'departure'. |
| Airspeed hold engaged — altitude (departure or non-departure) | Autopilot modes discreet, Rad Alt, (IAS, ground switch (similar), total Tq) | To detect early engagement of autopilot higher modes. IAS, ground switch (similar), total Tq to determine if the flight profile is 'departure'. |
| Alt mode engaged — altitude (departure or non-departure) | Autopilot modes discreet, Rad Alt, (ground switch (similar), total Tq, IAS) | To detect early engagement of autopilot higher modes. Ground switch (similar), total Tq and Rad Alt to determine if the flight profile is 'departure'. |
| Alt mode engaged — airspeed (departure or non-departure) | Autopilot modes discreet, IAS, (ground switch (similar), total Tq, Rad Alt) | To detect early engagement of autopilot higher modes. IAS, ground switch (similar), total Tq to determine if the flight profile is 'departure'. |
| Heading mode engaged — speed | Autopilot modes discreet, IAS | To detect engagement of autopilot higher modes below minimum speed limitations. Ground switch (similar), total Tq and Rad Alt to determine if the flight profile is 'departure'. |
| V/S mode active — below specified speed | Autopilot modes discreet, IAS | To detect engagement of autopilot higher modes below minimum speed limitations. |
| VS mode engaged — altitude (departure or non-departure) | Autopilot modes discreet, IAS, (WOW, total Tq, Rad Alt) | To detect early engagement of autopilot higher modes. Ground switch (similar), total Tq and Rad Alt to determine if the flight profile is 'departure'. |
| Flight director (FD) engaged — speed | FD discreet, IAS | To detect engagement of autopilot higher modes below minimum speed limitations. |
| FD-coupled approach or take off — airspeed | FD discreet, IAS, ground switch (similar) | To detect engagement of autopilot higher modes below minimum speed limitations. |
| Go-around mode engaged — airspeed | Autopilot modes discreet, IAS, ground switch (similar), total Tq, Rad Alt | To detect engagement of autopilot higher modes below minimum speed limitations. |
| Flight without autopilot channels engaged | Autopilot channels | To detect flight without autopilot engaged; per channel for multichannel autopilots. |

AMC1 SPA.HOFO.150 Aircraft tracking system

GENERAL

Flights should be tracked and monitored from take-off to landing. This function may be achieved by the air traffic services (ATS) when the planned route and the planned diversion routes are fully included in airspace blocks where:

- ATS surveillance service is normally provided and supported by ATC surveillance systems locating the aircraft at time intervals with adequate duration; and
- the operator has given to competent air navigation services (ANS) providers the necessary contact information.

In all other cases, the operator should establish a detailed procedure describing how the aircraft tracking system is to be monitored, and what actions and when are to be taken if a deviation or anomaly has been detected.

GM1 SPA.HOFO.150 Aircraft tracking system

OPERATIONAL PROCEDURE

The procedure should take into account the following aspects:

- (a) the outcome of the risk assessment made when the update frequency of the information was defined;
- (b) the local environment of the intended operations; and
- (c) the relationship with the operator's emergency response plan.

Aircraft tracking data should be recorded on the ground and retained for at least 48 h. Following an accident or a serious incident subject to investigation, the data should be retained for at least 30 days, and the operator should be capable of providing a copy of this data without delay.

AMC1 SPA.HOFO.155 Vibration health monitoring (VHM) system

GENERAL

Any VHM system should meet all of the following criteria:

- (a) VHM system capability

The VHM system should measure vibration characteristics of rotating critical components during flight, using suitable vibration sensors, techniques, and recording equipment. The frequency and flight phases of data measurement should be established together with the type certificate holder (TCH) during the initial entry into service. In order to appropriately manage the generated data and focus upon significant issues, an alerting system should be established; this is normally automatic. Accordingly, alert generation processes should be developed to reliably advise maintenance personnel of the need to intervene and help determine what type of intervention is required.

- (b) Approval of VHM installation

The VHM system, which typically comprises vibration sensors and associated wiring, data acquisition and processing hardware, the means of downloading data from the helicopter, the ground-based system and all associated instructions for operation of the system, should be certified in accordance with CS-29 or equivalent, established by the CAA.

Note: for applications that may also provide maintenance credit (see Federal Aviation Administration (FAA) Advisory Circular (AC) 29-2C Miscellaneous Guidance (MG) 15), the level of system integrity required may be higher.

- (c) Operational procedures

The operator should establish procedures to address all necessary VHM subjects.

- (d) Training

The operator should determine which staff will require VHM training, determine appropriate syllabi, and incorporate them into the operator's initial and recurrent training programmes.

GM1 SPA.HOFO.155 Vibration health monitoring (VHM) system

GENERAL

Operators should utilise available international guidance material provided for the specification and design of VHM systems.

Further guidance can be found in:

- (a) CS 29.1465 Vibration health monitoring and associated AMC;
- (b) Federal Aviation Administration (FAA) Advisory Circular (AC) 29-2C Miscellaneous Guidance (MG) 15 — Airworthiness Approval of Rotorcraft Health Usage Monitoring Systems (HUMSs); and
- (c) United Kingdom Civil Aviation Authority (UK CAA) CAP 753 — Helicopter Vibration Health Monitoring.

GM1 SPA.HOFO.160(a)(1) Additional equipment requirements

PUBLIC ADDRESS (PA) SYSTEM

When demonstrating the performance of the PA system or that the pilot's voice is understandable at all passengers' seats during flight, the operator should ensure compatibility with the passengers' use of ear defenders/ear plugs (hearing protection). The operator should only provide hearing protection that is compatible with the intelligibility of the PA system or pilot's voice, as appropriate.

GM1 SPA.HOFO.160(a)(2) Additional equipment requirements

RADIO ALTIMETER

For additional information, please refer to **AMC1 CAT.IDE.H.145** Radio altimeters and **AMC2 CAT.IDE.H.145** Radio altimeters, as well as to **GM1 CAT.IDE.H.145** Radio altimeters.

AMC1 SPA.HOFO.165(c) Additional procedures and equipment for operations in hostile environment

EMERGENCY BREATHING SYSTEM (EBS)

The EBS of **SPA.HOFO.165(c)** should be an EBS system capable of rapid underwater deployment.

AMC1 SPA.HOFO.165(d) Additional procedures and equipment for operations in hostile environment

INSTALLATION OF THE LIFE RAFT

- (a) Projections on the exterior surface of the helicopter that are located in a zone delineated by boundaries that are 1.22 m (4 ft) above and 0.61 m (2 ft) below the established static waterline could cause damage to a deployed life raft. Examples of projections that need to be considered are aerials, overboard vents, unprotected split-pin tails, guttering, and any projection sharper than a three-dimensional right-angled corner.
- (b) While the boundaries specified in (a) above are intended as a guide, the total area that should

- be considered should also take into account the likely behaviour of the life raft after deployment in all sea states up to the maximum in which the helicopter is capable of remaining upright.
- (c) Wherever a modification or alteration is made to a helicopter within the boundaries specified, the need to prevent the modification or alteration from causing damage to a deployed life raft should be taken into account in the design.
 - (d) Particular care should also be taken during routine maintenance to ensure that additional hazards are not introduced by, for example, leaving inspection panels with sharp corners proud of the surrounding fuselage surface, or by allowing door sills to deteriorate to a point where their sharp edges may become a hazard.

AMC1 SPA.HOFO.165(h) Additional procedures and equipment for operations in a hostile environment

EMERGENCY EXITS AND ESCAPE HATCHES

In order for all passengers to escape from the helicopter within an expected underwater survival time of 60 sec in the event of capsizing, the following provisions should be made:

- (a) there should be an easily accessible emergency exit or suitable opening for each passenger;
- (b) an opening in the passenger compartment should be considered suitable as an underwater escape facility if the following criteria are met:
 - (1) the means of opening should be rapid and obvious;
 - (2) passenger safety briefing material should include instructions on the use of such escape facilities;
 - (3) for the egress of passengers with shoulder width of 559 mm (22 in.) or smaller, a rectangular opening should be no smaller than 356 mm (14 in.) wide, with a diagonal between corner radii no smaller than 559 mm (22 in.), when operated in accordance with the instructions;
 - (4) non-rectangular or partially obstructed openings (e.g. by a seat back) should be capable of admitting an ellipse of 559 mm x 356 mm (22 in. x 14 in.); and
 - (5) for the egress of passengers with shoulder width greater than 559 mm (22 in.), openings should be no smaller than 480 mm x 660 mm (19 in. x 26 in.) or be capable of admitting an ellipse of 480 mm x 660 mm (19 in. x 26 in.);
- (c) suitable openings and emergency exits should be used for the underwater escape of no more than two passengers, unless large enough to permit the simultaneous egress of two passengers side by side:
 - (1) if the exit size provides an unobstructed area that encompasses two ellipses of size 480 mm x 660 mm (19 in. x 26 in.) side by side, then it may be used for four passengers; and
 - (2) if the exit size provides an unobstructed area that encompasses two ellipses of size 356 mm x 559 mm (14 in. x 22 in.) side by side, then it may be used for four passengers with shoulder width no greater than 559 mm (22 in.) each; and
- (d) passengers with shoulder width greater than 559 mm (22 in.) should be identified and allocated to seats with easy access to an emergency exit or opening that is suitable for them.

GM1 SPA.HOFO.165(h) Additional procedures and equipment for operations in a hostile environment

SEAT ALLOCATION

The identification and seating of the larger passengers might be achieved through the use of patterned and/or colour-coded armbands and matching seat headrests.

AMC1 SPA.HOFO.165(i) Additional procedures and equipment for operations in a hostile environment

MEDICALLY INCAPACITATED PASSENGER

- (a) A 'Medically incapacitated passenger' means a person who is unable to wear the required survival equipment, including life jackets, survival suits and emergency breathing systems (EBSs), as determined by a medical professional. The medical professional's determination should be made available to the pilot-in-command/commander prior to arrival at the offshore installation.
- (b) The operator should establish procedures for the cases where the pilot-in-command/commander may accept a medically incapacitated passenger not wearing or partially wearing survival equipment. To ensure proportionate mitigation of the risks associated with an evacuation, the procedures should be based on, but not be limited to, the severity of the incapacitation, sea and air temperature, sea state, and number of passengers on board.

In addition, the operator should establish the following procedures:

- (1) under which circumstances one or more dedicated persons are required to assist a medically incapacitated passenger during a possible emergency evacuation, and the skills and qualifications required;
- (2) seat allocation for the medically incapacitated passenger and possible assistants in the helicopter types used to ensure optimum use of the emergency exits; and
- (3) evacuation procedures related to whether or not the dedicated persons as described in (1) above are present.

AMC1 SPA.HOFO.170(a) Crew requirements

FLIGHT CREW TRAINING AND CHECKING

- (a) Flight crew training programmes should:
 - (1) improve knowledge of the offshore operations environment with particular consideration of visual illusions during approach, introduced by lighting, motion and weather factors;
 - (2) improve crew cooperation specifically for offshore operations;
 - (3) provide flight crew members with the necessary skills to appropriately manage the risks associated with normal, abnormal and emergency procedures during flights by day and night;
 - (4) if night operations are conducted, give particular consideration to approach, go-around, landing, and take-off phases;

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- (5) include instructions on the optimum use of the helicopter's automatic flight control system (AFCS);
 - (6) for multi-pilot operation, emphasise the importance of multi-crew procedures, as well as the role of the pilot monitoring during all phases of the flight; and
 - (7) include standard operating procedures.
- (b) Emergency and safety equipment training should focus on the equipment fitted/carried. Water entry and sea survival training, including operation of all associated safety equipment, should be an element of the recurrent training, as described in **AMC1 ORO.FC.230(a)(2)(iii)(F)**.
 - (c) The training elements referred to above should be assessed during: operator proficiency checks, line checks, or, as applicable, emergency and safety equipment checks.
 - (d) Training and checking should make full use of full flight simulators (FFSs) for normal, abnormal, and emergency procedures related to all aspects of helicopter offshore operations (HOFO).

SUBPART L: SINGLE-ENGINE TURBINE AEROPLANE OPERATIONS AT NIGHT OR IN INSTRUMENT METEOROLOGICAL CONDITIONS (SET-IMC)

AMC1 SPA.SET-IMC.105 SET-IMC operations approval

ANNUAL REPORT

After obtaining the initial approval, the operator should make available to the CAA on an annual basis a report related to its SET-IMC operations containing at least the following information:

- (a) the number of flights operated;
- (b) the number of hours flown; and
- (c) the number of occurrences sorted by type.

AMC1 SPA.SET-IMC.105(a) SET-IMC operations approval

TURBINE ENGINE RELIABILITY

- (a) The operator should obtain the power plant reliability data from the type certificate (TC) holder and/or supplemental type certificate (STC) holder.
- (b) The data for the engine-airframe combination should have demonstrated, or be likely to demonstrate, a power loss rate of less than 10 per million flight hours. Power loss in this context is defined as any loss of power, including in-flight shutdown, the cause of which may be traced to faulty engine or engine component design or installation, including design or installation of the fuel ancillary or engine control systems.
- (c) The in-service experience with the intended engine-airframe combination should be at least 100 000 h, demonstrating the required level of reliability. If this experience has not been accumulated, then, based on analysis or test, in-service experience with a similar or related type of airframe and turbine engine might be considered by the TC/STC holder to develop an equivalent safety argument in order to demonstrate that the reliability criteria are achievable.

AMC1 SPA.SET-IMC.105(b) SET-IMC operations approval

MAINTENANCE PROGRAMME

The following maintenance aspects should be addressed by the operator:

- (a) Engine monitoring programme

The operator's maintenance programme should include an oil-consumption-monitoring programme that should be based on engine manufacturer's recommendations, if available, and track oil consumption trends. The monitoring should be continuous and take account of the oil added. An engine oil analysis programme may also be required if recommended by the engine manufacturer. The possibility to perform frequent (recorded) power checks on a calendar basis should be considered.

The engine monitoring programme should also provide for engine condition monitoring

describing the parameters to be monitored, the method of data collection and a corrective action process, and should be based on the engine manufacturer's instructions. This monitoring will be used to detect propulsion system deterioration at an early stage allowing corrective action to be taken before safe operation is affected.

(b) Propulsion and associated systems' reliability programme

A propulsion and associated systems' reliability programme should be established or the existing reliability programme supplemented for the particular engine-airframe combination. This programme should be designed to early identify and prevent problems, which otherwise would affect the ability of the aeroplane to safely perform its intended flight.

Where the fleet of SET-IMC aeroplanes is part of a larger fleet of the same engine-airframe combination, data from the operator's total fleet should be acceptable.

For engines, the programme should incorporate reporting procedures for all significant events. This information should be readily available (with the supporting data) for use by the operator, type certificate (TC) holders, and the CAA to help establish that the reliability level set out in **AMC1 SPA.SET-IMC.105(a)** is achieved. Any adverse trend would require an immediate evaluation to be conducted by the operator in consultation with the CAA. The evaluation may result in taking corrective measures or imposing operational restrictions.

The engine reliability programme should include, as a minimum, the engine hours flown in the period, the power loss rate for all causes, and the engine removal rate, both rates on an annual basis, as well as reports with the operational context focusing on critical events. These reports should be communicated to the TC holder and the CAA.

The actual period selected should reflect the global utilisation and the relevance of the experience included (e.g. early data may not be relevant due to subsequent mandatory modifications that affected the power loss rate). After the introduction of a new engine variant and whilst global utilisation is relatively low, the total available experience may have to be used to try to achieve a statistically meaningful average.

AMC1 SPA.SET-IMC.105(c) SET-IMC operations approval

TRAINING PROGRAMME

The operator's flight crew training and checking, established in accordance with ORO.FC, should incorporate the following elements:

(a) Conversion training

Conversion training should be conducted in accordance with a syllabus devised for SET-IMC operations and include at least the following:

- (1) normal procedures:
 - (i) anti-icing and de-icing systems operation;
 - (ii) navigation system procedures;
 - (iii) radar positioning and vectoring, when available;
 - (iv) use of radio altimeter; and
 - (v) use of fuel control, displays interpretation;
- (2) abnormal procedures:

-
- (i) anti-icing and de-icing systems failures;
 - (ii) navigation system failures;
 - (iii) pressurisation system failures;
 - (iv) electrical system failures; and
 - (v) engine-out descent in simulated IMC; and
 - (3) emergency procedures:
 - (i) engine failure shortly after take-off;
 - (ii) fuel system failures (e.g. fuel starvation);
 - (iii) engine failure other than the above: recognition of failure, symptoms, type of failure, measures to be taken, and consequences;
 - (iv) depressurisation; and
 - (v) engine restart procedures:
 - (A) choice of an aerodrome or landing site; and
 - (B) use of an area navigation system;
 - (vi) air traffic controller (ATCO) communications;
 - (vii) use of radar positioning and vectoring (when available);
 - (viii) use of radio altimeter; and
 - (ix) practice of the forced landing procedure until touchdown in simulated IMC, with zero thrust set, and operating with simulated emergency electrical power.
 - (b) Conversion checking

The following items should be checked following completion of the SET-IMC operations conversion training as part of the operator's proficiency check (OPC):

 - (1) conduct of the forced landing procedure until touchdown in simulated IMC, with zero thrust set, and operating with simulated emergency electrical power;
 - (2) engine restart procedures;
 - (3) depressurisation following engine failure; and
 - (4) engine-out descent in simulated IMC.
 - (c) Use of simulator (conversion training and checking)

Where a suitable full flight simulator (FFS) or a suitable flight simulation training device (FSTD) is available, it should be used to carry out training on the items under (a) and checking of the items under (b) above for SET-IMC operations conversion training and checking.
 - (d) Recurrent training

Recurrent training for SET-IMC operations should be included in the recurrent training required by Subpart FC (FLIGHT CREW) of Annex III (Part-ORO) to Regulation (EU) No 965/2012 for pilots carrying out SET-IMC operations. This training should include all items under (a) above.

(e) Recurrent checking

The following items should be included into the list of required items to be checked following completion of SET-IMC operations recurrent training as part of the OPC:

- (1) conduct of the forced landing procedure until touchdown in simulated IMC, with zero thrust set, and operating with simulated emergency electrical power;
- (2) engine restart procedures;
- (3) depressurisation following engine failure; and
- (4) emergency descent in simulated IMC.

(f) Use of simulator (recurrent training and checking)

Following conversion training and checking, the next recurrent training session and the next OPCs including SET-IMC operations items should be conducted in a suitable FFS or FSTD, where available.

AMC2 SPA.SET-IMC.105(c) SET-IMC operations approval**CREW COMPOSITION**

- (a) Unless the pilot-in-command has a minimum experience of 100 flight hours under instrument flight rules (IFR) with the relevant type or class of aeroplane including line flying under supervision (LIFUS), the minimum crew should be composed of two pilots.
- (b) A lesser number of flight hours under IFR on the relevant type or class of aeroplane may be acceptable to the CAA when the flight crew member has significant previous IFR experience.

AMC1 SPA.SET-IMC.105(d)(2) SET-IMC operations approval**FLIGHT PLANNING**

- (a) The operator should establish flight planning procedures to ensure that the routes and cruising altitudes are selected so as to have a landing site within gliding range.
- (b) Notwithstanding (a) above, whenever a landing site is not within gliding range, one or more risk periods may be used for the following operations:
 - (1) over water;
 - (2) over hostile environment; or
 - (3) over congested areas.

Except for the take-off and landing phase, the operator should ensure that when a risk period is planned, there is a possibility to glide to a non-congested area.

The total duration of the risk period per flight should not exceed 15 min unless the operator has established, based on a risk assessment carried out for the route concerned, that the cumulative risk of fatal accident due to an engine failure for this flight remains at an acceptable level (see **GM2 SPA.SET-IMC.105(d)(2)**).

- (c) The operator should establish criteria for the assessment of each new route. These criteria should address the following:
- (1) the selection of aerodromes along the route;
 - (2) the identification and assessment, at least on an annual basis, of the continued suitability of landing sites (obstacles, dimensions of the landing area, type of the surface, slope, etc.) along the route when no aerodrome is available; the assessment may be performed using publicly available information or by conducting on-site surveys;
 - (3) assessment of en route specific weather conditions that could affect the capability of the aeroplane to reach the selected forced landing area following loss of power (icing conditions including gliding descent through clouds in freezing conditions, headwinds, etc.);
 - (4) consideration of landing sites' prevailing weather conditions to the extent that such information is available from local or other sources; expected weather conditions at landing sites for which no weather information is available should be assessed and evaluated taking into account a combination of the following information:
 - (i) local observations;
 - (ii) regional weather information (e.g. significant weather charts); and
 - (iii) terminal area forecast (TAF)/meteorological aerodrome report (METAR) of the nearest aerodromes; and
 - (5) protection of the aeroplane occupants after landing in case of adverse weather.
- (d) At the flight planning phase, any selected landing site should have been assessed by the operator as acceptable for carrying out a safe forced landing with a reasonable expectation of no injuries to persons in the aeroplane or on the ground. All information reasonably practical to acquire should be used by the operator to establish the characteristics of landing sites.
- (e) Landing sites suitable for a diversion or forced landing should be programmed into the navigation system so that track and distance to the landing sites are immediately and continuously available. None of these preprogrammed positions should be altered in-flight.

AMC2 SPA.SET-IMC.105(d)(2) SET-IMC operations approval

ROUTE AND INSTRUMENT PROCEDURE SELECTION

The following should be considered by the operator, as appropriate, depending on the use of a risk period:

- (a) **Departure**
- The operator should ensure, to the extent possible, that the instrument departure procedures to be followed are those guaranteeing that the flight path allows, in the event of power loss, the aeroplane to land on a landing site.
- (b) **Arrival**
- The operator should ensure, to the extent possible, that the arrival procedures to be followed are those guaranteeing that the flight path allows, in the event of power loss, the aeroplane to land on a landing site.

(c) En route

The operator should ensure that any planned or diversionary route should be selected and be flown at an altitude such that, in the event of power loss, the pilot is able to make a safe landing on a landing site.

AMC3 SPA.SET-IMC.105(d)(2) SET-IMC operations approval

LANDING SITE

A landing site is an aerodrome or an area where a safe forced landing can be performed by day or by night, taking into account the expected weather conditions at the time of the foreseen landing.

- (a) The landing site should allow the aeroplane to completely stop within the available area, taking into account the slope and the type of the surface.
- (b) The slope of the landing site should be assessed by the operator in order to determine its acceptability and possible landing directions.
- (c) Both ends of the landing area, or only the zone in front of the landing area for one-way landing areas, should be clear of any obstacle which may be a hazard during the landing phase.

GM1 SPA.SET-IMC.105(d)(2) SET-IMC operations approval

LANDING SITE

- (a) When selecting landing sites along a route to be operated, it is recommended to prioritise the different types of landing sites as follows:
 - (1) aerodromes with available runway lighting;
 - (2) aerodromes without available runway lighting;
 - (3) non-populated fields with short grass/vegetation or sandy areas.
- (b) When assessing the suitability of a landing site which is not an aerodrome, it is recommended to consider the following landing site criteria:
 - (1) size and shape of the landing area:
 - (i) landing sites with a circular shape providing multiple approach paths depending on the wind; and
 - (ii) for other cases, landing sites with a minimum width of 45 m; and
 - (2) type of surface:

the surface of the landing area should allow a safe forced landing to be conducted.

GM2 SPA.SET-IMC.105(d)(2) SET-IMC operations approval

SAFETY RISK ASSESSMENT FOR A SPECIFIC ROUTE

(a) Introduction

The risk assessment methodology should aim at estimating for a specific route the likelihood of having fatalities due to emergency landing caused by engine failure. Based on the outcome of this risk assessment, the operator may extend the duration of the risk period beyond the maximum allowed duration if no landing site is available within gliding range.

(b) The safety target

The overall concept of SET-IMC operations is based on an engine reliability rate for all causes of 10 per million flight hours, which permits in compliance with SET-IMC requirements an overall fatal accident rate for all causes of 4 per million flight hours.

Based on accident databases, it is considered that the engine failure event does not contribute by more than 33 % to the overall fatal accident rate. Therefore, the purpose of the risk assessment is to ensure that the probability of a fatal accident for a specific flight following engine failure remains below the target fatal accident rate of 1.3×10^{-6} .

(c) Methodology

The methodology aims at estimating the likelihood of failing to achieve a safe forced landing in case of engine failure, a safe forced landing being defined as a landing on an area for which it is reasonably expected that no serious injury or fatalities will occur due to the landing even though the aeroplane may suffer extensive damage.

This methodology consists of creating a risk profile for a specific route, including departure, en route and arrival airfield and runway, by splitting the proposed flight into appropriate segments (based on the flight phase or the landing site selected), and by estimating the risk for each segment should the engine fail in one of these segments. This risk profile is considered to be an estimation of the probability of an unsuccessful forced landing if the engine fails during one of the identified segments.

When assessing the risk for each segment, the height of the aeroplane at which the engine failure occurs, the position relative to the departure or destination airfield or to an emergency landing site en route, and the likely ambient conditions (ceiling, visibility, wind and light) should be taken into account, as well as the standard procedures of the operator (e.g. U-turn procedures after take-off, use of synthetic vision, descent path angle for standard descent from cruising altitude, etc.).

The duration of each segment determines the exposure time to the estimated risk. The risk is estimated based on the following calculation:

Segment risk factor = segment exposure time (in s)/3 600 × probability of unsuccessful forced landing in this segment × assumed engine failure rate per flight hour (FH).

By summing up the risks for all individual segments, the cumulative risk for the flight due to engine failure is calculated and converted to risk on a 'per flight hour' basis.

This total risk must remain below the target fatal accident rate of 1.3×10^{-6} as under (b) above.

(d) Example of a risk assessment

An example of such a risk assessment is provided below. In any case, this risk assessment is an example designed for a specific flight with specific departure and arrival aerodrome

characteristics. It is an example of how to implement this methodology, and all the estimated probabilities used in the table below may not directly apply to any other flight.

The meaning of the different parameters used is further detailed below:

AD/Other: 'AD' is ticked whenever only aerodromes are selected as landing sites in the segment concerned. 'Other' is ticked if the selected landing sites in the segment concerned are not aerodromes. When a risk period is used by the operator, none of the two boxes (neither 'AD' nor 'Other') are ticked.

Segment exposure time: this parameter represents the duration of each segment in seconds (s).

Estimated probability of an unsuccessful forced landing if engine fails in the segment: probability of performing in the segment a safe forced landing following engine power loss.

Segment risk factor: risk of an unsuccessful forced landing (because of power loss) per segment (see formula above).

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART L: SINGLE-ENGINE TURBINE AEROPLANE OPERATIONS
AT NIGHT OR IN INSTRUMENT METEOROLOGICAL CONDITIONS
(SET-IMC)

| Segments of flight | Assumed height or height band above ground level (AGL) in ft | LANDING SITE | | Segment exposure time (in s) | Cumulative flight time from start of take-off to end of segment (in s) | Assumed engine failure rate per FH | | | 1,00x10 ⁻⁵ |
|----------------------------------------------------------------------------|--------------------------------------------------------------|--------------|-------|------------------------------|------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------|----------------------------|-------------------------------------------------------------------------------------------|
| | | AD | Other | | | Estimated probability of unsuccessful forced landing if engine fails in this segment | Segment risk factor | Cumulative risk per flight | Comment on estimation of unsuccessful outcome |
| Take-off (T-O) ground roll | 0 ft | X | | 20 | 20 | 0.01 % | 5.56 x 10 ⁻¹² | 5.56 x 10 ⁻¹² | T-O aborted before being airborne. Runway long enough to stop the aircraft. |
| Climb-out | 0-50 ft | X | | 8 | 28 | 0.10 % | 2.22 x 10 ⁻¹¹ | 2.78 x 10 ⁻¹¹ | Aircraft aborts T-O and lands ahead within runway length available. |
| | 50-200 ft | X | | 10 | 38 | 1.00 % | 2.78 x 10 ⁻¹⁰ | 3.06 x 10 ⁻¹⁰ | |
| | 200-1 100 ft | | | 36 | 74 | 100.00 % | 1.00 x 10 ⁻⁷ | 1.00 x 10 ⁻⁷ | Aircraft has to land ahead outside airfield with little height for manoeuvring |
| | 1 100-2 000 ft | X | | 36 | 110 | 50.00 % | 5.00 x 10 ⁻⁸ | 1.50 x 10 ⁻⁷ | U-turn and landing at opposite q-code for magnetic heading of a runway (QFU) possible. |
| | 2 000-4 000 ft | X | | 80 | 190 | 25.00 % | 5.56 x 10 ⁻⁸ | 2.06 x 10 ⁻⁷ | |
| Climbing to en route height | 4 000-10 000ft | X | X | 240 | 430 | 5.00 % | 3.33 x 10 ⁻⁸ | 2.39 x 10 ⁻⁷ | Aircraft able to operate a glide-in approach. |
| Cruising: emergency area available | ≤ 10 000 ft | X | | 5 400 | 5 830 | 5.00 % | 7.50 x 10 ⁻⁷ | 9.89 x 10 ⁻⁷ | En route cruising time with available landing sites along the route within gliding range. |
| Cruising: emergency area NOT available | ≤ 10 000 ft | | | 300 | 6 130 | 100.00 % | 8.33 x 10 ⁻⁷ | 1.82 x 10 ⁻⁶ | En route cruising time without available landing sites within gliding range. |
| Descent to initial approach fix for instrument flight rules (IFR) approach | 10 000-4 000 ft on a 4° slope (1 200 ft/min) | X | | 300 | 6 430 | 5.00 % | 4.17 x 10 ⁻⁸ | 1.86 x 10 ⁻⁶ | Descent with available landing sites within gliding range, and destination not reachable. |

AMC GM and CS for Air Operations
(Regulation (EU) 965/2012 as retained
in (and amended by) UK law)

SUBPART L: SINGLE-ENGINE TURBINE AEROPLANE OPERATIONS
AT NIGHT OR IN INSTRUMENT METEOROLOGICAL CONDITIONS
(SET-IMC)

| Segments of flight | Assumed height or height band above ground level (AGL) in ft | LANDING SITE | | Segment exposure time (in s) | Cumulative flight time from start of take-off to end of segment (in s) | Assumed engine failure rate per FH | | | 1,00x10 ⁻⁵ |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|--------------|-------|------------------------------|------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | AD | Other | | | Estimated probability of unsuccessful forced landing if engine fails in this segment | Segment risk factor | Cumulative risk per flight | Comment on estimation of unsuccessful outcome |
| Aircraft has to descend below the glide approach capability to set up for a normal powered landing from 1 000 ft on a 3° approach path | 4 000-1 000 ft on the approach | | X | 150 | 6 580 | 50.00 % | 2.08 x 10 ⁻⁷ | 2.07 x 10 ⁻⁶ | Aircraft descends below the height needed to maintain a glide approach for reaching the airfield. Therefore, it may land short of airfield if engine fails. |
| Aircraft descends on a 3° approach path | 1 000 -50 ft on approach at 120 kt (600 ft/min) | | | 95 | 6 675 | 100.00 % | 2.64 x 10 ⁻⁷ | 2.34 x 10 ⁻⁶ | Aircraft assumes 3° glideslope, regained to ensure normal landing. Therefore, it may undershoot the landing field if engine fails at this late stage. |
| Landing | 50 ft above threshold until touchdown | X | | 10 | 6 685 | 5.00 % | 1.39 x 10 ⁻⁹ | 2.34 x 10 ⁻⁶ | Aircraft over runway. Engine is to be idled anyway, but failure, while airborne, may surprise pilot and result in hard landing. |
| Landing ground run | Touchdown to stop | X | | 15 | 6 700 | 0.01 % | 4.17 x 10 ⁻¹² | 2.34 x 10 ⁻⁶ | Aircraft on ground. Risk negligible, if engine stops on the example runway (very long) providing that all services are retained. |
| | | | | | | | | 1.26 x 10⁻⁶ | Risk per flight |

The following likelihood scale may be used to determine the estimated probability of an unsuccessful forced landing:

| Probability in % | Description |
|------------------|------------------------------------------|
| 0 | Impossible |
| 0-1 | Negligible likelihood/remote possibility |
| 1-10 | Possible but not likely |
| 10-35 | Moderately likely |
| 35-65 | Possible |
| 65-90 | Likely |
| 90-99 | Almost certain |
| 99-100 | Certain |

AMC1 SPA.SET-IMC.105(d)(4) SET-IMC operations approval

CONTINGENCY PROCEDURES

When a risk period is used during the take-off or landing phase, the contingency procedures should include appropriate information for the crew on the path to be followed after an engine failure in order to minimise to the greatest extent possible the risk to people on the ground.

AMC1 SPA.SET-IMC.110(b) Equipment requirements for SET-IMC operations

ATTITUDE INDICATORS

A backup or standby attitude indicator built in the glass cockpit installations is an acceptable means of compliance for the second attitude indicator.

AMC1 SPA.SET-IMC.110(d) Equipment requirements for SET-IMC operations

AIRBORNE WEATHER-DETECTING EQUIPMENT

The airborne weather-detecting equipment should be an airborne weather radar, as defined in the applicable Certification Specification — European Technical Standard Order (CS-ETSO or equivalent).

AMC1 SPA.SET-IMC.110(f) Equipment requirements for SET-IMC operations

AREA NAVIGATION SYSTEM

The area navigation system should be based on a global navigation satellite system (GNSS) stand-alone receiver or multi-sensor system, including at least one GNSS sensor, to enable at least required navigation performance approach (RNP APCH) operations without vertical guidance.

GM1 SPA.SET-IMC.110(f) Equipment requirements for SET-IMC operations

AREA NAVIGATION SYSTEM

Acceptable standards for the area navigation system are ETSO-145/146c, ETSO-C129a, ETSO-C196a or ETSO-C115 or equivalent.

GM1 SPA.SET-IMC.110(h) Equipment requirements for SET-IMC operations

LANDING LIGHTS

In order to demonstrate the compliance of its aeroplane's landing lights with the 200-ft illumination capability requirement, and in the absence of relevant data available in the aircraft flight manual (AFM), the operator should liaise with the type certificate (TC) holder or supplemental type certificate (STC) holder, as applicable, to obtain a statement of compliance.

GM1 SPA.SET-IMC.110(i)(7) Equipment requirements for SET-IMC operations

ELEMENTS AFFECTING PILOT'S VISION FOR LANDING

Examples of elements affecting pilot's vision for landing are rain, ice and window fogging.

AMC1 SPA.SET-IMC.110(l) Equipment requirements for SET-IMC operations

EMERGENCY ENGINE POWER CONTROL DEVICE

The means that allows continuing operation of the engine within a sufficient power range for the flight to be safely completed in the event of any reasonably probable failure/malfunction of the fuel control unit should enable the fuel flow modulation.

SUBPART M: ELECTRONIC FLIGHT BAGS (EFB)

AMC1 SPA.EFB.100(b) Use of electronic flight bags (EFBs) – operational approval

SUITABILITY OF THE HARDWARE

(a) Placement of the display

The placement of the display should be consistent with the intended use of the EFB and should not create unacceptable workload for the pilot or require undue 'head-down' movements during critical phases of flight. Displays used for EFB chart applications should be located so as to be visible from the pilot's station with the minimum practicable deviation from their lines of vision when looking forward along the flight path.

(b) Display characteristics

Consideration should be given to the long-term degradation of a display as a result of abrasion and ageing. AMC 25-11 (paragraph 3.16a) may be used as guidance to assess luminance and legibility aspects.

Information displayed on the EFB should be legible to the typical user at the intended viewing distance(s) and under the full range of lighting conditions expected in a flight crew compartment, including direct sunlight.

Users should be able to adjust the screen brightness of an EFB independently of the brightness of other displays in the flight crew compartment. In addition, when incorporating an automatic brightness adjustment, it should operate independently for each EFB in the flight crew compartment. Brightness adjustment using software means may be acceptable provided that this operation does not adversely affect the flight crew workload.

Buttons and labels should have adequate illumination for night use. 'Buttons and labels' refers to hardware controls located on the display itself.

All controls should be properly labelled for their intended functions, except if no confusion is possible.

The 90-degree viewing angle on either side of each flight crew member's line of sight may be unacceptable for certain EFB applications if aspects of the display quality are degraded at large viewing angles (e.g. the display colours wash out or the displayed colour contrast is not discernible at the installation viewing angle).

(c) Power source

The design of a portable EFB system should consider the source of electrical power, the independence of the power sources for multiple EFBs, and the potential need for an independent battery source. A non-exhaustive list of factors to be considered includes:

- (1) the possibility to adopt operational procedures to ensure an adequate level of safety (for example, a minimum preflight level of charge);
- (2) the possible redundancy of portable EFBs to reduce the risk of exhausted batteries;
- (3) the availability of backup battery packs to ensure that there is an alternative source of power.

Battery-powered EFBs that have aircraft power available for recharging the internal EFB batteries are considered to have a suitable backup power source.

For EFBs that have an internal battery power source, and that are used as an alternative for paper documentation that is required by **CAT.GEN.MPA.180**, the operator should either have at least one EFB connected to an aircraft power bus, or have established and documented mitigation means and procedures to ensure that sufficient power with acceptable margins will be available during the whole flight.

(d) Environmental testing

Environmental testing, in particular testing for rapid decompression, should be performed on EFBs that host applications that are required to be used during flight following a rapid decompression, and/or on EFBs with an environmental operational range that is potentially insufficient with respect to the foreseeable flight crew compartment operating conditions.

The information from the rapid-decompression test of an EFB is used to establish the procedural requirements for the use of that EFB device in a pressurised aircraft. Rapid-decompression testing should follow the EUROCAE ED-14D/RTCA DO-160D (or later revisions) guidelines for rapid-decompression testing up to the maximum operating altitude of the aircraft at which the EFB is to be used.

- (1) Pressurised aircraft: if a portable EFB has successfully completed rapid-decompression testing, then no mitigating procedures for depressurisation events need to be developed. If a portable EFB has failed the rapid-decompression testing while turned ON, but successfully completed it when turned OFF, then procedures should ensure that at least one EFB on board the aircraft either remains OFF during the applicable flight phases, or is configured so that no damage will be incurred should rapid decompression occur in flight at altitudes higher than 10 000 ft above mean sea level (AMSL).

If an EFB system has not undergone a rapid-decompression test or it has failed the test, then alternate procedures or a paper backup should be available for the related type B EFB applications.

- (2) Non-pressurised aircraft: rapid-decompression testing is not required for an EFB used in a non pressurised aircraft. It should be demonstrated that the EFB can operate reliably up to the maximum operating altitude of the aircraft. If the EFB cannot be operated at the maximum operating altitude of the aircraft, procedures should be established to preclude operation of the EFB above the maximum demonstrated EFB operating altitude while still maintaining the availability of any required aeronautical information displayed on the EFB.

The results of testing performed on a specific EFB model configuration (as identified by the EFB hardware manufacturer) may be applicable to EFBs of the same model used in other aircraft installations, in which case these generic environmental tests may not need to be duplicated. The operator should collect and retain:

- (1) evidence of these tests that have already been accomplished; or
- (2) suitable alternative procedures to deal with the total loss of the EFB system.

Rapid decompression tests do not need to be repeated if the EFB model identification and the battery type do not change.

The testing of operational EFBs should be avoided if possible to preclude the infliction of unknown damage to the devices during testing.

Operators should account for the possible loss or erroneous functioning of the EFB in abnormal environmental conditions.

The safe stowage and the use of the EFB under any foreseeable environmental conditions in the flight crew compartment, including turbulence, should be evaluated.

AMC2 SPA.EFB.100(b) Use of electronic flight bags (EFBs) – Operational approval

CHANGES

Modifications to an EFB system may have to be introduced either by the EFB system supplier, the EFB applications developer, or by the operator itself.

Those modifications that:

- (a) do not result in a hardware change that would require a re-evaluation of the HMI and human factors aspects in accordance with **AMC1 SPA.EFB.100(b)(2)**;
- (b) do not bring any change to the calculation algorithms of a type B EFB application;
- (c) do not bring any change to the HMI of a type B EFB application that requires a change to the flight crew training programme or operational procedures;
- (d) introduce a new type A EFB application or modify an existing one (provided its software classification remains type A);
- (e) do not introduce any additional functionality to an existing type B EFB application; or
- (f) update an existing database necessary to use an existing type B EFB application, may be introduced by the operator without the need to be approved by its CAA.

These changes should, nevertheless, be controlled and properly tested prior to use during flights.

The modifications in the following non-exhaustive list are considered to meet these criteria:

- (a) operating system updates;
- (b) chart or airport database updates;
- (c) updates to introduce fixes (i.e. patches); and
- (d) installation and modification of a type A EFB application.

For all other types of modification, the operator should apply the change management procedure approved by the CAA in accordance with **ARO.GEN.310(c)**. This includes the extension of the use of an EFB system, for which the operator already holds an approval, to another aircraft type of the operator's fleet.

In the specific case of a complete change of the hardware hosting the EFB application, the operator should demonstrate to the CAA that the new hardware is suitable for the intended use of the EFB application as per **AMC1 SPA.EFB.100(b)**.

AMC3 SPA.EFB.100(b) Use of electronic flight bags (EFBs)

OPERATIONAL EVALUATION TEST

- (a) The operator should perform an operational evaluation test which should enable verification that the relevant requirements of SPA.EFB have been satisfied before a final decision is made on the operational use of the EFB.

An operational evaluation test should be performed by operators seeking an operational approval for the use of a type B EFB application. This does not apply to changes to a type B EFB application whose use has already been approved by the CAA.

The operator should notify the CAA of its intention to perform an operational evaluation test by providing a plan, which should contain at least the following information:

- (1) the starting date of the operational evaluation test;
- (2) the duration of the operational evaluation test;
- (3) the aircraft involved;
- (4) the EFB hardware and type(s) of software including version details;
- (5) the EFB policy and procedure manual;
- (6) their EFB risk assessment; and
- (7) for type B EFB applications that replace the paper documentation without initial retention of a paper backup, and type B EFB applications that do not replace the paper documentation:
 - (i) a simulator line-oriented flight training (LOFT) session programme to verify the use of the EFB under operational conditions including normal, abnormal, and emergency conditions; and
 - (ii) a proposed schedule to allow the CAA to observe the EFB application use in actual flight operations.

The operational evaluation test should consist of an in-service proving period with a standard duration of 6 months. A reduced duration may be considered after taking into account the following criteria:

- (1) the operator's previous experience with EFBs;
- (2) a high number of flights operated monthly;
- (3) the intended use of the EFB system; and
- (4) the mitigation means defined by the operator.

An operator wishing to reduce the duration of the operational evaluation test to less than 6 months should provide the CAA with the appropriate justification in its operational evaluation plan.

The CAA may ask for an operational evaluation test lasting more than 6 months if the number of flights operated in this period is not considered sufficient to evaluate the EFB system.

The general purpose of the in-service proving period for type B EFB applications that replaces the paper documentation is for the operator to demonstrate that an EFB system provides at least the levels of accessibility, usability and reliability of the paper documentation.

For all type B EFB applications, the proving period should show that:

- (1) the flight crew members are able to operate the EFB applications;
- (2) the operator's administration procedures are in place and function correctly;
- (3) the operator is capable of providing timely updates to the applications on the EFB, where a database is involved;
- (4) the introduction of the EFB does not adversely affect the operator's operating procedures, and that alternative procedures provide an acceptable equivalent if the EFB system is not available;
- (5) for a system including uncertified elements (hardware or software), that the system operates correctly and reliably; and

- (6) the assumptions used for the risk assessment are not disproved for the type of operations intended (with or without a paper backup).

In the case of charts or in-flight weather (IFW) applications displaying the own-ship position in flight, the in-service proving should allow to confirm the absence of frequent losses of position and to assess the resulting workload for the flight crew.

The operator may remove the paper backup once it has shown that the EFB system is sufficiently robust.

- (b) Final operational report

The operator should produce and retain a final operational report, that summarises all the activities performed and the means of compliance that were used, supporting the operational use of the EFB system.

AMC4 SPA.EFB.100(b) Use of electronic flight bags (EFBs)

EFB APPLICATIONS WITH TSO AUTHORISATIONS

EFB software applications may be approved by the CAA e.g. by means of a TSO authorisation. Such approved EFB applications are considered to be compliant with the requirements of **SPA.EFB.100(b)** that are included in the scope of the approval, provided that the EFB software is installed and used in conformity with its installation and operational instructions and limitations.

GM1 SPA.EFB.100(b) Use of electronic flight bags (EFBs) – Operational approval

FINAL OPERATIONAL REPORT

An example of typical items for the final operational report is provided below:

- (a) System description and classification of the EFB system:
 - (1) a general description of the EFB system and of the hardware and software applications.
- (b) Software applications:
 - (1) a list of the type A EFB applications installed;
 - (2) a list of the type B EFB applications installed; and
 - (3) a list of the miscellaneous software applications installed.

- (c) Hardware:

For portable EFBs used without installed resources, relevant information about or reference to:

- (1) the EMI compliance demonstration;
- (2) the lithium battery compliance demonstration;
- (3) the depressurisation compliance demonstration; and
- (4) details of the power source.

For portable EFBs served by installed resources:

- (1) details of the airworthiness approval for the mounting device;
- (2) a description of the placement of the EFB display;
- (3) details of the use of installed resources;

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- (4) information on the EMI compliance demonstration;
 - (5) information on the lithium battery compliance demonstration;
 - (6) information on the depressurisation compliance demonstration;
 - (7) details of the power source;
 - (8) details of any data connectivity.
- For installed EFBs:
- (1) details of the airworthiness approval for installed equipment.
- (d) Certification documentation:
- (1) EFB limitations contained within the AFM;
 - (2) guidelines for EFB application developers; and
 - (3) guidelines for EFB system suppliers.
- (e) Specific considerations for performance applications:
- (1) details of performance data validation performed.
- (f) Operational assessment:
- (1) details of the EFB risk assessment performed;
 - (2) details of the human-machine interface (HMI) assessment performed for type B EFB applications;
 - (3) details of flight crew operating procedures:
 - (i) for using EFB systems with other flight crew compartment systems;
 - (ii) ensuring flight crew awareness of EFB software/database revisions;
 - (iii) to mitigate and/or control increased workload; and
 - (iv) describing flight crew responsibilities for performance and weight and balance calculations;
 - (4) details of proposed compliance monitoring oversight of the EFB system;
 - (5) details of EFB system security measures;
 - (6) details of EFB administration procedures, including provision of the EFB policy and procedures manual and EFB administrator qualifications;
 - (7) details of the procedure for electronic signatures;
 - (8) details of the system for routine EFB system maintenance;
 - (9) details of EFB training including flight crew training:
 - (i) initial training;
 - (ii) differences training; and
 - (iii) recurrent training;
 - (10) Report of the operational evaluation test:
 - (i) proposals for the initial retention of a paper backup;
 - (ii) proposals for the commencement of operations without any paper backup;
 - (11) EFB platform/hardware description;

- (12) a description of each software application to be included in the assessment;
- (13) a human factors assessment for the complete EFB system, human–machine interface (HMI), and all the software applications that covers:
 - (i) the flight crew workload in both single-pilot and multi-pilot aircraft;
 - (ii) the size, resolution, and legibility of symbols and text;
 - (iii) for navigation chart displays: access to desired charts, access to information within a chart, grouping of information, general layout, orientation (e.g. track-up, north-up), depiction of scale information.

AMC1 SPA.EFB.100(b)(1) Use of electronic flight bags (EFBs) – Operational approval

RISK ASSESSMENT

(a) General

Prior to the use of any EFB system, the operator should perform a risk assessment for all type B EFB applications and for the related EFB hardware, as part of its hazard identification and risk management process.

If an operator makes use of a risk assessment established by the software developer, the operator should ensure that its specific operational environment is taken into account.

The risk assessment should:

- (1) evaluate the risks associated with the use of an EFB;
- (2) identify potential losses of function or malfunction (with detected and undetected erroneous outputs) and the associated failure scenarios;
- (3) analyse the operational consequences of these failure scenarios;
- (4) establish mitigating measures; and
- (5) ensure that the EFB system (hardware and software) achieves at least the same level of accessibility, usability, and reliability as the means of presentation it replaces.

In considering the accessibility, usability, and reliability of the EFB system, the operator should ensure that the failure of the complete EFB system, as well as of individual applications, including corruption or loss of data, and erroneously displayed information, has been assessed and that the risks have been mitigated to an acceptable level.

This risk assessment should be defined before the beginning of the trial period and should be amended accordingly, if necessary, at the end of this trial period. The results of the trial should establish the configuration and use of the system. Once the operator has been granted the operational approval for the use of the related EFB applications, it should ensure that the related risk assessment is maintained and kept up to date.

When the EFB system is intended to be introduced alongside a paper-based system, only the failures that would not be mitigated by the use of the paper-based system need to be addressed. In all other cases, and especially when an accelerated introduction with a reduced trial period or a paperless use of a new EFB system is intended, a complete risk assessment should be performed.

(b) Assessing and mitigating the risks

Some parameters of EFB applications may depend on entries that are made by flight

crew/dispatchers, whereas others may be default parameters from within the system that are subject to an administration process (e.g. the runway line-up allowance in an aircraft performance application). In the first case, mitigation means would mainly concern training and flight crew procedure aspects, whereas in the second case, mitigation means would more likely focus on the EFB administration and data management aspects.

The analysis should be specific to the operator concerned and should address at least the following points:

- (1) The minimisation of undetected erroneous outputs from applications and assessment of the worst credible scenario;
- (2) Erroneous outputs from the software application, including:
 - (i) a description of the corruption scenarios that were analysed; and
 - (ii) a description of the mitigation means;
- (3) Upstream processes including:
 - (i) the reliability of root data used in applications (e.g. qualified input data, such as databases produced under ED-76/DO-200A, 'Standards for Processing Aeronautical Data');
 - (ii) the software application validation and verification checks according to relevant industry standards, if applicable; and
 - (iii) the independence between application software components, e.g. robust partitioning between EFB applications and other airworthiness certified software applications;
- (4) A description of the mitigation means to be used following the detected failure of an application, or of a detected erroneous output;
- (5) The need for access to an alternate power supply in order to ensure the availability of software applications, especially if they are used as a source of required information.

As part of the mitigation means, the operator should consider establishing reliable alternative means to provide the information available on the EFB system.

The mitigation means could be, for example, one of, or a combination of, the following:

- (1) the system design (including hardware and software);
- (2) a backup EFB device, possibly supplied from a different power source;
- (3) EFB applications being hosted on more than one platform;
- (4) a paper backup (e.g. quick reference handbook (QRH)); and
- (5) procedural means.

EFB system design features such as those assuring data integrity and the accuracy of performance calculations (e.g. a 'reasonableness' or 'range' check) may be integrated in the risk assessment to be performed by the operator.

AMC1 SPA.EFB.100(b)(2) Use of electronic flight bags (EFBs) – Operational approval

HUMAN–MACHINE INTERFACE ASSESSMENT AND HUMAN FACTORS CONSIDERATIONS

- ANNEX V (Part-SPA)
AMC GM and CS for Air Operations
Regulation (EU) 965/2012 as amended
in (and amended by) UK law
- SUBPART M: ELECTRONIC FLIGHT BAGS
(EFB)
- (a) The operator should perform an assessment of the human-machine interface (HMI), the installation, and aspects governing crew resource management (CRM) when using the EFB system.
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The HMI assessment is key to identifying acceptable mitigation means, e.g.:

- (1) to establish procedures for reducing the risk of making errors; and
 - (2) to control and mitigate the additional workload related to EFB use.
- (b) The assessment should be performed by the operator for each kind of device and application installed on the EFB. The operator should assess the integration of the EFB into the flight deck environment, considering both physical integration (e.g. anthropometrics, physical interference, etc.) and cognitive ergonomics (the compatibility of look and feel, workflows, alerting philosophy, etc.).

(1) Human-machine interface

The EFB system should provide a consistent and intuitive user interface within and across the various hosted applications and with flight deck avionics applications. This should include but is not limited to data entry methods, colour-coding philosophies, and symbology.

(2) Input devices

When choosing and designing input devices such as keyboards or cursor-control devices, applicants should consider the type of entry to be made and also flight crew compartment environmental factors, such as turbulence, that could affect the usability of that input device. Typically, the performance parameters of cursor-control devices should be tailored for the function of the intended application as well as for the flight crew compartment environment.

(3) Consistency

(i) Consistency between EFBs and applications:

Particular attention should be paid to the consistency of all interfaces, in particular when one provider develops the software application and another organisation integrates it into the EFB.

(ii) Consistency with flight deck applications:

Whenever possible, EFB user interfaces should be consistent with the other flight deck avionics applications with regard to design philosophy, look and feel, interaction logic, and workflows.

(4) Messages and the use of colours

For any EFB system, EFB messages and reminders should be readily and easily detectable and intelligible by the flight crew under all foreseeable operating conditions.

The use of red and amber colours should be limited and carefully considered. EFB messages, both visual and aural, should be, as far as practicable, inhibited during critical phases of the flight.

Flashing text or symbols should be avoided in any EFB application. Messages should be prioritised according to their significance for the flight crew and the message prioritisation scheme should be documented in the operator's EFB policy and procedure manual.

Additionally, during critical phases of the flight, information necessary to the pilot should be continuously presented without uncommanded overlays, pop-ups, or pre-emptive messages, except for those indicating the failure or degradation of the current EFB application. However, if there is a regulatory or technical standard order (TSO) requirement that is in conflict with the recommendation above, that requirement should take precedence.

(5) System error messages

If an application is fully or partially disabled or is not visible or accessible to the user, it may be desirable to have an indication of its status available to the user upon request. Certain non-essential applications such as those for email connectivity and administrative reports may require an error message when the user actually attempts to access the function, rather than an immediate status annunciation when a failure occurs. EFB status and fault messages should be documented in the operator's EFB policy and procedure manual.

(6) Data entry screening and error messages

If any user-entered data is not of the correct format or type needed by the application, the EFB should not accept the data. An error message should be provided that communicates which entry is suspect and specifies what type of data is expected. The EFB system should incorporate input error checking that detects input errors at the earliest possible point during entry, rather than on completion of a possibly lengthy invalid entry.

(7) Error and failure modes

(i) Flight crew errors:

The system should be designed to minimise the occurrence and effects of flight crew errors and to maximise the identification and resolution of errors. For example, terms for specific types of data or the format in which latitude/longitude is entered should be the same across systems.

(ii) Identifying failure modes:

The EFB system should alert the flight crew of EFB system failures.

(8) Responsiveness of applications

The EFB system should provide feedback to the user when a user input is performed. If the system is busy with internal tasks that preclude the immediate processing of a user input (e.g. performing calculations, self-tests, or refreshing data), the EFB should display

a 'system busy' indicator (e.g. a clock icon) to inform the user that the system is occupied and cannot process inputs immediately.

The timeliness of the EFB system response to a user input should be consistent with an application's intended function. The feedback and system response times should be predictable in order to avoid flight crew distractions and/or uncertainty.

(9) Off-screen text and content

If the document segment is not visible in its entirety in the available display area, such as during 'zoom' or 'pan' operations, the existence of off-screen content should be clearly indicated in a consistent way. For some intended functions, it may be unacceptable if certain portions of documents are not visible. Also, some applications may not require an off-screen content indicator when the presence of off screen content is readily obvious. This should be evaluated based on the application and its intended operational function. If there is a cursor, it should be visible on the screen at all times while in use.

(10) Active regions

Active regions are regions to which special user commands apply. The active region can be text, a graphic image, a window, frame, or some other document object. These regions should be clearly indicated.

(11) Managing multiple open applications and documents

If the electronic document application supports multiple open documents, or the system allows multiple open applications, an indication of which application and/or document is active should be continuously provided. The active document is the one that is currently displayed and responds to user actions. The user should be able to select which of the open applications or documents is currently active. In addition, the user should be able to find which flight crew compartment applications are running and easily switch to any of these applications. When the user returns to an application that was running in the background, it should appear in the same state as when the user left that application, with the exception of differences stemming from the progress or completion of processing performed in the background.

(12) Flight crew workload

The positioning of the EFB and the procedures associated with its use should not result in undue flight crew workload. Complex, multi-step-data-entry tasks should be avoided during take-off, landing, and other critical phases of the flight. An evaluation of the EFB intended functions should include a qualitative assessment of the incremental flight crew workload, as well as the flight crew system interfaces and their safety implications.

AMC1 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

EFB ADMINISTRATOR

The operator should appoint an EFB administrator responsible for the administration of the EFB system within the operator's organisation. The EFB administrator is the primary link between the operator and the EFB system and software suppliers.

The EFB administrator function may be contracted to an external organisation in accordance with **ORO.GEN.205**.

Complex EFB systems may require more than one individual with appropriate authority within the operator's management structure to perform the administration process, but one person should be designated as the EFB administrator responsible for the complete system.

The EFB administrator is the person in overall charge of the EFB system, and should be responsible for ensuring that any hardware conforms to the required specification, and that no unauthorised software is installed. They should also be responsible for ensuring that only the current versions of the application software and data packages are installed on the EFB system.

The EFB administrator should be responsible:

- (a) For all the EFB applications installed, and for providing support to the EFB users regarding these applications;
- (b) For checking potential security issues associated with the applications installed;
- (c) For hardware and software configuration management of the EFBs, and, in particular, for ensuring that no unauthorised software is installed.

The EFB administrator should ensure that miscellaneous software applications do not adversely impact on the operation of the EFB and should include miscellaneous software applications in the scope of the configuration management of the EFB.

This does not preclude EFB devices from being allocated to specific flight crew members.

In those cases where it is demonstrated that miscellaneous software applications run in a way that is fully segregated and partitioned from the EFB or avionics applications (e.g. on a separate operating system on a distinct 'personal' hard drive partition that is selected when the EFB boots up), the administration of these miscellaneous software applications can be exercised by the flight crew members instead of by the EFB administrator.

- (d) For ensuring that only valid versions of the application software and current data packages are installed on the EFB system; and
- (e) For ensuring the integrity of the data packages used by the applications installed.

The operator should make arrangements to ensure the continuity of the management of the EFB system in the absence of the EFB administrator.

Each person involved in EFB administration should receive appropriate training for their role and should have a good knowledge of the proposed system hardware, operating system and relevant software applications, and also of the appropriate regulatory requirements related to the use of EFBs. The content of this training should be determined with the aid of the EFB system supplier or application supplier.

The operator should ensure that the persons involved in EFB administration keep their knowledge about the EFB system and its security up to date.

AMC2 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) — Operational approval

EFB POLICY AND PROCEDURES MANUAL

The operator should establish procedures, documented in an EFB policy and procedures manual, to ensure that no unauthorised changes take place. The EFB policy and procedures manual may be fully or partially integrated in the operations manual.

The EFB policy and procedures manual should also address means to ensure that the content and databases of the EFB are valid and up to date, in order to ensure the integrity of the EFB data. This may include establishing revision-control procedures so that flight crew members and others can ensure that the contents of the system are current and complete. These revision control procedures may be similar to the revision control procedures used for paper or other storage means.

The EFB policy and procedures manual should also clearly identify those parts of the EFB system that can be accessed and modified by the operator's EFB administration process and those parts that are only accessible by the EFB system supplier.

For data that is subject to a revision cycle control process, it should be readily evident to the user which revision cycle has been incorporated in the information obtained from the system. Procedures should specify what action to take if the applications or databases loaded on the EFB are outdated. This manual should at least include the following:

- (a) All EFB-related procedures, including:
 - (1) operating procedures;
 - (2) security procedures;
 - (3) maintenance procedures;
 - (4) software control procedures;
- (b) Management of changes to content/databases;
- (c) Notifications to crews of updates;
- (d) If any applications use information that is specific to the aircraft type or tail number, guidance on how to ensure that the correct information is installed on each aircraft;
- (e) Procedures to avoid corruption/errors when implementing changes to the EFB system; and
- (f) In cases involving multiple EFBs in the flight crew compartment, procedures to ensure that they all have the same content/databases installed.

The EFB administrator should be responsible for the procedures and systems documented in the EFB policy and procedures manual that maintain EFB security and integrity. This includes system security, content security, access security, and protection against malicious software.

AMC3 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

PROCEDURES

(a) General

If an EFB system generates information similar to that generated by existing certified systems, procedures should clearly identify which information source will be the primary, which source will be used for backup information, and under which conditions the backup source should be used. Procedures should define the actions to be taken by the flight crew when information provided by an EFB system is not consistent with that from other flight crew compartment sources, or when one EFB system shows different information than the other.

In the case of EFB applications providing information which might be affected by Notice(s) to Airmen NOTAMS (e.g. Airport moving map display (AMMD), performance calculation, etc.), the

procedure for the use of these applications should include the handling of the relevant NOTAMS before their use.

(b) Flight crew awareness of EFB software/database revisions

The operator should have a procedure in place to verify that the configuration of the EFB, including software application versions and, where applicable, database versions, are up to date. Flight crew members should have the ability to easily verify the validity of database versions used on the EFB. Nevertheless, flight crew members should not be required to confirm the revision dates for other databases that do not adversely affect flight operations, such as maintenance log forms or a list of airport codes. An example of a date-sensitive revision is that applied to an aeronautical chart database. Procedures should specify what actions should be taken if the software applications or databases loaded on the EFB system are outdated.

(c) Procedures to mitigate and/or control workload

Procedures should be designed to mitigate and/or control additional workload created by using an EFB system. The operator should implement procedures to ensure that, while the aircraft is in flight or moving on the ground, flight crew members do not become preoccupied with the EFB system at the same time. Workload should be shared between flight crew members to ensure ease of use and continued monitoring of other flight crew functions and aircraft equipment. These procedures should be strictly applied in flight and the operator should specify any times when the flight crew may not use a specific EFB application.

(d) Dispatch

The operator should establish dispatch criteria for EFB systems. The operator should ensure that the availability of the EFB system is confirmed by preflight checks. Instructions to the flight crew should clearly define the actions to be taken in the event of any EFB system deficiency.

Mitigation should be in the form of maintenance and/or operational procedures for items such as:

- (1) replacement of batteries at defined intervals as required;
- (2) ensuring there is a fully charged backup battery on board;
- (3) the flight crew checking the battery charging level before departure; and
- (4) the flight crew switching off the EFB in a timely manner when the aircraft power source is lost.

In the event of a partial or complete failure of the EFB, specific dispatch procedures should be followed. These procedures should be included either in the minimum equipment list (MEL) or in the operations manual, and should ensure an acceptable level of safety.

Particular attention should be paid to establishing specific dispatch procedures allowing to obtain operational data (e.g. performance data) in case of a failure of an EFB hosting an application that normally provides such calculated data.

When the integrity of data input and output is verified by cross-checking and gross-error checks, the same checking principle should be applied to alternative dispatch procedures to ensure equivalent protection.

(e) Maintenance

Procedures should be established for the routine maintenance of the EFB system and detailing how unserviceability and failures are to be dealt with to ensure that the integrity of the EFB system is preserved. Maintenance procedures should also include the secure handling of

updated information and how this information is validated and then promulgated in a timely manner and in a complete format to all users.

As part of the EFB system's maintenance, the operator should ensure that the EFB system batteries are periodically checked and replaced as required.

Should faults or failures of the system arise, it is essential that such failures are brought to the immediate attention of the flight crew and that the system is isolated until rectification action is taken. In addition to backup procedures to deal with system failures, a reporting system should be in place so that the necessary corrective action, either to a particular EFB system or to the whole system, is taken in order to prevent the use of erroneous information by flight crew members.

(f) Security

The EFB system (including any means used for updating it) should be secure from unauthorised intervention (e.g. by malicious software). The operator should ensure that adequate security procedures are in place to protect the system at the software level and to manage the hardware (e.g. the identification of the person to whom the hardware is released, protected storage when the hardware is not in use) throughout the operational lifetime of the EFB system. These procedures should guarantee that, prior to each flight, the EFB operational software works as specified and the EFB operational data is complete and accurate. Moreover, a system should be in place to ensure that the EFB does not accept a data load that contains corrupted contents. Adequate measures should be in place for the compilation and secure distribution of data to the aircraft.

Procedures should be transparent and easy to understand to follow and to oversee that:

- (1) if an EFB is based on consumer electronics (e.g. a laptop) which can be easily removed, manipulated, or replaced by a similar component, that special consideration is given to the physical security of the hardware;
- (2) portable EFB platforms are subject to allocation tracking to specific aircraft or persons;
- (3) where a system has input ports, and especially if widely known protocols are used through these ports, or internet connections are offered, that special consideration is given to the risks associated with these ports;
- (4) where physical media are used to update the EFB system, and especially if widely known types of physical media are used, that the operator uses technologies and/or procedures to assure that unauthorised content cannot enter the EFB system through these media.

The required level of EFB security depends on the criticality of the functions used (e.g. an EFB that only holds a list of fuel prices may require less security than an EFB used for performance calculations).

Beyond the level of security required to assure that the EFB can properly perform its intended functions, the level of security that is ultimately required depends on the capabilities of the EFB.

(g) Electronic signatures

Part-CAT and Part-M may require a signature when issuing or accepting a document (e.g. load sheet, technical logbook, notification to captain (NOTOC)). In order to be accepted as being equivalent to a handwritten signature, electronic signatures used in EFB applications need, as a minimum, to fulfil the same objectives and to assure the same degree of security as the handwritten or any other form of signature that they are intended to replace.

AMC1 CAT.POL.MAB.105(c) provides the means to comply with the required handwritten signature or its equivalent for mass and balance documentation.

On a general basis, in the case of required signatures, an operator should have in place procedures for electronic signatures that guarantee:

- (1) their uniqueness: a signature should identify a specific individual and should be difficult to duplicate;
- (2) their significance: an individual using an electronic signature should take deliberate and recognisable action to affix their signature;
- (3) their scope: the scope of the information being affirmed with an electronic signature should be clear to the signatory and to the subsequent readers of the record, record entry, or document;
- (4) their security: the security of an individual's handwritten signature is maintained by ensuring that it is difficult for another individual to duplicate or alter it;
- (5) their non-repudiation: an electronic signature should prevent a signatory from denying that they affixed a signature to a specific record, record entry, or document; the more difficult it is to duplicate a signature, the likelier it is that the signature was created by the signatory; and
- (6) their traceability: an electronic signature should provide positive traceability to the individual who signed a record, record entry, or any other document.

An electronic signature should retain those qualities of a handwritten signature that guarantee its uniqueness. Systems using either a PIN or a password with limited validity (timewise) may be appropriate in providing positive traceability to the individual who affixed it. Advanced electronic signatures, qualified certificates and secured signature-creation devices needed to create them in the context of Regulation (EU) No 910/2014¹ are typically not required for EFB operations.

AMC4 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

FLIGHT CREW TRAINING

- (a) Flight crew members should be given specific training on the use of the EFB system before it is operationally used.

Training should at least include the following:

- (1) an overview of the system architecture;
- (2) preflight checks of the system;
- (3) limitations of the system;
- (4) specific training on the use of each application and the conditions under which the EFB may and may not be used;

¹ Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC (OJ L 257, 28.8.2014, p. 73).

- (5) restrictions on the use of the system, including cases where the entire system, or some parts of it, are not available;
- (6) procedures for normal operations, including cross-checking of data entry and computed information;
- (7) procedures to handle abnormal situations, such as a late runway change or a diversion to an alternate aerodrome;
- (8) procedures to handle emergency situations;
- (9) phases of the flight when the EFB system may and may not be used;
- (10) human factors considerations, including crew resource management (CRM), on the use of the EFB; and
- (11) additional training for new applications or changes to the hardware configuration.

As far as practicable, it is recommended that the training simulator environments should include the EFBs in order to offer a higher level of representativeness.

Consideration should also be given to the role that the EFB system plays in operator proficiency checks as part of recurrent training and checking, and to the suitability of the training devices used during training and checking.

EFB training should be included in the relevant training programme established and approved in accordance with ORO.FC.

(b) EFB training and checking

(1) Assumptions regarding flight crew members' previous experience

Training for the use of the EFB should be for the purpose of operating the EFB itself and the applications hosted on it, and should not be intended to provide basic competence in areas such as aircraft performance, etc. Initial EFB training, therefore, should assume basic competence in the functions addressed by the software applications installed.

Training should be adapted to the flight crew's experience and knowledge.

(2) Programmes crediting previous EFB experience

Training programmes for the EFB may give credit for trainees' previous EFB experience. For example, previous experience of an aircraft performance application hosted on a portable EFB and using similar software may be credited towards training on an installed EFB with a performance application.

(3) Initial EFB training

Training required for the granting of an aircraft type rating may not recognise variants within the type nor the installation of particular equipment. Any training for the granting of a type qualification need not, therefore, recognise the installation or the use of an EFB unless it is installed equipment across all variants of the type. However, where training for the issuing of the type rating is combined with the operator's conversion course, the training syllabus should recognise the installation of the EFB where the operator's standard operating procedures (SOPs) are dependent on its use.

Initial EFB training may consist of both ground-based and flight training, depending on the nature and complexity of the EFB system. An operator or approved training organisation (ATO) may use many methods for ground-based EFB training including written handouts or flight crew operating manual (FCOM) material, classroom

instruction, pictures, videotapes, ground training devices, computer-based instruction, flight simulation training devices (FSTDs), and static aircraft training. Ground-based training for a sophisticated EFB lends itself particularly to computer-based training (CBT). Flight EFB training should be performed by a suitably qualified person during line flying under supervision (LIFUS) or during differences or conversion training.

The following areas of emphasis should be considered when defining the initial EFB training programme:

- (i) The use of the EFB hardware and the need for proper adjustment of lighting, etc., when the system is used in flight;
 - (ii) The intended use of each software application together with any limitations or prohibitions on its use;
 - (iii) Proper cross-checking of data inputs and outputs if an aircraft performance application is installed;;
 - (iv) Proper verification of the applicability of the information being used if a terminal chart application is installed;
 - (v) The need to avoid fixation on the map display if a moving map display is installed;;
 - (vi) Handling of conflicting information;
 - (vii) Failures of component(s) of the EFB; and
 - (viii) Actions to be taken following the failure of component(s) of the EFB, including cases of battery smoke or fire.
- (4) Initial EFB checking
- (i) Initial ground EFB checking

The check performed following the ground-based element of initial EFB training may be accomplished by the use of a questionnaire (oral or written) or as an automated component of the EFB CBT, depending on the nature of the training performed.
 - (ii) Skill test and proficiency check

Where the operator's SOPs are dependent on the use of the EFB on the particular aircraft type or variant, proficiency in the use of the EFB should be assessed in the appropriate areas (e.g. item 1.1, item 1.5, etc., of Appendix 9 to Annex I (Part-FCL) to Commission Regulation (EU) No 1178/2011).
 - (iii) Operator proficiency check

Where an operator's SOPs are dependent on the use of an EFB, proficiency in its use should be assessed during the operator proficiency check (OPC). Where the OPC is performed on an FSTD not equipped with the operator's EFB, proficiency should be assessed by another acceptable means.
 - (iv) Line check

Where an operator's SOPs are dependent on the use of an EFB, proficiency in its use should be assessed during a line check.
 - (v) Areas of emphasis during EFB checking:
 - (A) Proficiency in the use of each EFB application installed;

- (B) Proper selection and use of EFB displays;
 - (C) Where an aircraft performance application is installed, proper cross-checking of data inputs and outputs;
 - (D) Where a chart application is installed, proper checking of the validity of the information and the use of the chart clip function;
 - (E) Where a moving map display is installed, maintenance of a proper outside visual scan without prolonged fixation on the EFB, especially during taxiing; and
 - (F) Actions to be taken following the failure of component(s) of the EFB, including cases of battery smoke or fire.
- (c) Differences or familiarisation training
- When the introduction of the use of an EFB requires differences or familiarisation training to be carried out, the elements of initial EFB training should be used, as described above.
- (d) Recurrent EFB training and checking
- (1) Recurrent EFB training
- Recurrent training is normally not required for the use of an EFB, provided the functions are used regularly in line operations. Operators should, however, include normal EFB operations as a component of the annual ground and refresher training.
- In the case of mixed-fleet operations, or where the EFB is not installed across the fleet, additional recurrent training should be provided.
- (2) Recurrent EFB checking
- Recurrent EFB checking should be integrated in those elements of the licence proficiency check, the operator proficiency check and the line check applicable to the use of an EFB.
- (e) Suitability of training devices
- Where the operator's SOPs are dependent on the use of an EFB, the EFB should be present during the operator's training and checking. Where present, the EFB should be configured and operable in all respects as per the relevant aircraft. This should apply to:
- (1) the operator's conversion course;
 - (2) differences or familiarisation training; and
 - (3) recurrent training and checking.

Where the EFB system is based on a portable device used without any installed resources, it is recommended that the device should be present, operable, and used during all phases of the flight during which it would be used under the operator's SOPs.

For all other types of EFB systems, it is recommended that the device should be installed and operable in the training device (e.g. an FFS) and used during all phases of the flight during which it would be used under the operator's SOPs. However, an operator may define an alternative means of compliance when the operator's EFB system is neither installed nor operable in the training device.

Note: It is not necessary for the EFB to be available for those parts of the training and checking that are not related to the operator or to the operator's SOPs.

AMC5 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

PERFORMANCE AND MASS AND BALANCE APPLICATIONS

(a) General

Performance and mass and balance applications should be based on existing published data found in the AFM or performance manual, and should account for the applicable CAT.POL performance requirements. The applications may use algorithms or data spreadsheets to determine results. They may have the capability to interpolate within the information contained in the published data for the particular aircraft but they should not extrapolate beyond it.

To protect against intentional and unintentional modifications, the integrity of the database files related to performance and to mass and balance (the performance database, airport database, etc.) should be checked by the program before performing any calculations. This check can be run once at the start-up of the application.

Each software version should be identified by a unique version number. The compatibility between specific modules of a performance or mass and balance software application and the specific software revisions installed on a specific host (e.g. model of computer) should be ensured. The performance and mass and balance applications should record each computation performed (inputs and outputs) and the operator should have procedures in place to retain this information for at least 3 months.

The operator should ensure that aircraft performance or mass and balance data provided by the application is correct compared with the data derived from the AFM (e.g. for take-off and landing performance data) or from other reference data sources (e.g. mass and balance manuals or databases, in-flight performance manuals or databases) under a representative cross-check of conditions (e.g. for take-off and landing performance applications: take-off and landing performance data on dry, wet, and contaminated runways, with different wind conditions and aerodrome pressure altitudes, etc.).

The operator should establish procedures to define any new roles that the flight crew and, if applicable, the flight dispatcher, may have in creating, reviewing, and using performance calculations supported by EFB systems. In particular, the procedures should address cases where discrepancies are identified by the flight crew.

(b) Testing

The demonstration of the compliance of a performance or mass and balance application should include evidence of the software testing activities performed with the software version candidate for operational use.

The testing can be performed by either the operator or a third party, as long as the testing process is documented and the responsibilities are identified.

The testing activities should include human-machine interface (HMI) testing, reliability testing, and accuracy testing.

HMI testing should demonstrate that the application is not prone to error and that calculation errors can be detected by the flight crew with the proposed procedures. The testing should demonstrate that the applicable HMI guidelines are followed and that the HMI is implemented as specified by the application developer and in paragraph (f).

Reliability testing should show that the application in its operating environment (operating system (OS) and hardware included) is stable and deterministic, i.e. identical answers are generated each time the process is entered with identical parameters.

Accuracy testing should demonstrate that the aircraft performance or mass and balance computations provided by the application are correct in comparison with data derived from the AFM or other reference data sources, under a representative cross section of conditions (e.g. for take-off and landing performance applications: runway state and slope, different wind conditions and pressure altitudes, various aircraft configurations including failures with a performance impact, etc.).

The demonstration should include a sufficient number of comparison results from representative calculations throughout the entire operating envelope of the aircraft, considering corner points, routine and break points.

Any difference compared to the reference data that is judged significant should be examined and explained. When differences are due to more conservative calculations or reduced margins that were purposely built into the approved data, this approach should be clearly mentioned. Compliance with the applicable certification and operational rules needs to be demonstrated in any case.

The testing method should be described. The testing may be automated when all the required data is available in an appropriate electronic format, but in addition to performing thorough monitoring of the correct functioning and design of the testing tools and procedures, operators are strongly suggested to perform additional manual verification. It could be based on a few scenarios for each chart or table of the reference data, including both operationally representative scenarios and 'corner-case' scenarios.

The testing of a software revision should, in addition, include non-regression testing and testing of any fix or change.

Furthermore, an operator should perform tests related to its customisation of the applications and to any element pertinent to its operation that was not covered at an earlier stage (e.g. airport database verification).

(c) Procedures

Specific care is needed regarding the flight crew procedures concerning take-off and landing performance or mass and balance applications. The flight crew procedures should ensure that:

- (1) calculations are performed independently by each flight crew member before data outputs are accepted for use;
- (2) a formal cross-check is made before data outputs are accepted for use; such cross-checks should utilise the independent calculations described above, together with the output of the same data from other sources on the aircraft;
- (3) a gross-error check is performed before data outputs are accepted for use; such gross-error checks may use either a 'rule of thumb' or the output of the same data from other sources on the aircraft; and
- (4) in the event of a loss of functionality of an EFB through either the loss of a single application, or the failure of the device hosting the application, an equivalent level of safety can be maintained; consistency with the EFB risk assessment assumptions should be confirmed.

(d) Training

The training should emphasise the importance of executing all take-off and landing performance or mass and balance calculations in accordance with the SOPs to assure fully independent calculations.

Furthermore, due to optimisations included at various levels in performance applications, flight crew members may be confronted with new procedures and different aircraft behaviour (e.g. the use of multiple flap settings for take-off). The training should be designed and provided accordingly.

Where an application allows the computing of both dispatch results (from regulatory or factored calculations) and other results, the training should highlight the specificities of those results. Depending on the representativeness of the calculations, flight crew members should be trained on any operational margins that might be required.

The training should also address the identification and the review of default values, if any, and assumptions about the aircraft status or environmental conditions made by the application.

(e) Specific considerations for mass and balance applications

In addition to the figures, a diagram displaying the mass and its associated centre-of-gravity (CG) position should be provided.

(f) Human-factors-specific considerations

Input and output data (i.e. results) shall be clearly separated from each other. All the information necessary for a given calculation task should be presented together or be easily accessible.

All input and output data should include correct and unambiguous terms (names), units of measurement (e.g. kg or lb), and, when applicable, an index system and a CG-position declaration (e.g. Arm/%MAC). The units should match the ones from the other flight-crew-compartment sources for the same kind of data.

Airspeeds should be provided in a form that is directly useable in the flight crew compartment, unless the unit clearly indicates otherwise (e.g. Knots Calibrated Air Speed (KCAS)). Any difference between the type of airspeed provided by the EFB application and the type provided by the aircraft flight manual (AFM) or flight crew operating manual (FCOM) performance charts should be mentioned in the flight crew guides and training material.

If the landing performance application allows the computation of both dispatch (regulatory, factored) and other results (e.g. in-flight or unfactored), flight crew members should be made aware of the computation mode used.

(1) Inputs:

The application should allow users to clearly distinguish user entries from default values or entries imported from other aircraft systems.

Performance applications should enable the flight crew to check whether a certain obstacle is included in the performance calculations and/or to include new or revised obstacle information in the performance calculations.

(2) Outputs:

All critical assumptions for performance calculations (e.g. the use of thrust reversers, full or reduced thrust/power rating) should be clearly displayed. The assumptions made

about any calculation should be at least as clear to the flight crew members as similar information would be on a tabular chart.

All output data should be available in numbers.

The application should indicate when a set of entries results in an unachievable operation (for instance, a negative stopping margin) with a specific message or colour scheme. This should be done in accordance with the relevant provisions on messages and the use of colours.

In order to allow a smooth workflow and to prevent data entry errors, the layout of the calculation outputs should be such that it is consistent with the data entry interface of the aircraft applications in which the calculation outputs are used (e.g. flight management systems).

(3) Modifications:

The user should be able to easily modify performance calculations, especially when making last minute changes.

The results of calculations and any outdated input fields should be deleted whenever:

- (i) modifications are entered;
- (ii) the EFB is shut down or the performance application is closed; or
- (iii) the EFB or the performance application has been in a standby or 'background' mode for too long, i.e. such that it is likely that when it is used again, the inputs or outputs will be outdated.

AMC6 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

AIRPORT MOVING MAP DISPLAY (AMMD) APPLICATION WITH OWN-SHIP POSITION

(a) General

An AMMD application should not be used as the primary means of navigation for taxiing and should be only used in conjunction with other materials and procedures identified within the operating concept (see paragraph e)).

When an AMMD is in use, the primary means of navigation for taxiing remains the use of normal procedures and direct visual observation out of the flight-crew-compartment window.

Thus, as recognised in ETSO-C165a, an AMMD application with a display of own-ship position is considered to have a minor safety effect for malfunctions that cause the incorrect depiction of aircraft position (own-ship), and the failure condition for the loss of function is classified as 'no safety effect'.

(b) Minimum requirements

AMMD software that complies with European Technical Standard Order ETSO-C165a is considered to be acceptable.

In addition, the system should provide the means to display the revision number of the software installed.

To achieve the total system accuracy requirements of ETSO-C165a, an airworthiness-approved sensor using the global positioning system (GPS) in combination with a medium-accuracy database compliant with EUROCAE ED-99C/RTCA DO-272C, 'User Requirements for Aerodrome Mapping Information,' (or later revisions) is considered one acceptable means.

Alternatively, the use of non-certified commercial off-the-shelf (COTS) position sources may be acceptable in accordance with **AMC7 SPA.EFB.100(b)(3)**.

(c) Data provided by the AMMD software application developer

The operator should ensure that the AMMD software application developer provides the appropriate data including:

- (1) installation instructions or the equivalent as per ETSO-C165a Section 2.2 that address:
 - (i) the identification of each specific EFB system computing platform (including the hardware platform and the operating system version) with which this AMMD software application and database was demonstrated to be compatible;
 - (ii) the installation procedures and limitations for each applicable platform (e.g. required memory resources, configuration of Global Navigation Satellite System (GNSS) antenna position);
 - (iii) the interface description data including the requirements for external sensors providing data inputs; and
 - (iv) means to verify that the AMMD has been installed correctly and is functioning properly.
- (2) any AMMD limitations, and known installation, operational, functional, or performance issues of the AMMD.

(d) AMMD software installation in the EFB

The operator should review the documents and the data provided by the AMMD developer, and ensure that the installation requirements of the AMMD software in the specific EFB platform and aircraft are addressed. Operators are required to perform any verification activities proposed by the AMMD software application developer, as well as identify and perform any additional integration activities that need to be completed; and

(e) Operational procedures

Changes to operational procedures of the aircraft (e.g. flight crew procedures) should be documented in the operations manual or user's guide as appropriate. In particular, the documentation should highlight that the AMMD is only designed to assist flight crew members in orienting themselves on the airport surface so as to improve the flight crew members' positional awareness during taxiing, and that it is not to be used as the basis for ground manoeuvring.

(f) Training requirements

The operator may use flight crew procedures to mitigate some hazards. These should include limitations on the use of the AMMD function or application. As the AMMD could be a compelling display and the procedural restrictions are a key component of the mitigation, training should be provided in support of an AMMD.

All mitigation means that rely on flight crew procedures should be included in the flight crew training. Details of the AMMD training should be included in the operator's overall EFB training.

AMC7 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE

COTS position sources may be used for AMMD EFB applications and for EFB applications displaying the own-ship position in-flight when the following considerations are complied with:

(a) Characterisation of the receiver:

The position should originate from an airworthiness approved GNSS receiver, or from a COTS GNSS receiver fully characterised in terms of technical specifications and featuring an adequate number of channels (12 or more).

The EFB application should, in addition to position and velocity data, receive a sufficient number of parameters related to the fix quality and integrity to allow compliance with the accuracy requirements (e.g. the number of satellites and constellation geometry parameters such as dilution of position (DOP), 2D/3D fix).

(b) Installation aspects:

If the COTS position sources are stand-alone PEDs, they should be treated as C-PEDs and their installation and use should follow the requirements of **CAT.GEN.MPA.140**.

If an external COTS position source transmits wirelessly, cyber security aspects have to be considered.

Non-certified securing systems should be assessed according to paragraph (h) of **AMC1 CAT.GEN.MPA.141(a)**.

(c) Practical evaluation:

As variables can be introduced by the placement of the antennas in the aircraft and the characteristics of the aircraft itself (e.g. heated and/or shielded windshield effects), the tests have to take place on the type of aircraft in which the EFB will be operated, with the antenna positioned at the location to be used in service.

(1) COTS used as a position source for AMMD

The test installation should record the data provided by the COTS position source to the AMMD application.

The analysis should use the recorded parameters to demonstrate that the AMMD requirements are satisfactorily complied with in terms of the total system accuracy (taking into account database errors, latency effects, display errors, and uncompensated antenna offsets) within 50 metres (95 %). The availability should be sufficient to prevent distraction or increased workload due to frequent loss of position.

When demonstrating compliance with the following requirements of DO-257A, the behaviour of the AMMD system should be evaluated in practice:

- (i) indication of degraded position accuracy within 1 second (Section 2.2.4 (22)); and
- (ii) indication of a loss of positioning data within 5 seconds (Section 2.2.4 (23)); conditions to consider are both a loss of the GNSS satellite view (e.g. antenna failure) and a loss of communication between the receiver and the EFB.

- (2) COTS position source used for applications displaying own-ship position in flight:

Flight trials should demonstrate that the COTS GNSS availability is sufficient to prevent distraction or increased workload due to frequent loss of position.

AMC8 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

CHART APPLICATIONS

The navigation charts that are depicted should contain the information necessary, in an appropriate form, to perform the operation safely. Consideration should be given to the size, resolution and position of the display to ensure legibility whilst retaining the ability to review all the information required to maintain adequate situational awareness.

In the case of chart application displaying own-ship position in-flight, **AMC10 SPA.EFB.100(b)(3)** is applicable.

AMC9 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

IN-FLIGHT WEATHER APPLICATIONS

- (a) General

An in-flight weather (IFW) application is an EFB function or application enabling the flight crew to access meteorological information. It is designed to increase situational awareness and to support the flight crew when making strategic decisions.

An IFW function or application may be used to access both information required to be on board (e.g. World Area Forecast Centre (WAFC) data) and supplemental weather information.

The use of IFW applications should be non-safety-critical and not necessary for the performance of the flight. In order for it to be non-safety-critical, IFW data should not be used to support tactical decisions and/or as a substitute for certified aircraft systems (e.g. weather radar).

Any current information from the meteorological documentation required to be carried on board or from aircraft primary systems should always prevail over the information from an IFW application.

The displayed meteorological information may be forecasted and/or observed, and may be updated on the ground and/or in flight. It should be based on data from certified meteorological service providers or other reliable sources evaluated by the operator.

The meteorological information provided to the flight crew should be, as far as possible, consistent with the information available to users of ground-based aviation meteorological information (e.g. operations control centre (OCC) staff, flight dispatchers, etc.) in order to establish common situational awareness and to facilitate collaborative decision-making.

- (b) Display

Meteorological information should be presented to the flight crew in a format that is appropriate to the content of the information; coloured graphical depiction is encouraged whenever practicable.

The IFW display should enable the flight crew to:

- (1) distinguish between observed and forecasted weather data;
- (2) identify the currency or age and validity time of the weather data;
- (3) access the interpretation of the weather data (e.g. the legend);
- (4) obtain positive and clear indications of any missing information or data and determine areas of uncertainty when making decisions to avoid hazardous weather; and
- (5) be aware of the status of the data link that enables the necessary IFW data exchanges.

Meteorological information in IFW applications may be displayed, for example, as an overlay over navigation charts, over geographical maps, or it may be a stand-alone weather depiction (e.g. radar plots, satellite images, etc.).

If meteorological information is overlaid on navigation charts, special consideration should be given to HMI issues in order to avoid adverse effects on the basic chart functions.

In case of display of own-ship position in flight, **AMC10 SPA.EFB.100(b)(3)** is applicable.

The meteorological information may require reformatting to accommodate for example the display size or the depiction technology. However, any reformatting of the meteorological information should preserve both the geo-location and intensity of the meteorological conditions regardless of projection, scaling, or any other types of processing.

(c) Training and procedures

The operator should establish procedures for the use of an IFW application.

The operator should provide adequate training to the flight crew members before using an IFW application. This training should address:

- (1) limitations of the use of an IFW application:
 - (i) acceptable use (strategic planning only);
 - (ii) information required to be on board; and
 - (iii) latency of observed weather information and the hazards associated with utilisation of old information;
- (2) information on the display of weather data:
 - (i) type of displayed information (forecasted, observed);
 - (ii) symbology (symbols, colours); and
 - (iii) interpretation of meteorological information;
- (3) identification of failures and malfunctions (e.g. incomplete uplinks, data-link failures, missing info);
- (4) human factors issues:
 - (i) avoiding fixation; and
 - (ii) managing workload.

AMC10 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN FLIGHT

(a) Limitations

The display of own-ship position in flight as an overlay to other EFB applications should not be used as a primary source of information to fly or navigate the aircraft.

Except on VFR flights over routes navigated by reference to visual landmark, the display of the own-ship symbol is allowed only in aircraft having a certified navigation display (moving map).

In the specific case of IFW applications, the display of own-ship on such applications is restricted to aircraft equipped with a weather radar.

(b) Position source and accuracy

The display of own-ship position may be based on a certified GNSS or GNSS-based (e.g. GPS/IRS) position from certified aircraft equipment or on a portable COTS position source in accordance with **AMC7 SPA.EFB.100(b)(3)**.

The own-ship symbol should be removed and the flight crew notified if:

- (1) the position source indicates a degraded accuracy. The threshold to consider that the accuracy is degraded should be commensurate with the navigation performance required for the current phase of flight and should not exceed 200 m when the own-ship is displayed above a terminal chart (i.e. SID, STAR, or instrument approach) or a depiction of a terminal procedure;
- (2) the position data is reported as invalid by the GNSS receiver; or
- (3) the position data is not received for 5 seconds.

(c) Charting data considerations

If the map involves raster images that have been stitched together into a larger single map, it should be demonstrated that the stitching process does not introduce distortion or map errors that would not correlate properly with a GNSS-based own-ship symbol.

(d) Human machine interface (HMI)

(1) Interface

The flight crew should be able to unambiguously differentiate the EFB function from avionics functions available in the cockpit, and in particular with the navigation display.

A sufficiently legible text label 'AIRCRAFT POSITION NOT TO BE USED FOR NAVIGATION' or equivalent should be continuously displayed by the application if the own-ship position depiction is visible in the current display area over a terminal chart (i.e. SID, STAR, or instrument approach) or a depiction of a terminal procedure.

(2) Display of own-ship symbol

The own-ship symbol should be different from the ones used by certified aircraft systems intended for primary navigation.

If directional data is available, the own-ship symbol may indicate directionality. If direction is not available, the own-ship symbol should not imply directionality.

The colour coding should not be inconsistent with the manufacturer philosophy.

(3) Data displayed

The current map orientation should be clearly, continuously and unambiguously indicated (e.g., Track-up vs North-up).

If the software supports more than one directional orientation for the own-ship symbol (e.g., Track-up vs North-up), the current own-ship symbol orientation should be indicated.

The chart display in track-up mode should not create usability or readability issues. In particular, chart data should not be rotated in a manner that affects readability.

The application zoom levels should be appropriate for the function and content being displayed and in the context of providing supplemental position awareness.

The pilot should be able to obtain information about the operational status of the own-ship function (e.g. active, deactivated, degraded).

During IFR, day-VFR without visual references or night VFR flight, the following parameters' values should not be displayed:

- (i) Track/heading;
- (ii) Estimated time of arrival (ETA);
- (iii) Altitude;
- (iv) Geographical coordinates of the current location of the aircraft; and
- (v) Aircraft speed.

(4) Controls

If a panning and/or range selection function is available, the EFB application should provide a clear and simple method to return to an own-ship-oriented display.

A means to disable the display of the own-ship position should be provided to the flight crew.

(e) Training and procedures

The procedures and training should emphasise the fact that the display of own-ship position on charts or IFW EFB applications should not be used as a primary source of information to fly or navigate the aircraft or as a primary source of weather information.

(1) Procedures:

The following considerations should be addressed in the procedures for the use of charts or IFW EFB application displaying the own-ship position in flight by the flight crew:

- (i) Intended use of the display of own-ship position in flight on charts or IFW EFB applications;
- (ii) Inclusion of the EFB into the regular scan of flight deck systems indications. In particular, systematic cross-check with avionics before being used, whatever the position source; and
- (iii) Actions to be taken in case of identification of a discrepancy between the EFB and avionics.

(2) Training:

Crew members should be trained on the procedures for the use of the application, including the regular cross-check with avionics and the action in case of discrepancy.

GM1 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

EFB POLICY AND PROCEDURES MANUAL

The items that follow are the typical contents of an EFB policy and procedures manual that can be part of the operations manual. The proposed outline is very extensive. It may be adapted to the specific EFB system and to the size and complexity of the operations in which the operator is involved.

- (a) Revision history;
- (b) List of effective pages or paragraphs;
- (c) Table of contents;
- (d) Introduction:
 - (1) Glossary of terms and acronyms;
 - (2) EFB general philosophy, environment, and dataflow;
 - (3) EFB system architecture;
 - (4) Limitations of the EFB system;
 - (5) Hardware description;
 - (6) Operating system description;
 - (7) Detailed presentation of the EFB applications;
 - (8) EFB application customisation;
 - (9) Data management:
 - (i) data administration;
 - (ii) organisation and workflows;
 - (iii) data loading;
 - (iv) data revision mechanisms;
 - (v) approval workflow;
 - (vi) data publishing and dispatch;
 - (vii) customisation;
 - (viii) how to manage operator-specific documents;
 - (ix) airport data management;
 - (x) aircraft fleet definition;
- (10) Data authoring:
 - (i) navigation and customisation;

- (e) Hardware and operating system control and configuration:
 - (1) Purpose and scope;
 - (2) Description of the following processes:
 - (i) hardware configuration and part number control;
 - (ii) operating system configuration and control;
 - (iii) accessibility control;
 - (iv) hardware maintenance;
 - (v) operating system updating;
 - (3) Responsibilities and accountability;
 - (4) Records and filing;
 - (5) Documentary references;
- (f) Software application control and configuration:
 - (1) Purpose and scope;
 - (2) Description of the following processes:
 - (i) version control;
 - (ii) software configuration management;
 - (iii) application updating process;
 - (3) Responsibilities and accountability;
 - (4) Records and filing;
 - (5) Documentary references;
- (g) Flight crew:
 - (1) Training;
 - (2) Operating procedures (normal, abnormal, and emergency);
- (h) Maintenance considerations;
 - (i) EFB security policy:
 - (1) Security solutions and procedures.

GM2 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

FLIGHT CREW TRAINING

The following might be a typical training syllabus, provided that it does not contradict the operational suitability data established in accordance with Regulation (EU) No 748/2012.

- (a) Ground-based training:
 - (1) System architecture overview;
 - (2) Display unit features and use;

- (3) Limitations of the system;
- (4) Restrictions on the use of the system:
 - (i) phases of the flight;
 - (ii) alternate procedures (e.g. MEL);
- (5) Applications as installed;
- (6) Use of each application;
- (7) Restrictions on the use of each application:
 - (i) phases of the flight;
 - (ii) alternate procedures (e.g. MEL);
- (8) Data input;
- (9) Cross-checking of data inputs and outputs;
- (10) Use of data outputs;
- (11) Alternate procedures (e.g. MEL);
- (b) Flight training:
 - (1) Practical use of the display unit;
 - (2) Display unit controls;
 - (3) Data input devices;
 - (4) Selection of applications;
 - (5) Practical use of applications;
 - (6) Human factors considerations, including CRM;
 - (7) Situational awareness;
 - (8) Avoidance of fixation;
 - (9) Cross-checking of data inputs and outputs;
 - (10) Practical integration of EFB procedures into SOPs;
 - (11) Actions following the failure of component(s) of the EFB, including cases of battery smoke or fire; and
 - (12) Management of conflicting information.

GM3 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

SECURITY

Examples of typical safety and security defences are contained in the following non-exhaustive list:

- (a) Individual system firewalls;
- (b) The clustering of systems with similar safety standards into domains;
- (c) Data encryption and authentication;

- (d) Virus scans;
- (e) Keeping the OS up to date;
- (f) Initiating air–ground connections only when required and always from the aircraft;
- (g) ‘Whitelists’ for allowed internet domains;
- (h) Virtual private networks (VPNs);
- (i) Granting of access rights on a need-to-have basis;
- (j) Troubleshooting procedures that consider security threats as potential root causes of EFB misbehaviour, and provide for responses to be developed to prevent future successful attacks when relevant;
- (k) Virtualisation; and
- (l) Forensic tools and procedures.

GM4 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

IN-FLIGHT WEATHER (IFW) APPLICATIONS

‘Reliable sources’ of data used by IFW applications are the organisations evaluated by the operator as being able to provide an appropriate level of data assurance in terms of accuracy and integrity. It is recommended that the following aspects be considered during that evaluation:

- (a) The organisation should have a quality assurance system in place that covers the data source selection, acquisition/import, processing, validity period check, and the distribution phase;
- (b) Any meteorological product provided by the organisation that is within the scope of the meteorological information included in the flight documentation as defined in MET.TR.215(e) (Annex V (Definitions of terms used in Annexes II to XIII) to Commission Implementing Regulation (EU) 2016/1377¹) should originate only from authoritative sources or certified providers and should not be transformed or altered, except for the purpose of packaging the data in the correct format. The organisation’s process should provide assurance that the integrity of those products is preserved in the data for use by the IFW application.

GM5 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE – PRACTICAL EVALUATION

The tests should consist of a statistically relevant sample of taxiing. It is recommended to include taxiing at airports that are representative of the more complex airports typically accessed by the operator. Taxiing segment samples should include data that is derived from runways and taxiways, and should include numerous turns, in particular of 90 degrees or more, and segments in straight lines at the maximum speed at which the own-ship symbol is displayed. Taxiing segment samples should

¹ Commission Implementing Regulation (EU) 2016/1377 of 4 August 2016 laying down common requirements for service providers and the oversight in air traffic management/air navigation services and other air traffic management network functions, repealing Regulation (EC) No 482/2008, Implementing Regulations (EU) No 1034/2011 and (EU) No 1035/2011 and amending Regulation (EU) No 677/2011 (OJ L 226, 19.8.2016, p. 1).

include parts in areas of high buildings such as terminals. The analysis should include at least 25 inbound and/or outbound taxiing segments between the parking location and the runway.

During the tests, any unusual events (such as observing the own-ship symbol in a location on the map that is notably offset compared to the actual position, the own-ship symbol changing to non-directional when the aircraft is moving, and times when the own-ship symbol disappears from the map display) should be noted. For the test, the pilot should be instructed to diligently taxi on the centre line.

GM6 SPA.EFB.100(b)(3) Use of electronic flight bags (EFBs) – Operational approval

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN FLIGHT

The depiction of a circle around the EFB own-ship symbol may be used to differentiate it from the avionics one.

ANNEX VI (PART-NCC)

SUBPART A: GENERAL REQUIREMENTS

GM1 NCC.GEN.105(e)(2) Crew responsibilities

GENERAL

In accordance with 7.g. of Annex IV to Regulation (EC) No 216/2008¹ (essential requirements for air operations), a crew member must not perform duties on board an aircraft when under the influence of psychoactive substances or alcohol or when unfit due to injury, fatigue, medication, sickness or other similar causes. This should be understood as including the following:

- (a) effects of deep water diving and blood donation, and allowing for a certain time period between these activities and returning to flying; and
- (b) without prejudice to more restrictive national regulations, the consumption of alcohol while on duty or less than 8 hours prior to the commencement of duties, and commencing a flight duty period with a blood alcohol level in excess of 0.2 per thousand.

AMC1 NCC.GEN.105(g) Crew responsibilities

OCCURRENCE REPORTING

Whenever a crew member makes use of the applicable reporting systems, a copy of the report should be communicated to the pilot-in-command.

AMC1 NCC.GEN.106 Pilot-in-command responsibilities and authority

FLIGHT PREPARATION FOR PBN OPERATIONS

- (a) The flight crew should ensure that RNAV 1, RNAV 2, RNP 1 RNP 2, and RNP APCH routes or procedures to be used for the intended flight, including for any alternate aerodromes, are selectable from the navigation database and are not prohibited by NOTAM.
- (b) The flight crew should take account of any NOTAMs or operator briefing material that could adversely affect the aircraft system operation along its flight plan including any alternate aerodromes.
- (c) When PBN relies on GNSS systems for which RAIM is required for integrity, its availability should be verified during the preflight planning. In the event of a predicted continuous loss of fault detection of more than five minutes, the flight planning should be revised to reflect the lack of full PBN capability for that period.

¹ Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC (OJ L 79, 19.3.2008, p. 1). Regulation as last amended by Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 21 October 2009 (OJ L 309, 24.11.2009, p. 51).

- (d) For RNP 4 operations with only GNSS sensors, a fault detection and exclusion (FDE) check should be performed. The maximum allowable time for which FDE capability is projected to be unavailable on any one event is 25 minutes. If predictions indicate that the maximum allowable FDE outage will be exceeded, the operation should be rescheduled to a time when FDE is available.
- (e) For RNAV 10 operations, the flight crew should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace. Where an extension to the time limit is permitted, the flight crew will need to ensure that en route radio facilities are serviceable before departure, and to apply radio updates in accordance with any AFM limitation.

AMC2 NCC.GEN.106 Pilot-in-command responsibilities and authority

DATABASE SUITABILITY

- (a) The flight crew should check that any navigational database required for PBN operations includes the routes and procedures required for the flight.

DATABASE CURRENCY

- (b) The database validity (current AIRAC cycle) should be checked before the flight.
- (c) Navigation databases should be current for the duration of the flight. If the AIRAC cycle is due to change during flight, the flight crew should follow procedures established by the operator to ensure the accuracy of navigation data, including the suitability of navigation facilities used to define the routes and procedures for the flight.
- (d) An expired database may only be used if the following conditions are satisfied:
 - (1) the operator has confirmed that the parts of the database which are intended to be used during the flight and any contingencies that are reasonable to expect are not changed in the current version;
 - (2) any NOTAMs associated with the navigational data are taken into account;
 - (3) maps and charts corresponding to those parts of the flight are current and have not been amended since the last cycle;
 - (4) any MEL limitations are observed; and
 - (5) the database has expired by no more than 28 days.

GM1 NCC.GEN.106 Pilot-in-command responsibilities and authority

GENERAL

In accordance with 1.c. of Annex IV to Regulation (EC) No 216/2008 (Essential Requirements for air operations), the pilot-in-command is responsible for the operation and safety of the aircraft and for the safety of all crew members, passengers and cargo on board. This would normally be from the time that he/she assumes responsibility for the aircraft and passengers prior to a flight until the passengers are deplaned and escorted out of the operational area of the aerodrome or operating site and he/she relinquishes responsibility for the aircraft at the end of a flight or series of flights. The pilot-in-command's responsibilities and authority should be understood as including at least the following:

- (a) the safety of all crew members, passengers and cargo on board, as soon as he/she arrives on board, until he/she leaves the aircraft at the end of the flight; and
- (b) the operation and safety of the aircraft:
 - (1) for aeroplanes, from the moment it is first ready to move for the purpose of taxiing prior to take-off, until the moment it comes to rest at the end of the flight and the engine(s) used as primary propulsion unit(s) is/are shut down; or
 - (2) for helicopters, from the moment the engine(s) are started until the helicopter comes to rest at the end of the flight with the engine(s) shut down and the rotor blades stopped.

GM1 NCC.GEN.106(a)(9) Pilot-in-command responsibilities and authority

IDENTIFICATION OF THE SEVERITY OF AN OCCURRENCE BY THE PILOT-IN-COMMAND

The definitions of an accident and a serious incident as well as examples thereof can be found in Regulation (EU) No 996/2010 of the European Parliament and of the Council.

GM1 NCC.GEN.106(b) Pilot-in-command responsibilities and authority

AUTHORITY TO REFUSE CARRIAGE OR DISEMBARK

This may include:

- (a) passengers who have special needs that cannot be provided on the aircraft; or
- (b) persons that appear to be under the influence of alcohol or drugs.

AMC1 NCC.GEN.106(c) Pilot-in-command responsibilities and authority

REPORTING OF HAZARDOUS FLIGHT CONDITIONS

- (a) These reports should include any detail which may be pertinent to the safety of other aircraft.
- (b) Such reports should be made whenever any of the following conditions are encountered or observed:
 - (1) severe turbulence;
 - (2) severe icing;
 - (3) severe mountain wave;
 - (4) thunderstorms, with or without hail, that are obscured, embedded, widespread or in squall lines;
 - (5) heavy dust storm or heavy sandstorm;
 - (6) volcanic ash cloud; and
 - (7) unusual and/or increasing volcanic activity or a volcanic eruption.
- (c) When other meteorological conditions not listed above, e.g. wind shear, are encountered that, in the opinion of the pilot-in-command, may affect the safety or the efficiency of other aircraft operations, the pilot-in-command should advise the appropriate air traffic services (ATS) unit as soon as practicable.

AMC1 NCC.GEN.106(d) Pilot-in-command responsibilities and authority

MITIGATING MEASURES — FATIGUE

The use of additional crew members and/or controlled rest during flight as described in **GM1 NCC.GEN.106(d)** may be considered as appropriate fatigue mitigating measures.

GM1 NCC.GEN.106(d) Pilot-in-command responsibilities and authority

MITIGATING MEASURES — FATIGUE — CONTROLLED REST IN THE FLIGHT CREW COMPARTMENT

- (a) This Guidance Material (GM) addresses controlled rest taken by the minimum certified flight crew. It is not related to planned in-flight rest by members of an augmented crew.
- (b) Although flight crew members should stay alert at all times during flight, unexpected fatigue can occur as a result of sleep disturbance and circadian disruption. To cater for this unexpected fatigue, and to regain a high level of alertness, a controlled rest procedure in the flight crew compartment, organised by the pilot-in-command, may be used, if workload permits. 'Controlled rest' means a period of time 'off task' that may include actual sleep. The use of controlled rest has been shown to significantly increase the levels of alertness during the later phases of flight, particularly after the top of descent, and is considered to be good use of crew resource management (CRM) principles. Controlled rest should be used in conjunction with

other on board fatigue management countermeasures such as physical exercise, bright flight crew compartment illumination at appropriate times, balanced eating and drinking and intellectual activity.

- (c) Controlled rest taken in this way should not be considered to be part of a rest period for the purposes of calculating flight time limitations, nor used to justify any duty period extension. Controlled rest may be used to manage both sudden unexpected fatigue and fatigue that is expected to become more severe during higher workload periods later in the flight. Controlled rest is not related to fatigue management, which is planned before flight.
- (d) Controlled rest periods should be agreed according to individual needs and the accepted principles of CRM; where the involvement of the cabin crew is required, consideration should be given to their workload.
- (e) When applying controlled rest procedures, the pilot-in-command should ensure that:
 - (1) the other flight crew member(s) is(are) adequately briefed to carry out the duties of the resting flight crew member;
 - (2) one flight crew member is fully able to exercise control of the aircraft at all times; and
 - (3) any system intervention that would normally require a cross-check according to multi-crew principles is avoided until the resting flight crew member resumes his/her duties.
- (f) Controlled rest procedures should satisfy the following criteria:
 - (1) only one flight crew member at a time should take rest at his/her station; the harness should be used and the seat positioned to minimise unintentional interference with the controls;
 - (2) the rest period should be no longer than 45 minutes (in order to limit any actual sleep to approximately 30 minutes) so as to limit deep sleep and associated long recovery time (sleep inertia);
 - (3) after this 45-minute period, there should be a recovery period of 20 minutes during which sole control of the aircraft should not be entrusted to the flight crew member taking controlled rest;
 - (4) in the case of two-crew operations, means should be established to ensure that the non-resting flight crew member remains alert. This may include:
 - (i) appropriate alarm systems;
 - (ii) on board systems to monitor flight crew activity; and
 - (iii) where cabin crew are on board the aircraft, frequent cabin crew checks. In this case, the pilot-in-command should inform the cabin crew member of the intention of the flight crew member to take controlled rest, and of the time of the end of that rest; frequent contact should be established between the non-resting flight crew member and the cabin crew by communication means, and the cabin crew should check that the resting flight crew member is alert at the end of the period;
 - (5) there should be a minimum of 20 minutes between two sequential controlled rest periods in order to overcome the effects of sleep inertia and allow for adequate briefing;
 - (6) if necessary, a flight crew member may take more than one rest period, if time permits, on longer sectors, subject to the restrictions above; and
 - (7) controlled rest periods should terminate at least 30 minutes before the top of descent.

AMC1 NCC.GEN.106(e) Pilot-in-command responsibilities and authority

VIOLATION REPORTING

If required by the State in which the incident occurs, the pilot-in-command should submit a report on any such violation to the appropriate authority of such State; in that event, the pilot-in-command should also submit a copy of it to the CAA. Such reports should be submitted as soon as possible and normally within 10 days.

AMC1 NCC.GEN.119 Taxiing of aircraft

PROCEDURES FOR TAXIING

Procedures for taxiing should include at least the following:

- (a) application of the sterile flight crew compartment procedures;
- (b) use of standard radio-telephony (RTF) phraseology;
- (c) use of lights;
- (d) measures to enhance the situational awareness of the minimum required flight crew members. The following list of typical items should be adapted by the operator to take into account its operational environment:
 - (1) each flight crew member should have the necessary aerodrome layout charts available;
 - (2) the pilot taxiing the aircraft should announce in advance his/her intentions to the pilot monitoring;
 - (3) all taxi clearances should be heard, and should be understood by each flight crew member;
 - (4) all taxi clearances should be cross-checked against the aerodrome chart and aerodrome surface markings, signs, and lights;
 - (5) an aircraft taxiing on the manoeuvring area should stop and hold at all lighted stop bars, and may proceed further when an explicit clearance to enter or cross the runway has been issued by the aerodrome control tower, and when the stop bar lights are switched off;
 - (6) if the pilot taxiing the aircraft is unsure of his/her position, he/she should stop the aircraft and contact air traffic control;
 - (7) the pilot monitoring should monitor the taxi progress and adherence to the clearances, and should assist the pilot taxiing;
 - (8) any action which may disturb the flight crew from the taxi activity should be avoided or done with the parking brake set (e.g. announcements by public address);
- (e) subparagraphs (d)(2) and (d)(7) are not applicable to single-pilot operations.

GM1 NCC.GEN.120 Taxiing of aeroplanes

SAFETY-CRITICAL ACTIVITY

- (a) Taxiing should be treated as a safety-critical activity due to the risks related to the movement of the aeroplane and the potential for a catastrophic event on the ground.
- (b) Taxiing is a high-workload phase of flight that requires the full attention of the flight crew.

GM1 NCC.GEN.120(b)(4) Taxiing of aeroplanes

SKILLS AND KNOWLEDGE

The person designated by the operator to taxi an aeroplane should possess the following skills and knowledge:

- (a) Positioning of the aeroplane to ensure safety when starting engine;
- (b) Getting ATIS reports and taxi clearance, where applicable;
- (c) Interpretation of airfield markings/lights/signals/indicators;
- (d) Interpretation of marshalling signals, where applicable;
- (e) Identification of suitable parking area;
- (f) Maintaining lookout and right-of-way rules and complying with ATC or marshalling instructions when applicable;
- (g) Avoidance of adverse effect of propeller slipstream or jet wash on other aeroplanes, aerodrome facilities and personnel;
- (h) Inspection of taxi path when surface conditions are obscured;
- (i) Communication with others when controlling an aeroplane on the ground;
- (j) Interpretation of operational instructions;
- (k) Reporting of any problem that may occur while taxiing an aeroplane; and
- (l) Adapting the taxi speed in accordance with prevailing aerodrome, traffic, surface and weather conditions.

GM1 NCC.GEN.125 Rotor engagement

INTENT OF THE RULE

- (a) The following two situations where it is allowed to turn the rotor under power should be distinguished:
 - (1) for the purpose of flight, as described in the Implementing Rule;
 - (2) for maintenance purposes.
- (b) Rotor engagement for the purpose of flight: it should be noted that the pilot should not leave the control when the rotors are turning. For example, the pilot is not allowed to get out of the aircraft in order to welcome passengers and adjust their seat belts with the rotors turning.
- (c) Rotor engagement for the purpose of maintenance: the Implementing Rule, however, should not prevent ground runs being conducted by qualified personnel other than pilots for maintenance purposes.

The following conditions should be applied:

- (1) The operator should ensure that the qualification of personnel, other than pilots, who are authorised to conduct maintenance runs, is described in the appropriate manual.
- (2) Ground runs should not include taxiing the helicopter.
- (3) There should be no passengers on board.
- (4) Maintenance runs should not include collective increase or autopilot engagement (risk of ground resonance).

AMC1 NCC.GEN.130 Portable electronic devices

TECHNICAL PREREQUISITES FOR THE USE OF PEDS

(a) Scope

This AMC describes the technical prerequisites under which any kind of portable electronic device (PED) may be used on board the aircraft without adversely affecting the performance of the aircraft's systems and equipment.

(b) Prerequisites concerning the aircraft configuration

- (1) Before an operator may permit the use of any kind of PED on-board, it should ensure that PEDs have no impact on the safe operation of the aircraft. The operator should demonstrate that PEDs do not interfere with on-board electronic systems and equipment, especially with the aircraft's navigation and communication systems.
- (2) The assessment of PED tolerance may be tailored to the different aircraft zones for which the use of PEDs is considered, i.e. may address separately:
 - (i) the passenger compartment;
 - (ii) the flight crew compartment; and
 - (iii) areas not accessible during the flight.

(c) Scenarios for permitting the use of PEDs

- (1) Possible scenarios, under which the operator may permit the use of PEDs, should be as documented in Table 1. The scenarios in Table 1 are listed in a descending order with the least permitting scenario at the bottom.
- (2) Restrictions arising from the corresponding aircraft certification, as documented in the aircraft flight manual (AFM) or equivalent document(s), should stay in force. They may be linked to different aircraft zones, or to particular transmitting technologies covered.
- (3) For Scenarios Nos. 3 to 8 in Table 1 the use of C-PEDs and cargo tracking devices may be further expanded, when the EMI assessment has demonstrated that there is no impact on safety as follows:
 - (i) for C-PEDs by using the method described in (d)(2); and
 - (ii) for cargo tracking devices by using the method described in (d)(3).

Table 1 – Scenarios for permitting the use of PEDs by the operator

| No. | Technical condition | Non-intentional transmitters | T-PEDs |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| 1 | The aircraft is certified as T-PED tolerant, i.e. it has been demonstrated during the aircraft certification process that front door and back door coupling have no impact on the safe operation of the aircraft | All phases of flight | All phases of flight |
| 2 | A complete electromagnetic interference (EMI) assessment for all technologies, using the method described in (d)(1), has been performed and has demonstrated the T-PED tolerance | All phases of flight | All phases of flight |
| 3 | The aircraft is certified for the use of T-PEDs using particular technologies (e.g. WLAN or mobile phone) | All phases of flight | All phases of flight, restricted to those particular technologies |
| 4 | The EMI assessment, using the method described in (d)(1), has demonstrated that: (a) the front door coupling has no impact on safety; and (b) the back door coupling has no impact on safety when using particular technologies (e.g. WLAN or mobile phone) | All phases of flight | All phases of flight, restricted to those particular technologies |
| 5 | The EMI assessment, using the method described in (d)(1)(i), has demonstrated that the front door coupling has no impact on safety caused by non-intentional transmitters | All phases of flight | Not permitted |
| 6 | The EMI assessment, using the method described in (d)(1)(ii), has demonstrated that the back door coupling has no impact on safety when using particular technologies (e.g. WLAN or mobile phone) | All phases of flight - except low visibility approach operation | All phases of flight - except low visibility approach operation, restricted to those particular technologies |
| 7 | An EMI assessment has not been performed | All phases of flight - except low visibility approach operation | Not permitted |
| 8 | Notwithstanding Scenarios Nos. 3 to 7 | (a) before taxi-out; (b) during taxi-in after the end of landing roll; and (c) the pilot-in-command may permit the use during prolonged departure delays, provided that sufficient time is available to check the passenger compartment before the flight proceeds | |

(d) Demonstration of electromagnetic compatibility

(1) EMI assessment at aircraft level

The means to demonstrate that the radio frequency (RF) emissions (intentional or non-intentional) are tolerated by aircraft systems should be as follows:

(i) To address front door coupling susceptibility for any kind of PEDs:

- (A) EUROCAE, 'Guidance for the use of Portable Electronic Devices (PEDs) on Board Aircraft', ED-130A / RTCA DO-363 'Guidance for the Development of Portable Electronic Devices (PED) Tolerance for Civil Aircraft', Section 5; or

- (B) EUROCAE, 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', ED-239 / RTCA DO-307A, Section 4.

The use of RTCA, 'Guidance on Allowing Transmitting Portable, Electronic Devices (T-PEDs) on Aircraft', DO-294C (or later revisions), Appendix 5C; or RTCA, 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', DO-307 (including Change 1 or later revisions), Section 4, may be acceptable.

- (ii) To address back door coupling susceptibility for T-PEDs:

- (A) EUROCAE, 'Guidance for the use of portable electronic devices (PEDs) on board aircraft', ED-130A/RTCA DO-363, Section 6; or
- (B) EUROCAE, 'Aircraft Design and Certification for Portable Electronic Device (PED) Tolerance', ED-239 / RTCA DO-307A, Section 3.

The use of EUROCAE, 'Guidance for the use of Portable Electronic Devices (PEDs) on Board Aircraft', ED-130, Annex 6; or RTCA DO-294C (or later revisions), Appendix 6D; or RTCA DO-307 (including Change 1 or later revisions), Section 3, may be acceptable.

(2) Alternative EMI assessment of C-PEDs

- (i) For front door coupling:

- (A) C-PEDs should comply with the levels as defined by:
 - (a) EUROCAE/RTCA, 'Environmental conditions and test procedures for airborne equipment', ED-14D/DO-160D (or later revisions), Section 21, Category M, for operation in the passenger compartment and the flight crew compartment; and
 - (b) EUROCAE ED-14D/RTCA DO-160D (or later revisions), Section 21, Category H, for operation in areas not accessible during the flight.
- (B) If the C-PEDs are electronic flight bags used in the flight crew compartment and if the DO-160 testing described in (A) identifies inadequate margins for interference or has not been performed, it is necessary to test the C-PED in each aircraft model in which it will be operated. The C-PED should be tested in operation on the aircraft to show that no interference occurs with the aircraft equipment. This testing should be performed in a real aircraft, and credit may be given to other similarly equipped aircraft (meaning in particular that they have the same avionics equipment) of the same make and model as the one tested.

- (ii) To address back-door coupling susceptibility for C-PEDs with transmitting capabilities, the EMI assessment described in (1)(ii) should be performed.

(3) Alternative EMI assessment of cargo tracking devices

In case a transmitting function is automatically deactivated in a cargo tracking device (being a T-PED), the unit should be qualified for safe operation on board the aircraft. One of the following methods should be considered acceptable as evidence for safe operation:

- (i) A type-specific safety assessment, including failure mode and effects analysis, has been performed at aircraft level. The main purpose of the assessment should be to determine the worst hazards and to demonstrate an adequate design assurance

level of the relevant hardware and software components of the cargo tracking device.

- (ii) The high intensity radiated field (HIRF) certification of the aircraft has been performed, i.e. the aircraft type has been certified after 1987 and meets the appropriate special condition. In such a case, the operator should observe the following:

- (A) The tracking device:

- (a) features an automated and prolonged radio suspension in flight using multiple modes of redundancy; and
 - (b) has been verified in the aircraft environment to ensure deactivation of the transmitting function in flight.

- (B) The transmissions of the tracking device are limited per design to short periods of time (less than 1 second per 1 000 seconds) and cannot be continuous.

- (C) The tracking devices should comply with the levels as defined by EUROCAE ED-14E/RTCA DO-160E (or later revisions), Section 21, Category H.

- (D) In order to provide assurance on the tracking device design and production, the following documents are retained as part of the evaluation package:

- (a) operational description, technical specifications, product label and images of the tracking device and any peripheral attachments;
 - (b) failure mode and effects analysis report of the tracking device and any peripheral attachments;
 - (c) declaration of stringent design and production controls in place during the tracking device manufacturing;
 - (d) declaration of conformity and technical documentation showing compliance to the European Norms (EN), regulating the transmitter characteristic of the tracking device or its transmission module; and
 - (e) an EMI assessment report documenting the emission levels.

- (iii) The tracking device interference levels during transmission are below those considered acceptable for the specific aircraft environment.

(e) Operational conditions of C-PEDS and cargo tracking devices

The operator should ensure that C-PEDs and cargo tracking devices are maintained in good and safe condition, having in mind that:

- (1) damage may modify their emissions characteristics; and
- (2) damage to the battery may create a fire hazard.

(f) Batteries in C-PEDs and cargo tracking devices

Lithium-type batteries in C-PEDs and cargo tracking devices should meet:

- (1) United Nations (UN) Transportation Regulations, 'Recommendations on the transport of dangerous goods - manual of tests and criteria', UN ST/SG/AC.10/11; and

- (2) one of the following standards:
 - (i) Underwriters Laboratory, 'Lithium batteries', UL 1642;
 - (ii) Underwriters Laboratory, 'Household and commercial batteries', UL 2054;
 - (iii) Underwriters Laboratory, 'Information technology equipment – safety', UL60950-1;
 - (iv) International Electrotechnical Commission (IEC), 'Secondary cells and batteries containing alkaline or other non-acid electrolytes - safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications', IEC 62133;
 - (v) RTCA, 'Minimum operational performance standards for rechargeable lithium battery systems', DO-311. RTCA DO-311 may be used to address concerns regarding overcharging, over-discharging, and the flammability of cell components. The standard is intended to test permanently installed equipment; however, these tests are applicable and sufficient to test electronic flight bags rechargeable lithium-type batteries; or
 - (vi) European Technical Standard Order (ETSO), 'Non-rechargeable lithium cells and batteries', ETSO C142a.

AMC2 NCC.GEN.130 Portable electronic devices

PROCEDURES FOR THE USE OF PEDS

(a) Scope

This AMC describes the procedures under which any kind of portable electronic device (PED) may be used on board the aircraft without adversely affecting the performance of the aircraft's systems and equipment. This AMC addresses the operation of PEDs in the different aircraft zones — passenger compartment, flight compartment, and areas inaccessible during the flight.

(b) Prerequisites

Before permitting the use of any kind of PEDs the operator should ensure compliance with (c) of **AMC1 NCC.GEN.130**.

(c) Hazard identification and risk assessment

The operator should identify the safety hazards and manage the associated risks following the management system implemented in accordance with **ORO.GEN.200**. The risk assessment should include hazards associated with:

- (1) PEDs in different aircraft zones;
- (2) PED use during various phases of flight;
- (3) PED use during turbulence;
- (4) improperly stowed PEDs;
- (5) impeded or slowed evacuations;
- (6) passenger non-compliance, e.g. not deactivating transmitting functions, not switching off PEDs or not stowing PEDs properly;
- (7) disruptive passengers; and

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- (8) battery fire.
- (d) Use of PEDs in the passenger compartment
- (1) Procedures and training
- If an operator permits passengers to use PEDs on board its aircraft, procedures should be in place to control their use. These procedures should include provisions for passenger briefing, passenger handling and for the stowage of PEDs. The operator should ensure that all crew members and ground personnel are trained to enforce possible restrictions concerning the use of PEDs, in line with these procedures.
- (2) Provisions for use
- (i) The use of PEDs in the passenger compartment may be granted under the responsibility of the operator, i.e. the operator decides which PED may be used during which phases of the flight.
- (ii) Notwithstanding (b), medical equipment necessary to support physiological functions may be used at all times and does not need to be switched-off.
- (3) Stowage, passenger information and passenger briefing of PEDs
- (i) In accordance with **NCC.OP.135** the operator should establish procedures concerning the stowage of PEDs. The operator should:
- (A) identify the phases of flight in which PEDs are to be stowed; and
- (B) determine suitable stowage locations, taking into account the PEDs' size and weight.
- (ii) The operator should provide general information on the use of PEDs to the passengers before the flight. This information should specify at least:
- (A) which PEDs can be used during which phases of the flight;
- (B) when and where PEDs are to be stowed; and
- (C) that the instructions of the crew are to be followed at all times.
- (iii) The use of PEDs should be part of the passenger briefings. The operator should remind passengers to pay attention and to avoid distraction during such briefings.
- (4) In-seat electrical power supplies
- Where in-seat electrical power supplies are available for passenger use, the following should apply:
- (i) information giving safety instructions should be provided to the passengers;
- (ii) PEDs should be disconnected from any in-seat electrical power supply during taxiing, take-off, approach, landing, and during abnormal or emergency conditions; and
- (iii) flight crew and cabin crew should be aware of the proper means to switch-off in-seat power supplies used for PEDs.
- (5) Operator's safety measures during boarding and any phase of flight
- (i) Appropriate coordination between flight crew and cabin crew should be established to deal with interference or other safety problems associated with PEDs.

- (ii) Suspect equipment should be switched off.
- (iii) Particular attention should be given to passenger misuse of equipment.
- (iv) Thermal runaways of batteries, in particular lithium batteries, and potential resulting fire, should be handled properly.
- (v) The pilot-in-command may, for any reason and during any phase of flight, require deactivation and stowage of PEDs.
- (vi) When the operator restricts the use of PEDs, consideration should be given to handle special requests to operate a T-PED during any phase of the flight for specific reasons (e.g. for security measures).

(6) Reporting

Occurrences of suspected or confirmed interference should be reported to the CAA. Where possible, to assist follow-up and technical investigation, reports should describe the suspected device, identify the brand name and model number, its location in the aircraft at the time of the occurrence, interference symptoms, the device user's contact details and the results of actions taken by the crew.

(e) Use of PEDs in the flight crew compartment

In the flight crew compartment the operator may permit the use of PEDs, e.g. to assist the flight crew in their duties, when procedures are in place to ensure the following:

- (1) The conditions for the use of PEDs in-flight are specified in the operations manual.
- (2) The PEDs do not pose a loose item risk or other hazard.
- (3) These provisions should not preclude use of a T-PED (specifically a mobile phone) by the flight crew to deal with an emergency. However, reliance should not be predicated on a T-PED for this purpose.

(f) PEDs not accessible during the flight

PEDs should be switched off, when not accessible for deactivation during flight. This should apply especially to PEDs contained in baggage or transported as part of the cargo. The operator may permit deviation for PEDs for which safe operation has been demonstrated in accordance with **AMC1 NCC.GEN.130**. Other precautions, such as transporting in shielded metal boxes, may also be used to mitigate associated risks.

GM1 NCC.GEN.130 Portable electronic devices

DEFINITIONS

(a) Definition and categories of PEDs

PEDs are any kind of electronic device, typically but not limited to consumer electronics, brought on board the aircraft by crew members, passengers, or as part of the cargo and that are not included in the approved aircraft configuration. All equipment that is able to consume electrical energy falls under this definition. The electrical energy can be provided from internal sources as batteries (chargeable or non-rechargeable) or the devices may also be connected to specific aircraft power sources.

PEDs include the following two categories:

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- (1) Non-intentional transmitters can non-intentionally radiate RF transmissions, sometimes referred to as spurious emissions. This category includes, but is not limited to, calculators, cameras, radio receivers, audio and video players, electronic games and toys; when these devices are not equipped with a transmitting function.
 - (2) Intentional transmitters radiate RF transmissions on specific frequencies as part of their intended function. In addition, they may radiate non-intentional transmissions like any PED. The term 'transmitting PED' (T-PED) is used to identify the transmitting capability of the PED. Intentional transmitters are transmitting devices such as RF-based remote control equipment, which may include some toys, two-way radios (sometimes referred to as private mobile radio), mobile phones of any type, satellite phones, computers with mobile phone data connection, wireless local area network (WLAN) or Bluetooth capability. After deactivation of the transmitting capability, e.g. by activating the so-called 'flight mode' or 'flight safety mode', the T-PED remains a PED having non-intentional emissions.
- (b) Controlled PEDs (C-PEDs)
- A controlled PED (C-PED) is a PED subject to administrative control by the operator using it. This will include, inter alia, tracking the allocation of the devices to specific aircraft or persons and ensuring that no unauthorised changes are made to the hardware, software or databases. C-PEDs can be assigned to the category of non-intentional transmitters or T-PEDs.
- (c) Cargo tracking device
- A cargo tracking device is a PED attached to or included in airfreight (e.g. in or on containers, pallets, parcels or baggage). Cargo tracking devices can be assigned to the category of non-intentional transmitters or T-PEDs. If the device is a T-PED, it complies with the European Norms (EN) for transmissions.
- (d) Definition of the switched-off status
- Many PEDs are not completely disconnected from the internal power source when switched off. The switching function may leave some remaining functionality, e.g. data storage, timer, clock, etc. These devices can be considered switched off when in the deactivated status. The same applies for devices having no transmitting capability and are operated by coin cells without further deactivation capability, e.g. wrist watches.
- (e) Electromagnetic interference (EMI)
- The two classes of EMI to be addressed can be described as follows:
- (1) Front door coupling is the possible disturbance to an aircraft system as received by the antenna of the system and mainly in the frequency band used by the system. Any PED internal oscillation has the potential to radiate low level signals in the aviation frequency bands. Through this disturbance especially the instrument landing system (ILS) and the VHF omni range (VOR) navigation system may indicate erroneous information.
 - (2) Back door coupling is the possible disturbance of aircraft systems by electromagnetic fields generated by transmitters at a level which could exceed on short distance (i.e. within the aircraft) the electromagnetic field level used for the aircraft system certification. This disturbance may then lead to system malfunction.

GM2 NCC.GEN.130 Portable electronic devices

CREW REST COMPARTMENT, NAVIGATION, TEST ENTITIES AND FIRE CAUSED BY PEDS

- (a) When the aircraft is equipped with a crew rest compartment, it is considered being part of the passenger compartment.
- (b) Front door coupling may influence the VOR navigation system. Therefore, the flight crew monitors other navigation sensors to detect potential disturbances by PEDs, especially during low visibility departure operation based on VOR guidance.
- (c) Specific equipment, knowledge and experience are required, when the industry standards for evaluating technical prerequisites for the use of PEDs are applied. In order to ensure conformity with the industry standards, the operator is encouraged to cooperate with an appropriately qualified and experienced entity, as necessary. For this entity an aviation background is not required, but is considered to be beneficial.
- (d) Guidance to follow in case of fire caused by PEDs is provided by the International Civil Aviation Organisation, 'Emergency response guidance for aircraft incidents involving dangerous goods', ICAO Doc 9481-AN/928.

GM3 NCC.GEN.130 Portable electronic devices

CARGO TRACKING DEVICES EVALUATION

- (a) Safety assessment

Further guidance on performing a safety assessment can be found in:

- (1) 'Certification specifications and acceptable means of compliance for large aeroplanes', CS-25, Book 2, AMC-Subpart F, AMC 25.1309;
- (2) EUROCAE/SAE, 'Guidelines for development of civil aircraft and systems', ED-79/ARP 4754 (or later revisions); and
- (3) SAE, 'Guidelines and methods for conducting the safety assessment process on civil airborne systems and equipment', ARP 4761 (or later revisions).

- (b) HIRF certification

The type certificate data sheet (TCDS), available on the EASA website for each aircraft model having EASA certification, lists whether the HIRF certification has been performed through a special condition. The operator may contact the type certification holder to gain the necessary information.

- (c) Failure mode and effects analysis

Further guidance on performing a failure mode and effects analysis can be found in:

- (1) SAE ARP 4761 (or later revisions); and
- (2) U.S. Department of Defense, 'Procedures for performing a failure mode, effects and criticality analysis', Military Standard MIL-STD-1629A (or later revisions).

AMC1 NCC.GEN.131(a) Use of electronic flight bags (EFBs)

HARDWARE

In addition to **AMC1 CAT.GEN.MPA.141(a)**, the following should be considered:

(a) Display characteristics

Consideration should be given to the long-term degradation of a display, as a result of abrasion and ageing. AMC 25-11 (paragraph 3.16a) may be used as guidance to assess luminance and legibility aspects.

Information displayed on the EFB should be legible to the typical user at the intended viewing distance(s) and under the full range of lighting conditions expected in a flight crew compartment, including direct sunlight.

Users should be able to adjust the brightness of an EFB screen independently of the brightness of other displays in the flight crew compartment. In addition, when incorporating an automatic brightness adjustment, it should operate independently for each EFB in the flight crew compartment. Brightness adjustment using software means may be acceptable provided that this operation does not adversely affect the flight crew workload.

Buttons and labels should have adequate illumination for night use. 'Buttons and labels' refers to hardware controls located on the display itself.

All controls should be properly labelled for their intended function, except if no confusion is possible.

The 90-degree viewing angle on either side of each flight crew member's line of sight may be unacceptable for certain EFB applications if aspects of the display quality are degraded at large viewing angles (e.g. the display colours wash out or the displayed colour contrast is not discernible at the installation viewing angle).

(b) Power source

The design of a portable EFB system should consider the source of electrical power, the independence of the power sources for multiple EFBs, and the potential need for an independent battery source. A non-exhaustive list of factors to be considered includes:

- (1) the possibility to adopt operational procedures to ensure an adequate level of safety (for example, ensure a minimum level of charge before departure);
- (2) the possible redundancy of portable EFBs to reduce the risk of exhausted batteries;
- (3) the availability of backup battery packs to ensure an alternative source of power.

Battery-powered EFBs that have aircraft power available for recharging the internal EFB batteries are considered to have a suitable backup power source.

For EFBs that have an internal battery power source, and that are used as an alternative for paper documentation that is required by **NCC.GEN.140**, the operator should either have at least one EFB connected to an aircraft power bus or have established mitigation means and procedures to ensure that sufficient power with acceptable margins will be available during the whole flight.

(c) Environmental testing

Environmental testing, in particular testing for rapid decompression, should be performed when the EFB hosts applications that are required to be used during flight following a rapid decompression and/or when the EFB environmental operational range is potentially insufficient

with respect to the foreseeable flight crew compartment operating conditions.

The information from the rapid-decompression test of an EFB is used to establish the procedural requirements for the use of that EFB device in a pressurised aircraft. Rapid-decompression testing should follow the EUROCAE ED-14D/RTCA DO-160D (or later revisions) guidelines for rapid-decompression testing up to the maximum operating altitude of the aircraft at which the EFB is to be used.

- (1) Pressurised aircraft: when a portable EFB has successfully completed rapid-decompression testing, then no mitigating procedures for depressurisation events need to be developed. When a portable EFB has failed the rapid-decompression testing while turned ON, but successfully completed it when turned OFF, then procedures should ensure that at least one EFB on board the aircraft remains OFF during the applicable flight phases or that it is configured so that no damage will be incurred should rapid decompression occur in flight at an altitude higher than 10 000 ft above mean sea level (AMSL).

If an EFB system has not been tested or it has failed the rapid-decompression test, then alternate procedures or paper backup should be available.

- (2) Non-pressurised aircraft: rapid-decompression testing is not required for an EFB used in a non pressurised aircraft. The EFB should be demonstrated to reliably operate up to the maximum operating altitude of the aircraft. If the EFB cannot be operated at the maximum operating altitude of the aircraft, procedures should be established to preclude operation of the EFB above the maximum demonstrated EFB operating altitude while still maintaining the availability of any required aeronautical information displayed on the EFB.

The results of testing performed on a specific EFB model configuration (as identified by the EFB hardware manufacturer) may be applied to other aircraft installations and these generic environmental tests may not need to be duplicated. The operator should collect and retain:

- (1) evidence of these tests that have already been accomplished; or
- (2) suitable alternative procedures to deal with the total loss of the EFB system.

Rapid decompression tests do not need to be repeated when the EFB model identification and the battery type do not change.

The testing of operational EFBs should be avoided if possible to preclude the infliction of unknown damage to the unit during testing.

Operators should account for the possible loss or erroneous functioning of the EFB in abnormal environmental conditions.

The safe stowage and the use of the EFB under any foreseeable environmental conditions in the flight crew compartment, including turbulence, should be evaluated.

AMC1 NCC.GEN.131(b) Use of electronic flight bags (EFBs)

SOFTWARE

The same considerations as those in **AMC1 CAT.GEN.MPA.141(b)**, **AMC2 CAT.GEN.MPA.141(b)** and **AMC3 CAT.GEN.MPA.141(b)** should apply in respect of EFB software.

AMC1 NCC.GEN.131(b)(1) Use of electronic flight bags (EFBs)

RISK ASSESSMENT

(a) General

Prior to the use of any EFB system, the operator should perform a risk assessment for all type B EFB applications and for the related hardware as part of its hazard identification and risk management process.

The operator may make use of a risk assessment established by the software developer. However, the operator should ensure that its specific operational environment is taken into account.

The risk assessment should:

- (1) evaluate the risks associated with the use of an EFB;
- (2) identify potential losses of function or malfunction (with detected and undetected erroneous outputs) and the associated failure scenarios;
- (3) analyse the operational consequences of these failure scenarios;
- (4) establish mitigating measures; and
- (5) ensure that the EFB system (hardware and software) achieves at least the same level of accessibility, usability, and reliability as the means of presentation it replaces.

In considering the accessibility, usability, and reliability of the EFB system, the operator should ensure that the failure of the complete EFB system as well as of individual applications, including corruption or loss of data, and erroneously displayed information, has been assessed and that the risks have been mitigated to an acceptable level.

The operator should ensure that the risk assessments for type B EFB applications are maintained and kept up to date.

When the EFB system is intended to be introduced alongside a paper-based system, only the failures that would not be mitigated by the use of the paper-based system need to be addressed. In all other cases, a complete risk assessment should be performed.

(b) Assessing and mitigating the risks

Some parameters of EFB applications may depend on entries that are made by flight crew/dispatchers, whereas others may be default parameters from within the system that are subject to an administration process (e.g. the runway line-up allowance in an aircraft performance application). In the first case, mitigation means would mainly concern training and flight crew procedure aspects, whereas in the second case, mitigation means would more likely focus on the EFB administration and data management aspects.

The analysis should be specific to the operator concerned and should address at least the following points:

- (1) The minimisation of undetected erroneous outputs from applications and assessment of the worst credible scenario;
- (2) Erroneous outputs from the software application including:
 - (i) a description of the corruption scenarios that were analysed; and
 - (ii) a description of the mitigation means;

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- (3) Upstream processes including:
- (i) the reliability of root data used in applications (e.g. qualified input data, such as databases produced under ED-76/DO-200A, 'Standards for Processing Aeronautical Data');
 - (ii) the software application validation and verification checks according to appropriate industry standards, if applicable; and
 - (iii) the independence between application software components, e.g. robust partitioning between EFB applications and other airworthiness certified software applications;
- (4) A description of the mitigation means to be used following the detected failure of an application, or of a detected erroneous output;
- (5) The need for access to an alternate power supply in order to ensure the availability of software applications, especially if they are used as a source of required information.

As part of the mitigation means, the operator should consider establishing a reliable alternative means to provide the information available on the EFB system.

The mitigation means could be, for example, one of, or a combination of, the following:

- (1) the system design (including hardware and software);
- (2) a backup EFB device, possibly supplied from a different power source;
- (3) EFB applications being hosted on more than one platform;
- (4) a paper backup (e.g. quick reference handbook (QRH)); and
- (5) procedural means.

Depending on the outcome of their risk assessment, the operator may also consider performing an operational evaluation test before allowing unrestricted use of its EFB devices and applications.

EFB system design features such as those assuring data integrity and the accuracy of performance calculations (e.g. 'reasonableness' or 'range' checks) may be integrated in the risk assessment performed by the operator.

(c) Changes

The operator should update its EFB risk assessment based on the planned changes to its EFB system.

However, modifications to the operator's EFB system which:

- (1) do not bring any change to the calculation algorithms and/or to the interface of a type B EFB application;
 - (2) introduce a new type A EFB application or modify an existing one (provided its software classification remains type A);
 - (3) do not introduce any additional functionality to an existing type B EFB application;
 - (4) update an existing database necessary to use an existing type B EFB application; or
 - (5) do not require a change to the flight crew training or operational procedures,
- may be introduced by the operator without having to update its risk assessment.

These changes should, nevertheless, be controlled and properly tested prior to use in flight.

The modifications in the following non-exhaustive list are considered to meet these criteria:

- (1) operating system updates;
- (2) chart or airport database updates;
- (3) updates to introduce fixes (patches); and
- (4) installation and modification of a type A EFB application.

AMC1 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

EFB ADMINISTRATION

The operator should ensure:

- (a) that adequate support is provided to the EFB users for all the applications installed;
- (b) that potential security issues associated with the application installed have been checked;
- (c) that the hardware and software configuration is appropriately managed and that no unauthorised software is installed.

The operator should ensure that miscellaneous software applications do not adversely impact on the operation of the EFB, and should include miscellaneous software applications in the scope of the EFB configuration management;

- (d) that only a valid version of the application software and current data packages are installed on the EFB system; and
- (e) the integrity of the data packages used by the applications installed.

AMC2 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

PROCEDURES

The procedures for the administration or the use of the EFB device and the type B EFB application may be fully or partly integrated in the operations manual.

- (a) General

If an EFB system generates information similar to that generated by existing certified systems, procedures should clearly identify which information source will be the primary, which source will be used for backup information, and under which conditions the backup source should be used. Procedures should define the actions to be taken by the flight crew members when information provided by an EFB system is not consistent with that from other flight crew compartment sources, or when one EFB system shows different information than the other.

In the case of EFB applications providing information which might be affected by Notice(s) to Airmen NOTAMS (e.g. Airport moving map display (AMMD), performance calculation,...), the procedure for the use of these applications should include the handling of the relevant NOTAMS before their use.

- (b) Flight crew awareness of EFB software/database revisions

The operator should have a process in place to verify that the configuration of the EFB, including software application versions and, where applicable, database versions, are up to date. Flight crew members should have the ability to easily verify the validity of database versions used on the EFB. Nevertheless, flight crew members should not be required to confirm the revision dates for other databases that do not adversely affect flight operations, such as maintenance log forms or a list of airport codes. An example of a date-sensitive revision is that applied to an

aeronautical chart database. Procedures should specify what actions should be taken if the software applications or databases loaded on the EFB system are outdated.

(c) Workload mitigation and/or control

The operator should ensure that additional workload created by using an EFB system is adequately mitigated and/or controlled. The operator should ensure that, while the aircraft is in flight or moving on the ground, flight crew members do not become preoccupied with the EFB system at the same time. Workload should be shared between flight crew members to ensure ease of use and continued monitoring of other flight crew functions and aircraft equipment. This should be strictly applied in flight and the operator should specify any times when the flight crew members may not use the specific EFB application.

(d) Dispatch

The operator should establish dispatch criteria for the EFB system. The operator should ensure that the availability of the EFB system is confirmed by preflight checks. Instructions to flight crew should clearly define the actions to be taken in the event of any EFB system deficiency.

Mitigation may be in the form of maintenance and/or operational procedures for items such as:

- (1) replacement of batteries at defined intervals as required;
- (2) ensuring that there is a fully charged backup battery on board;
- (3) the flight crew checking the battery charging level before departure; and
- (4) the flight crew switching off the EFB in a timely manner when the aircraft power source is lost.

In the event of a partial or complete failure of the EFB, specific dispatch procedures should be followed. These procedures should be included either in the minimum equipment list (MEL) or in the operations manual and should ensure an acceptable level of safety.

Particular attention should be paid to establishing specific dispatch procedures allowing to obtain operational data (e.g. performance data) in the event of a failure of an EFB hosting application that provides such calculated data.

When the integrity of data input and output is verified by cross-checking and gross-error checks, the same checking principle should be applied to alternative dispatch procedures to ensure equivalent protection.

(e) Maintenance

Procedures should be established for the routine maintenance of the EFB system and detailing how unserviceability and failures are to be dealt with to ensure that the integrity of the EFB system is preserved. Maintenance procedures should also include the secure handling of updated information and how this information is validated and then promulgated in a timely manner and in a complete format to all users.

As part of the EFB system's maintenance, the operator should ensure that the EFB system batteries are periodically checked and replaced as required.

Should a fault or failure of the system arise, it is essential that such failures are brought to the immediate attention of the flight crew and that the system is isolated until rectification action is taken. In addition to backup procedures, to deal with system failures, a reporting system should be in place so that the necessary action, either to a particular EFB system or to the whole system, is taken in order to prevent the use of erroneous information by flight crew members.

(f) Security

The EFB system (including any means used for updating it) should be secure from unauthorised

intervention (e.g. by malicious software). The operator should ensure that the system is adequately protected at the software level and that the hardware is appropriately managed (e.g. the identification of the person to whom the hardware is released, protected storage when the hardware is not in use) throughout the operational lifetime of the EFB system. The operator should ensure that prior to each flight the EFB operational software works as specified and the EFB operational data is complete and accurate. Moreover, a system should be in place to ensure that the EFB does not accept a data load that contains corrupted contents. Adequate measures should be in place for the compilation and secure distribution of data to the aircraft.

Procedures should be transparent, and easy to understand, to follow and to oversee:

- (1) If an EFB is based on consumer electronics (e.g. a laptop) which can be easily removed, manipulated, or replaced by a similar component, then special consideration should be given to the physical security of the hardware;
- (2) Portable EFB platforms should be subject to allocation tracking to specific aircraft or persons;
- (3) Where a system has input ports, and especially if widely known protocols are used through these ports or internet connections are offered, then special consideration should be given to the risks associated with these ports;
- (4) Where physical media are used to update the EFB system, and especially if widely known types of physical media are used, then the operator should use technologies and/or procedures to assure that unauthorised content cannot enter the EFB system through these media.

The required level of EFB security depends on the criticality of the functions used (e.g. an EFB which only holds a list of fuel prices may require less security than an EFB used for performance calculations).

Beyond the level of security required to assure that the EFB can properly perform its intended functions, the level of security ultimately required depends on the capabilities of the EFB.

(g) Electronic signatures

Some applicable requirements may require a signature when issuing or accepting a document (e.g. load sheet, technical logbook, notification to captain (NOTOC)). In order to be accepted as being equivalent to a handwritten signature, electronic signatures used in EFB applications need, as a minimum, to fulfil the same objectives and should assure the same degree of security as the handwritten or any other form of signature that they are intended to replace. **AMC1 NCC.POL.110(c)** provides means to comply with the required handwritten signature or its equivalent for mass and balance documentation.

On a general basis, in the case of required signatures, an operator should have in place procedures for electronic signatures that guarantee:

- (1) their uniqueness: a signature should identify a specific individual and be difficult to duplicate;
- (2) their significance: an individual using an electronic signature should take deliberate and recognisable action to affix their signature;
- (3) their scope: the scope of the information being affirmed with an electronic signature should be clear to the signatory and to the subsequent readers of the record, record entry, or document;
- (4) their security: the security of an individual's handwritten signature is maintained by ensuring that it is difficult for another individual to duplicate or alter it;

- (5) their non-repudiation: an electronic signature should prevent a signatory from denying that they affixed a signature to a specific record, record entry, or document; the more difficult it is to duplicate a signature, the more likely it is that the signature was created by the signatory; and
- (6) their traceability: an electronic signature should provide positive traceability to the individual who signed a record, record entry, or any other document.

An electronic signature should retain those qualities of a handwritten signature that guarantee its uniqueness. Systems using either a PIN or a password with limited validity (timewise) may be appropriate in providing positive traceability to the individual who affixed it. Advanced electronic signatures, qualified certificates and secured signature-creation devices needed to create them in the context of Regulation (EU) No 910/2014 are typically not required for EFB operations.

AMC3 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

FLIGHT CREW TRAINING

Flight crew members should be given specific training on the use of the EFB system before it is operationally used.

Training should at least include the following:

- (a) an overview of the system architecture;
- (b) preflight checks of the system;
- (c) limitations of the system;
- (d) specific training on the use of each application and the conditions under which the EFB may and may not be used;
- (e) restrictions on the use of the system, including cases where the entire system or some parts of it are not available;
- (f) procedures for normal operations, including cross-checking of data entry and computed information;
- (g) procedures to handle abnormal situations, such as a late runway change or a diversion to an alternate aerodrome;
- (h) procedures to handle emergency situations;
- (i) phases of the flight when the EFB system may and may not be used;
- (j) human factors considerations, including crew resource management (CRM), on the use of the EFB;
- (k) additional training for new applications or changes to the hardware configuration;
- (l) actions following the failure of component(s) of the EFB, including cases of battery smoke or fire; and
- (m) management of conflicting information.

AMC4 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

PERFORMANCE AND MASS AND BALANCE APPLICATIONS

(a) General

Performance and mass and balance applications should be based on existing published data found in the AFM or performance manual, and should account for the applicable CAT.POL performance requirements. The applications may use algorithms or data spreadsheets to determine results. They may have the capability to interpolate within the information contained in the published data for the particular aircraft but should not extrapolate beyond it.

To protect against intentional and unintentional modifications, the integrity of the database files related to performance and mass and balance (the performance database, airport database, etc.) should be checked by the program before performing any calculations. This check can be run once at the start-up of the application.

Each software version should be identified by a unique version number. The performance and mass and balance applications should record each computation performed (inputs and outputs) and the operator should ensure that this information is retained for at least 3 months.

The operator should ensure that aircraft performance or mass and balance data provided by the application is correct compared with the data derived from the AFM (e.g. for take-off and landing performance data) or from other reference data sources (e.g. mass and balance manuals or databases, in-flight performance manuals or databases) under a representative cross-check of conditions (e.g. for take-off and landing performance applications: take-off and landing performance data on dry, wet and contaminated runways, with different wind conditions and aerodrome pressure altitudes, etc.).

The operator should define any new roles that the flight crew and, if applicable, the flight dispatcher, may have in creating, reviewing, and using performance calculations supported by EFB systems.

(b) Testing

The verification of the compliance of a performance or mass and balance application should include software testing activities performed with the software version candidate for operational use.

The testing can be performed either by the operator or a third party, as long as the testing process is documented and the responsibilities identified.

The testing activities should include reliability testing and accuracy testing.

Reliability testing should show that the application in its operating environment (operating system (OS) and hardware included) is stable and deterministic, i.e. identical answers are generated each time the process is entered with identical parameters.

Accuracy testing should demonstrate that the aircraft performance or mass and balance computations provided by the application are correct in comparison with data derived from the AFM or other reference data sources, under a representative cross section of conditions (e.g. for take-off and landing performance applications: runway state and slope, different wind conditions and pressure altitudes, various aircraft configurations including failures with a performance impact, etc.).

The verification should include a sufficient number of comparison results from representative calculations throughout the entire operating envelope of the aircraft, considering corner points, routine and break points.

Any difference compared to the reference data that is judged significant should be examined. When differences are due to more conservative calculations or reduced margins that were purposely built into the approved data, this approach should be clearly specified. Compliance with the applicable certification and operational rules needs to be assessed in any case.

The testing method should be described. The testing may be automated when all the required data is available in an appropriate electronic format, but in addition to performing thorough monitoring of the correct functioning and design of the testing tools and procedures, operators are strongly suggested to perform additional manual verification. It could be based on a few scenarios for each chart or table of the reference data, including both operationally representative scenarios and 'corner-case' scenarios.

The testing of a software revision should, in addition, include non-regression testing and testing of any fix or change.

Furthermore, an operator should perform testing related to its customisation of the applications and to any element pertinent to its operation that was not covered at an earlier stage (e.g. airport database verification).

(c) Procedures

Specific care is needed regarding flight crew procedures concerning take-off and landing performance or mass and balance applications. Flight crew procedures should ensure that:

- (1) calculations are performed independently by each flight crew member before data outputs are accepted for use;
- (2) a formal cross-check is made before data outputs are accepted for use; such cross-checks should utilise the independent calculations described above, together with the output of the same data from other sources on the aircraft;
- (3) a gross-error check is performed before data outputs are accepted for use; such gross-error checks may use either a 'rule of thumb' or the output of the same data from other sources on the aircraft; and
- (4) in the event of a loss of functionality of an EFB through either the loss of a single application, or the failure of the device hosting the application, an equivalent level of safety can be maintained; consistency with the EFB risk assessment assumptions should be confirmed.

(d) Training

The training should emphasise the importance of executing all take-off and landing performance or mass and balance calculations in accordance with the SOPs to assure fully independent calculations.

Furthermore, due to the optimisation at different levels brought by performance applications, the flight crew members may be confronted with new procedures and different aircraft behaviour (e.g. the use of multiple flap settings for take-off). The training should be designed and provided accordingly.

Where an application allows the computing of both dispatch results (from regulatory and factored calculations) and other results, the training should highlight the specificities of those results. Depending on the representativeness of the calculation, the flight crew should be trained on any operational margin that might be required.

The training should also address the identification and the review of default values, if any, and assumptions about the aircraft status or environmental conditions made by the application.

(e) Specific considerations for mass and balance applications

In addition to the figures, a diagram displaying the mass and its associated centre of gravity (CG) should be provided.

(f) Human-factors-specific considerations

Input and output data (i.e. results) shall be clearly separated from each other. All the information necessary for a given calculation task should be presented together or be easily accessible.

All input and output data should include correct and unambiguous terms (names), units of measurement (e.g. kg or lb), and when applicable, an index system and a CG-position declaration (e.g. Arm/%MAC). The units should match the ones from the other flight-crew-compartment sources for the same kinds of data.

Airspeeds should be provided in a way that is directly useable in the flight crew compartment unless the unit clearly indicates otherwise (e.g. Knots Calibrated Air Speed (KCAS)). Any difference between the type of airspeed provided by the EFB application and the type provided by the AFM or flight crew operating manual (FCOM) performance charts should be mentioned in the flight crew guides and training material.

If the landing performance application allows the computation of both dispatch (regulatory, factored) and other results (e.g. in-flight or unfactored), the flight crew members should be made aware of the computation mode used.

(1) Inputs

The application should allow users to clearly distinguish user entries from default values or entries imported from other aircraft systems.

Performance applications should allow the flight crew to check whether a certain obstacle is included in the performance calculations and/or to include new or revised obstacle information in the performance calculations.

(2) Outputs

All critical assumptions for performance calculations (e.g. the use of thrust reversers, full or reduced thrust/power rating) should be clearly displayed. The assumptions made about any calculation should be at least as clear to the flight crew members as similar information would be on a tabular chart.

All output data should be available in numbers.

The application should indicate when a set of entries results in an unachievable operation (for instance, a negative stopping margin) with a specific message or colour scheme. This should be done in accordance with the relevant provisions on messages and the use of colours.

In order to allow a smooth workflow and to prevent data entry errors, the layout of the calculation outputs should be such that it is consistent with the data entry interface of the aircraft applications in which the calculation outputs are used (e.g. flight management systems).

(3) Modifications

The user should be able to easily modify performance calculations, especially when making last-minute changes.

Calculation results and any outdated input fields should be deleted when:

- (i) modifications are entered;
- (ii) the EFB is shut down or the performance application is closed; and

- (iii) the EFB or the performance application have been in a standby or 'background' mode too long, i.e. such that it is likely that when it is used again, the inputs or outputs will be outdated.

AMC5 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

AIRPORT MOVING MAP DISPLAY (AMMD) APPLICATION WITH OWN-SHIP POSITION

(a) General

An AMMD application should not be used as the primary means of navigation for taxiing and should be only used in conjunction with other materials and procedures identified within the operating concept (see paragraph (e)).

When an AMMD is in use, the primary means of navigation for taxiing remains the use of normal procedures and direct visual observation out of the flight-crew-compartment window.

Thus, as recognised in ETSO-C165a, an AMMD application with a display of own-ship position is considered to have a minor safety effect for malfunctions that cause the incorrect depiction of aircraft position (own-ship), and the failure condition for the loss of function is classified as 'no safety effect'.

(b) Minimum requirements

AMMD software that complies with European Technical Standard Order ETSO-C165a is considered to be acceptable.

In addition, the system should provide the means to display the revision number of the software installed.

To achieve the total system accuracy requirements of ETSO-C165a, an airworthiness-approved sensor using the global positioning system (GPS) in combination with a medium-accuracy database compliant with EUROCAE ED-99C/RTCA DO-272C, 'User Requirements for Aerodrome Mapping Information,' (or later revisions) is considered one acceptable means.

Alternatively, the use of non-certified commercial off-the-shelf (COTS) position sources may be acceptable in accordance with **AMC6 NCC.GEN.131(b)(2)**.

(c) Data provided by the AMMD software application developer

The operator should ensure that the AMMD software application developer provides the appropriate data including:

- (1) installation instructions or equivalent as per ETSO-C165a Section 2.2 addressing:
 - (i) the identification of each specific EFB system computing platform (including the hardware platform and the operating system version) with which this AMMD software application and database was demonstrated to be compatible;
 - (ii) the installation procedures and limitations for each applicable platform (e.g. required memory resources, configuration of Global Navigation Satellite System (GNSS) antenna position);
 - (iii) the interface description data including the requirements for external sensors providing data inputs; and
 - (iv) means to verify that the AMMD has been installed correctly and is functioning properly.
- (2) Any AMMD limitations, and known installation, operational, functional, or performance issues of the AMMD.

(d) AMMD software installation in the EFB

The operator should review the documents and the data provided by the AMMD developer, and ensure that the installation requirements of the AMMD software in the specific EFB platform and aircraft are addressed. Operators are required to:

perform any verification activities proposed by the AMMD software application developer, as well as identify and perform any additional integration activities that need to be completed;

(e) Operational procedures

Changes to operational procedures of the aircraft (e.g. flight crew procedures) should be documented in the operations manual or user's guide as appropriate. In particular, the documentation should highlight that the AMMD is only designed to assist flight crew members in orienting themselves on the airport surface so as to improve the flight crew members' positional awareness during taxiing and that it is not to be used as the basis for ground manoeuvring.

(f) Training requirements

The operator may use flight crew procedures to mitigate some hazards. These should include limitations on the use of the AMMD function or application. As the AMMD could be a compelling display and the procedural restrictions are a key component of the mitigation, training should be provided in support of an AMMD implementation.

All mitigation means that rely on flight crew procedures should be included in the flight crew training. Details of the AMMD training should be included in the operator's overall EFB training.

AMC6 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE

COTS position sources may be used for AMMD EFB applications and for EFB applications displaying the own-ship position in-flight when the following considerations are complied with:

(a) Characterisation of the receiver:

The position should originate from an airworthiness approved GNSS receiver, or from a COTS GNSS receiver fully characterised in terms of technical specifications and featuring an adequate number of channels (12 or more).

The EFB application should, in addition to position and velocity data, receive a sufficient number of parameters related to the fix quality and integrity to allow compliance with the accuracy requirements (e.g. the number of satellites and constellation geometry parameters such as dilution of position (DOP), 2D/3D fix).

(b) Installation aspects:

COTS position sources are C-PEDs and their installation and use should follow the requirements of **NCC.GEN.130**.

If the external COTS position source transmits wirelessly, cybersecurity aspects have to be considered.

(c) Practical evaluation:

As variables can be introduced by the placement of the antennas in the aircraft and the characteristics of the aircraft itself (e.g. heated and/or shielded windshield effects), the tests have to take place on the type of aircraft in which the EFB will be operated, with the antenna positioned at the location to be used in service.

(1) COTS used as a position source for AMMD

The test installation should record the data provided by the COTS position source to the AMMD application.

The analysis should use the recorded parameters to demonstrate that the AMMD requirements are satisfactorily complied with in terms of the total system accuracy (taking into account database errors, latency effects, display errors, and uncompensated antenna offsets) within 50 metres (95 %). The availability should be sufficient to prevent distraction or increased workload due to frequent loss of position.

When demonstrating compliance with the following requirements of DO-257A, the behaviour of the AMMD system should be evaluated in practice:

- (i) indication of degraded position accuracy within 1 second (Section 2.2.4 (22)); and
- (ii) indication of a loss of positioning data within 5 seconds (Section 2.2.4 (23)); conditions to consider are both a loss of the GNSS satellite view (e.g. antenna failure) and a loss of communication between the receiver and the EFB.

(2) COTS position source used for applications displaying own-ship position in-flight:

Flight trials should demonstrate that the COTS GNSS availability is sufficient to prevent distraction or increased workload due to frequent loss of position.

AMC7 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

CHART APPLICATIONS

The navigation charts that are depicted should contain the information necessary, in an appropriate form, to perform the operation safely. Consideration should be given to the size, resolution and position of the display to ensure legibility whilst retaining the ability to review all the information required to maintain adequate situational awareness. The identification of risks associated with the human-machine interface, as part of the operator's risk assessment, is key to identifying acceptable mitigation means, e.g.:

- (a) to establish procedures for reducing the risk of making errors;
- (b) to control and mitigate the additional workload related to EFB use;
- (c) to ensure the consistency of colour-coding and symbology philosophies between EFB applications and their compatibility with other flight crew compartment applications; and
- (d) to consider aspects of crew resource management (CRM) when using an EFB system.

In the case of chart application displaying own-ship position in flight, **AMC9 NCC.GEN.131(b)(2)** is applicable.

AMC8 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

IN-FLIGHT WEATHER APPLICATIONS

(a) General

An in-flight weather (IFW) application is an EFB function or application enabling the flight crew to access meteorological information. It is designed to increase situational awareness and to support the flight crew when making strategic decisions.

An IFW function or application may be used to access both information required to be on board (e.g. World Area Forecast Centre (WAFC) data) and supplemental weather information.

The use of IFW applications should be non-safety-critical and not necessary for the performance of the flight. In order for it to be non-safety-critical, IFW data should not be used to support tactical decisions and/or as a substitute for certified aircraft systems (e.g. weather radar).

Any current information from the meteorological data required to be carried on board or from aircraft primary systems should always prevail over the information from an IFW application.

The displayed meteorological information may be forecasted and/or observed, and may be updated on the ground and/or in flight. It should be based on data from certified meteorological services providers or other reliable sources evaluated by the operator.

The meteorological information provided to the flight crew should be as far as possible consistent with the information available to users of ground-based aviation meteorological information (e.g. operations control centre (OCC) staff, flight dispatchers, etc.) in order to establish common situational awareness and to facilitate collaborative decision-making.

(b) Display

Meteorological information should be presented to the flight crew in a format that is appropriate to the content of the information; coloured graphical depiction is encouraged whenever practicable.

The IFW display should enable the flight crew to:

- (1) distinguish between observed and forecasted weather data;
- (2) identify the currency or age and validity time of the weather data;
- (3) access the interpretation of the weather data (e.g. the legend);
- (4) obtain positive and clear indications of any missing information or data and determine areas of uncertainty when making decisions to avoid hazardous weather; and
- (5) be aware of the data-link means status enabling necessary IFW data exchanges.

Meteorological information in IFW applications may be displayed, for example, as an overlay over navigation charts, over geographical maps, or it may be a stand-alone weather depiction (e.g. radar plots, satellite images, etc.).

If meteorological information is overlaid on navigation charts, special consideration should be given to HMI issues in order to avoid adverse effects on the basic chart functions.

In case of display of own-ship position in flight, **AMC9 NCC.GEN.131(b)(2)** is applicable.

The meteorological information may require reformatting to accommodate, for example, the display size or the depiction technology. However, any reformatting of the meteorological information should preserve both the geo-location and intensity of the meteorological conditions regardless of projection, scaling, or any other types of processing.

(c) Training and procedures

The operator should establish procedures for the use of an IFW application.

The operator should provide adequate training to the flight crew members before using an IFW application. This training should address:

- (1) limitations of the use of an IFW application:
 - (i) acceptable use (strategic planning only);
 - (ii) information required to be on board; and
 - (iii) latency of observed weather information and the hazards associated with utilisation of old information;

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- (2) information on the display of weather data:
 - (i) type of displayed information (forecasted, observed);
 - (ii) symbology (symbols, colours); and
 - (iii) interpretation of meteorological information;
 - (3) identification of failures and malfunctions (e.g. incomplete uplinks, data-link failures, missing info);
 - (4) human factors issues:
 - (i) avoiding fixation; and
 - (ii) managing workload.

AMC9 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN-FLIGHT

(a) Limitations

The display of own-ship position in flight as an overlay to other EFB applications should not be used as a primary source of information to fly or navigate the aircraft.

Except on VFR flights over routes navigated by reference to visual landmark, the display of the own-ship symbol is allowed only in aircraft having a certified navigation display (moving map).

In the specific case of IFW applications, the display of own-ship on such applications is restricted to aircraft equipped with a weather radar.

(b) Position source and accuracy

The display of own-ship position may be based on a certified GNSS or GNSS-based (e.g. GPS/IRS) position from certified aircraft equipment or on a portable COTS position source in accordance with **AMC6 NCC.GEN.131(b)(2)**.

The own-ship symbol should be removed and the flight crew notified if:

- (1) the estimated accuracy exceeds 50 meters;
- (2) the position data is reported as invalid by the GNSS receiver; or
- (3) the position data is not received for 5 seconds.

(c) Charting data considerations

If the map involves raster images that have been stitched together into a larger single map, it should be demonstrated that the stitching process does not introduce distortion or map errors that would not correlate properly with a GNSS-based own-ship symbol.

(d) Human machine interface (HMI)

(1) Interface

The flight crew should be able to unambiguously differentiate the EFB function from avionics functions available in the cockpit, and in particular with the navigation display.

A sufficiently legible text label “AIRCRAFT POSITION NOT TO BE USED FOR NAVIGATION” or equivalent should be continuously displayed by the application if the own-ship position depiction is visible in the current display area over a terminal chart (i.e. SID, STAR, or instrument approach) or a depiction of a terminal procedure.

(2) Display of own-ship symbol

The own-ship symbol should be different from the ones used by certified aircraft systems intended for primary navigation.

If directional data is available, the own-ship symbol may indicate directionality. If direction is not available, the own-ship symbol should not imply directionality.

The colour coding should not be inconsistent with the manufacturer philosophy.

(3) Data displayed

The current map orientation should be clearly, continuously and unambiguously indicated (e.g., Track-up vs North-up).

If the software supports more than one directional orientation for the own-ship symbol (e.g., Track-up vs North-up), the current own-ship symbol orientation should be indicated.

The chart display in track-up mode should not create usability or readability issues. In particular, chart data should not be rotated in a manner that affects readability.

The application zoom levels should be appropriate for the function and content being displayed and in the context of providing supplemental position awareness.

The pilot should be able to obtain information about the operational status of the own-ship function (e.g. active, deactivated, degraded).

During IFR, day-VFR without visual references or night VFR flight, the following parameters’ values should not be displayed:

- (i) Track/heading;
- (ii) Estimated time of arrival (ETA);
- (iii) Altitude;
- (iv) Geographical coordinates of the current location of the aircraft; and
- (v) Aircraft speed.

(4) Controls

If a panning and/or range selection function is available, the EFB application should provide a clear and simple method to return to an own-ship oriented display.

A means to disable the display of the own-ship position should be provided to the flight crew.

(e) Training and procedures

The procedures and training should emphasise the fact that the display of own-ship position on charts or IFW EFB applications should not be used as a primary source of information to fly or navigate the aircraft or as a primary source of weather information.

(1) Procedures:

The following considerations should be addressed in the procedures for the use of charts or IFW EFB application displaying the own-ship position in-flight by the flight crew:

- (i) Intended use of the display of own-ship position in-flight on charts or IFW EFB applications;
 - (ii) Inclusion of the EFB into the regular scan of flight deck systems indications. In particular, systematic cross-check with avionics before being used, whatever the position source; and
 - (iii) Actions to be taken in case of the identification of a discrepancy between the EFB and avionics.
- (2) Training:
- Crew members should be trained on the procedures for the use of the application, including the regular cross-check with avionics and the action in case of discrepancy.

GM1 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

IN-FLIGHT WEATHER APPLICATIONS

'Reliable sources' of data used by in-flight weather (IFW) applications are the organisations evaluated by the operator as being able to provide an appropriate level of data assurance in terms of accuracy and integrity. It is recommended that the following aspects be considered during that evaluation:

- (a) The organisation should have a quality assurance system in place that covers the data source selection, acquisition/import, processing, validity period check, and the distribution phase;
- (b) Any meteorological product provided by the organisation that is within the scope of meteorological information included in the flight documentation as defined in MET.TR.215(e) (Annex V (Definitions of terms used in Annexes II to XIII) to Commission Implementing Regulation (EU) 2016/1377) should originate only from authoritative sources or certified providers and should not be transformed or altered, except for the purpose of packaging the data in the correct format. The organisation's process should provide assurance that the integrity of those products is preserved in the data for use by the IFW application.

GM2 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE – PRACTICAL EVALUATION

The tests should consist of a statistically relevant sample of taxiing. It is recommended to include taxiing at airports that are representative of the more complex airports typically accessed by the operator. Taxiing segment samples should include data that is derived from runways and taxiways, and should include numerous turns, in particular of 90 degrees or more, and segments in straight lines at the maximum speed at which the own-ship symbol is displayed. Taxiing segment samples should include parts in areas of high buildings such as terminals. The analysis should include at least 25 inbound and/or outbound taxiing segments between the parking location and the runway.

During the tests, any unusual events (such as observing the own-ship symbol in a location on the map that is notably offset compared to the actual position, the own-ship symbol changing to non-directional when the aircraft is moving, and times when the own-ship symbol disappears from the map display) should be noted. For the test, the pilot should be instructed to diligently taxi on the centre line.

GM3 NCC.GEN.131(b)(2) Use of electronic flight bags (EFBs)

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN FLIGHT

The depiction of a circle around the EFB own-ship symbol may be used to differentiate it from the avionics one.

AMC1 NCC.GEN.135 Information on emergency and survival equipment carried

CONTENT OF INFORMATION

The information, compiled in a list, should include, as applicable:

- (a) the number, colour and type of life-rafts and pyrotechnics;
- (b) details of emergency medical supplies and water supplies; and
- (c) the type and frequencies of the emergency portable radio equipment.

AMC1 NCC.GEN.140 Documents, manuals and information to be carried

GENERAL

The documents, manuals and information may be available in a form other than on printed paper. An electronic storage medium is acceptable if accessibility, usability and reliability can be assured.

AMC1 NCC.GEN.140(a)(3) Documents, manuals and information to be carried

CERTIFICATE OF AIRWORTHINESS

The certificate of airworthiness should be a normal certificate of airworthiness or a restricted certificate of airworthiness issued in accordance with the applicable airworthiness requirements.

AMC1 NCC.GEN.140(a)(11) Documents, manuals and information to be carried

CURRENT AND SUITABLE AERONAUTICAL CHARTS

- (a) The aeronautical charts carried should contain data appropriate to the applicable air traffic regulations, rules of the air, flight altitudes, area/route and nature of the operation. Due consideration should be given to carriage of textual and graphic representations of:
 - (1) aeronautical data including, as appropriate for the nature of the operation:
 - (i) airspace structure;
 - (ii) significant points, navigation aids (navaids) and air traffic services (ATS) routes;
 - (iii) navigation and communication frequencies;

- (iv) prohibited, restricted and danger areas; and
 - (v) sites of other relevant activities that may hazard the flight; and
- (2) topographical data, including terrain and obstacle data.
- (b) A combination of different charts and textual data may be used to provide adequate and current data.
- (c) The aeronautical data should be appropriate for the current aeronautical information regulation and control (AIRAC) cycle.
- (d) The topographical data should be reasonably recent, having regard to the nature of the planned operation.

AMC1 NCC.GEN.140(a)(12) Documents, manuals and information to be carried

PROCEDURES AND VISUAL SIGNALS FOR USE BY INTERCEPTING AND INTERCEPTED AIRCRAFT

The procedures and the visual signals information for use by intercepting and intercepted aircraft should reflect those contained in the International Civil Aviation Organisation's (ICAO) Annex 2. This may be part of the operations manual.

GM1 NCC.GEN.140(a)(1) Documents, manuals and information to be carried

AFM OR EQUIVALENT DOCUMENT

'Aircraft flight manual (AFM), or equivalent document' means the flight manual for the aircraft or other documents containing information required for the operation of the aircraft within the terms of its certificate of airworthiness, unless these data are available in the parts of the operations manual carried on board.

GM1 NCC.GEN.140(a)(9) Documents, manuals and information to be carried

JOURNEY LOG OR EQUIVALENT

'Journey log or equivalent' means in this context that the required information may be recorded in documentation other than a log book, such as the operational flight plan or the aircraft technical log.

GM1 NCC.GEN.140(a)(13) Documents, manuals and information to be carried

SEARCH AND RESCUE INFORMATION

This information is usually found in the State's aeronautical information publication.

GM1 NCC.GEN.140(a)(19) Documents, manuals and information to be carried

DOCUMENTS THAT MAY BE PERTINENT TO THE FLIGHT

Any other documents that may be pertinent to the flight or required by the States concerned with the flight may include, for example, forms to comply with reporting requirements.

STATES CONCERNED WITH THE FLIGHT

The States concerned are those of origin, transit, overflight and destination of the flight.

GM1 NCC.GEN.145(a) Handling of flight recorder recordings: preservation, production, protection and use

REMOVAL OF RECORDERS IN CASE OF AN INVESTIGATION

The need for removal of the recorders from the aircraft is determined by the investigating authority with due regard to the seriousness of an occurrence and the circumstances, including the impact on the operation.

AMC1 NCC.GEN.145(a) Handling of flight recorder recordings: preservation, production, protection and use

PRESERVATION OF RECORDED DATA FOR INVESTIGATION

- (a) The operator should establish procedures to ensure that flight recorder recordings are preserved for the investigating authority.
- (b) These procedures should include:
 - (1) instructions for flight crew members to deactivate the flight recorders immediately after completion of the flight and inform relevant personnel that the recording of the flight recorders should be preserved. These instructions should be readily available on board; and
 - (2) instructions to prevent inadvertent reactivation, test, repair or reinstallation of the flight recorders by operator personnel or during maintenance or ground handling activities performed by third parties.

AMC1 NCC.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTIONS AND CHECKS OF RECORDINGS

Whenever a flight recorder is required to be carried:

- (a) the operator should perform an inspection of the FDR recording and the CVR recording every year unless one or more of the following applies:
 - (1) If the flight recorder records on magnetic wire or uses frequency modulation technology, the time interval between two inspections of the recording should not exceed three months.
 - (2) If the flight recorder is solid-state and the flight recorder system is fitted with continuous monitoring for proper operation, the time interval between two inspections of the recording may be up to two years.
 - (3) In the case of an aircraft equipped with two solid-state flight data and cockpit voice combination recorders, where
 - (i) the flight recorder systems are fitted with continuous monitoring for proper operation, and
 - (ii) the flight recorders share the same flight data acquisition,a comprehensive inspection of the recording needs only to be performed for one flight recorder position. The inspection of the recordings should be performed alternately so that each flight recorder position is inspected at time intervals not exceeding four years.
 - (4) Where all of the following conditions are met, the inspection of FDR recording is not needed:
 - (i) the aircraft flight data are collected in the frame of a flight data monitoring (FDM) programme;
 - (ii) the data acquisition of mandatory flight parameters is the same for the FDR and for the recorder used for the FDM programme;
 - (iii) an inspection similar to the inspection of the FDR recording and covering all mandatory flight parameters is conducted on the FDM data at time intervals not exceeding two years; and
 - (iv) the FDR is solid-state and the FDR system is fitted with continuous monitoring for proper operation.
- (b) the operator should perform every five years an inspection of the data link recording.
- (c) when installed, the aural or visual means for preflight checking the flight recorders for proper operation should be used every day. When no such means is available for a flight recorder, the operator should perform an operational check of this flight recorder at time intervals not exceeding seven calendar days of operation.
- (d) the operator should check every five years, or in accordance with the recommendations of the sensor manufacturer, that the parameters dedicated to the FDR and not monitored by other means are being recorded within the calibration tolerances and that there is no discrepancy in the engineering conversion routines for these parameters.

GM1 NCC.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTION OF THE FLIGHT RECORDERS RECORDING

- (a) The inspection of the FDR recording usually consists of the following:
- (1) Making a copy of the complete recording file.
 - (2) Converting the recording to parameters expressed in engineering units in accordance with the documentation required to be held.
 - (3) Examining a whole flight in engineering units to evaluate the validity of all mandatory parameters - this could reveal defects or noise in the measuring and processing chains and indicate necessary maintenance actions. The following should be considered:
 - (i) when applicable, each parameter should be expressed in engineering units and checked for different values of its operational range - for this purpose, some parameters may need to be inspected at different flight phases; and
 - (ii) if the parameter is delivered by a digital data bus and the same data are utilised for the operation of the aircraft, then a reasonableness check may be sufficient; otherwise a correlation check may need to be performed:
 - (A) a reasonableness check is understood in this context as a subjective, qualitative evaluation, requiring technical judgement, of the recordings from a complete flight; and
 - (B) a correlation check is understood in this context as the process of comparing data recorded by the flight data recorder against the corresponding data derived from flight instruments, indicators or the expected values obtained during specified portion(s) of a flight profile or during ground checks that are conducted for that purpose.
 - (4) Retaining the most recent copy of the complete recording file and the corresponding recording inspection report that includes references to the documentation required to be held.
- (b) When performing the CVR recording inspection, precautions need to be taken to comply with **NCC.GEN.145(f)(1a)**. The inspection of the CVR recording usually consists of:
- (1) checking that the CVR operates correctly for the nominal duration of the recording;
 - (2) examining, where practicable, a sample of in-flight recording of the CVR for evidence that the signal is acceptable on each channel; and
 - (3) preparing and retaining an inspection report.
- (c) The inspection of the DLR recording usually consists of:
- (1) Checking the consistency of the data link recording with other recordings for example, during a designated flight, the flight crew speaks out a few data link messages sent and received. After the flight, the data link recording and the CVR recording are compared for consistency.
 - (2) Retaining the most recent copy of the complete recording and the corresponding inspection report.

GM2 NCC.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

MONITORING AND CHECKING THE PROPER OPERATION OF FLIGHT RECORDERS – EXPLANATION OF TERMS

For the understanding of the terms used in **AMC1 NCC.GEN.145(b)**:

- (a) 'operational check of the flight recorder' means a check of the flight recorder for proper operation. It is not a check of the quality of the recording and, therefore, it is not equivalent to an inspection of the recording. This check can be carried out by the flight crew or through a maintenance task.
- (b) 'aural or visual means for preflight checking the flight recorders for proper operation' means an aural or visual means for the flight crew to check before the flight the results of an automatically or manually initiated test of the flight recorders for proper operation. Such a means provides for an operational check that can be performed by the flight crew.
- (c) 'flight recorder system' means the flight recorder, its dedicated sensors and transducers, as well as its dedicated acquisition and processing equipment.
- (d) 'continuous monitoring for proper operation' means for a flight recorder system, a combination of system monitors and/or built-in test functions which operates continuously in order to detect the following:
 - (1) loss of electrical power to the flight recorder system;
 - (2) failure of the equipment performing acquisition and processing;
 - (3) failure of the recording medium and/or drive mechanism; and
 - (4) failure of the recorder to store the data in the recording medium as shown by checks of the recorded data including, as reasonably practicable for the storage medium concerned, correct correspondence with the input data.

However, detections by the continuous monitoring for proper operation do not need to be automatically reported to the flight crew compartment.

GM3 NCC.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

CVR AUDIO QUALITY

Examples of CVR audio quality issues and possible causes thereof may be found in the document of the French Bureau d'Enquêtes et d'Analyses, titled 'Study on detection of audio anomalies on CVR recordings' and dated September 2015¹.

¹ <http://www.bea.aero/en/bea/la-technique/guidance.on.detection.of.audio.anomalies.on.CVR.recordings.pdf>

AMC1 NCC.GEN.145(f)(1) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The procedure related to the handling of cockpit voice recorder (CVR) recordings should be written in a document which should be signed by all parties (aircraft operator, crew members, maintenance personnel if applicable). This procedure should, as a minimum, define:
 - (1) the method to obtain the consent of all crew members and maintenance personnel concerned;
 - (2) an access and security policy that restricts access to CVR recordings and identified CVR transcripts to specifically authorised persons identified by their position;
 - (3) a retention policy and accountability, including the measures to be taken to ensure the security of the CVR recordings and CVR transcripts and their protection from misuse. The retention policy should specify the period of time after which CVR recordings and identified CVR transcripts are destroyed; and
 - (4) a description of the uses made of CVR recordings and of their transcripts.
- (b) Each time a CVR recording file is read out under the conditions defined by **NCC.GEN.145(f)(1)**:
 - (1) parts of the CVR recording file that contain information with a privacy content should be deleted to the extent possible, and it should not be permitted that the detail of information with a privacy content is transcribed; and
 - (2) the operator should retain, and when requested, provide to the CAA:
 - (i) information on the use made (or the intended use) of the CVR recording; and
 - (ii) evidence that the persons concerned consented to the use made (or the intended use) of the CVR recording file.
- (c) The person who fulfils the role of a safety manager should also be responsible for the protection and use of the CVR recordings and the CVR transcripts.
- (d) In case a third party is involved in the use of CVR recordings, contractual agreements with this third party should, when applicable, cover the aspects enumerated in (a) and (b).

GM1 NCC.GEN.145(f)(1) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The CVR is primarily a tool for the investigation of accidents and serious incidents by investigating authorities. Misuse of CVR recordings is a breach of the right to privacy and it works against an effective safety culture inside the operator.
- (b) Therefore, the use of a CVR recording, when for purposes other than CVR serviceability or those laid down by Regulation (EU) No 996/2010, should be subject to the free prior consent of the persons concerned, and framed by a procedure that is endorsed by all parties and that protects the privacy of crew members and (if applicable) maintenance staff.

AMC1 NCC.GEN.145(f)(1a) Handling of flight recorder recordings: preservation, production, protection and use

CVR RECORDING INSPECTION FOR ENSURING SERVICEABILITY

- (a) When an inspection of the CVR recording is performed for ensuring audio quality and intelligibility of recorded communications:
 - (1) the privacy of the CVR recording should be ensured (e.g. by locating the equipment in a separated area and/or using headsets);
 - (2) access to the CVR replay equipment should be restricted to specifically authorised persons;
 - (3) provision should be made for the secure storage of the CVR recording medium, the CVR recording files and copies thereof;
 - (4) the CVR recording files and copies thereof should be destroyed not earlier than two months and not later than one year after completion of the CVR recording inspection, except that audio samples may be retained for enhancing the CVR recording inspection (e.g. for comparing audio quality); and
 - (5) only the accountable manager of the operator, and when identified to comply with **ORO.GEN.200**, the person fulfilling the role of safety manager, should be entitled to request a copy of the CVR recording file.
- (b) The conditions enumerated in (a) should also be complied with if the inspection of the CVR recording is subcontracted to a third party. The contractual agreements with the third party should explicitly cover these aspects.

AMC1 NCC.GEN.150(e) Transport of dangerous goods

DANGEROUS GOODS ACCIDENT AND INCIDENT REPORTING

- (a) Any type of dangerous goods accident or incident, or the finding of:
 - (1) undeclared or misdeclared dangerous goods in cargo;
 - (2) forbidden dangerous goods in mail; or
 - (3) forbidden dangerous goods in passenger or crew baggage, or on the person of a passenger or a crew membershould be reported. For this purpose, the Technical Instructions consider that reporting of undeclared and misdeclared dangerous goods found in cargo also applies to items of operators' stores that are classified as dangerous goods.
- (b) The first report should be dispatched within 72 hours of the event. It may be sent by any means, including e-mail, telephone or fax. This report should include the details that are known at that time, under the headings identified in (c). If necessary, a subsequent report should be made as soon as possible giving all the details that were not known at the time the first report was sent. If a report has been made verbally, written confirmation should be sent as soon as possible.
- (c) The first and any subsequent report should be as precise as possible and contain the following data, where relevant:
 - (1) date of the incident or accident or the finding of undeclared or misdeclared dangerous

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- goods;
- (2) location and date of flight;
 - (3) description of the goods and the reference number of the air waybill, pouch, baggage tag, ticket, etc.;
 - (4) proper shipping name (including the technical name, if appropriate) and United Nations (UN)/identification (ID) number, when known;
 - (5) class or division and any subsidiary risk;
 - (6) type of packaging, and the packaging specification marking on it;
 - (7) quantity;
 - (8) name and address of the passenger, etc.;
 - (9) any other relevant details;
 - (10) suspected cause of the incident or accident;
 - (11) action taken;
 - (12) any other reporting action taken; and
 - (13) name, title, address and telephone number of the person making the report.
- (d) Copies of relevant documents and any photographs taken should be attached to the report.
- (e) A dangerous goods accident or incident may also constitute an aircraft accident, serious incident or incident. The criteria for reporting both types of occurrence should be met.
- (f) The following dangerous goods reporting form should be used, but other forms, including electronic transfer of data, may be used provided that at least the minimum information of this AMC is supplied:

| | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|------------------------------|---------------------------------------------------------|
| DANGEROUS GOODS OCCURRENCE REPORT | | | DGOR No: |
| 1. Operator: | 2. Date of Occurrence: | 3. Local time of occurrence: | |
| 4. Flight date: | | | |
| 5. Departure aerodrome: | | 6. Destination aerodrome: | |
| 7. Aircraft type: | | 8. Aircraft registration: | |
| 9. Location of occurrence: | | 10. Origin of the goods: | |
| 11. Description of the occurrence, including details of injury, damage, etc. (if necessary continue on the reverse of this form) | | | |
| 12. Proper shipping name (including the technical name): | | | 13. UN/ID No (when known): |
| 14. Class/Division (when known): | 15. Subsidiary risk(s): | 16. Packing group: | 17. Category (Class 7 only): |
| 18. Type of packaging: | 19. Packaging specification marking: | 20. No of packages: | 21. Quantity (or transport index, if applicable): |
| 22. Name and address of passenger, etc.: | | | |
| 23. Other relevant information (including suspected cause, any action taken): | | | |
| 24. Name and title of person making report: | | 25. Telephone No: | |
| 26. Company: | | 27. Reporters ref: | |
| 28. Address: | | 29. Signature: | |
| | | 30. Date: | |

Description of the occurrence (continuation)

Notes for completion of the form:

1. A dangerous goods accident is as defined in **Annex I**. For this purpose serious injury is as defined in Regulation (EU) No 996/2010 of the European Parliament and of the Council¹.
2. The initial report should be dispatched unless exceptional circumstances prevent this. This occurrence report form, duly completed, should be sent as soon as possible, even if all the information is not available.
3. Copies of all relevant documents and any photographs should be attached to this report.
4. Any further information, or any information not included in the initial report, should be sent as soon as possible to the authorities identified in **NCC.GEN.150(e)**.
5. Providing it is safe to do so, all dangerous goods, packagings, documents, etc. relating to the occurrence should be retained until after the initial report has been sent to the authorities identified in **NCC.GEN.150(e)**, and they have indicated whether or not these should continue to be retained.

¹ OJ L 295, 12.11.2010, p. 35.

GM1 NCC.GEN.150 Transport of dangerous goods

GENERAL

- (a) The requirement to transport dangerous goods by air in accordance with the Technical Instructions is irrespective of whether:
 - (1) the flight is wholly or partly within or wholly outside the territory of a State; or
 - (2) an approval to carry dangerous goods in accordance with Annex V (Part-SPA), Subpart G is held.
- (b) The Technical Instructions provide that in certain circumstances dangerous goods, which are normally forbidden on an aircraft, may be carried. These circumstances include cases of extreme urgency or when other forms of transport are inappropriate or when full compliance with the prescribed requirements is contrary to the public interest. In these circumstances all the States concerned may grant exemptions from the provisions of the Technical Instructions provided that an overall level of safety that is at least equivalent to that provided by the Technical Instructions is achieved. Although exemptions are most likely to be granted for the carriage of dangerous goods that are not permitted in normal circumstances, they may also be granted in other circumstances, such as when the packaging to be used is not provided for by the appropriate packing method or the quantity in the packaging is greater than that permitted. The Technical Instructions also make provision for some dangerous goods to be carried when an approval has been granted only by the State of Origin and the CAA.
- (c) When an exemption is required, the States concerned are those of origin, transit, overflight and destination of the consignment and that of the operator. For the State of overflight, if none of the criteria for granting an exemption are relevant, an exemption may be granted based solely on whether it is believed that an equivalent level of safety in air transport has been achieved.
- (d) The Technical Instructions provide that exemptions and approvals are granted by the 'appropriate national authority', which is intended to be the authority responsible for the particular aspect against which the exemption or approval is being sought. The operator should ensure that all relevant conditions on an exemption or approval are met.
- (e) The exemption or approval referred to in (b) to (d) is in addition to the approval required by Annex V (Part SPA), Subpart G.

SUBPART B: OPERATIONAL PROCEDURES

AMC1 NCC.OP.100 Use of aerodromes and operating sites

USE OF OPERATING SITES

- (a) The pilot-in-command should have available from a pre-survey or other publication, for each operating site to be used, diagrams or ground and aerial photographs, depiction (pictorial) and description of:
 - (1) the overall dimensions of the operating site;
 - (2) location and height of relevant obstacles to approach and take-off profiles and in the manoeuvring area;
 - (3) approach and take-off flight paths;
 - (4) surface condition (blowing dust/snow/sand);
 - (5) provision of control of third parties on the ground (if applicable);
 - (6) lighting, if applicable;
 - (7) procedure for activating the operating site in accordance with national regulations, if applicable;
 - (8) other useful information, for example details of the appropriate ATS agency and frequency; and
 - (9) site suitability with reference to available aircraft performance.
- (b) Where the operator specifically permits operation from sites that are not pre-surveyed, the pilot-in-command should make, from the air, a judgement on the suitability of a site. At least (a)(1) to (a)(6) inclusive and (a)(9) should be considered.

GM1 NCC.OP.100 Use of aerodromes and operating sites

PUBLICATIONS

'Other publication' mentioned in **AMC1 NCC.OP.100** refers to publication means, such as:

- (a) civil as well as military aeronautical information publication;
- (b) visual flight rules (VFR) guides;
- (c) commercially available aeronautical publications; and
- (d) non-commercially available publications.

AMC1 NCC.OP.110 Aerodrome operating minima – general

COMMERCIALLY AVAILABLE INFORMATION

An acceptable method of specifying aerodrome operating minima is through the use of commercially available information.

AMC2 NCC.OP.110 Aerodrome operating minima – general

GENERAL

- (a) The aerodrome operating minima should not be lower than the values given in **NCC.OP.111** or **AMC3 NCC.OP.110(c)**.
- (b) Whenever practical approaches should be flown as stabilised approaches (SAs). Different procedures may be used for a particular approach to a particular runway.
- (c) Whenever practical, non-precision approaches should be flown using the continuous descent final approach (CDFA) technique. Different procedures may be used for a particular approach to a particular runway.
- (d) For approaches not flown using the CDFA technique: when calculating the minima in accordance with **NCC.OP.111**, the applicable minimum runway visual range (RVR) should be increased by 200 m for Category A and B aeroplanes and by 400 m for Category C and D aeroplanes, provided the resulting RVR/converted meteorological visibility (CMV) value does not exceed 5 000 m. SA or CDFA should be used as soon as facilities are improved to allow these techniques.

AMC3 NCC.OP.110 Aerodrome operating minima – general

TAKE-OFF OPERATIONS

- (a) General:
 - (1) Take-off minima should be expressed as visibility (VIS) or RVR limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, should be specified.
 - (2) The pilot-in-command should not commence take-off unless the weather conditions at the aerodrome of departure are equal to or better than applicable minima for landing at that aerodrome, unless a weather-permissible take-off alternate aerodrome is available.
 - (3) When the reported meteorological visibility is below that required for take-off and RVR is not reported, a take-off should only be commenced if the pilot-in-command can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.
 - (4) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the pilot-in-command can determine that the RVR/VIS along the take-off runway/area is equal to or better than the required minimum.

- (b) Visual reference:
- (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.
 - (2) For night operations, ground lights should be available to illuminate the runway/final approach and take-off area (FATO) and any obstacles.
- (c) Required RVR/visibility:
- (1) Aeroplanes:
 - (i) For aeroplanes, the take-off minima specified by the operator should be expressed as RVR/VIS values not lower than those specified in Table 1.A.
 - (ii) When reported RVR or meteorological visibility is not available, the pilot-in-command should not commence take-off unless he/she can determine that the actual conditions satisfy the applicable take-off minima.
 - (2) Helicopters:
 - (i) For helicopters having a mass where it is possible to reject the take-off and land on the FATO in case of the critical engine failure being recognised at or before the take-off decision point (TDP), the operator should specify an RVR/VIS as take-off minima in accordance with Table 1.H.
 - (ii) For all other cases, the pilot-in-command should operate to take-off minima of 800 m RVR/VIS and remain clear of cloud during the take-off manoeuvre until reaching the performance capabilities of (c)(2)(i).
 - (iii) Table 5 for converting reported meteorological visibility to RVR should not be used for calculating take-off minima.

Table 1.A: Take-off — aeroplanes (without low visibility take-off (LVTO) approval)

RVR/VIS

| Facilities | RVR/VIS (m)* |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Day only: Nil** | 500 |
| Day: at least runway edge lights or runway centreline markings Night: at least runway edge lights or runway centreline lights and runway end lights | 400 |

*: The reported RVR/VIS value representative of the initial part of the take-off run can be replaced by pilot assessment.

**.: The pilot is able to continuously identify the take-off surface and maintain directional control.

Table 1.H: Take-off — helicopters (without LVTO approval)**RVR/Visibility**

| Onshore aerodromes with instrument flight rules (IFR) departure procedures | RVR/VIS (m) |
|----------------------------------------------------------------------------|-----------------------------------------------------------------|
| No light and no markings (day only) | 400 or the rejected take-off distance, whichever is the greater |
| No markings (night) | 800 |
| Runway edge/FATO light and centreline marking | 400 |
| Runway edge/FATO light, centreline marking and relevant RVR information | 400 |
| Offshore helideck * | |
| Two-pilot operations | 400 |
| Single-pilot operations | 500 |

*: The take-off flight path to be free of obstacles.

AMC4 NCC.OP.110 Aerodrome operating minima – general

CRITERIA FOR ESTABLISHING RVR/CMV

- (a) In order to qualify for the lowest allowable values of RVR/CMV specified in Table 4.A, the instrument approach should meet at least the following facility requirements and associated conditions:
- (1) Instrument approaches with designated vertical profile up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are:
 - (i) instrument landing system (ILS)/microwave landing system (MLS)/GBAS landing system (GLS)/precision approach radar (PAR); or
 - (ii) approach procedure with vertical guidance (APV); and
 where the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes.
 - (2) Instrument approach operations flown using the CDFA technique with a nominal vertical profile, up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are non-directional beacon (NDB), NDB/distance measuring equipment (DME), VHF omnidirectional radio range (VOR), VOR/DME, localiser (LOC), LOC/DME, VHF direction finder (VDF), surveillance radar approach (SRA) or global navigation satellite system (GNSS)/lateral navigation (LNAV), with a final approach segment of at least 3 NM, which also fulfil the following criteria:
 - (i) the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes;
 - (ii) the final approach fix (FAF) or another appropriate fix where descent is initiated is available, or distance to threshold (THR) is available by flight management system (FMS)/area navigation (NDB/DME) or DME; and
 - (iii) the missed approach point (MAPt) is determined by timing, the distance from FAF to THR is ≤ 8 NM.

- (3) Instrument approaches where the facilities are NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA or GNSS/LNAV, not fulfilling the criteria in (a)(2), or with an minimum descent height (MDH) $\geq 1\,200$ ft.
- (b) The missed approach operation, after an approach operation has been flown using the CDFA technique, should be executed when reaching the decision height/altitude (DH/A) or the MAPt, whichever occurs first. The lateral part of the missed approach procedure should be flown via the MAPt unless otherwise stated on the approach chart.

AMC5 NCC.OP.110 Aerodrome operating minima – general

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, APV, CAT I – AEROPLANES

- (a) The minimum RVR/CMV/VIS should be the highest of the values specified in Table 3 and Table 4.A but not greater than the maximum values specified in Table 4.A, where applicable.
- (b) The values in Table 3 should be derived from the formula below:

$$\text{required RVR/VIS (m)} = [(DH/MDH \text{ (ft)} \times 0.3048) / \tan \alpha] - \text{length of approach lights (m)};$$

where α is the calculation angle, being a default value of 3.00° increasing in steps of 0.10° for each line in Table 3 up to 3.77° and then remaining constant.
- (c) If the approach is flown with a level flight segment at or above MDA/H, 200 m should be added for Category A and B aeroplanes and 400 m for Category C and D aeroplanes to the minimum RVR/CMV/VIS value resulting from the application of Table 3 and Table 4.A.
- (d) An RVR of less than 750 m as indicated in Table 3 may be used:
 - (1) for CAT I operations to runways with full approach lighting system (FALS), runway touchdown zone lights (RTZL) and runway centreline lights (RCLL);
 - (2) for CAT I operations to runways without RTZL and RCLL when using an approved head-up guidance landing system (HUDLS), or equivalent approved system, or when conducting a coupled approach or flight-director-flown approach to a DH. The ILS should not be published as a restricted facility; and
 - (3) for APV operations to runways with FALS, RTZL and RCLL when using an approved head-up display (HUD).
- (e) Lower values than those specified in Table 3 may be used for HUDLS and auto-land operations if approved in accordance with Annex V (Part SPA), Subpart E.
- (f) The visual aids should comprise standard runway day markings and approach and runway lights as specified in Table 2. The CAA may approve that RVR values relevant to a basic approach lighting system (BALS) are used on runways where the approach lights are restricted in length below 210 m due to terrain or water, but where at least one cross-bar is available.
- (g) For night operations or for any operation where credit for runway and approach lights is required, the lights should be on and serviceable, except as provided for in Table 6.
- (h) For single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (1) an RVR of less than 800 m as indicated in Table 3 may be used for CAT I approaches provided any of the following is used at least down to the applicable DH:

- (i) a suitable autopilot, coupled to an ILS, MLS or GLS that is not published as restricted; or
- (ii) an approved HUDLS, including, where appropriate, enhanced vision system (EVS), or equivalent approved system;
- (2) where RTZL and/or RCLL are not available, the minimum RVR/CMV should not be less than 600 m; and
- (3) an RVR of less than 800 m as indicated in Table 3 may be used for APV operations to runways with FALS, RTZL and RCLL when using an approved HUDLS, or equivalent approved system, or when conducting a coupled approach to a DH equal to or greater than 250 ft.

Table 2: Approach lighting systems

| Class of lighting facility | Length, configuration and intensity of approach lights |
|----------------------------|-------------------------------------------------------------------------------------------|
| FALS | CAT I lighting system (HIALS \geq 720 m) distance coded centreline, Barrette centreline |
| IALS | Simple approach lighting system (HIALS 420 – 719 m) single source, Barrette |
| BALS | Any other approach lighting system (HIALS, MIALS or ALS 210 – 419 m) |
| NALS | Any other approach lighting system (HIALS, MIALS or ALS < 210 m) or no approach lights |

Note: HIALS: high intensity approach lighting system;
MIALS: medium intensity approach lighting system;
ALS: approach lighting system.

Table 3: RVR/CMV vs. DH/MDH

| DH or MDH | | | Class of lighting facility | | | |
|-----------|---|-----|---------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (d), (e), (h) above for RVR < 750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 200 | - | 210 | 550 | 750 | 1 000 | 1 200 |
| 211 | - | 220 | 550 | 800 | 1 000 | 1 200 |
| 221 | - | 230 | 550 | 800 | 1 000 | 1 200 |
| 231 | - | 240 | 550 | 800 | 1 000 | 1 200 |
| 241 | - | 250 | 550 | 800 | 1 000 | 1 300 |
| 251 | - | 260 | 600 | 800 | 1 100 | 1 300 |
| 261 | - | 280 | 600 | 900 | 1 100 | 1 300 |
| 281 | - | 300 | 650 | 900 | 1 200 | 1 400 |
| 301 | - | 320 | 700 | 1 000 | 1 200 | 1 400 |
| 321 | - | 340 | 800 | 1 100 | 1 300 | 1 500 |
| 341 | - | 360 | 900 | 1 200 | 1 400 | 1 600 |
| 361 | - | 380 | 1 000 | 1 300 | 1 500 | 1 700 |
| 381 | - | 400 | 1 100 | 1 400 | 1 600 | 1 800 |
| 401 | - | 420 | 1 200 | 1 500 | 1 700 | 1 900 |
| 421 | - | 440 | 1 300 | 1 600 | 1 800 | 2 000 |
| 441 | - | 460 | 1 400 | 1 700 | 1 900 | 2 100 |

| DH or MDH | | | Class of lighting facility | | | |
|-----------------|---|-------|---------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (d), (e), (h) above for RVR < 750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 461 | - | 480 | 1 500 | 1 800 | 2 000 | 2 200 |
| 481 | - | 500 | 1 500 | 1 800 | 2 100 | 2 300 |
| 501 | - | 520 | 1 600 | 1 900 | 2 100 | 2 400 |
| 521 | - | 540 | 1 700 | 2 000 | 2 200 | 2 400 |
| 541 | - | 560 | 1 800 | 2 100 | 2 300 | 2 500 |
| 561 | - | 580 | 1 900 | 2 200 | 2 400 | 2 600 |
| 581 | - | 600 | 2 000 | 2 300 | 2 500 | 2 700 |
| 601 | - | 620 | 2 100 | 2 400 | 2 600 | 2 800 |
| 621 | - | 640 | 2 200 | 2 500 | 2 700 | 2 900 |
| 641 | - | 660 | 2 300 | 2 600 | 2 800 | 3 000 |
| 661 | - | 680 | 2 400 | 2 700 | 2 900 | 3 100 |
| 681 | - | 700 | 2 500 | 2 800 | 3 000 | 3 200 |
| 701 | - | 720 | 2 600 | 2 900 | 3 100 | 3 300 |
| 721 | - | 740 | 2 700 | 3 000 | 3 200 | 3 400 |
| 741 | - | 760 | 2 700 | 3 000 | 3 300 | 3 500 |
| 761 | - | 800 | 2 900 | 3 200 | 3 400 | 3 600 |
| 801 | - | 850 | 3 100 | 3 400 | 3 600 | 3 800 |
| 851 | - | 900 | 3 300 | 3 600 | 3 800 | 4 000 |
| 901 | - | 950 | 3 600 | 3 900 | 4 100 | 4 300 |
| 951 | - | 1 000 | 3 800 | 4 100 | 4 300 | 4 500 |
| 1 001 | - | 1 100 | 4 100 | 4 400 | 4 600 | 4 900 |
| 1 101 | - | 1 200 | 4 600 | 4 900 | 5 000 | 5 000 |
| 1 201 and above | | | 5 000 | 5 000 | 5 000 | 5 000 |

Table 4.A: CAT I, APV, NPA - aeroplanes

Minimum and maximum applicable RVR/CMV (lower and upper cut-off limits)

| Facility/conditions | RVR/CMV (m) | Aeroplane category | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-------|-------|
| | | A | B | C | D |
| ILS, MLS, GLS, PAR, GNSS/SBAS, GNSS/VNAV | Min | According to Table 3 | | | |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV with a procedure that fulfils the criteria in AMC4 NCC.OP.110 (a)(2). For NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV: — not fulfilling the criteria in AMC4 NCC.OP.110 (a)(2)., or — with a DH or MDH \geq 1 200 ft | Min | 750 | 750 | 750 | 750 |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| | Min | 1 000 | 1 000 | 1 200 | 1 200 |
| | Max | According to Table 3 if flown using the CDFA technique, otherwise an add-on of 200/400 m applies to the values in Table 3 but not to result in a value exceeding 5 000 m. | | | |

AMC6 NCC.OP.110 Aerodrome operating minima – general

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, CAT I — HELICOPTERS

- (a) For non-precision approach (NPA) operations the minima specified in Table 4.1.H should apply:
- (1) where the missed approach point is within ½ NM of the landing threshold, the approach minima specified for FALS may be used regardless of the length of approach lights available. However, FATO/runway edge lights, threshold lights, end lights and FATO/runway markings are still required;
 - (2) for night operations, ground lights should be available to illuminate the FATO/runway and any obstacles; and
 - (3) for single-pilot operations, the minimum RVR is 800 m or the minima in Table 4.2.H, whichever is higher.
- (b) For CAT I operations, the minima specified in Table 4.2.H should apply:
- (1) for night operations, ground light should be available to illuminate the FATO/runway and any obstacles;
 - (2) for single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (i) an RVR of less than 800 m should not be used except when using a suitable autopilot coupled to an ILS, MLS or GLS, in which case normal minima apply; and
 - (ii) the DH applied should not be less than 1.25 times the minimum use height for the autopilot.

Table 4.1.H : Onshore NPA minima

| MDH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|-------|-------|-------|
| | FALS | IALS | BALS | NALS |
| 250 – 299 | 600 | 800 | 1 000 | 1 000 |
| 300 – 449 | 800 | 1 000 | 1 000 | 1 000 |
| 450 and above | 1 000 | 1 000 | 1 000 | 1 000 |

*: The MDH refers to the initial calculation of MDH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to MDA.

**: The tables are only applicable to conventional approaches with a nominal descent slope of not greater than 4°. Greater descent slopes will usually require that visual glide slope guidance (e.g. precision path approach indicator (PAPI)) is also visible at the MDH.

***: FALS comprise FATO/runway markings, 720 m or more of high intensity/medium intensity (HI/MI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on. IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on. BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of low intensity (LI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on. NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

Table 4.2.H: Onshore CAT I minima

| DH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|------|------|-------|
| | FALS | IALS | BALS | NALS |
| 200 | 500 | 600 | 700 | 1 000 |
| 201 – 250 | 550 | 650 | 750 | 1 000 |
| 251 – 300 | 600 | 700 | 800 | 1 000 |
| 301 and above | 750 | 800 | 900 | 1 000 |

*: The DH refers to the initial calculation of DH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to DA.

**: The table is applicable to conventional approaches with a glide slope up to and including 4°.

***: FALS comprise FATO/runway markings, 720 m or more of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of LI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

AMC7 NCC.OP.110 Aerodrome operating minima – general

VISUAL APPROACH OPERATIONS

For a visual approach operation the RVR should not be less than 800 m.

AMC8 NCC.OP.110 Aerodrome operating minima – general

CONVERSION OF REPORTED METEOROLOGICAL VISIBILITY TO RVR/CMV

- (a) A conversion from meteorological visibility to RVR/CMV should not be used:
 - (1) when reported RVR is available;
 - (2) for calculating take-off minima; and
 - (3) for other RVR minima less than 800 m.
- (b) If the RVR is reported as being above the maximum value assessed by the aerodrome operator, e.g. 'RVR more than 1 500 m', it should not be considered as a reported value for (a)(1).
- (c) When converting meteorological visibility to RVR in circumstances other than those in (a), the conversion factors specified in Table 5 should be used.

Table 5 : Conversion of reported meteorological visibility to RVR/CMV

| Light elements in operation | RVR/CMV = reported meteorological visibility x | |
|-------------------------------------------------|------------------------------------------------|----------------|
| | Day | Night |
| HI approach and runway lights | 1.5 | 2.0 |
| Any type of light installation other than above | 1.0 | 1.5 |
| No lights | 1.0 | not applicable |

AMC9 NCC.OP.110 Aerodrome operating minima – general

EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED GROUND EQUIPMENT

(a) General

These instructions are intended for both pre-flight and in-flight use. It is, however, not expected that the pilot-in-command would consult such instructions after passing 1 000 ft above the aerodrome. If failures of ground aids are announced at such a late stage, the approach could be continued at the pilot-in-command's discretion. If failures are announced before such a late stage in the approach, their effect on the approach should be considered as described in Table 6 and, if considered necessary, the approach should be abandoned.

(b) Conditions applicable to Table 6:

- (1) multiple failures of runway/FATO lights other than indicated in Table 6 should not be acceptable;
- (2) deficiencies of approach and runway/FATO lights are treated separately; and
- (3) failures other than ILS, MLS affect RVR only and not DH.

Table 6 : Failed or downgraded equipment — effect on landing minima

| Failed or downgraded equipment | Effect on landing minima | |
|-----------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| | CAT I | APV, NPA |
| ILS/MLS standby transmitter | No effect | |
| Outer marker | No effect if replaced by height check at 1 000 ft | APV — not applicable |
| | | NPA with FAF: no effect unless used as FAF |
| | | If the FAF cannot be identified (e.g. no method available for timing of descent), non-precision operations cannot be conducted |
| Middle marker | No effect | No effect unless used as MAPt |
| RVR Assessment Systems | No effect | |
| Approach lights | Minima as for NALS | |
| Approach lights except the last 210 m | Minima as for BALS | |
| Approach lights except the last 420 m | Minima as for IALS | |
| Standby power for approach lights | No effect | |
| Edge lights, threshold lights and runway end lights | Day — no effect | |
| | Night — not allowed | |
| Centreline lights | No effect if flight director (F/D), HUDLS or auto-land; otherwise RVR 750 m | No effect |
| Centreline lights spacing increased to 30 m | No effect | |
| Touchdown zone lights | No effect if F/D, HUDLS or auto-land; otherwise RVR 750 m | No effect |
| Taxiway lighting system | No effect | |

GM1 NCC.OP.110 Aerodrome operating minima – general

AIRCRAFT CATEGORIES

- (a) Aircraft categories should be based on the indicated airspeed at threshold (V_{AT}), which is equal to the stalling speed (V_{SO}) multiplied by 1.3 or where published 1-g (gravity) stall speed (V_{S1g}) multiplied by 1.23 in the landing configuration at the maximum certified landing mass. If both V_{SO} and V_{S1g} are available, the higher resulting V_{AT} should be used.
- (b) The aircraft categories specified in the following table should be used.

Table 1: Aircraft categories corresponding to V_{AT} values

| Aircraft category | V_{AT} |
|-------------------|--------------------|
| A | Less than 91 kt |
| B | from 91 to 120 kt |
| C | from 121 to 140 kt |
| D | from 141 to 165 kt |
| E | from 166 to 210 kt |

GM2 NCC.OP.110 Aerodrome operating minima – general

CONTINUOUS DESCENT FINAL APPROACH (CDFA) — AEROPLANES

- (a) Introduction
 - (1) Controlled flight into terrain (CFIT) is a major hazard in aviation. Most CFIT accidents occur in the final approach segment of non-precision approaches; the use of stabilised-approach criteria on a continuous descent with a constant, predetermined vertical path is seen as a major improvement in safety during the conduct of such approaches. Operators should ensure that the following techniques are adopted as widely as possible, for all approaches.
 - (2) The elimination of level flight segments at MDA close to the ground during approaches, and the avoidance of major changes in attitude and power/thrust close to the runway that can destabilise approaches, are seen as ways to reduce operational risks significantly.
 - (3) The term CDFA has been selected to cover a flight technique for any type of NPA operation.
 - (4) The advantages of CDFA are as follows:
 - (i) the technique enhances safe approach operations by the utilisation of standard operating practices;
 - (ii) the technique is similar to that used when flying an ILS approach, including when executing the missed approach and the associated missed approach procedure manoeuvre;
 - (iii) the aeroplane attitude may enable better acquisition of visual cues;
 - (iv) the technique may reduce pilot workload;
 - (v) the approach profile is fuel-efficient;

- (i) the approach profile affords reduced noise levels;
- (ii) the technique affords procedural integration with APV operations; and
- (iii) when used and the approach is flown in a stabilised manner, CDFA is the safest approach technique for all NPA operations.

(b) CDFA

- (1) Continuous descent final approach is defined in Annex I to the Regulation on Air Operations.
- (2) An approach is only suitable for application of a CDFA technique when it is flown along a nominal vertical profile; a nominal vertical profile is not forming part of the approach procedure design, but can be flown as a continuous descent. The nominal vertical profile information may be published or displayed on the approach chart to the pilot by depicting the nominal slope or range/distance vs. height. Approaches with a nominal vertical profile are considered to be:
 - (i) NDB, NDB/DME (non-directional beacon/distance measuring equipment);
 - (ii) VOR (VHF omnidirectional radio range), VOR/DME;
 - (iii) LOC (localiser), LOC/DME;
 - (iv) VDF (VHF direction finder), SRA (surveillance radar approach); or
 - (v) GNSS/LNAV (global navigation satellite system/lateral navigation);
- (3) Stabilised approach (SAp) is defined in Annex I to the Regulation on Air Operations.
 - (i) The control of the descent path is not the only consideration when using the CDFA technique. Control of the aeroplane's configuration and energy is also vital to the safe conduct of an approach.
 - (ii) The control of the flight path, described above as one of the requirements for conducting an SAp, should not be confused with the path requirements for using the CDFA technique. The predetermined path requirements for conducting an SAp are established by the operator and published in the operations manual part B.
 - (iii) The predetermined approach slope requirements for applying the CDFA technique are established by the following:
 - (A) the published 'nominal' slope information when the approach has a nominal vertical profile; and
 - (B) the designated final approach segment minimum of 3 NM, and maximum, when using timing techniques, of 8 NM.
 - (iv) An SAp will never have any level segment of flight at DA/H or MDA/H, as applicable. This enhances safety by mandating a prompt missed approach procedure manoeuvre at DA/H or MDA/H.
 - (v) An approach using the CDFA technique will always be flown as an SAp, since this is a requirement for applying CDFA. However, an SAp does not have to be flown using the CDFA technique, for example a visual approach.

GM3 NCC.OP.110 Aerodrome operating minima – general

TAKE-OFF MINIMA — HELICOPTERS

To ensure sufficient control of the helicopter in IMC, the speed, before entering in IMC, should be above the minimum authorised speed in IMC, V_{mini} . This is a limitation in the AFM. Therefore, the lowest speed before entering in IMC is the highest of V_{toss} (take-off safety speed) and V_{mini} .

As example, V_{toss} is 45 kt and V_{mini} 60 kt. In that case, the take-off minima have to include the distance to accelerate to 60 kt. The take-off distance should be increased accordingly.

AMC1 NCC.OP.111 Aerodrome operating minima – NPA, APV, CAT I operations

NPA FLOWN WITH THE CDFA TECHNIQUE

The DA/DH used should take into account any add-on to the published minima as identified by the operator's management system and specified in the operations manual (aerodrome operating minima).

GM1 NCC.OP.112 Aerodrome operating minima – circling operations with aeroplanes

SUPPLEMENTAL INFORMATION

- (a) The purpose of this Guidance Material is to provide operators with supplemental information regarding the application of aerodrome operating minima in relation to circling approaches.
- (b) Conduct of flight — general:
 - (1) the MDH and obstacle clearance height (OCH) included in the procedure are referenced to aerodrome elevation;
 - (2) the MDA is referenced to mean sea level;
 - (3) for these procedures, the applicable visibility is the meteorological visibility; and
 - (4) operators should provide tabular guidance of the relationship between height above threshold and the in-flight visibility required to obtain and sustain visual contact during the circling manoeuvre.
- (c) Instrument approach followed by visual manoeuvring (circling) without prescribed tracks:
 - (1) When the aeroplane is on the initial instrument approach, before visual reference is stabilised, but not below MDA/H — the aeroplane should follow the corresponding instrument approach procedure until the appropriate instrument MAPt is reached.
 - (2) At the beginning of the level flight phase at or above the MDA/H, the instrument approach track determined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS should be maintained until the pilot:
 - (i) estimates that, in all probability, visual contact with the runway of intended landing or the runway environment will be maintained during the entire circling procedure;
 - (ii) estimates that the aeroplane is within the circling area before commencing circling; and

-
- (iii) is able to determine the aeroplane's position in relation to the runway of intended landing with the aid of the appropriate external references.
 - (3) When reaching the published instrument MAPt and the conditions stipulated in (c)(2) are unable to be established by the pilot, a missed approach should be carried out in accordance with that instrument approach procedure.
 - (4) After the aeroplane has left the track of the initial instrument approach, the flight phase outbound from the runway should be limited to an appropriate distance, which is required to align the aeroplane onto the final approach. Such manoeuvres should be conducted to enable the aeroplane:
 - (i) to attain a controlled and stable descent path to the intended landing runway; and
 - (ii) to remain within the circling area and in a such way that visual contact with the runway of intended landing or runway environment is maintained at all times.
 - (5) Flight manoeuvres should be carried out at an altitude/height that is not less than the circling MDA/H.
 - (6) Descent below MDA/H should not be initiated until the threshold of the runway to be used has been appropriately identified. The aeroplane should be in a position to continue with a normal rate of descent and land within the touchdown zone.
 - (d) Instrument approach followed by a visual manoeuvring (circling) with prescribed track.
 - (1) The aeroplane should remain on the initial instrument approach procedure until one of the following is reached:
 - (i) the prescribed divergence point to commence circling on the prescribed track; or
 - (ii) the MAPt.
 - (2) The aeroplane should be established on the instrument approach track determined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS in level flight at or above the MDA/H at or by the circling manoeuvre divergence point.
 - (3) If the divergence point is reached before the required visual reference is acquired, a missed approach should be initiated not later than the MAPt and completed in accordance with the initial instrument approach procedure.
 - (4) When commencing the prescribed circling manoeuvre at the published divergence point, the subsequent manoeuvres should be conducted to comply with the published routing and published heights/altitudes.
 - (5) Unless otherwise specified, once the aeroplane is established on the prescribed track(s), the published visual reference does not need to be maintained unless:
 - (i) required by the State of the aerodrome; or
 - (ii) the circling MAPt (if published) is reached.
 - (6) If the prescribed circling manoeuvre has a published MAPt and the required visual reference has not been obtained by that point, a missed approach should be executed in accordance with (e)(2) and (e)(3).
 - (7) Subsequent further descent below MDA/H should only commence when the required visual reference has been obtained.
 - (8) Unless otherwise specified in the procedure, final descent should not be commenced from MDA/H until the threshold of the intended landing runway has been identified and the aeroplane is in a position to continue with a normal rate of descent to land within the

touchdown zone.

(e) Missed approach

- (1) Missed approach during the instrument procedure prior to circling:
 - (i) if the missed approach procedure is required to be flown when the aeroplane is positioned on the instrument approach track defined by radio navigation aids; RNAV, RNP, ILS, MLS or GLS, and before commencing the circling manoeuvre, the published missed approach for the instrument approach should be followed; or
 - (ii) if the instrument approach procedure is carried out with the aid of an ILS, MLS or a stabilised approach (SAp), the MAPt associated with an ILS or MLS procedure without glide path (GP-out procedure) or the SAp, where applicable, should be used.
- (2) If a prescribed missed approach is published for the circling manoeuvre, this overrides the manoeuvres prescribed below.
- (3) If visual reference is lost while circling to land after the aeroplane has departed from the initial instrument approach track, the missed approach specified for that particular instrument approach should be followed. It is expected that the pilot will make an initial climbing turn toward the intended landing runway to a position overhead of the aerodrome where the pilot will establish the aeroplane in a climb on the instrument missed approach segment.
- (4) The aeroplane should not leave the visual manoeuvring (circling) area, which is obstacle protected, unless:
 - (i) established on the appropriate missed approach procedure; or
 - (ii) at minimum sector altitude (MSA).
- (5) All turns should be made in the same direction and the aeroplane should remain within the circling protected area while climbing either:
 - (i) to the altitude assigned to any published circling missed approach manoeuvre if applicable;
 - (ii) to the altitude assigned to the missed approach of the initial instrument approach;
 - (iii) to the MSA;
 - (iv) to the minimum holding altitude (MHA) applicable for transition to a holding facility or fix, or continue to climb to an MSA; or
 - (v) as directed by ATS.

When the missed approach procedure is commenced on the 'downwind' leg of the circling manoeuvre, an 'S' turn may be undertaken to align the aeroplane on the initial instrument approach missed approach path, provided the aeroplane remains within the protected circling area.

The pilot-in-command should be responsible for ensuring adequate terrain clearance during the above-stipulated manoeuvres, particularly during the execution of a missed approach initiated by ATS.

- (6) Because the circling manoeuvre may be accomplished in more than one direction, different patterns will be required to establish the aeroplane on the prescribed missed approach course depending on its position at the time visual reference is lost. In particular, all turns are to be in the prescribed direction if this is restricted, e.g. to the

west/east (left or right hand) to remain within the protected circling area.

- (7) If a missed approach procedure is published for a particular runway onto which the aeroplane is conducting a circling approach and the aeroplane has commenced a manoeuvre to align with the runway, the missed approach for this direction may be accomplished. The ATS unit should be informed of the intention to fly the published missed approach procedure for that particular runway.
- (8) The pilot-in-command should advise ATS when any missed approach procedure has been commenced, the height/altitude the aeroplane is climbing to and the position the aeroplane is proceeding towards and/or heading the aeroplane is established on.

AMC1 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

PBN OPERATIONS

For operations where a navigation specification for performance-based navigation (PBN) has been prescribed and no specific approval is required in accordance with **SPA.PBN.100**, the operator should:

- (a) establish operating procedures specifying:
 - (1) normal, abnormal and contingency procedures;
 - (2) electronic navigation database management; and
 - (3) relevant entries in the minimum equipment list (MEL);
- (b) specify the flight crew qualification and proficiency constraints and ensure that the training programme for relevant personnel is consistent with the intended operation; and
- (c) ensure continued airworthiness of the area navigation system.

AMC2 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

MONITORING AND VERIFICATION

- (a) Preflight and general considerations
 - (1) At navigation system initialisation, the flight crew should confirm that the navigation database is current and verify that the aircraft position has been entered correctly, if required.
 - (2) The active flight plan, if applicable, should be checked by comparing the charts or other applicable documents with navigation equipment and displays. This includes confirmation of the departing runway and the waypoint sequence, reasonableness of track angles and distances, any altitude or speed constraints, and, where possible, which waypoints are fly-by and which are fly-over. Where relevant, the RF leg arc radii should be confirmed.
 - (3) The flight crew should check that the navigation aids critical to the operation of the intended PBN procedure are available.
 - (4) The flight crew should confirm the navigation aids that should be excluded from the operation, if any.
 - (5) An arrival, approach or departure procedure should not be used if the validity of the

procedure in the navigation database has expired.

- (6) The flight crew should verify that the navigation systems required for the intended operation are operational.
- (b) Departure
- (1) Prior to commencing a take-off on a PBN procedure, the flight crew should check that the indicated aircraft position is consistent with the actual aircraft position at the start of the take-off roll (aeroplanes) or lift-off (helicopters).
 - (2) Where GNSS is used, the signal should be acquired before the take-off roll (aeroplanes) or lift-off (helicopters) commences.
 - (3) Unless automatic updating of the actual departure point is provided, the flight crew should ensure initialisation on the runway or FATO by means of a manual runway threshold or intersection update, as applicable. This is to preclude any inappropriate or inadvertent position shift after take-off.
- (c) Arrival and approach
- (1) The flight crew should verify that the navigation system is operating correctly and the correct arrival procedure and runway (including any applicable transition) are entered and properly depicted.
 - (2) Any published altitude and speed constraints should be observed.
 - (3) The flight crew should check approach procedures (including alternate aerodromes if needed) as extracted by the system (e.g. CDU flight plan page) or presented graphically on the moving map, in order to confirm the correct loading and the reasonableness of the procedure content.
 - (4) Prior to commencing the approach operation (before the IAF), the flight crew should verify the correctness of the loaded procedure by comparison with the appropriate approach charts. This check should include:
 - (i) the waypoint sequence;
 - (ii) reasonableness of the tracks and distances of the approach legs and the accuracy of the inbound course; and
 - (iii) the vertical path angle, if applicable.
- (d) Altimetry settings for RNP APCH operations using Baro VNAV
- (1) Barometric settings
 - (i) The flight crew should set and confirm the correct altimeter setting and check that the two altimeters provide altitude values that do not differ more than 100 ft at the most at or before the FAF.
 - (ii) The flight crew should fly the procedure with:
 - (A) a current local altimeter setting source available — a remote or regional altimeter setting source should not be used; and
 - (B) the QNH/QFE, as appropriate, set on the aircraft's altimeters.
 - (2) Temperature compensation
 - (i) For RNP APCH operations to LNAV/VNAV minima using Baro VNAV:
 - (A) the flight crew should not commence the approach when the aerodrome temperature is outside the promulgated aerodrome temperature limits for

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- the procedure unless the area navigation system is equipped with approved temperature compensation for the final approach;
- (B) when the temperature is within promulgated limits, the flight crew should not make compensation to the altitude at the FAF and DA/H;
 - (C) since only the final approach segment is protected by the promulgated aerodrome temperature limits, the flight crew should consider the effect of temperature on terrain and obstacle clearance in other phases of flight.
- (ii) For RNP APCH operations to LNAV minima, the flight crew should consider the effect of temperature on terrain and obstacle clearance in all phases of flight, in particular on any step-down fix.
- (e) Sensor and lateral navigation accuracy selection
 - (1) For multi-sensor systems, the flight crew should verify, prior to approach, that the GNSS sensor is used for position computation.
 - (2) Flight crew of aircraft with RNP input selection capability should confirm that the indicated RNP value is appropriate for the PBN operation.

AMC3 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

MANAGEMENT OF THE NAVIGATION DATABASE

- (a) For RNAV 1, RNAV 2, RNP 1, RNP 2, and RNP APCH, the flight crew should neither insert nor modify waypoints by manual entry into a procedure (departure, arrival or approach) that has been retrieved from the database. User-defined data may be entered and used for waypoint altitude/speed constraints on a procedure where said constraints are not included in the navigation database coding.
- (b) For RNP 4 operations, the flight crew should not modify waypoints that have been retrieved from the database. User-defined data (e.g. for flex-track routes) may be entered and used.
- (c) The lateral and vertical definition of the flight path between the FAF and the missed approach point (MAPt) retrieved from the database should not be revised by the flight crew.

AMC4 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

DISPLAYS AND AUTOMATION

- (a) For RNAV 1, RNP 1, and RNP APCH operations, the flight crew should use a lateral deviation indicator, and where available, flight director and/or autopilot in lateral navigation mode.
- (b) The appropriate displays should be selected so that the following information can be monitored:
 - (1) the computed desired path;
 - (2) aircraft position relative to the lateral path (cross-track deviation) for FTE monitoring;
 - (3) aircraft position relative to the vertical path (for a 3D operation).
- (c) The flight crew of an aircraft with a lateral deviation indicator (e.g. CDI) should ensure that lateral deviation indicator scaling (full-scale deflection) is suitable for the navigation accuracy

associated with the various segments of the procedure.

- (d) The flight crew should maintain procedure centrelines unless authorised to deviate by ATC or demanded by emergency conditions.
- (e) Cross-track error/deviation (the difference between the area-navigation-system-computed path and the aircraft-computed position) should normally be limited to $\pm \frac{1}{2}$ time the RNAV/RNP value associated with the procedure. Brief deviations from this standard (e.g. overshoots or undershoots during and immediately after turns) up to a maximum of 1 time the RNAV/RNP value should be allowable.
- (f) For a 3D approach operation, the flight crew should use a vertical deviation indicator and, where required by AFM limitations, a flight director or autopilot in vertical navigation mode.
- (g) Deviations below the vertical path should not exceed 75 ft at any time, or half-scale deflection where angular deviation is indicated, and not more than 75 ft above the vertical profile, or half-scale deflection where angular deviation is indicated, at or below 1 000 ft above aerodrome level. The flight crew should execute a missed approach if the vertical deviation exceeds this criterion, unless the flight crew has in sight the visual references required to continue the approach.

AMC5 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

VECTORIZING AND POSITIONING

- (a) ATC tactical interventions in the terminal area may include radar headings, 'direct to' clearances which bypass the initial legs of an approach procedure, interceptions of an initial or intermediate segments of an approach procedure or the insertion of additional waypoints loaded from the database.
- (b) In complying with ATC instructions, the flight crew should be aware of the implications for the navigation system.
- (c) 'Direct to' clearances may be accepted to the IF provided that it is clear to the flight crew that the aircraft will be established on the final approach track at least 2 NM before the FAF.
- (d) 'Direct to' clearance to the FAF should not be acceptable. Modifying the procedure to intercept the final approach track prior to the FAF should be acceptable for radar-vectored arrivals or otherwise only with ATC approval.
- (e) The final approach trajectory should be intercepted no later than the FAF in order for the aircraft to be correctly established on the final approach track before starting the descent (to ensure terrain and obstacle clearance).
- (f) 'Direct to' clearances to a fix that immediately precede an RF leg should not be permitted.
- (g) For parallel offset operations en route in RNP 4 and A-RNP, transitions to and from the offset track should maintain an intercept angle of no more than 45° unless specified otherwise by ATC.

AMC6 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

ALERTING AND ABORT

- (a) Unless the flight crew has sufficient visual reference to continue the approach operation to a safe landing, an RNP APCH operation should be discontinued if:
 - (1) navigation system failure is annunciated (e.g. warning flag);
 - (2) lateral or vertical deviations exceed the tolerances;
 - (3) loss of the on-board monitoring and alerting system.
- (b) Discontinuing the approach operation may not be necessary for a multi-sensor navigation system that includes demonstrated RNP capability without GNSS in accordance with the AFM.
- (c) Where vertical guidance is lost while the aircraft is still above 1 000 ft AGL, the flight crew may decide to continue the approach to LNAV minima, when supported by the navigation system.

AMC7 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

CONTINGENCY PROCEDURES

- (a) The flight crew should make the necessary preparation to revert to a conventional arrival procedure where appropriate. The following conditions should be considered:
 - (1) failure of the navigation system components including navigation sensors, and a failure effecting flight technical error (e.g. failures of the flight director or autopilot);
 - (2) multiple system failures affecting aircraft performance;
 - (3) coasting on inertial sensors beyond a specified time limit; and
 - (4) RAIM (or equivalent) alert or loss of integrity function.
- (b) In the event of loss of PBN capability, the flight crew should invoke contingency procedures and navigate using an alternative means of navigation.
- (c) The flight crew should notify ATC of any problem with PBN capability.
- (d) In the event of communication failure, the flight crew should continue with the operation in accordance with published lost communication procedures.

AMC8 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

RNAV 10

- (a) Operating procedures and routes should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace.
- (b) The operator may extend RNAV 10 inertial navigation time by position updating. The operator should calculate, using statistically-based typical wind scenarios for each planned route, points at which updates can be made, and the points at which further updates will not be possible.

GM1 NCC.OP.116 Performance-based navigation – aeroplanes and helicopters

DESCRIPTION

- (a) For both, RNP X and RNAV X designations, the 'X' (where stated) refers to the lateral navigation accuracy (total system error) in NM, which is expected to be achieved at least 95 % of the flight time by the population of aircraft operating within the airspace, route or procedure. For RNP APCH and A-RNP, the lateral navigation accuracy depends on the segment.
- (b) PBN may be required on notified routes, for notified procedures and in notified airspace.

RNAV 10

- (c) For purposes of consistency with the PBN concept, this Regulation is using the designation 'RNAV 10' because this specification does not include on-board performance monitoring and alerting.
- (d) However, it should be noted that many routes still use the designation 'RNP 10' instead of 'RNAV 10'. 'RNP 10' was used as designation before the publication of the fourth edition of ICAO Doc 9613 in 2013. The terms 'RNP 10' and 'RNAV 10' should be considered equivalent.

AMC1 NCC.OP.120 Noise abatement procedures

NADP DESIGN

- (a) For each aeroplane type two departure procedures should be defined, in accordance with ICAO Doc. 8168 (Procedures for Air Navigation Services, 'PANS-OPS'), Volume I:
 - (1) noise abatement departure procedure one (NADP 1), designed to meet the close-in noise abatement objective; and
 - (2) noise abatement departure procedure two (NADP 2), designed to meet the distant noise abatement objective.
- (b) For each type of NADP (1 and 2), a single climb profile should be specified for use at all aerodromes, which is associated with a single sequence of actions. The NADP 1 and NADP 2 profiles may be identical.

GM1 NCC.OP.120 Noise abatement procedures

TERMINOLOGY

- (a) 'Climb profile' means in this context the vertical path of the NADP as it results from the pilot's actions (engine power reduction, acceleration, slats/flaps retraction).
- (b) 'Sequence of actions' means the order in which these pilot's actions are done and their timing.

GENERAL

- (c) The rule addresses only the vertical profile of the departure procedure. Lateral track has to comply with the standard instrument departure (SID).

EXAMPLE

- (d) For a given aeroplane type, when establishing the distant NADP, the operator should choose either to reduce power first and then accelerate, or to accelerate first and then wait until slats/flaps are retracted before reducing power. The two methods constitute two different

sequences of actions.

- (e) For an aeroplane type, each of the two departure climb profiles may be defined by one sequence of actions (one for close-in, one for distant) and two above aerodrome level (AAL) altitudes/heights. These are:
- (1) the altitude of the first pilot's action (generally power reduction with or without acceleration). This altitude should not be less than 800 ft AAL; or
 - (2) the altitude of the end of the noise abatement procedure. This altitude should usually not be more than 3 000 ft AAL.

These two altitudes may be runway specific when the aeroplane flight management system (FMS) has the relevant function that permits the crew to change thrust reduction and/or acceleration altitude/height. If the aeroplane is not FMS equipped or the FMS is not fitted with the relevant function, two fixed heights should be defined and used for each of the two NADPs.

AMC1 NCC.OP.125 Minimum obstacle clearance altitudes – IFR flights

GENERAL

Commercially available information specifying minimum obstacle clearance altitudes may be used.

AMC1 NCC.OP.140 Passenger briefing

TRAINING PROGRAMME

- (a) The operator may replace the briefing/demonstration with a passenger training programme covering all safety and emergency procedures for a given type of aircraft.
- (b) Only passengers who have been trained according to this programme and have flown on the aircraft type within the last 90 days may be carried on board without receiving a briefing/demonstration.

GM1 NCC.OP.145(b) Flight preparation

OPERATIONAL FLIGHT PLAN

- (a) Dependent on the length and complexity of the planned flight, an operational flight plan may be completed based on considerations of aircraft performance, other operating limitations and relevant expected conditions on the route to be followed and at the aerodromes/operating sites concerned.
- (b) The operational flight plan used and the entries made during flight may contain the following items:
 - (1) aircraft registration;
 - (2) aircraft type and variant;
 - (3) date of flight;
 - (4) flight identification;
 - (5) names of flight crew members;
 - (6) duty assignment of flight crew members;

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- (7) place of departure;
 - (8) time of departure (actual off-block time, take-off time);
 - (9) place of arrival (planned and actual);
 - (10) time of arrival (actual landing and on-block time);
 - (11) type of operation (VFR, ferry flight, etc.);
 - (12) route and route segments with checkpoints/waypoints, distances, time and tracks;
 - (13) planned cruising speed and flying times between check-points/waypoints (estimated and actual times overhead);
 - (14) safe altitudes and minimum levels;
 - (15) planned altitudes and flight levels;
 - (16) fuel calculations (records of in-flight fuel checks);
 - (17) fuel on board when starting engines;
 - (18) alternate(s) for destination and, where applicable, take-off and en-route;
 - (19) initial ATS flight plan clearance and subsequent reclearance;
 - (20) in-flight replanning calculations; and
 - (21) relevant meteorological information.

AMC1 NCC.OP.153 Destination aerodromes – instrument approach operations

PBN OPERATIONS

The pilot-in-command should only select an aerodrome as a destination alternate aerodrome if an instrument approach procedure that does not rely on GNSS is available either at that aerodrome or at the destination aerodrome.

GM1 NCC.OP.153 Destination aerodromes – instrument approach operations

INTENT OF AMC1

- (a) The limitation applies only to destination alternate aerodromes for flights when a destination alternate aerodrome is required. A take-off or en route alternate aerodrome with instrument approach procedures relying on GNSS may be planned without restrictions. A destination aerodrome with all instrument approach procedures relying solely on GNSS may be used without a destination alternate aerodrome if the conditions for a flight without a destination alternate aerodrome are met.
- (b) The term ‘available’ means that the procedure can be used in the planning stage and complies with planning minima requirements.

AMC1 NCC.OP.155 Refuelling with passengers embarking, on board or disembarking

OPERATIONAL PROCEDURES — GENERAL

- (a) If passengers are on board when refuelling with:
- (1) other than aviation gasoline (AVGAS); or
 - (2) wide-cut type fuel; or
 - (3) a mixture of these types of fuel,
- ground servicing activities and work inside the aeroplane, such as catering and cleaning, should be conducted in such a manner that they do not create a hazard and allow emergency evacuation to take place through those aisles and exits intended for emergency evacuation.
- (b) The deployment of integral aircraft stairs or the opening of emergency exits as a prerequisite to refuelling is not necessarily required.

OPERATIONAL PROCEDURES — AEROPLANES

- (c) Operational procedures should specify that at least the following precautions are taken:
- (1) one qualified person should remain at a specified location during fuelling operations with passengers on board. This qualified person should be capable of handling emergency procedures concerning fire protection and fire-fighting, handling communications and initiating and directing an evacuation;
 - (2) two-way communication should be established and should remain available by the aeroplane's inter-communication system or other suitable means between the ground crew supervising the refuelling and the qualified personnel on board the aeroplane; the involved personnel should remain within easy reach of the system of communication;
 - (3) crew members, personnel and passengers should be warned that refuelling will take place;
 - (4) 'fasten seat belts' signs should be off;
 - (5) 'no smoking' signs should be on, together with interior lighting to enable emergency exits to be identified;
 - (6) passengers should be instructed to unfasten their seat belts and refrain from smoking;
 - (7) the minimum required number of cabin crew should be on board and be prepared for an immediate emergency evacuation;
 - (8) if the presence of fuel vapour is detected inside the aeroplane, or any other hazard arises during refuelling, fuelling should be stopped immediately;
 - (9) the ground area beneath the exits intended for emergency evacuation and slide deployment areas, if applicable, should be kept clear at doors where stairs are not in position for use in the event of evacuation; and
 - (10) provision should be made for a safe and rapid evacuation.

OPERATIONAL PROCEDURES — HELICOPTERS

- (d) Operational procedures should specify that at least the following precautions are taken:
- (1) door(s) on the refuelling side of the helicopter remain closed;

- (2) door(s) on the non-refuelling side of the helicopter remain open, weather permitting;
- (3) fire fighting facilities of the appropriate scale be positioned so as to be immediately available in the event of a fire;
- (4) sufficient personnel should be immediately available to move passengers clear of the helicopter in the event of a fire;
- (5) sufficient qualified personnel be on board and be prepared for an immediate emergency evacuation;
- (6) if the presence of fuel vapour is detected inside the helicopter, or any other hazard arises during refuelling, fuelling should be stopped immediately;
- (7) the ground area beneath the exits intended for emergency evacuation be kept clear; and
- (8) provision should be made for a safe and rapid evacuation.

GM1 NCC.OP.155 Refuelling with passengers embarking, on board or disembarking

AIRCRAFT REFUELLING PROVISIONS AND GUIDANCE ON SAFE REFUELLING PRACTICES

Provisions concerning aircraft refuelling are contained in Volume I (Aerodrome Design and Operations) of ICAO Annex 14 (Aerodromes), and guidance on safe refuelling practices is contained in Parts 1 and 8 of the ICAO Airport Services Manual (Doc 9137).

AMC1 NCC.OP.165 Carriage of passengers

SEATS THAT PERMIT DIRECT ACCESS TO EMERGENCY EXITS

Passengers who occupy seats that permit direct access to emergency exits should appear to be reasonably fit, strong and able to assist the rapid evacuation of the aircraft in an emergency after an appropriate briefing by the crew.

GM1 NCC.OP.165 Carriage of passengers

MEANING OF DIRECT ACCESS

‘Direct access’ means a seat from which a passenger can proceed directly to the exit without entering an aisle or passing around an obstruction.

AMC1 NCC.OP.180 Meteorological conditions

EVALUATION OF METEOROLOGICAL CONDITIONS

Pilots should carefully evaluate the available meteorological information relevant to the proposed flight, such as applicable surface observations, winds and temperatures aloft, terminal and area forecasts, air meteorological information reports (AIRMETs), significant meteorological information (SIGMET) and pilot reports. The ultimate decision whether, when, and where to make the flight rests with the pilot-in-command. Pilots should continue to re-evaluate changing weather conditions.

GM1 NCC.OP.180 Meteorological conditions

CONTINUATION OF A FLIGHT

In the case of in-flight re-planning, continuation of a flight refers to the point from which a revised flight plan applies.

GM1 NCC.OP.185 Ice and other contaminants – ground procedures

TERMINOLOGY

Terms used in the context of de-icing/anti-icing have the meaning defined in the following subparagraphs.

(a) 'Anti-icing fluid' includes, but is not limited to, the following:

- (1) Type I fluid if heated to min 60 °C at the nozzle;
- (2) mixture of water and Type I fluid if heated to min 60 °C at the nozzle;
- (3) Type II fluid;
- (4) mixture of water and Type II fluid;
- (5) Type III fluid;
- (6) mixture of water and Type III fluid;
- (7) Type IV fluid;
- (8) mixture of water and Type IV fluid.

On uncontaminated aircraft surfaces Type II, III and IV anti-icing fluids are normally applied unheated.

- (b) 'Clear ice': a coating of ice, generally clear and smooth, but with some air pockets. It forms on exposed objects, the temperatures of which are at, below or slightly above the freezing temperature, by the freezing of super-cooled drizzle, droplets or raindrops.
- (c) 'Conditions conducive to aircraft icing on the ground' (e.g. freezing fog, freezing precipitation, frost, rain or high humidity (on cold soaked wings), snow or mixed rain and snow).
- (d) 'Contamination', in this context, is understood as being all forms of frozen or semi-frozen moisture, such as frost, snow, slush or ice.
- (e) 'Contamination check': a check of aircraft for contamination to establish the need for de-icing.
- (f) 'De-icing fluid': such fluid includes, but is not limited to, the following:
- (1) heated water;
 - (2) Type I fluid;
 - (3) mixture of water and Type I fluid;
 - (4) Type II fluid;
 - (5) mixture of water and Type II fluid;
 - (6) Type III fluid;
 - (7) mixture of water and Type III fluid;
 - (8) Type IV fluid;

- (9) mixture of water and Type IV fluid.

De-icing fluid is normally applied heated to ensure maximum efficiency.

- (g) 'De-icing/anti-icing': this is the combination of de-icing and anti-icing performed in either one or two steps.
- (h) 'Ground ice detection system (GIDS)': system used during aircraft ground operations to inform the personnel involved in the operation and/or the flight crew about the presence of frost, ice, snow or slush on the aircraft surfaces.
- (i) 'Lowest operational use temperature (LOUT)': the lowest temperature at which a fluid has been tested and certified as acceptable in accordance with the appropriate aerodynamic acceptance test whilst still maintaining a freezing point buffer of not less than:
 - (1) 10 °C for a Type I de-icing/anti-icing fluid; or
 - (2) 7 °C for Type II, III or IV de-icing/anti-icing fluids.
- (j) 'Post-treatment check': an external check of the aircraft after de-icing and/or anti-icing treatment accomplished from suitably elevated observation points (e.g. from the de-icing/anti-icing equipment itself or other elevated equipment) to ensure that the aircraft is free from any frost, ice, snow or slush.
- (k) 'Pre-take-off check': an assessment normally performed by the flight crew, to validate the applied hold-over time (HoT).
- (l) 'Pre-take-off contamination check': a check of the treated surfaces for contamination, performed when the HoT has been exceeded or if any doubt exists regarding the continued effectiveness of the applied anti-icing treatment. It is normally accomplished externally, just before commencement of the take-off run.

ANTI-ICING CODES

- (m) The following are examples of anti-icing codes:
 - (1) 'Type I' at (start time) — to be used if anti-icing treatment has been performed with a Type I fluid;
 - (2) 'Type II/100' at (start time) — to be used if anti-icing treatment has been performed with undiluted Type II fluid;
 - (3) 'Type II/75' at (start time) — to be used if anti-icing treatment has been performed with a mixture of 75 % Type II fluid and 25 % water; and
 - (4) 'Type IV/50' at (start time) — to be used if anti-icing treatment has been performed with a mixture of 50 % Type IV fluid and 50 % water.
- (n) When a two-step de-icing/anti-icing operation has been carried out, the anti-icing code should be determined by the second step fluid. Fluid brand names may be included, if desired.

GM2 NCC.OP.185 Ice and other contaminants – ground procedures

DE-ICING/ANTI-ICING — PROCEDURES

- (a) De-icing and/or anti-icing procedures should take into account manufacturer's recommendations, including those that are type-specific, and should cover:
 - (1) contamination checks, including detection of clear ice and under-wing frost; limits on the thickness/area of contamination published in the AFM or other manufacturers' documentation should be followed;

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- (2) procedures to be followed if de-icing and/or anti-icing procedures are interrupted or unsuccessful;
 - (3) post-treatment checks;
 - (4) pre-take-off checks;
 - (5) pre-take-off contamination checks;
 - (6) the recording of any incidents relating to de-icing and/or anti-icing; and
 - (7) the responsibilities of all personnel involved in de-icing and/or anti-icing.
- (b) The operator's procedures should ensure the following:
- (1) When aircraft surfaces are contaminated by ice, frost, slush or snow, they are de-iced prior to take-off, according to the prevailing conditions. Removal of contaminants may be performed with mechanical tools, fluids (including hot water), infrared heat or forced air, taking account of aircraft type-specific provisions.
 - (2) Account is taken of the wing skin temperature versus outside air temperature (OAT), as this may affect:
 - (i) the need to carry out aircraft de-icing and/or anti-icing; and/or
 - (ii) the performance of the de-icing/anti-icing fluids.
 - (3) When freezing precipitation occurs or there is a risk of freezing precipitation occurring that would contaminate the surfaces at the time of take-off, aircraft surfaces should be anti-iced. If both de-icing and anti-icing are required, the procedure may be performed in a one or two-step process, depending upon weather conditions, available equipment, available fluids and the desired hold-over time (HoT). One-step de-icing/anti-icing means that de-icing and anti-icing are carried out at the same time, using a mixture of de-icing/anti-icing fluid and water. Two-step de-icing/anti-icing means that de-icing and anti-icing are carried out in two separate steps. The aircraft is first de-iced using heated water only or a heated mixture of de-icing/anti-icing fluid and water. After completion of the de-icing operation a layer of a mixture of de-icing/anti-icing fluid and water, or of de-icing/anti-icing fluid only, is sprayed over the aircraft surfaces. The second step will be applied before the first-step fluid freezes, typically within three minutes and, if necessary, area by area.
 - (4) When an aircraft is anti-iced and a longer HoT is needed/desired, the use of a less diluted Type II or Type IV fluid should be considered.
 - (5) All restrictions relative to OAT and fluid application (including, but not necessarily limited to, temperature and pressure) published by the fluid manufacturer and/or aircraft manufacturer, are followed and procedures, limitations and recommendations to prevent the formation of fluid residues are followed.
 - (6) During conditions conducive to aircraft icing on the ground or after de-icing and/or anti-icing, an aircraft is not dispatched for departure unless it has been given a contamination check or a post-treatment check by a trained and qualified person. This check should cover all treated surfaces of the aircraft and be performed from points offering sufficient accessibility to these parts. To ensure that there is no clear ice on suspect areas, it may be necessary to make a physical check (e.g. tactile).
 - (7) The required entry is made in the technical log.
 - (8) The pilot-in-command continually monitors the environmental situation after the performed treatment. Prior to take-off he/she performs a pre-take-off check, which is an assessment of whether the applied HoT is still appropriate. This pre-take-off check

includes, but is not limited to, factors such as precipitation, wind and OAT.

- (9) If any doubt exists as to whether a deposit may adversely affect the aircraft's performance and/or controllability characteristics, the pilot-in-command should arrange for a pre-take-off contamination check to be performed in order to verify that the aircraft's surfaces are free of contamination. Special methods and/or equipment may be necessary to perform this check, especially at night time or in extremely adverse weather conditions. If this check cannot be performed just before take-off, re-treatment should be applied.
 - (10) When retreatment is necessary, any residue of the previous treatment should be removed and a completely new de-icing/anti-icing treatment should be applied.
 - (11) When a ground ice detection system (GIDS) is used to perform an aircraft surfaces check prior to and/or after a treatment, the use of GIDS by suitably trained personnel should be part of the procedure.
- (c) Special operational considerations
- (1) When using thickened de-icing/anti-icing fluids, the operator should consider a two-step de-icing/anti-icing procedure, the first step preferably with hot water and/or un-thickened fluids.
 - (2) The use of de-icing/anti-icing fluids should be in accordance with the aircraft manufacturer's documentation. This is particularly important for thickened fluids to assure sufficient flow-off during take-off.
 - (3) The operator should comply with any type-specific operational requirement(s), such as an aircraft mass decrease and/or a take-off speed increase associated with a fluid application.
 - (4) The operator should take into account any flight handling procedures (stick force, rotation speed and rate, take-off speed, aircraft attitude, etc.) laid down by the aircraft manufacturer when associated with a fluid application.
 - (5) The limitations or handling procedures resulting from (c)(3) and/or (c)(4) should be part of the flight crew pre-take-off briefing.
- (d) Communications
- (1) Before aircraft treatment. When the aircraft is to be treated with the flight crew on board, the flight and personnel involved in the operation should confirm the fluid to be used, the extent of treatment required and any aircraft type-specific procedure(s) to be used. Any other information needed to apply the HoT tables should be exchanged.
 - (2) Anti-icing code. The operator's procedures should include an anti-icing code, which indicates the treatment the aircraft has received. This code provides the flight crew with the minimum details necessary to estimate an HoT and confirms that the aircraft is free of contamination.
 - (3) After treatment. Before reconfiguring or moving the aircraft, the flight crew should receive a confirmation from the personnel involved in the operation that all de-icing and/or anti-icing operations are complete and that all personnel and equipment are clear of the aircraft.
- (e) Hold-over protection
- The operator should publish in the operations manual, when required, the HoTs in the form of a table or a diagram, to account for the various types of ground icing conditions and the different types and concentrations of fluids used. However, the times of protection shown in

these tables are to be used as guidelines only and are normally used in conjunction with the pre-take-off check.

(f) Training

The operator's initial and recurrent de-icing and/or anti-icing training programmes (including communication training) for flight crew and those of its personnel involved in the operation who are involved in de-icing and/or anti-icing should include additional training if any of the following is introduced:

- (1) a new method, procedure and/or technique;
- (2) a new type of fluid and/or equipment; or
- (3) a new type of aircraft.

(g) Contracting

When the operator contracts training on de-icing/anti-icing, the operator should ensure that the contractor complies with the operator's training/qualification procedures, together with any specific procedures in respect of:

- (1) de-icing and/or anti-icing methods and procedures;
- (2) fluids to be used, including precautions for storage and preparation for use;
- (3) specific aircraft requirements (e.g. no-spray areas, propeller/engine de-icing, auxiliary power unit (APU) operation etc.); and
- (4) checking and communications procedures.

(h) Special maintenance considerations

(1) General

The operator should take proper account of the possible side effects of fluid use. Such effects may include, but are not necessarily limited to, dried and/or re-hydrated residues, corrosion and the removal of lubricants.

(2) Special considerations regarding residues of dried fluids

The operator should establish procedures to prevent or detect and remove residues of dried fluid. If necessary, the operator should establish appropriate inspection intervals based on the recommendations of the airframe manufacturers and/or the operator's own experience:

(i) Dried fluid residues

Dried fluid residues could occur when surfaces have been treated and the aircraft has not subsequently been flown and has not been subject to precipitation. The fluid may then have dried on the surfaces.

(ii) Re-hydrated fluid residues

Repetitive application of thickened de-icing/anti-icing fluids may lead to the subsequent formation/build-up of a dried residue in aerodynamically quiet areas, such as cavities and gaps. This residue may re-hydrate if exposed to high humidity conditions, precipitation, washing, etc., and increase to many times its original size/volume. This residue will freeze if exposed to conditions at or below 0 °C. This may cause moving parts, such as elevators, ailerons, and flap actuating mechanisms to stiffen or jam in-flight. Re-hydrated residues may also form on

exterior surfaces, which can reduce lift, increase drag and stall speed. Re-hydrated residues may also collect inside control surface structures and cause clogging of drain holes or imbalances to flight controls. Residues may also collect in hidden areas, such as around flight control hinges, pulleys, grommets, on cables and in gaps.

- (iii) Operators are strongly recommended to obtain information about the fluid dry-out and re-hydration characteristics from the fluid manufacturers and to select products with optimised characteristics.
- (iv) Additional information should be obtained from fluid manufacturers for handling, storage, application and testing of their products.

GM3 NCC.OP.185 Ice and other contaminants – ground procedures

DE-ICING/ANTI-ICING — BACKGROUND INFORMATION

Further guidance material on this issue is given in the ICAO *Manual of Aircraft Ground De-icing/Anti-icing Operations* (Doc 9640) (hereinafter referred to as the *ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations*).

(a) General

- (1) Any deposit of frost, ice, snow or slush on the external surfaces of an aircraft may drastically affect its flying qualities because of reduced aerodynamic lift, increased drag, modified stability and control characteristics. Furthermore, freezing deposits may cause moving parts, such as elevators, ailerons, flap actuating mechanism, etc., to jam and create a potentially hazardous condition. Propeller/engine/APU/systems performance may deteriorate due to the presence of frozen contaminants on blades, intakes and components. Also, engine operation may be seriously affected by the ingestion of snow or ice, thereby causing engine stall or compressor damage. In addition, ice/frost may form on certain external surfaces (e.g. wing upper and lower surfaces, etc.) due to the effects of cold fuel/structures, even in ambient temperatures well above 0 °C.
- (2) Procedures established by the operator for de-icing and/or anti-icing are intended to ensure that the aircraft is clear of contamination so that degradation of aerodynamic characteristics or mechanical interference will not occur and, following anti-icing, to maintain the airframe in that condition during the appropriate HoT.
- (3) Under certain meteorological conditions, de-icing and/or anti-icing procedures may be ineffective in providing sufficient protection for continued operations. Examples of these conditions are freezing rain, ice pellets and hail, heavy snow, high wind velocity, fast dropping OAT or any time when freezing precipitation with high water content is present. No HoT guidelines exist for these conditions.
- (4) Material for establishing operational procedures can be found, for example, in:
 - (i) ICAO Annex 3, Meteorological Service for International Air Navigation;
 - (ii) ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations;
 - (iii) International Organization for Standardization (ISO) 11075 Aircraft — De-icing/anti-icing fluids — ISO type I;
 - (iv) ISO 11076 Aircraft — De-icing/anti-icing methods with fluids;

- (i) ISO 11077 Aerospace — Self-propelled de-icing/anti-icing vehicles — Functional requirements;
- (ii) ISO 11078 Aircraft — De-icing/anti-icing fluids — ISO types II, III and IV;
- (iii) Association of European Airlines (AEA) ‘Recommendations for de-icing/anti-icing of aircraft on the ground’;
- (iv) AEA ‘Training recommendations and background information for de-icing/anti-icing of aircraft on the ground’;
- (v) EUROCAE ED-104A Minimum Operational Performance Specification for Ground Ice Detection Systems;
- (vi) Society of Automotive Engineers (SAE) AS5681 Minimum Operational Performance Specification for Remote On-Ground Ice Detection Systems;
- (vii) SAE ARP4737 Aircraft — De-icing/anti-icing methods;
- (viii) SAE AMS1424 De-icing/anti-Icing Fluid, Aircraft, SAE Type I;
- (ix) SAE AMS1428 Fluid, Aircraft De-icing/anti-icing, Non-Newtonian, (Pseudoplastic), SAE Types II, III, and IV;
- (x) SAE ARP1971 Aircraft De-icing Vehicle — Self-Propelled, Large and Small Capacity;
- (xi) SAE ARP5149 Training Programme Guidelines for De-icing/anti-icing of Aircraft on Ground; and
- (xii) SAE ARP5646 Quality Program Guidelines for De-icing/anti-icing of Aircraft on the Ground.

(b) Fluids

- (1) Type I fluid: Due to its properties, Type I fluid forms a thin, liquid-wetting film on surfaces to which it is applied which, under certain weather conditions, gives a very limited HoT. With this type of fluid, increasing the concentration of fluid in the fluid/water mix does not provide any extension in HoT.
- (2) Type II and Type IV fluids contain thickeners that enable the fluid to form a thicker liquid-wetting film on surfaces to which it is applied. Generally, this fluid provides a longer HoT than Type I fluids in similar conditions. With this type of fluid, the HoT can be extended by increasing the ratio of fluid in the fluid/water mix.
- (3) Type III fluid is a thickened fluid especially intended for use on aircraft with low rotation speeds.
- (4) Fluids used for de-icing and/or anti-icing should be acceptable to the operator and the aircraft manufacturer. These fluids normally conform to specifications such as SAE AMS1424, SAE AMS1428 or equivalent. Use of non-conforming fluids is not recommended due to their characteristics being unknown. The anti-icing and aerodynamic properties of thickened fluids may be seriously degraded by, for example, inappropriate storage, treatment, application, application equipment and age.

(c) Hold-over protection

- (1) Hold-over protection is achieved by a layer of anti-icing fluid remaining on and protecting aircraft surfaces for a period of time. With a one-step de-icing/anti-icing procedure, the HoT begins at the commencement of de-icing/anti-icing. With a two-step procedure, the

HoT begins at the commencement of the second (anti-icing) step. The hold-over protection runs out:

- (i) at the commencement of the take-off roll (due to aerodynamic shedding of fluid); or
 - (ii) when frozen deposits start to form or accumulate on treated aircraft surfaces, thereby indicating the loss of effectiveness of the fluid.
- (2) The duration of hold-over protection may vary depending on the influence of factors other than those specified in the HoT tables. Guidance should be provided by the operator to take account of such factors, which may include:
- (i) atmospheric conditions, e.g. exact type and rate of precipitation, wind direction and velocity, relative humidity and solar radiation; and
 - (ii) the aircraft and its surroundings, such as aircraft component inclination angle, contour and surface roughness, surface temperature, operation in close proximity to other aircraft (jet or propeller blast) and ground equipment and structures.
- (3) HoTs are not meant to imply that flight is safe in the prevailing conditions if the specified HoT has not been exceeded. Certain meteorological conditions, such as freezing drizzle or freezing rain, may be beyond the certification envelope of the aircraft.

AMC1 NCC.OP.190 Ice and other contaminants – flight procedures

FLIGHT IN EXPECTED OR ACTUAL ICING CONDITIONS

- (a) The procedures to be established by the operator should take account of the design, the equipment, the configuration of the aircraft and the necessary training. For these reasons, different aircraft types operated by the same company may require the development of different procedures. In every case, the relevant limitations are those that are defined in the AFM and other documents produced by the manufacturer.
- (b) The operator should ensure that the procedures take account of the following:
 - (1) the equipment and instruments that should be serviceable for flight in icing conditions;
 - (2) the limitations on flight in icing conditions for each phase of flight. These limitations may be imposed by the aircraft's de-icing or anti-icing equipment or the necessary performance corrections that have to be made;
 - (3) the criteria the flight crew should use to assess the effect of icing on the performance and/or controllability of the aircraft;
 - (4) the means by which the flight crew detects, by visual cues or the use of the aircraft's ice detection system, that the flight is entering icing conditions; and
 - (5) the action to be taken by the flight crew in a deteriorating situation (which may develop rapidly) resulting in an adverse effect on the performance and/or controllability of the aircraft, due to:
 - (i) the failure of the aircraft's anti-icing or de-icing equipment to control a build-up of ice; and/or
 - (ii) ice build-up on unprotected areas.
- (c) Training for dispatch and flight in expected or actual icing conditions. The content of the operations manual should reflect the training, both conversion and recurrent, that flight crew,

cabin crew and all other relevant operational personnel require in order to comply with the procedures for dispatch and flight in icing conditions:

- (1) For the flight crew, the training should include:
 - (i) instruction on how to recognise, from weather reports or forecasts that are available before flight commences or during flight, the risks of encountering icing conditions along the planned route and on how to modify, as necessary, the departure and in-flight routes or profiles;
 - (ii) instruction on the operational and performance limitations or margins;
 - (iii) the use of in-flight ice detection, anti-icing and de-icing systems in both normal and abnormal operation; and
 - (iv) instruction on the differing intensities and forms of ice accretion and the consequent action which should be taken.
- (2) For the cabin crew, the training should include:
 - (i) awareness of the conditions likely to produce surface contamination; and
 - (ii) the need to inform the flight crew of significant ice accretion.

GM1 NCC.OP.215 Ground proximity detection

GUIDANCE MATERIAL FOR TERRAIN AWARENESS WARNING SYSTEM (TAWS) FLIGHT CREW TRAINING PROGRAMMES

- (a) Introduction
 - (1) This GM contains performance-based training objectives for TAWS flight crew training.
 - (2) The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAWS cautions; response to TAWS warnings.
 - (3) The term 'TAWS' in this GM means a ground proximity warning system (GPWS) enhanced by a forward-looking terrain avoidance function. Alerts include both cautions and warnings.
 - (4) The content of this GM is intended to assist operators who are producing training programmes. The information it contains has not been tailored to any specific aircraft or TAWS equipment, but highlights features that are typically available where such systems are installed. It is the responsibility of the individual operator to determine the applicability of the content of this Guidance Material to each aircraft and TAWS equipment installed and their operation. Operators should refer to the AFM and/or aircraft/flight crew operating manual (A/FCOM), or similar documents, for information applicable to specific configurations. If there should be any conflict between the content of this Guidance Material and that published in the other documents described above, then the information contained in the AFM or A/FCOM will take precedence.
- (b) Scope
 - (1) The scope of this GM is designed to identify training objectives in the areas of: academic training; manoeuvre training; initial evaluation; recurrent qualification. Under each of these four areas, the training material has been separated into those items that are considered essential training items and those that are considered to be desirable. In each area, objectives and acceptable performance criteria are defined.
 - (2) No attempt is made to define how the training programme should be implemented.

Instead, objectives are established to define the knowledge that a pilot operating a TAWS is expected to possess and the performance expected from a pilot who has completed TAWS training. However, the guidelines do indicate those areas in which the pilot receiving the training should demonstrate his/her understanding, or performance, using a real time interactive training device, i.e. a flight simulator. Where appropriate, notes are included within the performance criteria that amplify or clarify the material addressed by the training objective.

(c) Performance-based training objectives

(1) TAWS academic training

- (i) This training is typically conducted in a classroom environment. The knowledge demonstrations specified in this section may be completed through the successful completion of written tests or by providing correct responses to non-real-time computer-based training (CBT) questions.
- (ii) Theory of operation. The pilot should demonstrate an understanding of TAWS operation and the criteria used for issuing cautions and warnings. This training should address system operation. Objective: to demonstrate knowledge of how a TAWS functions. Criteria: the pilot should demonstrate an understanding of the following functions:

(A) Surveillance

- (a) The GPWS computer processes data supplied from an air data computer, a radio altimeter, an instrument landing system (ILS)/microwave landing system (MLS)/multi-mode (MM) receiver, a roll attitude sensor, and actual position of the surfaces and of the landing gear.
- (b) The forward-looking terrain avoidance function utilises an accurate source of known aircraft position, such as that which may be provided by a flight management system (FMS) or global positioning system (GPS), or an electronic terrain database. The source and scope of the terrain, obstacle and airport data, and features such as the terrain clearance floor, the runway picker, and geometric altitude (where provided), should all be described.
- (c) Displays required to deliver TAWS outputs include a loudspeaker for voice announcements, visual alerts (typically amber and red lights) and a terrain awareness display (that may be combined with other displays). In addition, means should be provided for indicating the status of the TAWS and any partial or total failures that may occur.

- (B) Terrain avoidance. Outputs from the TAWS computer provide visual and audio synthetic voice cautions and warnings to alert the flight crew about potential conflicts with terrain and obstacles.

- (C) Alert thresholds. Objective: to demonstrate knowledge of the criteria for issuing cautions and warnings. Criteria: the pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and alerts and the general criteria for the issuance of these alerts, including:

- (a) basic GPWS alerting modes specified in the ICAO standard:
 Mode 1: excessive sink rate;

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- Mode 2: excessive terrain closure rate;
 - Mode 3: descent after take-off or missed approach;
 - Mode 4: unsafe proximity to terrain; and
 - Mode 5: descent below ILS glide slope (caution only);
 - (b) an additional, optional alert mode:
 - Mode 6: radio altitude call-out (information only); and
 - (c) TAWS cautions and warnings that alert the flight crew to obstacles and terrain ahead of the aircraft in line with or adjacent to its projected flight path (forward-looking terrain avoidance (FLTA) and premature descent alert (PDA) functions).
 - (D) TAWS limitations. Objective: to verify that the pilot is aware of the limitations of TAWS. Criteria: the pilot should demonstrate knowledge and an understanding of TAWS limitations identified by the manufacturer for the equipment model installed, such as:
 - (a) navigation should not be predicated on the use of the terrain display;
 - (b) unless geometric altitude data is provided, use of predictive TAWS functions is prohibited when altimeter subscale settings display 'QFE' (atmospheric pressure at aerodrome elevation/runway threshold);
 - (c) nuisance alerts can be issued if the aerodrome of intended landing is not included in the TAWS airport database;
 - (d) in cold weather operations, corrective procedures should be implemented by the pilot unless the TAWS has in-built compensation, such as geometric altitude data;
 - (e) loss of input data to the TAWS computer could result in partial or total loss of functionality. Where means exist to inform the flight crew that functionality has been degraded, this should be known and the consequences understood;
 - (f) radio signals not associated with the intended flight profile (e.g. ILS glide path transmissions from an adjacent runway) may cause false alerts;
 - (g) inaccurate or low accuracy aircraft position data could lead to false or non-annunciation of terrain or obstacles ahead of the aircraft; and
 - (h) minimum equipment list (MEL) restrictions should be applied in the event of the TAWS becoming partially or completely unserviceable. (It should be noted that basic GPWS has no forward-looking capability.)
 - (E) TAWS inhibits. Objective: to verify that the pilot is aware of the conditions under which certain functions of a TAWS are inhibited. Criteria: the pilot should demonstrate knowledge and an understanding of the various TAWS inhibits, including the following means of:
 - (a) silencing voice alerts;
 - (b) inhibiting ILS glide path signals (as may be required when executing an ILS back beam approach);
 - (c) inhibiting flap position sensors (as may be required when executing

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- an approach with the flaps not in a normal position for landing);
- (d) inhibiting the FLTA and PDA functions; and
 - (e) selecting or deselecting the display of terrain information, together with appropriate annunciation of the status of each selection.
- (2) Operating procedures. The pilot should demonstrate the knowledge required to operate TAWS avionics and to interpret the information presented by a TAWS. This training should address the following topics:
- (i) Use of controls. Objective: to verify that the pilot can properly operate all TAWS controls and inhibits. Criteria: the pilot should demonstrate the proper use of controls, including the following means by which:
 - (A) before flight, any equipment self-test functions can be initiated;
 - (B) TAWS information can be selected for display; and
 - (C) all TAWS inhibits can be operated and what the consequent annunciations mean with regard to loss of functionality.
 - (ii) Display interpretation. Objective: to verify that the pilot understands the meaning of all information that can be annunciated or displayed by a TAWS. Criteria: the pilot should demonstrate the ability to properly interpret information annunciated or displayed by a TAWS, including the following:
 - (A) knowledge of all visual and aural indications that may be seen or heard;
 - (B) response required on receipt of a caution;
 - (C) response required on receipt of a warning; and
 - (D) response required on receipt of a notification that partial or total failure of the TAWS has occurred (including annunciation that the present aircraft position is of low accuracy).
 - (iii) Use of basic GPWS or use of the FLTA function only. Objective: to verify that the pilot understands what functionality will remain following loss of the GPWS or of the FLTA function. Criteria: the pilot should demonstrate knowledge of how to recognise the following:
 - (A) un-commanded loss of the GPWS function, or how to isolate this function and how to recognise the level of the remaining controlled flight into terrain (CFIT) protection (essentially, this is the FLTA function); and
 - (B) un-commanded loss of the FLTA function, or how to isolate this function and how to recognise the level of the remaining CFIT protection (essentially, this is the basic GPWS).
 - (iv) Crew coordination. Objective: to verify that the pilot adequately briefs other flight crew members on how TAWS alerts will be handled. Criteria: the pilot should demonstrate that the pre-flight briefing addresses procedures that will be used in preparation for responding to TAWS cautions and warnings, including the following:
 - (A) the action to be taken, and by whom, in the event that a TAWS caution and/or warning is issued; and
 - (B) how multi-function displays will be used to depict TAWS information at take-off, in the cruise and for the descent, approach, landing (and any missed approach). This will be in accordance with procedures specified by the

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- operator, who will recognise that it may be more desirable that other data is displayed at certain phases of flight and that the terrain display has an automatic 'pop-up' mode in the event that an alert is issued.
- (v) Reporting rules. Objective: to verify that the pilot is aware of the rules for reporting alerts to the controller and other authorities. Criteria: the pilot should demonstrate knowledge of the following:
 - (A) when, following recovery from a TAWS alert or caution, a transmission of information should be made to the appropriate ATC unit; and
 - (B) the type of written report that is required, how it is to be compiled and whether any cross-reference should be made in the aircraft technical log and/or voyage report (in accordance with procedures specified by the operator), following a flight in which the aircraft flight path has been modified in response to a TAWS alert, or if any part of the equipment appears not to have functioned correctly.
 - (vi) Alert thresholds. Objective: to demonstrate knowledge of the criteria for issuing cautions and warnings. Criteria: the pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and warnings and the general criteria for the issuance of these alerts, including awareness of the following:
 - (A) modes associated with basic GPWS, including the input data associated with each; and
 - (B) visual and aural annunciations that can be issued by TAWS and how to identify which are cautions and which are warnings.
 - (3) TAWS manoeuvre training. The pilot should demonstrate the knowledge required to respond correctly to TAWS cautions and warnings. This training should address the following topics:
 - (i) Response to cautions:
 - (A) Objective: to verify that the pilot properly interprets and responds to cautions. Criteria: the pilot should demonstrate an understanding of the need, without delay:
 - (a) to initiate action required to correct the condition that has caused the TAWS to issue the caution and to be prepared to respond to a warning, if this should follow; and
 - (b) if a warning does not follow the caution, to notify the controller of the new position, heading and/or altitude/flight level of the aircraft, and what the pilot-in-command intends to do next.
 - (B) The correct response to a caution might require the pilot to:
 - (a) reduce a rate of descent and/or to initiate a climb;
 - (b) regain an ILS glide path from below, or to inhibit a glide path signal if an ILS is not being flown;
 - (c) select more flap, or to inhibit a flap sensor if the landing is being conducted with the intent that the normal flap setting will not be used;
 - (d) select gear down; and/or

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- (e) initiate a turn away from the terrain or obstacle ahead and towards an area free of such obstructions if a forward-looking terrain display indicates that this would be a good solution and the entire manoeuvre can be carried out in clear visual conditions.
 - (ii) Response to warnings. Objective: to verify that the pilot properly interprets and responds to warnings. Criteria: the pilot should demonstrate an understanding of the following:
 - (A) The need, without delay, to initiate a climb in the manner specified by the operator.
 - (B) The need, without delay, to maintain the climb until visual verification can be made that the aircraft will clear the terrain or obstacle ahead or until above the appropriate sector safe altitude (if certain about the location of the aircraft with respect to terrain) even if the TAWS warning stops. If, subsequently, the aircraft climbs up through the sector safe altitude, but the visibility does not allow the flight crew to confirm that the terrain hazard has ended, checks should be made to verify the location of the aircraft and to confirm that the altimeter subscale settings are correct.
 - (C) When workload permits, that the flight crew should notify the air traffic controller of the new position and altitude/flight level and what the pilot-in-command intends to do next.
 - (D) That the manner in which the climb is made should reflect the type of aircraft and the method specified by the aircraft manufacturer (which should be reflected in the operations manual) for performing the escape manoeuvre. Essential aspects will include the need for an increase in pitch attitude, selection of maximum thrust, confirmation that external sources of drag (e.g. spoilers/speed brakes) are retracted and respect of the stick shaker or other indication of eroded stall margin.
 - (E) That TAWS warnings should never be ignored. However, the pilot's response may be limited to that which is appropriate for a caution, only if:
 - (a) the aircraft is being operated by day in clear, visual conditions; and
 - (b) it is immediately clear to the pilot that the aircraft is in no danger in respect of its configuration, proximity to terrain or current flight path.
 - (4) TAWS initial evaluation:
 - (i) The flight crew member's understanding of the academic training items should be assessed by means of a written test.
 - (ii) The flight crew member's understanding of the manoeuvre training items should be assessed in a flight simulation training device (FSTD) equipped with TAWS visual and aural displays and inhibit selectors similar in appearance and operation to those in the aircraft that the pilot will fly. The results should be assessed by a flight simulation training instructor, synthetic flight examiner, type rating instructor or type rating examiner.
 - (iii) The range of scenarios should be designed to give confidence that proper and timely responses to TAWS cautions and warnings will result in the aircraft avoiding a CFIT accident. To achieve this objective, the pilot should demonstrate taking the correct action to prevent a caution developing into a warning and, separately, the escape manoeuvre needed in response to a warning. These demonstrations should

take place when the external visibility is zero, though there is much to be learnt if, initially, the training is given in 'mountainous' or 'hilly' terrain with clear visibility. This training should comprise a sequence of scenarios, rather than be included in line orientated flight training (LOFT).

- (iv) A record should be made, after the pilot has demonstrated competence, of the scenarios that were practised.
- (5) TAWS recurrent training:
 - (i) TAWS recurrent training ensures that pilots maintain the appropriate TAWS knowledge and skills. In particular, it reminds pilots of the need to act promptly in response to cautions and warnings and of the unusual attitude associated with flying the escape manoeuvre.
 - (ii) An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes to TAWS logic, parameters or procedures and to any unique TAWS characteristics of which pilots should be aware.
- (6) Reporting procedures:
 - (i) Verbal reports. Verbal reports should be made promptly to the appropriate ATC unit:
 - (A) whenever any manoeuvre has caused the aircraft to deviate from an air traffic clearance;
 - (B) when, following a manoeuvre that has caused the aircraft to deviate from an air traffic clearance, the aircraft has returned to a flight path that complies with the clearance; and/or
 - (C) when an air traffic control unit issues instructions that, if followed, would cause the pilot to manoeuvre the aircraft towards terrain or obstacle or it would appear from the display that a potential CFIT occurrence is likely to result.
 - (ii) Written reports. Written reports should be submitted in accordance with the operator's occurrence reporting scheme and they also should be recorded in the aircraft technical log:
 - (A) whenever the aircraft flight path has been modified in response to a TAWS alert (false, nuisance or genuine);
 - (B) whenever a TAWS alert has been issued and is believed to have been false; and/or
 - (C) if it is believed that a TAWS alert should have been issued, but was not.
 - (iii) Within this GM, and with regard to reports:
 - (A) the term 'false' means that the TAWS issued an alert that could not possibly be justified by the position of the aircraft in respect to terrain and it is probable that a fault or failure in the system (equipment and/or input data) was the cause;
 - (B) the term 'nuisance' means that the TAWS issued an alert that was appropriate, but was not needed because the flight crew could determine by independent means that the flight path was, at that time, safe;
 - (C) the term 'genuine' means that the TAWS issued an alert that was both

appropriate and necessary;

- (D) the report terms described in (c)(6)(iii) are only meant to be assessed after the occurrence is over, to facilitate subsequent analysis, the adequacy of the equipment and the programmes it contains. The intention is not for the flight crew to attempt to classify an alert into any of these three categories when visual and/or aural cautions or warnings are annunciated.

GM1 NCC.OP.220 Airborne collision avoidance system (ACAS)

GENERAL

- (a) The ACAS operational procedures and training programmes established by the operator should take into account this Guidance Material. It incorporates advice contained in:
- (1) ICAO Annex 10, Volume IV;
 - (2) ICAO Doc 8168 (PANS-OPS), Volume III; and
 - (3) ICAO PANS-ATM.
- (b) Additional guidance material on ACAS may be referred to, including information available from such sources as EUROCONTROL.

ACAS FLIGHT CREW TRAINING

- (c) During the implementation of ACAS, several operational issues were identified that had been attributed to deficiencies in flight crew training programmes. As a result, the issue of flight crew training has been discussed within the ICAO, which has developed guidelines for operators to use when designing training programmes.
- (d) This Guidance Material contains performance-based training objectives for ACAS II flight crew training. Information contained here related to traffic advisories (TAs) is also applicable to ACAS I and ACAS II users. The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAs; and response to resolution advisories (RAs).
- (e) The information provided is valid for version 7 and 7.1 (ACAS II). Where differences arise, these are identified.
- (f) The performance-based training objectives are further divided into the areas of: academic training; manoeuvre training; initial evaluation and recurrent qualification. Under each of these four areas, the training material has been separated into those items which are considered essential training items and those which are considered desirable. In each area, objectives and acceptable performance criteria are defined.
- (g) ACAS academic training
- (1) This training is typically conducted in a classroom environment. The knowledge demonstrations specified in this section may be completed through the successful completion of written tests or through providing correct responses to non-real-time computer-based training (CBT) questions.
 - (2) Essential items
 - (i) Theory of operation. The flight crew member should demonstrate an understanding of ACAS II operation and the criteria used for issuing TAs and RAs. This training should address the following topics:
 - (A) System operation

Objective: to demonstrate knowledge of how ACAS functions.

Criteria: the flight crew member should demonstrate an understanding of the following functions:

(a) Surveillance

- (1) ACAS interrogates other transponder-equipped aircraft within a nominal range of 14 NM.
- (2) ACAS surveillance range can be reduced in geographic areas with a large number of ground interrogators and/or ACAS II-equipped aircraft.
- (3) If the operator's ACAS implementation provides for the use of the Mode S extended squitter, the normal surveillance range may be increased beyond the nominal 14 NM. However, this information is not used for collision avoidance purposes.

(b) Collision avoidance

- (1) TAs can be issued against any transponder-equipped aircraft that responds to the ICAO Mode C interrogations, even if the aircraft does not have altitude reporting capability.
- (2) RAs can be issued only against aircraft that are reporting altitude and in the vertical plane only.
- (3) RAs issued against an ACAS-equipped intruder are co-ordinated to ensure complementary RAs are issued.
- (4) Failure to respond to an RA deprives own aircraft of the collision protection provided by own ACAS.
- (5) Additionally, in ACAS-ACAS encounters, failure to respond to an RA also restricts the choices available to the other aircraft's ACAS and thus renders the other aircraft's ACAS less effective than if own aircraft were not ACAS equipped.

(B) Advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (a) ACAS advisories are based on time to closest point of approach (CPA) rather than distance. The time should be short and vertical separation should be small, or projected to be small, before an advisory can be issued. The separation standards provided by ATS are different from the miss distances against which ACAS issues alerts.
- (b) Thresholds for issuing a TA or an RA vary with altitude. The thresholds are larger at higher altitudes.
- (c) A TA occurs from 15 to 48 seconds and an RA from 15 to 35 seconds before the projected CPA.
- (d) RAs are chosen to provide the desired vertical miss distance at CPA. As a result, RAs can instruct a climb or descent through the intruder aircraft's altitude.

(C) ACAS limitations

Objective: to verify that the flight crew member is aware of the limitations of ACAS.

Criteria: the flight crew member should demonstrate knowledge and understanding of ACAS limitations, including the following:

- (a) ACAS will neither track nor display non-transponder-equipped aircraft, nor aircraft not responding to ACAS Mode C interrogations.
- (b) ACAS will automatically fail if the input from the aircraft's barometric altimeter, radio altimeter or transponder is lost.
 - (1) In some installations, the loss of information from other on board systems such as an inertial reference system (IRS) or attitude heading reference system (AHRS) may result in an ACAS failure. Individual operators should ensure that their flight crews are aware of the types of failure which will result in an ACAS failure.
 - (2) ACAS may react in an improper manner when false altitude information is provided to own ACAS or transmitted by another aircraft. Individual operators should ensure that their flight crew are aware of the types of unsafe conditions which can arise. Flight crew members should ensure that when they are advised, if their own aircraft is transmitting false altitude reports, an alternative altitude reporting source is selected, or altitude reporting is switched off.
- (c) Some aeroplanes within 380 ft above ground level (AGL) (nominal value) are deemed to be 'on ground' and will not be displayed. If ACAS is able to determine an aircraft below this altitude is airborne, it will be displayed.
- (d) ACAS may not display all proximate transponder-equipped aircraft in areas of high density traffic.
- (e) The bearing displayed by ACAS is not sufficiently accurate to support the initiation of horizontal manoeuvres based solely on the traffic display.
- (f) ACAS will neither track nor display intruders with a vertical speed in excess of 10 000 ft/min. In addition, the design implementation may result in some short-term errors in the tracked vertical speed of an intruder during periods of high vertical acceleration by the intruder.
- (g) Ground proximity warning systems/ground collision avoidance systems (GPWSs/GCASs) warnings and wind shear warnings take precedence over ACAS advisories. When either a GPWS/GCAS or wind shear warning is active, ACAS aural annunciations will be inhibited and ACAS will automatically switch to the 'TA only' mode of operation.

(D) ACAS inhibits

Objective: to verify that the flight crew member is aware of the conditions under which certain functions of ACAS are inhibited.

Criteria: the flight crew member should demonstrate knowledge and understanding of the various ACAS inhibits, including the following:

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- (a) 'Increase Descent' RAs are inhibited below 1 450 ft AGL.
 - (b) 'Descend' RAs are inhibited below 1 100 ft AGL.
 - (c) All RAs are inhibited below 1 000 ft AGL.
 - (d) All TA aural annunciations are inhibited below 500 ft AGL.
 - (e) Altitude and configuration under which 'Climb' and 'Increase Climb' RAs are inhibited. ACAS can still issue 'Climb' and 'Increase Climb' RAs when operating at the aeroplane's certified ceiling. (In some aircraft types, 'Climb' or 'Increase Climb' RAs are never inhibited.)

(ii) Operating procedures

The flight crew member should demonstrate the knowledge required to operate the ACAS avionics and interpret the information presented by ACAS. This training should address the following:

(A) Use of controls

Objective: to verify that the pilot can properly operate all ACAS and display controls.

Criteria: demonstrate the proper use of controls, including the following:

- (a) Aircraft configuration required to initiate a self-test.
- (b) Steps required to initiate a self-test.
- (c) Recognising when the self-test was successful and when it was unsuccessful. When the self-test is unsuccessful, recognising the reason for the failure and, if possible, correcting the problem.
- (d) Recommended usage of range selection. Low ranges are used in the terminal area and the higher display ranges are used in the en-route environment and in the transition between the terminal and en-route environment.
- (e) Recognising that the configuration of the display does not affect the ACAS surveillance volume.
- (f) Selection of lower ranges when an advisory is issued, to increase display resolution.
- (g) Proper configuration to display the appropriate ACAS information without eliminating the display of other needed information.
- (h) If available, recommended usage of the above/below mode selector. The above mode should be used during climb and the below mode should be used during descent.
- (i) If available, proper selection of the display of absolute or relative altitude and the limitations of using this display if a barometric correction is not provided to ACAS.

(B) Display interpretation

Objective: to verify that the flight crew member understands the meaning of all information that can be displayed by ACAS. The wide variety of display implementations require the tailoring of some criteria. When the training programme is developed, these criteria should be expanded to cover details for the operator's specific display implementation.

Criteria: the flight crew member should demonstrate the ability to properly interpret information displayed by ACAS, including the following:

- (a) Other traffic, i.e. traffic within the selected display range that is not proximate traffic, or causing a TA or RA to be issued.
- (b) Proximate traffic, i.e. traffic that is within 6 NM and $\pm 1\,200$ ft.
- (c) Non-altitude reporting traffic.
- (d) No bearing TAs and RAs.
- (e) Off-scale TAs and RAs: the selected range should be changed to ensure that all available information on the intruder is displayed.
- (f) TAs: the minimum available display range that allows the traffic to be displayed should be selected, to provide the maximum display resolution.
- (g) RAs (traffic display): the minimum available display range of the traffic display that allows the traffic to be displayed should be selected, to provide the maximum display resolution.
- (h) RAs (RA display): flight crew members should demonstrate knowledge of the meaning of the red and green areas or the meaning of pitch or flight path angle cues displayed on the RA display. Flight crew members should also demonstrate an understanding of the RA display limitations, i.e. if a vertical speed tape is used and the range of the tape is less than 2 500 ft/min, an increase rate RA cannot be properly displayed.
- (i) If appropriate, awareness that navigation displays oriented on 'Track-Up' may require a flight crew member to make a mental adjustment for drift angle when assessing the bearing of proximate traffic.

(C) Use of the TA only mode

Objective: to verify that a flight crew member understands the appropriate times to select the TA only mode of operation and the limitations associated with using this mode.

Criteria: the flight crew member should demonstrate the following:

- (a) Knowledge of the operator's guidance for the use of TA only.
- (b) Reasons for using this mode. If TA only is not selected when an airport is conducting simultaneous operations from parallel runways separated by less than 1 200 ft, and to some intersecting runways, RAs can be expected. If, for any reason, TA only is not selected and an RA is received in these situations, the response should comply with the operator's approved procedures.
- (c) All TA aural annunciations are inhibited below 500 ft AGL. As a result, TAs issued below 500 ft AGL may not be noticed unless the TA display is included in the routine instrument scan.

(D) Crew coordination

Objective: to verify that the flight crew member understands how ACAS advisories will be handled.

Criteria: the flight crew member should demonstrate knowledge of the crew

procedures that should be used when responding to TAs and RAs, including the following:

- (a) task sharing between the pilot flying and the pilot monitoring;
 - (b) expected call-outs; and
 - (c) communications with ATC.
- (E) Phraseology rules

Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the controller.

Criteria: the flight crew member should demonstrate the following:

- (a) the use of the phraseology contained in ICAO PANS-OPS;
- (b) an understanding of the procedures contained in ICAO PANS-ATM and ICAO Annex 2; and
- (c) the understanding that verbal reports should be made promptly to the appropriate ATC unit:
 - (1) whenever any manoeuvre has caused the aeroplane to deviate from an air traffic clearance;
 - (2) when, subsequent to a manoeuvre that has caused the aeroplane to deviate from an air traffic clearance, the aeroplane has returned to a flight path that complies with the clearance; and/or
 - (3) when air traffic issue instructions that, if followed, would cause the crew to manoeuvre the aircraft contrary to an RA with which they are complying.

(F) Reporting rules

Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the operator.

Criteria: the flight crew member should demonstrate knowledge of where information can be obtained regarding the need for making written reports to various States when an RA is issued. Various States have different reporting rules and the material available to the flight crew member should be tailored to the operator's operating environment. This responsibility is satisfied by the flight crew member reporting to the operator according to the applicable reporting rules.

(3) Non-essential items: advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (i) The minimum and maximum altitudes below/above which TAs will not be issued.
- (ii) When the vertical separation at CPA is projected to be less than the ACAS-desired separation, a corrective RA that requires a change to the existing vertical speed will be issued. This separation varies from 300 ft at low altitude to a maximum of 700 ft at high altitude.

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- (iii) When the vertical separation at CPA is projected to be just outside the ACAS-desired separation, a preventive RA that does not require a change to the existing vertical speed will be issued. This separation varies from 600 to 800 ft.
 - (iv) RA fixed range thresholds vary between 0.2 and 1.1 NM.
 - (h) ACAS manoeuvre training
 - (1) Demonstration of the flight crew member's ability to use ACAS displayed information to properly respond to TAs and RAs should be carried out in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft. If a full flight simulator is utilised, crew resource management (CRM) should be practised during this training.
 - (2) Alternatively, the required demonstrations can be carried out by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft. This interactive CBT should depict scenarios in which real-time responses should be made. The flight crew member should be informed whether or not the responses made were correct. If the response was incorrect or inappropriate, the CBT should show what the correct response should be.
 - (3) The scenarios included in the manoeuvre training should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-aircraft encounters. The consequences of failure to respond correctly should be demonstrated by reference to actual incidents such as those publicised in EUROCONTROL ACAS II Bulletins (available on the EUROCONTROL website).
 - (i) TA responses

Objective: to verify that the pilot properly interprets and responds to TAs.

Criteria: the pilot should demonstrate the following:

 - (A) Proper division of responsibilities between the pilot flying and the pilot monitoring. The pilot flying should fly the aircraft using any type-specific procedures and be prepared to respond to any RA that might follow. For aircraft without an RA pitch display, the pilot flying should consider the likely magnitude of an appropriate pitch change. The pilot monitoring should provide updates on the traffic location shown on the ACAS display, using this information to help visually acquire the intruder.
 - (B) Proper interpretation of the displayed information. Flight crew members should confirm that the aircraft they have visually acquired is that which has caused the TA to be issued. Use should be made of all information shown on the display, note being taken of the bearing and range of the intruder (amber circle), whether it is above or below (data tag), and its vertical speed direction (trend arrow).
 - (C) Other available information should be used to assist in visual acquisition, including ATC 'party-line' information, traffic flow in use, etc.
 - (D) Because of the limitations described, the pilot flying should not manoeuvre the aircraft based solely on the information shown on the ACAS display. No attempt should be made to adjust the current flight path in anticipation of what an RA would advise, except that if own aircraft is approaching its cleared level at a high vertical rate with a TA present, vertical rate should be reduced to less than 1 500 ft/min.
 - (E) When visual acquisition is attained, and as long as no RA is received, normal

right of way rules should be used to maintain or attain safe separation. No unnecessary manoeuvres should be initiated. The limitations of making manoeuvres based solely on visual acquisition, especially at high altitude or at night, or without a definite horizon should be demonstrated as being understood.

(ii) RA responses

Objective: to verify that the pilot properly interprets and responds to RAs.

Criteria: the pilot should demonstrate the following:

- (A) Proper response to the RA, even if it is in conflict with an ATC instruction and even if the pilot believes that there is no threat present.
- (B) Proper task sharing between the pilot flying and the pilot monitoring. The pilot flying should respond to a corrective RA with appropriate control inputs. The pilot monitoring should monitor the response to the RA and should provide updates on the traffic location by checking the traffic display. Proper CRM should be used.
- (C) Proper interpretation of the displayed information. The pilot should recognise the intruder causing the RA to be issued (red square on display). The pilot should respond appropriately.
- (D) For corrective RAs, the response should be initiated in the proper direction within 5 seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{4}$ g (gravitational acceleration of 9.81 m/sec^2).
- (E) Recognition of the initially displayed RA being modified. Response to the modified RA should be properly accomplished, as follows:
 - (a) For increase rate RAs, the vertical speed change should be started within $2\frac{1}{2}$ seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
 - (b) For RA reversals, the vertical speed reversal should be started within $2\frac{1}{2}$ seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
 - (c) For RA weakenings, the vertical speed should be modified to initiate a return towards the original clearance.
 - (d) An acceleration of approximately $\frac{1}{4}$ g will be achieved if the change in pitch attitude corresponding to a change in vertical speed of 1 500 ft/min is accomplished in approximately 5 seconds, and of $\frac{1}{3}$ g if the change is accomplished in approximately 3 seconds. The change in pitch attitude required to establish a rate of climb or descent of 1 500 ft/min from level flight will be approximately 6° when the true airspeed (TAS) is 150 kt, 4° at 250 kt, and 2° at 500 kt. (These angles are derived from the formula: 1 000 divided by TAS.).
- (F) Recognition of altitude crossing encounters and the proper response to these RAs.
- (G) For preventive RAs, the vertical speed needle or pitch attitude indication should remain outside the red area on the RA display.

- (H) For maintain rate RAs, the vertical speed should not be reduced. Pilots should recognise that a maintain rate RA may result in crossing through the intruder's altitude.
 - (I) When the RA weakens, or when the green 'fly to' indicator changes position, the pilot should initiate a return towards the original clearance, and when 'clear of conflict' is annunciated, the pilot should complete the return to the original clearance.
 - (J) The controller should be informed of the RA as soon as time and workload permit, using the standard phraseology.
 - (K) When possible, an ATC clearance should be complied with while responding to an RA. For example, if the aircraft can level at the assigned altitude while responding to RA (an 'adjust vertical speed' RA (version 7) or 'level off' (version 7.1)), it should be done; the horizontal (turn) element of an ATC instruction should be followed.
 - (L) Knowledge of the ACAS multi-aircraft logic and its limitations, and that ACAS can optimise separations from two aircraft by climbing or descending towards one of them. For example, ACAS only considers intruders that it considers to be a threat when selecting an RA. As such, it is possible for ACAS to issue an RA against one intruder that results in a manoeuvre towards another intruder that is not classified as a threat. If the second intruder becomes a threat, the RA will be modified to provide separation from that intruder.
- (i) ACAS initial evaluation
- (1) The flight crew member's understanding of the academic training items should be assessed by means of a written test or interactive CBT that records correct and incorrect responses to phrased questions.
 - (2) The flight crew member's understanding of the manoeuvre training items should be assessed in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft the flight crew member will fly, and the results assessed by a qualified instructor, inspector, or check airman. The range of scenarios should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-threat encounters. The scenarios should also include demonstrations of the consequences of not responding to RAs, slow or late responses, and manoeuvring opposite to the direction called for by the displayed RA.
 - (3) Alternatively, exposure to these scenarios can be conducted by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft the pilot will fly. This interactive CBT should depict scenarios in which real-time responses should be made and a record made of whether or not each response was correct.
- (j) ACAS recurrent training
- (1) ACAS recurrent training ensures that flight crew members maintain the appropriate ACAS knowledge and skills. ACAS recurrent training should be integrated into and/or conducted in conjunction with other established recurrent training programmes. An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes

to ACAS logic, parameters or procedures and to any unique ACAS characteristics which flight crew members should be made aware of.

- (2) It is recommended that operator's recurrent training programmes using full flight simulators include encounters with conflicting traffic when these simulators are equipped with ACAS. The full range of likely scenarios may be spread over a 2 year period. If a full flight simulator, as described above, is not available, use should be made of an interactive CBT that is capable of presenting scenarios to which pilot responses should be made in real-time.

AMC1 NCC.OP.225 Approach and landing conditions

LANDING DISTANCE/FATO SUITABILITY

The in-flight determination of the landing distance/FATO suitability should be based on the latest available meteorological report.

AMC1 NCC.OP.230 Commencement and continuation of approach

VISUAL REFERENCES FOR INSTRUMENT APPROACH OPERATIONS

- (a) NPA, APV and CAT I operations

At DH or MDH, at least one of the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) elements of the approach lighting system;
- (2) the threshold;
- (3) the threshold markings;
- (4) the threshold lights;
- (5) the threshold identification lights;
- (6) the visual glide slope indicator;
- (7) the touchdown zone or touchdown zone markings;
- (8) the touchdown zone lights;
- (9) FATO/runway edge lights; or
- (10) other visual references specified in the operations manual.

- (b) Lower than standard category I (LTS CAT I) operations

At DH, the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of these; and
- (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS usable to at least 150 ft.

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- (c) CAT II or OTS CAT II operations
- At DH, the visual references specified below should be distinctly visible and identifiable to the pilot:
- (1) a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of these; and
 - (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS to touchdown.
- (d) CAT III operations
- (1) For CAT IIIA operations and for CAT IIIB operations conducted either with fail-passive flight control systems or with the use of an approved HUDLS: at DH, a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of these is attained and can be maintained by the pilot.
 - (2) For CAT IIIB operations conducted either with fail-operational flight control systems or with a fail-operational hybrid landing system using a DH: at DH, at least one centreline light is attained and can be maintained by the pilot.
 - (3) For CAT IIIB operations with no DH there is no requirement for visual reference with the runway prior to touchdown.
- (e) Approach operations utilising EVS – CAT I operations
- (1) At DH or MDH, the following visual references should be displayed and identifiable to the pilot on the EVS:
 - (i) elements of the approach light; or
 - (ii) the runway threshold, identified by at least one of the following:
 - (A) the beginning of the runway landing surface,
 - (B) the threshold lights, the threshold identification lights; or
 - (C) the touchdown zone, identified by at least one of the following: the runway touchdown zone landing surface, the touchdown zone lights, the touchdown zone markings or the runway lights.
 - (2) At 100 ft above runway threshold elevation at least one of the visual references specified below should be distinctly visible and identifiable to the pilot without reliance on the EVS:
 - (i) the lights or markings of the threshold; or
 - (ii) the lights or markings of the touchdown zone.
- (f) Approach operations utilising EVS – APV and NPA operations flown with the CDFA technique
- (1) At DH/MDH, visual references should be displayed and identifiable to the pilot on the EVS image as specified under (a).
 - (2) At 200 ft above runway threshold elevation, at least one of the visual references specified under (a) should be distinctly visible and identifiable to the pilot without reliance on the EVS.

SUBPART C: AIRCRAFT PERFORMANCE AND OPERATING LIMITATIONS

AMC1 NCC.POL.105(a) Mass and balance, loading

CENTRE OF GRAVITY LIMITS — OPERATIONAL CG ENVELOPE AND IN-FLIGHT CG

In the Certificate Limitations section of the AFM, forward and aft CG limits are specified. These limits ensure that the certification stability and control criteria are met throughout the whole flight and allow the proper trim setting for take-off. The operator should ensure that these limits are respected by:

- (a) Defining and applying operational margins to the certified CG envelope in order to compensate for the following deviations and errors:
 - (1) Deviations of actual CG at empty or operating mass from published values due, for example, to weighing errors, unaccounted modifications and/or equipment variations.
 - (2) Deviations in fuel distribution in tanks from the applicable schedule.
 - (3) Deviations in the distribution of baggage and cargo in the various compartments as compared with the assumed load distribution as well as inaccuracies in the actual mass of baggage and cargo.
 - (4) Deviations in actual passenger seating from the seating distribution assumed when preparing the mass and balance documentation. Large CG errors may occur when 'free seating', i.e. freedom of passengers to select any seat when entering the aircraft, is permitted. Although in most cases reasonably even longitudinal passenger seating can be expected, there is a risk of an extreme forward or aft seat selection causing very large and unacceptable CG errors, assuming that the balance calculation is done on the basis of an assumed even distribution. The largest errors may occur at a load factor of approximately 50 % if all passengers are seated in either the forward or aft half of the cabin. Statistical analysis indicates that the risk of such extreme seating adversely affecting the CG is greatest on small aircraft.
 - (5) Deviations of the actual CG of cargo and passenger load within individual cargo compartments or cabin sections from the normally assumed mid position.
 - (6) Deviations of the CG caused by gear and flap positions and by application of the prescribed fuel usage procedure, unless already covered by the certified limits.
 - (7) Deviations caused by in-flight movement of cabin crew, galley equipment and passengers.
- (b) Defining and applying operational procedures in order to:
 - (1) ensure an even distribution of passengers in the cabin;
 - (2) take into account any significant CG travel during flight caused by passenger/crew movement; and
 - (3) take into account any significant CG travel during flight caused by fuel consumption/transfer.

AMC1 NCC.POL.105(b) Mass and balance, loading

WEIGHING OF AN AIRCRAFT

- (a) New aircraft that have been weighed at the factory may be placed into operation without reweighing if the mass and balance records have been adjusted for alterations or modifications to the aircraft. Aircraft transferred from one EU operator to another EU operator do not have to be weighed prior to use by the receiving operator, unless the mass and balance cannot be accurately established by calculation.
- (b) The mass and centre of gravity (CG) position of an aircraft should be revised whenever the cumulative changes to the dry operating mass exceed $\pm 0.5\%$ of the maximum landing mass or for aeroplanes the cumulative change in CG position exceeds 0.5% of the mean aerodynamic chord. This should be done either by weighing the aircraft or by calculation.
- (c) When weighing an aircraft, normal precautions should be taken, which are consistent with good practices such as:
 - (1) checking for completeness of the aircraft and equipment;
 - (2) determining that fluids are properly accounted for;
 - (3) ensuring that the aircraft is clean; and
 - (4) ensuring that weighing is accomplished in an enclosed building.
- (d) Any equipment used for weighing should be properly calibrated, zeroed and used in accordance with the manufacturer's instructions. Each scale should be calibrated either by the manufacturer, by a civil department of weights and measures or by an appropriately authorised organisation within 2 years or within a time period defined by the manufacturer of the weighing equipment, whichever is less. The equipment should enable the mass of the aircraft to be established accurately. One single accuracy criterion for weighing equipment cannot be given. However, the weighing accuracy is considered satisfactory if the accuracy criteria in Table 1 are met by the individual scales/cells of the weighing equipment used:

Table 1: Accuracy criteria for weighing equipment

| For a scale/cell load | An accuracy of |
|----------------------------|--------------------|
| below 2 000 kg | $\pm 1\%$ |
| from 2 000 kg to 20 000 kg | $\pm 20\text{ kg}$ |
| above 20 000 kg | $\pm 0.1\%$ |

AMC1 NCC.POL.105(c) Mass and balance, loading

DRY OPERATING MASS

- (a) The dry operating mass should include:
 - (1) crew and crew baggage;
 - (2) catering and removable passenger service equipment; and
 - (3) tank water and lavatory chemicals.

- (b) The operator should correct the dry operating mass to account for any additional crew baggage. The position of this additional baggage should be accounted for when establishing the centre of gravity of the aircraft.
- (c) The operator should establish a procedure in the operations manual to determine when to select actual or standard masses for crew members.
- (d) When determining the actual mass by weighing, crew members' personal belongings and hand baggage should be included. Such weighing should be conducted immediately prior to boarding the aircraft.

AMC1 NCC.POL.105(d) Mass and balance, loading

MASS VALUES FOR PASSENGERS AND BAGGAGE

- (a) The predetermined mass for hand baggage and clothing should be established by the operator on the basis of studies relevant to its particular operation. In any case, it should not be less than:
 - (1) 4 kg for clothing; and
 - (2) 6 kg for hand baggage.

The passengers' stated mass and the mass of passengers' clothing and hand baggage should be checked prior to boarding and adjusted, if necessary. The operator should establish a procedure in the operations manual when to select actual or standard masses and the procedure to be followed when using verbal statements.

- (b) When determining the actual mass by weighing, passengers' personal belongings and hand baggage should be included. Such weighing should be conducted immediately prior to boarding the aircraft.
- (c) When determining the mass of passengers by using standard mass values, provided in Tables 1 and 2 of **NCC.POL.105(e)**, infants occupying separate passenger seats should be considered as children for the purpose of this AMC. When the total number of passenger seats available on an aircraft is 20 or more, the standard masses for males and females in Table 1 of **NCC.POL.105(e)** should be used. As an alternative, in cases where the total number of passenger seats available is 30 or more, the 'All Adult' mass values in Table 1 of **NCC.POL.105(e)** may be used.

On aeroplane flights with 19 passenger seats or less and all helicopter flights where no hand baggage is carried in the cabin or where hand baggage is accounted for separately, 6 kg may be deducted from male and female masses in Table 2 of **NCC.POL.105(e)**. Articles such as an overcoat, an umbrella, a small handbag or purse, reading material or a small camera are not considered as hand baggage.

For helicopter operations in which a survival suit is provided to passengers, 3 kg should be added to the passenger mass value.

- (d) Mass values for baggage.

The mass of checked baggage should be checked prior to loading and increased, if necessary.
- (e) On any flight identified as carrying a significant number of passengers whose masses, including hand baggage, are expected to significantly deviate from the standard passenger mass, the operator should determine the actual mass of such passengers by weighing or by adding an adequate mass increment.

- (f) If standard mass values for checked baggage are used and a significant number of passengers' checked baggage is expected to significantly deviate from the standard baggage mass, the operator should determine the actual mass of such baggage by weighing or by adding an adequate mass increment.

GM1 NCC.POL.105(d) Mass and balance, loading

ADJUSTMENT OF STANDARD MASSES

When standard mass values are used, item (e) of **AMC1 NCC.POL.105(d)** states that the operator should identify and adjust the passenger and checked baggage masses in cases where significant numbers of passengers or quantities of baggage are suspected of significantly deviating from the standard values. Therefore, the operations manual should contain instructions to ensure that:

- (a) check-in, operations and loading personnel as well as cabin and flight crew report or take appropriate action when a flight is identified as carrying a significant number of passengers whose masses, including hand baggage, are expected to significantly deviate from the standard passenger mass, and/or groups of passengers carrying exceptionally heavy baggage; and
- (b) on small aircraft, where the risks of overload and/or CG errors are the greatest, pilots pay special attention to the load and its distribution and make proper adjustments.

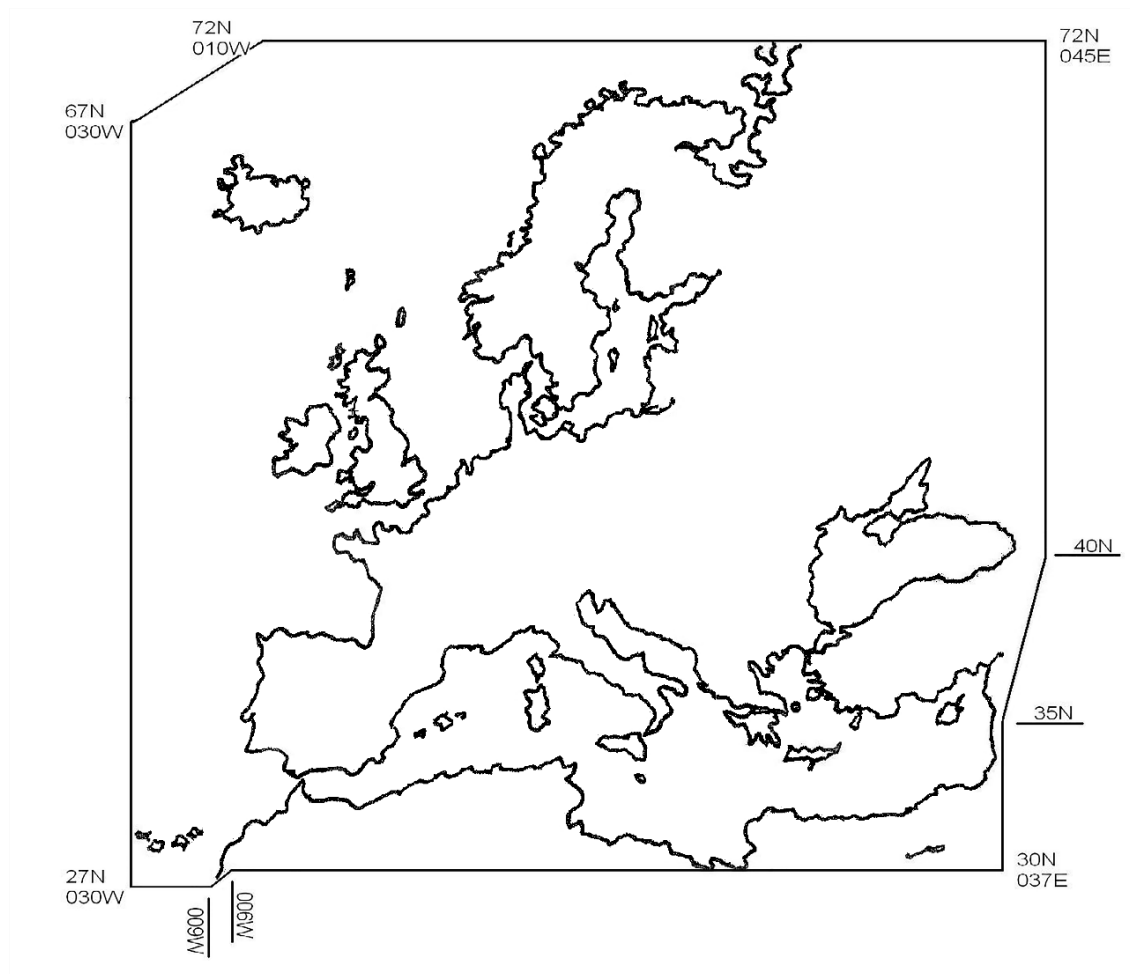
GM1 NCC.POL.105(e) Mass and balance, loading

TYPE OF FLIGHTS

- (a) For the purpose of Table 3 of **NCC.POL.105(e)**:
- (1) domestic flight means a flight with origin and destination within the borders of one State.
 - (2) flights within the European region means flights, other than domestic flights, whose origin and destination are within the area specified in item (b).
 - (3) Intercontinental flight means flights beyond the European region with origin and destination in different continents.
- (b) Flights within the European region are flights conducted within the following area:
- | | | |
|---|-------|--------|
| — | N7200 | E04500 |
| — | N4000 | E04500 |
| — | N3500 | E03700 |
| — | N3000 | E03700 |
| — | N3000 | W00600 |
| — | N2700 | W00900 |
| — | N2700 | W03000 |
| — | N6700 | W03000 |
| — | N7200 | W01000 |
| — | N7200 | E04500 |

as depicted in Figure 1: European region.

Figure 1: European region



GM1 NCC.POL.105(g) Mass and balance, loading

FUEL DENSITY

- (a) If the actual fuel density is not known, the operator may use standard fuel density values for determining the mass of the fuel load. Such standard values should be based on current fuel density measurements for the airports or areas concerned.
- (b) Typical fuel density values are:

| | | | |
|-----|--------------------------------------|---|------|
| (1) | Gasoline (reciprocating engine fuel) | – | 0.71 |
| (2) | JET A1 (Jet fuel JP 1) | – | 0.79 |
| (3) | JET B (Jet fuel JP 4) | – | 0.76 |
| (4) | Oil | – | 0.88 |

AMC1 NCC.POL.110(a) Mass and balance data and documentation

CONTENTS

The mass and balance documentation should include advice to the pilot-in-command whenever a non-standard method has been used for determining the mass of the load.

AMC2 NCC.POL.110(b) Mass and balance data and documentation

INTEGRITY

The operator should verify the integrity of mass and balance data and documentation generated by a computerised mass and balance system, at intervals not exceeding 6 months. The operator should establish a system to check that amendments of its input data are incorporated properly in the system and that the system is operating correctly on a continuous basis.

AMC1 NCC.POL.110(c) Mass and balance data and documentation

SIGNATURE OR EQUIVALENT

Where a signature by hand is impracticable or it is desirable to arrange the equivalent verification by electronic means, the following conditions should be applied in order to make an electronic signature the equivalent of a conventional hand-written signature:

- (a) electronic 'signing' by entering a personal identification number (PIN) code with appropriate security, etc.;
- (b) entering the PIN code generates a print-out of the individual's name and professional capacity on the relevant document(s) in such a way that it is evident, to anyone having a need for that information, who has signed the document;
- (c) the computer system logs information to indicate when and where each PIN code has been entered;
- (d) the use of the PIN code is, from a legal and responsibility point of view, considered to be fully equivalent to signature by hand;
- (e) the requirements for record keeping remain unchanged; and
- (f) all personnel concerned are made aware of the conditions associated with electronic signature and this is documented.

AMC2 NCC.POL.110(c) Mass and balance data and documentation

MASS AND BALANCE DOCUMENTATION SENT VIA DATA LINK

Whenever the mass and balance documentation is sent to the aircraft via data link, a copy of the final mass and balance documentation as accepted by the pilot-in-command should be available on the ground.

GM1 NCC.POL.110(b) Mass and balance data and documentation

ON-BOARD INTEGRATED MASS AND BALANCE COMPUTER SYSTEM

An on-board integrated mass and balance computer system may be an aircraft installed system capable of receiving input data either from other aircraft systems or from a mass and balance system on the ground, in order to generate mass and balance data as an output.

GM2 NCC.POL.110(b) Mass and balance data and documentation

STAND-ALONE COMPUTERISED MASS AND BALANCE SYSTEM

A stand-alone computerised mass and balance system may be a computer, either as part of an electronic flight bag (EFB) system or solely dedicated to mass and balance purposes, requiring input from the user, in order to generate mass and balance data as an output.

AMC1 NCC.POL.125 Take-off — aeroplanes

TAKE-OFF MASS

The following should be considered for determining the maximum take-off mass:

- (a) the pressure altitude at the aerodrome;
- (b) the ambient temperature at the aerodrome;
- (c) the runway surface condition and the type of runway surface;
- (d) the runway slope in the direction of take-off;
- (e) not more than 50 % of the reported head-wind component or not less than 150 % of the reported tailwind component; and
- (f) the loss, if any, of runway length due to alignment of the aeroplane prior to take-off.

AMC2 NCC.POL.125 Take-off – aeroplanes

CONTAMINATED RUNWAY PERFORMANCE DATA

Wet and contaminated runway performance data, if made available by the manufacturer, should be taken into account. If such data is not made available, the operator should account for wet and contaminated runway conditions by using the best information available.

AMC3 NCC.POL.125 Take-off – aeroplanes

ADEQUATE MARGIN

The adequate margin should be defined in the operations manual.

GM1 NCC.POL.125 Take-off – aeroplanes

RUNWAY SURFACE CONDITION

Operation on runways contaminated with water, slush, snow or ice implies uncertainties with regard to runway friction and contaminant drag and therefore to the achievable performance and control of the aeroplane during take-off or landing, since the actual conditions may not completely match the assumptions on which the performance information is based. In the case of a contaminated runway, the first option for the pilot-in-command is to wait until the runway is cleared. If this is impracticable, he/she may consider a take-off or landing, provided that he/she has applied the applicable performance adjustments, and any further safety measures he/she considers justified under the prevailing conditions. The excess runway length available including the criticality of the overrun area should also be considered.

GM2 NCC.POL.125 Take-off – aeroplanes

ADEQUATE MARGIN

‘An adequate margin’ is illustrated by the appropriate examples included in Attachment C to ICAO Annex 6, Part I.

AMC1 NCC.POL.135 Landing – aeroplanes

GENERAL

The following should be considered to ensure that an aeroplane is able to land and stop, or a seaplane to come to a satisfactorily low speed, within the landing distance available:

- (a) the pressure altitude at the aerodrome;
- (b) the runway surface condition and the type of runway surface;
- (c) the runway slope in the direction of landing;
- (d) not more than 50 % of the reported head-wind component or not less than 150 % of the reported tailwind component; and
- (e) use of the most favourable runway, in still air;
- (f) use of the runway most likely to be assigned considering the probable wind speed and direction and the ground handling characteristics of the aeroplane, and considering other conditions such as landing aids and terrain.

AMC2 NCC.POL.135 Landing – aeroplanes

ALLOWANCES

The allowances should be stated in the operations manual.

SUBPART D: INSTRUMENTS, DATA AND EQUIPMENT

SECTION 1 – AEROPLANES

GM1 NCC.IDE.A.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Regulation (EC) 748/2012¹ for:
 - (1) aeroplanes registered in the EU; and
 - (2) aeroplanes registered outside the EU but manufactured or designed by an EU organisation.
- (b) Airworthiness requirements of the state of registry for aeroplanes registered, designed and manufactured outside the EU.

GM1 NCC.IDE.A.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **NCC.IDE.A.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 NCC.IDE.A.100(c) Instruments and equipment – general

NON-REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) This Guidance Material does not exempt the item of equipment from complying with the applicable airworthiness requirements if the instrument or equipment is installed in the aeroplane. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aircraft. Examples are the following:
 - (1) instruments supplying additional flight information (e.g. stand-alone global positioning system (GPS));
 - (2) mission dedicated equipment (e.g. radios); and
 - (3) non-installed passenger entertainment equipment.

¹ Commission Regulation (EU) No 748/2012 of 3 August 2012 laying down implementing rules for the airworthiness and environmental certification of aircraft and related products, parts and appliances, as well as for the certification of design and production organisations (OJ L 224, 21.8.2012, p. 1).

GM1 NCC.IDE.A.100(d) Instruments and equipment – general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required in an aeroplane operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

GM1 NCC.IDE.A.110 Spare electrical fuses

FUSES

A spare electrical fuse means a replaceable fuse in the flight crew compartment, not an automatic circuit breaker or circuit breakers in the electric compartments.

AMC1 NCC.IDE.A.120&NCC.IDE.A.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the aeroplane for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, aeroplane attitude and stabilised aeroplane heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC2 NCC.IDE.A.120 Operations under VFR – flight and navigational instruments and associated equipment

LOCAL FLIGHTS

For flights that do not exceed 60 minutes' duration, that take off and land at the same aerodrome and that remain within 50 NM of that aerodrome, an equivalent means of complying with **NCC.IDE.A.120(a)(5) & (b)(1)(i)** may be:

- (a) a turn and slip indicator;
- (b) a turn coordinator; or
- (c) both an attitude indicator and a slip indicator.

AMC1 NCC.IDE.A.120(a)(1)&NCC.IDE.A.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic heading should be a magnetic compass or equivalent.

AMC1 NCC.IDE.A.120(a)(2)&NCC.IDE.A.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

AMC1 NCC.IDE.A.120(a)(3)&NCC.IDE.A.125(a)(3) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS FOR MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying barometric altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC2 NCC.IDE.A.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS — IFR OR NIGHT OPERATIONS

Except for unpressurised aeroplanes operating below 10 000 ft, the altimeters of aeroplanes operating under IFR or at night should have counter drum-pointer or equivalent presentation.

AMC1 NCC.IDE.A.120(a)(4)&NCC.IDE.A.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

The instrument indicating airspeed should be calibrated in knots (kt).

AMC1 NCC.IDE.A.120(c)&NCC.IDE.A.125(c) Operations under VFR & operations under IFR — flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS – DUPLICATE INSTRUMENTS

Duplicate instruments include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 NCC.IDE.A.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 NCC.IDE.A.125(d) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 NCC.IDE.A.125(f) Operations under IFR – flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement is to display a pre-composed chart on an electronic flight bag (EFB).

AMC1 NCC.IDE.A.135 Terrain awareness warning system (TAWS)

EXCESSIVE DOWNWARDS GLIDESLOPE DEVIATION WARNING FOR CLASS A TAWS

The requirement for a Class A TAWS to provide a warning to the flight crew for excessive downwards glideslope deviation should apply to all final approach glideslopes with angular vertical navigation (VNAV) guidance, whether provided by the instrument landing system (ILS), microwave landing system (MLS), satellite-based augmentation system approach procedure with vertical guidance (SBAS APV (localiser performance with vertical guidance approach LPV)), ground-based augmentation system (GBAS (GPS landing system, GLS)) or any other systems providing similar guidance. The same requirement should not apply to systems providing vertical guidance based on barometric VNAV.

GM1 NCC.IDE.A.135 Terrain awareness warning system (TAWS)

ACCEPTABLE STANDARD FOR TAWS

An acceptable standard for Class A and Class B TAWS may be the applicable European technical standards order (ETSO or equivalent).

AMC1 NCC.IDE.A.145 Airborne weather detecting equipment

GENERAL

The airborne weather detecting equipment should be an airborne weather radar, except for propeller-driven pressurised aeroplanes with an MCTOM not more than 5 700 kg and an MOPSC of not more than nine, for which other equipment capable of detecting thunderstorms and other potentially hazardous weather conditions, regarded as detectable with airborne weather radar equipment, are also acceptable.

AMC1 NCC.IDE.A.155 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 NCC.IDE.A.160 Cockpit voice recorder

GENERAL

- (a) The operational performance requirements for cockpit voice recorders (CVRs) should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including Amendments n°1 and 2, or any later equivalent standard produced by EUROCAE.
- (b) The operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.

AMC1 NCC.IDE.A.165 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.
- (b) The flight data recorder should record, with reference to a timescale, the list of parameters in Table 1 and Table 2, as applicable.

- (c) The parameters to be recorded should meet the performance specifications (designated ranges, sampling intervals, accuracy limits and minimum resolution in read-out) as defined in the relevant tables of EUROCAE Document ED-112, dated March 2003, including amendments n°1 and 2, or any later equivalent standard produced by EUROCAE.

Table 1: All Aeroplanes

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude |
| 3 | Indicated airspeed; or calibrated airspeed |
| 4 | Heading (primary flight crew reference) - when true or magnetic heading can be selected, the primary heading reference, a discrete indicating selection, should be recorded |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference. |
| 9 | Engine thrust/power: |
| 9a | Parameters required to determine propulsive thrust/power on each engine |
| 9b | Flight crew compartment thrust/power lever position (for aeroplanes with non-mechanically linked flight crew compartment — engine controls) |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (for aeroplanes with control systems in which movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable instead of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings — in addition to the master warning each 'red' warning (including smoke warnings from other compartments) should be recorded when the warning condition cannot be determined from other parameters or from the CVR |
| 25 | Each navigation receiver frequency selection |
| 27 | Air—ground status. Air—ground status (and a sensor of each landing gear if installed) |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

Table 2: Aeroplanes for which the data source for the parameter is either used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake: |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle, automatic flight control system (AFCS) mode and engagement status |
| 20 | Radio altitude. For auto-land/Category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation — (the approach aid in use should be recorded. For auto-land/CAT III operations, each system should be recorded.): |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |
| 21c | Integrated approach navigation (IAN)/integrated area navigation (IRNAV), vertical deviation |
| 22 | Horizontal deviation — (the approach aid in use should be recorded. For auto-land/CAT III operations, each system should be recorded. It is acceptable to arrange them so that at least one is recorded every second): |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | GNSS approach path/IRNAV lateral deviation |
| 26 | Distance measuring equipment (DME) 1 and 2 distances: |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach - Point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/TAWS/ground collision avoidance system (GCAS) status: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 32 | Landing gear: |
| 32a | Landing gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |
| 34 | Brakes: |
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in parameter 9 of Table 1 of AMC1 NCC.IDE.A.165 and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N ₁ |
| 35c | Indicated vibration level |
| 35d | N ₂ |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N ₃ |
| 36 | Traffic alert and collision avoidance system (TCAS)/ACAS — a suitable combination of discretely should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |
| 38 | Selected barometric setting: |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (All pilot selectable modes of operation) - to be recorded for the aeroplane where the parameter is displayed electronically: |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height - to be recorded for the aeroplane where the parameter is displayed electronically |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 46 | Electronic flight instrument system (EFIS) display format: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format |
| 48 | AC electrical bus status — each bus |
| 49 | DC electrical bus status — each bus |
| 50 | Engine bleed valve position |
| 51 | Auxiliary power unit (APU) bleed valve position |
| 52 | Computer failure (all critical flight and engine control systems) |
| 53 | Engine thrust command |
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head-up display in use |
| 58 | Para visual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/DME |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |
| 65 | Engine warning — each engine over speed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel |
| 75b | Control column |
| 75c | Rudder pedal |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

AMC2 NCC.IDE.A.165 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the aeroplane as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — All aeroplanes

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude (including altitude values displayed on each flight crew member's primary flight display) |
| 3 | Indicated airspeed or calibrated airspeed (including values of indicated airspeed or calibrated airspeed displayed on each flight crew member's primary flight display) |
| 4 | Heading (primary flight crew reference) — when true or magnetic heading can be selected, the primary heading reference, a discrete indicating selection should be recorded. |
| 5 | Normal acceleration |
| 6 | Pitch attitude — pitch attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 7 | Roll attitude — roll attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference |
| 9 | Engine thrust/power: |

| No* | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9a | Parameters required to determine propulsive thrust/power on each engine, in both normal and reverse thrust |
| 9b | Flight crew compartment thrust/power lever position (for aeroplanes with non-mechanically linked engine controls in the flight crew compartment) |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (For aeroplanes with control systems in which the movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which the movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings — in addition to the master warning, each 'red' warning that cannot be determined from other parameters or from the CVR and each smoke warning from other compartments should be recorded. |
| 25 | Each navigation receiver frequency selection |
| 27 | Air-ground status. Air-ground status and a sensor of each landing gear if installed |

* The number in the left-hand column reflects the serial number depicted in EUROCAE 112A.

Table 2: FDR — Aeroplanes for which the data source for the parameter is either used by the aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps: |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats: |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake: |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle and automatic flight control system (AFCS): mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path and speed of the aircraft) |
| 20 | Radio altitude. For auto-land/category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 21c | Integrated approach navigation (IAN) /Integrated Area Navigation, vertical deviation |
| 22 | Horizontal deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | GNSS approach path/IRNAV lateral deviation |
| 26 | Distance measuring equipment (DME) 1 and 2 distances: |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS) status — a suitable combination of discretes unless recorder capacity is limited in which case a single discrete for all modes is acceptable: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |
| 32 | Landing gear: Landing |
| 32a | gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |
| 34 | Brakes: |
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in parameter 9 of Table 1, and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N1 |
| 35c | Indicated vibration level |
| 35d | N2 |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N3 |
| 35i | Engine fuel metering valve position (or equivalent parameter from the system that directly controls the flow of fuel into the engine) — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 36 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS) — a suitable combination of discretes should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------|
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |
| 38 | Selected barometric setting — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 38a | Pilot selected barometric setting |
| 38b | Co-pilot selected barometric setting |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height — to be recorded for the aeroplane where the parameter is displayed electronically |
| 46 | Electronic flight instrument system (EFIS) display format, showing the display system status: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format, showing the display system status |
| 48 | Alternating current (AC) electrical bus status — each bus |
| 49 | Direct current (DC) electrical bus status — each bus |
| 50 | Engine bleed valve(s) position |
| 51 | Auxiliary power unit (APU) bleed valve(s) position |
| 52 | Computer failure — all critical flight and engine control systems |
| 53 | Engine thrust command |
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head-up display in use |
| 58 | Paravirtual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/distance measuring equipment (DME) |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |
| 65 | Engine warning — each engine overspeed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel input forces |
| 75b | Control column input forces |
| 75c | Rudder pedal input forces |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |
| 79 | Cabin pressure altitude — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 80 | Aeroplane computed weight — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 81 | Flight director command: |
| 81a | Left flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 81b | Left flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 81c | Right flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 81d | Right flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 82 | Vertical speed — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |

* The number in the left-hand column reflects the serial number depicted in EUROCAE Document 112A.

AMC1 NCC.IDE.A.170 Data link recording

GENERAL

- (a) As a means of compliance with **NCC.IDE.A.170(a)** the recorder on which the data link messages are recorded may be:
 - (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **NCC.IDE.A.175** is applicable; or
 - (4) a dedicated flight recorder. In that case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including amendments No 1 and 2, or any later equivalent standard produced by EUROCAE.
- (b) As a means of compliance with **NCC.IDE.A.170(a)(2)** the operator should enable correlation by providing information that allows an accident investigator to understand what data was provided to the aircraft and, when the provider identification is contained in the message, by which provider.
- (c) The timing information associated with the data link communications messages required to be recorded by **NCC.IDE.A.170(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
 - (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the flight crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (d) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.
- (e) The expression 'taking into account the system's architecture', in **NCC.IDE.A.170(a)(3)**, means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
 - (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- (f) Data link communications messages that support the applications in Table 1 below should be recorded.
- (g) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems), dated November 1998.

Table 1: Data link recording

| Item No. | Application Type | Application Description | Required Recording Content |
|----------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM), respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and controllers on the ground. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. It also includes applications used for the exchange of oceanic clearances (OCL) and departure clearances (DCL), as well as data link delivery of taxi clearances. | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific aeroplanes. This includes for example digital automatic terminal information service (D ATIS), data link operational terminal information service (D OTIS), digital weather information services (data link-meteorological aerodrome or aeronautical report (D-METAR) or terminal weather information for pilots (TWIP)), data link flight information service (D-FIS), and Notice to Airmen (electronic NOTAM) delivery. | C |
| 5 | Broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | Aeronautical operational control (AOC) data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process aeronautical administrative communication (AAC) messages, but there is no requirement to record AAC messages | M* |
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M*, F1 |

GM1 NCC.IDE.A.170 Data link recording

GENERAL

- (a) The letters and expressions in Table 1 of **AMC1 NCC.IDE.A.170** have the following meaning:
- (1) C: complete contents recorded.
 - (2) M: information that enables correlation with any associated records stored separately from the aeroplane.
 - (3) *: applications that are to be recorded only as far as is practicable, given the architecture of the system.

- (4) F1: graphics applications may be considered as AOC messages when they are part of a data link communications application service run on an individual basis by the operator itself in the framework of the operational control.
- (5) F2: where parametric data sent by the aeroplane, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.
- (b) The definitions of the applications type in Table 1 of **AMC1 NCC.IDE.A.170** are described in Table 1 below.

Table 1: Definitions of the applications type

| Item No. | Application Type | Messages | Comments |
|----------|------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Mainly used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the aeroplane. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D-ATIS | ATIS messages | Refer to EUROCAE ED-89A, dated December 2003: Data Link Application System Document (DLASD) for the 'ATIS' data link service |
| 9 | OCL | OCL messages | Refer to EUROCAE ED-106A, dated March 2004: Data Link Application System Document (DLASD) for 'Oceanic Clearance' (OCL) data link service |
| 10 | DCL | DCL messages | Refer to EUROCAE ED-85A, dated December 2005: Data Link Application System Document (DLASD) for 'Departure Clearance' data link service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. Definition in EUROCAE ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked aircraft parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

AAC aeronautical administrative communications
 ADS-B automatic dependent surveillance - broadcast
 ADS-C automatic dependent surveillance – contract
 AFN aircraft flight notification

| | |
|---------|-------------------------------------------|
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | digital ATIS |
| D-FIS | data link flight information service |
| D-METAR | data link meteorological airport report |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 NCC.IDE.A.170(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- (a) If it is certain that the aeroplane cannot use data link communication messages for ATS communications corresponding to any application designated by **NCC.IDE.A.170(a)(1)**, then the data link recording requirement does not apply.
- (b) Examples where the aeroplane cannot use data link communication messages for ATS communications include but are not limited to the cases where:
 - (1) the aeroplane data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
 - (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the aeroplane; and
 - (3) the aeroplane data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the aeroplane.

AMC1 NCC.IDE.A.175 Flight data and cockpit voice combination recorder

GENERAL

When two flight data and cockpit voice combination recorders are installed, one should be located near the flight crew compartment in order to minimise the risk of data loss due to a failure of the wiring that gathers data to the recorder. The other should be located at the rear section of the aeroplane in order to minimise the risk of data loss due to recorder damage in the case of a crash.

GM1 NCC.IDE.A.175 Flight data and cockpit voice combination recorder

GENERAL

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and the aural environment required by **NCC.IDE.A.160**; and
 - (2) all parameters required by **NCC.IDE.A.165**,with the same specifications required by **NCC.IDE.A.160** and **NCC.IDE.A.165**.
- (b) In addition, a flight data and cockpit voice combination recorder may record data link communication messages and related information required by **NCC.IDE.A.170**.

AMC1 NCC.IDE.A.180 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a 'supplementary loop' belt manufactured with the same techniques and the same materials as the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective aircraft seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD);
 - (2) CRDs approved through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seat approved for use in motor vehicles on the basis of the technical standard specified in (i). The child seat must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):
 - (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label; and
 - (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
 - (4) Child seats approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seats approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and

- (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seats approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (6) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The devices should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
- (1) Forward-facing child seats may be installed on both forward-and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on forward-facing passenger seats. A child seat should not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.
 - (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
 - (3) An infant/child in a CRD should not hinder evacuation for any passenger.
 - (4) An infant/child in a CRD should neither be located in the row (where rows are existing) leading to an emergency exit nor located in a row immediately forward or aft of an emergency exit. A window passenger seat is the preferred location. An aisle passenger seat or a cross aisle passenger seat that forms part of the evacuation route to exits is not recommended. Other locations may be acceptable provided the access of neighbour passengers to the nearest aisle is not obstructed by the CRD.
 - (5) In general, only one CRD per row segment is recommended. More than one CRD per row segment is allowed if the infants/children are from the same family or travelling group provided the infants/children are accompanied by a responsible adult sitting next to them in the same row segment.
 - (6) A row segment is one or more seats side-by-side separated from the next row segment by an aisle.
- (d) Installation
- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
 - (2) All safety and installation instructions should be followed carefully by the responsible adult accompanying the infant/child. Operators should prohibit the use of a CRD not installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.

- (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.
 - (4) The buckle of the adult safety belt should be easily accessible for both opening and closing, and should be in line with the seat belt halves (not canted) after tightening.
 - (5) Forward-facing restraint devices with an integral harness must not be installed such that the adult safety belt is secured over the infant.
- (e) Operation
- (1) Each CRD should remain secured to a passenger seat during all phases of flight, unless it is properly stowed when not in use.
 - (2) Where a child seat is adjustable in recline, it should be in an upright position for all occasions when passenger restraint devices are required.

AMC2 NCC.IDE.A.180 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

- (a) A restraint system including a seat belt, two shoulder straps and additional straps is deemed to be compliant with the requirement for restraint systems with two shoulder straps.
- (b) An upper torso restraint system which restrains permanently the torso of the occupant is deemed to be compliant with the requirement for an upper torso restraint system incorporating a device that will automatically restrain the occupant's torso in the event of rapid deceleration.
- (c) The use of the upper torso restraint independently from the use of the seat belt is intended as an option for the comfort of the occupant of the seat in those phases of flight where only the seat belt is required to be fastened. A restraint system including a seat belt and an upper torso restraint that both remain permanently fastened is also acceptable.

SEAT BELT

A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC3 NCC.IDE.A.180 Seats, seat safety belts, restraint systems and child restraint devices

SEATS FOR MINIMUM REQUIRED CABIN CREW

- (a) Seats for the minimum required cabin crew members should be located near required floor level emergency exits, except if the emergency evacuation of passengers would be enhanced by seating cabin crew members elsewhere. In this case, other locations are acceptable.
- (b) Such seats should be forward or rearward facing within 15° of the longitudinal axis of the aeroplane.

GM1 NCC.IDE.A.180 Seats, seat safety belts, restraint systems and child restraint devices

EMERGENCY LANDING DYNAMIC CONDITIONS

Emergency landing dynamic conditions are defined in 23.562 of CS-23 or equivalent and in 25.562 of CS-25 or equivalent.

GM2 NCC.IDE.A.180 Seats, seat safety belts, restraint systems and child restraint devices

USE OF CHILD SEATS ON BOARD

Guidance on child restraint devices and facilitation of mutual acceptance of these devices can be found in ICAO Doc 10049 'Manual on the approval and use of child restraint systems'.

AMC1 NCC.IDE.A.190 First-aid kit

CONTENT OF FIRST-AID KITS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the FAKs:
 - (1) Equipment:
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;
 - (ix) antiseptic wound cleaner;
 - (x) disposable resuscitation aid;
 - (xi) disposable gloves;
 - (xii) tweezers: splinter; and
 - (xiii) thermometers (non-mercury).
 - (2) Medications:
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;

- (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of aeroplanes carrying more than nine passengers;
 - (v) anti-diarrhoeal medication, in the case of aeroplanes carrying more than nine passengers; and
 - (vi) antihistamine.
- (3) Other:
- (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook, current edition;
 - (iii) medical incident report form; and
 - (iv) biohazard disposal bags.
- (4) An eye irrigator, although not required to be carried in the FAK, should, where possible, be available for use on the ground.

AMC2 NCC.IDE.A.190 First-aid kit

MAINTENANCE OF FIRST-AID KITS

To be kept up to date first-aid kits should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 NCC.IDE.A.195 Supplemental oxygen — pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of the amount of oxygen required for the routes to be flown, it is assumed that the aeroplane will descend in accordance with the emergency procedures specified in the operations manual, without exceeding its operating limitations, to a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance, etc.).
- (b) The amount of oxygen should be determined on the basis of cabin pressure altitude and flight duration, and on the assumption that a cabin pressurisation failure will occur at the pressure altitude or point of flight that is most critical from the standpoint of oxygen need.
- (c) Following a cabin pressurisation failure, the cabin pressure altitude should be considered to be the same as the aeroplane pressure altitude, unless it can be demonstrated to the CAA that no probable failure of the cabin or pressurisation system will result in a cabin pressure altitude equal to the aeroplane pressure altitude. Under these circumstances, the demonstrated maximum cabin pressure altitude may be used as a basis for determination of oxygen supply.

GM1 NCC.IDE.A.195(c)(2) Supplemental oxygen – pressurised aeroplanes

QUICK DONNING MASKS

A quick donning mask is a type of mask that:

- (a) can be placed on the face from its ready position, properly secured, sealed and supplying oxygen upon demand, with one hand and within 5 seconds and will thereafter remain in position, both hands being free;
- (b) can be donned without disturbing eye glasses and without delaying the flight crew member from proceeding with assigned emergency duties;
- (c) once donned, does not prevent immediate communication between the flight crew members and other crew members over the aircraft intercommunication system; and
- (d) does not inhibit radio communications.

AMC1 NCC.IDE.A.200 Supplemental oxygen – non-pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) On routes where the oxygen is necessary to be carried for 10 % of the passengers for the flight time between 10 000 ft and 13 000 ft, the oxygen may be provided by:
 - (1) a plug-in or drop-out oxygen system with sufficient outlets and dispensing units uniformly distributed throughout the cabin so as to provide oxygen to each passenger at his/her own discretion when seated on his/her assigned seat; or
 - (2) portable bottles, when a cabin crew member is required for the flight.
- (b) The amount of supplemental oxygen for sustenance for a particular operation should be determined on the basis of flight altitudes and flight duration, consistent with the operating procedures, including emergency procedures, established for each operation and the routes to be flown, as specified in the operations manual.

AMC1 NCC.IDE.A.205 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the passenger compartments, the need to minimise the hazard of toxic gas concentrations and the location of toilets, galleys, etc. These considerations may result in the number of fire extinguishers being greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires. Additional hand fire extinguishers may be required for the protection of other compartments

accessible to the crew in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.

- (c) Where only one hand fire extinguisher is required in the passenger compartments, it should be located near the cabin crew member's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the passenger compartments and their location is not otherwise dictated by consideration of (a), an extinguisher should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.
- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 NCC.IDE.A.210 Marking of break-in points

MARKINGS – COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 NCC.IDE.A.215 Emergency locator transmitter (ELT)

ELT BATTERIES

Batteries used in the ELTs should be replaced (or recharged, if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour, and also when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the equipment manufacturer, has expired. The new expiry date for the replacement (or recharged) battery should be legibly marked on the outside of the equipment. The battery useful life (or useful life of charge) requirements of this paragraph do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.

AMC2 NCC.IDE.A.215 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
 - (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid search and rescue (SAR) teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a

life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).

- (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
 - (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC1 NCC.IDE.A.220 Flight over water

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or restraint system fastened.

ELECTRIC ILLUMINATION OF LIFE-JACKETS

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

RISK ASSESSMENT

- (a) When conducting the risk assessment, the pilot-in-command should base his/her decision, as far as is practicable, on the Implementing Rules and AMCs applicable to the operation of the aeroplane.
- (b) The pilot-in-command should, for determining the risk, take the following operating environment and conditions into account:
 - (1) sea state;
 - (2) sea and air temperatures;
 - (3) the distance from land suitable for making an emergency landing; and
 - (4) the availability of search and rescue facilities.

AMC2 NCC.IDE.A.220 Flight over water

LIFE-RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS

- (a) The following should be readily available with each life-raft:
 - (1) means for maintaining buoyancy;

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- (2) a sea anchor;
 - (3) life-lines and means of attaching one life-raft to another;
 - (4) paddles for life-rafts with a capacity of six or less;
 - (5) means of protecting the occupants from the elements;
 - (6) a water-resistant torch;
 - (7) signalling equipment to make the pyrotechnic distress signals described in ICAO Annex 2, Rules of the Air;
 - (8) 100 g of glucose tablets for each four, or fraction of four, persons that the life-raft is designed to carry;
 - (9) at least 2 litres of drinkable water provided in durable containers or means of making sea water drinkable or a combination of both; and
 - (10) first-aid equipment.
- (b) As far as practicable, items listed in (a) should be contained in a pack.

GM1 NCC.IDE.A.220 Flight over water

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 NCC.IDE.A.230(a)(2) Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

AMC1 NCC.IDE.A.230(a)(3) Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
- (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
- (1) a means of melting snow;
 - (2) one snow shovel and one ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all passengers on board; and
 - (4) one arctic/polar suit for each crew member carried.
- (c) If any item of equipment contained in the above list is already carried on board the aircraft in accordance with another requirement, there is no need for this to be duplicated.

AMC1 NCC.IDE.A.230(b)(2) Survival equipment

APPLICABLE AIRWORTHINESS STANDARD

The applicable airworthiness standard should be CS-25 or equivalent.

GM1 NCC.IDE.A.230 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 NCC.IDE.A.230 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

AMC1 NCC.IDE.A.240 Headset

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the aeroplane's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the flight crew's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the aeroplane.

GM1 NCC.IDE.A.240 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

GM1 NCC.IDE.A.245 Radio communication equipment

APPLICABLE AIRSPACE REQUIREMENTS

For aeroplanes being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

GM1 NCC.IDE.A.250 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto, and documents directly referenced in the AFM;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;

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- (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
 - (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations.

However, in the cases mentioned in:

 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100,

loss of GNSS implies loss of RNP 1/RNP 2 capability.
- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.

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- (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-041, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.

¹ <http://ad.easa.europa.eu/ad/2014-04>

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- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.
- (n) RNP 4
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
 - (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
- (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations.
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
- (1) In all cases, the limitations in the AFM need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM2 NCC.IDE.A.250 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 NCC.IDE.A.255 Transponder

SSR TRANSPONDER

- (a) The secondary surveillance radar (SSR) transponders of aeroplanes being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 NCC.IDE.A.260 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 NCC.IDE.A.260 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 NCC.IDE.A.260 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 NCC.IDE.A.260 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SECTION 2 – HELICOPTERS

GM1 NCC.IDE.H.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Regulation (EC) 748/2012 for:
 - (1) helicopters registered in the EU; and
 - (2) helicopters registered outside the EU but manufactured or designed by an EU organisation.
- (b) Airworthiness requirements of the state of registry for helicopters registered, designed and manufactured outside the EU.

GM1 NCC.IDE.H.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **NCC.IDE.H.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 NCC.IDE.H.100(c) Instruments and equipment – general

NON-REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) This Guidance Material does not exempt the item of equipment from complying with the applicable airworthiness requirements if the instrument or equipment is installed in the helicopter. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aircraft. Examples are the following:
 - (1) instruments supplying additional flight information (e.g. stand-alone global positioning system (GPS));
 - (2) some aerial work equipment (e.g. some mission dedicated radios, wire cutters); and
 - (3) non-installed passenger entertainment equipment.

GM1 NCC.IDE.H.100(d) Instruments and equipment – general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required in a helicopter operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

AMC1 NCC.IDE.H.115 Operating lights

LANDING LIGHT

The landing light should be trainable, at least in the vertical plane or optionally be supplemented by an additional fixed light or lights positioned to give a wide spread of illumination.

AMC1 NCC.IDE.H.120&NCC.IDE.H.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments or by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the helicopter for the intended type of operation.
- (b) The means of measuring and indicating slip, helicopter attitude and stabilised helicopter heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC1 NCC.IDE.H.120(a)(1)&NCC.IDE.H.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic heading should be a magnetic compass or equivalent.

AMC1 NCC.IDE.H.120(a)(2)&NCC.IDE.H.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS FOR MEASURING AND DISPLAYING THE TIME

An acceptable means of compliance is be a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

AMC1 NCC.IDE.H.120(a)(3)&NCC.IDE.H.125(a)(3) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS FOR MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying pressure altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 NCC.IDE.H.120(a)(4)&NCC.IDE.H.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

The instrument indicating airspeed should be calibrated in knots (kt).

AMC1 NCC.IDE.H.120(b)(1)(iii)&NCC.IDE.H.125(a)(8) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

STABILISED HEADING

Stabilised heading should be achieved for VFR flights by a gyroscopic heading indicator, whereas for IFR flights this should be achieved through a magnetic gyroscopic heading indicator.

GM1 NCC.IDE.H.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for helicopters operating above 10 000 ft.

AMC1 NCC.IDE.H.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 NCC.IDE.H.120(c)&NCC.IDE.H.125(c) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 NCC.IDE.H.125(d) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 NCC.IDE.H.125(f) Operations under IFR – flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement is to display a pre-composed chart on an electronic flight bag (EFB).

AMC1 NCC.IDE.H.145 Airborne weather detecting equipment

GENERAL

The airborne weather detecting equipment should be an airborne weather radar.

AMC1 NCC.IDE.H.155 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 NCC.IDE.H.160 Cockpit voice recorder

GENERAL

- (a) The operational performance requirements for cockpit voice recorders (CVRs) should be those laid down in EUROCAE Document ED-112 Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems, March 2003, including Amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.
- (b) The operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum

Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.

AMC1 NCC.IDE.H.165 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CoFA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should record, with reference to a timescale, the list of parameters in Table 1 and Table 2, as applicable.
- (c) The parameters recorded by the FDR should meet, as far as practicable, the performance specifications (designated ranges, sampling intervals, accuracy limits and minimum resolution in read-out) defined in EUROCAE ED-112, including amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.
- (d) FDR systems for which some recorded parameters do not meet the performance specifications of EUROCAE Document ED-112 may be acceptable to the CAA.

Table 1: FDR parameters — All helicopters

| No * | Parameter |
|------|---------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |
| 9 | Power on each engine: |
| 9a | Free power turbine speed (N _F) |
| 9b | Engine torque |
| 9c | Engine gas generator speed (N _G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — Pilot input and/or control output position (if applicable): |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabilator (if applicable) |
| 11f | Hydraulic selection |

| No* | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 12 | Hydraulics low pressure (each system should be recorded.) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — a discrete should be recorded for the master warning, gearbox low oil pressure and stability augmentation system as failure. Other 'red' warnings should be recorded where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

Table 2: FDR parameters — Helicopters for which the data source for the parameter is either used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |
| 17 | Gear box oil temperature: |
| 17a | Main gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded: |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |
| 24 | Horizontal deviation — the approach aid in use should be recorded: |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| 29 | Navigation data: |
| 29a | Drift angle |
| 29b | Wind speed |
| 29c | Wind direction |
| 29d | Latitude |
| 29e | Longitude |
| 29f | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T ₄) |
| 32 | Turbine inlet temperature (TIT/ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) - only necessary when available from cockpit instruments |
| 35 | Ice detection |

| No* | Parameter |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| 36 | Helicopter health and usage monitor system (HUMS): |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically: |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 41 | Not used (selected Mach) |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 46 | EFIS display format |
| 47 | Multi-function/engine/alerts display format |
| 48 | Event marker |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

AMC2 NCC.IDE.H.165 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CoFA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the helicopter as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — All helicopters

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |
| 9 | Power on each engine: |
| 9a | Free power turbine speed (N_F) |
| 9b | Engine torque |
| 9c | Engine gas generator speed (N_G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — pilot input or control output position if it is possible to derive either the control input or the control movement (one from the other) for all modes of operation and flight regimes. Otherwise, pilot input and control output position |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabilator (if applicable) |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure (each system should be recorded) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — including master warning, gearbox low oil pressure and stability augmentation system failure, and other 'red' warnings where the warning condition cannot be determined from other parameters or from the cockpit voice recorder |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

Table 2: Helicopters for which the data source for the parameter is either used by the helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path) |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |

| No* | Parameter |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| 17 | Gear box oil temperature: |
| 17a | Main gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded: |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |
| 24 | Horizontal deviation — the approach aid in use should be recorded: |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| 29 | Navigation |
| 29a | data: |
| 29b | Drift angle |
| 29c | Wind speed |
| 29d | Wind |
| 29e | direction |
| 29f | Latitude |
| | Longitude |
| | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T_4) |
| 32 | Turbine inlet temperature (TIT)/interstage turbine temperature (ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) — only necessary when available from cockpit instruments |
| 35 | Ice detection |
| 36 | Helicopter health and usage monitor system (HUMS): |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically: |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 46 | EFIS display format (showing the display system status): |
| 46a | Pilot |
| 46b | First officer |
| 47 | Multi-function/engine/alerts display format (showing the display system status) |
| 48 | Event marker |
| 49 | Status of ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS): |
| 49a | Selection of terrain display mode including pop-up display status — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 49b | Terrain alerts, both cautions and warnings, and advisories — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 49c | On/off switch position — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 50 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS): |
| 50a | Combined control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50b | Vertical control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50c | Up advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50d | Down advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50e | Sensitivity level — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51 | Primary flight controls — pilot input forces: |
| 51a | |
| 51b | Collective pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 51c | Longitudinal cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51d | Lateral cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| | Tail rotor pedal — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 52 | Computed centre of gravity — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 53 | Helicopter computed weight — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

AMC1 NCC.IDE.H.170 Data link recording

GENERAL

- (a) As a means of compliance with **NCC.IDE.H.170**, the recorder on which the data link messages are recorded should be:
 - (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **NCC.IDE.H.175** is applicable; or
 - (4) a dedicated flight recorder. In such a case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.
- (b) As a means of compliance with **NCC.IDE.H.170(a)(2)**, the operator should enable correlation by providing information that allows an accident investigator to understand what data was provided to the aircraft and, when the provider identification is contained in the message, by which provider.
- (c) The timing information associated with the data link communications messages required to be recorded by **NCC.IDE.H.170(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
 - (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the flight crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (d) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.
- (e) The expression 'taking into account the system's architecture', in **NCC.IDE.H.170(a)(3)**, means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
 - (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- (f) Data link communications messages that support the applications in Table 1 should be recorded.
- (g) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems), dated November 1998.

Table 1: Data link recording

| Item No | Application Type | Application Description | Required Recording Content |
|---------|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM), respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and controllers on the ground. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. It also includes applications used for the exchange of oceanic clearances (OCL) and departure clearances (DCL), as well as data link delivery of taxi clearances. | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific helicopters. This includes for example digital automatic terminal information service (D-ATIS), data link operational terminal information service (D-OTIS), digital weather information services (D-METAR or TWIP), data link-flight information service (D-FIS) and Notice to Airmen (electronic NOTAM) delivery. | C |
| 5 | Broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | AOC data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process AAC messages, but there is no requirement to record AAC messages | M* |
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M* F1 |

GM1 NCC.IDE.H.170 Data link recording

GENERAL

- (a) The letters and expressions in Table 1 of **AMC1 NCC.IDE.H.170** have the following meaning:
- (1) C: complete contents recorded.
 - (2) M: information that enables correlation with any associated records stored separately from the helicopter.

- (3) *: applications that are to be recorded only as far as is practicable, given the architecture of the system.
- (4) F1: graphics applications may be considered as AOC messages when they are part of a data link communications application service run on an individual basis by the operator itself in the framework of the operational control.
- (5) F2: where parametric data sent by the helicopter, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.
- (b) The definitions of the applications type in Table 1 of **AMC1 NCC.IDE.H.170** are described in Table 1 below.

Table 1: Definitions of the applications type

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Mainly used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the helicopter. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D ATIS | ATIS messages | Refer to EUROCAE ED-89A, dated December 2003: Data Link Application System Document (DLASD) for the 'ATIS' data link service |
| 9 | OCL | OCL messages | Refer to EUROCAE ED-106A, dated March 2004: Data Link Application System Document (DLASD) for 'Oceanic Clearance' (OCL) data link service |
| 10 | DCL | DCL messages | Refer to EUROCAE ED-85A, dated March 2003: Data Link Application System Document (DLASD) for 'Departure Clearance' data link service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the helicopter. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the helicopter. Definition in EUROCAE ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked Aircraft Parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

AAC aeronautical administrative communications
ADS-B automatic dependent surveillance - broadcast

| | |
|---------|---------------------------------------------|
| ADS-C | automatic dependent surveillance – contract |
| AFN | aircraft flight notification |
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | digital ATIS |
| D-FIS | data link flight information service |
| D-METAR | data link meteorological airport report |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 NCC.IDE.H.170(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- (a) If it is certain that the helicopter cannot use data link communication messages for ATS communications corresponding to any application designated by **NCC.IDE.H.170(a)(1)**, then the data link recording requirement does not apply.
- (b) Examples where the helicopter cannot use data link communication messages for ATS communications include but are not limited to the cases where:
 - (1) the helicopter data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
 - (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the helicopter; and
 - (3) the helicopter data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the helicopter.

GM1 NCC.IDE.H.175 Flight data and cockpit voice combination recorder

COMBINATION RECORDERS

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and the aural environment required by **NCC.IDE.H.160**; and
 - (2) all parameters required by **NCC.IDE.H.165**,
with the same specifications required by **NCC.IDE.H.160** and **NCC.IDE.H.165**.
- (b) In addition, a flight data and cockpit voice combination recorder may record data link communication messages and related information required by the **NCC.IDE.H.170**.

AMC1 NCC.IDE.H.180 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a supplementary loop belt manufactured with the same techniques and the same materials of the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective helicopter seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD).
 - (2) CRDs approved through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seat approved for use in motor vehicles on the basis of the technical standard specified in (i). The child seat must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):
 - (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label; and
 - (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV Doc.: TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
 - (4) Child seat approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seat approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and
 - (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seats approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (7) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The devices should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
 - (1) Forward-facing child seats may be installed on both forward-and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on

forward-facing passenger seats. A child seat should not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.

- (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
- (3) An infant/child in a CRD should not hinder evacuation for any passenger.
- (4) An infant/child in a CRD should neither be located in the row (where rows are existing) leading to an emergency exit nor located in a row immediately forward or aft of an emergency exit. A window passenger seat is the preferred location. An aisle passenger seat or a cross aisle passenger seat that forms part of the evacuation route to exits is not recommended. Other locations may be acceptable provided the access of neighbour passengers to the nearest aisle is not obstructed by the CRD.
- (5) In general, only one CRD per row segment is recommended. More than one CRD per row segment is allowed if the infants/children are from the same family or travelling group provided the infants/children are accompanied by a responsible adult sitting next to them.
- (6) A row segment is one or more seats side-by-side separated from the next row segment by an aisle.

(d) Installation

- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
- (2) All safety and installation instructions should be followed carefully by the responsible person accompanying the infant/child. Operators should prohibit the use of a CRD not installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.
- (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.
- (4) The buckle of the adult safety belt should be easily accessible for both opening and closing, and should be in line with the seat belt halves (not canted) after tightening.
- (5) Forward facing restraint devices with an integral harness should not be installed such that the adult safety belt is secured over the infant.

(e) Operation

- (1) Each CRD should remain secured to a passenger seat during all phases of flight, unless it is properly stowed when not in use.
- (2) Where a child seat is adjustable in recline, it should be in an upright position for all occasions when passenger restraint devices are required.

AMC2 NCC.IDE.H.180 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

An upper torso restraint system having three straps is deemed to be compliant with the requirement for restraint systems with two shoulder straps.

SAFETY BELT

A safety belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for safety belts (two anchorage points).

AMC3 NCC.IDE.H.180 Seats, seat safety belts, restraint systems and child restraint devices

SEATS FOR MINIMUM REQUIRED CABIN CREW

- (a) Seats for the minimum required cabin crew members should be located near required floor level emergency exits, except if the emergency evacuation of passengers would be enhanced by seating the cabin crew members elsewhere. In this case other locations are acceptable. This criterion should also apply if the number of required cabin crew members exceeds the number of floor level emergency exits.
- (b) Seats for cabin crew member(s) should be forward or rearward facing within 15° of the longitudinal axis of the helicopter.

AMC1 NCC.IDE.H.190 First-aid kit

CONTENT OF FIRST-AID KIT

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the FAKs:
 - (1) Equipment:
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;
 - (ix) antiseptic wound cleaner;

- (x) disposable resuscitation aid;
- (xi) disposable gloves;
- (xii) tweezers: splinter; and
- (xiii) thermometers (non-mercury).
- (2) Medications:
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;
 - (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of helicopters carrying more than nine passengers;
 - (v) anti-diarrhoeal medication in the case of helicopters carrying more than nine passengers; and
 - (vi) antihistamine.
- (3) Other:
 - (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook;
 - (iii) medical incident report form; and
 - (iv) biohazard disposal bags.
- (4) An eye irrigator, although not required to be carried in the FAK, should, where possible, be available for use on the ground.

AMC2 NCC.IDE.H.190 First-aid kit

MAINTENANCE OF FIRST-AID KITS

To be kept up to date, first-aid kits should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 NCC.IDE.H.200 Supplemental oxygen – non-pressurised helicopters

DETERMINATION OF OXYGEN

The amount of supplemental oxygen required for a particular operation should be determined on the basis of flight altitudes and flight duration, consistent with the operating procedures, including emergency procedures, established for each operation and the routes to be flown as specified in the operations manual.

AMC1 NCC.IDE.H.205 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the passenger compartments, the need to minimise the hazard of toxic gas concentrations and the location of toilets, galleys, etc. These considerations may result in the number of fire extinguishers being greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires. Additional hand fire extinguishers may be required for the protection of other compartments accessible to the crew in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.
- (c) Where only one hand fire extinguisher is required in the passenger compartments, it should be located near the cabin crew member's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the passenger compartments and their location is not otherwise dictated by consideration of (a), an extinguisher should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.
- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 NCC.IDE.H.210 Marking of break-in points

MARKINGS – COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 NCC.IDE.H.215 Emergency locator transmitter (ELT)

ELT BATTERIES

Batteries used in the ELTs should be replaced (or recharged, if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour, and also when 50% of their useful life (or for rechargeable, 50% of their useful life of charge), as established by the equipment manufacturer, has expired. The new expiry date for the replacement (or recharged) battery should be legibly marked on the outside of the equipment. The battery useful life (or useful life of charge) requirements of this paragraph do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.

AMC2 NCC.IDE.H.215 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
- (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid SAR teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency, and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC1 NCC.IDE.H.225(a) Life-jackets

ACCESSIBILITY

The life-jacket should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or restraint system fastened.

AMC1 NCC.IDE.H.225(b) Life-jackets

ELECTRIC ILLUMINATION

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

GM1 NCC.IDE.H.225 Life-jackets

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

GM1 NCC.IDE.H.226 Crew survival suits

ESTIMATING SURVIVAL TIME

(a) Introduction

- (1) A person accidentally immersed in cold seas (typically offshore Northern Europe) will have a better chance of survival if he/she is wearing an effective survival suit in addition to a life-jacket. By wearing the survival suit, he/she can slow down the rate which his/her body temperature falls and, consequently, protect himself/herself from the greater risk of drowning brought about by incapacitation due to hypothermia.
- (2) The complete survival suit system — suit, life-jacket and clothes worn under the suit — should be able to keep the wearer alive long enough for the rescue services to find and recover him/her. In practice the limit is about 3 hours. If a group of persons in the water cannot be rescued within this time, they are likely to have become so scattered and separated that location will be extremely difficult, especially in the rough water typical of Northern European sea areas. If it is expected that in water protection could be required for periods greater than 3 hours, improvements should, rather, be sought in the search and rescue procedures than in the immersion suit protection.

(b) Survival times

- (1) The aim should be to ensure that a person in the water can survive long enough to be rescued, i.e. the survival time should be greater than the likely rescue time. The factors affecting both times are shown in Figure 1. The figure emphasises that survival time is influenced by many factors, physical and human. Some of the factors are relevant to survival in cold water and some are relevant in water at any temperature.

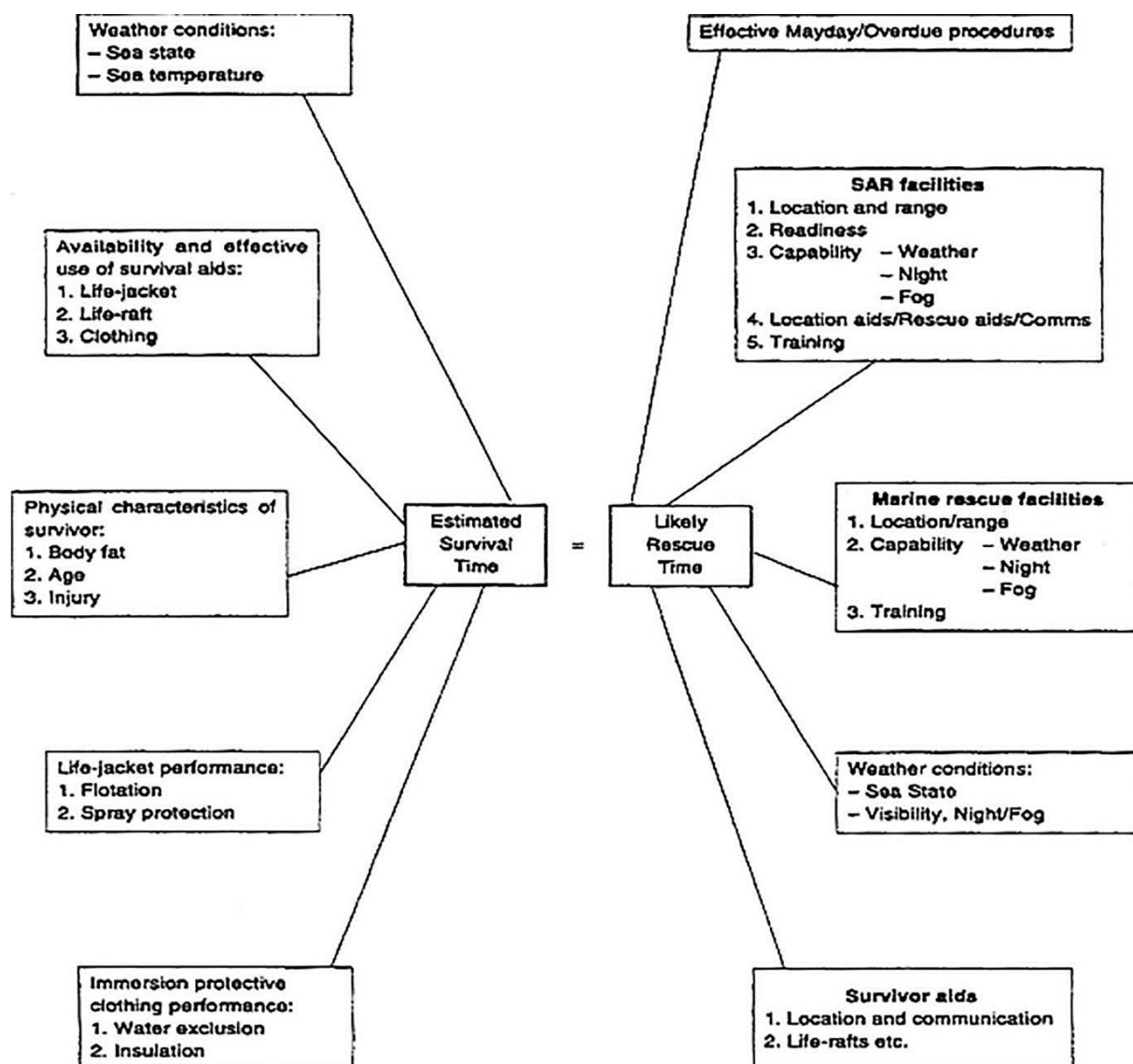


Figure 1: The survival equation

- (2) Broad estimates of likely survival times for the thin individual offshore are given in Table 1 below. As survival time is significantly affected by the prevailing weather conditions at the time of immersion, the Beaufort wind scale has been used as an indicator of these surface conditions.

Table 1: Timescale within which the most vulnerable individuals are likely to succumb to the prevailing conditions.

| Clothing assembly | Beaufort wind force | Times within which the most vulnerable individuals are likely to drown | |
|---------------------------------------------------------------------|---------------------|------------------------------------------------------------------------|--------------------------------|
| | | (water temp 5 °C) | (water temp 13 °C) |
| Working clothes (no immersion suit) | 0 – 2 | Within ¼ hour | Within 1 ¼ hours |
| | 3 – 4 | Within ½ hour | Within ½ hour |
| | 5 and above | Significantly less than ½ hour | Significantly less than ½ hour |
| Immersion suit worn over working clothes (with leakage inside suit) | 0 – 2 | May well exceed 3 hours | May well exceed 3 hours |
| | 3 – 4 | Within 2 ¾ hours | May well exceed 3 hours |
| | 5 and above | Significantly less than 2 ¾ hours. May well exceed 1 hour | May well exceed 3 hours |

- (3) Consideration should also be given to escaping from the helicopter itself should it submerge or invert in the water. In this case, escape time is limited to the length of time the occupants can hold their breath. The breath holding time can be greatly reduced by the effect of cold shock. Cold shock is caused by the sudden drop in skin temperature on immersion, and is characterised by a gasp reflex and uncontrolled breathing. The urge to breath rapidly becomes overwhelming and, if still submerged, the individual will inhale water resulting in drowning. Delaying the onset of cold shock by wearing an immersion suit will extend the available escape time from a submerged helicopter.
- (4) The effects of water leakage and hydrostatic compression on the insulation quality of clothing are well recognised. In a nominally dry system the insulation is provided by still air trapped within the clothing fibres and between the layers of suit and clothes. It has been observed that many systems lose some of their insulating capacity either because the clothes under the 'waterproof' survival suit get wet to some extent or because of hydrostatic compression of the whole assembly. As a result of water leakage and compression, survival times will be shortened. The wearing of warm clothing under the suit is recommended.
- (5) Whatever type of survival suit and other clothing is provided, it should not be forgotten that significant heat loss can occur from the head.

AMC1 NCC.IDE.H.227 Life-rafts, survival ELTs and survival equipment on extended overwater flights

LIFE-RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS

- (a) Each required life-raft should conform to the following specifications:
 - (1) be of an approved design and stowed so as to facilitate their ready use in an emergency;
 - (2) be radar conspicuous to standard airborne radar equipment;
 - (3) when carrying more than one life-raft on board, at least 50 % of the rafts should be able to be deployed by the crew while seated at their normal station, where necessary by remote control; and
 - (4) life-rafts that are not deployable by remote control or by the crew should be of such weight as to permit handling by one person. 40 kg should be considered a maximum weight.
- (b) Each required life-raft should contain at least the following:
 - (1) one approved survivor locator light;
 - (2) one approved visual signalling device;
 - (3) one canopy (for use as a sail, sunshade or rain catcher) or other means to protect occupants from the elements;
 - (4) one radar reflector;
 - (5) one 20 m retaining line designed to hold the life-raft near the helicopter but to release it if the helicopter becomes totally submerged;
 - (6) one sea anchor; and
 - (7) one survival kit, appropriately equipped for the route to be flown, which should contain at least the following:

- (i) one life-raft repair kit;
- (ii) one bailing bucket;
- (iii) one signalling mirror;
- (iv) one police whistle;
- (v) one buoyant raft knife;
- (vi) one supplementary means of inflation;
- (vii) sea sickness tablets;
- (viii) one first-aid kit;
- (ix) one portable means of illumination;
- (x) 500 ml of pure water and one sea water desalting kit; and
- (xi) one comprehensive illustrated survival booklet in an appropriate language.

AMC1 NCC.IDE.H.230 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
 - (1) a means of melting snow;
 - (2) one snow shovel and one ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all passengers on board; and
 - (4) one arctic/polar suit for each crew member carried.
- (c) If any item of equipment contained in the above list is already carried on board the aircraft in accordance with another requirement, there is no need for this to be duplicated.

AMC2 NCC.IDE.H.230 Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

GM1 NCC.IDE.H.230 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 NCC.IDE.H.230 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

GM1 NCC.IDE.H.232 Helicopters certificated for operating on water – Miscellaneous equipment

INTERNATIONAL REGULATIONS FOR PREVENTING COLLISIONS AT SEA

International Regulations for Preventing Collisions at Sea are those that were published by the International Maritime Organisation (IMO) in 1972.

AMC1 NCC.IDE.H.235 All helicopters on flight over water – ditching

The considerations of **AMC1 SPA.HOFO.165(d)** should apply in respect of emergency flotation equipment.

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the helicopter's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the flight crew's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the helicopter.

GM1 NCC.IDE.H.240 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

GM1 NCC.IDE.H.245 Radio communication equipment

APPLICABLE AIRSPACE REQUIREMENTS

For helicopters being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

GM1 NCC.IDE.H.250 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto and documents directly referenced in the AFM;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.

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- (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
 - (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.

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- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-041, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on

an LPV approach, the DH is limited to 250 ft.

(m) RNAV 10

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.

(n) RNP 4

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.

(o) RNP 2 oceanic

- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
- (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.

(p) Special features

- (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations.
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.

(q) Other considerations

- (1) In all cases, the limitations in the AFM need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
- (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM2 NCC.IDE.H.250 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 NCC.IDE.H.255 Transponder

SSR TRANSPONDER

- (a) The secondary surveillance radar (SSR) transponders of helicopters being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 NCC.IDE.H.260 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 NCC.IDE.H.260 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 NCC.IDE.H.260 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 NCC.IDE.H.260 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

ANNEX VII (PART-NCO)

SUBPART A: GENERAL REQUIREMENTS

AMC1 NCO.GEN.104 Use of aircraft included in an AOC by an NCO operator

RESPONSIBILITIES OF THE NCO OPERATOR

The operator using the aircraft included in an AOC for operations performed in accordance with Part-NCO should describe the following elements in its procedure required in **NCO.GEN.104**:

- (a) the way in which the shifting of operational control is communicated, including how, when and to whom the information is communicated;
- (b) the means to ensure that the relevant personnel are instructed on the following:
 - (1) to contact the organisation responsible for the management of continuing airworthiness of the aircraft of the AOC holder (CAMO or CAO) for any defect or technical malfunction which occurs before or during the operation.
The information about any defect or malfunction should be transmitted to the CAMO/CAO of the AOC holder before the aircraft is used for the next flight. The same information should be confirmed by the entries in the aircraft technical log system; and
 - (2) to report any occurrence in accordance with the applicable rules and the internal procedures; and
- (c) the way in which the operator deals with failures and defects identified before the flight.

GM1 NCO.GEN.104 Use of aircraft included in an AOC by an NCO operator

SCOPE

As per **SPO.GEN.005(b)**, operators performing non-commercial specialised operations with other than complex motor-powered aircraft will comply with Annex VII (Part-NCO). Thus, such operators are also covered by **NCO.GEN.104**.

GM1 NCO.GEN.104(c) Use of aircraft included in an AOC by an NCO operator

CONTINUING AIRWORTHINESS MANAGEMENT

In accordance with Annex I (Part-M) and Annex Vb (Part-ML) to Regulation (EU) No 1321/2014, the management of the continuing airworthiness of the aircraft by the CAMO/CAO of the AOC holder means that the NCO operator has established a written contract as per Appendix I to Part-M or Appendix I to Part-ML with this CAMO/CAO.

AMC1 NCO.GEN.105 Pilot-in-command responsibilities and authority

FLIGHT PREPARATION FOR PBN OPERATIONS

- (a) The pilot-in-command should ensure that RNAV 1, RNAV 2, RNP 1, RNP 2, and RNP APCH routes or procedures to be used for the intended flight, including for any alternate aerodromes, are selectable from the navigation database and are not prohibited by NOTAM.
- (b) The pilot-in-command should take account of any NOTAMs or pilot-in-command briefing material that could adversely affect the aircraft system operation along its flight plan including any alternate aerodromes.
- (c) When PBN relies on GNSS systems for which RAIM is required for integrity, its availability should be verified during the preflight planning. In the event of a predicted continuous loss of fault detection of more than five minutes, the flight planning should be revised to reflect the lack of full PBN capability for that period.
- (d) For RNP 4 operations with only GNSS sensors, a fault detection and exclusion (FDE) check should be performed. The maximum allowable time for which FDE capability is projected to be unavailable on any one event is 25 minutes. If predictions indicate that the maximum allowable FDE outage will be exceeded, the operation should be rescheduled to a time when FDE is available.
- (e) For RNAV 10 operations, the pilot-in-command should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace. Where an extension to the time limit is permitted, the pilot-in-command will need to ensure that en route radio facilities are serviceable before departure, and to apply radio updates in accordance with any AFM/POH limitation.

AMC2 NCO.GEN.105 Pilot-in-command responsibilities and authority

DATABASE SUITABILITY

- (a) The pilot-in-command should check that any navigational database required for PBN operations includes the routes and procedures required for the flight.

DATABASE CURRENCY

- (b) The database validity (current AIRAC cycle) should be checked before the flight.
- (c) Navigation databases should be current for the duration of the flight. If the AIRAC cycle is due to change during flight, the pilot-in-command should follow procedures established by the pilot-in-command to ensure the accuracy of navigation data, including the suitability of navigation facilities used to define the routes and procedures for the flight.
- (d) An expired database may only be used if the following conditions are satisfied:
 - (1) the pilot-in-command has confirmed that the parts of the database which are intended to be used during the flight and any contingencies that are reasonable to expect are not changed in the current version;
 - (2) any NOTAMs associated with the navigational data are taken into account;

- (3) maps and charts corresponding to those parts of the flight are current and have not been amended since the last cycle;
- (4) any MEL limitations, where available, are observed; and
- (5) the database has expired by no more than 28 days.

GM1 NCO.GEN.105 Pilot-in-command responsibilities and authority

GENERAL

In accordance with point 1.3 of Annex V to Regulation (EU) 2018/1139¹ (essential requirements for air operations), the pilot-in-command is responsible for the operation and safety of the aircraft and for the safety of all passengers and cargo on board. This includes the following:

- (a) the safety of all passengers and cargo on board, as soon as he/she arrives on board, until he/she leaves the aircraft at the end of the flight; and
- (b) the operation and safety of the aircraft:
 - (1) for aeroplanes, from the moment it is first ready to move for the purpose of flight until the moment it comes to rest at the end of the flight and the engine(s) used as primary propulsion unit(s) is/are shut down;
 - (2) for helicopters, from the moment the engine(s) are started until the helicopter comes to rest at the end of the flight with the engine(s) shut down and the rotor blades stopped.

GM1 NCO.GEN.105(a)(8) Pilot-in-command responsibilities and authority

RECORDING UTILISATION DATA

Where an aircraft conducts a series of flights of short duration — such as a helicopter doing a series of lifts — and the aircraft is operated by the same pilot-in-command, the utilisation data for the series of flights may be recorded in the aircraft technical log or journey log as a single entry.

AMC1 NCO.GEN.105(c) Pilot-in-command responsibilities and authority

CHECKLISTS

- (a) The pilot-in-command should use the latest checklists provided by the manufacturer.
- (b) If checks conducted prior to take-off are suspended at any point, the pilot-in-command should re-start them from a safe point prior to the interruption.

¹ Regulation (EU) 2018/1139 of the European Parliament and of the Council of 4 July 2018 on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU and 2014/53/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91 (OJ L 212, 22.8.2018, p. 1).

GM1 NCO.GEN.105(d) Pilot-in-command responsibilities and authority

REPORTING OF HAZARDOUS FLIGHT CONDITIONS

- (a) These reports should include any detail which may be pertinent to the safety of other aircraft.
- (b) Such reports should be made whenever any of the following conditions are encountered or observed:
 - (1) severe turbulence;
 - (2) severe icing;
 - (3) severe mountain wave;
 - (4) thunderstorms, with or without hail, that are obscured, embedded, widespread or in squall lines;
 - (5) heavy dust storm or heavy sandstorm;
 - (6) volcanic ash cloud; and
 - (7) unusual and/or increasing volcanic activity or a volcanic eruption.
- (c) When other meteorological conditions not listed above, e.g. wind shear, are encountered that, in the opinion of the pilot-in-command, may affect the safety or the efficiency of other aircraft operations, the pilot-in-command should advise the appropriate air traffic services (ATS) unit as soon as practicable.

AMC1 NCO.GEN.105(e) Pilot-in-command responsibilities and authority

VIOLATION REPORTING

If required by the State in which the incident occurs, the pilot-in-command should submit a report on any such violation to the appropriate authority of such State; in that event, the pilot-in-command should also submit a copy of it to the CAA. Such reports should be submitted as soon as possible and normally within 10 days.

GM1 NCO.GEN.115 Taxiing of aeroplanes

SAFETY-CRITICAL ACTIVITY

- (a) Taxiing should be treated as a safety-critical activity due to the risks related to the movement of the aeroplane and the potential for a catastrophic event on the ground.
- (b) Taxiing is a high-workload phase of flight that requires the full attention of the pilot-in-command.

GM1 NCO.GEN.115(b)(4) Taxiing of aeroplanes

SKILLS AND KNOWLEDGE

The person designated by the operator to taxi an aeroplane should possess the following skills and knowledge:

-
- (a) positioning of the aeroplane to ensure safety when starting engine;
 - (b) getting ATIS reports and taxi clearance, where applicable;
 - (c) interpretation of airfield markings/lights/signals/indicators;
 - (d) interpretation of marshalling signals, where applicable;
 - (e) identification of suitable parking area;
 - (f) maintaining lookout and right-of-way rules and complying with ATC or marshalling instructions when applicable;
 - (g) avoidance of adverse effect of propeller slipstream or jet wash on other aeroplanes, aerodrome facilities and personnel;
 - (h) inspection of taxi path when surface conditions are obscured;
 - (i) communication with others when controlling an aeroplane on the ground;
 - (j) interpretation of operational instructions;
 - (k) reporting of any problem that may occur while taxiing an aeroplane; and
 - (l) adapting the taxi speed in accordance with prevailing aerodrome, traffic, surface and weather conditions.

GM1 NCO.GEN.120 Rotor engagement

INTENT OF THE RULE

- (a) The following two situations where it is allowed to turn the rotor under power should be distinguished:
 - (1) for the purpose of flight, this is described in the implementing rule;
 - (2) for maintenance purposes.
- (b) Rotor engagement for the purpose of flight: it should be noted that the pilot should not leave the control when the rotors are turning. For example, the pilot is not allowed to get out of the aircraft in order to welcome passengers and adjust their seat belts with the rotors turning.
- (c) Rotor engagement for the purpose of maintenance: the implementing rule, however, should not prevent ground runs being conducted by qualified personnel other than pilots for maintenance purposes.

The following conditions should be applied:

- (1) The operator should ensure that the qualification of personnel, other than pilots, who are authorised to conduct maintenance runs is described in the appropriate manual.
- (2) Ground runs should not include taxiing the helicopter.
- (3) There should be no passengers on board.
- (4) Maintenance runs should not include collective increase or auto pilot engagement (risk of ground resonance).

AMC1 NCO.GEN.125 Portable electronic devices (PEDs)

ELECTRONIC FLIGHT BAGS (EFBS) — HARDWARE

- (a) EFB viewable stowage

When a viewable stowage device is used, the pilot-in-command should ensure that, if the EFB moves or is separated from its stowage, or if the viewable stowage is unsecured from the aircraft (as a result of turbulence, manoeuvring, or other action), it will not jam flight controls, damage flight deck equipment, or injure any person on board.

The viewable stowage device should not be positioned in such a way that it obstructs visual or physical access to aircraft controls and/or displays, flight crew ingress or egress, or external vision. The design of the viewable stowage device should allow the user easy access to any item of the EFB system, and notably to the EFB controls and a clear view of the EFB display while in use.

- (b) Cables

If cables are used to connect an EFB to an aircraft system, power source, or any other equipment:

- (1) the cables should not hang loosely in a way that compromises task performance and safety; flight crew should be able to easily secure the cables out of the way during operations (e.g. by using cable tether straps); and
- (2) the cables should be of sufficient length so that they do not obstruct the use of any movable device on the flight deck.

AMC2 NCO.GEN.125 Portable electronic devices (PEDs)

ELECTRONIC FLIGHT BAGS (EFBs) — FUNCTIONS

(a) Familiarisation

The pilot-in-command should familiarise himself or herself with the use of the EFB hardware and its applications on the ground before using them in flight for the first time.

A user guide should be available for the pilot-in-command.

(b) Check before flight

Before each flight, the pilot-in-command should perform the following checks to ensure the continued safe operation of the EFB during the flight:

- (1) general check of the EFB operation by switching it ON and checking that the applications they intend to use in flight are adequately operative;
- (2) check of the remaining available battery power, if applicable, to ensure the availability of the EFB during the planned flight;
- (3) check of the version effectivity of the EFB databases, if applicable (e.g. for charts, performance calculation and weight and balance applications); and
- (4) check that an appropriate backup is available when a chart application or an application displaying aircraft checklists is used.

(c) Chart applications

The navigation charts that are depicted should contain the necessary information in an appropriate format, to perform the operation safely. Consideration should be given to the size of the display to ensure legibility.

(d) Performance calculation and weight and balance functions or applications

Prior to the first use of a performance calculation or weight and balance function or application, and following any update of the database supporting the function or the application, a check should be performed on the ground to verify that the output of the application corresponds with the data derived from the AFM (or other appropriate sources);

(e) Airport moving map display (AMMD) application

An AMMD application should not be used as a primary means of navigation for taxiing, but as a confirmation of outside visual references.

(f) Other functions

If advanced functions on non-certified devices that display information related to the aircraft position in flight, navigation, surroundings in terms of e.g. terrain or traffic, or attitude are used, the pilot in command should be aware of the potential misleading or erroneous information displayed and should only use these functions as an advisory or supplementary means.

GM1 NCO.GEN.125 Portable electronic devices

DEFINITIONS

(a) Definition and categories of PEDs

PEDs are any kind of electronic device, typically but not limited to consumer electronics, brought on board the aircraft by crew members, passengers, or as part of the cargo and that are not included in the approved aircraft configuration. All equipment that is able to consume electrical energy falls under this definition. The electrical energy can be provided from internal sources as batteries (chargeable or non-rechargeable) or the devices may also be connected to specific aircraft power sources.

PEDs include the following two categories:

- (1) Non-intentional transmitters can non-intentionally radiate RF transmissions, sometimes referred to as spurious emissions. This category includes, but is not limited to, calculators, cameras, radio receivers, audio and video players, electronic games and toys; when these devices are not equipped with a transmitting function.
- (2) Intentional transmitters radiate RF transmissions on specific frequencies as part of their intended function. In addition, they may radiate non-intentional transmissions like any PED. The term 'transmitting PED' (T-PED) is used to identify the transmitting capability of the PED. Intentional transmitters are transmitting devices such as RF-based remote control equipment, which may include some toys, two-way radios (sometimes referred to as private mobile radio), mobile phones of any type, satellite phones, computers with mobile phone data connection, wireless local area network (WLAN) or Bluetooth capability. After deactivation of the transmitting capability, e.g. by activating the so-called 'flight mode' or 'flight safety mode', the T-PED remains a PED having non-intentional emissions.

(b) Definition of the switched-off status

Many PEDs are not completely disconnected from the internal power source when switched off. The switching function may leave some remaining functionality e.g. data storage, timer, clock, etc. These devices can be considered switched off when in the deactivated status. The same applies for devices having no transmitting capability and are operated by coin cells without further deactivation capability, e.g. wrist watches.

GM2 NCO.GEN.125 Portable electronic devices

GENERAL

- (a) PEDs can pose a risk of interference with electronically operated aircraft systems. Those systems could range from the electronic engine control, instruments, navigation or communication equipment, autopilots to any other type of avionic equipment on the aircraft. The interference can result in on-board systems malfunctioning or providing misleading information and communication disturbance. These can also lead to an increased workload for the flight crew.
- (b) Interference may be caused by transmitters being part of the PED's functionality or by unintentional transmissions from the PED. Due to the likely proximity of the PED to any electronically operated aircraft system and the generally limited shielding found in small aircraft, the risk of interference is to be considered higher than that for larger aircraft with metal airframes.

- (c) During certification of the aircraft, when qualifying the aircraft functions consideration may only have been made of short-term exposure to a high radiating field, with an acceptable mitigating measure being a return to normal function after removal of the threat. This certification assumption may not be true when operating the transmitting PED on board the aircraft.
- (d) It has been found that compliance with the electromagnetic compatibility (EMC) Directive 2004/108/EC and related European standards, as indicated by the CE marking, is not sufficient to exclude the existence of interference. A well-known interference is the demodulation of the transmitted signal from GSM (global system for mobile communications) mobile phones leading to audio disturbances in other systems. Similar interferences are difficult to predict during the PED design and protecting the aircraft's electronic systems against the full range of potential interferences is practically impossible. Therefore, not operating PEDs on-board aircraft is the safest option, especially as effects may not be identified immediately but under the most inconvenient circumstances.
- (e) Guidance to follow in case of fire caused by PEDs is provided by the International Civil Aviation Organisation, 'Emergency response guidance for aircraft incidents involving dangerous goods', ICAO Doc 9481-AN/928.

AMC1 NCO.GEN.130 Information on emergency and survival equipment carried

CONTENT OF INFORMATION

The information, compiled in a list, should include, as applicable:

- (a) the number, colour and type of life rafts and pyrotechnics,
- (b) details of emergency medical supplies and water supplies; and
- (c) the type and frequencies of the emergency portable radio equipment.

AMC1 NCO.GEN.135(a)(3) Documents, manuals and information to be carried

CERTIFICATE OF AIRWORTHINESS

The certificate of airworthiness should be a normal certificate of airworthiness, a restricted certificate of airworthiness or a permit to fly issued in accordance with the applicable airworthiness requirements.

AMC1 NCO.GEN.135(a)(10) Documents, manuals and information to be carried

CURRENT AND SUITABLE AERONAUTICAL CHARTS

- (a) The aeronautical charts carried should contain data appropriate to the applicable air traffic regulations, rules of the air, flight altitudes, area/route and nature of the operation. Due consideration should be given to carriage of textual and graphic representations of:
 - (1) aeronautical data, including, as appropriate for the nature of the operation:
 - (i) airspace structure;
 - (ii) significant points, navigation aids (navaids) and air traffic services (ATS) routes;

- (iii) navigation and communication frequencies;
 - (iv) prohibited, restricted and danger areas; and
 - (v) sites of other relevant activities that may hazard the flight; and
- (2) topographical data, including terrain and obstacle data.
- (b) A combination of different charts and textual data may be used to provide adequate and current data.
- (c) The aeronautical data should be appropriate for the current aeronautical information regulation and control (AIRAC) cycle.
- (d) The topographical data should be reasonably recent, having regard to the nature of the planned operation.

GM1 NCO.GEN.135 Documents, manuals and information to be carried

GENERAL

- (a) In case of loss or theft of documents specified in NCO.GEN.135, the operation may continue until the flight reaches the base or a place where a replacement document can be provided.
- (b) The documents, manuals and information may be available in a form other than on printed paper. An electronic storage medium is acceptable if accessibility, usability and reliability can be assured.

GM1 NCO.GEN.135(a)(1) Documents, manuals and information to be carried

AFM OR EQUIVALENT DOCUMENT

'Aircraft flight manual (AFM), or equivalent document' means the flight manual for the aircraft or other documents containing information required for the operation of the aircraft within the terms of its certificate of airworthiness.

GM1 NCO.GEN.135(a)(8) Documents, manuals and information to be carried

JOURNEY LOG OR EQUIVALENT

'Journey log or equivalent' means that the required information may be recorded in documentation other than a log book, such as the operational flight plan or the aircraft technical log.

GM1 NCO.GEN.135(a)(11) Documents, manuals and information to be carried

PROCEDURES AND VISUAL SIGNALS FOR USE BY INTERCEPTING AND INTERCEPTED AIRCRAFT

The procedures and the visual signals information for use by intercepting and intercepted aircraft are those contained in the International Civil Aviation Organisation's (ICAO) Annex 2.

GM1 NCO.GEN.135(a)(13) Documents, manuals and information to be carried

DOCUMENTS THAT MAY BE PERTINENT TO THE FLIGHT

Any other documents that may be pertinent to the flight or required by the States concerned with the flight may include, for example, forms to comply with reporting requirements.

STATES CONCERNED WITH THE FLIGHT

The States concerned are those of origin, transit, overflight and destination of the flight.

GM1 NCO.GEN.140(a) Transport of dangerous goods

GENERAL

- (a) The requirement to transport dangerous goods by air in accordance with the Technical Instructions is irrespective of whether:
 - (1) the flight is wholly or partly within or wholly outside the territory of a State; or
 - (2) an approval to carry dangerous goods in accordance with Annex V (Part-SPA), Subpart G is held.
- (b) The Technical Instructions provide that in certain circumstances dangerous goods, which are normally forbidden on an aircraft, may be carried. These circumstances include cases of extreme urgency or when other forms of transport are inappropriate or when full compliance with the prescribed requirements is contrary to the public interest. In these circumstances all the States concerned may grant exemptions from the provisions of the Technical Instructions provided that an overall level of safety that is at least equivalent to that provided by the Technical Instructions is achieved. Although exemptions are most likely to be granted for the carriage of dangerous goods that are not permitted in normal circumstances, they may also be granted in other circumstances, such as when the packaging to be used is not provided for by the appropriate packing method or the quantity in the packaging is greater than that permitted. The Technical Instructions also make provision for some dangerous goods to be carried when an approval has been granted only by the State of origin and the CAA.
- (c) When an exemption is required, the States concerned are those of origin, transit, overflight and destination of the consignment and that of the operator. For the State of overflight, if none of the criteria for granting an exemption are relevant, an exemption may be granted based solely on whether it is believed that an equivalent level of safety in air transport has been achieved.
- (d) The Technical Instructions provide that exemptions and approvals are granted by the 'appropriate national authority', which is intended to be the authority responsible for the particular aspect against which the exemption or approval is being sought. The operator should ensure that all relevant conditions on an exemption or approval are met.
- (e) The exemption or approval referred to in (b) to (d) is in addition to the approval required by Annex V (Part-SPA), Subpart G.

AMC1 NCO.GEN.140(d) Transport of dangerous goods

DANGEROUS GOODS ACCIDENT AND INCIDENT REPORTING

- (a) Any type of dangerous goods incident or accident, or the finding of:
- (1) undeclared or misdeclared dangerous goods in cargo;
 - (2) forbidden dangerous goods in mail; or
 - (3) forbidden dangerous goods in passenger or crew baggage, or on the person of a passenger or crew member
- should be reported. For this purpose, the Technical Instructions consider that reporting of undeclared and misdeclared dangerous goods found in cargo also applies to items of operators' stores that are classified as dangerous goods.
- (b) The first report should be dispatched within 72 hours of the event. It may be sent by any means, including e-mail, telephone or fax. This report should include the details that are known at that time, under the headings identified in 3. If necessary, a subsequent report should be made as soon as possible giving all the details that were not known at the time the first report was sent. If a report has been made verbally, written confirmation should be sent as soon as possible.
- (c) The first and any subsequent report should be as precise as possible and contain the following data, where relevant:
- (1) date of the incident or accident or the finding of undeclared or misdeclared dangerous goods;
 - (2) location and date of flight;
 - (3) description of the goods;
 - (4) proper shipping name (including the technical name, if appropriate) and United Nations (UN)/identification (ID) number, when known;
 - (5) class or division and any subsidiary risk;
 - (6) type of packaging, and the packaging specification marking on it;
 - (7) quantity;
 - (8) name and address of the passenger, etc.;
 - (9) any other relevant details;
 - (10) suspected cause of the incident or accident;
 - (11) action taken;
 - (12) any other reporting action taken; and
 - (13) name, title, address and telephone number of the person making the report.
- (d) Copies of relevant documents and any photographs taken should be attached to the report.
- (e) A dangerous goods accident or incident may also constitute an aircraft accident, serious incident or incident. The criteria for reporting both types of occurrence should be met.
- (f) The following dangerous goods reporting form should be used, but other forms, including electronic transfer of data, may be used provided that at least the minimum information of this AMC is supplied:

| | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|------------------------------|------------------------------------------------------|
| DANGEROUS GOODS OCCURRENCE REPORT | | | DGOR No: |
| 1. Operator: | 2. Date of Occurrence: | 3. Local time of occurrence: | |
| 4. Flight date: | | | |
| 5. Departure aerodrome: | | 6. Destination aerodrome: | |
| 7. Aircraft type: | | 8. Aircraft registration: | |
| 9. Location of occurrence: | | 10. Origin of the goods: | |
| 11. Description of the occurrence, including details of injury, damage, etc. (if necessary continue on the reverse of this form) | | | |
| 12. Proper shipping name (including the technical name): | | | 13. UN/ID No (when known): |
| 14. Class/Division (when known): | 15. Subsidiary risk(s): | 16. Packing group: | 17. Category (Class 7 only): |
| 18. Type of packaging: | 19. Packaging specification marking: | 20. No of packages: | 21. Quantity (or transport index, if applicable): |
| 22. Name and address of passenger, etc.: | | | |
| 23. Other relevant information (including suspected cause, any action taken): | | | |
| 24. Name and title of person making report: | | 25. Telephone No: | |
| 26. Company: | | 27. Reporters ref: | |
| 28. Address: | | 29. Signature: | |
| | | 30. Date: | |
| Description of the occurrence (continuation) | | | |

Notes for completion of the form:

1. A dangerous goods accident is as defined in Annex I. For this purpose serious injury is as defined in Regulation (EU) No 996/2010 of the European Parliament and of the Council¹.
2. The initial report should be dispatched unless exceptional circumstances prevent this. This occurrence report form, duly completed, should be sent as soon as possible, even if all the information is not available.
3. Copies of all relevant documents and any photographs should be attached to this report.
4. Any further information, or any information not included in the initial report, should be sent as soon as possible to the authorities identified in NCO.GEN.140(d).
5. Providing it is safe to do so, all dangerous goods, packaging, documents, etc. relating to the occurrence should be retained until after the initial report has been sent to the authorities identified in NCO.GEN.140(d), and they have indicated whether or not these should continue to be retained.

AMC1 NCO.GEN.140(f) Transport of dangerous goods

GENERAL

The quantities of DG carried for operational purposes should be reasonable considering the purposes for which they might be required before the aircraft is able to replenish its supplies, e.g. at its home base or, in the case of a long tour, at any aerodrome along the route where the aircraft is planned to land and where such supplies are available.

GM1 NCO.GEN.140(f) Transport of dangerous goods

GENERAL

In addition to items authorised under paragraph 1;2.2.1(a) of the Technical Instructions, the articles and substances should be items such as, e.g. aircraft spare parts, components/substances needed for aircraft repair, oil (for aircraft engine/gearbox), aircraft fuel, de-icing fluid, aircraft battery, and air starter unit.

AMC1 NCO.GEN.150 Journey log

GENERAL

- (a) The aircraft journey log, or equivalent, should include the following items, where applicable:
- (1) aircraft nationality and registration;
 - (2) date;
 - (3) name of crew member(s);
 - (4) duty assignments of crew members, if applicable;
 - (5) place of departure;
 - (6) place of arrival;
 - (7) time of departure;
 - (8) time of arrival;

- (9) hours of flight;
 - (10) nature of flight;
 - (11) incidents and observations (if any); and
 - (12) signature of the pilot-in-command.
- (b) The information or parts thereof may be recorded in a form other than on printed paper. Accessibility, usability and reliability should be assured.

AMC1 NCO.GEN.155 Minimum equipment list

CONTENT AND APPROVAL OF THE MEL

- (a) When an MEL is established, the operator should amend the MEL after any applicable change to the MMEL within the acceptable timescales. The following are applicable changes to the MMEL that require amendment of the MEL:
- (1) a reduction of the rectification interval;
 - (2) change of an item, only when the change is applicable to the aircraft or type of operations and is more restrictive;
 - (3) reduced timescales for the implementation of safety-related amendments may be required by the CAA.
- (b) An acceptable timescale for notifying the amended MEL to the CAA is 90 days from the effective date specified in the approved change to the MMEL.
- (c) In addition to the list of items and related dispatch conditions, the MEL should contain:
- (1) a preamble, including guidance and definitions for flight crew members and maintenance personnel using the MEL. The MEL preamble should:
 - (i) reflect the content of the MMEL preamble as applicable to the MEL scope and extent;
 - (ii) contain terms and definitions used in the MEL;
 - (iii) contain any other relevant specific information for the MEL scope and use that is not originally provided in the MMEL;
 - (iv) provide guidance on how to identify the origin of a failure or malfunction to the extent necessary for appropriate application of the MEL;
 - (v) provide guidance on the management of multiple unserviceabilities, based on the guidance given in the MMEL; and
 - (vi) provide guidance on placarding of inoperative items to inform crew members of equipment condition as appropriate. In particular, when such items are accessible to the crew during flight, the control(s) and indicator(s) related to inoperative unit(s) should be clearly placarded.
 - (2) the revision status of the MMEL upon which the MEL is based and the revision status of the MEL;
 - (3) the scope, extent and purpose of the MEL;
 - (4) operational and maintenance procedures as part of the MEL or by means of reference to another appropriate document, based on the operational and maintenance procedures referenced in the MMEL; and

- (5) the dispatch conditions associated with flights conducted in accordance with special approvals held by the operator in accordance with Part-SPA.
- (d) The operator should:
 - (1) establish rectification intervals for each inoperative instrument, item of equipment or function listed in the MEL. The rectification interval in the MEL should not be less restrictive than the corresponding rectification interval in the MMEL. The definitions and categories of rectification intervals are provided in CS-MMEL as well as in CS-GEN-MMEL; and
 - (2) establish an effective rectification programme.
- (e) The operator should establish the operational and maintenance procedures referenced in the MEL, taking into account the operational and maintenance procedures referenced in the MMEL. These procedures should be part of the operator's manuals or the MEL.
- (f) The operator should amend the operational and maintenance procedures referenced in the MEL after any applicable change to the operational and maintenance procedures referenced in the MMEL.
- (g) Unless otherwise specified in the MEL, the operator should complete:
 - (1) the operational procedures referenced in the MEL when planning for and/or operating with the listed item inoperative; and
 - (2) the maintenance procedures referenced in the MEL prior to operating with the listed item inoperative.

AMC2 NCO.GEN.155 Minimum equipment list

FORMAT OF THE MEL

The MEL format, the presentation of MEL items and dispatch conditions should:

- (a) reflect those of the MMEL;
- (b) follow the ATA 100/2200 Specification numbering system for MEL items; and
- (c) when different from (a) and (b), be clear and unambiguous.

AMC3 NCO.GEN.155 Minimum equipment list

EXTENT OF THE MEL

The operator should include guidance in the MEL on how to deal with any failures that occur between the commencement of the flight and the start of the take-off. If a failure occurs between the commencement of the flight and the start of the take-off, any decision to continue the flight should be subject to pilot judgement and good airmanship. The pilot-in-command may refer to the MEL before any decision to continue the flight is taken.

AMC4 NCO.GEN.155 Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES

- (a) The operational and maintenance procedures referenced in the MEL should be based on the operational and maintenance procedures referenced in the MMEL. Modified procedures may, however, be developed by the operator when they provide the same level of safety as required by the MMEL. Modified maintenance procedures should be developed in accordance with the

applicable airworthiness requirements.

- (b) Providing appropriate operational and maintenance procedures referenced in the MEL, regardless of who developed them, is the responsibility of the operator.
- (c) Any item in the MEL requiring an operational or maintenance procedure to ensure an acceptable level of safety should be so identified in the 'remarks' or 'exceptions' column/part/section of the MEL. This will normally be '(O)' for an operational procedure, or '(M)' for a maintenance procedure. '(O)(M)' means both operational and maintenance procedures are required.
- (d) The satisfactory accomplishment of all procedures, regardless of who performs them, is the responsibility of the operator.

AMC5 NCO.GEN.155 Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES — APPLICABLE CHANGES

- (a) Changes to the operational and maintenance procedures referenced in the MMEL are considered applicable and require the amendment of the maintenance and operating procedures referenced in the MEL when:
 - (1) the modified procedure is applicable to the operator's MEL; and
 - (2) the purpose of this change is to improve compliance with the intent of the associated MMEL dispatch condition.
- (b) An acceptable timescale for the amendments of maintenance and operating procedures, as defined in (a), should be 90 days from the date when the amended procedures referenced in the MMEL are made available. Reduced timescales for the implementation of safety-related amendments may be required if the CAA consider it necessary.

GM1 NCO.GEN.155 Minimum equipment list

GENERAL

- (a) The Minimum Equipment List (MEL) is a document that lists the equipment that may be temporarily inoperative, subject to certain conditions, at the commencement of flight. This document is prepared by the operator for their own particular aircraft, taking account of their aircraft configuration and all those individual variables that cannot be addressed at MMEL level, such as operating environment, route structure, geographic location, aerodromes where spare parts and maintenance capabilities are available, etc.
- (b) The MMEL, as defined in the mandatory part of the operational suitability data established in accordance with Regulation (EU) No 748/2012, is developed in compliance with CS-MMEL or CS-GEN-MMEL. These Certification Specifications contain, among other, guidance intended to standardise the level of relief granted in MMELs, in particular for items that are subject to operational requirements. If an MMEL established as part of the operational suitability data is not available and items subject to operational requirements are listed in the available MMEL without specific relief or dispatch conditions but only with a reference to the operational requirements, the operator may refer to CS-MMEL or CS-GEN-MMEL guidance material, as applicable, to develop the relevant MEL content for such items.

GM2 NCO.GEN.155 Minimum equipment list

SCOPE OF THE MEL

- (a) Examples of special approvals in accordance with Part-SPA may be:
 - (1) RVSM
 - (2) LVO
- (b) When an aircraft has installed equipment which is not required for the operations conducted, the operator may wish to delay rectification of such items for an indefinite period. Such cases are considered to be out of the scope of the MEL, therefore modification of the aircraft is appropriate and deactivation, inhibition or removal of the item should be accomplished by an appropriate approved modification procedure.

GM3 NCO.GEN.155 Minimum equipment list

PURPOSE OF THE MEL

The MEL is an alleviating document having the purpose to identify the minimum equipment and conditions to operate safely an aircraft having inoperative equipment. Its purpose is not, however, to encourage the operation of aircraft with inoperative equipment. It is undesirable for aircraft to be dispatched with inoperative equipment and such operations are permitted only as a result of careful analysis of each item to ensure that the acceptable level of safety, as intended in the applicable airworthiness and operational requirements, is maintained. The continued operation of an aircraft in this condition should be minimised.

GM4 NCO.GEN.155 Minimum equipment list

OPERATIONAL AND MAINTENANCE PROCEDURES

- (a) Operational and maintenance procedures are an integral part of the compensating conditions needed to maintain an acceptable level of safety, enabling the CAA to approve the MEL.
- (b) Normally, operational procedures are accomplished by the flight crew; however, other personnel may be qualified and authorised to perform certain functions.
- (c) Normally, maintenance procedures are accomplished by the maintenance personnel; however, other personnel may be qualified and authorised to perform certain functions in accordance with the applicable airworthiness requirements.
- (d) Operational and maintenance procedures, regardless of the document where they are contained, should be readily available for use when needed for the application of the MEL.
- (e) Unless specifically permitted by a maintenance procedure, an inoperative item may not be removed from the aircraft.

SUBPART B: OPERATIONAL PROCEDURES

AMC1 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

TAKE-OFF OPERATIONS

(a) General:

- (1) Take-off minima should be expressed as visibility (VIS) or runway visual range (RVR) limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, it should be specified.
- (2) When the reported meteorological visibility is below that required for take-off and RVR is not reported, a take-off should only be commenced if the pilot-in-command can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.
- (3) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the pilot-in-command can determine that the RVR/VIS along the take-off runway/area is equal to or better than the required minimum.

(b) Visual reference:

- (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.
- (2) For night operations, ground lights should be available to illuminate the runway/final approach and take-off area (FATO) and any obstacles.

AMC2 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

VISUAL APPROACH

For a visual approach operation, the RVR should not be less than 800 m.

AMC3 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED GROUND EQUIPMENT

- (a) Non-precision approaches requiring a final approach fix (FAF) and/or missed approach point (MAPt) should not be conducted where a method of identifying the appropriate fix is not available.
- (b) A minimum RVR of 750 m should be used for CAT I approaches in the absence of centreline lines and/or touchdown zone lights.
- (c) Where approach lighting is partly unavailable, minima should take account of the serviceable length of approach lighting.

GM1 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

COMMERCIALLY AVAILABLE INFORMATION

An acceptable method of selecting aerodrome operating minima is through the use of commercially available information.

GM2 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

VERTICAL PATH CONTROL

Due consideration should be given to the selection of an appropriate technique for vertical path control on non-precision approaches (NPAs). Where appropriate instrumentation and/or facilities are available, a continuous descent final approach technique (CDFA) usually offers increased safety and a lower workload compared to a step-down approach.

GM3 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CRITERIA FOR ESTABLISHING RVR/CMV

- (a) In order to qualify for the lowest allowable values of RVR/CMV specified in Table 3.A, the instrument approach should meet at least the following facility requirements and associated conditions:
 - (1) Instrument approaches with designated vertical profile up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are:
 - (i) instrument landing system (ILS)/microwave landing system (MLS)/GBAS landing system (GLS)/precision approach radar (PAR); or
 - (ii) approach procedure with vertical guidance (APV); and

where the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes.

- (2) Instrument approach operations flown using the CDFA technique with a nominal vertical profile, up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are non-directional beacon (NDB), NDB/distance measuring equipment (DME), VHF omnidirectional radio range (VOR), VOR/DME, localiser (LOC), LOC/DME, VHF direction finder (VDF), surveillance radar approach (SRA) or global navigation satellite system (GNSS)/lateral navigation (LNAV), with a final approach segment of at least 3 NM, which also fulfil the following criteria:
 - (i) the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes;
 - (ii) the final approach fix (FAF) or another appropriate fix where descent is initiated is available, or distance to threshold (THR) is available by flight management system (FMS)/area navigation (NDB/DME) or DME; and
 - (iii) the missed approach point (MAPt) is determined by timing, the distance from FAF to THR is ≤ 8 NM.
- (3) Instrument approaches where the facilities are NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA or GNSS/LNAV, not fulfilling the criteria in (a)(2), or with a minimum descent height (MDH) ≥ 1 200 ft.
- (b) The missed approach operation, after an approach operation has been flown using the CDFA technique, should be executed when reaching the decision height/altitude (DH/A) or the MAPt, whichever occurs first. The lateral part of the missed approach procedure should be flown via the MAPt unless otherwise stated on the approach chart.

GM4 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, APV, CAT I — AEROPLANES

- (a) The minimum RVR/CMV/VIS should be the highest of the values specified in Table 2 and Table 3.A but not greater than the maximum values specified in Table 3.A, where applicable.
- (b) The values in Table 2 should be derived from the formula below:
 required RVR/VIS (m) = [(DH/MDH (ft) x 0.3048) / tan α] – length of approach lights (m);
 where α is the calculation angle, being a default value of 3.00° increasing in steps of 0.10° for each line in Table 2 up to 3.77° and then remaining constant.
- (c) If the approach is flown with a level flight segment at or above MDA/H, 200 m should be added for Category A and B aeroplanes and 400 m for Category C and D aeroplanes to the minimum RVR/CMV/VIS value resulting from the application of Table 2 and Table 3.A.
- (d) An RVR of less than 750 m, as indicated in Table 2, may be used:
 - (1) for CAT I operations to runways with full approach lighting system (FALS), runway touchdown zone lights (RTZL) and runway centreline lights (RCLL);
 - (2) for CAT I operations to runways without RTZL and RCLL when using an approved head-up guidance landing system (HUDLS), or equivalent approved system, or when conducting a

- coupled approach or flight-director-flown approach to a DH. The instrument landing system (ILS) should not be published as a restricted facility; and
- (3) for approach procedure with vertical guidance (APV) operations to runways with FALS, RTZL and RCLL when using an approved head-up display (HUD).
- (e) Lower values than those specified in Table 2 may be used for HUDLS and auto-land operations if approved in accordance with SPA.LVO.
 - (f) The visual aids should comprise standard runway day markings and approach and runway lights as specified in Table 1. The CAA may approve that RVR values relevant to a basic approach lighting system (BALS) are used on runways where the approach lights are restricted in length below 210 m due to terrain or water, but where at least one cross-bar is available.
 - (g) For night operations or for any operation where credit for runway and approach lights is required, the lights should be on and serviceable, except as provided for in Table 1.
 - (h) For single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (1) an RVR of less than 800 m, as indicated in Table 2, may be used for CAT I approaches provided any of the following is used at least down to the applicable DH:
 - (i) a suitable autopilot, coupled to an ILS, microwave landing system (MLS) or GBAS landing system (GLS) that is not published as restricted; or
 - (ii) an approved HUDLS, including, where appropriate, enhanced vision system (EVS), or equivalent approved system;
 - (2) where RTZL and/or RCLL are not available, the minimum RVR/CMV should not be less than 600 m; and
 - (3) an RVR of less than 800 m, as indicated in Table 2, may be used for APV operations to runways with FALS, RTZL and RCLL when using an approved HUDLS, or equivalent approved system, or when conducting a coupled approach to a DH equal to or greater than 250 ft.

Table 1: Approach lighting systems

| Class of lighting facility | Length, configuration and intensity of approach lights |
|----------------------------|-------------------------------------------------------------------------------------------|
| FALS | CAT I lighting system (HIALS \geq 720 m) distance coded centreline, Barrette centreline |
| IALS | Simple approach lighting system (HIALS 420 – 719 m) single source, Barrette |
| BALS | Any other approach lighting system (HIALS, MIALS or ALS 210 – 419 m) |
| NALS | Any other approach lighting system (HIALS, MIALS or ALS < 210 m) or no approach lights |

Note: HIALS: high intensity approach lighting system;

MIALS: medium intensity approach lighting system;

ALS: approach lighting system.

Table 2: RVR/CMV vs. DH/MDH

| DH or MDH | | | Class of lighting facility | | | |
|-----------------|---|-------|----------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (d), (e), (h). above for RVR < 750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 200 | - | 210 | 550 | 750 | 1 000 | 1 200 |
| 211 | - | 220 | 550 | 800 | 1 000 | 1 200 |
| 221 | - | 230 | 550 | 800 | 1 000 | 1 200 |
| 231 | - | 240 | 550 | 800 | 1 000 | 1 200 |
| 241 | - | 250 | 550 | 800 | 1 000 | 1 300 |
| 251 | - | 260 | 600 | 800 | 1 100 | 1 300 |
| 261 | - | 280 | 600 | 900 | 1 100 | 1 300 |
| 281 | - | 300 | 650 | 900 | 1 200 | 1 400 |
| 301 | - | 320 | 700 | 1 000 | 1 200 | 1 400 |
| 321 | - | 340 | 800 | 1 100 | 1 300 | 1 500 |
| 341 | - | 360 | 900 | 1 200 | 1 400 | 1 600 |
| 361 | - | 380 | 1 000 | 1 300 | 1 500 | 1 700 |
| 381 | - | 400 | 1 100 | 1 400 | 1 600 | 1 800 |
| 401 | - | 420 | 1 200 | 1 500 | 1 700 | 1 900 |
| 421 | - | 440 | 1 300 | 1 600 | 1 800 | 2 000 |
| 441 | - | 460 | 1 400 | 1 700 | 1 900 | 2 100 |
| 461 | - | 480 | 1 500 | 1 800 | 2 000 | 2 200 |
| 481 | | 500 | 1 500 | 1 800 | 2 100 | 2 300 |
| 501 | - | 520 | 1 600 | 1 900 | 2 100 | 2 400 |
| 521 | - | 540 | 1 700 | 2 000 | 2 200 | 2 400 |
| 541 | - | 560 | 1 800 | 2 100 | 2 300 | 2 500 |
| 561 | - | 580 | 1 900 | 2 200 | 2 400 | 2 600 |
| 581 | - | 600 | 2 000 | 2 300 | 2 500 | 2 700 |
| 601 | - | 620 | 2 100 | 2 400 | 2 600 | 2 800 |
| 621 | - | 640 | 2 200 | 2 500 | 2 700 | 2 900 |
| 641 | - | 660 | 2 300 | 2 600 | 2 800 | 3 000 |
| 661 | - | 680 | 2 400 | 2 700 | 2 900 | 3 100 |
| 681 | - | 700 | 2 500 | 2 800 | 3 000 | 3 200 |
| 701 | - | 720 | 2 600 | 2 900 | 3 100 | 3 300 |
| 721 | - | 740 | 2 700 | 3 000 | 3 200 | 3 400 |
| 741 | - | 760 | 2 700 | 3 000 | 3 300 | 3 500 |
| 761 | - | 800 | 2 900 | 3 200 | 3 400 | 3 600 |
| 801 | - | 850 | 3 100 | 3 400 | 3 600 | 3 800 |
| 851 | - | 900 | 3 300 | 3 600 | 3 800 | 4 000 |
| 901 | - | 950 | 3 600 | 3 900 | 4 100 | 4 300 |
| 951 | - | 1 000 | 3 800 | 4 100 | 4 300 | 4 500 |
| 1 001 | - | 1 100 | 4 100 | 4 400 | 4 600 | 4 900 |
| 1 101 | - | 1 200 | 4 600 | 4 900 | 5 000 | 5 000 |
| 1 201 and above | | | 5 000 | 5 000 | 5 000 | 5 000 |

Table 3.A: CAT I, APV, NPA — aeroplanes

Minimum and maximum applicable RVR/CMV (lower and upper cut-off limits)

| Facility/conditions | RVR/CMV (m) | Aeroplane category | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-------|-------|
| | | A | B | C | D |
| ILS, MLS, GLS, PAR, GNSS/SBAS, GNSS/VNAV | Min | According to Table 2 | | | |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV with a procedure that fulfils the criteria in GM3 NCO.OP.110(a)(2) | Min | 750 | 750 | 750 | 750 |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| For NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV: — not fulfilling the criteria in GM3 NCO.OP.110(a)(2) , or — with a DH or MDH ≥ 1 200 ft | Min | 1 000 | 1 000 | 1 200 | 1 200 |
| | Max | According to Table 2 if flown using the CDFA technique, otherwise an add-on of 200/400 m applies to the values in Table 2 but not to result in a value exceeding 5 000 m. | | | |

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, CAT I — HELICOPTERS

- (a) For non-precision approach (NPA) operations, the minima specified in Table 4.1.H should apply:
- (1) where the missed approach point is within ½ NM of the landing threshold, the approach minima specified for FALS may be used regardless of the length of approach lights available. However, FATO/runway edge lights, threshold lights, end lights and FATO/runway markings are still required;
 - (2) for night operations, ground lights should be available to illuminate the FATO/runway and any obstacles; and
 - (3) for single-pilot operations, the minimum RVR is 800 m or the minima in Table 2, whichever is higher.
- (b) For CAT I operations, the minima specified in Table 4.2.H should apply:
- (1) for night operations, ground light should be available to illuminate the FATO/runway and any obstacles;
 - (2) for single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (i) an RVR of less than 800 m should not be used except when using a suitable autopilot coupled to an ILS, MLS or GLS, in which case normal minima apply; and
 - (ii) the DH applied should not be less than 1.25 times the minimum use height for the autopilot.

Table 4.1.H: Onshore NPA minima

| MDH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|-------|-------|-------|
| | FALS | IALS | BALS | NALS |
| 250 – 299 | 600 | 800 | 1 000 | 1 000 |
| 300 – 449 | 800 | 1 000 | 1 000 | 1 000 |
| 450 and above | 1 000 | 1 000 | 1 000 | 1 000 |

- *: The MDH refers to the initial calculation of MDH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to MDA.
- ** : The tables are only applicable to conventional approaches with a nominal descent slope of not greater than 4°. Greater descent slopes will usually require that visual glide slope guidance (e.g. precision path approach indicator (PAPI)) is also visible at the MDH.
- ***: FALS comprise FATO/runway markings, 720 m or more of high intensity/medium intensity (HI/MI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on. IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of low intensity (LI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

Table 4.2.H: Onshore CAT I minima

| DH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|------|------|-------|
| | FALS | IALS | BALS | NALS |
| 200 | 500 | 600 | 700 | 1 000 |
| 201 – 250 | 550 | 650 | 750 | 1 000 |
| 251 – 300 | 600 | 700 | 800 | 1 000 |
| 301 and above | 750 | 800 | 900 | 1 000 |

- *: The DH refers to the initial calculation of DH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to DA.
- ** : The table is applicable to conventional approaches with a glide slope up to and including 4°.
- ***: FALS comprise FATO/runway markings, 720 m or more of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of LI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.
 NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

GM5 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CONVERSION OF REPORTED METEOROLOGICAL VISIBILITY TO RVR/CMV

- (a) A conversion from meteorological visibility to RVR/CMV should not be used:
- (1) when reported RVR is available;
 - (2) for calculating take-off minima; and
 - (3) for other RVR minima less than 800 m.
- (b) If the RVR is reported as being above the maximum value assessed by the aerodrome operator, e.g. 'RVR more than 1 500 m', it should not be considered as a reported value.
- (c) For all other circumstances, Table 5 should be used.

Table 5: Conversion of reported meteorological visibility to RVR/CMV

| Lighting elements in operation | RVR/CMV = reported meteorological visibility x | |
|-------------------------------------------------|------------------------------------------------|----------------|
| | Day | Night |
| High intensity (HI) approach and runway lights | 1.5 | 2.0 |
| Any type of light installation other than above | 1.0 | 1.5 |
| No lights | 1.0 | not applicable |

GM6 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

AIRCRAFT CATEGORIES

- (a) Aircraft categories should be based on the indicated airspeed at threshold (V_{AT}), which is equal to the stalling speed (V_{SO}) multiplied by 1.3 or where published 1-g (gravity) stall speed (V_{S1g}) multiplied by 1.23 in the landing configuration at the maximum certified landing mass. If both V_{SO} and V_{S1g} are available, the higher resulting V_{AT} should be used.
- (b) The aircraft categories specified in the Table 6 should be used.

Table 6: Aircraft categories corresponding to V_{AT} values

| Aircraft category | V_{AT} |
|-------------------|--------------------|
| A | Less than 91 kt |
| B | from 91 to 120 kt |
| C | from 121 to 140 kt |
| D | from 141 to 165 kt |
| E | from 166 to 210 kt |

GM7 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CONTINUOUS DESCENT FINAL APPROACH (CDFA) — AEROPLANES

- (a) Introduction
 - (1) Controlled flight into terrain (CFIT) is a major hazard in aviation. Most CFIT accidents occur in the final approach segment of non-precision approaches; the use of stabilised-approach criteria on a continuous descent with a constant, predetermined vertical path is seen as a major improvement in safety during the conduct of such approaches. The following techniques are adopted as widely as possible, for all approaches.
 - (2) The elimination of level flight segments at MDA close to the ground during approaches, and the avoidance of major changes in attitude and power/thrust close to the runway that can destabilise approaches, are seen as ways to reduce operational risks significantly.
 - (3) The term CDFA has been selected to cover a flight technique for any type of NPA operation.

- (4) The advantages of CDFA are as follows:
- (i) the technique enhances safe approach operations by the utilisation of standard operating practices;
 - (ii) the technique is similar to that used when flying an ILS approach, including when executing the missed approach and the associated missed approach procedure manoeuvre;
 - (iii) the aeroplane attitude may enable better acquisition of visual cues;
 - (iv) the technique may reduce pilot workload;
 - (v) the approach profile is fuel efficient;
 - (vi) the approach profile affords reduced noise levels; and
 - (vii) the technique affords procedural integration with APV operations.
- (b) CDFA
- (1) Continuous descent final approach is defined in **Annex I** to the Regulation on Air operations.
 - (2) An approach is only suitable for application of a CDFA technique when it is flown along a nominal vertical profile; a nominal vertical profile is not forming part of the approach procedure design, but can be flown as a continuous descent. The nominal vertical profile information may be published or displayed on the approach chart to the pilot by depicting the nominal slope or range/distance vs. height. Approaches with a nominal vertical profile are considered to be:
 - (i) NDB, NDB/DME (non-directional beacon/distance measuring equipment);
 - (ii) VOR (VHF omnidirectional radio range), VOR/DME;
 - (iii) LOC (localiser), LOC/DME;
 - (iv) VDF (VHF direction finder), SRA (surveillance radar approach); and
 - (v) GNSS/LNAV (global navigation satellite system/lateral navigation).
 - (3) Stabilised approach (SAp) is defined in **Annex I** to the Regulation on Air operations.
 - (i) The control of the descent path is not the only consideration when using the CDFA technique. Control of the aeroplane's configuration and energy is also vital to the safe conduct of an approach.
 - (ii) The control of the flight path, described above as one of the requirements for conducting an SAp, should not be confused with the path requirements for using the CDFA technique.
 - (iii) The predetermined approach slope requirements for applying the CDFA technique are established by the following:
 - (A) the published 'nominal' slope information when the approach has a nominal vertical profile; and
 - (B) the designated final-approach segment minimum of 3 NM, and maximum, when using timing techniques, of 8 NM.

- (iv) An SAp will never have any level segment of flight at DA/H or MDA/H, as applicable. This enhances safety by mandating a prompt missed approach procedure manoeuvre at DA/H or MDA/H.
- (v) An approach using the CDFA technique will always be flown as an SAp, since this is a requirement for applying CDFA. However, an SAp does not have to be flown using the CDFA technique, for example a visual approach.

GM8 NCO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

ONSHORE AERODROME DEPARTURE PROCEDURES — HELICOPTERS

The cloud base and visibility should be such as to allow the helicopter to be clear of cloud at the take-off decision point (TDP), and for the pilot flying to remain in sight of the surface until reaching the minimum speed for flight in instrument meteorological conditions, as given in the AFM.

AMC1 NCO.OP.111 Aerodrome operating minima – NPA, APV, CAT I operations

NPA FLOWN WITH THE CDFA TECHNIQUE

When flying a non-precision approach operation using the CDFA technique, the pilot-in-command should ensure that when executing a missed approach, the initiation of the go-around is done at or above the DA/H to avoid flying below the MDA/H.

GM1 NCO.OP.112 Aerodrome operating minima – circling operations with aeroplanes

SUPPLEMENTAL INFORMATION

- (a) The purpose of this Guidance Material is to provide pilots with supplemental information regarding the application of aerodrome operating minima in relation to circling approaches.
- (b) Conduct of flight — general:
 - (1) the MDH and obstacle clearance height (OCH) included in the procedure are referenced to aerodrome elevation;
 - (2) the MDA is referenced to mean sea level; and
 - (3) for these procedures, the applicable visibility is the meteorological visibility.
- (c) Instrument approach followed by visual manoeuvring (circling) without prescribed tracks:
 - (1) When the aeroplane is on the initial instrument approach, before visual reference is stabilised, but not below MDA/H — the aeroplane should follow the corresponding instrument approach procedure until the appropriate instrument MAPt is reached.
 - (2) At the beginning of the level flight phase at or above the MDA/H, the instrument approach track determined by radio navigation aids, RNAV, RNP or ILS, microwave landing system (MLS) or GBAS landing system (GLS) should be maintained until the pilot:
 - (i) estimates that, in all probability, visual contact with the runway of intended landing or the runway environment will be maintained during the entire circling procedure;

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- (ii) estimates that the aeroplane is within the circling area before commencing circling; and
 - (iii) is able to determine the aeroplane's position in relation to the runway of intended landing with the aid of the appropriate external references.
 - (3) When reaching the published instrument MAPt and the conditions stipulated in (c)(2) are unable to be established by the pilot, a missed approach should be carried out in accordance with that instrument approach procedure.
 - (4) After the aeroplane has left the track of the initial instrument approach, the flight phase outbound from the runway should be limited to an appropriate distance, which is required to align the aeroplane onto the final approach. Such manoeuvres should be conducted to enable the aeroplane:
 - (i) to attain a controlled and stable descent path to the intended landing runway; and
 - (ii) to remain within the circling area and in such a way that visual contact with the runway of intended landing or runway environment is maintained at all times.
 - (5) Flight manoeuvres should be carried out at an altitude/height that is not less than the circling MDA/H.
 - (6) Descent below MDA/H should not be initiated until the threshold of the runway to be used has been appropriately identified. The aeroplane should be in a position to continue with a normal rate of descent and land within the touchdown zone.
 - (d) Instrument approach followed by a visual manoeuvring (circling) with prescribed track:
 - (1) The aeroplane should remain on the initial instrument approach procedure until one of the following is reached:
 - (i) the prescribed divergence point to commence circling on the prescribed track; or
 - (ii) the MAPt.
 - (2) The aeroplane should be established on the instrument approach track determined by the radio navigation aids, RNAV, RNP, or ILS, MLS or GLS in level flight at or above the MDA/H at or by the circling manoeuvre divergence point.
 - (3) If the divergence point is reached before the required visual reference is acquired, a missed approach should be initiated not later than the MAPt and completed in accordance with the initial instrument approach procedure.
 - (4) When commencing the prescribed circling manoeuvre at the published divergence point, the subsequent manoeuvres should be conducted to comply with the published routing and published heights/altitudes.
 - (5) Unless otherwise specified, once the aeroplane is established on the prescribed track(s), the published visual reference does not need to be maintained unless:
 - (i) required by the State of the aerodrome; or
 - (ii) the circling MAPt (if published) is reached.
 - (6) If the prescribed circling manoeuvre has a published MAPt and the required visual reference has not been obtained by that point, a missed approach should be executed in accordance with (e)(2) and (e)(3).
 - (7) Subsequent further descent below MDA/H should only commence when the required visual reference has been obtained.
 - (8) Unless otherwise specified in the procedure, final descent should not be commenced

from MDA/H until the threshold of the intended landing runway has been identified and the aeroplane is in a position to continue with a normal rate of descent to land within the touchdown zone.

(e) Missed approach:

- (1) Missed approach during the instrument procedure prior to circling:
 - (i) if the missed approach is required to be flown when the aeroplane is positioned on the instrument approach track defined by radio navigation aids, RNAV, RNP or ILS, MLS or GLS and before commencing the circling manoeuvre, the published missed approach for the instrument approach should be followed; or
 - (ii) if the instrument approach procedure is carried out with the aid of an ILS, MLS or a stabilised approach (SAp), the MAPt associated with an ILS or MLS procedure without glide path (GP-out procedure) or the SAp, where applicable, should be used.
- (2) If a prescribed missed approach is published for the circling manoeuvre, this overrides the manoeuvres prescribed below.
- (3) If visual reference is lost while circling to land after the aeroplane has departed from the initial instrument approach track, the missed approach specified for that particular instrument approach should be followed. It is expected that the pilot will make an initial climbing turn toward the intended landing runway to a position overhead of the aerodrome where the pilot will establish the aeroplane in a climb on the instrument missed approach segment.
- (4) The aeroplane should not leave the visual manoeuvring (circling) area, which is obstacle protected, unless:
 - (i) established on the appropriate missed approach procedure; or
 - (ii) at minimum sector altitude (MSA).
- (5) All turns should be made in the same direction and the aeroplane should remain within the circling protected area while climbing either:
 - (i) to the altitude assigned to any published circling missed approach manoeuvre if applicable;
 - (ii) to the altitude assigned to the missed approach of the initial instrument approach;
 - (iii) to the MSA;
 - (iv) to the minimum holding altitude (MHA) applicable for transition to a holding facility or fix, or continue to climb to an MSA; or
 - (v) as directed by ATS.

When the missed approach procedure is commenced on the 'downwind' leg of the circling manoeuvre, an 'S' turn may be undertaken to align the aeroplane on the initial instrument approach missed approach path, provided the aeroplane remains within the protected circling area.

The pilot-in-command should be responsible for ensuring adequate terrain clearance during the above-stipulated manoeuvres, particularly during the execution of a missed approach initiated by ATS.

- (6) Because the circling manoeuvre may be accomplished in more than one direction, different patterns will be required to establish the aeroplane on the prescribed missed approach course, depending on its position at the time visual reference is lost. In

particular, all turns are to be in the prescribed direction if this is restricted, e.g. to the west/east (left or right hand) to remain within the protected circling area.

- (7) If a missed approach procedure is published for a particular runway onto which the aeroplane is conducting a circling approach and the aeroplane has commenced a manoeuvre to align with the runway, the missed approach for this direction may be accomplished. The ATS unit should be informed of the intention to fly the published missed approach procedure for that particular runway.
- (8) The pilot-in-command should advise ATS when any missed approach procedure has been commenced, the height/altitude the aeroplane is climbing to and the position the aeroplane is proceeding towards and/or heading the aeroplane is established on.

AMC1 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

PBN OPERATIONS

For operations where a navigation specification for performance-based navigation (PBN) has been prescribed and no specific approval is required in accordance with **SPA.PBN.100**, the pilot-in-command should:

- (a) use operating procedures specifying:
 - (1) normal, abnormal and contingency procedures;
 - (2) electronic navigation database management; and
 - (3) relevant entries in the minimum equipment list (MEL), where applicable;
- (b) ensure that he/she is appropriately trained for the intended operation.

AMC2 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

MONITORING AND VERIFICATION

- (a) Preflight and general considerations
 - (1) At navigation system initialisation, the pilot-in-command should confirm that the navigation database is current and verify that the aircraft position, if required, has been entered correctly.
 - (2) The active flight plan, if applicable, should be checked by comparing the charts or other applicable documents with navigation equipment and displays. This includes confirmation of the waypoint sequence, reasonableness of track angles and distances, any altitude or speed constraints, and, where possible, which waypoints are fly-by and which are fly-over. Where relevant, the RF leg arc radii should be confirmed.
 - (3) The pilot-in-command should check that the navigation aids critical to the operation of the intended PBN procedure are available.
 - (4) The pilot-in-command should confirm the navigation aids that should be excluded from the operation, if any.
 - (5) An arrival, approach or departure procedure should not be used if the validity of the procedure in the navigation database has expired.

(b) Departure

- (1) Prior to commencing a take-off on a PBN procedure, the pilot-in-command should verify that the area navigation system is available and operating correctly and the correct aerodrome and runway data has been loaded. A positive check should be made that the indicated aircraft position is consistent with the actual aircraft position at the start of the take-off roll (aeroplanes) or lift-off (helicopters).
- (2) Where GNSS is used, the signal should be acquired before the take-off roll (aeroplanes) or lift-off (helicopters) commences.
- (3) Unless automatic updating of the actual departure point is provided, the pilot-in-command should ensure initialisation on the runway or FATO either by means of a manual runway threshold or intersection update, as applicable. This is to preclude any inappropriate or inadvertent position shift after take-off.

(c) Arrival and approach

- (1) The pilot-in-command should verify that the navigation system is operating correctly and the correct arrival procedure and runway (including any applicable transition) are entered and properly depicted.
- (2) Any published altitude and speed constraints should be observed.
- (3) The pilot-in-command should check approach procedures (including alternate aerodromes if needed) as extracted by the system (e.g. CDU flight plan page) or presented graphically on the moving map, in order to confirm the correct loading and the reasonableness of the procedure content.
- (4) Prior to commencing the approach operation (before the IAF), the pilot-in-command should verify the correctness of the loaded procedure by comparison with the appropriate approach charts. This check should include:
 - (i) the waypoint sequence;
 - (ii) reasonableness of the tracks and distances of the approach legs and the accuracy of the inbound course; and
 - (iii) the vertical path angle, if applicable.

(d) Altimetry settings for RNP APCH operations using Baro VNAV

- (1) Barometric settings
 - (i) The pilot-in-command should set and confirm the correct altimeter setting and check that the two altimeters provide altitude values that do not differ more than 100 ft at the most at or before the FAF.
 - (ii) The pilot-in-command should fly the procedure with:
 - (A) a current local altimeter setting source available — a remote or regional altimeter setting source should not be used; and
 - (B) the QNH/QFE, as appropriate, set on the aircraft's altimeters.
- (2) Temperature compensation
 - (i) For RNP APCH operations to LNAV/VNAV minima using Baro VNAV:
 - (A) the pilot-in-command should not commence the approach when the aerodrome temperature is outside the promulgated aerodrome temperature limits for the procedure, unless the area navigation system is equipped with approved temperature compensation for the final approach;

- (B) when the temperature is within promulgated limits, the pilot-in-command should not make compensation to the altitude at the FAF; and
 - (C) since only the final approach segment is protected by the promulgated aerodrome temperature limits, the pilot-in-command should consider the effect of temperature on terrain and obstacle clearance in other phases of flight.
- (ii) For RNP APCH operations to LNAV minima using Baro VNAV:
 - (A) the pilot-in-command should consider the effect of temperature on terrain and obstacle clearance in all phases of flight, in particular on any step-down fix;
 - (B) if the temperature is outside promulgated limits for RNP APCH to LNAV/VNAV minima, the pilot-in-command should not use a Baro VNAV function for vertical guidance, unless the area navigation system is equipped with approved temperature compensation for the final approach.
- (e) Sensor and lateral navigation accuracy selection
 - (1) For multi-sensor systems, the pilot-in-command should verify, during the approach, that the GNSS sensor is used for position computation.
 - (2) For aircraft with RNP input selection capability, the pilot-in-command should confirm that the indicated RNP value is appropriate for the PBN operation.

AMC3 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

MANAGEMENT OF THE NAVIGATION DATABASE

- (a) For RNAV 1, RNAV 2, RNP 1, RNP 2, and RNP APCH, the pilot-in-command should neither insert nor modify waypoints by manual entry into a procedure (departure, arrival or approach) that has been retrieved from the database. User-defined data may be entered and used for waypoint altitude/speed constraints on a procedure where said constraints are not included in the navigation database coding.
- (b) For RNP 4 operations, the pilot-in-command should not modify waypoints that have been retrieved from the database. User-defined data (e.g. for flex-track routes) may be entered and used.
- (c) The lateral and vertical definition of the flight path between the FAF and the missed approach point (MAPt) retrieved from the database should not be revised by the pilot-in-command.

AMC4 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

DISPLAYS AND AUTOMATION

- (a) For RNAV 1, RNP 1, and RNP APCH operations, the pilot-in-command should use a lateral deviation indicator, and where available, flight director and/or autopilot in lateral navigation mode.
- (b) The appropriate displays should be selected so that the following information can be monitored:

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- (1) the computed desired path;
 - (2) aircraft position relative to the lateral path (cross-track deviation) for FTE monitoring; and
 - (3) aircraft position relative to the vertical path (for a 3D operation).
- (c) The pilot-in-command of an aircraft with a lateral deviation indicator (e.g. CDI) should ensure that lateral deviation indicator scaling (full-scale deflection) is suitable for the navigation accuracy associated with the various segments of the procedure.
- (d) The pilot-in-command should maintain procedure centrelines unless authorised to deviate by ATC or demanded by emergency conditions.
- (e) Cross-track error/deviation (the difference between the area-navigation-system-computed path and the aircraft-computed position) should normally be limited to $\pm \frac{1}{2}$ time the RNAV/RNP value associated with the procedure. Brief deviations from this standard (e.g. overshoots or undershoots during and immediately after turns) up to a maximum of 1 time the RNAV/RNP value should be allowable.
- (f) For a 3D approach operation, the pilot-in-command should use a vertical deviation indicator and, where required by AFM/POH limitations, a flight director or autopilot in vertical navigation mode.
- (g) Deviations below the vertical path should not exceed 75 ft at any time, or half-scale deflection where angular deviation is indicated, and not more than 75 ft above the vertical profile, or half-scale deflection where angular deviation is indicated, at or below 1 000 ft above aerodrome level. The pilot-in-command should execute a missed approach if the vertical deviation exceeds this criterion, unless the pilot-in-command has in sight the visual references required to continue the approach.

AMC5 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

VECTORIZING AND POSITIONING

- (a) ATC tactical interventions in the terminal area may include radar headings, 'direct to' clearances which bypass the initial legs of an approach procedure, interceptions of an initial or intermediate segments of an approach procedure or the insertion of additional waypoints loaded from the database.
- (b) In complying with ATC instructions, the pilot-in-command should be aware of the implications for the navigation system.
- (c) 'Direct to' clearances may be accepted to the IF provided that it is clear to the pilot-in-command that the aircraft will be established on the final approach track at least 2 NM before the FAF.
- (d) 'Direct to' clearance to the FAF should not be acceptable. Modifying the procedure to intercept the final approach track prior to the FAF should be acceptable for radar-vectorred arrivals or otherwise only with ATC approval.
- (e) The final approach trajectory should be intercepted no later than the FAF in order for the aircraft to be correctly established on the final approach track before starting the descent (to ensure terrain and obstacle clearance).
- (f) 'Direct to' clearances to a fix that immediately precede an RF leg should not be permitted.
- (g) For parallel offset operations en route in RNP 4 and A-RNP, transitions to and from the offset track should maintain an intercept angle of no more than 45° unless specified otherwise by ATC.

AMC6 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

ALERTING AND ABORT

- (a) Unless the pilot-in-command has sufficient visual reference to continue the approach operation to a safe landing, an RNP APCH operation should be discontinued if:
 - (1) navigation system failure is annunciated (e.g. warning flag);
 - (2) lateral or vertical deviations exceed the tolerances; and
 - (3) loss of the on-board monitoring and alerting system.
- (b) Discontinuing the approach operation may not be necessary for a multi-sensor navigation system that includes demonstrated RNP capability without GNSS in accordance with the AFM/POH.
- (c) Where vertical guidance is lost while the aircraft is still above 1 000 ft AGL, the pilot-in-command may decide to continue the approach to LNAV minima, when supported by the navigation system.

AMC7 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

CONTINGENCY PROCEDURES

- (a) The pilot-in-command should make the necessary preparation to revert to a conventional arrival procedure where appropriate. The following conditions should be considered:
 - (1) failure of the navigation system components including navigation sensors, and a failure effecting flight technical error (e.g. failures of the flight director or autopilot);
 - (2) multiple system failures affecting aircraft performance;
 - (3) coasting on inertial sensors beyond a specified time limit; and
 - (4) RAIM (or equivalent) alert or loss of integrity function.
- (b) In the event of loss of PBN capability, the pilot-in-command should invoke contingency procedures and navigate using an alternative means of navigation.
- (c) The pilot-in-command should notify ATC of any problem with PBN capability.
- (d) In the event of communication failure, the pilot-in-command should continue with the operation in accordance with published lost communication procedures.

AMC8 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

RNAV 10

- (a) Operating procedures and routes should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace.
- (b) The operator may extend RNAV 10 inertial navigation time by position updating. The operator should calculate, using statistically-based typical wind scenarios for each planned route, points at which updates can be made, and the points at which further updates will not be possible.

GM1 NCO.OP.116 Performance-based navigation – aeroplanes and helicopters

DESCRIPTION

- (a) For both, RNP X and RNAV X designations, the 'X' (where stated) refers to the lateral navigation accuracy (total system error) in NM, which is expected to be achieved at least 95 % of the flight time by the population of aircraft operating within the airspace, route or procedure. For RNP APCH and A-RNP, the lateral navigation accuracy depends on the segment.
- (b) PBN may be required on notified routes, for notified procedures and in notified airspace.

RNAV 10

- (c) For purposes of consistency with the PBN concept, this Regulation is using the designation 'RNAV 10' because this specification does not include on-board performance monitoring and alerting.
- (d) However, it should be noted that many routes still use the designation 'RNP 10' instead of 'RNAV 10'. 'RNP 10' was used as designation before the publication of the fourth edition of ICAO Doc 9613 in 2013. The terms 'RNP 10' and 'RNAV 10' should be considered equivalent.

AMC1 NCO.OP.130 Passenger briefing

GENERAL

- (a) The briefing should include the locations and use of seat belts and if applicable:
 - (1) emergency exits;
 - (2) passenger emergency briefing cards;
 - (3) life-jackets;
 - (4) oxygen dispensing equipment;
 - (5) life rafts; and
 - (6) other emergency equipment provided for individual passenger use.
- (b) The briefing should also include the location and general manner of use of the principal emergency equipment carried for collective use.

GM1 NCO.OP.142 Destination aerodromes — instrument approach operations

PBN OPERATIONS

The pilot-in-command may only select an aerodrome as a destination alternate aerodrome if an instrument approach procedure that does not rely on GNSS is available either at that aerodrome or at the destination aerodrome.

AMC1 NCO.OP.145 Refuelling with passengers embarking, on board or disembarking

OPERATIONAL PROCEDURES

If passengers are on board when refuelling with other than aviation gasoline (AVGAS), wide-cut type fuel or a mixture of these types of fuel, the following precautions should be taken:

- (a) the pilot-in-command should remain at a location during fuelling operations with passengers on board which allows him to handle emergency procedures concerning fire protection and fire-fighting and initiate and direct an evacuation;
- (b) personnel and passengers should be warned that refuelling will take place;
- (c) passengers should be instructed to unfasten their seat belts and refrain from smoking; and
- (d) if the presence of fuel vapour is detected inside the aircraft, or any other hazard arises during refuelling, fuelling should be stopped immediately.

AMC1 NCO.OP.160 Meteorological conditions

APPLICATION OF AERODROME FORECASTS (TAF & TREND) — AEROPLANES AND HELICOPTERS

Where a terminal area forecast (TAF) or meteorological aerodrome or aeronautical report (METAR) with landing forecast (TREND) is used as forecast, the following criteria should be used:

- (a) From the start of a TAF validity period up to the time of applicability of the first subsequent 'FM...' or 'BECMG' or, if no 'FM' or 'BECMG' is given, up to the end of the validity period of the TAF, the prevailing weather conditions forecast in the initial part of the TAF should be applied.
- (b) From the time of observation of a METAR up to the time of applicability of the first subsequent 'FM...' or 'BECMG' or, if no 'FM' or 'BECMG' is given, up to the end of the validity period of the TREND, the prevailing weather conditions forecast in the METAR should be applied.
- (c) Following FM (alone) or BECMG AT, any specified change should be applied from the time of the change.
- (d) Following BECMG (alone), BECMG FM, BECMG TL, BECMG FM TL:
 - (1) in the case of deterioration, any specified change should be applied from the start of the change; and
 - (2) in the case of improvement, any specified change should be applied from the end of the change.
- (e) In a period indicated by TEMPO (alone), TEMPO FM, TEMPO TL, TEMPO FM TL, PROB30/40 (alone):
 - (1) deteriorations associated with persistent conditions in connection with e.g. haze, mist, fog, dust/sandstorm, continuous precipitation should be applied;
 - (2) deteriorations associated with transient/showery conditions in connection with short-lived weather phenomena, e.g. thunderstorms, showers may be ignored; and
 - (3) improvements should in all cases be disregarded.
- (f) In a period indicated by PROB30/40 TEMPO:
 - (1) deteriorations may be disregarded; and

- (2) improvements should be disregarded.

Note: Abbreviations used in the context of this AMC are as follows:

| | |
|--------|-------------|
| FM: | from |
| BECMG: | becoming |
| AT: | at |
| TL: | till |
| TEMPO: | temporarily |
| PROB: | probability |

GM1 NCO.OP.160 Meteorological conditions

CONTINUATION OF A FLIGHT — AEROPLANES AND HELICOPTERS

In the case of in-flight re-planning, continuation of a flight refers to the point from which a revised flight plan applies.

GM2 NCO.OP.160 Meteorological conditions

EVALUATION OF METEOROLOGICAL CONDITIONS — AEROPLANES AND HELICOPTERS

It is recommended that the pilot-in-command carefully evaluates the available meteorological information relevant to the proposed flight, such as applicable surface observations, winds, temperatures aloft, terminal and area forecasts, air meteorological information reports (AIRMETs), significant meteorological information (SIGMET) and pilot reports. The ultimate decision whether, when, and where to make the flight rests with the pilot-in-command. The pilot-in-command also should continue to re-evaluate changing weather conditions.

GM1 NCO.OP.170(b) Ice and other contaminants – flight procedures

KNOWN ICING CONDITIONS

Known icing conditions are conditions where actual ice is observed visually to be on the aircraft by the pilot or identified by on-board sensors.

GM1.NCO.OP.180 Simulated situations in flight

DESIGNATION OF PERSONS AS CREW MEMBERS

- (a) The operator may designate any person as a crew member (including a task specialist) provided that:
- (1) the role, according to the reasonable expectation of the operator, will enhance the safety of the flight or achieve an operational objective of the flight;
 - (2) the person, according to the reasonable expectation of the operator, is capable of fulfilling the role;
 - (3) the person has been briefed on the role as a crew member and informed that they are crew, not a passenger; and
 - (4) the person agrees to the role as a crew member.
- (b) Crew members are not considered to be passengers.
- (c) Crew members may be required, by specific provisions of this Regulation and other

Implementing Rules, to hold licences, ratings or other personnel certificates to fulfil certain roles such as instructor, examiner or flight engineer in certain circumstances.

AMC1 NCO.OP.190(a) Use of supplemental oxygen

DETERMINATION OF SUPPLEMENTAL OXYGEN NEED

When determining the need for supplemental oxygen carriage and use, the pilot-in-command should:

- (a) in the preflight phase:
 - (1) be aware of hypoxia conditions and associated risks;
 - (2) consider the following objective conditions for the intended flight:
 - (i) altitude;
 - (ii) duration of the flight; and
 - (iii) any other relevant operational conditions.
 - (3) consider individual conditions of flight crew members and passengers in relation to:
 - (i) altitude of the place of residence;
 - (ii) smoking;
 - (iii) experience in flights at high altitudes;
 - (iv) actual medical conditions and medications;
 - (v) age
 - (vi) disabilities; and
 - (vii) any other relevant factor that may be detected, or reported by the person; and
 - (4) when relevant, ensure that all flight crew members and passengers are briefed on hypoxia conditions and symptoms, as well as on the usage of supplemental oxygen equipment.
- (b) during flight:
 - (1) monitor for early symptoms of hypoxia conditions; and
 - (2) if detecting early symptoms of hypoxia conditions:
 - (i) consider to return to a safe altitude, and
 - (ii) ensure that supplemental oxygen is used, if available.

GM1 NCO.OP.190 Use of supplemental oxygen

GENERAL

- (a) The responsibility of the pilot-in-command for safety of all persons on board, as required by **NCO.GEN.105(a)(1)**, includes the determination of need for supplemental oxygen use.
- (b) The altitudes above which **NCO.OP.190(b)** requires oxygen to be available and used are applicable to those cases when the pilot-in-command cannot determine the need for supplemental oxygen. However, if the pilot-in-command is able to make this determination, he/she may elect in the interest of safety to require oxygen also for operations at or below such altitudes.

- (c) The pilot-in-command should be aware that flying below altitudes mentioned in **NCO.OP.190(b)** does not provide absolute protection against hypoxia symptoms, should individual conditions and aptitudes be prevalent.

GM2 NCO.OP.190 Use of supplemental oxygen

DETERMINATION OF OXYGEN NEED — BEFORE FLIGHT

Detailed information and guidance on hypoxia conditions and symptoms, content of the briefing on hypoxia and assessment of individual conditions may be found in the EASA leaflet 'Hypoxia'.

DETERMINATION OF OXYGEN NEED — IN FLIGHT

Several methods for monitoring hypoxia early symptoms may be used and some methods may be aided by personal equipment, such as finger-mounted pulse oximeters. Detailed information and guidance on entering hypoxia conditions, on hypoxia symptoms early detection, and on use of personal equipment such as finger-mounted pulse oximeters or equivalent may be found in the EASA leaflet 'Hypoxia'.

AMC1 NCO.OP.205 Approach and landing conditions – aeroplanes and helicopters

LANDING DISTANCE/FATO SUITABILITY

The in-flight determination of the landing distance/FATO suitability should be based on the latest available meteorological report.

AMC1 NCO.OP.210 Commencement and continuation of approach – aeroplanes and helicopters

VISUAL REFERENCES FOR NPA, APV AND CAT I OPERATIONS

- (a) At DH or MDH, at least one of the visual references specified below should be distinctly visible and identifiable to the pilot:
- (1) elements of the approach lighting system;
 - (2) the threshold;
 - (3) the threshold markings;
 - (4) the threshold lights;
 - (5) the threshold identification lights;
 - (6) the visual glide slope indicator;
 - (7) the touchdown zone or touchdown zone markings;
 - (8) the touchdown zone lights;
 - (9) FATO/runway edge lights; or
 - (10) other visual references specified in the operations manual.

SUBPART C: AIRCRAFT PERFORMANCE AND OPERATING LIMITATIONS

GM1 NCO.POL.105 Weighing

GENERAL

- (a) New aircraft that have been weighed at the factory may be placed into operation without reweighing if the mass records and, balance records have been adjusted for alterations or modifications to the aircraft. Aircraft transferred from one EU operator to another EU operator do not have to be weighed prior to use by the receiving operator, unless the mass and balance cannot be accurately established by calculation.
- (b) The mass and centre of gravity (CG) position should be revised whenever the cumulative changes to the dry operating mass exceed ± 0.5 % of the maximum landing mass or, for aeroplanes, the cumulative change in CG position exceeds 0.5 % of the mean aerodynamic chord. This may be done by weighing the aircraft or by calculation. If the AFM requires to record changes to mass and CG position below these thresholds, or to record changes in any case, and make them known to the pilot-in-command, mass and CG position should be revised accordingly and made known to the pilot-in-command.

SUBPART D: INSTRUMENTS, DATA AND EQUIPMENT

SECTION 1 – AEROPLANES

GM1 NCO.IDE.A.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Regulation (EU) No 748/2012¹ for aeroplanes registered in the EU; and
- (b) Airworthiness requirements of the State of registry for aeroplanes registered outside the EU.

GM1 NCO.IDE.A.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **NCO.IDE.A.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 NCO.IDE.A.100(c) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with the applicable airworthiness requirements. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aeroplane. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by crew members; and
 - (3) non-installed passenger entertainment equipment.

¹ Commission Regulation (EU) No 748/2012 of 3 August 2012 laying down implementing rules for the airworthiness and environmental certification of aircraft and related products, parts and appliances, as well as for the certification of design and production organisations, OJ L 224, 21.8.2012, p. 1.

GM1 NCO.IDE.A.110 Spare electrical fuses

FUSES

A spare electrical fuse means a replaceable fuse in the flight crew compartment, not an automatic circuit breaker or circuit breakers in the electric compartments.

AMC1 NCO.IDE.A.120&NCO.IDE.A.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the aeroplane for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, aeroplane attitude and stabilised aeroplane heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC2 NCO.IDE.A.120 Operations under VFR – flight and navigational instruments and associated equipment

LOCAL FLIGHTS

For flights that do not exceed 60 minutes duration, that take off and land at the same aerodrome, and that remain within 50 NM of that aerodrome, an equivalent means of complying with **NCO.IDE.A.120(b)(1)(i), (b)(1)(ii)** may be:

- (a) a turn and slip indicator;
- (b) a turn co-ordinator; or
- (c) both an attitude indicator and a slip indicator.

GM1 NCO.IDE.A.120 Operations under VFR – flight and navigational instruments and associated equipment

SLIP INDICATION

Aeroplanes should be equipped with a means of measuring and displaying slip.

GM1 NCO.IDE.A.125 Operations under IFR – flight and navigational instruments and associated equipment

ALTERNATE SOURCE OF STATIC PRESSURE

Aeroplanes should be equipped with an alternate source of static pressure.

AMC1 NCO.IDE.A.120(a)(1)&NCO.IDE.A.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 NCO.IDE.A.120(a)(2)&NCO.IDE.A.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME

A means of measuring and displaying the time in hours, minutes and seconds may be a wrist watch capable of the same functions.

AMC1 NCO.IDE.A.120(a)(3)&NCO.IDE.A.125(a)(3) Operations under VFR operations & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying barometric altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

GM1 NCO.IDE.A.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for aeroplanes operating above 10 000 ft.

AMC1 NCO.IDE.A.120(a)(4)&NCO.IDE.A.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

- (a) The instrument indicating airspeed should be calibrated in knots (kt).
- (b) In the case of aeroplanes with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in kilometres per hour (kph) or in miles per hour (mph) is acceptable when such units are used in the AFM.

AMC1 NCO.IDE.A.120(c)&NCO.IDE.A.125(c) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 NCO.IDE.A.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) In the case of aeroplanes with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in degrees Fahrenheit is acceptable, when such unit is used in the AFM.
- (c) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 NCO.IDE.A.130 Terrain awareness warning system (TAWS)

EXCESSIVE DOWNWARDS GLIDESLOPE DEVIATION WARNING FOR CLASS A TAWS

The requirement for a Class A TAWS to provide a warning to the flight crew for excessive downwards glideslope deviation should apply to all final approach glideslopes with angular vertical navigation (VNAV) guidance, whether provided by the instrument landing system (ILS), microwave landing system (MLS), satellite-based augmentation system approach procedure with vertical guidance (SBAS APV (localiser performance with vertical guidance approach LPV)), ground-based augmentation system (GBAS (GPS landing system, GLS)) or any other systems providing similar guidance. The same requirement should not apply to systems providing vertical guidance based on barometric VNAV.

GM1 NCO.IDE.A.130 Terrain awareness warning system (TAWS)

ACCEPTABLE STANDARD FOR TAWS

An acceptable standard for Class A and Class B TAWS may be the applicable Technical Standards Order (TSO) issued by the CAA or equivalent.

AMC1 NCO.IDE.A.135 Flight crew interphone system

GENERAL

- (a) The flight crew interphone system should not be of a handheld type.
- (b) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the aeroplane's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the pilot's head. Headset boom microphones should be of the noise cancelling type.
- (c) If the intention is to utilise noise cancelling earphones, the pilot-in-command should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the aeroplane.

GM1 NCO.IDE.A.135 Flight crew interphone system

HEADSET

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

AMC1 NCO.IDE.A.140 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a supplementary loop belt manufactured with the same techniques and the same materials as the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective aircraft seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD);
 - (2) CRDs approved through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seats approved for use in motor vehicles on the basis of the technical standard specified in (i). The child seat must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):
 - (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label;

and

- (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
 - (4) Child seats approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seats approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and
 - (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seats approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (7) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The devices should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
- (1) Forward-facing child seats may be installed on both forward-and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on forward-facing passenger seats. A child seat may not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.
 - (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
 - (3) An infant/child in a CRD should not hinder evacuation for any passenger.
- (d) Installation
- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
 - (2) All safety and installation instructions should be followed carefully by the responsible adult accompanying the infant/child. Operators should prohibit the use of a CRD not installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.
 - (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This

procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.

- (4) The buckle of the adult safety belt should be easily accessible for both opening and closing, and should be in line with the seat belt halves (not canted) after tightening.
 - (5) Forward-facing restraint devices with an integral harness must not be installed such that the adult safety belt is secured over the infant.
- (e) Operation
- (1) Each CRD should remain secured to a passenger seat during all phases of flight unless it is properly stowed when not in use.
 - (2) Where a child seat is adjustable in recline, it should be in an upright position for all occasions when passenger restraint devices are required.

AMC2 NCO.IDE.A.140 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

- (a) The following systems are deemed to be compliant with the requirement for an upper torso restraint system:
- (1) A seat belt with a diagonal shoulder strap;
 - (2) A restraint system having a seat belt and two shoulder straps that may be used independently;
 - (3) A restraint system having a seat belt, two shoulder straps and additional straps that may be used independently.
- (b) The use of the upper torso restraint independently from the use of the seat belt is intended as an option for the comfort of the occupant of the seat in those phases of flight where only the seat belt is required to be fastened. A restraint system including a seat belt and an upper torso restraint that both remain permanently fastened is also acceptable.

SEAT BELT

A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC1 NCO.IDE.A.145 First-aid kit

CONTENT OF FIRST-AID KITS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the FAKs:
 - (1) bandages (assorted sizes),
 - (2) burns dressings (large and small),
 - (3) wound dressings (large and small),
 - (4) adhesive dressings (assorted sizes),
 - (5) antiseptic wound cleaner,
 - (6) safety scissors,
 - (7) disposable gloves.

AMC2 NCO.IDE.A.145 First-aid kit

MAINTENANCE OF FIRST-AID KIT

To be kept up-to-date, the first-aid kit should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 NCO.IDE.A.150 Supplemental oxygen – pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of the amount of oxygen for the routes to be flown, it is assumed that the aeroplane will descend in accordance with the emergency procedures specified in the AFM, without exceeding its operating limitations, to a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance, etc.).
- (b) The amount of oxygen should be determined on the basis of cabin pressure altitude, flight duration, and on the assumption that a cabin pressurisation failure will occur at the pressure altitude or point of flight that is most critical from the standpoint of oxygen need.
- (c) Following a cabin pressurisation failure, the cabin pressure altitude should be considered to be the same as the aeroplane pressure altitude, unless it can be demonstrated to the CAA that no probable failure of the cabin or pressurisation system will result in a cabin pressure altitude equal to the aeroplane pressure altitude. Under these circumstances, the demonstrated

maximum cabin pressure altitude may be used as a basis for determination of oxygen supply.

AMC1 NCO.IDE.A.155 Supplemental oxygen – non-pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of the amount of oxygen for the routes to be flown, it is assumed that the aeroplane will operate at a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance, etc.).
- (b) The amount of oxygen should be determined on the basis of cabin pressure altitude and flight duration.

AMC2 NCO.IDE.A.155 Supplemental oxygen supply – non-pressurised aeroplanes

OXYGEN SUPPLY

The need for oxygen supply, when required by **NCO.OP.190**, may be met either by means of installed equipment or portable equipment.

AMC1 NCO.IDE.A.165 Marking of break-in points

MARKINGS — COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 NCO.IDE.A.170 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs or PLBs should be replaced (or recharged, if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:
 - (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged, if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (3) All batteries used in PLBs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as

established by the battery manufacturer, has expired.

- (4) The battery useful life (or useful life of charge) criteria in (1),(2) and (3) do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.
- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 NCO.IDE.A.170 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
 - (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid search and rescue (SAR) teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III, and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC3 NCO.IDE.A.170 Emergency locator transmitter (ELT)

PLB TECHNICAL SPECIFICATIONS

- (a) A personal locator beacon (PLB) should have a built-in GNSS receiver with a cosmicheskaya sistyema poiska avariynich sudov — search and rescue satellite-aided tracking (COSPAS-SARSAT) type approval number. However, devices with a COSPAS-SARSAT number belonging to series 700 are excluded as this series of numbers identifies the special-use beacons not meeting all the technical requirements and all the tests specified by COSPAS-SARSAT.
- (b) Any PLB carried should be registered with the national agency responsible for initiating search

and rescue or other nominated agency.

AMC4 NCO.IDE.A.170 Emergency locator transmitter (ELT)

BRIEFING ON PLB USE

When a PLB is carried by a passenger, he/she should be briefed on its characteristics and use by the pilot-in-command before the flight.

GM1 NCO.IDE.A.170 Emergency locator transmitter (ELT)

TERMINOLOGY

- (a) An ELT is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.
- (b) A PLB is an emergency beacon other than an ELT that broadcasts distinctive signals on designated frequencies, is standalone, portable and is manually activated by the survivors.

AMC1 NCO.IDE.A.175 Flight over water

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket, if not worn, should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or a restraint system fastened.

MEANS OF ILLUMINATION FOR LIFE-JACKETS

Each life-jacket or equivalent individual flotation device should be equipped with a means of electric illumination for the purpose of facilitating the location of persons.

RISK ASSESSMENT

- (a) When conducting the risk assessment, the pilot-in-command should base his/her decision, as far as is practicable, on the Implementing Rules and AMCs applicable to the operation of the aeroplane.
- (b) The pilot-in-command should, for determining the risk, take the following operating environment and conditions into account:
 - (1) sea state;
 - (2) sea and air temperatures;
 - (3) the distance from land suitable for making an emergency landing; and
 - (4) the availability of search and rescue facilities.

GM1 NCO.IDE.A.175 Flight over water

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 NCO.IDE.A.180 Survival equipment

GENERAL

- (a) Aeroplanes operated across land areas in which search and rescue would be especially difficult should be equipped with the following:
 - (1) signalling equipment to make the distress signals;
 - (2) at least one ELT(S) or a PLB, carried by the pilot-in-command or a passenger; and
 - (3) additional survival equipment for the route to be flown, taking account of the number of persons on board.
- (b) The additional survival equipment specified in (a)(3) does not need to be carried when the aeroplane remains within a distance from an area where search and rescue is not especially difficult, that corresponds to:
 - (1) 120 minutes at one-engine-inoperative (OEI) cruising speed for aeroplanes capable of continuing the flight to an aerodrome with the critical engine(s) becoming inoperative at any point along the route or planned diversion routes; or
 - (2) 30 minutes at cruising speed for all other aeroplanes.

AMC2 NCO.IDE.A.180 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) If any item of equipment contained in the above list is already carried on board the aeroplane in accordance with another requirement, there is no need for this to be duplicated.

GM1 NCO.IDE.A.180 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 NCO.IDE.A.180 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether

- search and rescue would be or would not be especially difficult; and
- (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

GM1 NCO.IDE.A.190 Radio communication equipment

APPLICABLE AIRSPACE REQUIREMENTS

For aeroplanes being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

AMC1 NCO.IDE.A.195 Navigation equipment

NAVIGATION WITH VISUAL REFERENCE TO LANDMARKS

Where aeroplanes, with the surface in sight, can proceed according to the ATS flight plan by navigation with visual reference to landmarks, no additional equipment is needed to comply with NCO.IDE.A.195(a)(1).

GM1 NCO.IDE.A.195 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM/POH.
- (b) Where such a reference cannot be found in the AFM/POH, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
- (1) AFM/POH, supplements thereto, and documents directly referenced in the AFM/POH;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM/POH. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM/POH or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.

(g) RNAV 5

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.

(h) RNAV 1/RNAV 2

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
- (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.

(i) RNP 1/RNP 2 continental

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
- (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2

capability.

- (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
- (j) RNP APCH — LNAV minima
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-04¹, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

¹ <http://ad.easa.europa.eu/ad/2014-04>

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- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.
- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.
- (n) RNP 4
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
 - (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
- (3) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations.
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.

- (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
 - (4) In all cases, the limitations in the AFM/POH need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (5) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM2 NCO.IDE.A.195 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM/POH, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM/POH, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the pilot-in-command may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM/POH may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 NCO.IDE.A.200 Transponder

GENERAL

- (a) The secondary surveillance radar (SSR) transponders of aeroplanes being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 NCO.IDE.A.205 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 NCO.IDE.A.205 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 NCO.IDE.A.205 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with an established procedure if no validity period is defined.

GM3 NCO.IDE.A.205 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SECTION 2 – HELICOPTERS

GM1 NCO.IDE.H.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Regulation (EU) No 748/2012 for helicopters registered in the EU; and
- (b) Airworthiness requirements of the State of registry for helicopters registered outside the EU.

GM1 NCO.IDE.H.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **NCO.IDE.H.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 NCO.IDE.H.100(c) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with the applicable airworthiness requirements. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the helicopter. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by crew members; and
 - (3) non-installed passenger entertainment equipment.

AMC1 NCO.IDE.H.115 Operating lights

LANDING LIGHT

The landing light should be trainable, at least in the vertical plane, or optionally be an additional fixed light or lights positioned to give a wide spread of illumination.

AMC1 NCO.IDE.H.120&NCO.IDE.H.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the helicopter for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, helicopter attitude and stabilised helicopter heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC1 NCO.IDE.H.120(a)(1)&NCO.IDE.H.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 NCO.IDE.H.120(a)(2)&NCO.IDE.H.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME

A means of measuring and displaying the time in hours, minutes and seconds may be a wrist watch capable of the same functions.

AMC1 NCO.IDE.H.120(a)(3)&NCO.IDE.H.125(a)(3) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying pressure altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 NCO.IDE.H.120(a)(5) Operations under VFR – flight and navigational instruments and associated equipment

SLIP

The means of measuring and displaying slip may be a slip string for operations under VFR.

GM1 NCO.IDE.H.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for helicopters operating above 10 000 ft.

AMC1 NCO.IDE.H.120(a)(4)&NCO.IDE.H.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

- (a) The instrument indicating airspeed should be calibrated in knots (kt).
- (b) In the case of helicopters with an MCTOM below 2 000 kg, calibration in kilometres per hour (kph) or in miles per hour (mph) is acceptable when such units are used in the AFM.

AMC1 NCO.IDE.H.120(b)(1)(iii)&NCO.IDE.H.125(a)(8) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

STABILISED HEADING

Stabilised direction should be achieved for VFR flights by a gyroscopic direction indicator, whereas for IFR flights, this should be achieved through a magnetic gyroscopic direction indicator.

AMC1 NCO.IDE.H.120(c)&NCO.IDE.H.125(c) Operations under VFR & Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 NCO.IDE.H.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) In the case of helicopters with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in degrees Fahrenheit is acceptable, when such unit is used in the AFM.
- (c) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 NCO.IDE.H.135 Flight crew interphone system

GENERAL

- (a) The flight crew interphone system should not be of a handheld type.
- (b) A headset consists of a communication device which includes two earphones to receive and a microphone to transmit audio signals to the helicopter's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the pilot's head. Headset boom microphones should be of the noise cancelling type.
- (c) If the intention is to utilise noise cancelling earphones, the pilot-in-command should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the helicopter.

GM1 NCO.IDE.H.135 Flight crew interphone system

HEADSET

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

AMC1 NCO.IDE.H.140 Seats, seat safety belts, restraint systems and child restraint devices

CHILD RESTRAINT DEVICES (CRDs)

- (a) A CRD is considered to be acceptable if:
 - (1) it is a supplementary loop belt manufactured with the same techniques and the same materials of the approved safety belts; or
 - (2) it complies with (b).
- (b) Provided the CRD can be installed properly on the respective helicopter seat, the following CRDs are considered acceptable:
 - (1) CRDs approved for use in aircraft according to the European Technical Standard Order ETSO-C100c on Aviation Child Safety Device (ACSD);

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- (2) CRDs approved through a Type Certificate or Supplemental Type Certificate;
 - (3) Child seats approved for use in motor vehicles on the basis of the technical standard specified in (i). The child seat must be also approved for use in aircraft on the basis of the technical standard specified in either point (ii) or point (iii):
 - (i) UN Standard ECE R44-04 (or 03), or ECE R129 bearing the respective 'ECE R' label; and
 - (ii) German 'Qualification Procedure for Child Restraint Systems for Use in Aircraft' (TÜV Doc.: TÜV/958-01/2001) bearing the label 'For Use in Aircraft'; or
 - (iii) Other technical standard acceptable to the CAA. The child seat should hold a qualification sign that it can be used in aircraft.
 - (4) Child seats approved for use in motor vehicles and aircraft according to Canadian CMVSS 213/213.1 bearing the respective label;
 - (5) Child seats approved for use in motor vehicles and aircraft according to US FMVSS No 213 and bearing one or two labels displaying the following two sentences:
 - (i) 'THIS CHILD RESTRAINT SYSTEM CONFORMS TO ALL APPLICABLE FEDERAL MOTOR VEHICLE SAFETY STANDARDS'; and
 - (ii) in red letters 'THIS RESTRAINT IS CERTIFIED FOR USE IN MOTOR VEHICLES AND AIRCRAFT';
 - (6) Child seats approved for use in motor vehicles and aircraft according to Australia/New Zealand's technical standard AS/NZS 1754:2013 bearing the green part on the label displaying 'For Use in Aircraft'; and
 - (7) CRDs manufactured and tested according to other technical standards equivalent to those listed above. The devices should be marked with an associated qualification sign, which shows the name of the qualification organisation and a specific identification number, related to the associated qualification project. The qualifying organisation should be a competent and independent organisation that is acceptable to the CAA.
- (c) Location
- (1) Forward-facing child seats may be installed on both forward- and rearward-facing passenger seats, but only when fitted in the same direction as the passenger seat on which they are positioned. Rearward-facing child seats should only be installed on forward-facing passenger seats. A child seat may not be installed within the radius of action of an airbag unless it is obvious that the airbag is de-activated or it can be demonstrated that there is no negative impact from the airbag.
 - (2) An infant/child in a CRD should be located in the vicinity of a floor level exit.
 - (3) An infant/child in a CRD should not hinder evacuation for any passenger.
- (d) Installation
- (1) CRDs tested and approved for use in aircraft should only be installed on a suitable passenger seat by the method shown in the manufacturer's instructions provided with each CRD and with the type of connecting device they are approved for the installation in aircraft. CRDs designed to be installed only by means of rigid bar lower anchorages (ISOFIX or equivalent) should only be used on passenger seats equipped with such connecting devices and should not be secured by passenger seat lap belt.
 - (2) All safety and installation instructions should be followed carefully by the responsible person accompanying the infant/child. Operators should prohibit the use of a CRD not

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- installed on the passenger seat according to the manufacturer's instructions or not approved for use in aircraft.
- (3) If a forward-facing child seat with a rigid backrest is to be fastened by a seat lap belt, the restraint device should be fastened when the backrest of the passenger seat on which it rests is in a reclined position. Thereafter, the backrest is to be positioned upright. This procedure ensures better tightening of the child seat on the aircraft seat if the aircraft seat is reclinable.
 - (4) The buckle of the adult safety belt should be easily accessible for both opening and closing, and should be in line with the seat belt halves (not canted) after tightening.
 - (5) Forward-facing restraint devices with an integral harness must not be installed such that the adult safety belt is secured over the infant.
- (e) Operation
- (1) Each CRD should remain secured to a passenger seat during all phases of flight, unless it is properly stowed when not in use.
 - (2) Where a child seat is adjustable in recline, it should be in an upright position for all occasions when passenger restraint devices are required.

AMC2 NCO.IDE.H.140 Seats, seat safety belts, restraint systems and child restraint devices

UPPER TORSO RESTRAINT SYSTEM

The following systems are deemed to be compliant with the requirement for an upper torso restraint system:

- (a) a seat belt with a diagonal shoulder strap;
- (b) a restraint system having a seat belt and two shoulder straps that may be used independently;
- (c) a restraint system having a seat belt, two shoulder straps and additional straps that may be used independently.

SEAT BELT

A seat belt with diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC1 NCO.IDE.H.145 First-aid kit

CONTENT OF FIRST-AID KITS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the FAKs:
 - (1) bandages (assorted sizes),
 - (2) burns dressings (large and small),
 - (3) wound dressings (large and small),
 - (4) adhesive dressings (assorted sizes),
 - (5) antiseptic wound cleaner,
 - (6) safety scissors,
 - (7) disposable gloves.

AMC2 NCO.IDE.H.145 First-aid kit

MAINTENANCE OF FIRST-AID KIT

To be kept up-to-date, the first-aid kit should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 NCO.IDE.H.155 Supplemental oxygen – non-pressurised helicopters

DETERMINATION OF OXYGEN

The amount of oxygen should be determined on the basis of cabin pressure altitude and flight duration, consistent with the operating procedures, including emergency procedures, established for each operation and the routes to be flown as specified in the AFM.

AMC2 NCO.IDE.H.155 Supplemental oxygen supply – non-pressurised helicopters

OXYGEN SUPPLY

The need for oxygen supply, when required by **NCO.OP.190**, may be met either by means of installed equipment or portable equipment.

AMC1 NCO.IDE.H.165 Marking of break-in points

MARKINGS — COLOUR AND CORNERS

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 NCO.IDE.H.170 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs or PLBs should be replaced (or recharged, if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:
 - (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged, if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (3) All batteries used in PLBs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (4) The battery useful life (or useful life of charge) criteria in (1), (2) and (3) do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.
- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 NCO.IDE.H.170 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
 - (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid SAR teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).

- (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
- (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency, and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed either to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III, and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC3 NCO.IDE.H.170 Emergency locator transmitter (ELT)

PLB TECHNICAL SPECIFICATIONS

- (a) A personal locator beacon (PLB) should have a built-in GNSS receiver with a cosmicheskaya sistyema poiska avariynich sudov — search and rescue satellite-aided tracking (COSPAS-SARSAT) type approval number. However, devices with a COSPAS-SARSAT number belonging to series 700 are excluded as this series of numbers identifies the special-use beacons not meeting all the technical requirements and all the tests specified by COSPAS-SARSAT.
- (b) Any PLB carried should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC4 NCO.IDE.H.170 Emergency locator transmitter (ELT)

BRIEFING ON PLB USE

When a PLB is carried by a passenger, he/she should be briefed on its characteristics and use by the pilot-in-command before the flight.

GM1 NCO.IDE.H.170 Emergency locator transmitter (ELT)

TERMINOLOGY

- (a) An ELT is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.
- (b) A PLB is an emergency beacon other than an ELT that broadcasts distinctive signals on designated frequencies, is standalone, portable and is manually activated by the survivors.

AMC1 NCO.IDE.H.175 Flight over water

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket, if not worn, should be accessible from the seat or berth of the person for whose use it is provided, with a safety belt or a restraint system fastened.

RISK ASSESSMENT

- (a) When conducting the risk assessment, the pilot-in-command should base his/her decision, as far as is practicable, on the Implementing Rules and AMCs applicable to the operation of the helicopter.
- (b) The pilot-in-command should, for determining the risk, take the following operating environment and conditions into account:
 - (1) sea state;
 - (2) sea and air temperatures;
 - (3) the distance from land suitable for making an emergency landing; and
 - (4) the availability of search and rescue facilities.

GM1 NCO.IDE.H.175 Flight over water

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 NCO.IDE.H.180 Survival equipment

GENERAL

Helicopters operated across areas in which search and rescue would be especially difficult should be equipped with the following:

- (a) signalling equipment to make the distress signals;
- (b) at least one ELT(S) or a PLB, carried by the pilot-in-command or a passenger; and
- (c) additional survival equipment for the route to be flown taking account of the number of persons on board.

AMC2 NCO.IDE.H.180 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) If any item of equipment contained in the above list is already carried on board the helicopter in accordance with another requirement, there is no need for this to be duplicated.

GM1 NCO.IDE.H.180 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 NCO.IDE.H.180 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

AMC1 NCO.IDE.H.185 All helicopters on flights over water – ditching

The considerations of **AMC1 SPA.HOFO.165(d)** should apply in respect of emergency flotation equipment.

AMC1 NCO.IDE.H.195 Navigation equipment

NAVIGATION WITH VISUAL REFERENCE TO LANDMARKS

Where helicopters, with the surface in sight, can proceed according to the ATS flight plan by navigation with visual reference to landmarks, no additional equipment is needed to comply **NCO.IDE.H.195(a)(1)**.

GM1 NCO.IDE.H.195 Navigation equipment

APPLICABLE AIRSPACE REQUIREMENTS

For helicopters being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

GM2 NCO.IDE.H.195 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM/POH.
- (b) Where such a reference cannot be found in the AFM/POH, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:

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- (1) AFM/POH, supplements thereto, and documents directly referenced in the AFM/POH;
 - (2) FCOM or similar document;
 - (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM/POH. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM/POH or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2)
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;

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- (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
 - (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
- (k) RNP APCH — LNAV/VNAV minima

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- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
- (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
- (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-04¹, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
- (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
- (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.
- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
- (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.

¹ <http://ad.easa.europa.eu/ad/2014-04>

(n) RNP 4

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4

- operations.
- (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
- (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
 - (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
- (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations:
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
- (1) In all cases, the limitations in the AFM/POH need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM3 NCO.IDE.H.195 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM/POH, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM/POH, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the pilot-in-command may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM/POH may permit entry if one GNSS

sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 NCO.IDE.H.200 Transponder

GENERAL

- (a) The secondary surveillance radar (SSR) transponders of helicopters being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 NCO.IDE.H.205 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 NCO.IDE.H.205 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 NCO.IDE.H.205 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with an established procedure if no validity period is defined.

GM3 NCO.IDE.H.205 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SUBPART E: SPECIFIC REQUIREMENTS

SECTION 1 – GENERAL

AMC1 NCO.SPEC.100 Scope

CRITERIA

The pilot-in-command should consider the following criteria to determine whether an activity falls within the scope of specialised operations:

- (a) the aircraft is flown close to the surface to fulfil the mission;
- (b) abnormal manoeuvres are performed;
- (c) special equipment is necessary to fulfil the mission and which affects the manoeuvrability of the aircraft;
- (d) substances are released from the aircraft during the flight where these substances are either harmful or affect the manoeuvrability of the aircraft;
- (e) external loads or goods are lifted or towed;
- (f) persons enter or leave the aircraft during flight; or
- (g) the flight falls under the definition of 'maintenance check flight'.

GM1 NCO.SPEC.100 Scope

LIST OF SPECIALISED OPERATIONS

- (a) Specialised operations include the following activities:
 - (1) helicopter external loads operations;
 - (2) helicopter survey operations;
 - (3) human external cargo operations;
 - (4) parachute operations and skydiving;
 - (5) agricultural flights;
 - (6) aerial photography flights;
 - (7) glider towing;
 - (8) aerial advertising flights;
 - (9) calibration flights;
 - (10) construction work flights, including stringing power line operations, clearing saw operations;
 - (11) oil spill work;
 - (12) avalanche mining operations;
 - (13) survey operations, including aerial mapping operations, pollution control activity;
 - (14) news media flights, television and movie flights;

-
- (15) special events flights, including such as flying display, competition flights;
 - (16) aerobatic flights;
 - (17) animal herding and rescue flights and veterinary dropping flights;
 - (18) maritime funeral operations;
 - (19) scientific research flights (other than those under Annex I to Regulation (EU) 2018/1139);
 - (20) cloud seeding; and
 - (21) maintenance check flights.
- (b) For other operations, the pilot-in-command can apply the criteria specified in **AMC1 NCO.SPEC.100** to determine whether an activity falls within the scope of specialised operations.

GM1 NCO.SPEC.105 Checklist

DEVELOPMENT OF CHECKLISTS

For developing the checklist, the pilot-in-command should duly take into account at least the following items:

- (a) nature and complexity of the activity:
 - (1) the nature of the flight and the risk exposure, e.g. low height;
 - (2) the complexity of the activity taking into account the necessary pilot skills and level of experience, ground support, safety and individual protective equipment;
 - (3) the operational environment and geographical area, e.g., congested hostile environment, mountainous areas, sea areas, or desert areas;
 - (4) the result of the risk assessment and evaluation;
- (b) aircraft and equipment:
 - (1) the category of aircraft to be used for the activity should be indicated, e.g. helicopter/aeroplane, single/multi-engined;
 - (2) all equipment required for the activity should be listed;
- (c) crew members:
 - (1) crew composition;
 - (2) minimum crew experience and training provisions; and
 - (3) recency provisions;
- (d) task specialists:
 - (1) description of the task specialists' function(s)
 - (2) minimum crew experience and training provisions; and
 - (3) recency provisions;
 - (4) briefing;
- (e) aircraft performance:

this chapter should detail the specific performance requirements to be applied, in order to ensure an adequate power margin;
- (f) normal procedures and emergency procedures:

-
- (1) operating procedures for the flight crew, including the coordination with task specialists;
 - (2) ground procedures for the task specialists;
 - (g) ground equipment:
this chapter should detail the nature, number and location of ground equipment required for the activity;
 - (h) records:
it should be determined which records specific to these flight(s) are to be kept, such as task details, aircraft registration, pilot-in-command, flight times, weather and any remarks, including a record of occurrences affecting flight safety or the safety of persons or property on the ground.

GM2 NCO.SPEC.105 Checklists

TEMPLATE FORMS

The following templates are examples, which could be used for developing checklist.

(a) Template Form A — Risk assessment (RA)

| | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Date: | RA of Responsible: |
| Purpose: | |
| Type of operation and brief description: | |
| Participants, working group: | |
| Preconditions, assumptions and simplifications: | |
| Data used: | |
| Description of the analysis method: | |
| External context: | |
| <ul style="list-style-type: none"> — Regulatory requirements — Approvals — Environmental conditions (visibility, wind, turbulence, contrast, light, elevation, etc.; unless evident from the checklists) — Stakeholders and their potential interest | |
| Internal context: | |
| <ul style="list-style-type: none"> — Type(s) of aircraft — Personnel and qualifications — Combination/similarity with other operations/SOPs — Other RA used/considered/plugged in | |
| Existing barriers and emergency preparedness: | |
| Monitoring and follow up: | |
| Description of the risk: | |
| Risk evaluation: | |
| Conclusions: | |

(b) Template Form B — Hazard identification (HI)

Date: _____ HI of Responsible: _____

| Phase of operation | Haz ref | Hazard / accidental event | Cause / threat | Current Treatment Measures (TM) | Further treatment required | TM ref | Comment |
|--------------------|---------|---------------------------|----------------|---------------------------------|----------------------------|--------|---------|
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Haz ref: A unique number for hazards, e.g., for use in a database

TM ref: A unique number for the treatment method

(c) Template Form C — Mitigating measures

Date:..... RA of Responsible:.....

| Phase of operation | Haz ref | Hazard/ accidental event | Current Treatment Measures (TM)/ controls | TM ref | L | C | Further treatment required |
|--------------------|---------|--------------------------|-------------------------------------------|--------|---|---|----------------------------|
| | | | | | | | |
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Haz ref: A unique number for hazards, e.g., for use in a database

TM ref: A unique number for the treatment method

L: Likelihood (probability)

C: Consequence

(d) Template register A — Risk register

| Ref | Operation/ Procedure | Ref | Generic hazard | Ref | Accidental event | Treatment/ control | L | C | Monitoring |
|-----|-------------------------|-----|-------------------|-----|---------------------|-----------------------|---|---|------------|
| | | | | | | | | | |
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L: Likelihood (probability)
C: Consequence

AMC1 NCO.SPEC.110(f) Pilot-in-command responsibilities and authority

DETERMINATION OF SUPPLEMENTAL OXYGEN NEED

When determining the need for supplemental oxygen carriage and use, the pilot-in-command should:

- (a) in the preflight phase:
 - (1) be aware of hypoxia conditions and associated risks;
 - (2) consider the following objective conditions for the intended flight:
 - (i) altitude;
 - (ii) duration of the flight; and
 - (iii) any other relevant operational conditions;
 - (3) consider individual conditions of flight crew members and task specialists in relation to:
 - (i) altitude of the place of residence;
 - (ii) smoking;
 - (iii) experience in flights at high altitudes;
 - (iv) actual medical conditions and medications;
 - (v) age;
 - (vi) disabilities; and
 - (vii) any other relevant factor that may be detected, or reported by the person; and
 - (4) when relevant, ensure that all flight crew members and task specialists are briefed on hypoxia conditions and symptoms, as well as on the usage of supplemental oxygen equipment.

- (b) during flight:
 - (1) monitor for early symptoms of hypoxia conditions; and
 - (2) if detecting early symptoms of hypoxia conditions:
 - (i) consider to return to a safe altitude, and
 - (ii) ensure that supplemental oxygen is used, if available.

GM1 NCO.SPEC.110(f) Pilot-in-command responsibilities and authority

DETERMINATION OF SUPPLEMENTAL OXYGEN NEED

- (a) The responsibility of the pilot-in-command for safety of all persons on board, as required by **NCO.GEN.105(a)(1)**, includes the determination of need for supplemental oxygen use.
- (b) The altitudes above which **NCO.SPEC.110(f)** requires oxygen to be available and used are applicable to those cases when the pilot-in-command cannot determine the need for supplemental oxygen. However, if the pilot-in-command is able to make this determination, he/she may elect in the interest of safety to require oxygen also for operations at or below such altitudes.
- (c) The pilot-in-command should be aware that flying below altitudes mentioned in **NCO.SPEC.110(f)** does not provide absolute protection against hypoxia symptoms, should individual conditions and aptitudes be prevalent.

GM2 NCO.SPEC.110(f) Pilot-in-command responsibilities and authority

DETERMINATION OF OXYGEN NEED — BEFORE FLIGHT

Detailed information and guidance on hypoxia conditions and symptoms, content of the briefing on hypoxia and assessment of individual conditions may be found in the EASA leaflet 'Hypoxia'.

DETERMINATION OF OXYGEN NEED — IN FLIGHT

Several methods for monitoring hypoxia early symptoms may be used and some methods may be aided by personal equipment, such as finger-mounted pulse oximeters. Detailed information and guidance on entering hypoxia conditions, on hypoxia symptoms early detection, and on use of personal equipment such as finger-mounted pulse oximeters or equivalent may be found in the EASA leaflet 'Hypoxia'.

AMC1 NCO.SPEC.125 Safety briefing

TASK SPECIALISTS

- (a) Safety briefings should ensure that task specialists are familiar with all aspects of the operation, including their responsibilities.
- (b) Such briefings should include, as appropriate:
 - (1) behaviour on the ground and in-flight, including emergency procedures;
 - (2) procedures for boarding and disembarking;
 - (3) procedures for loading and unloading the aircraft;

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- (4) use of doors in normal and emergency operations;
 - (5) use of communication equipment and hand signals;
 - (6) precautions in case of a landing on sloping ground; and
 - (7) in addition to the items listed from (b)(1) to (b)(6) before take-off:
 - (i) location of emergency exits;
 - (ii) restrictions regarding smoking;
 - (iii) restrictions regarding the use of portable electronic equipment; and
 - (iv) stowage of tools and hand baggage.
 - (c) Briefings may be given as a verbal presentation or by issuing the appropriate procedures and instructions in written form. Before commencement of the flight, their understanding should be confirmed.

GM1 NCO.SPEC.175(c) Performance and operating criteria – helicopters

GENERAL

- (a) Even when the surface allows a hover in ground effect (HIGE), the likelihood of, for example, dust or blowing snow may necessitate hover out of ground effect (HOGE) performance.
- (b) Wind conditions on some sites, particularly downdraft in mountainous areas, may require a reduction in the helicopter mass in order to ensure that an out of ground effect hover can be achieved at the operational site in the conditions prevailing.

SECTION 2 – HELICOPTER EXTERNAL SLING LOAD OPERATIONS (HESLO)

GM1 NCO.SPEC.HESLO.100 Checklist

REFERENCES

The following references to the AMC and GM of Annex VIII (Part-SPO) provide further guidance for the development of checklists.

- (a) **AMC1 SPO.SPEC.HESLO.100** provides a generic framework for the development of standard operating procedures (SOP) for HESLO operations. This AMC can be regarded as a good practice example for developing the checklist for HESLO operations.
- (b) **GM1 SPO.SPEC.HESLO.100** provides guidance for initial pilot training for HESLO types 1, 2, 3 and 4.

SECTION 3 – HUMAN EXTERNAL CARGO OPERATIONS (HEC)

GM1 NCO.SPEC.HEC.100 Checklist

REFERENCES

AMC1 SPO.SPEC.HEC.100 of Annex VIII (Part-SPO) provides a generic framework for the development of SOP for HEC operations. This AMC can be regarded as a good practice example for developing the checklist for HEC operations.

SECTION 4 – PARACHUTE OPERATIONS (PAR)

SECTION 5 – AEROBATIC FLIGHTS (ABF)

SECTION 6 – MAINTENANCE CHECK FLIGHTS (MCF)

GM1 NCO.SPEC.MCF.110 Checklist and safety briefing

SPECIFIC PROCEDURES

Specific preparation for a maintenance check flight (MCF) is essential. In addition to the standard considerations before a typical flight (weather, aircraft weight and balance, pre-flight inspection, checklists, etc.), the pilot should:

- (a) inform ATC of the particular MCF;
- (b) if needed, agree on the appropriate airspace;
- (c) understand the airworthiness status of the aircraft;
- (d) assess the complexity of the flight; and
- (e) develop appropriate strategies to mitigate potential risks.

The operator planning to conduct an MCF should develop checklists for the in-flight assessment of the unreliable systems, considering relevant abnormal and emergency procedures. When developing the checklists, the operator should consider the applicable documentation available from the type certificate holder or other valid documentation.

The pilot-in-command should only allow on board the persons needed for the purpose of the flight and brief the crew and task specialist on abnormal and emergency procedures relevant for the MCF.

AMC1 NCO.SPEC.MCF.120 Flight crew requirements

SELECTION OF PILOT-IN-COMMAND FOR A LEVEL-A MCF

The operator may select a flight instructor to act as pilot-in-command for a 'Level A' MCF on other than complex motor-powered aircraft.

GM1 NCO.SPEC.MCF.125 Crew composition and persons on board

TASK SPECIALIST

The task specialist should be trained as necessary in crew coordination procedures as well as emergency procedures and be appropriately equipped.

ANNEX VIII (PART-SPO)

AMC1 SPO.GEN.005 Scope

CRITERIA

The operators should consider the following criteria to determine whether an activity falls within the scope of specialised operations:

- (a) the aircraft is flown close to the surface to fulfil the mission;
- (b) abnormal manoeuvres are performed;
- (c) special equipment is necessary to fulfil the mission and which affects the manoeuvrability of the aircraft;
- (d) substances are released from the aircraft during the flight where these substances are either harmful or affect the manoeuvrability of the aircraft;
- (e) external loads or goods are lifted or towed; or
- (f) persons enter or leave the aircraft during flight.

GM1 SPO.GEN.005 Scope

LIST OF SPECIALISED OPERATIONS

- (a) Specialised operations include the following activities:
 - (1) helicopter external loads operations;
 - (2) helicopter survey operations;
 - (3) human external cargo operations;
 - (4) parachute operations and skydiving;
 - (5) agricultural flights;
 - (6) aerial photography flights;
 - (7) glider towing;
 - (8) aerial advertising flights;
 - (9) calibration flights;
 - (10) construction work flights, including stringing power line operations, clearing saw operations;
 - (11) oil spill work;
 - (12) avalanche mining operations;
 - (13) survey operations, including aerial mapping operations, pollution control activity;
 - (14) news media flights, television and movie flights;
 - (15) special events flights, including such as flying display and competition flights;
 - (16) aerobatic flights;

- (17) animal herding, animal rescue flights and veterinary dropping flights;
 - (18) maritime funeral operations;
 - (19) scientific research flights (other than those under Annex II to Regulation (EC) No 216/2008);
 - (20) cloud seeding; and
 - (21) sensational flights: flights involving extreme aerobatic manoeuvres carried out for the purpose of allowing the persons on board to experience zero gravity, high G-forces or similar sensations.
- (b) For other operations, the operator can apply the criteria specified in **AMC1 SPO.GEN.005** to determine whether an activity falls within the scope of specialised operations.

SUBPART A: GENERAL REQUIREMENTS

GM1 SPO.GEN.105(e)(2) Crew member responsibilities

GENERAL

In accordance with 7.g. of Annex IV to Regulation (EC) No 216/2008¹ (Essential Requirements for air operations), a crew member must not perform duties on board an aircraft when under the influence of psychoactive substances or alcohol or when unfit due to injury, fatigue, medication, sickness or other similar causes. This should be understood as including the following:

- (a) effects of deep water diving and blood donation, and allowing for a certain time period between these activities and returning to flying; and
- (b) without prejudice to more restrictive national regulations, the consumption of alcohol while on duty or less than 8 hours prior to the commencement of duties, and commencing a flight duty period with a blood alcohol level in excess of 0.2 per thousand.

AMC1 SPO.GEN.107 Pilot-in-command responsibilities and authority

FLIGHT PREPARATION FOR PBN OPERATIONS

- (a) The flight crew should ensure that RNAV 1, RNAV 2, RNP 1 RNP 2, and RNP APCH routes or procedures to be used for the intended flight, including for any alternate aerodromes, are selectable from the navigation database and are not prohibited by NOTAM.
- (b) The flight crew should take account of any NOTAMs or operator briefing material that could adversely affect the aircraft system operation along its flight plan including any alternate aerodromes.
- (c) When PBN relies on GNSS systems for which RAIM is required for integrity, its availability should be verified during the preflight planning. In the event of a predicted continuous loss of fault detection of more than five minutes, the flight planning should be revised to reflect the lack of full PBN capability for that period.
- (d) For RNP 4 operations with only GNSS sensors, a fault detection and exclusion (FDE) check should be performed. The maximum allowable time for which FDE capability is projected to be unavailable on any one event is 25 minutes. If predictions indicate that the maximum allowable FDE outage will be exceeded, the operation should be rescheduled to a time when FDE is available.
- (e) For RNAV 10 operations, the flight crew should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace. Where an extension to the time limit is permitted, the flight crew will need to ensure that en route that radio facilities are serviceable before departure, and to apply radio updates in accordance with any AFM limitation.

¹ Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC (OJ L 79, 19.3.2008, p. 1). Regulation as last amended by Commission Regulation (EU) No 6/2013 of 8 January 2013 (OJ L 4, 9.1.2013, p. 34).

AMC2 SPO.GEN.107 Pilot-in-command responsibilities and authority

DATABASE SUITABILITY

- (a) The flight crew should check that any navigational database required for PBN operations includes the routes and procedures required for the flight.

DATABASE CURRENCY

- (b) The database validity (current AIRAC cycle) should be checked before the flight.
- (c) Navigation databases should be current for the duration of the flight. If the AIRAC cycle is due to change during flight, the flight crew should follow procedures established by the operator to ensure the accuracy of navigation data, including the suitability of navigation facilities used to define the routes and procedures for the flight.
- (d) An expired database may only be used if the following conditions are satisfied:
 - (1) the operator has confirmed that the parts of the database which are intended to be used during the flight and any contingencies that are reasonable to expect are not changed in the current version;
 - (2) any NOTAMs associated with the navigational data are taken into account;
 - (3) maps and charts corresponding to those parts of the flight are current and have not been amended since the last cycle;
 - (4) any MEL limitations are observed; and
 - (5) the database has expired by no more than 28 days.

GM1 SPO.GEN.107 Pilot-in-command responsibilities and authority

GENERAL

In accordance with point 1.3 of Annex V to Regulation (EU) 2018/1139 (Essential Requirements for air operations), the pilot-in-command is responsible for the operation and safety of the aircraft and for the safety of all crew members, task specialists and cargo on board. This includes the following:

- (a) the safety of all persons and cargo on board, as soon as he/she arrives on board, until he/she leaves the aircraft at the end of the flight; and
- (b) the operation and safety of the aircraft:
 - (1) for aeroplanes, from the moment it is first ready to move for the purpose of flight until the moment it comes to rest at the end of the flight and the engine(s) used as primary propulsion unit(s) is/are shut down;
 - (2) for helicopters, from the moment the engine(s) are started until the helicopter comes to rest at the end of the flight with the engine(s) shut down and the rotor blades stopped.

GM1 SPO.GEN.107(a)(8) Pilot-in-command responsibilities and authority

RECORDING UTILISATION DATA

Where an aircraft conducts a series of flights of short duration — such as a helicopter doing a series

of lifts — and the aircraft is operated by the same pilot-in-command, the utilisation data for the series of flights may be recorded in the aircraft technical log or journey log as a single entry.

GM1 SPO.GEN.107(a)(9) Pilot-in-command responsibilities and authority

IDENTIFICATION OF THE SEVERITY OF AN OCCURRENCE BY THE PILOT-IN-COMMAND

The definitions of an accident and a serious incident as well as examples thereof can be found in Regulation (EU) No 996/2010 of the European Parliament and of the Council.

AMC1 SPO.GEN.107(c) Pilot-in-command responsibilities and authority

REPORTING OF HAZARDOUS FLIGHT CONDITIONS

- (a) These reports should include any detail which may be pertinent to the safety of other aircraft.
- (b) Such reports should be made whenever any of the following conditions are encountered or observed:
 - (1) severe turbulence;
 - (2) severe icing;
 - (3) severe mountain wave;
 - (4) thunderstorms, with or without hail, that are obscured, embedded, widespread or in squall lines;
 - (5) heavy dust storm or heavy sandstorm;
 - (6) volcanic ash cloud; and
 - (7) unusual and/or increasing volcanic activity or a volcanic eruption.
- (c) When other meteorological conditions not listed above, e.g. wind shear, are encountered that, in the opinion of the pilot-in-command, may affect the safety or the efficiency of other aircraft operations, the pilot-in-command should advise the appropriate air traffic services (ATS) unit as soon as practicable.

AMC1 SPO.GEN.107(e) Pilot-in-command responsibilities and authority

VIOLATION REPORTING

If required by the State in which the incident occurs, the pilot-in-command should submit a report on any such violation to the appropriate authority of the said State; in that event, the pilot-in-command should also submit a copy of it to the CAA. Such reports should be submitted as soon as possible and normally within 10 days.

AMC1 SPO.GEN.119 Taxiing of aircraft

PROCEDURES FOR TAXIING

Procedures for taxiing should include at least the following:

- (a) application of sterile flight deck crew compartment procedures;
- (b) use of standard radio-telephony (RTF) phraseology;
- (c) use of lights;
- (d) measures to enhance the situational awareness of the pilot-in-command. The following list of typical items should be adapted by the operator to take into account its operational environment:
 - (1) the pilot-in-command should have the necessary aerodrome layout charts available;
 - (2) if applicable, the pilot taxiing the aircraft should announce in advance his/her intentions to the pilot monitoring;
 - (3) if applicable, all taxi clearances should be heard, and should be understood by the pilot-in-command;
 - (4) if applicable, all taxi clearances should be cross-checked against the aerodrome chart and aerodrome surface markings, signs and lights;
 - (5) an aircraft taxiing on the manoeuvring area should stop and hold at all lighted stop bars, and may proceed further when an explicit clearance to enter or cross the runway has been issued by the aerodrome control tower, and when the stop bar lights are switched off;
 - (6) if the pilot-in-command is unsure of his/her position, he/she should stop the aircraft and contact air traffic control;
 - (7) any action, which may disturb the pilot-in-command from the taxi activity, should be avoided or done with the parking brake set.

GM1 SPO.GEN.120 Taxiing of aeroplanes

SAFETY-CRITICAL ACTIVITY

- (a) Taxiing should be treated as a safety-critical activity due to the risks related to the movement of the aeroplane and the potential for a catastrophic event on the ground.
- (b) Taxiing is a high-workload phase of flight that requires the full attention of the flight crew.

GM1 SPO.GEN.120(b)(4) Taxiing of aeroplanes

SKILLS AND KNOWLEDGE

The person designated by the operator to taxi an aeroplane should possess the following skills and knowledge:

- (a) positioning of the aeroplane to ensure safety when starting engine;
- (b) getting ATIS reports and taxi clearance, where applicable;
- (c) interpretation of airfield markings/lights/signals/indicators;
- (d) interpretation of marshalling signals, where applicable;
- (e) identification of suitable parking area;
- (f) maintaining lookout and right-of-way rules and complying with ATC or marshalling instructions when applicable;
- (g) avoidance of adverse effect of propeller slipstream or jet wash on other aeroplanes, aerodrome

- facilities and personnel;
- (h) inspection of taxi path when surface conditions are obscured;
- (i) communication with others when controlling an aeroplane on the ground;
- (j) interpretation of operational instructions;
- (k) reporting of any problem that may occur while taxiing an aeroplane; and
- (l) adapting the taxi speed in accordance with prevailing aerodrome, traffic, surface and weather conditions.

GM1 SPO.GEN.125 Rotor engagement

INTENT OF THE RULE

- (a) The following two situations where it is allowed to turn the rotor under power should be distinguished:
 - (1) for the purpose of flight, as described in the implementing rule;
 - (2) for maintenance purposes.
- (b) Rotor engagement for the purpose of flight: it should be noted that the pilot should not leave the control when the rotors are turning. For example, the pilot is not allowed to get out of the aircraft in order to welcome persons and adjust their seat belts with the rotors turning.
- (c) Rotor engagement for the purpose of maintenance: the implementing rule, however, should not prevent ground runs being conducted by qualified personnel other than pilots for maintenance purposes.

The following conditions should be applied:

- (1) The operator should ensure that the qualification of personnel, other than pilots, who are authorised to conduct maintenance runs, is described in the appropriate manual.
- (2) Ground runs should not include taxiing the helicopter.
- (3) There should be no other persons on board.
- (4) Maintenance runs should not include collective increase or auto pilot engagement (risk of ground resonance).

GM1 SPO.GEN.130 Portable electronic devices

DEFINITIONS

- (a) Definition and categories of PEDs

PEDs are any kind of electronic device, typically but not limited to consumer electronics, brought on board the aircraft by crew members, passengers, or as part of the cargo and that are not included in the approved aircraft configuration. All equipment that is able to consume electrical energy falls under this definition. The electrical energy can be provided from internal sources as batteries (chargeable or non-rechargeable) or the devices may also be connected to specific aircraft power sources.

PEDs include the following two categories:

- (1) Non-intentional transmitters can non-intentionally radiate RF transmissions, sometimes referred to as spurious emissions. This category includes, but is not limited to, calculators,

cameras, radio receivers, audio and video players, electronic games and toys; when these devices are not equipped with a transmitting function.

- (2) Intentional transmitters radiate RF transmissions on specific frequencies as part of their intended function. In addition, they may radiate non-intentional transmissions like any PED. The term 'transmitting PED' (T-PED) is used to identify the transmitting capability of the PED. Intentional transmitters are transmitting devices such as RF-based remote control equipment, which may include some toys, two-way radios (sometimes referred to as private mobile radio), mobile phones of any type, satellite phones, computers with mobile phone data connection, wireless local area network (WLAN) or Bluetooth capability. After deactivation of the transmitting capability, e.g. by activating the so-called 'flight mode' or 'flight safety mode', the T-PED remains a PED having non-intentional emissions.

- (b) Definition of the switched-off status

Many PEDs are not completely disconnected from the internal power source when switched off. The switching function may leave some remaining functionality e.g. data storage, timer, clock, etc. These devices can be considered switched off when in the deactivated status. The same applies for devices having no transmitting capability and are operated by coin cells without further deactivation capability, e.g. wrist watches.

GM2 SPO.GEN.130 Portable electronic devices

GENERAL

- (a) PEDs can pose a risk of interference with electronically operated aircraft systems. Those systems could range from the electronic engine control, instruments, navigation or communication equipment and autopilots to any other type of avionics equipment on the aircraft. The interference can result in on-board systems malfunctioning or providing misleading information and communication disturbance. These can also lead to an increased workload for the flight crew.
- (b) Interference may be caused by transmitters being part of the PED's functionality or by unintentional transmissions from the PED. Due to the likely proximity of the PED to any electronically operated aircraft system and the generally limited shielding found in small aircraft, the risk of interference is to be considered higher than that for larger aircraft with metal airframes.
- (c) During certification of the aircraft, when qualifying the aircraft functions consideration may only have been made of short-term exposure to a high radiating field, with an acceptable mitigating measure being a return to normal function after removal of the threat. This certification assumption may not be true when operating the transmitting PED on board the aircraft.
- (d) It has been found that compliance with the electromagnetic compatibility (EMC) Directive 2004/108/EC and related European standards as indicated by the CE marking is not sufficient to exclude the existence of interference. A well-known interference is the demodulation of the transmitted signal from GSM (global system for mobile communications) mobile phones leading to audio disturbances in other systems. Similar interferences are difficult to predict during the PED design and protecting the aircraft's electronic systems against the full range of potential interferences is practically impossible. Therefore, not operating PEDs on-board aircraft is the safest option, especially as effects may not be identified immediately but under the most inconvenient circumstances.

- (e) Guidance to follow in case of fire caused by PEDs is provided by the International Civil Aviation Organisation, 'Emergency response guidance for aircraft incidents involving dangerous goods', ICAO Doc 9481-AN/928.

AMC1 SPO.GEN.131(a) Use of electronic flight bags (EFBs)

ELECTRONIC FLIGHT BAGS (EFBS) — HARDWARE — COMPLEX AIRCRAFT

In addition to **AMC1 CAT.GEN.MPA.141(a)**, the following should be considered:

SUITABILITY OF THE HARDWARE — COMPLEX AIRCRAFT

- (a) Display characteristics

Consideration should be given to the long-term degradation of a display as a result of abrasion and ageing. AMC 25-11 (paragraph 3.16a) may be used as guidance to assess luminance and legibility aspects.

Information displayed on the EFB should be legible to the typical user at the intended viewing distance(s) and under the full range of lighting conditions expected in a flight crew compartment, including direct sunlight.

Users should be able to adjust the screen brightness of an EFB independently of the brightness of other displays in the flight crew compartment. In addition, when incorporating an automatic brightness adjustment, it should operate independently for each EFB in the flight crew compartment. Brightness adjustment using software means may be acceptable provided that this operation does not adversely affect the flight crew workload.

Buttons and labels should have adequate illumination for night use. 'Buttons and labels' refers to hardware controls located on the display itself.

All controls should be properly labelled for their intended function, except if no confusion is possible.

The 90-degree viewing angle on either side of each flight crew member's line of sight may be unacceptable for certain EFB applications if aspects of the display quality are degraded at large viewing angles (e.g. the display colours wash out or the displayed colour contrast is not discernible at the installation viewing angle).

- (b) Power source

The design of a portable EFB system should consider the source of electrical power, the independence of the power sources for multiple EFBs, and the potential need for an independent battery source. A non-exhaustive list of factors to be considered includes:

- (1) the possibility to adopt operational procedures to ensure an adequate level of safety (for example, ensure a minimum level of charge before departure);
- (2) the possible redundancy of portable EFBs to reduce the risk of exhausted batteries;
- (3) the availability of backup battery packs to assure an alternative source of power.

Battery-powered EFBs that have aircraft power available for recharging the internal EFB batteries are considered to have a suitable backup power source.

For EFBs that have an internal battery power source and that are used as an alternative for paper documentation that is required by **SPO.GEN.140**, the operator should either have at least one EFB connected to an aircraft power bus or have established mitigation means and procedures to ensure that sufficient power with acceptable margins will be available during the whole flight.

(c) Environmental testing

Environmental testing, in particular testing for rapid decompression, should be performed when the EFB hosts applications that are required to be used during flight following a rapid decompression and/or when the EFB environmental operational range is potentially insufficient with respect to the foreseeable flight crew compartment operating conditions.

The information from the rapid-decompression test of an EFB is used to establish the procedural requirements for the use of that EFB device in a pressurised aircraft. Rapid-decompression testing should follow the EUROCAE ED-14D/RTCA DO-160D (or later revisions) guidelines for rapid-decompression testing up to the maximum operating altitude of the aircraft at which the EFB is to be used.

- (1) Pressurised aircraft: when a portable EFB has successfully completed rapid-decompression testing, then no mitigating procedures for depressurisation events need to be developed. When a portable EFB has failed the rapid-decompression testing while turned ON, but successfully completed it when turned OFF, then procedures should ensure that at least one EFB on board the aircraft remains OFF during the applicable flight phases or that it is configured so that no damage will be incurred should rapid decompression occur in flight at an altitude higher than 10 000 ft above mean sea level (AMSL).

If an EFB system has not been tested or it has failed the rapid-decompression test, then alternate procedures or paper backup should be available.

- (2) Non-pressurised aircraft: rapid-decompression testing is not required for an EFB used in a non-pressurised aircraft. The EFB should be demonstrated to reliably operate up to the maximum operating altitude of the aircraft. If the EFB cannot be operated at the maximum operating altitude of the aircraft, procedures should be established to preclude operation of the EFB above the maximum demonstrated EFB operating altitude while still maintaining availability of any required aeronautical information displayed on the EFB.

The results of testing performed on a specific EFB model configuration (as identified by the EFB hardware manufacturer) may be applied to other aircraft installations and these generic environmental tests may not need to be duplicated. The operator should collect and retain:

- (1) evidence of these tests that have already been accomplished; or
- (2) suitable alternative procedures to deal with the total loss of the EFB system.

Rapid decompression tests do not need to be repeated if the EFB model identification and the battery type do not change.

The testing of operational EFBs should be avoided if possible to preclude the infliction of unknown damage to the unit during testing.

Operators should account for the possible loss or erroneous functioning of the EFB in abnormal environmental conditions.

The safe stowage and the use of the EFB under any foreseeable environmental conditions in the flight crew compartment, including turbulence, should be evaluated.

AMC2 SPO.GEN.131(a) Use of electronic flight bags (EFBs)

ELECTRONIC FLIGHT BAGS (EFBS) — HARDWARE — NON-COMPLEX AIRCRAFT

The same considerations as those in **AMC1 NCO.GEN.125** should apply in respect of EFB hardware.

AMC1 SPO.GEN.131(b) Use of electronic flight bags (EFBs)

ELECTRONIC FLIGHT BAGS (EFBS) — SOFTWARE — COMPLEX AIRCRAFT

The same considerations as those in **AMC1 CAT.GEN.MPA.141(b)**, **AMC2 CAT.GEN.MPA.141(b)** and **AMC3 CAT.GEN.MPA.141(b)** should apply in respect of EFB software.

AMC2 SPO.GEN.131(b) Use of electronic flight bags (EFBs)

ELECTRONIC FLIGHT BAGS (EFBS) — SOFTWARE — NON-COMPLEX AIRCRAFT

The same considerations as those in **AMC2 NCO.GEN.125** should apply in respect of EFB software.

AMC1 SPO.GEN.131(b)(1) Use of electronic flight bags (EFBs)

RISK ASSESSMENT — COMPLEX AIRCRAFT

(a) General

Prior to the use of any EFB system, the operator should perform a risk assessment for all type B EFB applications and for the related hardware as part of its hazard identification and risk management process.

The operator may make use of a risk assessment established by the software developer. However, the operator should ensure that its specific operational environment is taken into account.

The risk assessment should:

- (1) evaluate the risks associated with the use of an EFB;
- (2) identify potential losses of function or malfunction (with detected and undetected erroneous outputs) and the associated failure scenarios;
- (3) analyse the operational consequences of these failure scenarios;
- (4) establish mitigating measures; and
- (5) ensure that the EFB system (hardware and software) achieves at least the same level of accessibility, usability, and reliability as the means of presentation it replaces.

In considering the accessibility, usability, and reliability of the EFB system, the operator should ensure that the failure of the complete EFB system as well as of individual applications, including corruption or loss of data and erroneously displayed information, has been assessed and that the risks have been mitigated to an acceptable level.

This risk assessment should be defined before the beginning of the trial period and should be amended accordingly, if necessary, at the end of this trial period. The results of the trial should establish the configuration and use of the system.

When the EFB system is intended to be introduced alongside a paper-based system, only the failures that would not be mitigated by the use of the paper-based system need to be addressed. In all other cases, a complete risk assessment should be performed.

(b) Assessing and mitigating the risks

Some parameters of EFB applications may depend on entries made by flight crew/dispatchers, whereas others may be default parameters from within the system that are subject to an administration process (e.g. the runway line-up allowance in an aircraft performance

application). In the first case, mitigation means would mainly concern training and flight crew procedure aspects, whereas in the second case, mitigation means would more likely focus on the EFB administration and data management aspects.

The analysis should be specific to the operator concerned and should address at least the following points:

- (1) The minimisation of undetected erroneous outputs from applications and assessment of the worst-credible scenario;
- (2) Erroneous outputs from the software application including:
 - (i) a description of the corruption scenarios; and
 - (ii) a description of the mitigation means;
- (3) Upstream processes including:
 - (i) the reliability of root data used in applications (e.g. qualified input data, such as databases produced under ED-76/DO-200A 'Standards for Processing Aeronautical Data');
 - (ii) the software application validation and verification checks according to appropriate industry standards, if applicable; and
 - (iii) the independence between application software components, e.g. robust partitioning between EFB applications and other airworthiness certified software applications;
- (4) A description of the mitigation means to be used following the detected failure of an application, or of a detected erroneous output;
- (5) The need for access to an alternate power supply in order to ensure the availability of software applications, especially if they are used as a source of required information.

As part of the mitigation means, the operator should consider establishing a reliable alternative means to provide the information available on the EFB system.

The mitigation means could be, for example, one of, or a combination of, the following:

- (1) the system design (including hardware and software);
- (2) a backup EFB device, possibly supplied from a different power source;
- (3) EFB applications being hosted on more than one platform;
- (4) a paper backup (e.g. quick reference handbook (QRH)); and
- (5) procedural means;

Depending on the outcome of its risk assessment, the operator may also consider performing an operational evaluation test before allowing unrestricted use of its EFB devices and applications.

EFB system design features such as those assuring data integrity and the accuracy of performance calculations (e.g. a 'reasonableness' or 'range' check) may be integrated in the risk assessment to be performed by the operator.

(c) Changes

The operator should update its EFB risk assessment based on the planned changes to its EFB system.

However, modifications to the operator's EFB system which:

-
- (1) do not bring any change to the calculation algorithms and/or to the HMI of a type B EFB application;
 - (2) introduce a new type A EFB application or modify an existing one (provided its software classification remains type A);
 - (3) do not introduce any additional functionality to an existing type B EFB application;
 - (4) update an existing database necessary to use an existing type B EFB application; or
 - (5) do not require a change to the flight crew training or operational procedures, may be introduced by the operator without having to update its risk assessment.

These changes should, nevertheless, be controlled and properly tested prior to use in flight.

The modifications in the following non-exhaustive list are considered to meet these criteria:

- (1) operating system updates;
- (2) chart or airport database updates;
- (3) updates to introduce fixes (patches); and
- (4) installation and modification of a type A EFB application.

GM1 SPO.GEN.131(b)(1) Use of electronic flight bags (EFBs)

RISK ASSESSMENT — NON-COMPLEX AIRCRAFT

The operator of non-complex motor-powered aircraft should at least perform the check before the flight actions described in paragraph (b) of **AMC2 NCO.GEN.125**.

AMC1 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

EFB ADMINISTRATION — COMPLEX AIRCRAFT

The operator should ensure:

- (a) that adequate support is provided to the EFB users for all the applications installed;
- (b) that potential security issues associated with the application installed have been checked;
- (c) that hardware and software configuration is appropriately managed and that no unauthorised software is installed.

The operator should ensure that miscellaneous software applications do not adversely impact on the operation of the EFB and should include miscellaneous software applications in the scope of EFB configuration management;

- (d) that only a valid version of the application software and current data packages are installed on the EFB system; and
- (e) the integrity of the data packages used by the applications installed.

AMC2 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

PROCEDURES — COMPLEX AIRCRAFT

The procedures for the administration or the use of the EFB device and the type B EFB application may be fully or partly integrated in the operations manual.

- (a) General

If an EFB system generates information similar to that generated by existing certified systems, procedures should clearly identify which information source will be the primary, which source will be used for backup information, and under which conditions the backup source should be used. Procedures should define the actions to be taken by the flight crew when information provided by an EFB system is not consistent with that from other flight crew compartment sources, or when one EFB system shows different information than the other.

In the case of EFB applications providing information which might be affected by Notice(s) to Airmen (NOTAMS) (e.g. Airport moving map display (AMMD), performance calculation, etc.), the procedure for the use of these applications should include the handling of the relevant NOTAMS before their use.

- (b) Flight crew awareness of EFB software/database revisions

The operator should have a process in place to verify that the configuration of the EFB, including software application versions and, where applicable, database versions, are up to date. Flight crew members should have the ability to easily verify the validity of database versions used on the EFB. Nevertheless, flight crew members should not be required to confirm the revision dates for other databases that do not adversely affect flight operations, such as maintenance log

forms or a list of airport codes. An example of a date-sensitive revision is that applied to an aeronautical chart database. Procedures should specify what actions should be taken if the software applications or databases loaded on the EFB system are outdated.

(c) Workload mitigation and/or control

The operator should ensure that additional workload created by using an EFB system is adequately mitigated and/or controlled. The operator should ensure that, while the aircraft is in flight or moving on the ground, flight crew members do not become preoccupied with the EFB system at the same time. Workload should be shared between flight crew members to ensure ease of use and continued monitoring of other flight crew functions and aircraft equipment. This should be strictly applied in flight and the operator should specify any times when the flight crew members may not use the specific EFB application.

(d) Dispatch

The operator should establish dispatch criteria for the EFB system, when type B EFB applications that replace paper products are hosted. The operator should ensure that the availability of the EFB system is confirmed by preflight checks. Instructions to the flight crew should clearly define the actions to be taken in the event of any EFB system deficiency.

Mitigation may be in the form of maintenance and/or operational procedures for items such as:

- (1) replacement of batteries at defined intervals as required;
- (2) ensuring that there is a fully charged backup battery on board;
- (3) the flight crew checking the battery charging level before departure; and
- (4) the flight crew switching off the EFB in a timely manner when the aircraft power source is lost.

In the event of a partial or complete failure of the EFB, specific dispatch procedures should be followed. These procedures should be included either in the minimum equipment list (MEL) or in the operations manual and should ensure an acceptable level of safety.

Particular attention should be paid to establishing specific dispatch procedures allowing to obtain operational data (e.g. performance data) in the event of a failure of an EFB that hosts an application providing such calculated data.

When the integrity of data input and output is verified by cross-checking and gross-error checks, the same checking principle should be applied to alternative dispatch procedures to ensure equivalent protection.

(e) Maintenance

Procedures should be established for the routine maintenance of the EFB system and detailing how unserviceability and failures are to be dealt with to ensure that the integrity of the EFB system is preserved. Maintenance procedures should also include the secure handling of updated information and how this information is validated and then promulgated in a timely manner and in a complete format to all users.

As part of the EFB system's maintenance, the operator should ensure that the EFB system batteries are periodically checked and replaced as required.

Should a fault or failure of the system arise, it is essential that such failures are brought to the immediate attention of the flight crew and that the system is isolated until rectification action is taken. In addition to backup procedures, to deal with system failures, a reporting system should be in place so that the necessary action, either to a particular EFB system or to the whole system, is taken in order to prevent the use of erroneous information by flight crew members.

(f) Security

The EFB system (including any means used for updating it) should be secure from unauthorised intervention (e.g. by malicious software). The operator should ensure that the system is adequately protected at the software level and that the hardware is appropriately managed (e.g. the identification of the person to whom the hardware is released, protected storage when the hardware is not in use) throughout the operational lifetime of the EFB system. The operator should ensure that prior to each flight the EFB operational software works as specified and the EFB operational data is complete and accurate. Moreover, a system should be in place to ensure that the EFB does not accept a data load that contains corrupted contents. Adequate measures should be in place for the compilation and secure distribution of data to the aircraft.

Procedures should be transparent and easy to understand, to follow and to oversee that:

- (1) if an EFB is based on consumer electronics (e.g. a laptop) which can be easily removed, manipulated, or replaced by a similar component, that special consideration is given to the physical security of the hardware;
- (2) portable EFB platforms are subject to allocation tracking to specific aircraft or persons;
- (3) where a system has input ports, and especially if widely known protocols are used through these ports or internet connections are offered, that special consideration is given to the risks associated with these ports;
- (4) where physical media are used to update the EFB system, and especially if widely known types of physical media are used, that the operator uses technologies and/or procedures to assure that unauthorised content cannot enter the EFB system through these media.

The required level of EFB security depends on the criticality of the functions used (e.g. an EFB that only holds a list of fuel prices may require less security than an EFB used for performance calculations).

Beyond the level of security required to assure that the EFB can properly perform its intended functions, the level of security that is ultimately required depends on the capabilities of the EFB.

(g) Electronic signatures

Some applicable requirements may require a signature when issuing or accepting a document (e.g. load sheet, technical logbook, notification to captain (NOTOC)). In order to be accepted as being equivalent to a handwritten signature, electronic signatures used in EFB applications need, as a minimum, to fulfil the same objectives and should assure the same degree of security as the handwritten or any other form of signature that they are intended to replace. **GM1 SPO.POL.115** provides guidance related to the required handwritten signature or its equivalent for mass and balance documentation.

On a general basis, in the case of legally required signatures, an operator should have in place procedures for electronic signatures that guarantee:

- (1) their uniqueness: a signature should identify a specific individual and should be difficult to duplicate;
- (2) their significance: an individual using an electronic signature should take deliberate and recognisable action to affix their signature;
- (3) their scope: the scope of the information being affirmed with an electronic signature should be clear to the signatory and to the subsequent readers of the record, record entry, or document;
- (4) their security: the security of an individual's handwritten signature is maintained by ensuring that it is difficult for another individual to duplicate or alter it;

- (5) their non-repudiation: an electronic signature should prevent a signatory from denying that they affixed a signature to a specific record, record entry, or document; the more difficult it is to duplicate a signature, the more likely it is that the signature was created by the signatory; and
- (6) their traceability: an electronic signature should provide positive traceability to the individual who signed a record, record entry, or any other document.

An electronic signature should retain those qualities of a handwritten signature that guarantee its uniqueness. Systems using either a PIN or a password with limited validity (timewise) may be appropriate in providing positive traceability to the individual who affixed it. Advanced electronic signatures, qualified certificates and secured signature-creation devices needed to create them in the context of Regulation (EU) No 910/2014¹ are typically not required for EFB operations.

AMC3 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

FLIGHT CREW TRAINING — COMPLEX AIRCRAFT

Flight crew members should be given specific training on the use of the EFB system before it is operationally used.

Training should at least include the following:

- (a) an overview of the system architecture;
- (b) preflight checks of the system;
- (c) limitations of the system;
- (d) specific training on the use of each application and the conditions under which the EFB may and may not be used;
- (e) restrictions on the use of the system, including cases where the entire system, or some parts of it, are not available;
- (f) procedures for normal operations, including cross-checking of data entry and computed information;
- (g) procedures to handle abnormal situations, such as a late runway change or a diversion to an alternate aerodrome;
- (h) procedures to handle emergency situations;
- (i) phases of the flight when the EFB system may and may not be used;
- (j) human factors considerations, including crew resource management (CRM);
- (k) additional training for new applications or changes to the hardware configuration;
- (l) actions following the failure of component(s) of the EFB, including cases of battery smoke or fire; and
- (m) management of conflicting information.

¹ Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC (OJ L 257, 28.8.2014, p. 73).

AMC4 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

PERFORMANCE AND MASS AND BALANCE APPLICATIONS — COMPLEX AIRCRAFT

(a) General

Performance and mass and balance applications should be based on existing published data found in the AFM or performance manual and should account for the applicable CAT.POL performance requirements. The applications may use algorithms or data spreadsheets to determine results. They may have the capability to interpolate within the information contained in the published data for the particular aircraft but should not extrapolate beyond it.

To protect against intentional and unintentional modifications, the integrity of the database files related to performance and mass and balance (the performance database, airport database, etc.) should be checked by the program before performing any calculations. This check can be run once at the start-up of the application.

Each software version should be identified by a unique version number. The performance and mass and balance applications should record each computation performed (inputs and outputs) and the operator should ensure that this information is retained for at least 3 months.

The operator should ensure that aircraft performance or mass and balance data provided by the application is correct compared with the data derived from the AFM (e.g. for take-off and landing performance data) or from other reference data sources (e.g. mass and balance manuals or databases, in flight performance manuals or databases) under a representative cross-check of conditions (e.g. for take-off and landing performance applications: take-off and landing performance data on dry, wet, and contaminated runways, with different wind conditions and aerodrome pressure altitudes, etc.).

The operator should define any new roles that the flight crew and, if applicable, the flight dispatcher, may have in creating, reviewing, and using performance calculations supported by EFB systems.

(b) Testing

The verification of compliance of a performance or mass and balance application should include software testing activities performed with the software version candidate for operational use.

The testing can be performed either by the operator or a third party, as long as the testing process is documented and the responsibilities identified.

The testing activities should include reliability testing and accuracy testing.

Reliability testing should show that the application in its operating environment (operating system (OS) and hardware included) is stable and deterministic, i.e. identical answers are generated each time the process is entered with identical parameters.

Accuracy testing should demonstrate that the aircraft performance or mass and balance computations provided by the application are correct in comparison with data derived from the AFM or other reference data sources, under a representative cross section of conditions (e.g. for take-off and landing performance applications: runway state and slope, different wind conditions and pressure altitudes, various aircraft configurations including failures with a performance impact, etc.).

The verification should include a sufficient number of comparison results from representative calculations throughout the entire operating envelope of the aircraft, considering corner points, routine and break points.

Any difference compared to the reference data that is judged significant should be examined. When differences are due to more conservative calculations or reduced margins that were purposely built into the approved data, this approach should be clearly specified. Compliance with the applicable certification and operational rules needs to be assessed in any case.

The testing method should be described. The testing may be automated when all the required data is available in an appropriate electronic format, but in addition to performing thorough monitoring of the correct functioning and design of the testing tools and procedures, operators are strongly suggested to perform additional manual verification. It could be based on a few scenarios for each chart or table of the reference data, including both operationally representative scenarios and 'corner-case' scenarios.

The testing of a software revision should, in addition, include non-regression testing and testing of any fix or change.

Furthermore, an operator should perform tests related to its customisation of the applications and to any element pertinent to its operation that was not covered at an earlier stage (e.g. airport database verification).

(c) Procedures

Specific care is needed regarding the crew procedures concerning take-off and landing performance or mass and balance applications. The crew procedures should ensure that:

- (1) calculations are performed independently by each flight crew member before data outputs are accepted for use;
- (2) a formal cross-check is made before data outputs are accepted for use; such cross-checks should utilise the independent calculations described above, together with the output of the same data from other sources on the aircraft;
- (3) a gross-error check is performed before data outputs are accepted for use; such gross-error checks may use either a 'rule of thumb' or the output of the same data from other sources on the aircraft; and
- (4) in the event of a loss of functionality of an EFB through either the loss of a single application, or the failure of the device hosting the application, an equivalent level of safety can be maintained; consistency with the EFB risk assessment assumptions should be confirmed.

(d) Training

The training should emphasise the importance of executing all take-off and landing performance or mass and balance calculations in accordance with the SOPs to assure fully independent calculations.

Furthermore, due to the optimisation at different levels brought by performance applications, the flight crew members may be confronted with new procedures and different aircraft behaviour (e.g. the use of multiple flap settings for take-off). The training should be designed and provided accordingly.

Where an application allows the computing of both dispatch results (from regulatory and factored calculations) and other results, the training should highlight the specificities of those results. Depending on the representativeness of the calculation, the flight crew should be trained on any operational margins that might be required.

The training should also address the identification and the review of default values, if any, and assumptions about the aircraft status or environmental conditions made by the application.

(e) Specific considerations for mass and balance applications

In addition to the figures, a diagram displaying the mass and its associated centre of gravity (CG) should be provided.

(f) Human-factors-specific considerations

Input data and output data (i.e. results) shall be clearly separated from each other. All the information necessary for a given calculation task should be presented together or be easily accessible.

All input and output data should include correct and unambiguous terms (names), units of measurement (e.g. kg or lb), and, when applicable, an index system and a CG-position declaration (e.g. Arm/%MAC). The units should match the ones from the other flight-crew-compartment sources for the same kind of data.

Airspeeds should be provided in a way that is directly useable in the flight crew compartment, unless the unit clearly indicates otherwise (e.g. Knots Calibrated Air Speed (KCAS)). Any difference between the type of airspeed provided by the EFB application and the type provided by the AFM or flight crew operating manual (FCOM) performance charts should be mentioned in the flight crew guides and training material.

If the landing performance application allows the computation of both dispatch results (regulatory, factored) and other results (e.g. in-flight or unfactored), the flight crew members should be made aware of the computation mode used.

(1) Inputs

The application should allow users to clearly distinguish user entries from default values or entries imported from other aircraft systems.

Performance applications should allow the flight crew to check whether a certain obstacle is included in the performance calculation and/or to include new or revised or new obstacle information in the performance calculations.

(2) Outputs

All critical assumptions for performance calculation (e.g. the use of thrust reversers, full or reduced thrust/power rating) should be clearly displayed. The assumptions made about any calculation should be at least as clear to the flight crew members as similar information would be on a tabular chart.

All output data should be available in numbers.

The application should indicate when a set of entries results in an unachievable operation (for instance, a negative stopping margin) with a specific message or colour scheme. This should be done in accordance with the relevant provisions on messages and the use of colours.

In order to allow a smooth workflow and to prevent data entry errors, the layout of the calculation outputs should be such that it is consistent with the data entry interface of the aircraft applications in which the calculation outputs are used (e.g. flight management systems).

(3) Modifications

The user should be able to easily modify performance calculations, especially when making last-minute changes.

The results of calculations and any outdated input fields should be deleted whenever:

- (i) modifications are entered;
- (ii) the EFB is shut down or the performance application is closed; or

- (iii) the EFB or the performance application has been in a standby or 'background' mode for too long, i.e. such that it is likely that when it is used again, the inputs or outputs will be outdated.

AMC5 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

AIRPORT MOVING MAP DISPLAY (AMMD) APPLICATION WITH OWN-SHIP POSITION — COMPLEX AIRCRAFT

(a) General

An AMMD application should not be used as the primary means of navigation for taxiing and should be only used in conjunction with other materials and procedures identified within the operating concept (see paragraph (e)).

When an AMMD is in use, the primary means of navigation for taxiing remains the use of normal procedures and direct visual observation out of the flight-crew-compartment window.

Thus, as recognised in ETSO-C165a, an AMMD application with a display of own-ship position is considered to have a minor safety effect for malfunctions that cause the incorrect depiction of aircraft position (own-ship), and the failure condition for the loss of function is classified as 'no safety effect'.

(b) Minimum requirements

AMMD software that complies with European Technical Standard Order ETSO-C165a is considered to be acceptable.

In addition, the system should provide the means to display the revision number of the software installed.

To achieve the total system accuracy requirements of ETSO-C165a, an airworthiness-approved sensor using the global positioning system (GPS) in combination with a medium-accuracy database compliant with EUROCAE ED-99C/RTCA DO-272C, 'User Requirements for Aerodrome Mapping Information' (or later revisions) is considered one acceptable means.

Alternatively, the use of non-certified commercial off-the-shelf (COTS) position sources may be acceptable in accordance with **AMC6 SPO.GEN.131(b)(2)**.

(c) Data provided by the AMMD software application developer

The operator should ensure that the AMMD software application developer provides the appropriate data including:

- (1) installation instructions or equivalent as per ETSO-C165a Section 2.2 addressing:
 - (i) the identification of each specific EFB system computing platform (including the hardware platform and the operating system version) with which this AMMD software application and database was demonstrated to be compatible;
 - (ii) the installation procedures and limitations for each applicable platform (e.g. required memory resources, configuration of Global Navigation Satellite System (GNSS) antenna position);
 - (iii) the interface description data including the requirements for external sensors providing data inputs; and
 - (iv) means to verify that the AMMD has been installed correctly and is functioning properly;
- (2) any AMMD limitations, and known installation, operational, functional, or performance issues of the AMMD.

(d) AMMD software installation in the EFB

The operator should review the documents and the data provided by the AMMD developer, and ensure that the installation requirements of the AMMD software in the specific EFB platform and aircraft are addressed. Operators are required to perform any verification activities proposed by the AMMD software application developer, as well as identify and perform any additional integration activities that needs to be completed;

(e) Operational procedures

Changes to operational procedures of the aircraft (e.g. flight crew procedures) should be documented in the operations manual or user's guide as appropriate. In particular, the documentation should highlight that the AMMD is designed to assist flight crew members in orienting themselves on the airport surface so as to improve the flight crew members' positional awareness during taxiing and that it is not to be used as the basis for ground manoeuvring.

(f) Training requirements

The operator may use flight crew procedures to mitigate some hazards. These should include limitations on the use of the AMMD function or application. As the AMMD could be a compelling display and the procedural restrictions are a key component of the mitigation, training should be provided in support of an AMMD implementation.

All mitigation means that rely on flight crew procedures should be included in the flight crew training. Details of the AMMD training should be included in the operator's overall EFB training.

AMC6 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE — COMPLEX AIRCRAFT

COTS position sources may be used for AMMD EFB applications and for EFB applications displaying the own-ship position in flight when the following considerations are complied with:

(a) Characterisation of the receiver:

The position should originate from an airworthiness approved GNSS receiver, or from a COTS GNSS receiver fully characterised in terms of technical specifications and featuring an adequate number of channels (12 or more).

The EFB application should, in addition to position and velocity data, receive a sufficient number of parameters related to the fix quality and integrity to allow compliance with the accuracy requirements (e.g. the number of satellites and constellation geometry parameters such as dilution of position (DOP), 2D/3D fix).

(b) Installation aspects:

COTS position sources are C-PEDs and their installation and use should follow the requirements of **SPO.GEN.130**.

If the external COTS position source transmits wirelessly, cybersecurity aspects have to be considered.

(c) Practical evaluation:

As variables can be introduced by the placement of the antennas in the aircraft and the characteristics of the aircraft itself (e.g. heated and/or shielded windshield effects), the tests have to take place on the type of aircraft in which the EFB will be operated, with the antenna positioned at the location to be used in service.

(1) COTS used as a position source for AMMD

The test installation should record the data provided by the COTS position source to the AMMD application.

The analysis should use the the recorded parameters to demonstrate that the AMMD requirements are satisfactorily complied with in terms of the total system accuracy (taking into account database errors, latency effects, display errors, and uncompensated antenna offsets) within 50 metres (95 %). The availability should be sufficient to prevent distraction or increased workload due to frequent loss of position.

When demonstrating compliance with the following requirements of DO-257A, the behaviour of the AMMD system should be evaluated in practice:

- (i) indication of degraded position accuracy within 1 second (Section 2.2.4 (22)); and
- (ii) indication of a loss of positioning data within 5 seconds (Section 2.2.4 (23)); conditions to consider are both a loss of the GNSS satellite view (e.g. antenna failure) and a loss of communication between the receiver and the EFB.

(2) COTS position source used for applications displaying own-ship position in flight:

Flight trials should demonstrate that the COTS GNSS availability is sufficient to prevent distraction or increased workload due to frequent loss of position.

AMC7 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

CHART APPLICATIONS — COMPLEX AIRCRAFT

The navigation charts that are depicted should contain the information necessary, in an appropriate form, to perform the operation safely. Consideration should be given to the size, resolution and position of the display to ensure legibility whilst retaining the ability to review all information required to maintain adequate situational awareness. The identification of risks associated with the human-machine interface, as part of the operator's risk assessment, is key to identifying acceptable mitigation means, e.g.:

- (a) to establish procedures to reduce the risk of making errors;
- (b) to control and mitigate the additional workload related to EFB use;
- (c) to ensure the consistency of colour-coding and symbology philosophies between EFB applications and their compatibility with other flight crew compartment applications; and
- (d) to consider aspects of crew resource management (CRM) when using an EFB system.

In the case of chart application displaying own-ship position in flight, **AMC9 SPO.GEN.131(b)(2)** is applicable.

AMC8 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

IN-FLIGHT WEATHER APPLICATIONS — COMPLEX AIRCRAFT

(a) General

An in-flight weather (IFW) application is an EFB function or application enabling the flight crew to access meteorological information. It is designed to increase situational awareness and to support the flight crew when making strategic decisions.

An IFW function or application may be used to access both information required to be on board (e.g. World Area Forecast Centre (WAFC) data) and supplemental weather information.

The use of IFW applications should be non-safety-critical and not necessary for the performance of the flight. In order to be non-safety-critical, IFW data should not be used to support tactical decisions and/or as a substitute for certified aircraft systems (e.g. weather radar).

Any current information from the meteorological documentation required to be on board or from aircraft primary systems should always prevail over the information from an IFW application.

The displayed meteorological information may be forecasted and/or observed, and may be updated on the ground and/or in flight. It should be based on data from certified meteorological services providers or other reliable sources evaluated by the operator.

The meteorological information provided to the flight crew should be as far as possible consistent with the information available to users of ground-based aviation meteorological information (e.g. operations control centre (OCC), dispatchers, etc.) in order to establish common situational awareness and to facilitate collaborative decision-making.

(b) Display

Meteorological information should be presented to the flight crew in a format that is appropriate to the content of the information; coloured graphical depiction is encouraged whenever practicable.

The IFW display should enable the flight crew to:

- (1) distinguish between observed and forecasted weather data;
- (2) identify the currency or age and validity time of the weather data;
- (3) access the interpretation of the weather data (e.g. the legend);
- (4) obtain positive and clear indications of any missing information or data and determine areas of uncertainty when making decisions to avoid hazardous weather; and
- (5) be aware of the data-link means status enabling necessary IFW data exchanges.

Meteorological information in IFW applications may be displayed, for example, as an overlay over navigation charts, over geographical maps, or it may be a stand-alone weather depiction (e.g. radar plots, satellite images, etc.).

If meteorological information is overlaid on navigation charts, special consideration should be given to HMI issues in order to avoid adverse effects on the basic chart functions.

In case of display of own-ship position in flight, **AMC9 SPO.GEN.131(b)(2)** is applicable.

The meteorological information may require reformatting to accommodate, for example, the display size or the depiction technology. However, any reformatting of the meteorological information should preserve both the geo-location and intensity of the meteorological conditions regardless of projection, scaling, or any other types of processing.

(c) Training and procedures

The operator should establish procedures for the use of an IFW application.

The operator should provide adequate training to the flight crew members before using an IFW application. This training should address:

- (1) limitations of the use of an IFW application:

- (i) acceptable use (strategic planning only);
 - (ii) information required to be on board; and
 - (iii) latency of observed weather information and the hazards associated with utilisation of old information;
- (2) information on the display of weather data:
 - (i) type of displayed information (forecasted, observed);
 - (ii) symbology (symbols, colours); and
 - (iii) interpretation of meteorological information;
- (3) identification of failures and malfunctions (e.g. incomplete uplinks, data-link failures, missing info);
- (4) human factors issues:
 - (i) avoiding fixation; and
 - (ii) managing workload.

AMC9 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN FLIGHT — COMPLEX AIRCRAFT

(a) Limitations

The display of own-ship position in flight as an overlay to other EFB applications should not be used as a primary source of information to fly or navigate the aircraft.

Except on VFR flights over routes navigated by reference to visual landmark, the display of the own-ship symbol is allowed only in aircraft having a certified navigation display (moving map).

In the specific case of IFW applications, the display of own-ship on such applications is restricted to aircraft equipped with a weather radar.

(b) Position source and accuracy

The display of own-ship position may be based on a certified GNSS or GNSS based (e.g. GPS/IRS) position from certified aircraft equipment or on a portable COTS position source in accordance with **AMC6 SPO.GEN.131(b)(2)**.

The own-ship symbol should be removed and the flight crew notified if:

- (1) the estimated accuracy is not sufficient for the intended operations;
- (2) the position data is reported as invalid by the GNSS receiver; or
- (3) the position data is not received for 5 seconds.

(c) Charting data considerations

The display of own-ship position is only allowed when the underlying map/chart data is designed using a projection system that is suitable for aeronautical use.

If the map involves raster images that have been stitched together into a larger single map, it should be demonstrated that the stitching process does not introduce distortion or map errors that would not correlate properly with a GNSS-based own-ship symbol.

(d) Human machine interface (HMI)

(1) Interface

The flight crew should be able to unambiguously differentiate the EFB function from avionics functions available in the cockpit, and in particular with the navigation display.

A sufficiently legible text label 'AIRCRAFT POSITION NOT TO BE USED FOR NAVIGATION' or equivalent should be continuously displayed by the application if the own-ship position depiction is visible in the current display area over a terminal chart (i.e. SID, STAR, or instrument approach) or a depiction of a terminal procedure.

(2) Display of own-ship symbol

The own-ship symbol should be different from the ones used by certified aircraft systems intended for primary navigation.

If directional data is available, the own-ship symbol may indicate directionality. If direction is not available, the own-ship symbol should not imply directionality.

The colour coding should not be inconsistent with the manufacturer philosophy

(3) Data displayed

The current map orientation should be clearly, continuously and unambiguously indicated (e.g., Track-up vs North-up).

If the software supports more than one directional orientation for the own-ship symbol (e.g., Track-up vs North-up), the current own-ship symbol orientation should be indicated.

The chart display in track-up mode should not create usability or readability issues. In particular, chart data should not be rotated in a manner that affects readability.

The application zoom levels should be appropriate for the function and content being displayed and in the context of providing supplemental position awareness.

The pilot should be able to obtain information about the operational status of the own-ship function (e.g. active, deactivated, degraded).

During IFR, day VFR without visual reference or night VFR flights, the following parameters' values should not be displayed:

- (i) Track/heading;
- (ii) Estimated time of arrival (ETA);
- (iii) Altitude;
- (iv) Geographical coordinates of the current location of the aircraft; and
- (v) Aircraft speed.

(4) Controls

If a panning and/or range selection function is available, the EFB application should provide a clear and simple method to return to an own-ship-oriented display.

A means to disable the display of the own-ship position should be provided to the flight crew.

(e) Training and procedures

The procedures and training should emphasise the fact that the display of own-ship position on charts or IFW EFB applications should not be used as a primary source of information to fly or navigate the aircraft or as a primary source of weather information.

(1) Procedures:

The following considerations should be addressed in the procedures for the use of charts or IFW EFB application displaying the own-ship position in flight by the flight crew:

- (i) Intended use of the display of own-ship position in flight on charts or IFW EFB applications;
- (ii) Inclusion of the EFB into the regular scan of flight deck systems indications. In particular, systematic cross-check with avionics before being used, whatever the position source; and
- (iii) Actions to be taken in case of the identification of a discrepancy between the EFB and avionics.

(2) Training:

Crew members should be trained on the procedures for the use of the application, including the regular cross-check with avionics and the action in case of discrepancy.

GM1 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

IN-FLIGHT WEATHER (IFW) APPLICATIONS — COMPLEX AIRCRAFT

‘Reliable sources’ of data used by IFW applications are the organisations evaluated by the operator as being able to provide an appropriate level of data assurance in terms of accuracy and integrity. It is recommended that the following aspects be considered during that evaluation:

- (a) The organisation should have a quality assurance system in place that covers the data source selection, acquisition/import, processing, validity period check, and the distribution phase;
- (b) Any meteorological product provided by the organisation that is within the scope of the meteorological information included in the flight documentation as defined in MET.TR.215(e) (Annex V (Definitions of terms used in Annexes II to XIII) to Commission Regulation (EU) 2016/1377) should originate only from authoritative sources or certified providers and should not be transformed or altered, except for the purpose of packaging the data in the correct format. The organisation’s process should provide assurance that the integrity of those products is preserved in the data for use by the IFW application.

GM2 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

USE OF COMMERCIAL OFF-THE-SHELF (COTS) POSITION SOURCE – PRATICAL EVALUATION — COMPLEX AIRCRAFT

The tests should consist of a statistically relevant sample of taxiing. It is recommended to include taxiing at airports that are representative of the more complex airports typically accessed by the operator. Taxiing segment samples should include data that is derived from runways and taxiways, and should include numerous turns, in particular of 90 degrees or more, and segments in straight lines at the maximum speed at which the own-ship symbol is displayed. Taxiing segment samples should

include parts in areas of high buildings such as terminals. The analysis should include at least 25 inbound and/or outbound taxiing segments between the parking location and the runway.

During the tests, any unusual events (such as observing the own-ship symbol in a location on the map that is notably offset compared to the actual position, the own-ship symbol changing to non-directional when the aircraft is moving, and times when the own-ship symbol disappears from the map display) should be noted. For the test, the pilot should be instructed to diligently taxi on the centre line.

GM3 SPO.GEN.131(b)(2) Use of electronic flight bags (EFBs)

APPLICATIONS DISPLAYING OWN-SHIP POSITION IN FLIGHT

The depiction of a circle around the EFB own-ship symbol may be used to differentiate it from the avionics one.

AMC1 SPO.GEN.135 Information on emergency and survival equipment carried

CONTENT OF INFORMATION

The information, compiled in a list, should include, as applicable:

- (a) the number, colour and type of life rafts and pyrotechnics;
- (b) details of emergency medical supplies and water supplies; and
- (c) the type and frequencies of the emergency portable radio equipment.

AMC1 SPO.GEN.140 Documents, manuals and information to be carried

GENERAL

The documents, manuals and information may be available in a form other than on printed paper. An electronic storage medium is acceptable if accessibility, usability and reliability can be assured.

GM1 SPO.GEN.140(a)(1) Documents, manuals and information to be carried

AFM OR EQUIVALENT DOCUMENT

‘Aircraft flight manual (AFM), or equivalent document’ means the flight manual for the aircraft or other documents containing information required for the operation of the aircraft within the terms of its certificate of airworthiness, unless these data are available in the parts of the operations manual carried on board.

AMC1 SPO.GEN.140(a)(3) Documents, manuals and information to be carried

CERTIFICATE OF AIRWORTHINESS

The certificate of airworthiness should be a normal certificate of airworthiness, a restricted certificate of airworthiness or a permit to fly issued in accordance with the applicable airworthiness requirements.

GM1 SPO.GEN.140(a)(9) Documents, manuals and information to be carried

JOURNEY LOG OR EQUIVALENT

‘Journey log or equivalent’ means in this context that the required information may be recorded in documentation other than a log book, such as the operational flight plan or the aircraft technical log.

AMC1 SPO.GEN.140(a)(12) Documents, manuals and information to be carried

CURRENT AND SUITABLE AERONAUTICAL CHARTS

- (a) The aeronautical charts carried should contain data appropriate to the applicable air traffic regulations, rules of the air, flight altitudes, area/route and nature of the operation. Due consideration should be given to carriage of textual and graphic representations of:
 - (1) aeronautical data including, as appropriate for the nature of the operation:
 - (i) airspace structure;
 - (ii) significant points, navigation aids (navaids) and air traffic services (ATS) routes;
 - (iii) navigation and communication frequencies;
 - (iv) prohibited, restricted and danger areas; and
 - (v) sites of other relevant activities that may hazard the flight; and
 - (2) topographical data, including terrain and obstacle data.
- (b) A combination of different charts and textual data may be used to provide adequate and current data.
- (c) The aeronautical data should be appropriate for the current aeronautical information regulation and control (AIRAC) cycle.
- (d) The topographical data should be reasonably recent, having regard to the nature of the planned operation.

AMC1 SPO.GEN.140(a)(13) Documents, manuals and information to be carried

PROCEDURES AND VISUAL SIGNALS FOR USE BY INTERCEPTING AND INTERCEPTED AIRCRAFT

The procedures and the visual signals information for use by intercepting and intercepted aircraft should reflect those contained in the International Civil Aviation Organisation's (ICAO) Annex 2. This may be part of the operations manual.

GM1 SPO.GEN.140(a)(14) Documents, manuals and information to be carried

SEARCH AND RESCUE INFORMATION

This information is usually found in the State's aeronautical information publication.

GM1 SPO.GEN.140(a)(20) Documents, manuals and information to be carried

DOCUMENTS THAT MAY BE PERTINENT TO THE FLIGHT

Any other documents that may be pertinent to the flight or required by the States concerned with the flight may include, for example, forms to comply with reporting requirements.

STATES CONCERNED WITH THE FLIGHT

The States concerned are those of origin, transit, overflight and destination of the flight.

AMC1 SPO.GEN.145(a) Handling of flight recorder recordings: preservation, production, protection and use

PRESERVATION OF RECORDED DATA FOR INVESTIGATION

- (a) The operator should establish procedures to ensure that flight recorder recordings are preserved for the investigating authority.
- (b) These procedures should include:
 - (1) instructions for flight crew members to deactivate the flight recorders immediately after completion of the flight and inform relevant personnel that the recording of the flight recorders should be preserved. These instructions should be readily available on board; and
 - (2) instructions to prevent inadvertent reactivation, test, repair or reinstallation of the flight recorders by operator personnel or during maintenance or ground handling activities performed by third parties.

GM1 SPO.GEN.145(a) Handling of flight recorder recordings: preservation, production, protection and use

REMOVAL OF RECORDERS IN CASE OF AN INVESTIGATION

The need for removal of the recorders from the aircraft is determined by the investigating authority with due regard to the seriousness of an occurrence and the circumstances, including the impact on the operation.

AMC1 SPO.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTIONS AND CHECKS OF RECORDINGS

Whenever a flight recorder is required to be carried:

- (a) the operator should perform an inspection of the FDR recording and the CVR recording every year unless one or more of the following applies:
 - (1) If the flight recorder records on magnetic wire or uses frequency modulation technology, the time interval between two inspections of the recording should not exceed three months.
 - (2) If the flight recorder is solid-state and the flight recorder system is fitted with continuous monitoring for proper operation, the time interval between two inspections of the recording may be up to two years.
 - (3) In the case of an aircraft equipped with two solid-state flight data and cockpit voice combination recorders, where
 - (i) the flight recorder systems are fitted with continuous monitoring for proper operation, and
 - (ii) the flight recorders share the same flight data acquisition, a comprehensive inspection of the recording needs only to be performed for one flight recorder position. The inspection of the recordings should be performed alternately so that each flight recorder position is inspected at least every four years.
 - (4) Where all of the following conditions are met, the inspection of FDR recording is not needed:
 - (i) the aircraft flight data are collected in the frame of a flight data monitoring (FDM) programme;
 - (ii) the data acquisition of mandatory flight parameters is the same for the FDR and for the recorder used for the FDM programme;
 - (iii) an inspection similar to the inspection of the FDR recording and covering all mandatory flight parameters is conducted on the FDM data at time intervals not exceeding two years; and
 - (iv) the FDR is solid-state and the FDR system is fitted with 'continuous monitoring for proper operation'.
- (b) the operator should perform every five years an inspection of the data link recording.
- (c) when installed, the aural or visual means for preflight checking the flight recorders for proper

operation should be used every day. When no such means is available for a flight recorder, the operator should perform an operational check of this flight recorder at time intervals not exceeding seven calendar days of operation.

- (d) the operator should check every five years, or in accordance with the recommendations of the sensor manufacturer, that the parameters dedicated to the FDR and not monitored by other means are being recorded within the calibration tolerances and that there is no discrepancy in the engineering conversion routines for these parameters.

GM1 SPO.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

INSPECTION OF THE FLIGHT RECORDERS RECORDING

- (a) The inspection of the FDR recording usually consists of the following:
 - (1) Making a copy of the complete recording file.
 - (2) Converting the recording to parameters expressed in engineering units in accordance with the documentation required to be held.
 - (3) Examining a whole flight in engineering units to evaluate the validity of all mandatory parameters. This could reveal defects or noise in the measuring and processing chains and indicate necessary maintenance actions. The following should be considered:
 - (i) when applicable, each parameter should be expressed in engineering units and checked for different values of its operational range. For this purpose, some parameters may need to be inspected at different flight phases; and
 - (ii) if the parameter is delivered by a digital data bus and the same data are utilised for the operation of the aircraft, then a reasonableness check may be sufficient; otherwise a correlation check may need to be performed:
 - (A) a reasonableness check is understood in this context as a subjective, qualitative evaluation, requiring technical judgement, of the recordings from a complete flight; and
 - (B) a correlation check is understood in this context as the process of comparing data recorded by the flight data recorder against the corresponding data derived from flight instruments, indicators or the expected values obtained during specified portion(s) of a flight profile or during ground checks that are conducted for that purpose.
 - (4) Retaining the most recent copy of the complete recording file and the corresponding recording inspection report that includes references to the documentation required to be held.
- (b) When performing the CVR recording inspection, precautions need to be taken to comply with **SPO.GEN.145(f)(1a)**. The inspection of the CVR recording usually consists of:
 - (1) checking that the CVR operates correctly for the nominal duration of the recording;
 - (2) examining, where practicable, a sample of in-flight recording of the CVR for evidence that the signal is acceptable on each channel; and
 - (3) preparing and retaining an inspection report.
- (c) The inspection of the DLR recording usually consists of:
 - (1) Checking the consistency of the data link recording with other recordings for example,

during a designated flight, the flight crew speaks out a few data link messages sent and received. After the flight, the data link recording and the CVR recording are compared for consistency.

- (2) Retaining the most recent copy of the complete recording and the corresponding inspection report.

GM2 SPO.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

MONITORING AND CHECKING THE PROPER OPERATION OF FLIGHT RECORDERS – EXPLANATION OF TERMS

For the understanding of the terms used in **AMC1 SPO.GEN.145(b)**:

- (a) 'operational check of the flight recorder' means a check of the flight recorder for proper operation. It is not a check of the quality of the recording and, therefore, it is not equivalent to an inspection of the recording. This check can be carried out by the flight crew or through a maintenance task.
- (b) 'aural or visual means for preflight checking the flight recorders for proper operation' means an aural or visual means for the flight crew to check before the flight the results of an automatically or manually initiated test of the flight recorders for proper operation. Such a means provides for an operational check that can be performed by the flight crew.
- (c) 'flight recorder system' means the flight recorder, its dedicated sensors and transducers, as well as its dedicated acquisition and processing equipment.
- (d) 'continuous monitoring for proper operation' means for a flight recorder system, a combination of system monitors and/or built-in test functions which operates continuously in order to detect the following:
 - (1) loss of electrical power to the flight recorder system;
 - (2) failure of the equipment performing acquisition and processing;
 - (3) failure of the recording medium and/or drive mechanism; and
 - (4) failure of the recorder to store the data in the recording medium as shown by checks of the recorded data including, as reasonably practicable for the storage medium concerned, correct correspondence with the input data.

However, detections by the continuous monitoring for proper operation do not need to be automatically reported to the flight crew compartment.

GM3 SPO.GEN.145(b) Handling of flight recorder recordings: preservation, production, protection and use

CVR AUDIO QUALITY

Examples of CVR audio quality issues and possible causes thereof may be found in the document of the French Bureau d'Enquêtes et d'Analyses, titled 'Study on detection of audio anomalies on CVR recordings' and dated September 2015¹.

AMC1 SPO.GEN.145(f) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The procedure related to the handling of cockpit voice recorder (CVR) recordings should be written in a document which should be signed by all parties (aircraft operator, crew members, maintenance personnel if applicable). This procedure should, as a minimum, define:
 - (1) the method to obtain the consent of all crew members and maintenance personnel concerned;
 - (2) an access and security policy that restricts access to CVR recordings and identified CVR transcripts to specifically authorised persons identified by their position;
 - (3) a retention policy and accountability, including the measures to be taken to ensure the security of the CVR recordings and CVR transcripts and their protection from misuse. The retention policy should specify the period of time after which CVR recordings and identified CVR transcripts are destroyed; and
 - (4) a description of the uses made of the CVR recordings and of their transcripts.
- (b) Each time a CVR recording file is read out under the conditions defined by **SPO.GEN.145(f)(1)**:
 - (1) parts of the CVR recording file that contain information with a privacy content should be deleted to the extent possible, and it should not be permitted that the detail of information with a privacy content is transcribed;
 - (2) the operator should retain, and when requested, provide to the CAA:
 - (i) information on the use made (or the intended use) of the CVR recording; and
 - (ii) evidence that the persons concerned consented to the use made (or the intended use) of the CVR recording file.
- (c) The person who fulfils the role of a safety manager should also be responsible for the protection and the use of the CVR recordings and the CVR transcripts.
- (d) In case a third party is involved in the use of CVR recordings, contractual agreements with this third party should, when applicable, cover the aspects enumerated in (a) and (b).

AMC2 SPO.GEN.145(f) Handling of flight recorder recordings: preservation, production, protection and use

CVR RECORDING INSPECTION FOR ENSURING SERVICEABILITY

- (a) When an inspection of the CVR recording is performed for ensuring audio quality and intelligibility of recorded communications:
 - (1) the privacy of the CVR recording should be ensured (e.g. by locating the equipment in a separated area and/or using headsets);
 - (2) access to the CVR replay equipment should be restricted to specifically authorised persons;

¹ <http://www.bea.aero/en/bea/la-technique/guidance.on.detection.of.audio.anomalies.on.CVR.recordings.pdf>

- (3) provision should be made for the secure storage of the CVR recording medium, the CVR recording files and copies thereof;
 - (4) the CVR recording files and copies thereof should be destroyed not earlier than two months and not later than one year after completion of the CVR recording inspection, except that audio samples may be retained for enhancing the CVR recording inspection (e.g. for comparing audio quality); and
 - (5) only the accountable manager of the operator, and when identified to comply with **ORO.GEN.200**, the person fulfilling the role of safety manager, should be entitled to request a copy of the CVR recording file.
- (b) The conditions enumerated in (a) should also be complied if the inspection of the CVR recording is subcontracted to a third party. The contractual agreements with the third party should explicitly cover these aspects.

GM1 SPO.GEN.145(f) Handling of flight recorder recordings: preservation, production, protection and use

USE OF CVR RECORDINGS FOR MAINTAINING OR IMPROVING SAFETY

- (a) The CVR is primarily a tool for the investigation of accidents and serious incidents by investigating authorities. Misuse of CVR recordings is a breach of the right to privacy and it works against an effective safety culture inside the operator.
- (b) Therefore, the use of a CVR recording, when for purposes other than CVR serviceability or those laid down by Regulation (EU) No 996/2010, should be subject to the free prior consent of the persons concerned, and framed by a procedure that is endorsed by all parties and that protects the privacy of crew members and (if applicable) maintenance staff.

GM1 SPO.GEN.150(a) Transport of dangerous goods

GENERAL

- (a) The requirement to transport dangerous goods by air in accordance with the Technical Instructions is irrespective of whether:
 - (1) the flight is wholly or partly within or wholly outside the territory of a State; or
 - (2) an approval to carry dangerous goods in accordance with Annex V (Part-SPA), Subpart DG is held.
- (b) The Technical Instructions provide that in certain circumstances dangerous goods, which are normally forbidden on an aircraft, may be carried. These circumstances include cases of extreme urgency or, when other forms of transport are inappropriate or when full compliance with the prescribed requirements is contrary to the public interest. In these circumstances all the States concerned may grant exemptions from the provisions of the Technical Instructions provided that an overall level of safety that is at least equivalent to that provided by the Technical Instructions is achieved. Although exemptions are most likely to be granted for the carriage of dangerous goods that are not permitted in normal circumstances, they may also be granted in other circumstances, such as when the packaging to be used is not provided for by the appropriate packing method or the quantity in the packaging is greater than that permitted. The Technical Instructions also make provision for some dangerous goods to be carried when an approval has been granted only by the State of Origin and the CAA.

- (c) When an exemption is required, the States concerned are those of origin, transit, overflight and destination of the consignment and that of the operator. For the State of overflight, if none of the criteria for granting an exemption are relevant, an exemption may be granted based solely on whether it is believed that an equivalent level of safety in air transport has been achieved.
- (d) The Technical Instructions provide that exemptions and approvals are granted by the 'appropriate national authority', which is intended to be the authority responsible for the particular aspect against which the exemption or approval is being sought. The operator should ensure that all relevant conditions on an exemption or approval are met.
- (e) The exemption or approval referred to in (b) to (d) is in addition to the approval required by Annex V (Part-SPA).

AMC1 SPO.GEN.150(e) Transport of dangerous goods

DANGEROUS GOODS ACCIDENT AND INCIDENT REPORTING

- (a) Any type of dangerous goods incident or accident should be reported. For this purpose, the Technical Instructions consider that reporting of undeclared and misdeclared dangerous goods found in cargo also applies to items of operators' stores that are classified as dangerous goods.
- (b) The first report should be dispatched within 72 hours of the event. It may be sent by any means, including e-mail, telephone or fax. This report should include the details that are known at that time, under the headings identified in (c). If necessary, a subsequent report should be made as soon as possible giving all the details that were not known at the time the first report was sent. If a report has been made verbally, written confirmation should be sent as soon as possible.
- (c) The first and any subsequent report should be as precise as possible and contain the following data, where relevant:
 - (1) date of the incident or accident or the finding of undeclared or misdeclared dangerous goods;
 - (2) location and flight date;
 - (3) description of the goods;
 - (4) proper shipping name (including the technical name, if appropriate) and United Nations (UN)/identification (ID) number, when known;
 - (5) class or division and any subsidiary risk;
 - (6) type of packaging, and the packaging specification marking on it;
 - (7) quantity;
 - (8) any other relevant details;
 - (9) suspected cause of the incident or accident;
 - (10) action taken;
 - (11) any other reporting action taken; and
 - (12) name, title, address and telephone number of the person making the report.
- (d) Copies of relevant documents and any photographs taken should be attached to the report.
- (e) A dangerous goods accident or incident may also constitute an aircraft accident, serious incident or incident. The criteria for reporting both types of occurrence should be met.
- (f) The following dangerous goods reporting form should be used, but other forms, including

electronic transfer of data, may be used provided that at least the minimum information of this AMC is supplied:

| | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------|------------------------------------------------------|
| DANGEROUS GOODS OCCURRENCE REPORT | | | DGOR No: |
| 1. Operator: | 2. Date of Occurrence: | | 3. Local time of occurrence: |
| 4. Flight date: | | 5. Reserved: | |
| 6. Departure aerodrome: | | 7. Destination aerodrome: | |
| 8. Aircraft type: | | 9. Aircraft registration: | |
| 10. Location of occurrence: | | 11. Origin of the goods: | |
| 12. Description of the occurrence, including details of injury, damage, etc. (if necessary continue on the reverse of this form) | | | |
| 13. Proper shipping name (including the technical name): | | | 14. UN/ID No (when known): |
| 15. Class/Division (when known): | 16. Subsidiary risk(s): | 17. Packing group: | 18. Category (Class 7 only): |
| 19. Type of packaging: | 20. Packaging specification marking: | 21. No of packages: | 22. Quantity (or transport index, if applicable): |
| 23. Other relevant information (including suspected cause, any action taken): | | | |
| 24. Name and title of person making report: | | 25. Telephone No: | |
| 26. Company: | | 27. Reporters ref: | |
| 28. Address: | | 29. Signature: | |
| | | 30. Date: | |

Description of the occurrence (continuation)

Notes for completion of the form:

1. A dangerous goods accident is as defined in **Annex I**. For this purpose serious injury is as defined in Regulation (EU) No 996/2010 of the European Parliament and of the Council¹.
2. The initial report should be dispatched unless exceptional circumstances prevent this. This occurrence report form, duly completed, should be sent as soon as possible, even if all the information is not available.
3. Copies of all relevant documents and any photographs should be attached to this report.

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4. Any further information, or any information not included in the initial report, should be sent as soon as possible to the authorities identified in **SPO.GEN.150(e)**.
 5. Providing it is safe to do so, all dangerous goods, packaging, documents, etc. relating to the occurrence should be retained until after the initial report has been sent to the authorities identified in **SPO.GEN.150(e)**, and they have indicated whether or not these should continue to be retained.

¹ Regulation (EU) No 996/2010 of the European Parliament and of the Council of 20 October 2010 on the investigation and prevention of accidents and incidents in civil aviation and repealing Directive 94/56/EC (OJ L 295, 12.11.2010, p. 35).

SUBPART B: OPERATIONAL PROCEDURES

AMC1 SPO.OP.100 Use of aerodromes and operating sites

USE OF OPERATING SITES MOTOR-POWERED AIRCRAFT

- (a) When defining adequate operating sites for use for the type(s) of aircraft and operation(s) concerned, the operator should take account of the following:
 - (1) An adequate site is a site that the operator considers to be satisfactory, taking account of the applicable performance requirements and site characteristics.
 - (2) The operator should have in place a procedure for the survey of operating sites by a competent person. Such a procedure should take account for possible changes to the operating site characteristics that may have taken place since last surveyed.
- (b) Operating sites that are pre-surveyed should be specifically specified in the operations manual. The operations manual should contain diagrams or ground and aerial photographs, depiction (pictorial) and description of:
 - (1) the overall dimensions of the operating site;
 - (2) location and height of relevant obstacles to approach and take-off profiles and in the manoeuvring area;
 - (3) approach and take-off flight paths;
 - (4) surface condition (blowing dust/snow/sand);
 - (5) provision of control of third parties on the ground, if applicable;
 - (6) lighting, if applicable;
 - (7) procedure for activating the operating site in accordance with national regulations, if applicable;
 - (8) other useful information, for example details of the appropriate ATS agency and frequency; and
 - (9) site suitability with reference to available aircraft performance.
- (c) Where the operator specifically permits operation from sites that are not pre-surveyed, the pilot-in-command should make, from the air a judgement on the suitability of a site. At least (b)(1) to (b)(6) inclusive and (b)(9) should be considered. Operations to non-pre-surveyed operating sites by night should not be conducted.

AMC1 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

COMMERCIALY AVAILABLE INFORMATION

An acceptable method of specifying aerodrome operating minima is through the use of commercially available information.

AMC2 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

VISUAL APPROACH OPERATIONS

For a visual approach operation, the runway visual range (RVR) should not be less than 800 m.

AMC3 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

GENERAL

- (a) The aerodrome operating minima should not be lower than as specified in **SPO.OP.111** or **AMC4 SPO.OP.110(c)**.
- (b) Whenever practical approaches should be flown as stabilised approaches (SAs). Different procedures may be used for a particular approach to a particular runway.
- (c) Whenever practical, non-precision approaches should be flown using the continuous descent final approach (CDFA) technique. Different procedures may be used for a particular approach to a particular runway.
- (d) For approaches not flown using the CDFA technique: when calculating the minima in accordance with **SPO.OP.111**, the applicable minimum runway visual range (RVR) should be increased by 200 m for Category A and B aeroplanes and by 400 m for Category C and D aeroplanes, provided the resulting RVR/converted meteorological visibility (CMV) value does not exceed 5 000 m. SA or CDFA should be used as soon as facilities are improved to allow these techniques.

AMC4 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

TAKE-OFF OPERATIONS WITH COMPLEX MOTOR-POWERED AIRCRAFT

- (a) General:
 - (1) Take-off minima should be expressed as visibility (VIS) or RVR limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, should be specified.
 - (2) The pilot-in-command should not commence take-off unless the weather conditions at the aerodrome of departure are equal to or better than applicable minima for landing at that aerodrome, unless a weather-permissible take-off alternate aerodrome is available.
 - (3) When the reported meteorological visibility is below that required for take-off and RVR is not reported, a take-off should only be commenced if the pilot-in-command can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.
 - (4) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the pilot-in-command can determine that the RVR/VIS along the take-off runway/area is equal to or better than the required minimum.
- (b) Visual reference:

- (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.
 - (2) For night operations, ground lights should be available to illuminate the runway/final approach and take-off area (FATO) and any obstacles.
- (c) Required RVR/visibility:
- (1) Aeroplanes:
 - (i) For aeroplanes, the take-off minima specified by the operator should be expressed as RVR/VIS values not lower than those specified in Table 1.A.
 - (ii) When reported RVR or meteorological visibility is not available, the pilot-in-command should not commence take-off unless he/she can determine that the actual conditions satisfy the applicable take-off minima.
 - (2) Helicopters:
 - (i) For helicopters having a mass where it is possible to reject the take-off and land on the FATO in case of the critical engine failure being recognised at or before the take-off decision point (TDP), the operator should specify an RVR/VIS as take-off minima in accordance with Table 1.H.
 - (ii) For all other cases, the pilot-in-command should operate to take-off minima of 800 m RVR/VIS and remain clear of cloud during the take-off manoeuvre until reaching the performance capabilities of (c)(2)(i).
 - (iii) Table 1 of **AMC9 SPO.OP.110**, for converting reported meteorological visibility to RVR, should not be used for calculating take-off minima.

Table 1.A: Take-off — aeroplanes
(without low visibility take-off (LVTO) approval) — RVR/VIS

| Facilities | RVR/VIS (m)* |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Day only: Nil** | 500 |
| Day: at least runway edge lights or runway centreline markings Night: at least runway edge lights or runway centreline lights and runway end lights | 400 |

*: The reported RVR/VIS value representative of the initial part of the take-off run can be replaced by pilot assessment.

**.: The pilot is able to continuously identify the take-off surface and maintain directional control.

**Table 1.H: Take-off — helicopters
(without LVTO approval) — RVR/Visibility**

| Onshore aerodromes with instrument flight rules (IFR) departure procedures | RVR/VIS (m) |
|----------------------------------------------------------------------------|-----------------------------------------------------------------|
| No light and no markings (day only) | 400 or the rejected take-off distance, whichever is the greater |
| No markings (night) | 800 |
| Runway edge/FATO light and centreline marking | 400 |
| Runway edge/FATO light, centreline marking and relevant RVR information | 400 |
| Offshore helideck * | |
| Two-pilot operations | 400 |
| Single-pilot operations | 500 |

*: The take-off flight path to be free of obstacles.

AMC5 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

TAKE-OFF OPERATIONS WITH OTHER-THAN COMPLEX MOTOR-POWERED AIRCRAFT

(a) General:

- (1) Take-off minima should be expressed as VIS or RVR limits, taking into account all relevant factors for each aerodrome planned to be used and aircraft characteristics. Where there is a specific need to see and avoid obstacles on departure and/or for a forced landing, additional conditions, e.g. ceiling, it should be specified.
- (2) When the reported meteorological visibility is below that required for take-off and RVR is not reported, a take-off should only be commenced if the pilot-in-command can determine that the visibility along the take-off runway/area is equal to or better than the required minimum.
- (3) When no reported meteorological visibility or RVR is available, a take-off should only be commenced if the pilot-in-command can determine that the RVR/VIS along the take-off runway/area is equal to or better than the required minimum.

(b) Visual reference:

- (1) The take-off minima should be selected to ensure sufficient guidance to control the aircraft in the event of both a rejected take-off in adverse circumstances and a continued take-off after failure of the critical engine.
- (2) For night operations, ground lights should be available to illuminate the runway/final approach and take-off area (FATO) and any obstacles.

AMC6 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CRITERIA FOR ESTABLISHING RVR/CMV

- (a) In order to qualify for the lowest allowable values of RVR/CMV specified in Table 4.A of **AMC7 SPO.OP.110**, the instrument approach should meet at least the following facility requirements and associated conditions:
- (1) Instrument approaches with designated vertical profile up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are:
 - (i) instrument landing system (ILS)/microwave landing system (MLS)/GBAS landing system (GLS)/precision approach radar (PAR)); or
 - (ii) approach procedure with vertical guidance (APV); andwhere the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes.
 - (2) Instrument approach operations flown using the CDFA technique with a nominal vertical profile, up to and including 4.5° for Category A and B aeroplanes, or 3.77° for Category C and D aeroplanes, where the facilities are non-directional beacon (NDB), NDB/distance measuring equipment (DME), VHF omnidirectional radio range (VOR), VOR/DME, localiser (LOC), LOC/DME, VHF direction finder (VDF), surveillance radar approach (SRA) or global navigation satellite system (GNSS)/lateral navigation (LNAV), with a final approach segment of at least 3 NM, which also fulfil the following criteria:
 - (i) the final approach track is offset by not more than 15° for Category A and B aeroplanes or by not more than 5° for Category C and D aeroplanes;
 - (ii) the final approach fix (FAF) or another appropriate fix where descent is initiated is available, or distance to threshold (THR) is available by flight management system (FMS)/area navigation (NDB/DME) or DME; and
 - (iii) the missed approach point (MAPt) is determined by timing, the distance from FAF to THR is ≤ 8 NM.
 - (3) Instrument approaches where the facilities are NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA or GNSS/LNAV, not fulfilling the criteria in (a)(2), or with an minimum descent height (MDH) ≥ 1 200 ft.
- (b) The missed approach operation, after an approach operation has been flown using the CDFA technique, should be executed when reaching the decision height/altitude (DH/A) or the MAPt, whichever occurs first. The lateral part of the missed approach procedure should be flown via the MAPt unless otherwise stated on the approach chart.

AMC7 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, APV, CAT I — AEROPLANES

- (a) The minimum RVR/CMV/VIS should be the highest of the values specified in Table 3 and Table 4.A but not greater than the maximum values specified in Table 4.A, where applicable.
- (b) The values in Table 2 should be derived from the formula below:
$$\text{required RVR/VIS (m)} = [(\text{DH/MDH (ft)} \times 0.3048) / \tan \alpha] - \text{length of approach lights (m)};$$

where α is the calculation angle, being a default value of 3.00° increasing in steps of 0.10° for each line in Table 3 up to 3.77° and then remaining constant.
- (c) If the approach is flown with a level flight segment at or above MDA/H, 200 m should be added for Category A and B aeroplanes and 400 m for Category C and D aeroplanes to the minimum RVR/CMV/VIS value resulting from the application of Table 3 and Table 4.A.
- (d) An RVR of less than 750 m as indicated in Table 3 may be used:
 - (1) for CAT I operations to runways with full approach lighting system (FALS), runway touchdown zone lights (RTZL) and runway centreline lights (RCLL);
 - (2) for CAT I operations to runways without RTZL and RCLL when using an approved head-up guidance landing system (HUDLS), or equivalent approved system, or when conducting a coupled approach or flight-director-flown approach to a DH. The ILS should not be published as a restricted facility; and
 - (3) for APV operations to runways with FALS, RTZL and RCLL when using an approved head-up display (HUD).
- (e) Lower values than those specified in Table 3 may be used for HUDLS and auto-land operations if approved in accordance with Annex V (Part-SPA), Subpart E.
- (f) The visual aids should comprise standard runway day markings and approach and runway lights as specified in Table 2.
- (g) For night operations or for any operation where credit for runway and approach lights is required, the lights should be on and serviceable, except as provided for in Table 6 of **AMC10 SPO.OP.110**.
- (h) For single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (1) an RVR of less than 800 m as indicated in Table 3 may be used for CAT I approaches provided any of the following is used at least down to the applicable DH:
 - (i) a suitable autopilot, coupled to an ILS, MLS or GLS that is not published as restricted; or
 - (ii) an approved HUDLS, including, where appropriate, enhanced vision system (EVS), or equivalent approved system;
 - (2) where RTZL and/or RCLL are not available, the minimum RVR/CMV should not be less than 600 m; and
 - (3) an RVR of less than 800 m as indicated in Table 3 may be used for APV operations to runways with FALS, RTZL and RCLL when using an approved HUDLS, or equivalent

approved system, or when conducting a coupled approach to a DH equal to or greater than 250 ft.

Table 2: Approach lighting systems

| Class of lighting facility | Length, configuration and intensity of approach lights |
|----------------------------|-------------------------------------------------------------------------------------------|
| FALS | CAT I lighting system (HIALS \geq 720 m) distance coded centreline, Barrette centreline |
| IALS | Simple approach lighting system (HIALS 420 – 719 m) single source, Barrette |
| BALS | Any other approach lighting system (HIALS, MIALS or ALS 210 – 419 m) |
| NALS | Any other approach lighting system (HIALS, MIALS or ALS < 210 m) or no approach lights |

Note: HIALS: high intensity approach lighting system;

MIALS: medium intensity approach lighting system;

ALS: approach lighting system.

Table 3: RVR/CMV vs DH/MDH

| DH or MDH | | | Class of lighting facility | | | |
|-----------|---|-----|---------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (d), (e), (h) above for RVR < 750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 200 | - | 210 | 550 | 750 | 1 000 | 1 200 |
| 211 | - | 220 | 550 | 800 | 1 000 | 1 200 |
| 221 | - | 230 | 550 | 800 | 1 000 | 1 200 |
| 231 | - | 240 | 550 | 800 | 1 000 | 1 200 |
| 241 | - | 250 | 550 | 800 | 1 000 | 1 300 |
| 251 | - | 260 | 600 | 800 | 1 100 | 1 300 |
| 261 | - | 280 | 600 | 900 | 1 100 | 1 300 |
| 281 | - | 300 | 650 | 900 | 1 200 | 1 400 |
| 301 | - | 320 | 700 | 1 000 | 1 200 | 1 400 |
| 321 | - | 340 | 800 | 1 100 | 1 300 | 1 500 |
| 341 | - | 360 | 900 | 1 200 | 1 400 | 1 600 |
| 361 | - | 380 | 1 000 | 1 300 | 1 500 | 1 700 |
| 381 | - | 400 | 1 100 | 1 400 | 1 600 | 1 800 |
| 401 | - | 420 | 1 200 | 1 500 | 1 700 | 1 900 |
| 421 | - | 440 | 1 300 | 1 600 | 1 800 | 2 000 |
| 441 | - | 460 | 1 400 | 1 700 | 1 900 | 2 100 |
| 461 | - | 480 | 1 500 | 1 800 | 2 000 | 2 200 |
| 481 | | 500 | 1 500 | 1 800 | 2 100 | 2 300 |
| 501 | - | 520 | 1 600 | 1 900 | 2 100 | 2 400 |
| 521 | - | 540 | 1 700 | 2 000 | 2 200 | 2 400 |
| 541 | - | 560 | 1 800 | 2 100 | 2 300 | 2 500 |
| 561 | - | 580 | 1 900 | 2 200 | 2 400 | 2 600 |
| 581 | - | 600 | 2 000 | 2 300 | 2 500 | 2 700 |
| 601 | - | 620 | 2 100 | 2 400 | 2 600 | 2 800 |
| 621 | - | 640 | 2 200 | 2 500 | 2 700 | 2 900 |

| DH or MDH | | | Class of lighting facility | | | |
|-----------------|---|-------|---------------------------------------------|-------|-------|-------|
| | | | FALS | IALS | BALS | NALS |
| | | | See (d), (e), (h) above for RVR < 750/800 m | | | |
| ft | | | RVR/CMV (m) | | | |
| 641 | - | 660 | 2 300 | 2 600 | 2 800 | 3 000 |
| 661 | - | 680 | 2 400 | 2 700 | 2 900 | 3 100 |
| 681 | - | 700 | 2 500 | 2 800 | 3 000 | 3 200 |
| 701 | - | 720 | 2 600 | 2 900 | 3 100 | 3 300 |
| 721 | - | 740 | 2 700 | 3 000 | 3 200 | 3 400 |
| 741 | - | 760 | 2 700 | 3 000 | 3 300 | 3 500 |
| 761 | - | 800 | 2 900 | 3 200 | 3 400 | 3 600 |
| 801 | - | 850 | 3 100 | 3 400 | 3 600 | 3 800 |
| 851 | - | 900 | 3 300 | 3 600 | 3 800 | 4 000 |
| 901 | - | 950 | 3 600 | 3 900 | 4 100 | 4 300 |
| 951 | - | 1 000 | 3 800 | 4 100 | 4 300 | 4 500 |
| 1 001 | - | 1 100 | 4 100 | 4 400 | 4 600 | 4 900 |
| 1 101 | - | 1 200 | 4 600 | 4 900 | 5 000 | 5 000 |
| 1 201 and above | | | 5 000 | 5 000 | 5 000 | 5 000 |

Table 4.A: CAT I, APV, NPA — aeroplanes
Minimum and maximum applicable RVR/CMV (lower and upper cut-off limits)

| Facility/conditions | RVR/CMV (m) | Aeroplane category | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--------|-------|
| | | A | B | C | D |
| ILS, MLS, GLS, PAR, GNSS/SBAS, GNSS/VNAV | Min | According to Table 3 | | | |
| | Max | 1 500 | 1 500 | 2 400, | 2 400 |
| NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV with a procedure that fulfils the criteria in AMC6 SPO.OP.110(a)(2) . | Min | 750 | 750 | 750 | 750 |
| | Max | 1 500 | 1 500 | 2 400 | 2 400 |
| For NDB, NDB/DME, VOR, VOR/DME, LOC, LOC/DME, VDF, SRA, GNSS/LNAV: — not fulfilling the criteria in AMC6 SPO.OP.110(a)(2) , or — with a DH or MDH \geq 1 200 ft | Min | 1 000 | 1 000 | 1 200 | 1 200 |
| | Max | According to Table 3, if flown using the CDFA technique, otherwise an add-on of 200/400 m applies to the values in Table 3 but not to result in a value exceeding 5 000 m. | | | |

AMC8 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

DETERMINATION OF RVR/CMV/VIS MINIMA FOR NPA, CAT I — HELICOPTERS

- (a) For non-precision approach (NPA) operations, the minima specified in Table 4.1.H should apply:
- where the missed approach point is within $\frac{1}{2}$ NM of the landing threshold, the approach minima specified for FALS may be used regardless of the length of approach lights available. However, FATO/runway edge lights, threshold lights, end lights and FATO/runway markings are still required;

- (2) for night operations, ground lights should be available to illuminate the FATO/runway and any obstacles; and
 - (3) for single-pilot operations, the minimum RVR is 800 m or the minima in Table 4.2.H, whichever is higher.
- (b) For CAT I operations, the minima specified in Table 4.2.H should apply:
- (1) for night operations, ground light should be available to illuminate the FATO/runway and any obstacles;
 - (2) for single-pilot operations, the minimum RVR/VIS should be calculated in accordance with the following additional criteria:
 - (i) an RVR of less than 800 m should not be used except when using a suitable autopilot coupled to an ILS, MLS or GLS, in which case normal minima apply; and
 - (ii) the DH applied should not be less than 1.25 times the minimum use height for the autopilot.

Table 4.1.H: Onshore NPA minima

| MDH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|-------|-------|-------|
| | FALS | IALS | BALS | NALS |
| 250 – 299 | 600 | 800 | 1 000 | 1 000 |
| 300 – 449 | 800 | 1 000 | 1 000 | 1 000 |
| 450 and above | 1 000 | 1 000 | 1 000 | 1 000 |

*: The MDH refers to the initial calculation of MDH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to MDA.

**: The tables are only applicable to conventional approaches with a nominal descent slope of not greater than 4°. Greater descent slopes will usually require that visual glide slope guidance (e.g. precision path approach indicator (PAPI)) is also visible at the MDH.

***: FALS comprise FATO/runway markings, 720 m or more of high intensity/medium intensity (HI/MI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of low intensity (LI) approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

Table 4.2.H: Onshore CAT I minima

| DH (ft) * | Facilities vs. RVR/CMV (m) **, *** | | | |
|---------------|------------------------------------|------|------|-------|
| | FALS | IALS | BALS | NALS |
| 200 | 500 | 600 | 700 | 1 000 |
| 201 – 250 | 550 | 650 | 750 | 1 000 |
| 251 – 300 | 600 | 700 | 800 | 1 000 |
| 301 and above | 750 | 800 | 900 | 1 000 |

*: The DH refers to the initial calculation of DH. When selecting the associated RVR, there is no need to take account of a rounding up to the nearest 10 ft, which may be done for operational purposes, e.g. conversion to DA.

**: The table is applicable to conventional approaches with a glide slope up to and including 4°.

***: FALS comprise FATO/runway markings, 720 m or more of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

IALS comprise FATO/runway markings, 420 – 719 m of HI/MI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

BALS comprise FATO/runway markings, < 420 m of HI/MI approach lights, any length of LI approach lights, FATO/runway edge lights, threshold lights and FATO/runway end lights. Lights to be on.

NALS comprise FATO/runway markings, FATO/runway edge lights, threshold lights, FATO/runway end lights or no lights at all.

AMC9 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CONVERSION OF REPORTED METEOROLOGICAL VISIBILITY TO RVR/CMV

- (a) A conversion from meteorological visibility to RVR/CMV should not be used:
 - (1) when reported RVR is available;
 - (2) for calculating take-off minima; and
 - (3) for other RVR minima less than 800 m.
- (b) If the RVR is reported as being above the maximum value assessed by the aerodrome operator, e.g. 'RVR more than 1 500 m', it should not be considered as a reported value for (a)(1).
- (c) When converting meteorological visibility to RVR in circumstances other than those in (a), the conversion factors specified in Table 5 should be used.

Table 5: Conversion of reported meteorological visibility to RVR/CMV

| Light elements in operation | RVR/CMV = reported meteorological visibility x | |
|-------------------------------------------------|------------------------------------------------|----------------|
| | Day | Night |
| HI approach and runway lights | 1.5 | 2.0 |
| Any type of light installation other than above | 1.0 | 1.5 |
| No lights | 1.0 | not applicable |

AMC10 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED GROUND EQUIPMENT — COMPLEX MOTOR-POWERED AIRCRAFT

(a) General

These instructions are intended for both pre-flight and in-flight use. It is however not expected that the pilot-in-command would consult such instructions after passing 1 000 ft above the aerodrome. If failures of ground aids are announced at such a late stage, the approach could be continued at the pilot-in-command's discretion. If failures are announced before such a late stage in the approach, their effect on the approach should be considered as described in Table 6 and, if considered necessary, the approach should be abandoned.

(b) Conditions applicable to Table 6:

- (1) multiple failures of runway/FATO lights other than indicated in Table 6 should not be acceptable;
- (2) deficiencies of approach and runway/FATO lights are treated separately; and
- (3) failures other than ILS, MLS affect RVR only and not DH.

Table 6: Failed or downgraded equipment — effect on landing minima

| Failed or downgraded equipment | Effect on landing minima | |
|-----------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| | CAT I | APV, NPA |
| ILS/MLS standby transmitter | No effect | |
| Outer marker | No effect if replaced by height check at 1 000 ft | APV — not applicable |
| | | NPA with FAF: no effect unless used as FAF |
| | | If the FAF cannot be identified (e.g. no method available for timing of descent), non-precision operations cannot be conducted |
| Middle marker | No effect | No effect unless used as MAPT |
| RVR Assessment Systems | No effect | |
| Approach lights | Minima as for NALS | |
| Approach lights except the last 210 m | Minima as for BALS | |
| Approach lights except the last 420 m | Minima as for IALS | |
| Standby power for approach lights | No effect | |
| Edge lights, threshold lights and runway end lights | Day — no effect | |
| | Night — not allowed | |
| Centreline lights | No effect if flight director (F/D), HUDLS or auto-land; otherwise RVR 750 m | No effect |
| Centreline lights spacing increased to 30 m | No effect | |
| Touchdown zone lights | No effect if F/D, HUDLS or auto-land; otherwise RVR 750 m | No effect |
| Taxiway lighting system | No effect | |

AMC11 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

EFFECT ON LANDING MINIMA OF TEMPORARILY FAILED OR DOWNGRADED GROUND EQUIPMENT — OTHER-THAN COMPLEX MOTOR-POWERED AIRCRAFT

- (a) Non-precision approaches requiring a final approach fix (FAF) and/or MAPt should not be conducted where a method of identifying the appropriate fix is not available.
- (b) A minimum RVR of 750 m should be used for CAT I operations in the absence of centreline lines and/or touchdown zone lights.
- (c) Where approach lighting is partly unavailable, minima should take account of the serviceable length of approach lighting.

GM1 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

AIRCRAFT CATEGORIES

- (a) Aircraft categories should be based on the indicated airspeed at threshold (V_{AT}), which is equal to the stalling speed (V_{SO}) multiplied by 1.3 or where published 1-g (gravity) stall speed (V_{S1g}) multiplied by 1.23 in the landing configuration at the maximum certified landing mass. If both V_{SO} and V_{S1g} are available, the higher resulting V_{AT} should be used.
- (b) The aircraft categories specified in Table 1 should be used.

Table 1: Aircraft categories corresponding to V_{AT} values

| Aircraft category | V_{AT} |
|-------------------|--------------------|
| A | Less than 91 kt |
| B | from 91 to 120 kt |
| C | from 121 to 140 kt |
| D | from 141 to 165 kt |
| E | from 166 to 210 kt |

GM2 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

CONTINUOUS DESCENT FINAL APPROACH (CDFA) — AEROPLANES

- (a) Introduction
 - (1) Controlled flight into terrain (CFIT) is a major hazard in aviation. Most CFIT accidents occur in the final approach segment of non-precision approaches; the use of stabilised-approach criteria on a continuous descent with a constant, predetermined vertical path is seen as a major improvement in safety during the conduct of such approaches. Operators should ensure that the following techniques are adopted as widely as possible, for all approaches.

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- (2) The elimination of level flight segments at MDA close to the ground during approaches, and the avoidance of major changes in attitude and power/thrust close to the runway that can destabilise approaches, are seen as ways to reduce operational risks significantly.
 - (3) The term CDFA has been selected to cover a flight technique for any type of NPA operation.
 - (4) The advantages of CDFA are as follows:
 - (i) the technique enhances safe approach operations by the utilisation of standard operating practices;
 - (ii) the technique is similar to that used when flying an ILS approach, including when executing the missed approach and the associated missed approach procedure manoeuvre;
 - (iii) the aeroplane attitude may enable better acquisition of visual cues;
 - (iv) the technique may reduce pilot workload;
 - (v) the approach profile is fuel efficient;
 - (vi) the approach profile affords reduced noise levels; and
 - (vii) the technique affords procedural integration with APV operations.
- (b) CDFA
- (1) Continuous descent final approach is defined in **Annex I** to the Regulation on Air operations.
 - (2) An approach is only suitable for application of a CDFA technique when it is flown along a nominal vertical profile; a nominal vertical profile is not forming part of the approach procedure design, but can be flown as a continuous descent. The nominal vertical profile information may be published or displayed on the approach chart to the pilot by depicting the nominal slope or range/distance vs height. Approaches with a nominal vertical profile are considered to be:
 - (i) NDB, NDB/DME;
 - (ii) VOR, VOR/DME;
 - (iii) LOC, LOC/DME;
 - (iv) VDF, SRA; and
 - (v) GNSS/LNAV.
 - (3) Stabilised approach (SAp) is defined in **Annex I** to the Regulation on Air Operations.
 - (i) The control of the descent path is not the only consideration when using the CDFA technique. Control of the aeroplane's configuration and energy is also vital to the safe conduct of an approach.
 - (ii) The control of the flight path, described above as one of the requirements for conducting an SAp, should not be confused with the path requirements for using the CDFA technique.
 - (iii) The predetermined approach slope requirements for applying the CDFA technique are established by the following:

- (A) the published 'nominal' slope information when the approach has a nominal vertical profile; and
- (B) the designated final-approach segment minimum of 3 NM, and maximum, when using timing techniques, of 8 NM.
- (iv) An SAp will never have any level segment of flight at DA/H or MDA/H, as applicable. This enhances safety by mandating a prompt missed approach procedure manoeuvre at DA/H or MDA/H.
- (v) An approach using the CDFA technique will always be flown as an SAp, since this is a requirement for applying CDFA. However, an SAp does not have to be flown using the CDFA technique, for example a visual approach.

GM3 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

ONSHORE AERODROME DEPARTURE PROCEDURES — OPERATIONS WITH NON-COMPLEX HELICOPTERS

The cloud base and visibility should be such as to allow the helicopter to be clear of cloud at the take-off decision point (TDP), and for the pilot flying to remain in sight of the surface until reaching the minimum speed for flight in instrument meteorological conditions, as given in the AFM.

GM4 SPO.OP.110 Aerodrome operating minima – aeroplanes and helicopters

TAKE-OFF MINIMA — OPERATIONS WITH COMPLEX HELICOPTERS

- (a) To ensure sufficient control of the helicopter in IMC, the speed, before entering in IMC, should be above the minimum authorised speed in IMC, V_{mini} . This is a limitation in the AFM. Therefore, the lowest speed before entering in IMC is the highest of V_{toss} (velocity take-off safety speed) and V_{mini} .
- (b) As example, V_{toss} is 45 kt and V_{mini} 60 kt. In that case, the take-off minima have to include the distance to accelerate to 60 kt. The take-off distance should be increased accordingly.

GM1 SPO.OP.112 Aerodrome operating minima – circling operations with aeroplanes

SUPPLEMENTAL INFORMATION

- (a) The purpose of this Guidance Material is to provide operators with supplemental information regarding the application of aerodrome operating minima in relation to circling approaches.
- (b) Conduct of flight — general:
 - (1) the MDH and obstacle clearance height (OCH) included in the procedure are referenced to aerodrome elevation;
 - (2) the MDA is referenced to mean sea level;
 - (3) for these procedures, the applicable visibility is the meteorological visibility; and
 - (4) operators should provide tabular guidance of the relationship between height above threshold and the in-flight visibility required to obtain and sustain visual contact during

the circling manoeuvre.

- (c) Instrument approach followed by visual manoeuvring (circling) without prescribed tracks:
- (1) When the aeroplane is on the initial instrument approach, before visual reference is stabilised, but not below MDA/H, the aeroplane should follow the corresponding instrument approach procedure until the appropriate instrument MAPt is reached.
 - (2) At the beginning of the level flight phase at or above the MDA/H, the instrument approach track determined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS should be maintained until the pilot:
 - (i) estimates that, in all probability, visual contact with the runway of intended landing or the runway environment will be maintained during the entire circling procedure;
 - (ii) estimates that the aeroplane is within the circling area before commencing circling; and
 - (iii) is able to determine the aeroplane's position in relation to the runway of intended landing with the aid of the appropriate external references.
 - (3) When reaching the published instrument MAPt and the conditions stipulated in (c)(2) are unable to be established by the pilot, a missed approach should be carried out in accordance with that instrument approach procedure.
 - (4) After the aeroplane has left the track of the initial instrument approach, the flight phase outbound from the runway should be limited to an appropriate distance, which is required to align the aeroplane onto the final approach. Such manoeuvres should be conducted to enable the aeroplane:
 - (i) to attain a controlled and stable descent path to the intended landing runway; and
 - (ii) to remain within the circling area and in such way that visual contact with the runway of intended landing or runway environment is maintained at all times.
 - (5) Flight manoeuvres should be carried out at an altitude/height that is not less than the circling MDA/H.
 - (6) Descent below MDA/H should not be initiated until the threshold of the runway to be used has been appropriately identified. The aeroplane should be in a position to continue with a normal rate of descent and land within the touchdown zone.
- (d) Instrument approach followed by a visual manoeuvring (circling) with prescribed track.
- (1) The aeroplane should remain on the initial instrument approach procedure until one of the following is reached:
 - (i) the prescribed divergence point to commence circling on the prescribed track; or
 - (ii) the MAPt.
 - (2) The aeroplane should be established on the instrument approach track determined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS in level flight at or above the MDA/H at or by the circling manoeuvre divergence point.
 - (3) If the divergence point is reached before the required visual reference is acquired, a missed approach should be initiated not later than the MAPt and completed in accordance with the initial instrument approach procedure.
 - (4) When commencing the prescribed circling manoeuvre at the published divergence point, the subsequent manoeuvres should be conducted to comply with the published routing and published heights/altitudes.

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- (5) Unless otherwise specified, once the aeroplane is established on the prescribed track(s), the published visual reference does not need to be maintained unless:
 - (i) required by the State of the aerodrome; or
 - (ii) the circling MAPt (if published) is reached.
 - (6) If the prescribed circling manoeuvre has a published MAPt and the required visual reference has not been obtained by that point, a missed approach should be executed in accordance with (e)(2) and (e)(3).
 - (7) Subsequent further descent below MDA/H should only commence when the required visual reference has been obtained.
 - (8) Unless otherwise specified in the procedure, final descent should not be commenced from MDA/H until the threshold of the intended landing runway has been identified and the aeroplane is in a position to continue with a normal rate of descent to land within the touchdown zone.
- (e) Missed approach
- (1) Missed approach during the instrument procedure prior to circling:
 - (i) if the missed approach procedure is required to be flown when the aeroplane is positioned on the instrument approach track defined by the radio navigation aids, RNAV, RNP, ILS, MLS or GLS, and before commencing the circling manoeuvre, the published missed approach for the instrument approach should be followed; or
 - (ii) if the instrument approach procedure is carried out with the aid of an ILS, MLS or an SAp, the MAPt associated with an ILS or MLS procedure without glide path (GP-out procedure) or the SAp, where applicable, should be used.
 - (2) If a prescribed missed approach is published for the circling manoeuvre, this overrides the manoeuvres prescribed below.
 - (3) If visual reference is lost while circling to land after the aeroplane has departed from the initial instrument approach track, the missed approach specified for that particular instrument approach should be followed. It is expected that the pilot will make an initial climbing turn toward the intended landing runway to a position overhead of the aerodrome where the pilot will establish the aeroplane in a climb on the instrument missed approach segment.
 - (4) The aeroplane should not leave the visual manoeuvring (circling) area, which is obstacle protected, unless:
 - (i) established on the appropriate missed approach procedure; or
 - (ii) at minimum sector altitude (MSA).
 - (5) All turns should be made in the same direction and the aeroplane should remain within the circling protected area while climbing to either:
 - (i) the altitude assigned to any published circling missed approach manoeuvre if applicable;
 - (ii) the altitude assigned to the missed approach of the initial instrument approach;
 - (iii) the MSA;
 - (iv) the minimum holding altitude (MHA) applicable for transition to a holding facility or fix, or continue to climb to an MSA; or
 - (v) as directed by ATS.

When the missed approach procedure is commenced on the 'downwind' leg of the circling manoeuvre, an 'S' turn may be undertaken to align the aeroplane on the initial instrument approach missed approach path, provided the aeroplane remains within the protected circling area.

The pilot-in-command should be responsible for ensuring adequate terrain clearance during the above-stipulated manoeuvres, particularly during the execution of a missed approach initiated by ATS.

- (6) Because the circling manoeuvre may be accomplished in more than one direction, different patterns will be required to establish the aeroplane on the prescribed missed approach course depending on its position at the time visual reference is lost. In particular, all turns are to be in the prescribed direction if this is restricted, e.g. to the west/east (left or right hand) to remain within the protected circling area.
- (7) If a missed approach procedure is published for a particular runway onto which the aeroplane is conducting a circling approach and the aeroplane has commenced a manoeuvre to align with the runway, the missed approach for this direction may be accomplished. The ATS unit should be informed of the intention to fly the published missed approach procedure for that particular runway.
- (8) The pilot-in-command should advise ATS when any missed approach procedure has been commenced, the height/altitude the aeroplane is climbing to and the position the aeroplane is proceeding towards and/or heading the aeroplane is established on.

AMC1 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

PBN OPERATIONS

For operations where a navigation specification for performance-based navigation (PBN) has been prescribed and no specific approval is required in accordance with **SPA.PBN.100**, the operator should:

- (a) establish operating procedures specifying:
 - (1) normal, abnormal and contingency procedures;
 - (2) electronic navigation database management; and
 - (3) relevant entries in the minimum equipment list (MEL);
- (b) specify the flight crew qualification and proficiency constraints and ensure that the training programme for relevant personnel is consistent with the intended operation; and
- (c) ensure continued airworthiness of the area navigation system.

AMC2 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

MONITORING AND VERIFICATION

- (a) Preflight and general considerations
 - (1) At navigation system initialisation, the flight crew should confirm that the navigation database is current and verify that the aircraft position has been entered correctly, if required.
 - (2) The active flight plan, if applicable, should be checked by comparing the charts or other

applicable documents with navigation equipment and displays. This includes confirmation of the departing runway and the waypoint sequence, reasonableness of track angles and distances, any altitude or speed constraints, and, where possible, which waypoints are fly-by and which are fly-over. Where relevant, the RF leg arc radii should be confirmed.

- (3) The flight crew should check that the navigation aids critical to the operation of the intended PBN procedure are available.
 - (4) The flight crew should confirm the navigation aids that should be excluded from the operation, if any.
 - (5) An arrival, approach or departure procedure should not be used if the validity of the procedure in the navigation database has expired.
 - (6) The flight crew should verify that the navigation systems required for the intended operation are operational.
- (b) Departure
- (1) Prior to commencing a take-off on a PBN procedure, the flight crew should check that the indicated aircraft position is consistent with the actual aircraft position at the start of the take-off roll (aeroplanes) or lift-off (helicopters).
 - (2) Where GNSS is used, the signal should be acquired before the take-off roll (aeroplanes) or lift-off (helicopters) commences.
 - (3) Unless automatic updating of the actual departure point is provided, the flight crew should ensure initialisation on the runway or FATO by means of a manual runway threshold or intersection update, as applicable. This is to preclude any inappropriate or inadvertent position shift after take-off.
- (c) Arrival and approach
- (1) The flight crew should verify that the navigation system is operating correctly and the correct arrival procedure and runway (including any applicable transition) are entered and properly depicted.
 - (2) Any published altitude and speed constraints should be observed.
 - (3) The flight crew should check approach procedures (including alternate aerodromes if needed) as extracted by the system (e.g. CDU flight plan page) or presented graphically on the moving map, in order to confirm the correct loading and the reasonableness of the procedure content.
 - (4) Prior to commencing the approach operation (before the IAF), the flight crew should verify the correctness of the loaded procedure by comparison with the appropriate approach charts. This check should include:
 - (i) the waypoint sequence;
 - (ii) reasonableness of the tracks and distances of the approach legs and the accuracy of the inbound course; and
 - (iii) the vertical path angle, if applicable.
- (d) Altimetry settings for RNP APCH operations using Baro VNAV
- (1) Barometric settings
 - (i) The flight crew should set and confirm the correct altimeter setting and check that the two altimeters provide altitude values that do not differ more than 100 ft at

-
- the most at or before the FAF.
- (ii) The flight crew should fly the procedure with:
 - (A) a current local altimeter setting source available — a remote or regional altimeter setting source should not be used; and
 - (B) the QNH/QFE, as appropriate, set on the aircraft's altimeters.
 - (2) Temperature compensation
 - (i) For RNP APCH operations to LNAV/VNAV minima using Baro VNAV:
 - (A) the flight crew should not commence the approach when the aerodrome temperature is outside the promulgated aerodrome temperature limits for the procedure unless the area navigation system is equipped with approved temperature compensation for the final approach;
 - (B) when the temperature is within promulgated limits, the flight crew should not make compensation to the altitude at the FAF and DA/H; and
 - (C) since only the final approach segment is protected by the promulgated aerodrome temperature limits, the flight crew should consider the effect of temperature on terrain and obstacle clearance in other phases of flight.
 - (ii) For RNP APCH operations to LNAV minima, the flight crew should consider the effect of temperature on terrain and obstacle clearance in all phases of flight, in particular on any step-down fix.
 - (e) Sensor and lateral navigation accuracy selection
 - (1) For multi-sensor systems, the flight crew should verify, prior to approach, that the GNSS sensor is used for position computation.
 - (2) Flight crew of aircraft with RNP input selection capability should confirm that the indicated RNP value is appropriate for the PBN operation.

AMC3 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

MANAGEMENT OF THE NAVIGATION DATABASE

- (a) For RNAV 1, RNAV 2, RNP 1, RNP 2, and RNP APCH, the flight crew should neither insert nor modify waypoints by manual entry into a procedure (departure, arrival or approach) that has been retrieved from the database. User-defined data may be entered and used for waypoint altitude/speed constraints on a procedure where said constraints are not included in the navigation database coding.
- (b) For RNP 4 operations, the flight crew should not modify waypoints that have been retrieved from the database. User-defined data (e.g. for flex-track routes) may be entered and used.
- (c) The lateral and vertical definition of the flight path between the FAF and the missed approach point (MAPt) retrieved from the database should not be revised by the flight crew.

AMC4 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

DISPLAYS AND AUTOMATION

- (a) For RNAV 1, RNP 1, and RNP APCH operations, the flight crew should use a lateral deviation indicator, and where available, flight director and/or autopilot in lateral navigation mode.
- (b) The appropriate displays should be selected so that the following information can be monitored:
 - (1) the computed desired path;
 - (2) aircraft position relative to the lateral path (cross-track deviation) for FTE monitoring; and
 - (3) aircraft position relative to the vertical path (for a 3D operation).
- (c) The flight crew of an aircraft with a lateral deviation indicator (e.g. CDI) should ensure that lateral deviation indicator scaling (full-scale deflection) is suitable for the navigation accuracy associated with the various segments of the procedure.
- (d) The flight crew should maintain procedure centrelines unless authorised to deviate by ATC or demanded by emergency conditions.
- (e) Cross-track error/deviation (the difference between the area-navigation-system-computed path and the aircraft-computed position) should normally be limited to $\pm \frac{1}{2}$ time the RNAV/RNP value associated with the procedure. Brief deviations from this standard (e.g. overshoots or undershoots during and immediately after turns) up to a maximum of 1 time the RNAV/RNP value should be allowable.
- (f) For a 3D approach operation, the flight crew should use a vertical deviation indicator and, where required by AFM limitations, a flight director or autopilot in vertical navigation mode.
- (g) Deviations below the vertical path should not exceed 75 ft at any time, or half-scale deflection where angular deviation is indicated, and not more than 75 ft above the vertical profile, or half-scale deflection where angular deviation is indicated, at or below 1 000 ft above aerodrome level. The flight crew should execute a missed approach if the vertical deviation exceeds this criterion unless the flight crew has in sight the visual references required to continue the approach.

AMC5 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

VECTORIZING AND POSITIONING

- (a) ATC tactical interventions in the terminal area may include radar headings, 'direct to' clearances which bypass the initial legs of an approach procedure, interceptions of an initial or intermediate segments of an approach procedure or the insertion of additional waypoints loaded from the database.
- (b) In complying with ATC instructions, the flight crew should be aware of the implications for the navigation system.
- (c) 'Direct to' clearances may be accepted to the IF provided that it is clear to the flight crew that the aircraft will be established on the final approach track at least 2 NM before the FAF.
- (d) 'Direct to' clearance to the FAF should not be acceptable. Modifying the procedure to intercept

- the final approach track prior to the FAF should be acceptable for radar-vectorred arrivals or otherwise only with ATC approval.
- (e) The final approach trajectory should be intercepted no later than the FAF in order for the aircraft to be correctly established on the final approach track before starting the descent (to ensure terrain and obstacle clearance).
 - (f) 'Direct to' clearances to a fix that immediately precede an RF leg should not be permitted.
 - (g) For parallel offset operations en route in RNP 4 and A-RNP, transitions to and from the offset track should maintain an intercept angle of no more than 45° unless specified otherwise by ATC.

AMC6 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

ALERTING AND ABORT

- (a) Unless the flight crew has sufficient visual reference to continue the approach operation to a safe landing, an RNP APCH operation should be discontinued if:
 - (1) navigation system failure is annunciated (e.g. warning flag);
 - (2) lateral or vertical deviations exceed the tolerances; and
 - (3) loss of the on-board monitoring and alerting system.
- (b) Discontinuing the approach operation may not be necessary for a multi-sensor navigation system that includes demonstrated RNP capability without GNSS in accordance with the AFM.
- (c) Where vertical guidance is lost while the aircraft is still above 1 000 ft AGL, the flight crew may decide to continue the approach to LNAV minima, when supported by the navigation system.

AMC7 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

CONTINGENCY PROCEDURES

- (a) The flight crew should make the necessary preparation to revert to a conventional arrival procedure where appropriate. The following conditions should be considered:
 - (1) failure of the navigation system components including navigation sensors, and a failure effecting flight technical error (e.g. failures of the flight director or autopilot);
 - (2) multiple system failures affecting aircraft performance;
 - (3) coasting on inertial sensors beyond a specified time limit; and
 - (4) RAIM (or equivalent) alert or loss of integrity function.
- (b) In the event of loss of PBN capability, the flight crew should invoke contingency procedures and navigate using an alternative means of navigation.
- (c) The flight crew should notify ATC of any problem with PBN capability.
- (d) In the event of communication failure, the flight crew should continue with the operation in accordance with published lost communication procedures.

AMC8 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

RNAV 10

- (a) Operating procedures and routes should take account of the RNAV 10 time limit declared for the inertial system, if applicable, considering also the effect of weather conditions that could affect flight duration in RNAV 10 airspace.
- (b) The operator may extend RNAV 10 inertial navigation time by position updating. The operator should calculate, using statistically-based typical wind scenarios for each planned route, points at which updates can be made, and the points at which further updates will not be possible.

GM1 SPO.OP.116 Performance-based navigation – aeroplanes and helicopters

DESCRIPTION

- (a) For both, RNP X and RNAV X designations, the 'X' (where stated) refers to the lateral navigation accuracy (total system error) in NM, which is expected to be achieved at least 95 % of the flight time by the population of aircraft operating within the airspace, route or procedure. For RNP APCH and A-RNP, the lateral navigation accuracy depends on the segment.
- (b) PBN may be required on notified routes, for notified procedures and in notified airspace.

RNAV 10

- (c) For purposes of consistency with the PBN concept, this Regulation is using the designation 'RNAV 10' because this specification does not include on-board performance monitoring and alerting.
- (d) However, it should be noted that many routes still use the designation 'RNP 10' instead of 'RNAV 10'. 'RNP 10' was used as designation before the publication of the fourth edition of ICAO Doc 9613 in 2013. The terms 'RNP 10' and 'RNAV 10' should be considered equivalent.

AMC1 SPO.OP.120 Noise abatement procedures

NADP DESIGN — OPERATIONS WITH COMPLEX MOTOR-POWERED AIRCRAFT

- (a) For each aeroplane type two departure procedures should be defined, in accordance with ICAO Doc. 8168 (Procedures for Air Navigation Services, 'PANS-OPS'), Volume I:
 - (1) noise abatement departure procedure one (NADP 1), designed to meet the close-in noise abatement objective; and
 - (2) noise abatement departure procedure two (NADP 2), designed to meet the distant noise abatement objective.
- (b) For each type of NADP (1 and 2), a single climb profile should be specified for use at all aerodromes, which is associated with a single sequence of actions. The NADP 1 and NADP 2 profiles may be identical.

GM1 SPO.OP.120 Noise abatement procedures

TERMINOLOGY — OPERATIONS WITH COMPLEX MOTOR-POWERED AEROPLANES

- (a) 'Climb profile' means in this context the vertical path of the NADP as it results from the pilot's actions (engine power reduction, acceleration, slats/flaps retraction).
- (b) 'Sequence of actions' means the order in which these pilot's actions are done and their timing.

GENERAL

- (c) The rule addresses only the vertical profile of the departure procedure. Lateral track has to comply with the standard instrument departure (SID).

EXAMPLE

- (d) For a given aeroplane type, when establishing the distant NADP, the operator should choose either to reduce power first and then accelerate, or to accelerate first and then wait until slats/flaps are retracted before reducing power. The two methods constitute two different sequences of actions.
- (e) For an aeroplane type, each of the two departure climb profiles may be defined by one sequence of actions (one for close-in, one for distant) and two above aerodrome level (AAL) altitudes/heights. These are:
 - (1) the altitude of the first pilot's action (generally power reduction with or without acceleration). This altitude should not be less than 800 ft AAL; or
 - (2) the altitude of the end of the noise abatement procedure. This altitude should usually not be more than 3 000 ft AAL.
- (f) These two altitudes may be runway specific when the aeroplane flight management system (FMS) has the relevant function that permits the crew to change thrust reduction and/or acceleration altitude/height. If the aeroplane is not FMS equipped or the FMS is not fitted with the relevant function, two fixed heights should be defined and used for each of the two NADPs.

AMC1 SPO.OP.125 Minimum obstacle clearance altitudes – IFR flights

GENERAL

Commercially available information specifying minimum obstacle clearance altitudes may be used.

AMC1 SPO.OP.131(a)(1)(ii) Fuel and oil supply – helicopters

REDUCED RESERVE FUEL

- (a) The operator should specify in the SOP:
 - (1) the type of activity where such reduced reserve fuel may be used; and
 - (2) methods of reading and calculating the remaining fuel.
- (b) Refuelling facilities should be available at the aerodrome/operating site.

AMC1 SPO.OP.135 Safety briefing

TASK SPECIALISTS — GENERAL

- (a) The purpose of operational briefing is to ensure that task specialists are familiar with all aspects of the operation, including their responsibilities.
- (b) Such briefing should include, as appropriate:
 - (1) behaviour on the ground and in-flight, including emergency procedures;
 - (2) procedures for boarding and disembarking;
 - (3) procedures for loading and unloading the aircraft;
 - (4) use of doors in normal and emergency operations;
 - (5) use of communication equipment and hand signals;
 - (6) precautions in case of a landing on sloping ground; and
 - (7) in addition to the items listed from (b)(1) to (b)(6) before take-off:
 - (i) location of emergency exits;
 - (ii) restrictions regarding smoking;
 - (iii) restrictions regarding the use of portable electronic equipment; and
 - (iv) stowage of tools and hand baggage.
- (c) The briefing may be given as a verbal presentation or by issuing the appropriate procedures and instructions in written form. Before commencement of the flight, their understanding should be confirmed.

AMC1 SPO.OP.152 Destination aerodromes – instrument approach operations

PBN OPERATIONS

The pilot-in-command should only select an aerodrome as a destination alternate aerodrome if an instrument approach procedure that does not rely on GNSS is available either at that aerodrome or at the destination aerodrome.

GM1 SPO.OP.152 Destination aerodromes – instrument approach operations

INTENT OF AMC1

- (a) The limitation applies only to destination alternate aerodromes for flights when a destination alternate aerodrome is required. A take-off or en route alternate aerodrome with instrument approach procedures relying on GNSS may be planned without restrictions. A destination aerodrome with all instrument approach procedures relying solely on GNSS may be used without a destination alternate aerodrome if the conditions for a flight without a destination alternate aerodrome are met.
- (b) The term ‘available’ means that the procedure can be used in the planning stage and complies with planning minima requirements.

AMC1 SPO.OP.155 Refuelling with persons embarking, on board or disembarking

OPERATIONAL PROCEDURES — AEROPLANES

- (a) Operational procedures should specify that at least the following precautions are taken:
- (1) One qualified person should remain at a specified location during fuelling operations with persons on board. This qualified person should be capable of handling emergency procedures concerning fire protection and fire-fighting, handling communications and initiating and directing an evacuation.
 - (2) Two-way communication should be established and should remain available by the aeroplane's inter-communication system or other suitable means between the ground crew supervising the refuelling and the qualified personnel on board the aeroplane; the involved personnel should remain within easy reach of the system of communication.
 - (3) Flight crew members and task specialists should be warned that refuelling will take place.
 - (4) 'Fasten seat belts' signs should be off.
 - (5) 'No smoking' signs should be on, together with interior lighting to enable emergency exits to be identified.
 - (6) Task specialists should be instructed to unfasten their seat belts and refrain from smoking.
 - (7) If the presence of fuel vapour is detected inside the aeroplane, or any other hazard arises during refuelling, fuelling should be stopped immediately.
 - (8) The ground area beneath the exits intended for emergency evacuation and slide deployment areas should be kept clear.
 - (9) Provision should be made for a safe and rapid evacuation.

OPERATIONAL PROCEDURES — HELICOPTERS

- (b) Operational procedures should specify that at least the following precautions are taken:
- (1) Door(s) on the refuelling side of the helicopter remain closed.
 - (2) Door(s) on the non-refuelling side of the helicopter remain open, weather permitting.
 - (3) Firefighting facilities of the appropriate scale be positioned so as to be immediately available in the event of a fire.
 - (4) Sufficient qualified personnel are on board and be prepared for an immediate emergency evacuation.
 - (5) If the presence of fuel vapour is detected inside the helicopter, or any other hazard arises during refuelling, fuelling should be stopped immediately.
 - (6) The ground area beneath the exits intended for emergency evacuation be kept clear.
 - (7) Provision should be made for a safe and rapid evacuation.

GM1 SPO.OP.155 Refuelling with persons embarking, on board or disembarking

AIRCRAFT REFUELLING PROVISIONS AND GUIDANCE ON SAFE REFUELLING PRACTICES

Provisions concerning aircraft refuelling are contained in Volume I (Aerodrome Design and Operations) of ICAO Annex 14 (Aerodromes), and guidance on safe refuelling practices is contained in Parts 1 and 8 of the ICAO Airport Services Manual (Doc 9137).

AMC1 SPO.OP.170 Meteorological conditions

EVALUATION OF METEOROLOGICAL CONDITIONS

Pilots should carefully evaluate the available meteorological information relevant to the proposed flight, such as applicable surface observations, winds and temperatures aloft, terminal and area forecasts, air meteorological information reports (AIRMETs), significant meteorological information (SIGMET) and pilot reports. The ultimate decision whether, when, and where to make the flight rests with the pilot-in-command. Pilots should continue to re-evaluate changing weather conditions.

AMC2 SPO.OP.170 Meteorological conditions

APPLICATION OF AERODROME FORECASTS (TAF & TREND)

Where a terminal area forecast (TAF) or meteorological aerodrome or aeronautical report (METAR) with landing forecast (TREND) is used as forecast, the following criteria should be used:

- (a) From the start of a TAF validity period up to the time of applicability of the first subsequent 'FM...' or 'BECMG' or, if no 'FM' or 'BECMG' is given, up to the end of the validity period of the TAF, the prevailing weather conditions forecast in the initial part of the TAF should be applied.
- (b) From the time of observation of a METAR up to the time of applicability of the first subsequent 'FM...' or 'BECMG' or, if no 'FM' or 'BECMG' is given, up to the end of the validity period of the TREND, the prevailing weather conditions forecast in the METAR should be applied.
- (c) Following FM (alone) or BECMG AT, any specified change should be applied from the time of the change.
- (d) Following BECMG (alone), BECMG FM, BECMG TL, BECMG FM TL:
 - (1) in the case of deterioration, any specified change should be applied from the start of the change; and
 - (2) in the case of improvement, any specified change should be applied from the end of the change.
- (e) In a period indicated by TEMPO (alone), TEMPO FM, TEMPO TL, TEMPO FM TL, PROB30/40 (alone):
 - (1) deteriorations associated with persistent conditions in connection with e.g. haze, mist, fog, dust/sandstorm, continuous precipitation should be applied;
 - (2) deteriorations associated with transient/showery conditions in connection with short-lived weather phenomena, e.g. thunderstorms, showers may be ignored; and
 - (3) improvements should in all cases be disregarded.
- (f) In a period indicated by PROB30/40 TEMPO:

- (1) deteriorations may be disregarded; and
- (2) improvements should be disregarded.

Note: Abbreviations used in the context of this AMC are as follows:

| | |
|--------|-------------|
| FM: | from |
| BECMG: | becoming |
| AT: | at |
| TL: | till |
| TEMPO: | temporarily |
| PROB: | probability |

GM1 SPO.OP.170 Meteorological conditions

CONTINUATION OF A FLIGHT

In the case of in-flight re-planning, continuation of a flight refers to the point from which a revised flight plan applies.

GM1 SPO.OP.175 Ice and other contaminants – ground procedures

TERMINOLOGY

Terms used in the context of de-icing/anti-icing have the meaning defined in the following subparagraphs.

- (a) 'Anti-icing fluid' includes, but is not limited to, the following:
 - (1) Type I fluid if heated to minimum 60 °C at the nozzle;
 - (2) mixture of water and Type I fluid if heated to minimum 60°C at the nozzle;
 - (3) Type II fluid;
 - (4) mixture of water and Type II fluid;
 - (5) Type III fluid;
 - (6) mixture of water and Type III fluid;
 - (7) Type IV fluid;
 - (8) mixture of water and Type IV fluid.

On uncontaminated aircraft surfaces Type II, III and IV anti-icing fluids are normally applied unheated.

- (b) 'Clear ice': a coating of ice, generally clear and smooth, but with some air pockets. It forms on exposed objects, the temperatures of which are at, below or slightly above the freezing temperature, by the freezing of super-cooled drizzle, droplets or raindrops.
- (c) 'Conditions conducive to aircraft icing on the ground' (e.g. freezing fog, freezing precipitation, frost, rain or high humidity (on cold soaked wings), snow or mixed rain and snow).
- (d) 'Contamination', in this context, is understood as being all forms of frozen or semi-frozen moisture, such as frost, snow, slush or ice.

- (e) 'Contamination check': a check of aircraft for contamination to establish the need for de-icing.
- (f) 'De-icing fluid': such fluid includes, but is not limited to, the following:
 - (1) heated water;
 - (2) Type I fluid;
 - (3) mixture of water and Type I fluid;
 - (4) Type II fluid;
 - (5) mixture of water and Type II fluid;
 - (6) Type III fluid;
 - (7) mixture of water and Type III fluid;
 - (8) Type IV fluid;
 - (9) mixture of water and Type IV fluid.

De-icing fluid is normally applied heated to ensure maximum efficiency.

- (g) 'De-icing/anti-icing': this is the combination of de-icing and anti-icing performed in either one or two steps.
- (h) 'Ground ice detection system (GIDS)': system used during aircraft ground operations to inform the personnel involved in the operation and/or the flight crew about the presence of frost, ice, snow or slush on the aircraft surfaces.
- (i) 'Lowest operational use temperature (LOUT)': the lowest temperature at which a fluid has been tested and certified as acceptable in accordance with the appropriate aerodynamic acceptance test whilst still maintaining a freezing point buffer of not less than:
 - (1) 10 C for a Type I de-icing/anti-icing fluid; or
 - (2) 7 C for Type II, III or IV de-icing/anti-icing fluids.
- (j) 'Post-treatment check': an external check of the aircraft after de-icing and/or anti-icing treatment accomplished from suitably elevated observation points (e.g. from the de-icing/anti-icing equipment itself or other elevated equipment) to ensure that the aircraft is free from any frost, ice, snow or slush.
- (k) 'Pre-take-off check': an assessment normally performed by the flight crew, to validate the applied hold-over time (HoT).
- (l) 'Pre-take-off contamination check': a check of the treated surfaces for contamination, performed when the HoT has been exceeded or if any doubt exists regarding the continued effectiveness of the applied anti-icing treatment. It is normally accomplished externally, just before commencement of the take-off run.

ANTI-ICING CODES

- (m) The following are examples of anti-icing codes:
 - (1) 'Type I' at (start time) — to be used if anti-icing treatment has been performed with a Type I fluid;
 - (2) 'Type II/100' at (start time) — to be used if anti-icing treatment has been performed with undiluted Type II fluid;
 - (3) 'Type II/75' at (start time) — to be used if anti-icing treatment has been performed with a mixture of 75 % Type II fluid and 25 % water; and
 - (4) 'Type IV/50' at (start time) — to be used if anti-icing treatment has been performed with

a mixture of 50 % Type IV fluid and 50 % water.

- (n) When a two-step de-icing/anti-icing operation has been carried out, the anti-icing code should be determined by the second step fluid. Fluid brand names may be included, if desired.

GM2 SPO.OP.175 Ice and other contaminants – ground procedures

DE-ICING/ANTI-ICING — PROCEDURES

- (a) De-icing and/or anti-icing procedures should take into account manufacturer's recommendations, including those that are type-specific, and should cover:
- (1) contamination checks, including detection of clear ice and under-wing frost; limits on the thickness/area of contamination published in the AFM or other manufacturers' documentation should be followed;
 - (2) procedures to be followed if de-icing and/or anti-icing procedures are interrupted or unsuccessful;
 - (3) post-treatment checks;
 - (4) pre-take-off checks;
 - (5) pre-take-off contamination checks;
 - (6) the recording of any incidents relating to de-icing and/or anti-icing; and
 - (7) the responsibilities of all personnel involved in de-icing and/or anti-icing.
- (b) The operator's procedures should ensure the following:
- (1) When aircraft surfaces are contaminated by ice, frost, slush or snow, they are de-iced prior to take-off, according to the prevailing conditions. Removal of contaminants may be performed with mechanical tools, fluids (including hot water), infrared heat or forced air, taking account of aircraft type-specific provisions.
 - (2) Account is taken of the wing skin temperature versus outside air temperature (OAT), as this may affect:
 - (i) the need to carry out aircraft de-icing and/or anti-icing; and/or
 - (ii) the performance of the de-icing/anti-icing fluids.
 - (3) When freezing precipitation occurs or there is a risk of freezing precipitation occurring that would contaminate the surfaces at the time of take-off, aircraft surfaces should be anti-iced. If both de-icing and anti-icing are required, the procedure may be performed in a one or two-step process, depending upon weather conditions, available equipment, available fluids and the desired hold-over time (HoT). One-step de-icing/anti-icing means that de-icing and anti-icing are carried out at the same time, using a mixture of de-icing/anti-icing fluid and water. Two-step de-icing/anti-icing means that de-icing and anti-icing are carried out in two separate steps. The aircraft is first de-iced using heated water only or a heated mixture of de-icing/anti-icing fluid and water. After completion of the de-icing operation a layer of a mixture of de-icing/anti-icing fluid and water, or of de-icing/anti-icing fluid only, is sprayed over the aircraft surfaces. The second step will be applied before the first-step fluid freezes, typically within three minutes and, if necessary, area by area.
 - (4) When an aircraft is anti-iced and a longer HoT is needed/desired, the use of a less diluted Type II or Type IV fluid should be considered.

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- (5) All restrictions relative to OAT and fluid application (including, but not necessarily limited to, temperature and pressure) published by the fluid manufacturer and/or aircraft manufacturer, are followed and procedures, limitations and recommendations to prevent the formation of fluid residues are followed.
 - (6) During conditions conducive to aircraft icing on the ground or after de-icing and/or anti-icing, an aircraft is not dispatched for departure unless it has been given a contamination check or a post-treatment check by a trained and qualified person. This check should cover all treated surfaces of the aircraft and be performed from points offering sufficient accessibility to these parts. To ensure that there is no clear ice on suspect areas, it may be necessary to make a physical check (e.g. tactile).
 - (7) The required entry is made in the technical log.
 - (8) The pilot-in-command continually monitors the environmental situation after the performed treatment. Prior to take-off he/she performs a pre-take-off check, which is an assessment of whether the applied HoT is still appropriate. This pre-take-off check includes, but is not limited to, factors such as precipitation, wind and OAT.
 - (9) If any doubt exists as to whether a deposit may adversely affect the aircraft's performance and/or controllability characteristics, the pilot-in-command should arrange for a pre-take-off contamination check to be performed in order to verify that the aircraft's surfaces are free of contamination. Special methods and/or equipment may be necessary to perform this check, especially at night time or in extremely adverse weather conditions. If this check cannot be performed just before take-off, re-treatment should be applied.
 - (10) When retreatment is necessary, any residue of the previous treatment should be removed and a completely new de-icing/anti-icing treatment should be applied.
 - (11) When a ground ice detection system (GIDS) is used to perform an aircraft surfaces check prior to and/or after a treatment, the use of GIDS by suitably trained personnel should be part of the procedure.
- (c) Special operational considerations
- (1) When using thickened de-icing/anti-icing fluids, the operator should consider a two-step de-icing/anti-icing procedure, the first step preferably with hot water and/or un-thickened fluids.
 - (2) The use of de-icing/anti-icing fluids should be in accordance with the aircraft manufacturer's documentation. This is particularly important for thickened fluids to assure sufficient flow-off during take-off.
 - (3) The operator should comply with any type-specific operational requirement(s), such as an aircraft mass decrease and/or a take-off speed increase associated with a fluid application.
 - (4) The operator should take into account any flight handling procedures (stick force, rotation speed and rate, take-off speed, aircraft attitude etc.) laid down by the aircraft manufacturer when associated with a fluid application.
 - (5) The limitations or handling procedures resulting from (c)(3) and/or (c)(4) should be part of the flight crew pre-take-off briefing.
- (d) Communications
- (1) Before aircraft treatment. When the aircraft is to be treated with the flight crew on board, the flight and personnel involved in the operation should confirm the fluid to be used, the

extent of treatment required and any aircraft type-specific procedure(s) to be used. Any other information needed to apply the HoT tables should be exchanged.

- (2) Anti-icing code. The operator's procedures should include an anti-icing code, which indicates the treatment the aircraft has received. This code provides the flight crew with the minimum details necessary to estimate an HoT and confirms that the aircraft is free of contamination.
- (3) After treatment. Before reconfiguring or moving the aircraft, the flight crew should receive a confirmation from the personnel involved in the operation that all de-icing and/or anti-icing operations are complete and that all personnel and equipment are clear of the aircraft.

(e) Hold-over protection

The operator should publish in the operations manual, when required, the HoTs in the form of a table or a diagram, to account for the various types of ground icing conditions and the different types and concentrations of fluids used. However, the times of protection shown in these tables are to be used as guidelines only and are normally used in conjunction with the pre-take-off check.

(f) Training

The operator's initial and recurrent de-icing and/or anti-icing training programmes (including communication training) for flight crew and those of its personnel involved in the operation who are involved in de-icing and/or anti-icing should include additional training if any of the following is introduced:

- (1) a new method, procedure and/or technique;
- (2) a new type of fluid and/or equipment; or
- (3) a new type of aircraft.

(g) Contracting

When the operator contracts training on de-icing/anti-icing, the operator should ensure that the contractor complies with the operator's training/qualification procedures, together with any specific procedures in respect of:

- (1) de-icing and/or anti-icing methods and procedures;
- (2) fluids to be used, including precautions for storage and preparation for use;
- (3) specific aircraft requirements (e.g. no-spray areas, propeller/engine de-icing, auxiliary power unit (APU) operation etc.); and
- (4) checking and communications procedures.

(h) Special maintenance considerations

(1) General

The operator should take proper account of the possible side effects of fluid use. Such effects may include, but are not necessarily limited to, dried and/or re-hydrated residues, corrosion and the removal of lubricants.

(2) Special considerations regarding residues of dried fluids

The operator should establish procedures to prevent or detect and remove residues of dried fluid. If necessary the operator should establish appropriate inspection intervals based on the recommendations of the airframe manufacturers and/or the operator's own experience:

-
- (i) Dried fluid residues

Dried fluid residues could occur when surfaces have been treated and the aircraft has not subsequently been flown and has not been subject to precipitation. The fluid may then have dried on the surfaces.
 - (ii) Re-hydrated fluid residues

Repetitive application of thickened de-icing/anti-icing fluids may lead to the subsequent formation/build-up of a dried residue in aerodynamically quiet areas, such as cavities and gaps. This residue may re-hydrate if exposed to high humidity conditions, precipitation, washing, etc., and increase to many times its original size/volume. This residue will freeze if exposed to conditions at or below 0°C. This may cause moving parts, such as elevators, ailerons, and flap actuating mechanisms to stiffen or jam in-flight. Re-hydrated residues may also form on exterior surfaces, which can reduce lift, increase drag and stall speed. Re-hydrated residues may also collect inside control surface structures and cause clogging of drain holes or imbalances to flight controls. Residues may also collect in hidden areas, such as around flight control hinges, pulleys, grommets, on cables and in gaps.
 - (iii) Operators are strongly recommended to obtain information about the fluid dry-out and re-hydration characteristics from the fluid manufacturers and to select products with optimised characteristics.
 - (iv) Additional information should be obtained from fluid manufacturers for handling, storage, application and testing of their products.

GM3 SPO.OP.175 Ice and other contaminants – ground procedures

DE-ICING/ANTI-ICING — BACKGROUND INFORMATION

Further guidance material on this issue is given in the ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations (Doc 9640) (hereinafter referred to as the ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations).

- (a) General
 - (1) Any deposit of frost, ice, snow or slush on the external surfaces of an aircraft may drastically affect its flying qualities because of reduced aerodynamic lift, increased drag, modified stability and control characteristics. Furthermore, freezing deposits may cause moving parts, such as elevators, ailerons, flap actuating mechanism, etc., to jam and create a potentially hazardous condition. Propeller/engine/APU/systems performance may deteriorate due to the presence of frozen contaminants on blades, intakes and components. Also, engine operation may be seriously affected by the ingestion of snow or ice, thereby causing engine stall or compressor damage. In addition, ice/frost may form on certain external surfaces (e.g. wing upper and lower surfaces, etc.) due to the effects of cold fuel/structures, even in ambient temperatures well above 0°C.
 - (2) Procedures established by the operator for de-icing and/or anti-icing are intended to ensure that the aircraft is clear of contamination so that degradation of aerodynamic characteristics or mechanical interference will not occur and, following anti-icing, to maintain the airframe in that condition during the appropriate HoT.
 - (3) Under certain meteorological conditions, de-icing and/or anti-icing procedures may be ineffective in providing sufficient protection for continued operations. Examples of these

conditions are freezing rain, ice pellets and hail, heavy snow, high wind velocity, fast dropping OAT or any time when freezing precipitation with high water content is present. No HoT guidelines exist for these conditions.

- (4) Material for establishing operational procedures can be found, for example, in:
- (i) ICAO Annex 3, Meteorological Service for International Air Navigation;
 - (ii) ICAO Manual of Aircraft Ground De-icing/Anti-icing Operations;
 - (iii) International Organization for Standardization (ISO) 11075 Aircraft — De-icing/anti-icing fluids — ISO type I;
 - (iv) ISO 11076 Aircraft — De-icing/anti-icing methods with fluids;
 - (v) ISO 11077 Aerospace — Self-propelled de-icing/anti-icing vehicles — Functional requirements;
 - (vi) ISO 11078 Aircraft — De-icing/anti-icing fluids — ISO types II, III and IV;
 - (vii) Association of European Airlines (AEA) 'Recommendations for de-icing/anti-icing of aircraft on the ground';
 - (viii) AEA 'Training recommendations and background information for de-icing/anti-icing of aircraft on the ground';
 - (ix) EUROCAE ED-104A Minimum Operational Performance Specification for Ground Ice Detection Systems;
 - (x) Society of Automotive Engineers (SAE) AS5681 Minimum Operational Performance Specification for Remote On-Ground Ice Detection Systems;
 - (xi) SAE ARP4737 Aircraft — De-icing/anti-icing methods;
 - (xii) SAE AMS1424 De-icing/anti-icing Fluid, Aircraft, SAE Type I;
 - (xiii) SAE AMS1428 Fluid, Aircraft De-icing/anti-icing, Non-Newtonian, (Pseudoplastic), SAE Types II, III, and IV;
 - (xiv) SAE ARP1971 Aircraft De-icing Vehicle — Self-Propelled, Large and Small Capacity;
 - (xv) SAE ARP5149 Training Programme Guidelines for De-icing/anti-icing of Aircraft on Ground; and
 - (xvi) SAE ARP5646 Quality Program Guidelines for De-icing/anti-icing of Aircraft on the Ground.

(b) Fluids

- (1) Type I fluid: Due to its properties, Type I fluid forms a thin, liquid-wetting film on surfaces to which it is applied which, under certain weather conditions, gives a very limited HoT. With this type of fluid, increasing the concentration of fluid in the fluid/water mix does not provide any extension in HoT.
- (2) Type II and Type IV fluids contain thickeners that enable the fluid to form a thicker liquid-wetting film on surfaces to which it is applied. Generally, this fluid provides a longer HoT than Type I fluids in similar conditions. With this type of fluid, the HoT can be extended by increasing the ratio of fluid in the fluid/water mix.
- (3) Type III fluid is a thickened fluid especially intended for use on aircraft with low rotation speeds.
- (4) Fluids used for de-icing and/or anti-icing should be acceptable to the operator and the aircraft manufacturer. These fluids normally conform to specifications such as SAE AMS1424, SAE AMS1428 or equivalent. Use of non-conforming fluids is not

recommended due to their characteristics being unknown. The anti-icing and aerodynamic properties of thickened fluids may be seriously degraded by, for example, inappropriate storage, treatment, application, application equipment and age.

(c) Hold-over protection

- (1) Hold-over protection is achieved by a layer of anti-icing fluid remaining on and protecting aircraft surfaces for a period of time. With a one-step de-icing/anti-icing procedure, the HoT begins at the commencement of de-icing/anti-icing. With a two-step procedure, the HoT begins at the commencement of the second (anti-icing) step. The hold-over protection runs out:
 - (i) at the commencement of the take-off roll (due to aerodynamic shedding of fluid); or
 - (ii) when frozen deposits start to form or accumulate on treated aircraft surfaces, thereby indicating the loss of effectiveness of the fluid.
- (2) The duration of hold-over protection may vary depending on the influence of factors other than those specified in the HoT tables. Guidance should be provided by the operator to take account of such factors, which may include:
 - (i) atmospheric conditions, e.g. exact type and rate of precipitation, wind direction and velocity, relative humidity and solar radiation; and
 - (ii) the aircraft and its surroundings, such as aircraft component inclination angle, contour and surface roughness, surface temperature, operation in close proximity to other aircraft (jet or propeller blast) and ground equipment and structures.
- (3) HoTs are not meant to imply that flight is safe in the prevailing conditions if the specified HoT has not been exceeded. Certain meteorological conditions, such as freezing drizzle or freezing rain, may be beyond the certification envelope of the aircraft.

AMC1 SPO.OP.176 Ice and other contaminants – flight procedures

FLIGHT IN EXPECTED OR ACTUAL ICING CONDITIONS

- (a) The procedures to be established by the operator should take account of the design, the equipment, the configuration of the aircraft and the necessary training. For these reasons, different aircraft types operated by the same company may require the development of different procedures. In every case, the relevant limitations are those that are defined in the AFM and other documents produced by the manufacturer.
- (b) The operator should ensure that the procedures take account of the following:
 - (1) the equipment and instruments that should be serviceable for flight in icing conditions;
 - (2) the limitations on flight in icing conditions for each phase of flight. These limitations may be imposed by the aircraft's de-icing or anti-icing equipment or the necessary performance corrections that have to be made;
 - (3) the criteria the flight crew should use to assess the effect of icing on the performance and/or controllability of the aircraft;
 - (4) the means by which the flight crew detects, by visual cues or the use of the aircraft's ice detection system, that the flight is entering icing conditions; and
 - (5) the action to be taken by the flight crew in a deteriorating situation (which may develop rapidly) resulting in an adverse effect on the performance and/or controllability of the

aircraft, due to:

- (i) the failure of the aircraft's anti-icing or de-icing equipment to control a build-up of ice; and/or
 - (ii) ice build-up on unprotected areas.
- (c) Training for dispatch and flight in expected or actual icing conditions. The content of the operations manual should reflect the training, both conversion and recurrent, that flight crew and all other relevant operational personnel require in order to comply with the procedures for dispatch and flight in icing conditions:
- (1) instruction on how to recognise, from weather reports or forecasts that are available before flight commences or during flight, the risks of encountering icing conditions along the planned route and on how to modify, as necessary, the departure and in-flight routes or profiles;
 - (2) instruction on the operational and performance limitations or margins;
 - (3) the use of in-flight ice detection, anti-icing and de-icing systems in both normal and abnormal operation; and
 - (4) instruction on the differing intensities and forms of ice accretion and the consequent action which should be taken.

GM1 SPO.OP.200 Ground proximity detection

GUIDANCE MATERIAL FOR TERRAIN AWARENESS WARNING SYSTEM (TAWS) FLIGHT CREW TRAINING PROGRAMMES

- (a) Introduction
- (1) This GM contains performance-based training objectives for TAWS flight crew training.
 - (2) The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAWS cautions; response to TAWS warnings.
 - (3) The term 'TAWS' in this GM means a ground proximity warning system (GPWS) enhanced by a forward-looking terrain avoidance function. Alerts include both cautions and warnings.
 - (4) The content of this GM is intended to assist operators who are producing training programmes. The information it contains has not been tailored to any specific aircraft or TAWS equipment, but highlights features that are typically available where such systems are installed. It is the responsibility of the individual operator to determine the applicability of the content of this Guidance Material to each aircraft and TAWS equipment installed and their operation. Operators should refer to the AFM and/or aircraft/flight crew operating manual (A/FCOM), or similar documents, for information applicable to specific configurations. If there should be any conflict between the content of this Guidance Material and that published in the other documents described above, then the information contained in the AFM or A/FCOM will take precedence.
- (b) Scope
- (1) The scope of this GM is designed to identify training objectives in the areas of: academic training; manoeuvre training; initial evaluation; recurrent qualification. Under each of these four areas, the training material has been separated into those items that are considered essential training items and those that are considered to be desirable. In each area, objectives and acceptable performance criteria are defined.

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- (2) No attempt is made to define how the training programme should be implemented. Instead, objectives are established to define the knowledge that a pilot operating a TAWS is expected to possess and the performance expected from a pilot who has completed TAWS training. However, the guidelines do indicate those areas in which the pilot receiving the training should demonstrate his/her understanding, or performance, using a real-time, interactive training device, i.e. a flight simulator. Where appropriate, notes are included within the performance criteria that amplify or clarify the material addressed by the training objective.
- (c) Performance-based training objectives
- (1) TAWS academic training
- (i) This training is typically conducted in a classroom environment. The knowledge demonstrations specified in this section may be completed through the successful completion of written tests or by providing correct responses to non-real-time computer-based training (CBT) questions.
- (ii) Theory of operation. The pilot should demonstrate an understanding of TAWS operation and the criteria used for issuing cautions and warnings. This training should address system operation. Objective: to demonstrate knowledge of how a TAWS functions. Criteria: the pilot should demonstrate an understanding of the following functions:
- (A) Surveillance
- (a) The GPWS computer processes data supplied from an air data computer, a radio altimeter, an instrument landing system (ILS)/microwave landing system (MLS)/multi-mode (MM) receiver, a roll attitude sensor, and actual position of the surfaces and of the landing gear.
- (b) The forward-looking terrain avoidance function utilises an accurate source of known aircraft position, such as that which may be provided by a flight management system (FMS) or global positioning system (GPS), or an electronic terrain database. The source and scope of the terrain, obstacle and airport data, and features such as the terrain clearance floor, the runway picker, and geometric altitude (where provided), should all be described.
- (c) Displays required to deliver TAWS outputs include a loudspeaker for voice announcements, visual alerts (typically amber and red lights) and a terrain awareness display (that may be combined with other displays). In addition, means should be provided for indicating the status of the TAWS and any partial or total failures that may occur.
- (B) Terrain avoidance. Outputs from the TAWS computer provide visual and audio synthetic voice cautions and warnings to alert the flight crew about potential conflicts with terrain and obstacles.
- (C) Alert thresholds. Objective: to demonstrate knowledge of the criteria for issuing cautions and warnings. Criteria: the pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and alerts and the general criteria for the issuance of these alerts, including:
- (a) basic GPWS alerting modes specified in the ICAO standard:

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- Mode 1: excessive sink rate;
 - Mode 2: excessive terrain closure rate;
 - Mode 3: descent after take-off or missed approach;
 - Mode 4: unsafe proximity to terrain; and
 - Mode 5: descent below ILS glide slope (caution only);
- (b) an additional, optional alert mode:
- Mode 6: radio altitude call-out (information only); and
- (c) TAWS cautions and warnings that alert the flight crew to obstacles and terrain ahead of the aircraft in line with or adjacent to its projected flight path (forward-looking terrain avoidance (FLTA) and premature descent alert (PDA) functions).
- (D) TAWS limitations. Objective: to verify that the pilot is aware of the limitations of TAWS. Criteria: the pilot should demonstrate knowledge and an understanding of TAWS limitations identified by the manufacturer for the equipment model installed, such as:
- (a) navigation should not be predicated on the use of the terrain display;
 - (b) unless geometric altitude data is provided, use of predictive TAWS functions is prohibited when altimeter subscale settings display 'QFE' (atmospheric pressure at aerodrome elevation/runway threshold);
 - (c) nuisance alerts can be issued if the aerodrome of intended landing is not included in the TAWS airport database;
 - (d) in cold weather operations, corrective procedures should be implemented by the pilot unless the TAWS has in-built compensation, such as geometric altitude data;
 - (e) loss of input data to the TAWS computer could result in partial or total loss of functionality. Where means exist to inform the flight crew that functionality has been degraded, this should be known and the consequences understood;
 - (f) radio signals not associated with the intended flight profile (e.g. ILS glide path transmissions from an adjacent runway) may cause false alerts;
 - (g) inaccurate or low accuracy aircraft position data could lead to false or non-annunciation of terrain or obstacles ahead of the aircraft; and
 - (h) minimum equipment list (MEL) restrictions should be applied in the event of the TAWS becoming partially or completely unserviceable. (It should be noted that basic GPWS has no forward-looking capability.)
- (E) TAWS inhibits. Objective: to verify that the pilot is aware of the conditions under which certain functions of a TAWS are inhibited. Criteria: the pilot should demonstrate knowledge and an understanding of the various TAWS inhibits, including the following means of:
- (a) silencing voice alerts;
 - (b) inhibiting ILS glide path signals (as may be required when executing an ILS back beam approach);

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- (c) inhibiting flap position sensors (as may be required when executing an approach with the flaps not in a normal position for landing);
 - (d) inhibiting the FLTA and PDA functions; and
 - (e) selecting or deselecting the display of terrain information, together with appropriate annunciation of the status of each selection.
 - (2) Operating procedures. The pilot should demonstrate the knowledge required to operate TAWS avionics and to interpret the information presented by a TAWS. This training should address the following topics:
 - (i) Use of controls. Objective: to verify that the pilot can properly operate all TAWS controls and inhibits. Criteria: the pilot should demonstrate the proper use of controls, including the following means by which:
 - (A) before flight, any equipment self-test functions can be initiated;
 - (B) TAWS information can be selected for display; and
 - (C) all TAWS inhibits can be operated and what the consequent annunciations mean with regard to loss of functionality.
 - (ii) Display interpretation. Objective: to verify that the pilot understands the meaning of all information that can be annunciated or displayed by a TAWS. Criteria: the pilot should demonstrate the ability to properly interpret information annunciated or displayed by a TAWS, including the following:
 - (A) knowledge of all visual and aural indications that may be seen or heard;
 - (B) response required on receipt of a caution;
 - (C) response required on receipt of a warning; and
 - (D) response required on receipt of a notification that partial or total failure of the TAWS has occurred (including annunciation that the present aircraft position is of low accuracy).
 - (iii) Use of basic GPWS or use of the FLTA function only. Objective: to verify that the pilot understands what functionality will remain following loss of the GPWS or of the FLTA function. Criteria: the pilot should demonstrate knowledge of how to recognise the following:
 - (A) un-commanded loss of the GPWS function, or how to isolate this function and how to recognise the level of the remaining controlled flight into terrain (CFIT) protection (essentially, this is the FLTA function); and
 - (B) un-commanded loss of the FLTA function, or how to isolate this function and how to recognise the level of the remaining CFIT protection (essentially, this is the basic GPWS).
 - (iv) Crew coordination. Objective: to verify that the pilot adequately briefs other flight crew members on how TAWS alerts will be handled. Criteria: the pilot should demonstrate that the pre-flight briefing addresses procedures that will be used in preparation for responding to TAWS cautions and warnings, including the following:
 - (A) the action to be taken, and by whom, in the event that a TAWS caution and/or warning is issued; and
 - (B) how multi-function displays will be used to depict TAWS information at take-off, in the cruise and for the descent, approach, landing (and any missed

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- approach). This will be in accordance with procedures specified by the operator, who will recognise that it may be more desirable that other data is displayed at certain phases of flight and that the terrain display has an automatic 'pop-up' mode in the event that an alert is issued.
- (v) Reporting rules. Objective: to verify that the pilot is aware of the rules for reporting alerts to the controller and other authorities. Criteria: the pilot should demonstrate knowledge of the following:
- (A) when, following recovery from a TAWS alert or caution, a transmission of information should be made to the appropriate ATC unit; and
 - (B) the type of written report that is required, how it is to be compiled and whether any cross-reference should be made in the aircraft technical log and/or voyage report (in accordance with procedures specified by the operator), following a flight in which the aircraft flight path has been modified in response to a TAWS alert, or if any part of the equipment appears not to have functioned correctly.
- (vi) Alert thresholds. Objective: to demonstrate knowledge of the criteria for issuing cautions and warnings. Criteria: the pilot should be able to demonstrate an understanding of the methodology used by a TAWS to issue cautions and warnings and the general criteria for the issuance of these alerts, including awareness of the following:
- (A) modes associated with basic GPWS, including the input data associated with each; and
 - (B) visual and aural annunciations that can be issued by TAWS and how to identify which are cautions and which are warnings.
- (3) TAWS manoeuvre training. The pilot should demonstrate the knowledge required to respond correctly to TAWS cautions and warnings. This training should address the following topics:
- (i) Response to cautions:
- (A) Objective: to verify that the pilot properly interprets and responds to cautions. Criteria: the pilot should demonstrate an understanding of the need, without delay:
 - (a) to initiate action required to correct the condition that has caused the TAWS to issue the caution and to be prepared to respond to a warning, if this should follow; and
 - (b) if a warning does not follow the caution, to notify the controller of the new position, heading and/or altitude/flight level of the aircraft, and what the pilot-in-command intends to do next.
 - (B) The correct response to a caution might require the pilot to:
 - (a) reduce a rate of descent and/or to initiate a climb;
 - (b) regain an ILS glide path from below, or to inhibit a glide path signal if an ILS is not being flown;
 - (c) select more flap, or to inhibit a flap sensor if the landing is being conducted with the intent that the normal flap setting will not be used;
 - (d) select gear down; and/or

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- (e) initiate a turn away from the terrain or obstacle ahead and towards an area free of such obstructions if a forward-looking terrain display indicates that this would be a good solution and the entire manoeuvre can be carried out in clear visual conditions.
 - (ii) Response to warnings. Objective: to verify that the pilot properly interprets and responds to warnings. Criteria: the pilot should demonstrate an understanding of the following:
 - (A) The need, without delay, to initiate a climb in the manner specified by the operator.
 - (B) The need, without delay, to maintain the climb until visual verification can be made that the aircraft will clear the terrain or obstacle ahead or until above the appropriate sector safe altitude (if certain about the location of the aircraft with respect to terrain) even if the TAWS warning stops. If, subsequently, the aircraft climbs up through the sector safe altitude, but the visibility does not allow the flight crew to confirm that the terrain hazard has ended, checks should be made to verify the location of the aircraft and to confirm that the altimeter subscale settings are correct.
 - (C) When workload permits that, the flight crew should notify the air traffic controller of the new position and altitude/flight level and what the pilot-in-command intends to do next.
 - (D) That the manner in which the climb is made should reflect the type of aircraft and the method specified by the aircraft manufacturer (which should be reflected in the operations manual) for performing the escape manoeuvre. Essential aspects will include the need for an increase in pitch attitude, selection of maximum thrust, confirmation that external sources of drag (e.g. spoilers/speed brakes) are retracted and respect of the stick shaker or other indication of eroded stall margin.
 - (E) That TAWS warnings should never be ignored. However, the pilot's response may be limited to that which is appropriate for a caution, only if:
 - (a) the aircraft is being operated by day in clear, visual conditions; and
 - (b) it is immediately clear to the pilot that the aircraft is in no danger in respect of its configuration, proximity to terrain or current flight path.
 - (4) TAWS initial evaluation:
 - (i) The flight crew member's understanding of the academic training items should be assessed by means of a written test.
 - (ii) The flight crew member's understanding of the manoeuvre training items should be assessed in a flight simulation training device (FSTD) equipped with TAWS visual and aural displays and inhibit selectors similar in appearance and operation to those in the aircraft that the pilot will fly. The results should be assessed by a flight simulation training instructor, synthetic flight examiner, type rating instructor or type rating examiner.
 - (iii) The range of scenarios should be designed to give confidence that proper and timely responses to TAWS cautions and warnings will result in the aircraft avoiding a CFIT accident. To achieve this objective, the pilot should demonstrate taking the correct action to prevent a caution developing into a warning and, separately, the escape manoeuvre needed in response to a warning. These demonstrations should

take place when the external visibility is zero, though there is much to be learnt if, initially, the training is given in 'mountainous' or 'hilly' terrain with clear visibility. This training should comprise a sequence of scenarios, rather than be included in line orientated flight training (LOFT).

- (iv) A record should be made, after the pilot has demonstrated competence, of the scenarios that were practised.
- (5) TAWS recurrent training:
 - (i) TAWS recurrent training ensures that pilots maintain the appropriate TAWS knowledge and skills. In particular, it reminds pilots of the need to act promptly in response to cautions and warnings and of the unusual attitude associated with flying the escape manoeuvre.
 - (ii) An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes to TAWS logic, parameters or procedures and to any unique TAWS characteristics of which pilots should be aware.
- (6) Reporting procedures:
 - (i) Verbal reports. Verbal reports should be made promptly to the appropriate ATC unit:
 - (A) whenever any manoeuvre has caused the aircraft to deviate from an air traffic clearance;
 - (B) when, following a manoeuvre that has caused the aircraft to deviate from an air traffic clearance, the aircraft has returned to a flight path that complies with the clearance; and/or
 - (C) when an air traffic control unit issues instructions that, if followed, would cause the pilot to manoeuvre the aircraft towards terrain or obstacle or it would appear from the display that a potential CFIT occurrence is likely to result.
 - (ii) Written reports. Written reports should be submitted in accordance with the operator's occurrence reporting scheme and they should also be recorded in the aircraft technical log:
 - (A) whenever the aircraft flight path has been modified in response to a TAWS alert (false, nuisance or genuine);
 - (B) whenever a TAWS alert has been issued and is believed to have been false; and/or
 - (C) if it is believed that a TAWS alert should have been issued, but was not.
 - (iii) Within this GM, and with regard to reports:
 - (A) the term 'false' means that the TAWS issued an alert that could not possibly be justified by the position of the aircraft in respect to terrain and it is probable that a fault or failure in the system (equipment and/or input data) was the cause;
 - (B) the term 'nuisance' means that the TAWS issued an alert that was appropriate, but was not needed because the flight crew could determine by independent means that the flight path was, at that time, safe;
 - (C) the term 'genuine' means that the TAWS issued an alert that was both

appropriate and necessary;

- (D) the report terms described above are only meant to be assessed after the occurrence is over, to facilitate subsequent analysis, the adequacy of the equipment and the programmes it contains. The intention is not for the flight crew to attempt to classify an alert into any of these three categories when visual and/or aural cautions or warnings are annunciated.

GM1 SPO.OP.205 Airborne collision avoidance system (ACAS)

GENERAL

- (a) The ACAS operational procedures and training programmes established by the operator should take into account this Guidance Material. It incorporates advice contained in:
 - (1) ICAO Annex 10, Volume IV;
 - (2) ICAO Doc 8168 (PANS-OPS), Volume III; and
 - (3) ICAO PANS-ATM.
- (b) Additional guidance material on ACAS may be referred to, including information available from such sources as EUROCONTROL.

ACAS FLIGHT CREW TRAINING

- (c) During the implementation of ACAS, several operational issues were identified that had been attributed to deficiencies in flight crew training programmes. As a result, the issue of flight crew training has been discussed within the ICAO, which has developed guidelines for operators to use when designing training programmes.
- (d) This Guidance Material contains performance-based training objectives for ACAS II flight crew training. Information contained here related to traffic advisories (TAs) is also applicable to ACAS I and ACAS II users. The training objectives cover five areas: theory of operation; pre-flight operations; general in-flight operations; response to TAs; and response to resolution advisories (RAs).
- (e) The information provided is valid for version 7 and 7.1 (ACAS II). Where differences arise, these are identified.
- (f) The performance-based training objectives are further divided into the areas of: academic training; manoeuvre training; initial evaluation and recurrent qualification. Under each of these four areas, the training material has been separated into those items which are considered essential training items and those which are considered desirable. In each area, objectives and acceptable performance criteria are defined.
- (g) ACAS academic training
 - (1) This training is typically conducted in a classroom environment. The knowledge demonstrations specified in this section may be completed through the successful completion of written tests or through providing correct responses to non-real-time computer-based training (CBT) questions.
 - (2) Essential items
 - (i) Theory of operation. The flight crew member should demonstrate an understanding of ACAS II operation and the criteria used for issuing TAs and RAs. This training should address the following topics:
 - (A) System operation

Objective: to demonstrate knowledge of how ACAS functions.

Criteria: the flight crew member should demonstrate an understanding of the following functions:

(a) Surveillance

- (1) ACAS interrogates other transponder-equipped aircraft within a nominal range of 14 NM.
- (2) ACAS surveillance range can be reduced in geographic areas with a large number of ground interrogators and/or ACAS II-equipped aircraft.
- (3) If the operator's ACAS implementation provides for the use of the Mode S extended squitter, the normal surveillance range may be increased beyond the nominal 14 NM. However, this information is not used for collision avoidance purposes.

(b) Collision avoidance

- (1) TAs can be issued against any transponder-equipped aircraft that responds to the ICAO Mode C interrogations, even if the aircraft does not have altitude reporting capability.
- (2) RAs can be issued only against aircraft that are reporting altitude and in the vertical plane only.
- (3) RAs issued against an ACAS-equipped intruder are co-ordinated to ensure complementary RAs are issued.
- (4) Failure to respond to an RA deprives own aircraft of the collision protection provided by own ACAS.
- (5) Additionally, in ACAS-ACAS encounters, failure to respond to an RA also restricts the choices available to the other aircraft's ACAS and thus renders the other aircraft's ACAS less effective than if own aircraft were not ACAS equipped.

(B) Advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (a) ACAS advisories are based on time to closest point of approach (CPA) rather than distance. The time should be short and vertical separation should be small, or projected to be small, before an advisory can be issued. The separation standards provided by ATS are different from the miss distances against which ACAS issues alerts.
- (b) Thresholds for issuing a TA or an RA vary with altitude. The thresholds are larger at higher altitudes.
- (c) A TA occurs from 15 to 48 seconds and an RA from 15 to 35 seconds before the projected CPA.
- (d) RAs are chosen to provide the desired vertical miss distance at CPA. As a result, RAs can instruct a climb or descent through the intruder aircraft's altitude.

(C) ACAS limitations

Objective: to verify that the flight crew member is aware of the limitations of ACAS.

Criteria: the flight crew member should demonstrate knowledge and understanding of ACAS limitations, including the following:

- (a) ACAS will neither track nor display non-transponder-equipped aircraft, nor aircraft not responding to ACAS Mode C interrogations.
- (b) ACAS will automatically fail if the input from the aircraft's barometric altimeter, radio altimeter or transponder is lost.
 - (1) In some installations, the loss of information from other on-board systems such as an inertial reference system (IRS) or attitude heading reference system (AHRS) may result in an ACAS failure. Individual operators should ensure that their flight crews are aware of the types of failure which will result in an ACAS failure.
 - (2) ACAS may react in an improper manner when false altitude information is provided to own ACAS or transmitted by another aircraft. Individual operators should ensure that their flight crew are aware of the types of unsafe conditions which can arise. Flight crew members should ensure that when they are advised, if their own aircraft is transmitting false altitude reports, an alternative altitude reporting source is selected, or altitude reporting is switched off.
- (c) Some aeroplanes within 380 ft above ground level (AGL) (nominal value) are deemed to be 'on ground' and will not be displayed. If ACAS is able to determine an aircraft below this altitude is airborne, it will be displayed.
- (d) ACAS may not display all proximate transponder-equipped aircraft in areas of high density traffic.
- (e) The bearing displayed by ACAS is not sufficiently accurate to support the initiation of horizontal manoeuvres based solely on the traffic display.
- (f) ACAS will neither track nor display intruders with a vertical speed in excess of 10 000 ft/min. In addition, the design implementation may result in some short-term errors in the tracked vertical speed of an intruder during periods of high vertical acceleration by the intruder.
- (g) Ground proximity warning systems/ground collision avoidance systems (GPWSs/GCASs) warnings and wind shear warnings take precedence over ACAS advisories. When either a GPWS/GCAS or wind shear warning is active, ACAS aural annunciations will be inhibited and ACAS will automatically switch to the 'TA only' mode of operation.

(D) ACAS inhibits

Objective: to verify that the flight crew member is aware of the conditions under which certain functions of ACAS are inhibited.

Criteria: the flight crew member should demonstrate knowledge and

understanding of the various ACAS inhibits, including the following:

- (a) 'Increase Descent' RAs are inhibited below 1 450 ft AGL.
- (b) 'Descend' RAs are inhibited below 1 100 ft AGL.
- (c) All RAs are inhibited below 1 000 ft AGL.
- (d) All TA aural annunciations are inhibited below 500 ft AGL.
- (e) Altitude and configuration under which 'Climb' and 'Increase Climb' RAs are inhibited. ACAS can still issue 'Climb' and 'Increase Climb' RAs when operating at the aeroplane's certified ceiling. (In some aircraft types, 'Climb' or 'Increase Climb' RAs are never inhibited.)

(ii) Operating procedures

The flight crew member should demonstrate the knowledge required to operate the ACAS avionics and interpret the information presented by ACAS. This training should address the following:

(A) Use of controls

Objective: to verify that the pilot can properly operate all ACAS and display controls.

Criteria: demonstrate the proper use of controls, including the following:

- (a) Aircraft configuration required to initiate a self-test.
- (b) Steps required to initiate a self-test.
- (c) Recognising when the self-test was successful and when it was unsuccessful. When the self-test is unsuccessful, recognising the reason for the failure and, if possible, correcting the problem.
- (d) Recommended usage of range selection. Low ranges are used in the terminal area and the higher display ranges are used in the en-route environment and in the transition between the terminal and en-route environment.
- (e) Recognising that the configuration of the display does not affect the ACAS surveillance volume.
- (f) Selection of lower ranges when an advisory is issued, to increase display resolution.
- (g) Proper configuration to display the appropriate ACAS information without eliminating the display of other needed information.
- (h) If available, recommended usage of the above/below mode selector. The above mode should be used during climb and the below mode should be used during descent.
- (i) If available, proper selection of the display of absolute or relative altitude and the limitations of using this display if a barometric correction is not provided to ACAS.

(B) Display interpretation

Objective: to verify that the flight crew member understands the meaning of all information that can be displayed by ACAS. The wide variety of display implementations require the tailoring of some criteria. When the training programme is developed, these criteria should be expanded to cover details for

the operator's specific display implementation.

Criteria: the flight crew member should demonstrate the ability to properly interpret information displayed by ACAS, including the following:

- (a) Other traffic, i.e. traffic within the selected display range that is not proximate traffic, or causing a TA or RA to be issued.
- (b) Proximate traffic, i.e. traffic that is within 6 NM and $\pm 1\,200$ ft.
- (c) Non-altitude reporting traffic.
- (d) No bearing TAs and RAs.
- (e) Off-scale TAs and RAs: the selected range should be changed to ensure that all available information on the intruder is displayed.
- (f) TAs: the minimum available display range that allows the traffic to be displayed should be selected, to provide the maximum display resolution.
- (g) RAs (traffic display): the minimum available display range of the traffic display that allows the traffic to be displayed should be selected, to provide the maximum display resolution.
- (h) RAs (RA display): flight crew members should demonstrate knowledge of the meaning of the red and green areas or the meaning of pitch or flight path angle cues displayed on the RA display. Flight crew members should also demonstrate an understanding of the RA display limitations, i.e. if a vertical speed tape is used and the range of the tape is less than 2 500 ft/min, an increase rate RA cannot be properly displayed.
- (i) If appropriate, awareness that navigation displays oriented on 'Track-Up' may require a flight crew member to make a mental adjustment for drift angle when assessing the bearing of proximate traffic.

(C) Use of the TA only mode

Objective: to verify that a flight crew member understands the appropriate times to select the TA only mode of operation and the limitations associated with using this mode.

Criteria: the flight crew member should demonstrate the following:

- (a) Knowledge of the operator's guidance for the use of TA only.
- (b) Reasons for using this mode. If TA only is not selected when an airport is conducting simultaneous operations from parallel runways separated by less than 1 200 ft, and to some intersecting runways, RAs can be expected. If, for any reason, TA only is not selected and an RA is received in these situations, the response should comply with the operator's approved procedures.
- (c) All TA aural annunciations are inhibited below 500 ft AGL. As a result, TAs issued below 500 ft AGL may not be noticed unless the TA display is included in the routine instrument scan.

(D) Crew coordination

Objective: to verify that the flight crew member understands how ACAS advisories will be handled.

Criteria: the flight crew member should demonstrate knowledge of the crew procedures that should be used when responding to TAs and RAs, including the

following:

- (a) task sharing between the pilot flying and the pilot monitoring;
- (b) expected call-outs; and
- (c) communications with ATC.

(E) Phraseology rules

Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the controller.

Criteria: the flight crew member should demonstrate the following:

- (a) the use of the phraseology contained in ICAO PANS-OPS;
- (b) an understanding of the procedures contained in ICAO PANS-ATM and ICAO Annex 2; and
- (c) the understanding that verbal reports should be made promptly to the appropriate ATC unit:
 - (1) whenever any manoeuvre has caused the aeroplane to deviate from an air traffic clearance;
 - (2) when, subsequent to a manoeuvre that has caused the aeroplane to deviate from an air traffic clearance, the aeroplane has returned to a flight path that complies with the clearance; and/or
 - (3) when air traffic issue instructions that, if followed, would cause the crew to manoeuvre the aircraft contrary to an RA with which they are complying.

(F) Reporting rules

Objective: to verify that the flight crew member is aware of the rules for reporting RAs to the operator.

Criteria: the flight crew member should demonstrate knowledge of where information can be obtained regarding the need for making written reports to various States when an RA is issued. Various States have different reporting rules and the material available to the flight crew member should be tailored to the operator's operating environment. This responsibility is satisfied by the flight crew member reporting to the operator according to the applicable reporting rules.

(3) Non-essential items: advisory thresholds

Objective: to demonstrate knowledge of the criteria for issuing TAs and RAs.

Criteria: the flight crew member should demonstrate an understanding of the methodology used by ACAS to issue TAs and RAs and the general criteria for the issuance of these advisories, including the following:

- (i) The minimum and maximum altitudes below/above which TAs will not be issued.
- (ii) When the vertical separation at CPA is projected to be less than the ACAS-desired separation, a corrective RA that requires a change to the existing vertical speed will be issued. This separation varies from 300 ft at low altitude to a maximum of 700 ft at high altitude.
- (iii) When the vertical separation at CPA is projected to be just outside the ACAS-desired separation, a preventive RA that does not require a change to the existing

vertical speed will be issued. This separation varies from 600 to 800 ft.

(iv) RA fixed range thresholds vary between 0.2 and 1.1 NM.

(h) ACAS manoeuvre training

- (1) Demonstration of the flight crew member's ability to use ACAS displayed information to properly respond to TAs and RAs should be carried out in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft. If a full flight simulator is utilised, crew resource management (CRM) should be practised during this training.
- (2) Alternatively, the required demonstrations can be carried out by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft. This interactive CBT should depict scenarios in which real-time responses should be made. The flight crew member should be informed whether or not the responses made were correct. If the response was incorrect or inappropriate, the CBT should show what the correct response should be.
- (3) The scenarios included in the manoeuvre training should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-aircraft encounters. The consequences of failure to respond correctly should be demonstrated by reference to actual incidents such as those publicised in EUROCONTROL ACAS II Bulletins (available on the EUROCONTROL website).

(i) TA responses

Objective: to verify that the pilot properly interprets and responds to TAs.

Criteria: the pilot should demonstrate the following:

- (A) Proper division of responsibilities between the pilot flying and the pilot monitoring. The pilot flying should fly the aircraft using any type-specific procedures and be prepared to respond to any RA that might follow. For aircraft without an RA pitch display, the pilot flying should consider the likely magnitude of an appropriate pitch change. The pilot monitoring should provide updates on the traffic location shown on the ACAS display, using this information to help visually acquire the intruder.
- (B) Proper interpretation of the displayed information. Flight crew members should confirm that the aircraft they have visually acquired is that which has caused the TA to be issued. Use should be made of all information shown on the display, note being taken of the bearing and range of the intruder (amber circle), whether it is above or below (data tag), and its vertical speed direction (trend arrow).
- (C) Other available information should be used to assist in visual acquisition, including ATC 'party-line' information, traffic flow in use, etc.
- (D) Because of the limitations described, the pilot flying should not manoeuvre the aircraft based solely on the information shown on the ACAS display. No attempt should be made to adjust the current flight path in anticipation of what an RA would advise, except that if own aircraft is approaching its cleared level at a high vertical rate with a TA present, vertical rate should be reduced to less than 1 500 ft/min.
- (E) When visual acquisition is attained, and as long as no RA is received, normal right of way rules should be used to maintain or attain safe separation. No unnecessary manoeuvres should be initiated. The limitations of making

manoeuvres based solely on visual acquisition, especially at high altitude or at night, or without a definite horizon should be demonstrated as being understood.

(ii) RA responses

Objective: to verify that the pilot properly interprets and responds to RAs.

Criteria: the pilot should demonstrate the following:

- (A) Proper response to the RA, even if it is in conflict with an ATC instruction and even if the pilot believes that there is no threat present.
- (B) Proper task sharing between the pilot flying and the pilot monitoring. The pilot flying should respond to a corrective RA with appropriate control inputs. The pilot monitoring should monitor the response to the RA and should provide updates on the traffic location by checking the traffic display. Proper CRM should be used.
- (C) Proper interpretation of the displayed information. The pilot should recognise the intruder causing the RA to be issued (red square on display). The pilot should respond appropriately.
- (D) For corrective RAs, the response should be initiated in the proper direction within 5 seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{4}$ g (gravitational acceleration of 9.81 m/sec^2).
- (E) Recognition of the initially displayed RA being modified. Response to the modified RA should be properly accomplished, as follows:
 - (a) For increase rate RAs, the vertical speed change should be started within $2\frac{1}{2}$ seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
 - (b) For RA reversals, the vertical speed reversal should be started within $2\frac{1}{2}$ seconds of the RA being displayed. The change in vertical speed should be accomplished with an acceleration of approximately $\frac{1}{3}$ g.
 - (c) For RA weakenings, the vertical speed should be modified to initiate a return towards the original clearance.
 - (d) An acceleration of approximately $\frac{1}{4}$ g will be achieved if the change in pitch attitude corresponding to a change in vertical speed of 1 500 ft/min is accomplished in approximately 5 seconds, and of $\frac{1}{3}$ g if the change is accomplished in approximately 3 seconds. The change in pitch attitude required to establish a rate of climb or descent of 1 500 ft/min from level flight will be approximately 6° when the true airspeed (TAS) is 150 kt, 4° at 250 kt, and 2° at 500 kt. (These angles are derived from the formula: 1 000 divided by TAS.)
- (F) Recognition of altitude crossing encounters and the proper response to these RAs.
- (G) For preventive RAs, the vertical speed needle or pitch attitude indication should remain outside the red area on the RA display.
- (H) For maintain rate RAs, the vertical speed should not be reduced. Pilots should recognise that a maintain rate RA may result in crossing through the

intruder's altitude.

- (I) When the RA weakens, or when the green 'fly to' indicator changes position, the pilot should initiate a return towards the original clearance, and when 'clear of conflict' is annunciated, the pilot should complete the return to the original clearance.
- (J) The controller should be informed of the RA as soon as time and workload permit, using the standard phraseology.
- (K) When possible, an ATC clearance should be complied with while responding to an RA. For example, if the aircraft can level at the assigned altitude while responding to RA (an 'adjust vertical speed' RA (version 7) or 'level off' (version 7.1), it should be done; the horizontal (turn) element of an ATC instruction should be followed.
- (L) Knowledge of the ACAS multi-aircraft logic and its limitations, and that ACAS can optimise separations from two aircraft by climbing or descending towards one of them. For example, ACAS only considers intruders that it considers to be a threat when selecting an RA. As such, it is possible for ACAS to issue an RA against one intruder that results in a manoeuvre towards another intruder that is not classified as a threat. If the second intruder becomes a threat, the RA will be modified to provide separation from that intruder.

(i) ACAS initial evaluation

- (1) The flight crew member's understanding of the academic training items should be assessed by means of a written test or interactive CBT that records correct and incorrect responses to phrased questions.
- (2) The flight crew member's understanding of the manoeuvre training items should be assessed in a full flight simulator equipped with an ACAS display and controls similar in appearance and operation to those in the aircraft the flight crew member will fly, and the results assessed by a qualified instructor, inspector, or check airman. The range of scenarios should include: corrective RAs; initial preventive RAs; maintain rate RAs; altitude crossing RAs; increase rate RAs; RA reversals; weakening RAs; and multi-threat encounters. The scenarios should also include demonstrations of the consequences of not responding to RAs, slow or late responses, and manoeuvring opposite to the direction called for by the displayed RA.
- (3) Alternatively, exposure to these scenarios can be conducted by means of an interactive CBT with an ACAS display and controls similar in appearance and operation to those in the aircraft the pilot will fly. This interactive CBT should depict scenarios in which real-time responses should be made and a record made of whether or not each response was correct.

(j) ACAS recurrent training

- (1) ACAS recurrent training ensures that flight crew members maintain the appropriate ACAS knowledge and skills. ACAS recurrent training should be integrated into and/or conducted in conjunction with other established recurrent training programmes. An essential item of recurrent training is the discussion of any significant issues and operational concerns that have been identified by the operator. Recurrent training should also address changes to ACAS logic, parameters or procedures and to any unique ACAS characteristics which flight crew members should be made aware of.
- (2) It is recommended that the operator's recurrent training programmes using full flight

simulators include encounters with conflicting traffic when these simulators are equipped with ACAS. The full range of likely scenarios may be spread over a 2 year period. If a full flight simulator, as described above, is not available, use should be made of an interactive CBT that is capable of presenting scenarios to which pilot responses should be made in real-time.

AMC1 SPO.OP.210 Approach and landing conditions – aeroplanes and helicopters

LANDING DISTANCE/FATO SUITABILITY

The in-flight determination of the landing distance/FATO suitability should be based on the latest available meteorological report, or the locally observed conditions where appropriate.

AMC1 SPO.OP.215 Commencement and continuation of approach – aeroplanes and helicopters

VISUAL REFERENCES FOR INSTRUMENT APPROACH OPERATIONS

(a) NPA, APV and CAT I operations

At DH or MDH, at least one of the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) elements of the approach lighting system;
- (2) the threshold;
- (3) the threshold markings;
- (4) the threshold lights;
- (5) the threshold identification lights;
- (6) the visual glide slope indicator;
- (7) the touchdown zone or touchdown zone markings;
- (8) the touchdown zone lights;
- (9) FATO/runway edge lights; or
- (10) other visual references specified in the operations manual.

(b) Lower than standard category I (LTS CAT I) operations

At DH, the visual references specified below should be distinctly visible and identifiable to the pilot:

- (1) a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of them; and
- (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS usable to at least 150 ft.

(c) CAT II or other-than standard category II (OTS CAT II) operations

At DH, the visual references specified below should be distinctly visible and identifiable to the

-
- pilot:
- (1) a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of them; and
 - (2) this visual reference should include a lateral element of the ground pattern, such as an approach light crossbar or the landing threshold or a barrette of the touchdown zone light unless the operation is conducted utilising an approved HUDLS to touchdown.
- (d) CAT III operations
- (1) For CAT IIIA operations and for CAT IIIB operations conducted either with fail-passive flight control systems or with the use of an approved HUDLS: at DH, a segment of at least three consecutive lights, being the centreline of the approach lights, or touchdown zone lights, or runway centreline lights, or runway edge lights, or a combination of them is attained and can be maintained by the pilot.
 - (2) For CAT IIIB operations conducted either with fail-operational flight control systems or with a fail-operational hybrid landing system using a DH: at DH, at least one centreline light is attained and can be maintained by the pilot.
 - (3) For CAT IIIB operations with no DH there is no requirement for visual reference with the runway prior to touchdown.
- (e) Approach operations utilising EVS — CAT I operations
- (1) At DH or MDH, the following visual references should be displayed and identifiable to the pilot on the EVS:
 - (i) elements of the approach light; or
 - (ii) the runway threshold, identified by at least one of the following:
 - (A) the beginning of the runway landing surface,
 - (B) the threshold lights, the threshold identification lights; or
 - (C) the touchdown zone, identified by at least one of the following: the runway touchdown zone landing surface, the touchdown zone lights, the touchdown zone markings or the runway lights.
 - (2) At 100 ft above runway threshold elevation at least one of the visual references specified below should be distinctly visible and identifiable to the pilot without reliance on the EVS:
 - (i) the lights or markings of the threshold; or
 - (ii) the lights or markings of the touchdown zone.
- (f) Approach operations utilising EVS – APV and NPA operations flown with the CDFA technique
- (1) At DH/MDH, visual references should be displayed and identifiable to the pilot on the EVS image as specified under (a).
 - (2) At 200 ft above runway threshold elevation, at least one of the visual references specified under (a) should be distinctly visible and identifiable to the pilot without reliance on the EVS.

AMC1 SPO.OP.230 Standard operating procedures

DEVELOPMENT OF STANDARD OPERATING PROCEDURES

- (a) SOPs should be developed to a standard format in accordance with **AMC2 SPO.OP.230** (SOP template) and taking into account the results of the risk assessment process.
- (b) SOPs should be based on a systematic risk assessment to ensure that the risks associated with the task are acceptable. The risk assessment should describe the activity in detail, identify the relevant hazards, analyse the causes and consequences of accidental events and establish methods to treat the associated risk.

AMC2 SPO.OP.230 Standard operating procedures

TEMPLATE

- (a) Nature and complexity of the activity:
 - (1) The nature of the activity and exposure. The nature of the flight and the risk exposure (e.g. low height) should be described.
 - (2) The complexity of the activity. Detail should be provided on how demanding the activity is with regard to the required piloting skills, the crew composition, the necessary level of experience, the ground support, safety and individual protective equipment that should be provided for persons involved.
 - (3) The operational environment and geographical area. The operational environment and geographical area over which the operation takes place should be described:
 - (i) congested hostile environment: aircraft performance standard, compliance with rules of the air, mitigation of third party risk;
 - (ii) mountain areas: altitude, performance, the use/non-use of oxygen with mitigating procedures;
 - (iii) sea areas: sea state and temperature, risk of ditching, availability of search and rescue, survivability, carriage of safety equipment;
 - (iv) desert areas: carriage of safety equipment, reporting procedures, search and rescue information; and
 - (v) other areas.
 - (4) The application of risk assessment and evaluation. The method of application of (a)(1) to (a)(3) to the particular operation so as to minimise risk should be described. The description should reference the risk assessment and the evaluation on which the procedure is based. The SOPs should:
 - (i) contain elements relevant to the operational risk management performed during flight;
 - (ii) contain limitations, where required, such as weather, altitudes, speeds, power margins, masses, landing site size; and
 - (iii) list functions required to monitor the operation. Special monitoring requirements in addition to the normal functions should be described in the SOPs.
- (b) Aircraft and equipment:

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- (1) The aircraft. The category of aircraft to be used for the activity should be indicated (e.g. helicopter/aeroplane, single/multi-engined, other-than complex motor-powered/complex motor-powered, classic tail rotor/Fenestron/no tail rotor (NOTAR) equipped). In particular, for helicopters, the necessary level of performance certification (Category A/B) should be specified.
- (2) Equipment. All equipment required for the activity should be listed. This includes installed equipment certified in accordance with Part-21 as well as equipment approved in accordance with other officially recognised standards. A large number of activities require, in addition to the standard radio communication equipment, additional air-to-ground communication equipment. This should be listed and the operational procedure should be defined.
- (c) Crew members:
- (1) The crew composition, including the following, should be specified:
- (i) minimum flight crew (according to the appropriate manual); and
 - (ii) additional flight crew.
- (2) In addition, for flight crew members, the following should be specified:
- (i) selection criteria (initial qualification, flight experience, experience of the activity);
 - (ii) initial training (volume and content of the training); and
 - (iii) recent experience requirement and/or recurrent training (volume and content of the training).

The criteria listed in (c)(2)(i) to (c)(2)(iii) should take into account the operational environment and the complexity of the activity and should be detailed in the training programmes.

- (d) Task specialists:
- (1) Whenever a task specialist is required, his/her function on board should be clearly defined. In addition, the following should be specified:
- (i) selection criteria (initial background, experience of the activity);
 - (ii) initial training (volume and content of the training); and
 - (iii) recent experience requirement and/or recurrent training (volume and content of the training).

The criteria listed in (d)(1) should take into account the specialisation of the task specialist and should be detailed in the training programmes.

- (2) There is a large number of activities for which task specialists are required. This chapter should detail the following for such personnel:
- (i) specialisation;
 - (ii) previous experience; and
 - (iii) training or briefing.

Briefing or specific training for task specialists referred to in (d)(2) should be detailed in the training programmes.

- (e) Performance:

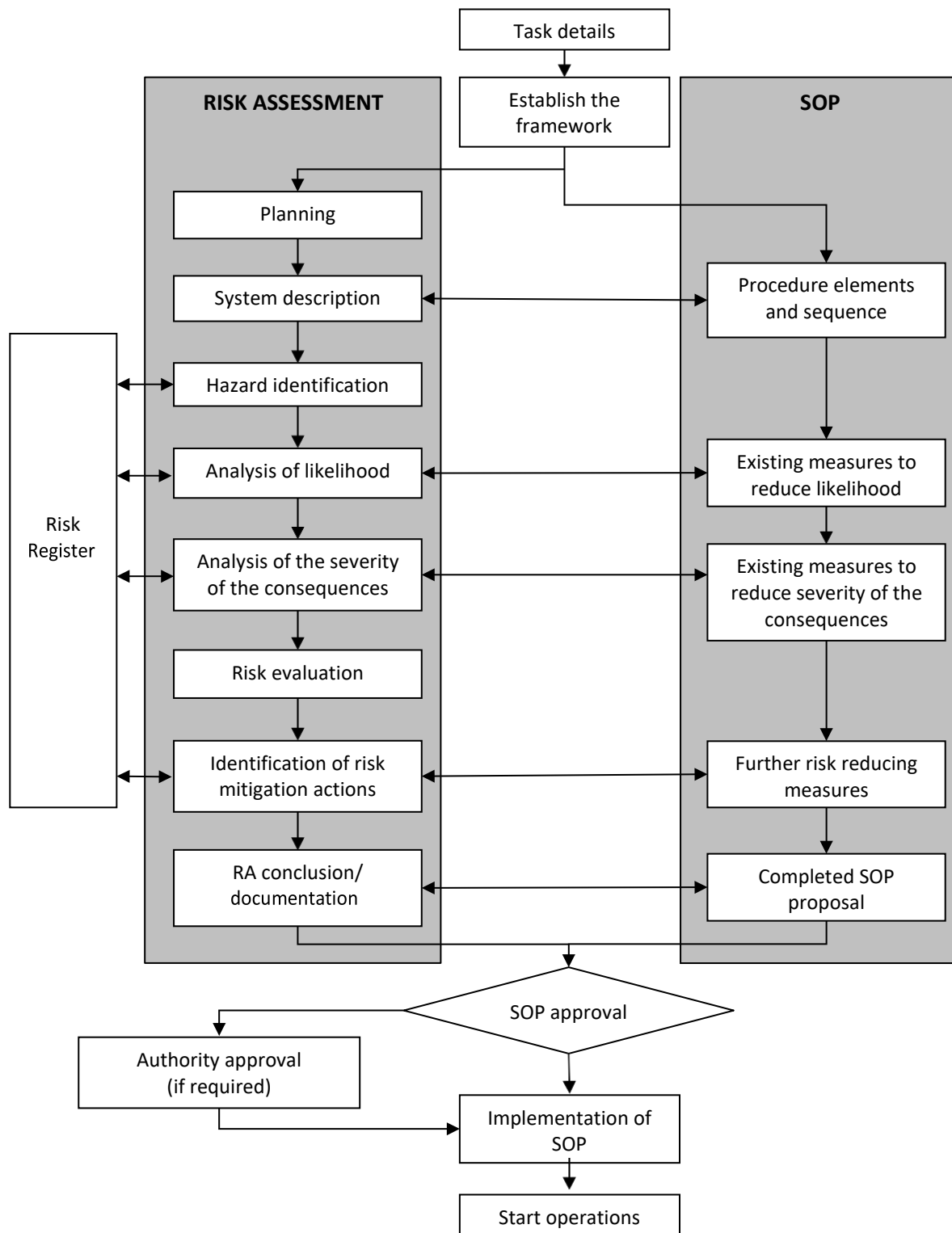
This chapter should detail the specific performance requirements to be applied, in order to ensure an adequate power margin.

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- (f) Normal procedures:
- (1) Operating procedures. The operating procedures to be applied by the flight crew, including the coordination with task specialists.
 - (2) Ground procedures. The procedures to be applied by the task specialists should be described, e.g. loading/unloading, cargo hook operation.
- (g) Emergency procedures:
- (1) Operating procedures. The emergency procedures to be applied by the flight crew, the coordination with the task specialist and coordination between the flight crew and task specialists should be described.
 - (2) Ground procedures. The emergency procedures to be applied by the task specialists (e.g. in the case of a forced landing) should be specified.
- (h) Ground equipment:
- This chapter should detail the nature, number and location of ground equipment required for the activity, such as:
- (1) refuelling facilities, dispenser and storage;
 - (2) firefighting equipment;
 - (3) size of the operating site (landing surface, loading/unloading area); and
 - (4) ground markings.
- (i) Records:
- It should be determined which records specific to the flight(s) are to be kept, such as task details, aircraft registration, pilot-in-command, flight times, weather and any remarks, including a record of occurrences affecting flight safety or the safety of persons or property on the ground.

GM1 SPO.OP.230 Standard operating procedures

TEMPLATE FORMS

Figure 1 — Development of a SOP based on a risk assessment



Template Form A — Risk assessment (RA)

| | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Date: | RA of Responsible: |
| Purpose: | |
| Type of operation and brief description: | |
| Participants, working group: | |
| Preconditions, assumptions and simplifications: | |
| Data used: | |
| Description of the analysis method: | |
| External context: | |
| <ul style="list-style-type: none"> Regulatory requirements Approvals Environmental conditions (visibility, wind, turbulence, contrast, light, elevation, etc. unless evident from the SOPs) Stakeholders and their potential interest | |
| Internal context: | |
| <ul style="list-style-type: none"> Type(s) of aircraft Personnel and qualifications Combination/similarity with other operations/SOPs Other RA used/considered/plugged in | |
| Existing barriers and emergency preparedness: | |
| Monitoring and follow up: | |
| Description of the risk: | |
| Risk evaluation: | |
| Conclusions: | |

Template Form B — Hazard identification (HI)

Date:HI of Responsible:

| Phase of operation | Hazard ref | Hazard | Causes | Existing controls | Controls ref | Comments |
|--------------------|------------|--------|--------|-------------------|--------------|----------|
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Note:

Haz ref: A unique number for hazards, e.g. for use in a database

Controls ref: A unique number for the existing controls

Template Form C — Mitigating measures

Date: RA of Responsible:

| Phase of operation | Haz ref | Consequence | Existing mitigation actions | Mitigation ref | L | S | Further mitigation required |
|--------------------|---------|-------------|-----------------------------|----------------|---|---|-----------------------------|
| | | | | | | | |
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Note:

Haz ref: A unique number for hazards, e.g. for use in a database

Mitigation ref: A unique number for the mitigation actions

L: Likelihood

S: Severity

Template register A — risk register

| Ref | Operation/ Procedure | Ref | Hazard | Ref | Consequences | Mitigation actions | L | S | Monitoring |
|-----|-------------------------|-----|--------|-----|--------------|-----------------------|---|---|------------|
| | | | | | | | | | |
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| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Note:

L: Likelihood

S: Severity

SUBPART C: AIRCRAFT PERFORMANCE AND OPERATING LIMITATIONS

AMC1 SPO.POL.100 Operating Limitations – all aircraft

APPROPRIATE MANUAL

The appropriate manual containing operating limitations may be the AFM or an equivalent document, or the operations manual, if more restrictive.

GM1 SPO.POL.105 Mass and balance

GENERAL — OPERATIONS WITH OTHER-THAN COMPLEX MOTOR-POWERED AIRCRAFT

- (a) New aircraft that have been weighed at the factory may be placed into operation without reweighing if the mass records and balance records have been adjusted for alterations or modifications to the aircraft. Aircraft transferred from one EU operator to another EU operator do not have to be weighed prior to use by the receiving operator unless the mass and balance cannot be accurately established by calculation.
- (b) The mass and the centre of gravity (CG) position of an aircraft should be revised whenever the cumulative changes to the dry operating mass exceed ± 0.5 % of the maximum landing mass or for aeroplanes the cumulative change in CG position exceeds 0.5 % of the mean aerodynamic chord. This may be done by weighing the aircraft or by calculation. If the AFM requires to record changes to mass and CG position below these thresholds, or to record changes in any case, and make them known to the pilot-in-command, mass and CG position should be revised accordingly and made known to the pilot-in-command.

AMC1 SPO.POL.105(b) Mass and balance

WEIGHING OF AN AIRCRAFT — OPERATIONS WITH COMPLEX MOTOR POWERED AIRCRAFT

- (a) New aircraft that have been weighed at the factory may be placed into operation without reweighing if the mass and balance records have been adjusted for alterations or modifications to the aircraft. Aircraft transferred from one EU operator to another EU operator do not have to be weighed prior to use by the receiving operator unless the mass and balance cannot be accurately established by calculation.
- (b) The mass and centre of gravity (CG) position of an aircraft should be revised whenever the cumulative changes to the dry operating mass exceed ± 0.5 % of the maximum landing mass or for aeroplanes the cumulative change in CG position exceeds 0.5 % of the mean aerodynamic chord. This should be done either by weighing the aircraft or by calculation.
- (c) When weighing an aircraft, normal precautions should be taken, which are consistent with good practices such as:
 - (1) checking for completeness of the aircraft and equipment;
 - (2) determining that fluids are properly accounted for;
 - (3) ensuring that the aircraft is clean; and
 - (4) ensuring that weighing is accomplished in an enclosed building.

- (d) Any equipment used for weighing should be properly calibrated, zeroed and used in accordance with the manufacturer's instructions. Each scale should be calibrated either by the manufacturer, by a civil department of weights and measures or by an appropriately authorised organisation within 2 years or within a time period defined by the manufacturer of the weighing equipment, whichever is less. The equipment should enable the mass of the aircraft to be established accurately. One single accuracy criterion for weighing equipment cannot be given. However, the weighing accuracy is considered satisfactory if the accuracy criteria in Table 1 are met by the individual scales/cells of the weighing equipment used:

Table 1: Accuracy criteria for weighing equipment

| For a scale/cell load | An accuracy of |
|----------------------------|----------------|
| below 2 000 kg | ± 1 % |
| from 2 000 kg to 20 000 kg | ± 20 kg |
| above 20 000 kg | ± 0.1 % |

CG LIMITS — OPERATIONAL CG ENVELOPE AND IN-FLIGHT CG

In the Certificate Limitations section of the AFM, forward and aft CG limits are specified. These limits ensure that the certification stability and control criteria are met throughout the whole flight and allow the proper trim setting for take-off. The operator should ensure that these limits are respected by:

- (a) defining and applying operational margins to the certified CG envelope in order to compensate for the following deviations and errors:
- (1) deviations of actual CG at empty or operating mass from published values due, for example, to weighing errors, unaccounted modifications and/or equipment variations.
 - (2) Deviations in fuel distribution in tanks from the applicable schedule.
 - (3) Deviations in the distribution of cargo in the various compartments as compared with the assumed load distribution as well as inaccuracies in the actual mass of cargo.
 - (5) Deviations of the actual CG of cargo load within individual cargo compartments or cabin sections from the normally assumed mid position.
 - (6) Deviations of the CG caused by gear and flap positions and by application of the prescribed fuel usage procedure, unless already covered by the certified limits.
 - (7) Deviations caused by in-flight movement of crew members and task specialist.
- (b) Defining and applying operational procedures in order to:
- (1) take into account any significant CG travel during flight caused by persons movement; and
 - (2) take into account any significant CG travel during flight caused by fuel consumption/transfer.

AMC1 SPO.POL.110(a)(1) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

DRY OPERATING MASS

The dry operating mass should include:

- (a) crew and equipment, and
- (b) removable task specialist equipment, if applicable.

AMC1 SPO.POL.110(a)(2) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

SPECIAL STANDARD MASSES FOR TRAFFIC LOAD

The operator should use standard mass values for other load items. These standard masses should be calculated on the basis of a detailed evaluation of the mass of the items.

GM1 SPO.POL.110(a)(2) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

TRAFFIC LOAD

Traffic load includes task specialists.

AMC1 SPO.POL.110(a)(3) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

FUEL LOAD

The mass of the fuel load should be determined by using its actual relative density or a standard relative density.

GM1 SPO.POL.110(a)(3) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

FUEL DENSITY

- (a) If the actual fuel density is not known, the operator may use standard fuel density values for determining the mass of the fuel load. Such standard values should be based on current fuel density measurements for the airports or areas concerned.
- (b) Typical fuel density values are:

-
- (1) Gasoline (piston engine fuel) – 0.71 ;
 - (2) JET A1 (Jet fuel JP 1) – 0.79 ;
 - (3) JET B (Jet fuel JP 4) – 0.76 ;
 - (4) Oil – 0.88.

AMC1 SPO.POL.110(a)(4) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

LOADING - STRUCTURAL LIMITS

The loading should take into account additional structural limits such as the floor strength limitations, the maximum load per running metre, the maximum mass per cargo compartment, and/or the maximum seating limits as well as in-flight changes in loading.

GM1 SPO.POL.110(b) Mass and balance system – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

GENERAL

The mass and balance computation may be available in flight planning documents or separate systems and may include standard load profiles.

AMC1 SPO.POL.115 Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

GENERAL

- (a) The mass and balance documentation should:
 - (1) enable the pilot-in-command to determine that the load and its distribution are within the mass and balance limits of the aircraft; and
 - (2) include advise to the pilot-in-command whenever a non-standard method has been used for determining the mass of the load.
- (b) The information above may be available in flight planning documents or mass and balance systems.
- (c) Any last minute change should be brought to the attention of the pilot-in-command and entered in the flight planning documents containing the mass and balance information and mass and balance systems.
- (d) Where mass and balance documentation is generated by a computerised mass and balance system, the operator should verify the integrity of the output data at intervals not exceeding six months.
- (e) A copy of the final mass and balance documentation may be sent to aircraft via data link or may be made available to the pilot-in-command by other means for its acceptance.

- (f) The person supervising the loading of the aircraft should confirm by hand signature or equivalent that the load and its distribution are in accordance with the mass and balance documentation given to the pilot in command. The pilot-in-command should indicate his acceptance by hand signature or equivalent.

GM1 SPO.POL.115 Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

SIGNATURE OR EQUIVALENT

Where a signature by hand is impracticable or it is desirable to arrange the equivalent verification by electronic means, as referred to in **AMC1 SPO.POL.115(f)**, the following conditions should be applied in order to make an electronic signature the equivalent of a conventional hand-written signature:

- (a) electronic ‘signing’ by entering a personal identification number (PIN) code with appropriate security, etc.;
- (b) entering the PIN code generates a print-out of the individual’s name and professional capacity on the relevant document(s) in such a way that it is evident, to anyone having a need for that information, who has signed the document;
- (c) the computer system logs information to indicate when and where each PIN code has been entered;
- (d) the use of the PIN code is, from a legal and responsibility point of view, considered to be fully equivalent to signature by hand;
- (e) the requirements for record keeping remain unchanged; and
- (f) all personnel concerned are made aware of the conditions associated with electronic signature and this is documented.

AMC1 SPO.POL.115(b) Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

INTEGRITY

The operator should verify the integrity of mass and balance data and documentation generated by a computerised mass and balance system, at intervals not exceeding six months. The operator should establish a system to check that amendments of its input data are incorporated properly in the system and that the system is operating correctly on a continuous basis.

AMC2 SPO.POL.115(b) Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

MASS AND BALANCE DOCUMENTATION SENT VIA DATA LINK

Whenever the mass and balance documentation is sent to the aircraft via data link, a copy of the final

mass and balance documentation as accepted by the pilot-in-command should be available on the ground.

GM1 SPO.POL.115(b) Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

ON BOARD INTEGRATED MASS AND BALANCE COMPUTER SYSTEM

An on-board integrated mass and balance computer system may be an aircraft installed system capable of receiving input data either from other aircraft systems or from a mass and balance system on ground, in order to generate mass and balance data as an output.

GM2 SPO.POL.115(b) Mass and balance data and documentation – commercial operations with aeroplanes and helicopters and non-commercial operations with complex motor-powered aircraft

STAND-ALONE COMPUTERISED MASS AND BALANCE SYSTEM

A stand-alone computerised mass and balance system may be a computer, either as part of an electronic flight bag (EFB) system or solely dedicated to mass and balance purposes, requiring input from the user, in order to generate mass and balance data as an output.

AMC1 SPO.POL.130(a) Take-off – complex motor-powered aeroplanes

TAKE-OFF MASS

The following should be considered for determining the maximum take-off mass:

- (a) the pressure altitude at the aerodrome;
- (b) the ambient temperature at the aerodrome;
- (c) the runway surface condition and the type of runway surface;
- (d) the runway slope in the direction of take-off;
- (e) not more than 50 % of the reported head-wind component or not less than 150 % of the reported tailwind component; and
- (f) the loss, if any, of runway length due to alignment of the aeroplane prior to take-off.

AMC1 SPO.POL.130(a)(4) Take-off – complex motor-powered aeroplanes

CONTAMINATED RUNWAY PERFORMANCE DATA

Wet and contaminated runway performance data, if made available by the manufacturer, should be taken into account. If such data is not made available, the operator should account for wet and contaminated runway conditions by using the best information available.

GM1 SPO.POL.130(a)(4) Take-off – complex motor-powered aeroplanes

RUNWAY SURFACE CONDITION

Operation on runways contaminated with water, slush, snow or ice implies uncertainties with regard to runway friction and contaminant drag and therefore to the achievable performance and control of the aeroplane during take-off or landing, since the actual conditions may not completely match the assumptions on which the performance information is based. In the case of a contaminated runway, the first option for the pilot-in-command is to wait until the runway is cleared. If this is impracticable, he/she may consider a take-off or landing, provided that he/she has applied the applicable performance adjustments, and any further safety measures he/she considers justified under the prevailing conditions. The excess runway length available including the criticality of the overrun area should also be considered.

AMC1 SPO.POL.130(b)(2) Take-off – complex motor-powered aeroplanes

ADEQUATE MARGIN

The adequate margin should be defined in the operations manual.

GM1 SPO.POL.130(b)(2) Take-off – complex motor-powered aeroplanes

ADEQUATE MARGIN

`An adequate margin` is illustrated by the appropriate examples included in Attachment C to ICAO Annex 6, Part I.

AMC1 SPO.POL.140 Landing – complex motor-powered aeroplanes

GENERAL

The following should be considered to ensure that an aeroplane is able to land and stop, or a seaplane to come to a satisfactorily low speed, within the landing distance available:

- (a) the pressure altitude at the aerodrome;
- (b) the runway surface condition and the type of runway surface;
- (c) the runway slope in the direction of landing;
- (d) not more than 50 % of the reported head-wind component or not less than 150 % of the reported tailwind component;
- (e) use of the most favourable runway, in still air; and
- (f) use of the runway most likely to be assigned considering the probable wind speed and direction and the ground handling characteristics of the aeroplane, and considering other conditions such as landing aids and terrain.

AMC2 SPO.POL.140 Landing – complex motor-powered aeroplanes

ALLOWANCES

Allowances should be stated in the operations manual.

AMC1 SPO.POL.145(a) and (b) Performance and operating criteria – aeroplanes, and AMC1 SPO.POL.146(b)(1) and (2) Performance and operating criteria – helicopters

OPERATIONAL PROCEDURES AND TRAINING PROGRAMME

- (a) The operational procedures should be based on the manufacturer's recommended procedures where they exist.
- (b) The crew member training programme should include briefing, demonstration or practice, as appropriate, of the operational procedures necessary to minimise the consequences of an engine failure.

AMC1 SPO.POL.146(c) Performance and operating criteria – helicopters

MAXIMUM SPECIFIED MASSES

- (a) The operator should establish a procedure to determine maximum specified masses for HIGE and HOGE before each flight or series of flights.
- (b) This procedure should take into account ambient temperature at the aerodrome or operating site, pressure altitude and wind conditions data available.

GM1 SPO.POL.146(c) Performance and operating criteria – helicopters

GENERAL

- (a) Even when the surface allows a hover in ground effect (HIGE), the likelihood of, for example, dust or blowing snow may necessitate hover out of ground effect (HOGE) performance.
- (b) Wind conditions on some sites (particularly in mountainous areas and including downdraft) may require a reduction in the helicopter mass in order to ensure that an out of ground effect hover can be achieved at the operational site in the conditions prevailing.

SUBPART D: INSTRUMENTS, DATA AND EQUIPMENT

SECTION 1 – AEROPLANES

GM1 SPO.IDE.A.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Commission Regulation (EU) No 748/2012¹ for aeroplanes registered in the EU; and
- (b) Airworthiness requirements of the State of registry for aeroplanes registered outside the EU.

GM1 SPO.IDE.A.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **SPO.IDE.A.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 SPO.IDE.A.100(c) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with the applicable airworthiness requirements. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the aeroplane. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by crew members or task specialists; and
 - (3) non-installed task specialist equipment.

¹ Commission Regulation (EU) No 748/2012 of 3 August 2012 laying down implementing rules for the airworthiness and environmental certification of aircraft and related products, parts and appliances, as well as for the certification of design and production organisations, (OJ L 224, 21.8.2012, p. 1).

GM1 SPO.IDE.A.100(d) Instruments and equipment – general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required in an aeroplane operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

GM1 SPO.IDE.A.110 Spare electrical fuses

FUSES

A spare electrical fuse means a replaceable fuse in the flight crew compartment, not an automatic circuit breaker or circuit breakers in the electric compartments.

AMC1 SPO.IDE.A.120 & SPO.IDE.A.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the aeroplane for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, aeroplane attitude and stabilised aeroplane heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC2 SPO.IDE.A.120 Operations under VFR – flight and navigational instruments and associated equipment

LOCAL FLIGHTS

For flights that do not exceed 60 minutes' duration, that take off and land at the same aerodrome, and that remain within 50 NM of that aerodrome, an equivalent means of complying with **SPO.IDE.A.120(b)(1)(i)**, (b)(1)(ii) may be:

- (a) a turn and slip indicator;
- (b) a turn co-ordinator; or
- (c) both an attitude indicator and a slip indicator.

GM1 SPO.IDE.A.120 Operations under VFR – flight and navigational instruments and associated equipment

SLIP INDICATION

Non-complex motor-powered aeroplanes should be equipped with a means of measuring and displaying slip.

AMC1 SPO.IDE.A.120(a)(1) & SPO.IDE.A.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 SPO.IDE.A.120(a)(2) & SPO.IDE.A.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME — COMPLEX MOTOR-POWERED AIRCRAFT

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

MEANS OF MEASURING AND DISPLAYING THE TIME — OTHER-THAN COMPLEX MOTOR-POWERED AIRCRAFT

An acceptable means of measuring and displaying the time in hours, minutes and seconds may be a wrist watch capable of the same functions.

AMC1 SPO.IDE.A.120(a)(3) & SPO.IDE.A.125(a)(3) Operations under VFR operations & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying barometric altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 SPO.IDE.A.120(a)(4) & SPO.IDE.A.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

- (a) The instrument indicating airspeed should be calibrated in knots (kt).
- (b) In the case of aeroplanes with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in kilometres per hour (kph) or in miles per hour (mph) is acceptable when such units are used in the AFM.

AMC1 SPO.IDE.A.120(c) & SPO.IDE.A.125(d) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

AMC1 SPO.IDE.A.120(e) & SPO.IDE.A.125(c) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

GM1 SPO.IDE.A.125 Operations under IFR – flight and navigational instruments and associated equipment

ALTERNATE SOURCE OF STATIC PRESSURE

Aeroplanes should be equipped with an alternate source of static pressure.

GM1 SPO.IDE.A.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for aeroplanes operating above 10 000 ft.

AMC1 SPO.IDE.A.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) In the case of aeroplanes with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in degrees Fahrenheit is acceptable, when such unit is used in the AFM.
- (c) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 SPO.IDE.A.125(e)(2) Operations under IFR – flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement for complex motor-powered aeroplanes is to display a pre-composed chart on an electronic flight bag (EFB).

AMC1 SPO.IDE.A.130 Terrain awareness warning system (TAWS)

EXCESSIVE DOWNWARDS GLIDESLOPE DEVIATION WARNING FOR CLASS A TAWS

The requirement for a Class A TAWS to provide a warning to the flight crew for excessive downwards glideslope deviation should apply to all final approach glideslopes with angular vertical navigation (VNAV) guidance, whether provided by the instrument landing system (ILS), microwave landing system (MLS), satellite-based augmentation system approach procedure with vertical guidance (SBAS APV (localiser performance with vertical guidance approach LPV)), ground-based augmentation system (GBAS (GPS landing system, GLS)) or any other systems providing similar guidance. The same requirement should not apply to systems providing vertical guidance based on barometric VNAV.

GM1 SPO.IDE.A.130 Terrain awareness warning system (TAWS)

ACCEPTABLE STANDARD FOR TAWS

An acceptable standard for Class A and Class B TAWS may be the applicable Technical Standards Order (TSO) issued by the CAA or equivalent.

AMC1 SPO.IDE.A.132 Airborne weather detecting equipment – complex motor-powered aeroplanes

GENERAL

The airborne weather detecting equipment should be an airborne weather radar. However, for propeller-driven pressurised aeroplanes with an MCTOM not more than 5 700 kg and an maximum certified seating configuration of not more than nine, other equipment capable of detecting thunderstorms and other potentially hazardous weather conditions, regarded as detectable with airborne weather radar equipment, are also acceptable.

AMC1 SPO.IDE.A.135 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 SPO.IDE.A.140 Cockpit voice recorder

GENERAL

- (a) The operational performance requirements for cockpit voice recorders (CVRs) should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including Amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.
- (b) The operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.

AMC1 SPO.IDE.A.145 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) The flight data recorder should record, with reference to a timescale, the list of parameters in Table 1 and Table 2, as applicable.
- (c) The parameters to be recorded should meet the performance specifications (designated ranges, sampling intervals, accuracy limits and minimum resolution in read-out) as defined in the relevant tables of EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including Amendments No 1 and No 2, or any later equivalent standard produced by EUROCAE.

Table 1: All Aeroplanes

| No * | Parameter |
|------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude |
| 3 | Indicated airspeed; or calibrated airspeed |
| 4 | Heading (primary flight crew reference) — when true or magnetic heading can be selected, the primary heading reference, a discrete indicating selection, should be recorded |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference. |
| 9 | Engine thrust/power |
| 9a | Parameters required to determine propulsive thrust/power on each engine |
| 9b | Flight crew compartment thrust/power lever position for aeroplanes with no mechanical link between engine and flight crew compartment)) |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (for aeroplanes with control systems in which movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable instead of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings - in addition to the master warning each 'red' warning (including smoke warnings from other compartments) should be recorded when the warning condition cannot be determined from other parameters or from the CVR |
| 25 | Each navigation receiver frequency selection |
| 27 | Air-ground status. Air-ground status and a sensor of each landing gear if installed |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

Table 2: Aeroplanes for which the data source for the parameter is either used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake: |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle, automatic flight control system (AFCS) mode and engagement status |
| 20 | Radio altitude. For autoland/Category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation – the approach aid in use should be recorded. For autoland/Category III operations, each system should be recorded. |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |
| 21c | Integrated approach navigation (IAN)/integrated area navigation (IRNAV), vertical deviation |
| 22 | Horizontal deviation — the approach aid in use should be recorded. For autoland/CAT III operations, each system should be recorded. It is acceptable to arrange them so that at least one is recorded every second). |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | GNSS approach path/IRNAV lateral deviation |
| 26 | Distance measuring equipment (DME) 1 and 2 distances |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/TAWS/ground collision avoidance system (GCAS) status: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |
| 32 | Landing gear: Landing |
| 32a | gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |
| 34 | Brakes: |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in parameter 9 of Table 1 of AMC1 SPO.IDE.A.145 and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N ₁ |
| 35c | Indicated vibration level |
| 35d | N ₂ |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N ₃ |
| 36 | Traffic alert and collision avoidance system (TCAS)/ACAS - a suitable combination of discretes should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |
| 38 | Selected barometric setting |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (All pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height — to be recorded for the aeroplane where the parameter is displayed electronically |
| 46 | Electronic flight instrument system (EFIS) display format: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format |
| 48 | AC electrical bus status — each bus |
| 49 | DC electrical bus status — each bus |
| 50 | Engine bleed valve position |
| 51 | Auxiliary power unit (APU) bleed valve position |
| 52 | Computer failure — (all critical flight and engine control systems) |
| 53 | Engine thrust command |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head-up display in use |
| 58 | Para visual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/DME |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |
| 65 | Engine warning — each engine over speed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel |
| 75b | Control column |
| 75c | Rudder pedal |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

AMC2 SPO.IDE.A.145 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR AEROPLANES FIRST ISSUED WITH AN INDIVIDUAL CoFA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;
 - (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the aeroplane as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — all aeroplanes

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1a | Time; or |
| 1b | Relative time count |
| 1c | Global navigation satellite system (GNSS) time synchronisation |
| 2 | Pressure altitude (including altitude values displayed on each flight crew member's primary flight display) |
| 3 | Indicated airspeed or calibrated airspeed (including values of indicated airspeed or calibrated airspeed displayed on each flight crew member's primary flight display) |
| 4 | Heading (primary flight crew reference) — when true or magnetic heading can be selected, the primary heading reference, a discrete indicating selection should be recorded |
| 5 | Normal acceleration |
| 6 | Pitch attitude — pitch attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 7 | Roll attitude — roll attitude values displayed on each flight crew member's primary flight display should be recorded, unless the aeroplane is type certified before 1 January 2023 and recording the values displayed at the captain position or the first officer position would require extensive modification. |
| 8 | Manual radio transmission keying and CVR/FDR synchronisation reference |
| 9 | Engine thrust/power: |
| 9a | Parameters required to determine propulsive thrust/power on each engine, in both normal and reverse thrust |
| 9b | Flight crew compartment thrust/power lever position (for aeroplanes with non-mechanically linked engine controls in the flight crew compartment) |
| 14 | Total or outside air temperature |
| 16 | Longitudinal acceleration (body axis) |

| No* | Parameter |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 17 | Lateral acceleration |
| 18 | Primary flight control surface and/or primary flight control pilot input (For aeroplanes with control systems in which the movement of a control surface will back drive the pilot's control, 'or' applies. For aeroplanes with control systems in which the movement of a control surface will not back drive the pilot's control, 'and' applies. For multiple or split surfaces, a suitable combination of inputs is acceptable in lieu of recording each surface separately. For aeroplanes that have a flight control break-away capability that allows either pilot to operate the controls independently, record both inputs): |
| 18a | Pitch axis |
| 18b | Roll axis |
| 18c | Yaw axis |
| 19 | Pitch trim surface position |
| 23 | Marker beacon passage |
| 24 | Warnings — in addition to the master warning, each 'red' warning that cannot be determined from other parameters or from the CVR and each smoke warning from other compartments should be recorded. |
| 25 | Each navigation receiver frequency selection |
| 27 | Air-ground status. Air-ground status and a sensor of each landing gear if installed |

* The number in the left-hand column reflects the serial number depicted in EUROCAE Document 112A.

Table 2: FDR — Aeroplanes for which the data source for the parameter is either used by the aeroplane systems or is available on the instrument panel for use by the flight crew to operate the aeroplane

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 10 | Flaps: |
| 10a | Trailing edge flap position |
| 10b | Flight crew compartment control selection |
| 11 | Slats: |
| 11a | Leading edge flap (slat) position |
| 11b | Flight crew compartment control selection |
| 12 | Thrust reverse status |
| 13 | Ground spoiler and speed brake: |
| 13a | Ground spoiler position |
| 13b | Ground spoiler selection |
| 13c | Speed brake position |
| 13d | Speed brake selection |
| 15 | Autopilot, autothrottle and automatic flight control system (AFCS): mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path and speed of the aircraft) |
| 20 | Radio altitude. For auto-land/category III operations, each radio altimeter should be recorded. |
| 21 | Vertical deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 21a | ILS/GPS/GLS glide path |
| 21b | MLS elevation |
| 21c | Integrated approach navigation (IAN)/Integrated Area Navigation (IRNAV), vertical deviation |
| 22 | Horizontal deviation — the approach aid in use should be recorded. For auto-land/category III operations, each system should be recorded: |
| 22a | ILS/GPS/GLS localiser |
| 22b | MLS azimuth |
| 22c | |

| No* | Parameter |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Integrated approach navigation (IAN) /Integrated Area Navigation IRNAV lateral deviation, vertical deviation |
| 26 | Distance measuring equipment (DME) 1 and 2 distances: |
| 26a | Distance to runway threshold (GLS) |
| 26b | Distance to missed approach point (IRNAV/IAN) |
| 28 | Ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS) status — a suitable combination of discretes unless recorder capacity is limited in which case a single discrete for all modes is acceptable: |
| 28a | Selection of terrain display mode, including pop-up display status |
| 28b | Terrain alerts, including cautions and warnings and advisories |
| 28c | On/off switch position |
| 29 | Angle of attack |
| 30 | Low pressure warning (each system): |
| 30a | Hydraulic pressure |
| 30b | Pneumatic pressure |
| 31 | Ground speed |
| 32 | Landing gear: Landing |
| 32a | gear position |
| 32b | Gear selector position |
| 33 | Navigation data: |
| 33a | Drift angle |
| 33b | Wind speed |
| 33c | Wind direction |
| 33d | Latitude |
| 33e | Longitude |
| 33f | GNSS augmentation in use |
| 34 | Brakes: |
| 34a | Left and right brake pressure |
| 34b | Left and right brake pedal position |
| 35 | Additional engine parameters (if not already recorded in Parameter 9 of Table 1, and if the aeroplane is equipped with a suitable data source): |
| 35a | Engine pressure ratio (EPR) |
| 35b | N1 |
| 35c | Indicated vibration level |
| 35d | N2 |
| 35e | Exhaust gas temperature (EGT) |
| 35f | Fuel flow |
| 35g | Fuel cut-off lever position |
| 35h | N3 |
| 35i | Engine fuel metering valve position (or equivalent parameter from the system that directly controls the flow of fuel into the engine) — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 36 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS) — a suitable combination of discretes should be recorded to determine the status of the system: |
| 36a | Combined control |
| 36b | Vertical control |
| 36c | Up advisory |
| 36d | Down advisory |
| 36e | Sensitivity level |
| 37 | Wind shear warning |

| No* | Parameter |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------|
| 38 | Selected barometric setting — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 38a | Pilot selected barometric setting |
| 38b | Co-pilot selected barometric setting |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the aeroplane where the parameter is displayed electronically: |
| 44a | Course/desired track (DSTRK) |
| 44b | Path angle |
| 44c | Coordinates of final approach path (IRNAV/IAN) |
| 45 | Selected decision height — to be recorded for the aeroplane where the parameter is displayed electronically |
| 46 | Electronic flight instrument system (EFIS) display format, showing the display system status: |
| 46a | Pilot |
| 46b | Co-pilot |
| 47 | Multi-function/engine/alerts display format, showing the display system status |
| 48 | Alternating current (AC) electrical bus status — each bus |
| 49 | Direct current (DC) electrical bus status — each bus |
| 50 | Engine bleed valve(s) position |
| 51 | Auxiliary power unit (APU) bleed valve(s) position |
| 52 | Computer failure — all critical flight and engine control systems |
| 53 | Engine thrust command |
| 54 | Engine thrust target |
| 55 | Computed centre of gravity (CG) |
| 56 | Fuel quantity in CG trim tank |
| 57 | Head-up display in use |
| 58 | Paravirtual display on |
| 59 | Operational stall protection, stick shaker and pusher activation |
| 60 | Primary navigation system reference: |
| 60a | GNSS |
| 60b | Inertial navigational system (INS) |
| 60c | VHF omnidirectional radio range (VOR)/distance measuring equipment (DME) |
| 60d | MLS |
| 60e | Loran C |
| 60f | ILS |
| 61 | Ice detection |
| 62 | Engine warning — each engine vibration |
| 63 | Engine warning — each engine over temperature |
| 64 | Engine warning — each engine oil pressure low |

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 65 | Engine warning — each engine overspeed |
| 66 | Yaw trim surface position |
| 67 | Roll trim surface position |
| 68 | Yaw or sideslip angle |
| 69 | De-icing and/or anti-icing systems selection |
| 70 | Hydraulic pressure — each system |
| 71 | Loss of cabin pressure |
| 72 | Trim control input position in the flight crew compartment, pitch — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 73 | Trim control input position in the flight crew compartment, roll — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 74 | Trim control input position in the flight crew compartment, yaw — when mechanical means for control inputs are not available, displayed trim position or trim command should be recorded. |
| 75 | All flight control input forces (for fly-by-wire flight control systems, where control surface position is a function of the displacement of the control input device only, it is not necessary to record this parameter): |
| 75a | Control wheel input forces |
| 75b | Control column input forces |
| 75c | Rudder pedal input forces |
| 76 | Event marker |
| 77 | Date |
| 78 | Actual navigation performance (ANP) or estimate of position error (EPE) or estimate of position uncertainty (EPU) |
| 79 | Cabin pressure altitude — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 80 | Aeroplane computed weight — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81 | Flight director command: |
| 81a | Left flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81b | Left flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81c | Right flight director pitch command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 81d | Right flight director roll command — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |
| 82 | Vertical speed — for aeroplanes type certified before 1 January 2023, to be recorded only if this does not require extensive modification |

* The number in the left-hand column reflects the serial number depicted in EUROCAE Document 112A.

AMC1 SPO.IDE.A.150 Data link recording

GENERAL

- (a) As a means of compliance with **SPO.IDE.A.150(a)** the recorder on which the data link messages are recorded may be:
- (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **SPO.IDE.A.155** is applicable; or

-
- (4) a dedicated flight recorder. In that case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including amendments No°1 and No 2, or any later equivalent standard produced by EUROCAE.
- (b) As a means of compliance with **SPO.IDE.A.150(a)(2)** the operator should enable correlation by providing information that allows an accident investigator to understand what data was provided to the aircraft and, when the provider identification is contained in the message, by which provider.
- (c) The timing information associated with the data link communications messages required to be recorded by **SPO.IDE.A.150(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
- (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the flight crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (d) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.
- (e) The expression ‘taking into account the system’s architecture’, in **SPO.IDE.A.150(a)(3)**, means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
- (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- (f) Data link communications messages that support the applications in Table 1 below should be recorded.
- (g) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems), dated November 1998.

Table 1: Data link recording

| Item No | Application Type | Application Description | Required Recording Content |
|---------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM), respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and controllers on the ground. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. It also includes applications used for the exchange of oceanic clearances (OCL) and departure clearances (DCL), as well as data link delivery of taxi clearances. | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific aeroplanes. This includes for example digital automatic terminal information service (D ATIS), data link operational terminal information service (D OTIS), digital weather information services (data link-meteorological aerodrome or aeronautical report (D-METAR) or terminal weather information for pilots (TWIP)), data link flight information service (D-FIS), and Notice to Airmen (electronic NOTAM) delivery. | C |
| 5 | Broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | Aeronautical operational control (AOC) data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process aeronautical administrative communication (AAC) messages, but there is no requirement to record AAC messages | M* |
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M* F1 |

GM1 SPO.IDE.A.150 Data link recording

GENERAL

(a) The letters and expressions in Table 1 of **AMC1 SPO.IDE.A.150** have the following meaning:

- (1) C: complete contents recorded.
- (2) M: information that enables correlation with any associated records stored separately from the aeroplane.

- (3) *: applications that are to be recorded only as far as is practicable, given the architecture of the system.
- (4) F1: graphics applications may be considered as AOC messages when they are part of a data link communications application service run on an individual basis by the operator itself in the framework of the operational control.
- (5) F2: where parametric data sent by the aeroplane, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.
- (b) The definitions of the applications type in Table 1 of **AMC1 SPO.IDE.A.150** are described in Table 1 below.

Table 1: Definitions of the applications type

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Mainly used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the aeroplane. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D-ATIS | ATIS messages | Refer to EUROCAE ED-89A, dated December 2003: Data Link Application System Document (DLASD) for the 'ATIS' data link service |
| 9 | OCL | OCL messages | Refer to EUROCAE ED-106A, dated March 2004: Data Link Application System Document (DLASD) for 'Oceanic Clearance' (OCL) data link service |
| 10 | DCL | DCL messages | Refer to EUROCAE ED-85A, dated December 2005: Data Link Application System Document (DLASD) for 'Departure Clearance' data link service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the aeroplane. Definition in EUROCAE ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked aircraft parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

| | |
|---------|----------------------------------------------|
| AAC | aeronautical administrative communications |
| ADS-B | automatic dependent surveillance — broadcast |
| ADS-C | automatic dependent surveillance — contract |
| AFN | aircraft flight notification |
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | digital ATIS |
| D-FIS | data link flight information service |
| D-METAR | data link meteorological airport report |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 SPO.IDE.A.150(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- (a) If it is certain that the aeroplane cannot use data link communication messages for ATS communications corresponding to any application designated by **SPO.IDE.A.150(a)(1)**, then the data link recording requirement does not apply.
- (b) Examples where the aeroplane cannot use data link communication messages for ATS communications include but are not limited to the cases where:
 - (1) the aeroplane data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
 - (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the aeroplane; and
 - (3) the aeroplane data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the aeroplane.

AMC1 SPO.IDE.A.155 Flight data and cockpit voice combination recorder

GENERAL

When two flight data and cockpit voice combination recorders are installed, one should be located near the flight crew compartment in order to minimise the risk of data loss due to a failure of the wiring that gathers data to the recorder. The other should be located at the rear section of the aeroplane, in order to minimise the risk of data loss due to recorder damage in the case of a crash.

GM1 SPO.IDE.A.155 Flight data and cockpit voice combination recorder

GENERAL

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and the aural environment required by **SPO.IDE.A.140**; and
 - (2) all parameters and specifications required by **SPO.IDE.A.145**,
with the same specifications required by **SPO.IDE.A.140** and **SPO.IDE.A.145**.
- (b) In addition a flight data and cockpit voice combination recorder may record data link communication messages and related information required by **SPO.IDE.A.150**.

AMC1 SPO.IDE.A.160 Seats, seat safety belts and restraint systems

UPPER TORSO RESTRAINT SYSTEM FOR OTHER-THAN COMPLEX MOTOR-POWERED AEROPLANES

- (a) The following systems are deemed to be compliant with the requirement for an upper torso restraint system:
 - (1) A seat belt with a diagonal shoulder strap;
 - (2) A restraint system having a seat belt and two shoulder straps that may be used independently; and
 - (3) A restraint system having a seat belt, two shoulder straps and additional straps that may be used independently.
- (b) The use of the upper torso restraint independently from the use of the seat belt is intended as an option for the comfort of the occupant of the seat in those phases of flight where only the seat belt is required to be fastened. A restraint system including a seat belt and an upper torso restraint that both remain permanently fastened is also acceptable.

UPPER TORSO RESTRAINT SYSTEM FOR COMPLEX MOTOR-POWERED AEROPLANES

- (a) A restraint system, including a seat belt, two shoulder straps and additional straps is deemed to be compliant with the requirement for restraint systems with two shoulder straps.
- (b) An upper torso restraint system which restrains permanently the torso of the occupant is deemed to be compliant with the requirement for an upper torso restraint system incorporating a device that will automatically restrain the occupant's torso in the event of rapid deceleration.
- (c) The use of the upper torso restraint independently from the use of the seat belt is intended as an option for the comfort of the occupant of the seat in those phases of flight where only the seat belt is required to be fastened. A restraint system including a seat belt and an upper torso restraint that both remain permanently fastened is also acceptable.

SEAT BELT

A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

GM1 SPO.IDE.A.160 Seats, seat safety belts, restraint systems

EMERGENCY LANDING DYNAMIC CONDITIONS

Emergency landing dynamic conditions are defined in 23.562 of CS-23 or equivalent and in 25.562 of CS-25 or equivalent.

AMC1 SPO.IDE.A.165 First-aid kit

CONTENT OF FIRST-AID KITS — OTHER-THAN COMPLEX MOTOR-POWERED AEROPLANES

- (a) First-aid kits (FAKs) should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of passengers, etc.).
- (b) The following should be included in the FAKs:
 - (1) bandages (assorted sizes),
 - (2) burns dressings (large and small),
 - (3) wound dressings (large and small),
 - (4) adhesive dressings (assorted sizes),
 - (5) antiseptic wound cleaner,
 - (6) safety scissors, and
 - (7) disposable gloves.

AMC2 SPO.IDE.A.165 First-aid kit

CONTENT OF FIRST-AID KITS — COMPLEX MOTOR-POWERED AEROPLANES

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of persons on board etc.).
- (b) The following should be included in the FAKs:
 - (1) Equipment:
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;
 - (ix) antiseptic wound cleaner;

- (x) disposable resuscitation aid;
- (xi) disposable gloves;
- (xii) tweezers: splinter; and
- (xiii) thermometers (non-mercury).
- (2) Medications:
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;
 - (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of aeroplanes carrying more than nine persons;
 - (v) anti-diarrhoeal medication, in the case of aeroplanes carrying more than nine persons; and
 - (vi) antihistamine.
- (3) Other:
 - (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook, current edition;
 - (iii) medical incident report form; and
 - (iv) biohazard disposal bags.
- (4) An eye irrigator, although not required to be carried in the FAK, should, where possible, be available for use on the ground.

AMC3 SPO.IDE.A.165 First-aid kit

MAINTENANCE OF FIRST-AID KIT

To be kept up to date, the first-aid kit should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 SPO.IDE.A.170 Supplemental oxygen – pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of oxygen for the routes to be flown, it is assumed that the aeroplane will descend in accordance with the emergency procedures specified in the AFM, without exceeding its operating limitations, to a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance, etc.).

- (b) The amount of oxygen should be determined on the basis of cabin pressure altitude, flight duration and on the assumption that a cabin pressurisation failure will occur at the pressure altitude or point of flight that is most critical from the standpoint of oxygen need.
- (c) Following a cabin pressurisation failure, the cabin pressure altitude should be considered to be the same as the aeroplane pressure altitude unless it can be demonstrated to the CAA that no probable failure of the cabin or pressurisation system will result in a cabin pressure altitude equal to the aeroplane pressure altitude. Under these circumstances, the demonstrated maximum cabin pressure altitude may be used as a basis for determination of oxygen supply.

GM1 SPO.IDE.A.170(c)(2) Supplemental oxygen – pressurised aeroplanes

QUICK DONNING MASKS

A quick donning mask is a type of mask that:

- (a) can be placed on the face from its ready position, properly secured, sealed and supplying oxygen upon demand, with one hand and within 5 seconds and will thereafter remain in position, both hands being free;
- (b) can be donned without disturbing eye glasses and without delaying the flight crew member from proceeding with assigned emergency duties;
- (c) once donned, does not prevent immediate communication between the flight crew members and other crew members over the aircraft intercommunication system; and
- (d) does not inhibit radio communications.

AMC1 SPO.IDE.A.175 Supplemental oxygen – non-pressurised aeroplanes

DETERMINATION OF OXYGEN

- (a) In the determination of oxygen for the routes to be flown, it is assumed that the aeroplane will descend in accordance with the emergency procedures specified in the AFM, without exceeding its operating limitations, to a flight altitude that will allow the flight to be completed safely (i.e. flight altitudes ensuring adequate terrain clearance, navigational accuracy, hazardous weather avoidance etc.).
- (b) The amount of oxygen should be determined on the basis of cabin pressure altitude and flight duration.

AMC1 SPO.IDE.A.180 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the cabin compartments, the need to minimise the hazard of toxic gas concentrations and the location of toilets, galleys, etc. These considerations may result in the number of fire extinguishers being greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires.

Additional hand fire extinguishers may be required for the protection of other compartments accessible to the flight crew or task specialist in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.

- (c) Where only one hand fire extinguisher is required in the cabin compartments, it should be located near the task specialist's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the cabin compartments and their location is not otherwise dictated by consideration of (a), an extinguisher should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.
- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 SPO.IDE.A.185 Marking of break-in points

COLOUR AND CORNERS' MARKING

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 SPO.IDE.A.190 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs or PLBs should be replaced (or recharged, if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:
 - (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged, if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (3) All batteries used in PLBs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (4) The battery useful life (or useful life of charge) criteria in (1), (2) and (3) do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.
- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 SPO.IDE.A.190 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
- (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid search and rescue (SAR) teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC3 SPO.IDE.A.190 Emergency locator transmitter (ELT)

PLB TECHNICAL SPECIFICATIONS

- (a) A personal locator beacon (PLB) should have a built-in GNSS receiver with a cosmicheskaya sistyema poiska aviarynich sudov — search and rescue satellite-aided tracking (COSPAS-SARSAT) type approval number. However, devices with a COSPAS-SARSAT with a number belonging to series 700 are excluded as this series of numbers identifies the special-use beacons not meeting all the technical requirements and all the tests specified by COSPAS-SARSAT.
- (b) Any PLB carried should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC4 SPO.IDE.A.190 Emergency locator transmitter (ELT)

BRIEFING ON PLB USE

When a PLB is carried by a task specialist, he/she should be briefed on its characteristics and use by the pilot-in-command before the flight.

GM1 SPO.IDE.A.190 Emergency locator transmitter (ELT)

TERMINOLOGY

- (a) An ELT is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.
- (b) A PLB is an emergency beacon other than an ELT that broadcasts distinctive signals on designated frequencies, is standalone, portable and is manually activated by the survivors.

GM2 SPO.IDE.A.190 Emergency locator transmitter (ELT)

MAXIMUM CERTIFIED SEATING CONFIGURATION

The maximum certified seating configuration does not include flight crew seats.

AMC1 SPO.IDE.A.195 Flight over water

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket, if not worn, should be accessible from the seat or station of the person for whose use it is provided, with a safety belt or a restraint system fastened.

MEANS OF ILLUMINATION FOR LIFE-JACKETS

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

RISK ASSESSMENT

- (a) When conducting the risk assessment, the pilot-in-command should base his/her decision, as far as is practicable, on the Implementing Rules and AMCs applicable to the operation of the aeroplane.
- (b) The pilot-in-command should, for determining the risk, take the following operating

environment and conditions into account:

- (1) sea state;
- (2) sea and air temperatures;
- (3) the distance from land suitable for making an emergency landing; and
- (4) the availability of search and rescue facilities.

AMC2 SPO.IDE.A.195 Flight over water

LIFE RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS

- (a) The following should be readily available with each life-raft:
- (1) means for maintaining buoyancy;
 - (2) a sea anchor;
 - (3) life-lines and means of attaching one life-raft to another;
 - (4) paddles for life-rafts with a capacity of six or less;
 - (5) means of protecting the occupants from the elements;
 - (6) a water-resistant torch;
 - (7) signalling equipment to make the pyrotechnic distress signals described in ICAO Annex 2, Rules of the Air;
 - (8) 100 g of glucose tablets for each four, or fraction of four, persons that the life-raft is designed to carry;
 - (9) at least 2 litres of drinkable water provided in durable containers or means of making sea water drinkable or a combination of both; and
 - (10) first-aid equipment.
- (b) As far as practicable, items listed in (a) should be contained in a pack.

GM1 SPO.IDE.A.195 Flight over water

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 SPO.IDE.A.200 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
- (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
- (1) a means of melting snow;

- (2) one snow shovel and one ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all persons on board; and
 - (4) one arctic/polar suit for each crew member
- (c) If any item of equipment contained in the above list is already carried on board the aircraft in accordance with another requirement, there is no need for this to be duplicated.

AMC1 SPO.IDE.A.200(a)(2) Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

AMC1 SPO.IDE.A.200(b)(2) Survival equipment

APPLICABLE AIRWORTHINESS STANDARD

The applicable airworthiness standard should be CS-25 or equivalent.

GM1 SPO.IDE.A.200 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 SPO.IDE.A.200 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

GM1 SPO.IDE.A.205 Individual protective equipment

TYPES OF INDIVIDUAL PROTECTIVE EQUIPMENT

Personal protective equipment should include, but is not limited to: flying suits, gloves, helmets, protective shoes, etc.

AMC1 SPO.IDE.A.210 Headset

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the aeroplane's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the flight crew's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the aeroplane.

GM1 SPO.IDE.A.210 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

GM1 SPO.IDE.A.215 Radio communication equipment

APPLICABLE AIRSPACE REQUIREMENTS

For aeroplanes being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

AMC1 SPO.IDE.A.220 Navigation equipment

NAVIGATION WITH VISUAL REFERENCE TO LANDMARKS — OTHER-THAN COMPLEX AEROPLANES

Where other-than complex aeroplanes, with the surface in sight, can proceed according to the ATS flight plan by navigation with visual reference to landmarks, no additional equipment is needed to comply with **SPO.IDE.A.220(a)(1)**.

GM1 SPO.IDE.A.220 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto, and documents directly referenced in the AFM;
 - (2) FCOM or similar document;

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- (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2);
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;

- (v) FAA AC 90-100A;
 - (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10); and
 - (vii) FAA AC 90-100.
 - (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
- (i) RNP 1/RNP 2 continental
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
- (j) RNP APCH — LNAV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.

(k) RNP APCH — LNAV/VNAV minima

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
- (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-04¹, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

(l) RNP APCH — LPV minima

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
- (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.

(m) RNAV 10

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.

(n) RNP 4

- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;

¹ <http://ad.easa.europa.eu/ad/2014-04>

- (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.
- (o) RNP 2 oceanic
 - (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
 - (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
 - (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations:
 - (A) AMC 20-26; and
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
 - (1) In all cases, the limitations in the AFM need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM2 SPO.IDE.A.220 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 SPO.IDE.A.225 Transponder

GENERAL

- (a) The secondary surveillance radar (SSR) transponders of aeroplanes being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 SPO.IDE.A.230 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 SPO.IDE.A.230 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 SPO.IDE.A.230 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 SPO.IDE.A.230 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SECTION 2 – HELICOPTERS

GM1 SPO.IDE.H.100(a) Instruments and equipment – general

APPLICABLE AIRWORTHINESS REQUIREMENTS

The applicable airworthiness requirements for approval of instruments and equipment required by this Part are the following:

- (a) Commission Regulation (EU) No 748/2012 for helicopters registered in the EU; and
- (b) Airworthiness requirements of the state of registry for helicopters registered outside the EU.

GM1 SPO.IDE.H.100(b) Instruments and equipment – general

REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS

The functionality of non-installed instruments and equipment required by this Subpart and that do not need an equipment approval, as listed in **SPO.IDE.H.100(b)**, should be checked against recognised industry standards appropriate to the intended purpose. The operator is responsible for ensuring the maintenance of these instruments and equipment.

GM1 SPO.IDE.H.100(c) Instruments and equipment – general

NOT REQUIRED INSTRUMENTS AND EQUIPMENT THAT DO NOT NEED TO BE APPROVED IN ACCORDANCE WITH THE APPLICABLE AIRWORTHINESS REQUIREMENTS, BUT ARE CARRIED ON A FLIGHT

- (a) The provision of this paragraph does not exempt any installed instrument or item of equipment from complying with the applicable airworthiness requirements. In this case, the installation should be approved as required in the applicable airworthiness requirements and should comply with the applicable Certification Specifications.
- (b) The failure of additional non-installed instruments or equipment not required by this Part or by the applicable airworthiness requirements or any applicable airspace requirements should not adversely affect the airworthiness and/or the safe operation of the helicopter. Examples may be the following:
 - (1) portable electronic flight bag (EFB);
 - (2) portable electronic devices carried by crew members or task specialists; and
 - (3) non-installed task specialists equipment.

GM1 SPO.IDE.H.100(d) Instruments and equipment – general

POSITIONING OF INSTRUMENTS

This requirement implies that whenever a single instrument is required in a helicopter operated in a multi-crew environment, the instrument needs to be visible from each flight crew station.

AMC1 SPO.IDE.H.115 Operating lights

LANDING LIGHT

The landing light should be trainable, at least in the vertical plane, or optionally be an additional fixed light or lights positioned to give a wide spread of illumination.

AMC1 SPO.IDE.H.120 & SPO.IDE.H.125 Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

INTEGRATED INSTRUMENTS

- (a) Individual equipment requirements may be met by combinations of instruments, by integrated flight systems or by a combination of parameters on electronic displays. The information so available to each required pilot should not be less than that required in the applicable operational requirements, and the equivalent safety of the installation should be approved during type certification of the helicopter for the intended type of operation.
- (b) The means of measuring and indicating turn and slip, helicopter attitude and stabilised helicopter heading may be met by combinations of instruments or by integrated flight director systems, provided that the safeguards against total failure, inherent in the three separate instruments, are retained.

AMC1 SPO.IDE.H.120(a)(1) & SPO.IDE.H.125(a)(1) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING MAGNETIC HEADING

The means of measuring and displaying magnetic direction should be a magnetic compass or equivalent.

AMC1 SPO.IDE.H.120(a)(2) & SPO.IDE.H.125(a)(2) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF MEASURING AND DISPLAYING THE TIME — COMPLEX MOTOR-POWERED AIRCRAFT

An acceptable means of compliance is a clock displaying hours, minutes and seconds, with a sweep-second pointer or digital presentation.

MEANS OF MEASURING AND DISPLAYING THE TIME — OTHER-THAN-COMPLEX MOTOR-POWERED AIRCRAFT

An acceptable means of measuring and displaying the time in hours, minutes and seconds may be a wrist watch capable of the same functions.

AMC1 SPO.IDE.H.120(a)(3) & SPO.IDE.H.125(a)(3) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE MEANS OF MEASURING AND DISPLAYING PRESSURE ALTITUDE

The instrument measuring and displaying pressure altitude should be of a sensitive type calibrated in feet (ft), with a sub-scale setting, calibrated in hectopascals/millibars, adjustable for any barometric pressure likely to be set during flight.

AMC1 SPO.IDE.H.120(a)(4) & SPO.IDE.H.125(a)(4) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

CALIBRATION OF THE INSTRUMENT INDICATING AIRSPEED

- (a) The instrument indicating airspeed should be calibrated in knots (kt).
- (b) In the case of helicopters with an MCTOM below 2 000 kg, calibration in kilometres per hour (kph) or in miles per hour (mph) is acceptable when such units are used in the AFM.

AMC1 SPO.IDE.H.120(a)(5) Operations under VFR – flight and navigational instruments and associated equipment

SLIP

For other-than complex helicopters the means of measuring and displaying slip may be a slip string for operations under VFR.

AMC1 SPO.IDE.H.120(d) & SPO.IDE.H.125(c) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MULTI-PILOT OPERATIONS — DUPLICATE INSTRUMENTS

Duplicate instruments include separate displays for each pilot and separate selectors or other associated equipment where appropriate.

AMC1 SPO.IDE.H.120(b)(1)(iii) & SPO.IDE.H.125(a)(8) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

STABILISED HEADING

Stabilised direction should be achieved for VFR flights by a gyroscopic direction indicator, whereas for IFR flights, this should be achieved through a magnetic gyroscopic direction indicator.

AMC1 SPO.IDE.H.120(b)(3) & SPO.IDE.H.125(d) Operations under VFR & operations under IFR – flight and navigational instruments and associated equipment

MEANS OF PREVENTING MALFUNCTION DUE TO CONDENSATION OR ICING

The means of preventing malfunction due to either condensation or icing of the airspeed indicating system should be a heated pitot tube or equivalent.

GM1 SPO.IDE.H.125(a)(3) Operations under IFR – flight and navigational instruments and associated equipment

ALTIMETERS

Altimeters with counter drum-pointer or equivalent presentation are considered to be less susceptible to misinterpretation for helicopters operating above 10 000 ft.

AMC1 SPO.IDE.H.125(a)(9) Operations under IFR – flight and navigational instruments and associated equipment

MEANS OF DISPLAYING OUTSIDE AIR TEMPERATURE

- (a) The means of displaying outside air temperature should be calibrated in degrees Celsius.
- (b) In the case of helicopters with a maximum certified take-off mass (MCTOM) below 2 000 kg, calibration in degrees Fahrenheit is acceptable, when such unit is used in the AFM.
- (c) The means of displaying outside air temperature may be an air temperature indicator that provides indications that are convertible to outside air temperature.

AMC1 SPO.IDE.H.125(f)(2) Operations under IFR – flight and navigational instruments and associated equipment

CHART HOLDER

An acceptable means of compliance with the chart holder requirement would be to display a pre-composed chart on an electronic flight bag (EFB).

AMC1 SPO.IDE.H.132 Airborne weather detecting equipment – complex motor-powered helicopters

GENERAL

The airborne weather detecting equipment should be an airborne weather radar.

AMC1 SPO.IDE.H.135 Flight crew interphone system

TYPE OF FLIGHT CREW INTERPHONE

The flight crew interphone system should not be of a handheld type.

AMC1 SPO.IDE.H.140 Cockpit voice recorder

GENERAL

- (a) The operational performance requirements for cockpit voice recorders (CVRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems March 2003, including Amendments No°1 and 2, or any later equivalent standard produced by EUROCAE.
- (b) The operational performance requirements for equipment dedicated to the CVR should be those laid down in the European Organisation for Civil Aviation Equipment (EUROCAE) Document ED-56A (Minimum Operational Performance Requirements For Cockpit Voice Recorder Systems) dated December 1993, or EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including Amendments n°1 and n°2, or any later equivalent standard produced by EUROCAE.

AMC1 SPO.IDE.H.145 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CofA ON OR AFTER 1 JANUARY 2016 AND BEFORE 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated March 2003, including amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should record, with reference to a timescale, the list of parameters in Table 1 and Table 2, as applicable.
- (c) The parameters recorded by the FDR should meet, as far as practicable, the performance specifications (designated ranges, sampling intervals, accuracy limits and minimum resolution in read-out) defined in EUROCAE ED-112, including amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.
- (d) FDR systems for which some recorded parameters do not meet the performance specifications of EUROCAE Document ED-112 may be acceptable to the CAA.

Table 1: FDR parameters — All helicopters

| No* | Parameter |
|-----|--------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |
| 9 | Power on each engine: |
| 9a | Free power turbine speed (N _F) |
| 9b | Engine torque |
| No* | Parameter |

| | |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9c | Engine gas generator speed (N _G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — Pilot input and/or control output position (if applicable) |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabilator (if applicable) |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure (each system should be recorded.) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — a discrete should be recorded for the master warning, gearbox low oil pressure and as failure. Other 'red' warnings should be recorded where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

Table 2: FDR parameters — Helicopters for which the data source for the parameter is either used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter.

| No* | Parameter |
|-----|---------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |
| 17 | Gear box oil temperature Main |
| 17a | gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded. |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |
| 24 | Horizontal deviation — the approach aid in use should be recorded. |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| | |
| No* | Parameter |

| | |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29 | Navigation data |
| 29a | Drift angle |
| 29b | Wind speed |
| 29c | Wind direction |
| 29d | Latitude |
| 29e | Longitude |
| 29f | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T ₄) |
| 32 | Turbine inlet temperature (TIT/ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) — only necessary when available from cockpit instruments |
| 35 | Ice detection |
| 36 | Helicopter health and usage monitor system (HUMS) |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 41 | Not used (selected Mach) |
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically |
| 46 | EFIS display format |
| 47 | Multi-function/engine/alerts display format |
| 48 | Event marker |

* The number in the left hand column reflects the serial number depicted in EUROCAE ED-112.

AMC2 SPO.IDE.H.145 Flight data recorder

OPERATIONAL PERFORMANCE REQUIREMENTS FOR HELICOPTERS HAVING AN MCTOM OF MORE THAN 3 175 KG AND FIRST ISSUED WITH AN INDIVIDUAL CoFA ON OR AFTER 1 JANUARY 2023

- (a) The operational performance requirements for flight data recorders (FDRs) should be those laid down in EUROCAE Document 112A (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems) dated September 2013, or any later equivalent standard produced by EUROCAE.
- (b) The FDR should, with reference to a timescale, record:
 - (1) the list of parameters in Table 1 below;

- (2) the additional parameters listed in Table 2 below, when the information data source for the parameter is used by helicopter systems or is available on the instrument panel for use by the flight crew to operate the helicopter; and
 - (3) any dedicated parameters related to novel or unique design or operational characteristics of the helicopter as determined by the CAA.
- (c) The parameters to be recorded should meet the performance specifications (range, sampling intervals, accuracy limits and resolution in read-out) as defined in the relevant tables of EUROCAE Document 112A, or any later equivalent standard produced by EUROCAE.

Table 1: FDR — All helicopters

| No* | Parameter |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Time or relative time count |
| 2 | Pressure altitude |
| 3 | Indicated airspeed or calibrated airspeed |
| 4 | Heading |
| 5 | Normal acceleration |
| 6 | Pitch attitude |
| 7 | Roll attitude |
| 8 | Manual radio transmission keying CVR/FDR synchronisation reference |
| 9 | Power on each engine: |
| 9a | Free power turbine speed (N_F) |
| 9b | Engine torque |
| 9c | Engine gas generator speed (N_G) |
| 9d | Flight crew compartment power control position |
| 9e | Other parameters to enable engine power to be determined |
| 10 | Rotor: |
| 10a | Main rotor speed |
| 10b | Rotor brake (if installed) |
| 11 | Primary flight controls — pilot input or control output position if it is possible to derive either the control input or the control movement (one from the other) for all modes of operation and flight regimes. Otherwise, pilot input and control output position |
| 11a | Collective pitch |
| 11b | Longitudinal cyclic pitch |
| 11c | Lateral cyclic pitch |
| 11d | Tail rotor pedal |
| 11e | Controllable stabilator (if applicable) |
| 11f | Hydraulic selection |
| 12 | Hydraulics low pressure (each system should be recorded) |
| 13 | Outside air temperature |
| 18 | Yaw rate or yaw acceleration |
| 20 | Longitudinal acceleration (body axis) |
| 21 | Lateral acceleration |
| 25 | Marker beacon passage |
| 26 | Warnings — including master warning, gearbox low oil pressure and stability augmentation system failure, and other ‘red’ warnings where the warning condition cannot be determined from other parameters or from the cockpit voice recorder. |
| 27 | Each navigation receiver frequency selection |
| 37 | Engine control modes |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

Table 2: Helicopters for which the data source for the parameter is either used by the helicopter systems or is available on

the instrument panel for use by the flight crew to operate the helicopter

| No* | Parameter |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------|
| 14 | AFCS mode and engagement status (showing which systems are engaged and which primary modes are controlling the flight path) |
| 15 | Stability augmentation system engagement (each system should be recorded) |
| 16 | Main gear box oil pressure |
| 17 | Gear box oil temperature: |
| 17a | Main gear box oil temperature |
| 17b | Intermediate gear box oil temperature |
| 17c | Tail rotor gear box oil temperature |
| 19 | Indicated sling load force (if signals readily available) |
| 22 | Radio altitude |
| 23 | Vertical deviation — the approach aid in use should be recorded: |
| 23a | ILS glide path |
| 23b | MLS elevation |
| 23c | GNSS approach path |
| 24 | Horizontal deviation — the approach aid in use should be recorded: |
| 24a | ILS localiser |
| 24b | MLS azimuth |
| 24c | GNSS approach path |
| 28 | DME 1 & 2 distances |
| 29 | Navigation data: |
| 29a | Drift angle |
| 29b | Wind speed |
| 29c | Wind direction |
| 29d | Latitude |
| 29e | Longitude |
| 29f | Ground speed |
| 30 | Landing gear or gear selector position |
| 31 | Engine exhaust gas temperature (T ₄) |
| 32 | Turbine inlet temperature (TIT)/interstage turbine temperature (ITT) |
| 33 | Fuel contents |
| 34 | Altitude rate (vertical speed) — only necessary when available from cockpit instruments |
| 35 | Ice detection |
| 36 | Helicopter health and usage monitor system (HUMS): |
| 36a | Engine data |
| 36b | Chip detector |
| 36c | Track timing |
| 36d | Exceedance discretes |
| 36e | Broadband average engine vibration |
| 38 | Selected barometric setting — to be recorded for helicopters where the parameter is displayed electronically: |
| 38a | Pilot |
| 38b | Co-pilot |
| 39 | Selected altitude (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 40 | Selected speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 41 | Selected Mach (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| No* | Parameter |

| | |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 42 | Selected vertical speed (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 43 | Selected heading (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 44 | Selected flight path (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 45 | Selected decision height (all pilot selectable modes of operation) — to be recorded for the helicopters where the parameter is displayed electronically. |
| 46 | EFIS display format (showing the display system status): |
| 46a | Pilot |
| 46b | First officer |
| 47 | Multi-function/engine/alerts display format (showing the display system status) |
| 48 | Event marker |
| 49 | Status of ground proximity warning system (GPWS)/terrain awareness warning system (TAWS)/ground collision avoidance system (GCAS): |
| 49a | Selection of terrain display mode including pop-up display status — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 49b | Terrain alerts, both cautions and warnings, and advisories — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 49c | On/off switch position — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50 | Traffic alert and collision avoidance system (TCAS)/airborne collision avoidance system (ACAS): |
| 50a | Combined control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50b | |
| 50c | Vertical control — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50d | Up advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50e | Down advisory — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 50e | Sensitivity level — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51 | Primary flight controls — pilot input forces: |
| 51a | Collective pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51b | Longitudinal cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51c | Lateral cyclic pitch — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 51d | Tail rotor pedal — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 52 | Computed centre of gravity — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |
| 53 | Helicopter computed weight — for helicopters type certified before 1 January 2023, to be recorded only if this does not require extensive modification. |

* The number in the left-hand column reflects the serial numbers depicted in EUROCAE Document 112A.

AMC1 SPO.IDE.H.150 Data link recording

GENERAL

- (a) As a means of compliance with **SPO.IDE.H.150**, the recorder on which the data link messages are recorded should be:
 - (1) the CVR;
 - (2) the FDR;
 - (3) a combination recorder when **SPO.IDE.H.155** is applicable; or
 - (4) a dedicated flight recorder. In such case, the operational performance requirements for this recorder should be those laid down in EUROCAE Document ED-112 (Minimum Operational Performance Specification for Crash Protected Airborne Recorder Systems), dated March 2003, including amendments No°1 and No°2, or any later equivalent standard produced by EUROCAE.
- (b) As a means of compliance with **SPO.IDE.H.150(a)(2)**, the operator should enable correlation by providing information that allows an accident investigator to understand what data was provided to the aircraft and, when the provider identification is contained in the message, by which provider.
- (c) The timing information associated with the data link communications messages required to be recorded by **SPO.IDE.H.150(a)(3)** should be capable of being determined from the airborne-based recordings. This timing information should include at least the following:
 - (1) the time each message was generated;
 - (2) the time any message was available to be displayed by the flight crew;
 - (3) the time each message was actually displayed or recalled from a queue; and
 - (4) the time of each status change.
- (d) The message priority should be recorded when it is defined by the protocol of the data link communication message being recorded.
- (e) The expression 'taking into account the system's architecture', in **SPO.IDE.H.150(a)(3)**, means that the recording of the specified information may be omitted if the existing source systems involved would require a major upgrade. The following should be considered:
 - (1) the extent of the modification required;
 - (2) the down-time period; and
 - (3) equipment software development.
- (f) Data link communications messages that support the applications in Table 1 should be recorded.
- (g) Further details on the recording requirements can be found in the recording requirement matrix in Appendix D.2 of EUROCAE Document ED-93 (Minimum Aviation System Performance Specification for CNS/ATM Recorder Systems), dated November 1998.

Table 1: Data link recording

| Item No | Application Type | Application Description | Required Recording Content |
|---------|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| 1 | Data link initiation | This includes any application used to log on to, or initiate, a data link service. In future air navigation system (FANS)-1/A and air traffic navigation (ATN), these are ATS facilities notification (AFN) and context management (CM), respectively. | C |
| 2 | Controller/pilot communication | This includes any application used to exchange requests, clearances, instructions and reports between the flight crew and controllers on the ground. In FANS-1/A and ATN, this includes the controller pilot data link communications (CPDLC) application. It also includes applications used for the exchange of oceanic clearances (OCL) and departure clearances (DCL), as well as data link delivery of taxi clearances. | C |
| 3 | Addressed surveillance | This includes any surveillance application in which the ground sets up contracts for delivery of surveillance data. In FANS-1/A and ATN, this includes the automatic dependent surveillance-contract (ADS-C) application. | C, F2 |
| 4 | Flight information | This includes any application used for delivery of flight information data to specific aeroplanes. This includes for example data link-automatic terminal information service (D-ATIS), data link-operational terminal information service (D-OTIS), digital weather information services (D-METAR or TWIP), data link-flight information service (D-FIS) and Notice to Airmen (electronic NOTAM) delivery. | C |
| 5 | Broadcast surveillance | This includes elementary and enhanced surveillance systems, as well as automatic dependent surveillance-broadcast (ADS-B) output data. | M*, F2 |
| 6 | AOC data | This includes any application transmitting or receiving data used for AOC purposes (in accordance with the ICAO definition of AOC). Such systems may also process AAC messages, but there is no requirement to record AAC messages | M* |
| 7 | Graphics | This includes any application receiving graphical data to be used for operational purposes (i.e. excluding applications that are receiving such things as updates to manuals). | M* F1 |

GM1 SPO.IDE.H.150 Data link recording

GENERAL

- (a) The letters and expressions in Table 1 of **AMC1 SPO.IDE.H.150** have the following meaning:
- (1) C: complete contents recorded.
 - (2) M: information that enables correlation with any associated records stored separately from the helicopter.
 - (3) *: applications that are to be recorded only as far as is practicable, given the architecture of the system.
 - (4) F1: graphics applications may be considered as AOC messages when they are part of a data link communications application service run on an individual basis by the

operator itself in the framework of the operational control.

- (5) F2: where parametric data sent by the helicopter, such as Mode S, is reported within the message, it should be recorded unless data from the same source is recorded on the FDR.
- (b) The definitions of the applications type in Table 1 of **AMC1 SPO.IDE.H.150** are described in Table 1 below.

Table 1: Definitions of the applications type

| Item No | Application Type | Messages | Comments |
|---------|------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | CM | | CM is an ATN service |
| 2 | AFN | | AFN is a FANS 1/A service |
| 3 | CPDLC | | All implemented up and downlink messages to be recorded |
| 4 | ADS-C | ADS-C reports | All contract requests and reports recorded |
| | | Position reports | Only used within FANS 1/A. Mainly used in oceanic and remote areas. |
| 5 | ADS-B | Surveillance data | Information that enables correlation with any associated records stored separately from the helicopter. |
| 6 | D-FIS | | D-FIS is an ATN service. All implemented up and downlink messages to be recorded |
| 7 | TWIP | TWIP messages | Terminal weather information for pilots |
| 8 | D ATIS | ATIS messages | Refer to EUROCAE ED-89A, dated December 2003: Data Link Application System Document (DLASD) for the 'ATIS' data link service |
| 9 | OCL | OCL messages | Refer to EUROCAE ED-106A, dated March 2004: Data Link Application System Document (DLASD) for 'Oceanic Clearance' (OCL) data link service |
| 10 | DCL | DCL messages | Refer to EUROCAE ED-85A, dated March 2003: Data Link Application System Document (DLASD) for 'Departure Clearance' data link service |
| 11 | Graphics | Weather maps & other graphics | Graphics exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the helicopter. |
| 12 | AOC | Aeronautical operational control messages | Messages exchanged in the framework of procedures within the operational control, as specified in Part-ORO. Information that enables correlation with any associated records stored separately from the helicopter. Definition in EUROCAE ED-112, dated March 2003. |
| 13 | Surveillance | Downlinked Aircraft Parameters (DAP) | As defined in ICAO Annex 10 Volume IV (Surveillance systems and ACAS). |

| | |
|-------|----------------------------------------------|
| AAC | aeronautical administrative communications |
| ADS-B | automatic dependent surveillance — broadcast |
| ADS-C | automatic dependent surveillance — contract |
| AFN | aircraft flight notification |
| AOC | aeronautical operational control |
| ATIS | automatic terminal information service |
| ATSC | air traffic service communication |
| CAP | controller access parameters |

| | |
|---------|-------------------------------------------|
| CPDLC | controller pilot data link communications |
| CM | configuration/context management |
| D-ATIS | digital ATIS |
| D-FIS | data link flight information service |
| D-METAR | data link meteorological airport report |
| DCL | departure clearance |
| FANS | Future Air Navigation System |
| FLIPCY | flight plan consistency |
| OCL | oceanic clearance |
| SAP | system access parameters |
| TWIP | terminal weather information for pilots |

GM1 SPO.IDE.H.150(a) Data link recording

APPLICABILITY OF THE DATA LINK RECORDING REQUIREMENT

- (a) If it is certain that the helicopter cannot use data link communication messages for ATS communications corresponding to any application designated by **SPO.IDE.H.150(a)(1)**, then the data link recording requirement does not apply.
- (b) Examples where the helicopter cannot use data link communication messages for ATS communications include but are not limited to the cases where:
 - (1) the helicopter data link communication capability is disabled permanently and in a way that it cannot be enabled again during the flight;
 - (2) data link communications are not used to support air traffic service (ATS) in the area of operation of the helicopter; and
 - (3) the helicopter data link communication equipment cannot communicate with the equipment used by ATS in the area of operation of the helicopter.

GM1 SPO.IDE.H.155 Flight data and cockpit voice combination recorder

COMBINATION RECORDERS

- (a) A flight data and cockpit voice combination recorder is a flight recorder that records:
 - (1) all voice communications and the aural environment required by **SPO.IDE.H.140**; and
 - (2) all parameters and specifications required by **SPO.IDE.H.145**,
with the same specifications required by **SPO.IDE.H.140** and **SPO.IDE.H.145**.
- (b) In addition, a flight data and cockpit voice combination recorder may record data link communication messages and related information required by **SPO.IDE.H.150**.

AMC2 SPO.IDE.H.160 Seats, seat safety belts and restraint systems

UPPER TORSO RESTRAINT SYSTEM

The following systems are deemed to be compliant with the requirement for an upper torso restraint system:

- (a) For other-than complex helicopters, a seat belt with a diagonal shoulder strap;
- (b) For all helicopters, a restraint system having a seat belt and two shoulder straps that may be

used independently.

- (c) For all helicopters, a restraint system having a seat belt, two shoulder straps and additional straps that may be used independently.

SEAT BELT

A seat belt with a diagonal shoulder strap (three anchorage points) is deemed to be compliant with the requirement for a seat belt (two anchorage points).

AMC1 SPO.IDE.H.165 First-aid kit

CONTENT OF FIRST-AID KITS — OTHER-THAN COMPLEX MOTOR-POWERED HELICOPTERS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of persons on board, etc.).
- (b) The following should be included in the FAKs:
- (1) bandages (assorted sizes),
 - (2) burns dressings (large and small),
 - (3) wound dressings (large and small),
 - (4) adhesive dressings (assorted sizes),
 - (5) antiseptic wound cleaner,
 - (6) safety scissors, and
 - (7) disposable gloves.

AMC2 SPO.IDE.H.165 First-aid kit

CONTENT OF FIRST-AID KIT — COMPLEX MOTOR-POWERED HELICOPTERS

- (a) First-aid kits should be equipped with appropriate and sufficient medications and instrumentation. However, these kits should be amended by the operator according to the characteristics of the operation (scope of operation, flight duration, number and demographics of persons on board etc.).
- (b) The following should be included in the FAKs:
- (1) Equipment:
 - (i) bandages (assorted sizes);
 - (ii) burns dressings (unspecified);
 - (iii) wound dressings (large and small);
 - (iv) adhesive dressings (assorted sizes);
 - (v) adhesive tape;
 - (vi) adhesive wound closures;
 - (vii) safety pins;
 - (viii) safety scissors;

-
- (ix) antiseptic wound cleaner;
 - (x) disposable resuscitation aid;
 - (xi) disposable gloves;
 - (xii) tweezers: splinter; and
 - (xiii) thermometers (non-mercury).
 - (2) Medications:
 - (i) simple analgesic (may include liquid form);
 - (ii) antiemetic;
 - (iii) nasal decongestant;
 - (iv) gastrointestinal antacid, in the case of helicopters carrying more than nine persons;
 - (v) anti-diarrhoeal medication in the case of helicopters carrying more than nine persons; and
 - (vi) antihistamine.
 - (3) Other:
 - (i) a list of contents in at least two languages (English and one other). This should include information on the effects and side effects of medications carried;
 - (ii) first-aid handbook;
 - (iii) medical incident report form; and
 - (iv) biohazard disposal bags.
 - (4) An eye irrigator, although not required to be carried in the FAK, should, where possible, be available for use on the ground.

AMC3 SPO.IDE.H.165 First-aid kit

MAINTENANCE OF FIRST-AID KIT

To be kept up to date, the first-aid kit should be:

- (a) inspected periodically to confirm, to the extent possible, that contents are maintained in the condition necessary for their intended use;
- (b) replenished at regular intervals, in accordance with instructions contained on their labels, or as circumstances warrant; and
- (c) replenished after use in-flight at the first opportunity where replacement items are available.

AMC1 SPO.IDE.H.175 Supplemental oxygen – non-pressurised helicopters

DETERMINATION OF OXYGEN

The amount of oxygen should be determined on the basis of cabin pressure altitude and flight duration, consistent with the operating procedures, including emergency, procedures, established for each operation and the routes to be flown as specified in the AFM.

AMC1 SPO.IDE.H.180 Hand fire extinguishers

NUMBER, LOCATION AND TYPE

- (a) The number and location of hand fire extinguishers should be such as to provide adequate availability for use, account being taken of the number and size of the cabin compartments, the need to minimise the hazard of toxic gas concentrations and the location of toilets, galleys, etc. These considerations may result in the number of fire extinguishers being greater than the minimum required.
- (b) There should be at least one hand fire extinguisher installed in the flight crew compartment and this should be suitable for fighting both flammable fluid and electrical equipment fires. Additional hand fire extinguishers may be required for the protection of other compartments accessible to the flight crew or task specialist in flight. Dry chemical fire extinguishers should not be used in the flight crew compartment, or in any compartment not separated by a partition from the flight crew compartment, because of the adverse effect on vision during discharge and, if conductive, interference with electrical contacts by the chemical residues.
- (c) Where only one hand fire extinguisher is required in the cabin compartments, it should be located near the task specialist's station, where provided.
- (d) Where two or more hand fire extinguishers are required in the cabin compartments and their location is not otherwise dictated by consideration of (a), an extinguisher should be located near each end of the cabin with the remainder distributed throughout the cabin as evenly as is practicable.
- (e) Unless an extinguisher is clearly visible, its location should be indicated by a placard or sign. Appropriate symbols may also be used to supplement such a placard or sign.

AMC1 SPO.IDE.H.185 Marking of break-in points

COLOUR AND CORNERS' MARKING

- (a) The colour of the markings should be red or yellow and, if necessary, should be outlined in white to contrast with the background.
- (b) If the corner markings are more than 2 m apart, intermediate lines 9 cm x 3 cm should be inserted so that there is no more than 2 m between adjacent markings.

AMC1 SPO.IDE.H.190 Emergency locator transmitter (ELT)

BATTERIES

- (a) All batteries used in ELTs or PLBs should be replaced (or recharged if the battery is rechargeable) when the equipment has been in use for more than 1 cumulative hour or in the following cases:
 - (1) Batteries specifically designed for use in ELTs and having an airworthiness release certificate (CAA Form 1 or equivalent) should be replaced (or recharged, if the battery is rechargeable) before the end of their useful life in accordance with the maintenance instructions applicable to the ELT.
 - (2) Standard batteries manufactured in accordance with an industry standard and not having an airworthiness release certificate (CAA Form 1 or equivalent), when used in ELTs should be replaced (or recharged if the battery is rechargeable) when 50 % of their useful life (or for rechargeable, 50 % of their useful life of charge), as established by the battery manufacturer, has expired.

- (3) All batteries used in PLBs should be replaced (or recharged, if the battery is rechargeable) when 50 % of their useful life (or for rechargeable 50 % of their useful life of charge), as established by the battery manufacturer, has expired.
 - (4) The battery useful life (or useful life of charge) criteria in (1), (2) and (3) do not apply to batteries (such as water-activated batteries) that are essentially unaffected during probable storage intervals.
- (b) The new expiry date for a replaced (or recharged) battery should be legibly marked on the outside of the equipment.

AMC2 SPO.IDE.H.190 Emergency locator transmitter (ELT)

TYPES OF ELT AND GENERAL TECHNICAL SPECIFICATIONS

- (a) The ELT required by this provision should be one of the following:
- (1) Automatic fixed (ELT(AF)). An automatically activated ELT that is permanently attached to an aircraft and is designed to aid SAR teams in locating the crash site.
 - (2) Automatic portable (ELT(AP)). An automatically activated ELT that is rigidly attached to an aircraft before a crash, but is readily removable from the aircraft after a crash. It functions as an ELT during the crash sequence. If the ELT does not employ an integral antenna, the aircraft-mounted antenna may be disconnected and an auxiliary antenna (stored on the ELT case) attached to the ELT. The ELT can be tethered to a survivor or a life-raft. This type of ELT is intended to aid SAR teams in locating the crash site or survivor(s).
 - (3) Automatic deployable (ELT(AD)). An ELT that is rigidly attached to the aircraft before the crash and that is automatically ejected, deployed and activated by an impact, and, in some cases, also by hydrostatic sensors. Manual deployment is also provided. This type of ELT should float in water and is intended to aid SAR teams in locating the crash site.
 - (4) Survival ELT (ELT(S)). An ELT that is removable from an aircraft, stowed so as to facilitate its ready use in an emergency, and manually activated by a survivor. An ELT(S) may be activated manually or automatically (e.g. by water activation). It should be designed to be tethered to a life-raft or a survivor. A water-activated ELT(S) is not an ELT(AP).
- (b) To minimise the possibility of damage in the event of crash impact, the automatic ELT should be rigidly fixed to the aircraft structure, as far aft as is practicable, with its antenna and connections arranged so as to maximise the probability of the signal being transmitted after a crash.
- (c) Any ELT carried should operate in accordance with the relevant provisions of ICAO Annex 10, Volume III and should be registered with the national agency responsible for initiating search and rescue or other nominated agency.

AMC3 SPO.IDE.H.190 Emergency locator transmitter (ELT)

PLB TECHNICAL SPECIFICATIONS

- (a) A personal locator beacon (PLB) should have a built-in GNSS receiver with a cosmicheskaya sistyema poiska avariynich sudov — search and rescue satellite-aided tracking (COSPAS-SARSAT) type approval number. However, devices with a COSPAS-SARSAT with a number belonging to series 700 are excluded as this series of numbers identifies the special-use beacons not meeting all the technical requirements and all the tests specified by COSPAS-SARSAT.
- (b) Any PLB carried should be registered with the national agency responsible for initiating search

and rescue or other nominated agency.

AMC4 SPO.IDE.H.190 Emergency locator transmitter (ELT)

BRIEFING ON PLB USE

When a PLB is carried by a task specialist, he/she should be briefed on its characteristics and use by the pilot-in-command before the flight.

GM1 SPO.IDE.H.190 Emergency locator transmitter (ELT)

TERMINOLOGY

- (a) An ELT is a generic term describing equipment that broadcasts distinctive signals on designated frequencies and, depending on application, may be activated by impact or may be manually activated.
- (b) A PLB is an emergency beacon other than an ELT that broadcasts distinctive signals on designated frequencies, is standalone, portable and is manually activated by the survivors.

GM2 SPO.IDE.H.190 Emergency locator transmitter (ELT)

MAXIMUM CERTIFIED SEATING CONFIGURATION

The maximum certified seating configuration does not include flight crew seats.

AMC1 SPO.IDE.H.195 Flight over water – other-than complex motor-powered helicopters

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket, if not worn, should be accessible from the seat or station of the person for whose use it is provided, with a safety belt or a restraint system fastened.

MEANS OF ILLUMINATION FOR LIFE-JACKETS

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

RISK ASSESSMENT

- (a) When conducting the risk assessment, the pilot-in-command should base his/her decision, as far as is practicable, on the Implementing Rules and AMCs applicable to the operation of the helicopter.
- (b) The pilot-in-command should, for determining the risk, take the following operating environment and conditions into account:
 - (1) sea state;
 - (2) sea and air temperatures;
 - (3) the distance from land suitable for making an emergency landing; and
 - (4) the availability of search and rescue facilities.

GM1 SPO.IDE.H.195 Flight over water – other-than complex motor-

powered helicopters

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

AMC1 SPO.IDE.H.197 Life-jackets – complex motor-powered helicopters

ACCESSIBILITY OF LIFE-JACKETS

The life-jacket, if not worn, should be accessible from the seat or station of the person for whose use it is provided, with a safety belt or a restraint system fastened.

MEANS OF ILLUMINATION FOR LIFE-JACKETS

The means of electric illumination should be a survivor locator light as defined in the applicable TSO issued by the CAA or equivalent.

GM1 SPO.IDE.H.197 Life-jackets – complex motor-powered helicopters

SEAT CUSHIONS

Seat cushions are not considered to be flotation devices.

GM1 SPO.IDE.H.198 Survival suits – complex motor-powered helicopters

ESTIMATING SURVIVAL TIME

(a) Introduction

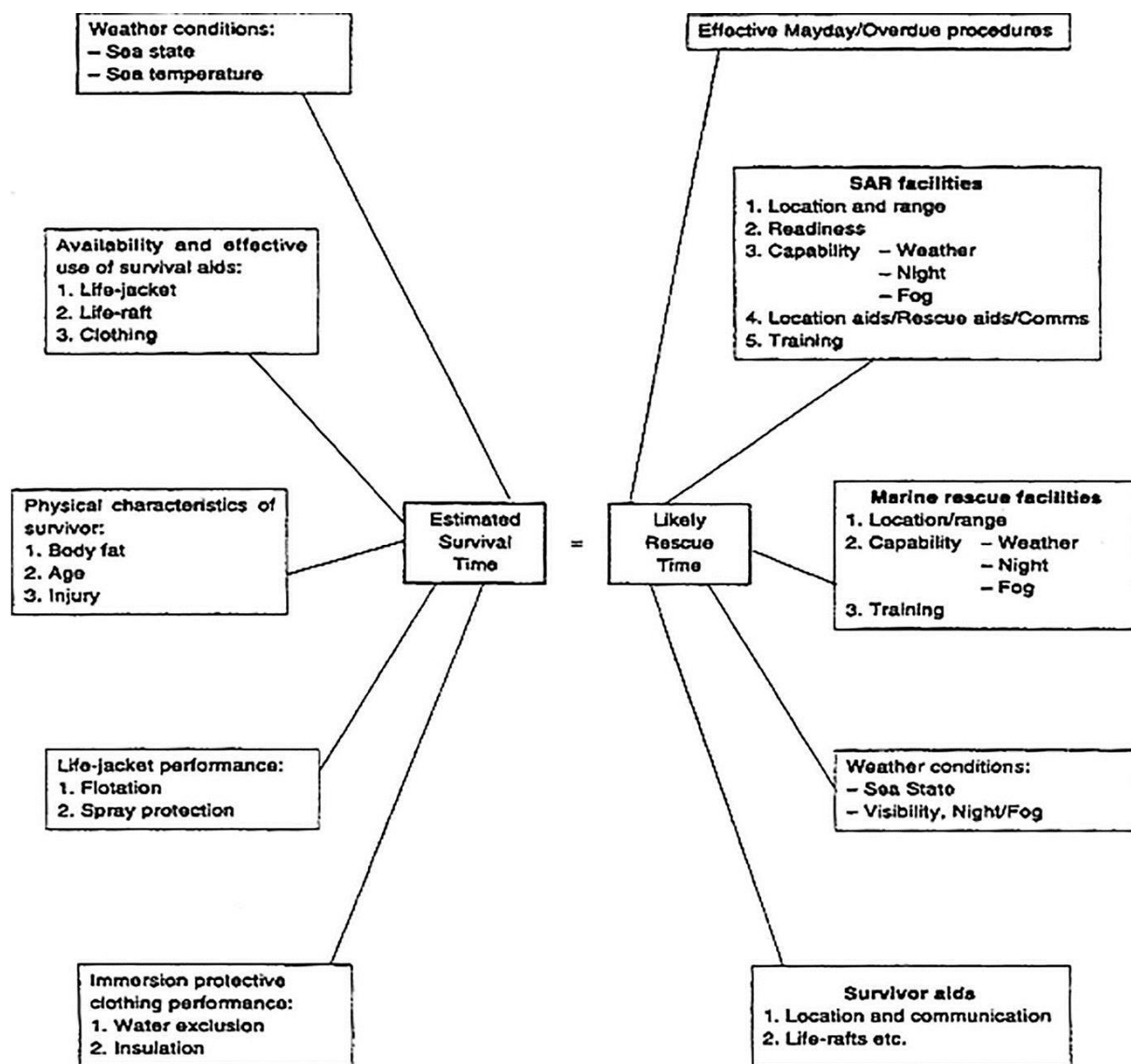
- (1) A person accidentally immersed in cold seas (typically offshore Northern Europe) will have a better chance of survival if he/she is wearing an effective survival suit in addition to a life-jacket. By wearing the survival suit, he/she can slow down the rate which his/her body temperature falls and, consequently, protect himself/herself from the greater risk of drowning brought about by incapacitation due to hypothermia.
- (2) The complete survival suit system – suit, life-jacket and clothes worn under the suit – should be able to keep the wearer alive long enough for the rescue services to find and recover him/her. In practice the limit is about 3 hours. If a group of persons in the water cannot be rescued within this time they are likely to have become so scattered and separated that location will be extremely difficult, especially in the rough water typical of Northern European sea areas. If it is expected that in water protection could be required

for periods greater than 3 hours, improvements should, rather, be sought in the search and rescue procedures than in the immersion suit protection.

(b) Survival times

- (1) The aim should be to ensure that a person in the water can survive long enough to be rescued, i.e. the survival time should be greater than the likely rescue time. The factors affecting both times are shown in Figure 1. The figure emphasises that survival time is influenced by many factors, physical and human. Some of the factors are relevant to survival in cold water and some are relevant in water at any temperature.

Figure 1: The survival equation



- (2) Broad estimates of likely survival times for the thin individual offshore are given in Table 1 below. As survival time is significantly affected by the prevailing weather conditions at the time of immersion, the Beaufort wind scale has been used as an indicator of these surface conditions.

Table 1: Timescale within which the most vulnerable individuals are likely to succumb to the prevailing conditions.

| Clothing assembly | Beaufort wind force | Times within which the most vulnerable individuals are likely to drown | |
|---------------------------------------------------------------------|---------------------|------------------------------------------------------------------------|--------------------------------|
| | | (water temp 5°C) | (water temp 13°C) |
| Working clothes (no immersion suit) | 0 – 2 | Within ¾ hour | Within 1 ¼ hours |
| | 3 – 4 | Within ½ hour | Within ½ hour |
| | 5 and above | Significantly less than ½ hour | Significantly less than ½ hour |
| Immersion suit worn over working clothes (with leakage inside suit) | 0 – 2 | May well exceed 3 hours | May well exceed 3 hours |
| | 3 – 4 | Within 2 ¾ hours | May well exceed 3 hours |
| | 5 and above | Significantly less than 2 ¾ hours. May well exceed 1 hour | May well exceed 3 hours |

- (3) Consideration should also be given to escaping from the helicopter itself should it submerge or invert in the water. In this case escape time is limited to the length of time the occupants can hold their breath. The breath holding time can be greatly reduced by the effect of cold shock. Cold shock is caused by the sudden drop in skin temperature on immersion, and is characterised by a gasp reflex and uncontrolled breathing. The urge to breath rapidly becomes overwhelming and, if still submerged, the individual will inhale water resulting in drowning. Delaying the onset of cold shock by wearing an immersion suit will extend the available escape time from a submerged helicopter.
- (4) The effects of water leakage and hydrostatic compression on the insulation quality of clothing are well recognised. In a nominally dry system the insulation is provided by still air trapped within the clothing fibres and between the layers of suit and clothes. It has been observed that many systems lose some of their insulating capacity either because the clothes under the 'waterproof' survival suit get wet to some extent or because of hydrostatic compression of the whole assembly. As a result of water leakage and compression, survival times will be shortened. The wearing of warm clothing under the suit is recommended.
- (5) Whatever type of survival suit and other clothing is provided, it should not be forgotten that significant heat loss can occur from the head.

AMC1 SPO.IDE.H.199 Life-rafts, survival ELTs and survival equipment on extended overwater flights – complex motor-powered helicopters

LIFE-RAFTS AND EQUIPMENT FOR MAKING DISTRESS SIGNALS

- (a) Each required life-raft should conform to the following specifications:
 - (1) be of an approved design and stowed so as to facilitate their ready use in an emergency;
 - (2) be radar conspicuous to standard airborne radar equipment;
 - (3) when carrying more than one life-raft on board, at least 50 % of the rafts should be able to be deployed by the crew while seated at their normal station, where necessary by remote control; and
 - (4) life-rafts that are not deployable by remote control or by the crew should be of such weight as to permit handling by one person. 40 kg should be considered a maximum weight.
- (b) Each required life-raft should contain at least the following:

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- (1) one approved survivor locator light;
 - (2) one approved visual signalling device;
 - (3) one canopy (for use as a sail, sunshade or rain catcher) or other mean to protect occupants from the elements;
 - (4) one radar reflector;
 - (5) one 20 m retaining line designed to hold the life-raft near the helicopter but to release it if the helicopter becomes totally submerged;
 - (6) one sea anchor; and
 - (7) one survival kit, appropriately equipped for the route to be flown, which should contain at least the following:
 - (i) one life-raft repair kit;
 - (ii) one bailing bucket;
 - (iii) one signalling mirror;
 - (iv) one police whistle;
 - (v) one buoyant raft knife;
 - (vi) one supplementary means of inflation;
 - (vii) sea sickness tablets;
 - (viii) one first-aid kit;
 - (ix) one portable means of illumination;
 - (x) 500 ml of pure water and one sea water desalting kit; and
 - (xi) one comprehensive illustrated survival booklet in an appropriate language.

AMC1 SPO.IDE.H.200 Survival equipment

ADDITIONAL SURVIVAL EQUIPMENT

- (a) The following additional survival equipment should be carried when required:
 - (1) 500 ml of water for each four, or fraction of four, persons on board;
 - (2) one knife;
 - (3) first-aid equipment; and
 - (4) one set of air/ground codes.
- (b) In addition, when polar conditions are expected, the following should be carried:
 - (1) a means of melting snow;
 - (2) one snow shovel and one ice saw;
 - (3) sleeping bags for use by 1/3 of all persons on board and space blankets for the remainder or space blankets for all persons on board; and
 - (4) one arctic/polar suit for each crew member.
- (c) If any item of equipment contained in the above list is already carried on board the aircraft in accordance with another requirement, there is no need for this to be duplicated.

AMC1 SPO.IDE.H.200(b) Survival equipment

SURVIVAL ELT

An ELT(AP) may be used to replace one required ELT(S) provided that it meets the ELT(S) requirements. A water-activated ELT(S) is not an ELT(AP).

GM1 SPO.IDE.H.200 Survival equipment

SIGNALLING EQUIPMENT

The signalling equipment for making distress signals is described in ICAO Annex 2, Rules of the Air.

GM2 SPO.IDE.H.200 Survival equipment

AREAS IN WHICH SEARCH AND RESCUE WOULD BE ESPECIALLY DIFFICULT

The expression 'areas in which search and rescue would be especially difficult' should be interpreted, in this context, as meaning:

- (a) areas so designated by the authority responsible for managing search and rescue; or
- (b) areas that are largely uninhabited and where:
 - (1) the authority referred to in (a) has not published any information to confirm whether search and rescue would be or would not be especially difficult; and
 - (2) the authority referred to in (a) does not, as a matter of policy, designate areas as being especially difficult for search and rescue.

GM1 SPO.IDE.H.202 Helicopters certificated for operating on water – miscellaneous equipment

INTERNATIONAL REGULATIONS FOR PREVENTING COLLISIONS AT SEA

International Regulations for Preventing Collisions at Sea are those that were published by the International Maritime Organisation (IMO) in 1972.

AMC1 SPO.IDE.H.203 All helicopters on flights over water – ditching

EMERGENCY FLOTATION EQUIPMENT

The considerations of **AMC1 SPA.HOFO.165(d)** should apply in respect of emergency flotation equipment.

GM1 SPO.IDE.H.205 Individual protective equipment

TYPES OF INDIVIDUAL PROTECTIVE EQUIPMENT

Personal protective equipment should include, but is not limited to: flying suits, gloves, helmets, protective shoes, etc.

AMC1 SPO.IDE.H.210 Headset

GENERAL

- (a) A headset consists of a communication device that includes two earphones to receive and a microphone to transmit audio signals to the helicopter's communication system. To comply with the minimum performance requirements, the earphones and microphone should match the communication system's characteristics and the flight crew compartment environment. The headset should be adequately adjustable in order to fit the flight crew's head. Headset boom microphones should be of the noise cancelling type.
- (b) If the intention is to utilise noise cancelling earphones, the operator should ensure that the earphones do not attenuate any aural warnings or sounds necessary for alerting the flight crew on matters related to the safe operation of the helicopter.

GM1 SPO.IDE.H.210 Headset

GENERAL

The term 'headset' includes any aviation helmet incorporating headphones and microphone worn by a flight crew member.

GM1 SPO.IDE.H.215 Radio communication equipment

APPLICABLE AIRSPACE REQUIREMENTS

For helicopters being operated under European air traffic control, the applicable airspace requirements include the Single European Sky legislation.

AMC1 SPO.IDE.H.220 Navigation equipment

NAVIGATION WITH VISUAL REFERENCE TO LANDMARKS — OTHER-THAN COMPLEX HELICOPTERS

Where other-than complex helicopters, with the surface in sight, can proceed according to the ATS flight plan by navigation with visual reference to landmarks, no additional equipment is needed to comply with **SPO.IDE.H.220(a)(1)**.

GM1 SPO.IDE.H.220 Navigation equipment

AIRCRAFT ELIGIBILITY FOR PBN SPECIFICATION NOT REQUIRING SPECIFIC APPROVAL

- (a) The performance of the aircraft is usually stated in the AFM.
- (b) Where such a reference cannot be found in the AFM, other information provided by the aircraft manufacturer as TC holder, the STC holder or the design organisation having a privilege to approve minor changes may be considered.
- (c) The following documents are considered acceptable sources of information:
 - (1) AFM, supplements thereto, and documents directly referenced in the AFM;
 - (2) FCOM or similar document;

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- (3) Service Bulletin or Service Letter issued by the TC holder or STC holder;
 - (4) approved design data or data issued in support of a design change approval;
 - (5) any other formal document issued by the TC or STC holders stating compliance with PBN specifications, AMC, Advisory Circulars (AC) or similar documents issued by the State of Design; and
 - (6) written evidence obtained from the State of Design.
- (d) Equipment qualification data, in itself, is not sufficient to assess the PBN capabilities of the aircraft, since the latter depend on installation and integration.
- (e) As some PBN equipment and installations may have been certified prior to the publication of the PBN Manual and the adoption of its terminology for the navigation specifications, it is not always possible to find a clear statement of aircraft PBN capability in the AFM. However, aircraft eligibility for certain PBN specifications can rely on the aircraft performance certified for PBN procedures and routes prior to the publication of the PBN Manual.
- (f) Below, various references are listed which may be found in the AFM or other acceptable documents (see listing above) in order to consider the aircraft's eligibility for a specific PBN specification if the specific term is not used.
- (g) RNAV 5
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 5 operations.
 - (i) B-RNAV;
 - (ii) RNAV 1;
 - (iii) RNP APCH;
 - (iv) RNP 4;
 - (v) A-RNP;
 - (vi) AMC 20-4;
 - (vii) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 2 (TGL 2)
 - (viii) JAA AMJ 20X2;
 - (ix) FAA AC 20-130A for en route operations;
 - (x) FAA AC 20-138 for en route operations; and
 - (xi) FAA AC 90-96.
- (h) RNAV 1/RNAV 2
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 1/RNAV 2 operations.
 - (i) RNAV 1;
 - (ii) PRNAV;
 - (iii) US RNAV type A;
 - (iv) FAA AC 20-138 for the appropriate navigation specification;
 - (v) FAA AC 90-100A;

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- (vi) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 Rev1 (TGL 10);
 - (vii) FAA AC 90-100.
 - (2) However, if position determination is exclusively computed based on VOR-DME, the aircraft is not eligible for RNAV 1/RNAV 2 operations.
 - (i) RNP 1/RNP 2 continental
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 1/RNP 2 continental operations.
 - (i) A-RNP;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-105.
 - (2) Alternatively, if a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above and position determination is primarily based on GNSS, the aircraft is eligible for RNP 1/RNP 2 continental operations. However, in these cases, loss of GNSS implies loss of RNP 1/RNP 2 capability.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 10 (TGL 10) (any revision); and
 - (ii) FAA AC 90-100.
 - (j) RNP APCH — LNAV minima
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH LNAV operations.
 - (i) A-RNP;
 - (ii) AMC 20-27;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138 for the appropriate navigation specification; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with RNP 0.3 GNSS approaches in accordance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
 - (i) JAA TEMPORARY GUIDANCE MATERIAL, LEAFLET NO. 3 (TGL 3);
 - (ii) AMC 20-4;
 - (iii) FAA AC 20-130A; and
 - (iv) FAA AC 20-138.
 - (k) RNP APCH — LNAV/VNAV minima
 - (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LNAV/VNAV operations.

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- (i) A-RNP;
 - (ii) AMC 20-27 with Baro VNAV;
 - (iii) AMC 20-28;
 - (iv) FAA AC 20-138; and
 - (v) FAA AC 90-105 for the appropriate navigation specification.
 - (2) Alternatively, if a statement of compliance with FAA AC 20-129 is found in the acceptable documentation as listed above, and the aircraft complies with the requirements and limitations of EASA SIB 2014-04¹, the aircraft is eligible for RNP APCH — LNAV/VNAV operations. Any limitation such as ‘within the US National Airspace’ may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.
- (l) RNP APCH — LPV minima
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP APCH — LPV operations.
 - (i) AMC 20-28;
 - (ii) FAA AC 20-138 for the appropriate navigation specification; and
 - (iii) FAA AC 90-107.
 - (2) For aircraft that have a TAWS Class A installed and do not provide Mode-5 protection on an LPV approach, the DH is limited to 250 ft.
- (m) RNAV 10
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNAV 10 operations.
 - (i) RNP 10;
 - (ii) FAA AC 20-138 for the appropriate navigation specification;
 - (iii) AMC 20-12;
 - (iv) FAA Order 8400.12 (or later revision); and
 - (v) FAA AC 90-105.
- (n) RNP 4
- (1) If a statement of compliance with any of the following specifications or standards is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 4 operations.
 - (i) FAA AC 20-138B or later, for the appropriate navigation specification;
 - (ii) FAA Order 8400.33; and
 - (iii) FAA AC 90-105 for the appropriate navigation specification.

¹ <http://ad.easa.europa.eu/ad/2014-04>

- (o) RNP 2 oceanic
 - (1) If a statement of compliance with FAA AC 90-105 for the appropriate navigation specification is found in the acceptable documentation as listed above, the aircraft is eligible for RNP 2 oceanic operations.
 - (2) If the aircraft has been assessed eligible for RNP 4, the aircraft is eligible for RNP 2 oceanic.
- (p) Special features
 - (1) RF in terminal operations (used in RNP 1 and in the initial segment of the RNP APCH)
 - (i) If a statement of demonstrated capability to perform an RF leg, certified in accordance with any of the following specifications or standards, is found in the acceptable documentation as listed above, the aircraft is eligible for RF in terminal operations:
 - (A) AMC 20-26;
 - (B) FAA AC 20-138B or later.
 - (ii) If there is a reference to RF and a reference to compliance with AC 90-105, then the aircraft is eligible for such operations.
- (q) Other considerations
 - (1) In all cases, the limitations in the AFM need to be checked, in particular the use of AP or FD which can be required to reduce the FTE primarily for RNP APCH, RNAV 1, and RNP 1.
 - (2) Any limitation such as 'within the US National Airspace' may be ignored since RNP APCH procedures are assumed to meet the same ICAO criteria around the world.

GM2 SPO.IDE.H.220 Navigation equipment

GENERAL

- (a) The PBN specifications for which the aircraft complies with the relevant airworthiness criteria are set out in the AFM, together with any limitations to be observed.
- (b) Because functional and performance requirements are defined for each navigation specification, an aircraft approved for an RNP specification is not automatically approved for all RNAV specifications. Similarly, an aircraft approved for an RNP or RNAV specification having a stringent accuracy requirement (e.g. RNP 0.3 specification) is not automatically approved for a navigation specification having a less stringent accuracy requirement (e.g. RNP 4).

RNP 4

- (c) For RNP 4, at least two LRNSs, capable of navigating to RNP 4, and listed in the AFM, may be operational at the entry point of the RNP 4 airspace. If an item of equipment required for RNP 4 operations is unserviceable, then the flight crew may consider an alternate route or diversion for repairs. For multi-sensor systems, the AFM may permit entry if one GNSS sensor is lost after departure, provided one GNSS and one inertial sensor remain available.

AMC1 SPO.IDE.H.225 Transponder

GENERAL

- (a) The SSR transponders of helicopters being operated under European air traffic control should comply with any applicable Single European Sky legislation.
- (b) If the Single European Sky legislation is not applicable, the SSR transponders should operate in accordance with the relevant provisions of Volume IV of ICAO Annex 10.

AMC1 SPO.IDE.H.230 Management of aeronautical databases

AERONAUTICAL DATABASES

When the operator of an aircraft uses an aeronautical database that supports an airborne navigation application as a primary means of navigation used to meet the airspace usage requirements, the database provider should be a Type 2 DAT provider certified in accordance with Regulation (EU) 2017/373 or equivalent.

GM1 SPO.IDE.H.230 Management of aeronautical databases

AERONAUTICAL DATABASE APPLICATIONS

- (a) Applications using aeronautical databases for which Type 2 DAT providers should be certified in accordance with Regulation (EU) 2017/373 may be found in GM1 DAT.OR.100.
- (b) The certification of a Type 2 DAT provider in accordance with Regulation (EU) 2017/373 ensures data integrity and compatibility with the certified aircraft application/equipment.

GM2 SPO.IDE.H.230 Management of aeronautical databases

TIMELY DISTRIBUTION

The operator should distribute current and unaltered aeronautical databases to all aircraft requiring them in accordance with the validity period of the databases or in accordance with a procedure established in the operations manual if no validity period is defined.

GM3 SPO.IDE.H.230 Management of aeronautical databases

STANDARDS FOR AERONAUTICAL DATABASES AND DAT PROVIDERS

- (a) A 'Type 2 DAT provider' is an organisation as defined in Article 2(5)(b) of Regulation (EU) 2017/373.
- (b) Equivalent to a certified 'Type 2 DAT provider' is defined in any Aviation Safety Agreement between the UK and a third country, including any Technical Implementation Procedures, or any Working Arrangements between the CAA and the aviation authority of a third country.

SUBPART E: SPECIFIC REQUIREMENTS

SECTION 1 – HELICOPTER EXTERNAL SLING LOAD OPERATIONS (HESLO)

AMC1 SPO.SPEC.HESLO.100 Standard operating procedures

STANDARD OPERATING PROCEDURES

- (a) Before conducting any HESLO, the operator should develop its SOPs taking into account the elements below.
- (b) Nature and complexity of the activity

- (1) Nature of the activity and exposure:

Helicopter flights for the purpose of transporting external loads by different means, e.g. under slung, external pods or racks. These operations are usually performed at a low height.

- (2) Complexity of the activity:

The complexity of the activity varies with the size and the shape of the load, the length of the rope and characteristics of the pick-up and drop-off zones, the time per load cycle, etc.

Table 1: HESLO types

| | |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HESLO 1: | short line, 20 metres (m) or less |
| HESLO 2: | long line, more than 20 m |
| HESLO 3: | specialised sling load, such as: Logging, insulators and pullers, traverse mounting, spinning of fibre cable, ice and snow removal from power lines, sawing, geophysical surveys, cable laying onto the ground or into ditches, avalanche control, landslide control |
| HESLO 4: | Advanced sling load such as: Tower erecting, wire stringing, disassembly of masts and towers |

- (3) Operational environment and geographical area:

HESLO may be performed over any geographical area. Special attention should be given to:

- (i) hostile and congested;
 - (ii) mountains;
 - (iii) sea;
 - (iv) jungle;
 - (v) desert; and
 - (vi) polar;
 - (vii) lakes and river canyons; and
 - (viii) environmentally sensitive areas (e.g. national parks, noise sensitive areas).

- (c) Equipment

-
- (1) The helicopter may be equipped with:
 - (i) additional mirror(s) and/or video camera(s);
 - (ii) a bubble window;
 - (iii) supplementary hook(s) or multi-hook device(s); and
 - (iv) load data recorder (lifts, weights, torques, power, forces, shocks and electrical activities)
 - (2) When conducting single-pilot vertical reference operations with no assistance of a task specialist or other crew member, additional engine monitoring in the pilot line of vision or an audio warning system is recommended.
 - (3) All additional equipment used, e.g. ropes, cables, mechanical hooks, swivel hooks, nets, buckets, chainsaws, baskets, containers, should be manufactured according to applicable rules or recognised standards. The operator should be responsible for maintaining the serviceability of this equipment.
 - (4) Adequate radio communication equipment (e.g. VHF, UHF, FM) should be installed and serviceable in the helicopter for co-ordination with the task specialists involved in the operation.
 - (5) Task specialists involved in the operation should be equipped with hand-held communication equipment, protective helmets with integrated earphones and microphones, and the relevant personal protective equipment.
- (d) Crew members
- (1) Crew composition:
 - (i) The minimum flight crew as stated in the approved AFM. For operational or training purposes, an additional crew member may assist the pilot-in-command (PIC) in a single-pilot operation. In such a case:
 - (A) procedures are in place for a crew member to monitor the flight, especially during the departure, approach and HESLO cycle, to ensure that a safe flight path is maintained; and
 - (B) when a task specialist is tasked with assisting the pilot, the procedures according to which this assistance is taking place should be clearly defined.
 - (ii) For safety and/or operational purposes, task specialists should be instructed by the operator to fulfil specified tasks.
 - (2) Pilot training for HESLO

Before acting as unsupervised PIC, the pilot should demonstrate to the operator that he/she has the required skills and knowledge.

 - (i) Theoretical knowledge for HESLO 1:
 - (A) content of the operations manual (OM) including the relevant SOPs;
 - (B) AFM (limitations, performance, mass and balance, abnormal and emergency procedures, etc.);
 - (C) procedures (e.g. short line, long line, construction, wire stringing or cable laying flying techniques), as required for the operation;
 - (D) load and site preparation including load rigging techniques and external load procedures;

-
- (E) special equipment used in the operation;
 - (F) training in human factor principles; and
 - (G) hazards and dangers.
- (ii) Theoretical knowledge for other HESLO levels should include the elements listed in point (i) above where additional knowledge to that of HESLO 1 is needed for the adequate HESLO level.
 - (iii) Practical training defined in the operator's training programme:
 - (A) Flight instruction provided by a HESLO instructor; and
 - (B) Flight under the supervision of a HESLO instructor. The supervision should take place during HESLO missions, from inside the helicopter and on-site.

For the purpose of this AMC, a HESLO mission is defined as a flight or series of flights from point A to point B on a particular day and for commercial specialised operations, for a particular client.

(3) Pilot experience

- (i) Prior to commencing training:
 - (A) 10 hours flight experience on the helicopter type;
 - (B) For HESLO 2: At least 100 HESLO cycles;
 - (C) For HESLO 3: At least 500 HESLO cycles; and
 - (D) For HESLO 4: At least 1 000 flight hours on helicopters and 2 000 HESLO cycles, including experience as unsupervised PIC in HESLO 2 or HESLO 3.
 - (ii) Before acting as PIC under the supervision of a HESLO instructor:
 - (A) For HESLO 1: At least 5 hours and 50 HESLO cycles flight instruction;
 - (B) For HESLO 2: In addition to HESLO 1 training, at least 2 hours and 20 HESLO cycles flight instruction with a long line of more than 20 metres.
 - (C) For HESLO 3 and 4: A number of HESLO cycles flight instruction, as relevant to the activity to be performed and the required skills.
 - (iii) Before acting as unsupervised PIC:
 - (A) For HESLO 1, 300 hours helicopter flight experience as PIC; and
 - (B) For HESLO 1: At least 8 hours, 80 HESLO cycles and 5 HESLO missions;
 - (C) For HESLO 2: At least 5 hours, 50 HESLO cycles and 5 HESLO missions with long line of more than 20 metres;
 - (D) For HESLO 3 and 4: A number of HESLO missions under the supervision of a HESLO instructor, as relevant to the activity to be performed and the required skills;
 - (E) For HESLO 3 and 4, 15 hours on the helicopter type, performing HESLO 1 and 2 operations;
 - (F) At least 20 hours gained in an operational environment similar to the environment of intended operation (desert, sea, jungle, mountains, etc.).
- (4) Pilot proficiency: Before acting as unsupervised PIC, pilot proficiency has been assessed as sufficient for the intended operations and environment under the relevant HESLO type, by a HESLO instructor nominated by the operator.

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- (5) Pilot recurrent training and checking at least every two years:
- (i) review of the load rigging techniques;
 - (ii) external load procedures;
 - (iii) review of the applicable flying techniques; and
 - (iv) review of human factor principles.
 - (v) A pilot who has performed 20 hours of relevant HESLO within the past 12 months may not need any further flight training other than in accordance with Part-ORO and Part-FCL.
- (e) Task specialists
- Before acting as task specialist, he/she should demonstrate to the operator that he/she has been trained appropriately and has the required skill and knowledge.
- (1) Initial training
- (i) The initial training of task specialists should include at least:
 - (A) behaviour in a rotor turning environment and training in ground safety and emergency procedures;
 - (B) procedures including load rigging, usage and conservation (replacement) of LLD;
 - (C) helicopter marshalling signals;
 - (D) radio communication;
 - (E) selection and preparation of pick-up and drop-off sites, dangers on working places (downwash, loose goods, third people);
 - (F) handling and safety of the third party;
 - (G) relevant training for the helicopter type;
 - (H) duties and responsibilities as described in the appropriate manual;
 - (I) perception and classification of flight obstacles (none, critical, danger), measures for safety;
 - (J) human factor principles; and
 - (K) for task specialists seated in the cockpit and whose tasks are to assist the pilot, the relevant CRM training elements as specified in **ORO.FC.115**.
 - (ii) The individual safety equipment appropriate to the operational environment and complexity of the activity should be described in the appropriate manual.
- (2) Recurrent training
- (i) The annual recurrent training should include the items listed in the initial training as described in (e)(1) above.
 - (ii) The operator should establish a formal qualification list for each task specialist.
 - (iii) The operator should establish a system of record keeping that allows adequate storage and reliable traceability of:
 - (A) the initial and recurrent training;
 - (B) Qualifications (qualification list).

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- (3) Briefing of task specialists
- Briefings on the organisation and coordination between the flight crew and task specialists involved in the operation should take place prior to each operation. These briefings should include at least the following:
- (i) location and size of pick-up and drop-off site, operating altitude;
 - (ii) location of refuelling site and procedures to be applied;
 - (iii) load sequence, danger areas, performance and limitations, emergency procedures; and
 - (iv) for a task specialist who has not received the relevant elements of CRM training as specified in **ORO.FC.115**, the operator's crew coordination concept including relevant elements of CRM.
- (4) Responsibility of task specialists operating on the ground:
- (i) Task specialists operating on the ground are responsible for the safe organisation of the ground operation, including:
 - (A) adequate selection and preparation of the pick-up and drop-off points and load rigging;
 - (B) appropriate communication and assistance to the flight crew and other task specialists; and
 - (C) access restriction on the pick-up and drop-off site.
 - (ii) If more than one task specialist is required for a task, one should be nominated as leading the activities. He/she should act as the main link between the flight crew and other task specialist(s) involved in the operation and is responsible for:
 - (A) task specialist coordination and activities on the ground; and
 - (B) the safety of the working area (loading and fuelling).
- (f) HESLO instructor
- The HESLO instructor should be assigned by the operator on the basis of the following:
- (1) the HESLO instructor for pilots should:
 - (i) be suitably qualified as determined by the operator and have a minimum experience of 500 hours HESLO;
 - (ii) have at least 10 hours HESLO experience as unsupervised PIC in the appropriate HESLO level on which instruction, supervision and proficiency assessments are to be provided; and
 - (iii) have attended the 'teaching and learning' part of the flight instructor or type rating instructor training, or have prior experience as an aerial work instructor subject to national rules.
 - (2) the HESLO instructor for task specialists should be suitably qualified as determined by the operator and have at least 2 years of experience in HESLO operations.
- (g) Performance
- (1) Power margins for HESLO operations:
 - (i) HESLO 1 and 2

The mass of the helicopter should not exceed the maximum mass specified in

accordance with **SPO.POL.146(c)(1)** at the pick-up or drop-off site, whichever is higher, as stated in the appropriate manual.

(ii) HESLO 3 and 4

The mass of the helicopter should not exceed the maximum mass specified in accordance with **SPO.POL.146(c)(1)** at the pick-up or drop-off site, whichever is higher, as stated in the appropriate manual, and in the case of construction (montage) operations, reduced by 10% of the mass of the sling load capacity.

(h) Normal procedures

(1) Operating procedures:

HESLO should be performed in accordance with the appropriate manual and appropriate operating procedures. These procedures should include, for each type of operation:

- (i) crew individual safety equipment (e.g. helmet, fire-retardant suits);
- (ii) crew responsibilities;
- (iii) crew coordination and communication;
- (iv) selection and size of pick-up and drop-off sites;
- (v) selection of flight routes;
- (vi) fuel management in the air and on the ground;
- (vii) task management; and
- (viii) third party risk management.

(2) Ground procedures:

The operator should specify appropriate procedures, including:

- (i) use of ground equipment;
- (ii) load rigging;
- (iii) size and weight assessment of loads;
- (iv) attachment of suitably prepared loads to the helicopter;
- (v) two-way radio communication procedures;
- (vi) selection of suitable pick-up and drop-off sites;
- (vii) safety instructions for task specialists operating on the ground;
- (viii) helicopter performances information;
- (ix) fuel management on the ground;
- (x) responsibility, organisation and task management of other personnel on the ground involved in the operation;
- (xi) third party risk management; and
- (xii) environmental protection.

(i) Emergency procedures

(1) Operating procedures for the flight crew:

In addition to the emergency procedures published in the AFM or OM, the operator should ensure that the flight crew:

- (i) is familiar with the appropriate emergency procedures;
 - (ii) has appropriate knowledge of the emergency procedures for personnel on the ground involved in the operation; and
 - (iii) reports emergencies as specified in the AFM or OM.
- (2) Ground procedures:
- The operator should ensure that the task specialist on the ground involved in the operation:
- (i) is familiar with the appropriate emergency procedures;
 - (ii) has appropriate knowledge of the flight crew emergency procedures;
 - (iii) reports emergencies as specified in the AFM or OM; and
 - (iv) prevents, as far as possible, environmental pollution.
- (j) Ground equipment
- The operator should specify the use of ground equipment, such as fuel trucks, cables, strops, etc. in the AFM or OM, including at least:
- (1) minimum size of the operating site;
 - (2) surface condition;
 - (3) positioning of ground equipment on the operating site;
 - (4) fuel handling;
 - (5) environment protection plan; and
 - (6) location and use of fire suppression equipment.

GM1 SPO.SPEC.HESLO.100 Standard operating procedures

PILOT INITIAL TRAINING

The table below summarises minimum training standards.

Table 1: Training minimum standards

| | |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HESLO 1 | <ul style="list-style-type: none"> — CPL(H) or ATPL(H) — PPL(H) only for non-commercial operations — Minimum 10 hours PIC on type — Type rating completed — HESLO ground instruction completed — Task specialist syllabus reviewed — HESLO 1 flight instruction completed: Minimum 5 hours/50 HESLO cycles — HESLO 1 flights under supervision completed — Minimum experience 8 hours/80 HESLO cycles/5 HESLO missions — Minimum 300 hours PIC(H) — HESLO 1 proficiency |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| | |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HESLO 2 | <ul style="list-style-type: none"> — CPL(H) or ATPL(H) — PPL(H) only for non-commercial operations — HESLO level 1 completed — Type rating completed — Minimum 10 hours PIC on type — HESLO 2 ground instruction completed — Task specialist syllabus reviewed — Minimum 100 HESLO cycles — HESLO 2 flight instruction completed: Minimum 2 hours/20 HESLO cycles with long line — HESLO 2 flights under supervision completed — Minimum experience 5 hours/50 HESLO 2 cycles/5 HESLO 2 missions — HESLO 2 proficiency |
| HESLO 3 | <ul style="list-style-type: none"> — CPL(H) or ATPL(H) — PPL(H) only for non-commercial operations — HESLO level 1 completed to 20m — Min. 500 HESLO cycles — Type rating completed — Minimum 10 hours PIC on type — HESLO 3 ground instruction completed — Task specialist syllabus reviewed — Practical Task specialist training for logging — HESLO 3 flight instruction completed — HESLO 3 flights under supervision completed — HESLO 3 proficiency |
| HESLO 4 | <ul style="list-style-type: none"> — CPL(H) or ATPL(H) — PPL(H) only for non-commercial operations — Minimum 1 000 hours (H) — HESLO level 2 or 3 completed — Minimum 2 000 HESLO cycles |
| | <ul style="list-style-type: none"> — Type rating completed — Minimum 10 hours PIC on type — HESLO 4 ground instruction completed — Practical load preparation training — HESLO 4 flight instruction completed — HESLO 4 flights under supervision completed — HESLO 4 proficiency |

HESLO ground instruction, HESLO flight training, HESLO flights under supervision and HESLO proficiency assessments may be combined with the operator's conversion course.

SECTION 2 – HUMAN EXTERNAL CARGO OPERATIONS (HEC)

AMC1 SPO.SPEC.HEC.100 Standard operating procedures

STANDARD OPERATING PROCEDURES

- (a) Before conducting any HEC operations, the operator should develop its SOPs taking into account the elements below.
- (b) Nature and complexity of the activity
 - (1) Nature of the activity and exposure:
HEC operations are usually performed at a low height.
 - (2) Complexity of the activity:
 - (i) The complexity of the activity varies with the length of the rope and characteristics of the pick-up and drop-off zones, etc.

Table 1: HEC levels

| | |
|--------|------------------------------------------------|
| HEC 1: | Sling or cable length is less or equal to 25 m |
| HEC 2: | Sling or cable length is greater than 25 m |

- (3) Operational environment and geographical area:
HEC may be performed over any geographical area. Special attention should be given to:
 - (i) hostile congested and non-congested environment;
 - (ii) mountains;
 - (iii) sea;
 - (iv) jungle;
 - (v) desert;
 - (vi) artic;
 - (vii) lakes and river canyons; and
 - (viii) environmentally sensitive areas (e.g. national parks, noise sensitive areas).
- (c) Equipment
 - (1) The helicopter may be equipped with:
 - (i) additional mirror(s) and/or video camera(s);
 - (ii) a bubble window;
 - (iii) supplementary hook(s) or multi-hook device(s); and
 - (iv) load data recorder (lifts, weights, torques, power, forces, shocks and electrical activities).
 - (2) When conducting single-pilot vertical reference operations with no assistance of a task specialist or other crew member, additional engine monitoring in the pilot line of vision or an audio warning system is recommended.
 - (3) Adequate radio communication equipment (e.g. VHF, UHF, FM) should be installed in the

helicopter for co-ordination with the task specialist involved in the operation.

- (4) Task specialists involved in the operation should be equipped with hand-held communication equipment, protective helmets with integrated earphones and microphones as well as personal protective equipment.

(d) Crew members

(1) Crew composition:

- (i) The minimum flight crew is stated in the approved AFM. For operational or training purposes, an additional qualified crew member may assist the PIC in a single-pilot operation. In such a case:
- (A) procedures are in place for a member of the flight crew to monitor the flight, especially during the departure, approach and HEC operations, to ensure that a safe flight path is maintained; and
 - (B) when a task specialist is tasked with assisting the pilot, the procedures according to which this assistance is taking place should be clearly defined.
- (ii) For safety and/or operational purposes, a task specialist may be required by the operator to fulfil the task (e.g. to establish vertical reference or to operate the release safety device for the belly rope).

(2) Pilot initial training:

Before acting as PIC, the pilot should demonstrate to the operator that he/she has the required skills and knowledge, as follows:

- (i) Theoretical knowledge:
- (A) load rigging techniques;
 - (B) external load procedures;
 - (C) site organisation and safety measures;
 - (D) short line, long line, construction, wire stringing or cable laying flying techniques, as required for the operation.
- (ii) Pilot experience prior to commencing the training:
- (A) 10 hours flight experience on the helicopter type;
 - (B) type rating completed;
 - (C) HESLO type 1 or 2 completed;
 - (D) relevant experience in the field of operation;
 - (E) training in human factor principles; and
 - (F) ground instruction completed (marshaller syllabus).
- (iii) Pilot experience prior to commencing unsupervised HEC flights:
- (A) HEC flight instruction completed.
 - (B) 1 000 hours helicopter flight experience as PIC.
 - (C) for mountain operations, 500 hours of flight experience as PIC in mountain operations.
 - (D) for HEC 2, HESLO type 2 completed.

(3) Pilot proficiency prior to commencing unsupervised HEC flights:

Pilot proficiency has been assessed as sufficient for the intended operations and environment under the relevant HEC level, by a HEC instructor nominated by the operator.

- (4) Pilot recurrent training and checking at least every two years:
 - (i) review of the sling technique;
 - (ii) external load procedures;
 - (iii) training in human factor principles; and
 - (iv) review of the applicable flying techniques, which should take place during a training flight if the pilot has not performed HEC or HHO operations within the past 24 months.
- (5) Conditions of HEC instruction:
 - (i) Maximum sling length according to the level applicable:
 - (A) 1 task specialist (with radio) at pickup point;
 - (B) 1 task specialist (with radio) at drop off point/on the line;
 - (C) helicopter fitted with cargo mirror/bubble window;
 - (D) flight instruction DC/: Cycles DC/minimum 10 cycles which of 5 Human Cargo Sling; and
 - (E) flight instruction solo with onsite supervision/Cycles solo/minimum 10 cycles.
 - (ii) HEC instructor:

The HEC instructor should be assigned by the operator on the basis of the following:

 - (A) the HEC instructor for pilots should:
 - have a minimum experience of 100 cycles in HEC operations at HEC levels equal to or greater than that on which instruction, supervision and proficiency assessment are to be provided; and
 - have attended the ‘teaching and learning’ part of the flight instructor or type rating instructor training, or have prior experience as an aerial work instructor subject to national rules;
 - (B) the HEC instructor for task specialists should be suitably qualified as determined by the operator and have at least 2 years of experience in HEC operations as a task specialist.

(e) Task specialists

Before acting as task specialists, they should demonstrate to the operator that they have been appropriately trained and have the required skills and knowledge including training on human factor principles.

- (1) Task specialists should receive training relevant to their tasks including:
 - (i) fitting and removal of system; and
 - (ii) normal procedure.

For task specialists in charge of assisting the pilot, the relevant CRM training elements as specified in **AMC1 ORO.FC.115**.

(2) Briefings

Briefings on the organisation and coordination between flight crew and task specialist involved in the operation should take place prior to each operation. These briefings should include at least the following:

- (i) location and size of pick-up and drop-off site, operating altitude;
- (ii) location of refuelling site and procedures to be applied; and
- (iii) load sequence, danger areas, performance and limitations, emergency procedures.
- (iv) for task specialists who have not received the relevant elements of CRM training as specified in **AMC1 ORO.FC.115**, the operator's crew coordination concept including relevant elements of crew resource management.

(3) Recurrent training

- (i) The annual recurrent training should include the items listed in the initial training as described in (e)(1) above.
- (ii) The operator should establish a formal qualification list for each task specialist.
- (iii) The operator should establish a system of record keeping that allows adequate storage and reliable traceability of:
 - (A) the initial and recurrent training;
 - (B) qualifications (qualification list).

(f) Performance

HEC should be performed with the following power margins: the mass of the helicopter should not exceed the maximum mass specified in accordance with **SPO.POL.146(c)(1)**.

(g) Normal procedures

(1) Operating procedures:

HEC should be performed in accordance with the AFM. Operating procedures should include, for each type of operation:

- (i) crew individual safety equipment (e.g. helmet, fire retardant suits);
- (ii) crew responsibilities;
- (iii) crew coordination and communication;
- (iv) selection and size of pick-up and drop-off sites;
- (v) selection of flight routes;
- (vi) fuel management in the air and on the ground;
- (vii) task management; and
- (viii) third party risk management.

(2) Ground procedures:

The operator should specify appropriate procedures, including:

- (i) use of ground equipment;
- (ii) load rigging;
- (iii) size and weight assessment of loads;

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- (iv) attachment of suitably prepared loads to the helicopter;
 - (v) two-way radio communication procedures;
 - (vi) selection of suitable pick-up and drop-off sites;
 - (vii) safety instructions for ground task specialists or other persons required for the safe conduct of the operation;
 - (viii) helicopter performances information;
 - (ix) fuel management on the ground;
 - (x) responsibility and organisation of the personnel on the ground involved in the operation;
 - (xi) task management of personnel on the ground involved in the operation;
 - (xii) third party risk management; and
 - (xiii) environmental protection.
- (h) Emergency procedures
- (1) Operating procedures:

In addition to the emergency procedures published in the AFM or OM, the operator should ensure that the flight crew:

 - (i) is familiar with the appropriate emergency procedures;
 - (ii) has appropriate knowledge of the emergency procedures for personnel on the ground involved in the operation; and
 - (iii) reports emergencies as specified in the AFM or OM.
 - (2) Ground procedures:

The operator should ensure that the task specialist on the ground involved in the operation:

 - (i) is familiar with the appropriate emergency procedures;
 - (ii) has appropriate knowledge of the emergency procedures for personnel on the ground involved in the operation;
 - (iii) reports emergencies as specified in the AFM or OM; and
 - (iv) prevents, as far as possible, environmental pollution.

AMC1 SPO.SPEC.HEC.105(b) Specific HEC equipment

AIRWORTHINESS APPROVAL FOR HEC EQUIPMENT

- (a) Hoist or cargo hook installations that have been certificated according to any of the following standards should be considered to satisfy the airworthiness criteria for HEC operations:
 - (1) CS 27.865 or CS 29.865;
 - (2) JAR 27 Amendment 2 (27.865) or JAR 29 Amendment 2 (29.865) or later;
 - (3) FAR 27 Amendment 36 (27.865) or later — including compliance with CS 27.865(c)(6); or
 - (4) FAR 29 Amendment 43 (29.865) or later.
- (b) Hoist or cargo hook installations that have been certified prior to the issuance of the

airworthiness criteria for HEC as defined in (a) may be considered as eligible for HEC provided that following a risk assessment either:

- (1) the service history of the hoist or cargo hook installation is found satisfactory to the CAA;
or
- (2) for hoist or cargo hook installations with an unsatisfactory service history, additional substantiation to allow acceptance by the CAA should be provided by the hoist or cargo hook installation certificate holder (type certificate (TC) or supplemental type certificate (STC)) on the basis of the following requirements:
 - (i) The hoist or cargo hook installation should withstand a force equal to a limit static load factor of 3.5, or some lower load factor, not less than 2.5, demonstrated to be the maximum load factor expected during hoist operations, multiplied by the maximum authorised external load.
 - (ii) The reliability of the primary and back up quick release systems at helicopter level should be established and failure mode and effect analysis at equipment level should be available. The assessment of the design of the primary and back up quick release systems should consider any failure that could be induced by a failure mode of any other electrical or mechanical rotorcraft system.
 - (iii) The appropriate manual should contain one-engine-inoperative (OEI) hover performance data or single engine failures procedures for the weights, altitudes, and temperatures throughout the flight envelope for which hoist or cargo hook operations are accepted.
 - (iv) Information concerning the inspection intervals and retirement life of the hoist or cargo hook cable should be provided in the instructions for continued airworthiness.

SECTION 3 – PARACHUTE OPERATIONS (PAR)

SECTION 4 – AEROBATIC FLIGHTS (ABF)

SECTION 5 – MAINTENANCE CHECK FLIGHTS (MCFs)

GM1 SPO.SPEC.MCF.105 Flight programme

DOCUMENTATION WHEN DEVELOPING A FLIGHT PROGRAMME

When developing a flight programme, the operator should consider the applicable documentation available from the type certificate holder or other valid documentation such as the Flight Safety Foundation Functional Check Flight Compendium.

AMC1 SPO.SPEC.MCF.110 Maintenance check flight manual

CONTENTS OF THE MAINTENANCE CHECK FLIGHT MANUAL

The items to be covered in the manual for a 'Level A' maintenance check flight (MCF) with complex motor-powered aircraft should be as follows:

- (a) General considerations:
 - (1) conditions requiring a MCF (e.g. heavy maintenance);
 - (2) appropriate maintenance release before the MCF;
 - (3) flight authorisation by the operator;
 - (4) process to develop a flight programme and procedures;
 - (5) relevant procedures to document MCFs in the aircraft records; and
 - (6) policy for the determination of a 'Level A' or 'Level B' MCF.
- (b) Aircraft status:
 - (1) requirements for the status of the aircraft prior to departure (e.g. MEL, CDL and multiple defects) for the purpose of conducting an MCF;
 - (2) fuel loading, if applicable;
 - (3) mass and balance, if applicable; and
 - (4) specific test and safety equipment.
- (c) Crew selection and other persons on board:
 - (1) qualifications;
 - (2) experience and recency;
 - (3) training; and
 - (4) persons on board.
- (d) Briefings:
 - (1) briefing participants;
 - (2) specific pre-flight briefing topics:
 - (i) aircraft status,
 - (ii) summary of maintenance,
 - (iii) flight programme, specific procedures and limitations,

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- (iv) crew members' responsibilities and coordination, and
 - (v) documents on board;
 - (3) information to ATC; and
 - (4) post-flight briefing.
 - (e) Contents of the flight programme and procedures: the flight programme should be thoroughly developed by the operator using applicable current data. It should contain the checks to be performed in-flight and may include 'read and do' checklists where practicable. The following items should be included in the overall procedure:
 - (1) in-flight briefings;
 - (2) limits (not to be exceeded);
 - (3) specific entry conditions;
 - (4) task-sharing and call-outs;
 - (5) potential risks and contingency plans;
 - (6) information to additional crew; and
 - (7) adequate available airspace and coordination with ATC.
 - (f) External conditions:
 - (1) weather and light conditions;
 - (2) terrain;
 - (3) ATC, airspace; and
 - (4) airport (runway, equipment)/operating site.
 - (g) Documentation:
 - (1) specific documentation on board;
 - (2) in-flight recordings;
 - (3) results of the MCF and related data; and
 - (4) accurate recording of the required maintenance actions after the flight.

GM1 SPO.SPEC.MCF.115 and SPO.SPEC.MCF.120 Flight crew requirements for a "Level A" maintenance check flight & Flight crew training course for Level A maintenance check flights

DEFINITION OF AIRCRAFT CATEGORY

In respect of the term 'aircraft category' used in the context of point (a) of **SPO.SPEC.MCF.115** and point (c) of **SPO.SPEC.MCF.120**, it should be understood as 'category of aircraft' as defined in Commission Regulation (EU) No 1178/2011 (the Aircrew Regulation).

AMC1 SPO.SPEC.MCF.120 Flight crew training course

COURSE CONSIDERATIONS

- (a) The training course stipulated in point (a) of **SPO.SPEC.MCF.120** should comprise ground training followed by a demonstration in a simulator or aircraft of the techniques for the checks

- in flight and failure conditions. In a demonstration performed in an aircraft, the trainer should not simulate a failure condition that could induce a safety risk.
- (b) The ground training should cover the specified training syllabus (see **AMC2 SPO.SPEC.MCF.120**).
 - (c) The flight demonstration should include the techniques for the most significant checks covered in the ground training. As part of this demonstration, the pilots under training should be given the opportunity to conduct checks themselves under supervision.
 - (d) The ground training and flight demonstration should be provided by experienced flight crew with test or MCF experience. Flight demonstrations should be instructed by any of the following persons:
 - (1) a type rating instructor currently authorised by the operator to conduct MCFs; or
 - (2) a pilot assigned by an aircraft manufacturer and experienced in conducting pre-delivery check flights; or
 - (3) a pilot holding a flight test rating.
 - (e) Upon successful completion of the training, a record should be kept and a training certificate issued to the trainee.

AMC2 SPO.SPEC.MCF.120 Flight crew training course

COURSE SYLLABUS

In the case of aeroplanes and helicopters, the training course syllabus should include the following subjects:

- (a) Legal aspects: regulations concerning MCFs.
- (b) Organisation of MCFs: crew composition, persons on board, definition of tasks and responsibilities, briefing requirements for all participants, decision-making, ATC, development of a flight programme.
- (c) Environmental conditions: weather and light requirements for all flight phases.
- (d) Flight preparation: aircraft status, weight and balance, flight profile, airfield limitations, list of checks.
- (e) Equipment and instrumentation: on-board access to various parameters.
- (f) Organisation on board: CRM, crew coordination and response to emergency situations.
- (g) Ground checks and engine runs: review of checks and associated techniques.
- (h) Taxi and rejected take-off: specifications and techniques.
- (i) Techniques for checks of various systems:
 - (1) **aeroplanes:** flight controls, high-speed and low-speed checks, autopilot and autothrottle, depressurisation, hydraulic, electricity, air conditioning, APU, fuel, anti-icing, navigation, landing gear, engine parameters and relight, air data systems.
 - (2) **helicopters:** flight controls, engine power topping, track and balance, high-wind start, autopilot, performance measurement, hydraulic, electricity, air conditioning, APU, fuel, anti-icing, navigation, landing gear, engine checks and relight, autorotation, air data systems.
- (j) Review of failure cases specific to these checks.
- (k) Post-flight analysis.

GM1 SPO.SPEC.MCF.125 Crew composition and persons on board

TASK SPECIALIST'S ASSIGNED DUTIES, EQUIPMENT AND TRAINING

- (a) The operator should ensure that the task specialist is trained and briefed as necessary to assist the flight crew, including performing functions such as but not limited to:
 - (1) assistance on ground for flight preparation;
 - (2) reading of a MCF checklist; and
 - (3) monitoring and recording of relevant aircraft or systems' parameters.
- (b) If a task specialist's assigned duties are not directly related to the flight operation but to the MCF (e.g. reporting from the cabin on a certain vibration or noise), the required training and briefing should be adequate to this function.
- (c) The task specialist should be trained as necessary in crew coordination procedures and emergency procedures and be appropriately equipped.
- (d) Only personnel (crew and task specialists) essential for the completion of the flight should be on board.